

Scotland's Rural College

## Rhynchosporium leaf scald disease incidence: seed source and spatial pattern

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1 Rhynchosporium leaf scald disease incidence: seed source and spatial pattern

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15 Running head: Rhynchosporium spatial pattern

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19 A programme of field trials for the study of the winter barley – *Rhynchosporium commune*  
20 pathosystem is reported. The associated seed-borne disease Rhynchosporium leaf scald is  
21 regarded as having an important impact on barley yields. The analysis reported here relates to  
22 the impact of the seed source (commercial or farm-saved seed) on disease incidence, and to  
23 the spatial pattern of Rhynchosporium leaf scald disease incidence. Disease incidence data  
24 were calculated from field data recorded as disease severity. Mean disease incidence was  
25 higher in the crops grown from farm-saved seed than in the crops grown from commercial  
26 seed, although we cannot attach great agronomic significance to this result. The spatial  
27 pattern of Rhynchosporium leaf scald disease incidence was characterized in terms of the  
28 binary power law (BPL), and was indicative of an aggregated pattern. Programme-wide BPL  
29 results were described using a novel phytopathological application of a random coefficients  
30 model. These results have application in field sampling for Rhynchosporium leaf scald  
31 disease.

32

### 33 Introduction

34 Rhynchosporium leaf scald is an important global disease of barley crops in cool temperate  
35 countries. Epidemics have been reported as far afield as Northern Europe (Shipton et al,  
36 1974; Avrova & Knogge, 2012; Polley *et al.*, 1993), North Africa (Bouajila *et al.*, 2007),  
37 North and South America (Penner *et al.*, 1998; Carmona *et al.*, 1997) and Australia (Brown,  
38 1985). The disease, caused by the fungal pathogen *Rhynchosporium commune* (formerly *R.*  
39 *secalis*), appreciably reduces barley yields. Estimates of economic damage can vary but  
40 losses of over 60% have been recorded in Africa (Semeane, 1995) and up to 35% in North  
41 America (Buchannon & Wallace, 1962; Webster, 1980). From a survey of farmer attitudes  
42 covering the period 2011 - 2015, Stetkiewicz *et al.* (2018) reported that most farmers

43 believed foliar diseases of spring-sown barley were important or very important in  
44 determining crop yield. *Rhynchosporium* leaf scald was cited by the majority of farmers  
45 questioned as being the most common of the three diseases covered by the survey  
46 (*Rhynchosporium* leaf scald, *Ramularia* leaf spot caused by *Ramularia collo-cygni* and  
47 powdery mildew caused by *Blumeria graminis f. sp. hordei*), as well as having the greatest  
48 impact on yield. These survey results are indicative of the contemporary relevance of the  
49 analysis of previous studies of the *Rhynchosporium* leaf scald pathosystem, including those  
50 reported by Stetkiewicz (2017) on spring barley, and those reported here on winter barley. An  
51 extremely useful review of the *Rhynchosporium* leaf scald pathosystem (Avrova & Knogge,  
52 2012) manages to be both comprehensive and concise, and readers are referred there for full  
53 details including the pathogen life cycle, disease symptomatology, fungicidal control and host  
54 resistance. Rather than repeat this material en bloc, we will refer to it as required in the  
55 context of the work reported here.

56 *R. commune* is a seed-borne pathogen. Thus the initial inoculum may be infected seed,  
57 although the pathogen may also survive on debris from previous crops, on stubble of previous  
58 crops and on volunteers infected from previous crops. The primary inoculum for *R. commune*  
59 is considered to arise from crop debris and seed-borne infection with secondary infection due  
60 to the release of rain splash spores from infected lesions (Zhan *et al.*, 2008; Fountaine *et al.*,  
61 2010). The disease is polycyclic and secondary spread occurs via spore dispersal from  
62 infected leaves. The name *Rhynchosporium* leaf scald refers to the foliar symptoms  
63 characteristic of the disease (see Avrova & Knogge, 2012; AHDB 2016). In the work  
64 reported here, *Rhynchosporium* leaf scald was recorded in the field by visual assessment of  
65 foliar symptoms on winter-sown barley crops in an experimental programme carried out over  
66 a five-year period. Here, we investigate the significance of seed source for the level of  
67 disease and present an analysis of the spatial pattern of *Rhynchosporium* leaf scald. The

68 latter uses a novel phytopathological application of a random coefficients model to provide a  
69 statistical overview of results from programme-wide spatial analysis.

70

71 Materials and methods

72 Outline of experimental programme

73 The basic unit of the winter-sown barley experimental programme was a field trial. Trials  
74 took place at four centres in Scotland, UK, over a period of five years (harvest years 2005 –  
75 2009) as follows: Aberdeen (two trial sites), Bush (two trial sites near Edinburgh),  
76 Perth/Dundee, and Lanark/Lockerbie (see Table 1 for full details). Initially (2005 – 2006),  
77 trials included three treatments: farm-saved seed (no fungicide applied), commercial seed (no  
78 fungicide applied), and commercial seed (fungicide applied). The commercial seed (fungicide  
79 applied) treatment was discontinued after the first two years. Trials were either first- or  
80 second-barley in rotation. In total, there were 26 trials potentially available for analysis  
81 (Table 1). A detailed description of the use of the trial data in the statistical analyses of  
82 treatment effects and of spatial pattern is given for each analysis below.

83 The basic unit of a trial was a plot, the area to which treatments were applied. All  
84 trials comprised four replicate plots of the treatments applied. Thus trials comprised either 12  
85 plots (2005 – 2006) or 8 plots (2007 – 2009). Plots were 12m × 12m in size. For the purpose  
86 of data collection, plots were divided into 576 ‘quadrats’, each 0.5m × 0.5m in size.

87

## 88 Disease assessment

89 The quadrat was the basic sampling unit for disease assessment. In each plot of each trial,  
90 disease symptoms were visually assessed on a continuous severity scale (0 – 100%) in each  
91 quadrat. Here, we consider only a single disease assessment for each trial, specifically the one  
92 made at or close to GS (growth stage) 31. Figure 1 illustrates such a % severity disease  
93 assessment from a 2005 trial. For data analysis, quadrat-level disease severity values were  
94 converted to disease incidence, using a detection threshold of 0.5% severity. Thus for  
95 quadrat-level disease severity  $\leq 0.5\%$ , quadrat-level disease incidence was coded “0”, and for  
96 quadrat-level severity  $> 0.5\%$ , quadrat-level incidence was coded as “1”.

97

## 98 Statistical analysis of treatment effects

99 The statistical analysis of treatment effects reported here is a simple comparison of farm-  
100 saved seed (no fungicide applied) with commercial seed (no fungicide applied). In two trials,  
101 no recorded disease data were available for such a comparison, so the analysis reported here  
102 has been carried out on the basis of data from 24 out of the 26 trials (Table 1). Data for a third  
103 treatment, commercial seed (fungicide applied), were collected in 10 trials. In five of these  
104 trials the fungicide was applied earlier than the date on which the disease assessment under  
105 consideration here was made, and in the other five, later. For the five trials in which the plots  
106 designated for the commercial seed (fungicide applied) treatment were untreated at the time  
107 of the disease assessment, the data are effectively extra replications of data for the  
108 commercial seed (no fungicide applied) treatment, and were incorporated in the analysis on  
109 that basis. For the five trials in which the plots designated for the commercial seed (fungicide  
110 applied) treatment were already treated at the time of the disease assessment, % severity data  
111 from the plots for the farm-saved seed (no fungicide applied) treatment and the commercial

112 seed (no fungicide applied) treatment were still available for the analysis but the data from  
 113 the commercial seed (fungicide applied) treatment were excluded.

114 A generalized linear mixed model (GLMM) with the logit link function, binomial  
 115 error structure and dispersion fixed at unity (Brown & Prescott, 2015) was fitted to the  
 116 number of quadrats per plot with disease incidence in order to compare treatment effects on  
 117 mean disease incidence. Individual trials, the trial  $\times$  treatment interaction and between-plot-  
 118 within-trial variation were fitted as random effects while the seed source ‘treatment’  
 119 (commercial or farm-saved) was fitted as a fixed effect. Thus the model was of the form

$$\left. \begin{aligned}
 & y_{jkl} \sim \text{Binomial}(p_{jkl}, n_{jkl}) \\
 & \ln\left(\frac{p_{jkl}}{1-p_{jkl}}\right) = \alpha + \tau_j + \gamma_k + \delta_{jk} + \varepsilon_{jkl} \\
 & \gamma_k \sim N(0, \sigma_{\text{trial}}^2), \delta_{jk} \sim N(0, \sigma_{\text{trial} \times \text{treatment}}^2), \varepsilon_{jkl} \sim N(0, \sigma_{\text{individualploterror}}^2)
 \end{aligned} \right\} (1)$$

121 in which the subscript  $j$  refers to the  $j^{\text{th}}$  treatment ( $j=1,2$ ), the subscript  $k$  refers to the  $k^{\text{th}}$  trial  
 122 ( $k=1, \dots, 24$ ), and the subscript  $l$  refers to the  $l^{\text{th}}$  plot ( $l=1, \dots, 12$ ).  $y_{jkl}$  is the number of quadrats  
 123 with disease incidence out of the  $n_{jkl}$  quadrats in that plot, and  $p_{jkl}$  is the probability of  
 124 incidence in an individual quadrat in the corresponding plot.  $\alpha$  is the grand mean, and  $\tau_j$  is the  
 125 treatment  $j$  fixed effect.  $\gamma_k$ ,  $\delta_{jk}$  and  $\varepsilon_{jkl}$  are the trial, trial  $\times$  treatment and the individual plot  
 126 error random effects.

127 Treatments were applied to entire plots and hence it is appropriate to model plot-level  
 128 totals without explicitly needing to model spatial pattern within plots. Formal statistical  
 129 comparisons of treatment effects, using the model (1), must be made on the logit scale and  
 130 accordingly that is how means and the standard error of difference are presented in the  
 131 Results section.

132 To aid understanding, treatment means on the incidence scale have also been  
 133 presented. In principle, for each of the treatments the mean proportion of disease incidence  
 134 can be averaged across plots for each trial and then the mean and standard error of these trial  
 135 means can be computed. An adjustment to this calculation is needed to account for the fact  
 136 that the number of plots varies between trials and treatments, leading to a lack of balance. We  
 137 adjust by finding the minimum number of plots per trial  $\times$  treatment combination ( $u$ ) and  
 138 then, for each of a large number of simulations ( $R$ ), randomly subsampling exactly  $u$  values  
 139 from each trial  $\times$  treatment combination in order to simulate a balanced design. For each  
 140 simulation  $r = 1, \dots, R$  we then calculate the observed mean  $m_r$  and associated standard error  $s_r$   
 141 for each treatment group in the same way that we would calculate these values for a balanced  
 142 dataset. An overall estimate of the mean for each treatment group,  $\bar{m}$ , is then given by the  
 143 mean of the values  $m_1, \dots, m_R$ , and an overall estimate of the associated standard error by

144 
$$\sqrt{(1/R) \cdot \sum_{r=1}^R (s_r^2 + (m_r - \bar{m})^2)}.$$

145

146 Statistical analysis of spatial pattern

147 The analysis of spatial pattern of *R. commune* presented here is based on the Binary Power  
 148 Law (BPL) (Hughes & Madden, 1992; Madden *et al.*, 2018). The basic unit for the analysis  
 149 of spatial pattern is the trial (e.g. Figure 1). For each trial, spatial pattern is determined within  
 150 plots. No reference is made in this analysis to the treatments applied to the plots in a trial. The  
 151 assumption here is that treatments may affect disease intensity rather in the way that  
 152 “artificial” methods are used to manipulate levels of disease in experiments designed to study  
 153 the relationship between crop yield loss and disease intensity (e.g. Sah & MacKenzie, 1987).  
 154 Thus we are interested, initially, in the variation in disease intensity (recorded as mean



155 disease incidence) at the plot scale over a trial and, subsequently, the extension of this  
156 analysis across trials.

157 A BPL analysis describes the logarithm of the observed variance of disease incidence  
158 as a linear function of the logarithm of the variance of the corresponding random distribution  
159 (the binomial distribution). For the analysis described here, we take the variance of the  
160 binomial distribution as  $p \cdot (1-p)/n$ , in which  $p$  is estimated by observed mean disease  
161 incidence at the plot scale and  $n$  is the number of quadrats grouped to form the within-plot  
162 sampling unit. Within three trials (2006 Lockerbie, 2009 Aberdeen 1, and 2009 Aberdeen 2,  
163 see Table 1) observed mean incidence at the disease assessment reported here was in each  
164 case equal to zero in all the trial plots. Within a further three trials (2006 Perth, 2007 Perth,  
165 and 2008 Perth, see Table 1), observed mean incidence at the disease assessment reported  
166 here was equal to one in all plots for two of the trials, and equal to one in seven out of eight  
167 of the trial plots for the third. Data from all these six trials are therefore unsuitable for use in a  
168 BPL analysis and have been excluded from further consideration in this context. On that  
169 basis, the BPL analysis reported here has been carried out using data from 20 out of the 26  
170 trials (see Table 1).

171 We motivate our application of BPL methodology by means of an illustration, built on  
172 the disease assessment shown in Figure 1. Each of the 12 plots in the trial consists of  $24 \times 24$   
173 quadrats (each  $0.5\text{m} \times 0.5\text{m}$ ), for which Figure 1 shows the observations of % disease  
174 severity (appropriately binned). As outlined above, these disease severity data were converted  
175 to disease incidence for further analysis.

176 Now consider a single plot. The following description adopts the notation of Madden  
177 *et al.* (2018). Suppose we merge groups of  $n = 4$  adjacent quadrats (in a  $2 \times 2$  arrangement);  
178 in such a group,  $X_i = 0, 1, 2, 3$  or  $4$  represents the number of diseased units (quadrats coded

179 “1”) and  $x_i (= X_i/n)$  is the proportion of diseased units on a 5-point scale between zero and  
 180 one. The entire plot comprises  $N = 144$  such groups. We can calculate the proportion of  
 181 diseased units in a plot (mean disease incidence) as

$$182 \quad \hat{p} = \frac{\sum X_i}{n \cdot N} = \frac{\sum x_i}{N} \quad (i = 0, 1, \dots, N). \quad (2)$$

183 where the notation indicates that mean disease incidence calculated at the plot scale is an  
 184 estimate of the probability of disease incidence at that scale,  $p$ . Then the plot-scale *observed*  
 185 *variance* of disease incidence is estimated as

$$186 \quad \hat{v} = \frac{\sum (x_i - \hat{p})^2}{N - 1}. \quad (3)$$

187 Suppose that the disease status of a group (of  $n = 4$  adjacent quadrats in a  $2 \times 2$  arrangement)  
 188 is independent of the disease status of other groups in the same plot; then  $x$  has a binomial  
 189 distribution with variance  $v_{bin} = p \cdot (1 - p) / n$ . Then the plot-scale *binomial variance* of  
 190 disease incidence is estimated as

$$191 \quad \hat{v}_{bin} = [\hat{p} \cdot (1 - \hat{p})] / n. \quad (4)$$

192 The binomial distribution is the random distribution for proportions, so for the purpose of an  
 193 analysis of spatial pattern, a comparison of  $\hat{v}$  and  $\hat{v}_{bin}$  is of interest. Aggregation (extra-  
 194 binomial variation, overdispersion) is indicated by  $\hat{v} > \hat{v}_{bin}$ .

195 Now, for the trial illustrated in Figure 1, there are 12 plots, each of which yields a  
 196 value of  $\hat{v}$  and  $\hat{v}_{bin}$ . The BPL can be characterized by  $v = A_p \cdot [p \cdot (1 - p) / n]^b$ , in which in  
 197 practice  $v$  and  $p$  are replaced by their estimates and the resulting graphical plot has  
 198 logarithmic scales on both axes (Hughes & Madden, 1992; Madden *et al.*, 2018). Then, as

199 illustrated in Figure 2A, there is typically a linear relationship from which the parameters  $b$   
200 (slope) and  $\ln(A_p)$  (intercept) may be estimated by linear regression based on

$$201 \quad \ln(\hat{v}) = \ln(A_p) + b \cdot \ln(\hat{v}_{bin}). \quad (5)$$

202 We have used natural (i.e. base  $e$ ) logs in BPL equations, although base 10 [i.e.  $\log_{10}(\bullet)$ ] or  
203 any other base can be used. The choice of log base has no effect on the slope parameter, but it  
204 does affect the intercept.

205 Figure 2 shows four different versions of the analysis described above, resulting from  
206 four different ways of internally dividing up each of the 12 plots in the trial. These are  
207 described by  $n$  (the number of adjacent quadrats merged to form a group, always in a square  
208 arrangement) and  $N$  (the number of groups, each of  $n$  quadrats), such that  $n \times N = 576$  (the  
209 total number of quadrats in a plot). Thus we have, in order of increasing group size, BPL  
210 analyses denoted: G4Q ( $n=4, N=144$ , groups are  $1\text{m} \times 1\text{m}$ ), G9Q ( $n=9, N=64, 1.5\text{m} \times 1.5\text{m}$ ),  
211 G16Q ( $n=16, N=36, 2\text{m} \times 2\text{m}$ ), G36Q ( $n=36, N=16, 3\text{m} \times 3\text{m}$ ) (Figure 2).

212 When  $b = 1$  and  $A_p = 1$  (i.e.  $\ln(A_p) = 0$ ), then the BPL reduces to  $\hat{v} = \hat{v}_{bin}$ ; that is, the  
213 observed variance equals the binomial variance. The “binomial line” representing this  
214 situation is shown in Figure 2 for reference. We now have a basis for interpreting graphical  
215 plots such as Figure 2 in relation to spatial randomness of disease incidence (and,  
216 particularly, deviations from spatial randomness in the direction of aggregation) for a single  
217 trial at the within-plot scale. Estimates of  $b = 1$  and  $A_p > 1$  (i.e.  $\ln(A_p) > 0$ ) are indicative of  
218 aggregation that does not depend on the level of disease incidence. Estimates of  $b > 1$  are  
219 indicative of aggregation that systematically varies with the level of disease incidence.  
220 Typically, most of the observed values are above the reference line. Sometimes observed  
221 values may be close to, or even below, the reference line when mean disease incidence is

222 close to 0 or 1 (i.e. towards the left end of the horizontal axis). At such values, the spatial  
 223 pattern of disease incidence is indistinguishable from random.

224 What we require now is a method of extending such analysis across the series of trials  
 225 available for spatial analysis (Table 1). At each of the four quadrat groupings (G4Q, G9Q,  
 226 G16Q and G36Q) a random coefficients model (Brown & Prescott, 2015) was fitted across  
 227 trials for the relationship (after natural log transformation) between the individual plot-level  
 228 observed variances and the corresponding theoretical variances based on the binomial  
 229 distribution. This assumes that for each trial that the intercept and slope of the relationship  
 230 follows a bivariate normal distribution. Thus the model takes into account not only the lack of  
 231 fit within individual trials but also that the relationship may vary from trial to trial. The  
 232 random coefficients model is written as

$$\left. \begin{aligned}
 & \ln(\hat{v}_{kl}) = \ln(A_p) + \ln(A_{p,k}) + (b + b_k) \cdot \ln(\hat{v}_{bin,kl}) + e_{kl} \\
 & \left( \begin{array}{c} A_{p,k} \\ b_k \end{array} \right) \sim N \left( \begin{array}{c} 0 \\ 0 \end{array} \right), \left( \begin{array}{cc} \sigma_{A_{p,k}}^2 & \sigma_{A_{p,k} b_k} \\ \sigma_{A_{p,k} b_k} & \sigma_{b_k}^2 \end{array} \right)
 \end{aligned} \right\} \quad (6)$$

234 where  $\ln(A_p)$  and  $b$  are the fixed effect population intercept and slope, while  $\ln(A_{p,k})$  and  $b_k$   
 235 are the corresponding intercept and slope random effects for the  $k^{\text{th}}$  trial. The trial-specific  
 236 intercepts and slopes respectively are derived by summing their population fixed effect and  
 237 trial-specific random effect.

238

## 239 Results

### 240 Statistical analysis of treatment effects

241 Based on the fitted GLMM, mean disease incidence was significantly different in the crops  
 242 grown from farm-saved seed compared with the crops grown from commercial seed ( $P =$

243 0.012) (see Table 2). The finding that *Rhynchosporium* leaf scald mean disease incidence  
244 was higher in the crops grown from farm-saved seed than in the crops grown from  
245 commercial seed (Table 2) is consistent with what might be expected for a disease caused by  
246 a pathogen for which seed-borne inoculum is an important component of the life-cycle, but  
247 we cannot attach too much agronomic significance to this result. There are no records of the  
248 initial level of seed infection for the two treatments, so in effect the result merely bolsters an  
249 assumption about the comparative levels of seed hygiene in farm-saved and commercial seed  
250 lots, rather than providing firm evidence.

251

## 252 Statistical analysis of spatial pattern

253 To facilitate illustration of the application of the BPL at four different quadrat groupings we  
254 first considered disease incidence data derived from the disease assessment shown in Figure  
255 1. Working at the plot scale, for each grouping, the natural logarithms of the observed and  
256 binomial variances were plotted against each other in Figure 2. The fitted relationships  
257 between log-transformed variances are shown along with a reference line representing the  
258 situation in which the observed variance equals the binomial variance. For the selected trial it  
259 is clear from slopes  $b > 1$  and intercepts  $\ln(A_p) > 0$  that aggregation varied with mean disease  
260 incidence (see Madden *et al.*, 2018). There was also an apparent relationship between the  
261 quadrat size and both the slope and intercept, both increasing as quadrat groupings became  
262 larger.

263 While Figure 2 serves to illustrate typical BPL relationships between observed and  
264 binomial variances, it does so only for a single trial. Applying the principle of estimating  
265 parameters of the BPL to the relationship between observed and binomial variances but now

266 considering all 20 trials available for analysis (see Table 1), the fitted random coefficients  
267 model (6) at each quadrat grouping gave estimates of the population averages (fixed effect  
268 estimates) of  $b$  and  $\ln(A_p)$ . These are shown in Table 3, from which a relationship between  
269 quadrat size and both the slope and intercept is again apparent. In all four cases it can be seen  
270 that the estimates of the slope parameter  $b$  were statistically significantly greater than 1. It is  
271 also evident that the parameter estimates for  $b$  increased as quadrat groupings increased in  
272 size.

273 For the four different quadrat groupings, each individual trial has its own estimated  
274 slopes and intercepts, which are a combination of the population average (fixed effects) and  
275 trial-specific deviations (random effects). Key aspects of the respective distributions of the  
276 trial-specific slopes and trial-specific intercepts are depicted graphically in Figure 3.

277

## 278 Discussion

279 Large-scale field experimentation of the kind on which the analysis reported here is based is  
280 highly resource intensive. It is therefore advantageous if the results of such experimentation  
281 can be applied to aspects of routine crop management that are themselves resource intensive,  
282 of which disease surveillance is a prime example. Looking again at Figure 1, it represents a  
283 disease assessment recorded by intensive mapping. All the *Rhynchosporium* leaf scald  
284 disease assessments that contributed to the analysis reported here were similarly recorded by  
285 intensive mapping. This is feasible for a research project, but not a practical proposition for  
286 disease assessments made in the context of crop protection decision making, where data will  
287 typically be collected by some kind of sparse sampling.

288 For the study reported here, *Rhynchosporium* leaf scald disease intensity was measured in  
289 terms of disease severity, but converted to disease incidence for the purpose of statistical  
290 analysis of treatment effects and of spatial pattern. As noted by Paul *et al.* (2005), lack of  
291 knowledge of the statistical distribution of disease severity as a continuous random variable  
292 limits its analytical usefulness, by comparison with disease incidence. The conversion from  
293 severity to incidence was carried out at the level of the individual units of disease assessment,  
294 so a relationship between mean severity and mean incidence (e.g. Seem (1984), McRoberts *et*  
295 *al.* (2003)) is not required here. In Germany, *Rhynchosporium* leaf scald intensity is  
296 measured as disease incidence in the context of crop protection decision making (Institut für  
297 Pflanzenschutz, 2018). In passing, we note that the GS31 (or near) disease assessments  
298 reported here show a wide range of disease, whether on a severity scale (Figure 1) or an  
299 incidence scale (Table 1). The implication is that GS31 assessments would likely be too late  
300 in the winter barley growing season for use in a decision process relating to management of  
301 *Rhynchosporium* leaf scald, at least for cultivars lacking good resistance.

302         Since it was introduced by Hughes & Madden (1992), the Binary Power Law has  
303 been used to characterize aggregation of disease incidence in a large number of pathosystems  
304 (Madden *et al.*, 2018), although not previously for *Rhynchosporium* leaf scald of barley.  
305 Typically, BPL analyses are illustrated by a graphical plot of the observed variance of disease  
306 incidence against the corresponding theoretical binomial variance, with logarithmic scales on  
307 both axes. Aggregation varying with mean disease incidence is indicated for slope  $b > 1$  and  
308 intercept  $\ln(A_p) > 0$ . Here, this is shown for a single trial in Figure 2, where there is a  
309 relationship between quadrat size and both the slope and intercept of the BPL regression.

310         When it comes to the experimental programme as a whole (comprising 20 trials  
311 available for spatial analysis), we used a random coefficients model to obtain an estimated  
312 BPL slope and intercept for each trial based on the sum of a population fixed effect and a

313 trial-specific random effect. To the best of our knowledge, the deployment of a random  
314 coefficients model in the context of a programme-wide BPL analysis is a novel  
315 phytopathological application. The results (summarized in Figure 3) further illustrate the  
316 apparent relationship between quadrat size and both the slope and intercept of the BPL  
317 regression, and thus, the correlation between  $b$  and  $\ln(A_p)$ .

318         The apparent relationship between quadrat size and both the slope and intercept of the  
319 BPL regression has also been noted in previous field studies (e.g. Bassanezi *et al.*, 2002;  
320 Dallot *et al.*, 2003; Humeau *et al.*, 2006; Batista *et al.*, 2008). Although these results are  
321 clear, field studies that provide a basis for characterizing observed patterns of disease do not  
322 necessarily elucidate the process(es) underlying those patterns. In this respect, a simulation  
323 study by Xu & Ridout (2000) is enlightening. The spatiotemporal spread of plant diseases  
324 was simulated using a stochastic model to study the effects of initial conditions (number of  
325 plants initially infected and their spatial pattern), spore dispersal gradient, and the dimensions  
326 of sampling quadrats, on spatial summary statistics (including BPL parameter estimates) for  
327 simulated epidemics. Such simulations show BPL parameter estimates increasing with  
328 quadrat size (see, for example, Figure 10 in Madden *et al.*, 2018). The effects of the size of  
329 the sampling unit  $n$  arise as a result of the relationship of the BPL parameters  $b$  and  $A_p$  to the  
330 index of dispersion and the intracluster correlation coefficient (measures of spatial  
331 aggregation for incidence data), as illustrated in Figure 4 of Madden *et al.* (2018).

332         The BPL analysis reported here contributes to the design of sampling in two ways.  
333 We consider the intensive mapping in terms of  $N$  groups of  $n$  sampling units (quadrats) each,  
334 and use this as a basis to estimate parameters of the BPL describing aggregation of disease  
335 incidence. Such analysis allows the specification of cluster sampling designs in which disease  
336 incidence may be estimated with a pre-specified level of precision (Madden & Hughes,  
337 1999a). Further, we have considered a range of sizes of sampling unit in our analysis, which



338 together constitute a spatial hierarchy. Such hierarchies have application in epidemiological  
339 research (e.g. Hughes *et al.*, 1997; Turechek & McRoberts, 2013; Madden *et al.*, 2018), and  
340 also in practical disease assessment for crop protection decision making, where hierarchical  
341 sampling of groups of sampling units saves on resources devoted to sampling while taking  
342 account of the spatial pattern of disease incidence (Madden & Hughes, 1999a;b; Arnold *et*  
343 *al.*, 2017). Thus we have an analytical basis for field sampling of barley crops for  
344 *Rhynchosporium* leaf scald disease incidence that can contribute to a process for disease  
345 management decision making.

346

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441

442 FIGURE LEGENDS

443

444 Figure 1 The diagram shows the outcome of the *Rhynchosporium* leaf scald disease  
445 assessment on 8 April for the 2005 Bush (2) trial (for further details see Table 1). The key  
446 indicates % disease severity in 0.5×0.5m quadrats. Graphic prepared by Alasdair Sykes.

447

448 Figure 2 Relationships between observed and binomial variances of disease incidence on  
449 natural logarithm axes (equation 1) at the plot scale, from the disease assessment on 8 April  
450 for the 2005 Bush (2) trial (see also Figure 1). Based on Equation 5, ordinary least squares  
451 (OLS) regression lines fitted to the data are shown (as solid lines) for four different quadrat  
452 groupings within plots: (a) G4Q (144 groups of 4 quadrats),  $\ln(A_p) = 1.233$  (SE = 0.312),  $b =$   
453  $1.177$  (0.0755); (b) G9Q (64 groups of 9 quadrats),  $\ln(A_p) = 1.687$  (0.456),  $b = 1.207$   
454  $(0.0927)$ ; (c) G16Q (36 groups of 16 quadrats),  $\ln(A_p) = 2.335$  (0.679),  $b = 1.278$  (0.124); and  
455 (d) G36Q (16 groups of 36 quadrats),  $\ln(A_p) = 3.457$  (0.991),  $b = 1.374$  (0.158). On each  
456 graph, the dashed line is the reference line with  $\ln(A_p) = 0$ ,  $b = 1$  which represents the  
457 situation in which the observed variance equals the binomial variance.

458

459

460 Figure 3 Boxplots summarizing (a) intercepts ( $\ln[A_p]$ ) and (b) slopes ( $b$ ) of binary power law  
461 (BPL) relationships between  $\ln(\text{observed variance})$  and  $\ln(\text{binomial variance})$  of disease  
462 incidence for 20 individual trials (see Table 1) calculated via random coefficients regression.

463 The solid horizontal line within a box represents the median value, and the top and bottom of  
464 a box represent the 75<sup>th</sup> percentile (Q3) and the 25<sup>th</sup> percentile (Q1), respectively. The upper  
465 vertical line from a box extends to the highest data value within  $Q3 + 1.5 \cdot (Q3 - Q1)$ , the

466 lower vertical line from a box extends to the lowest data value within  $Q1 - 1.5 \cdot (Q3 - Q1)$ ,  
467 and individual data values falling beyond the range delimited by the upper and lower vertical  
468 lines are indicated by filled circles. Results are shown for four different quadrat groupings  
469 within plots: G4Q (144 groups of 4 quadrats), G9Q (64 groups of 9 quadrats), G16Q (36  
470 groups of 16 quadrats) and G36Q (16 groups of 36 quadrats).  
471

Table 1 Winter barley experimental programme details.

Year	Centre (trial site)	Ordnance survey grid reference	Cultivar (resistance rating) <sup>a</sup>	Disease assessment date	Growth stage	Minimum recorded incidence <sup>b</sup>	Maximum recorded incidence <sup>c</sup>	Treatments analysis (● = yes) <sup>d</sup>	Spatial analysis (● = yes) <sup>e</sup>
2005	Bush (1)	NT 253 652	Sumo (5)	22-Mar <sup>f</sup>	24 – 29	0.0156	0.5278	●	●
2005	Bush (2) <sup>g</sup>	NT 246 650	Sumo (5)	08-Apr <sup>f</sup>	31	0.0035	0.9167	●	●
2005	Lockerbie	NY 115 806	Sumo (5)	13-Apr <sup>f</sup>	31	0.9965	1.0000	●	●
2005	Perth	NO 082 179	Sumo (5)	12-Apr <sup>f</sup>	31	0.8819	1.0000	●	●
2006	Aberdeen (1)	NJ 904 252	Sumo (5)	09-May <sup>h</sup>	31	0.2309	0.2674	X	●
2006	Aberdeen (2)	NJ 775 275	Sumo (5)	17-May <sup>h</sup>	32	0.0625	0.5816	X	●
2006	Bush (1)	NT 243 649	Sumo (5)	25-Apr <sup>h</sup>	31	0.9965	1.0000	●	●
2006	Bush (2)	NT 247 653	Sumo (5)	25-Apr <sup>h</sup>	31	0.0087	0.7951	●	●
2006	Lockerbie	NY 113 799	Sumo (5)	18-Apr <sup>f</sup>	26	0.0000	0.0000	●	X
2006	Perth	NO 055 235	Sumo (5)	24-Apr <sup>h</sup>	31	1.0000	1.0000	●	X
2007	Bush (1)	NT 250 659	Haka (5)	24-Apr	32	0.3986	0.9130	●	●
2007	Bush (2)	NT 251 660	Haka (5)	24-Apr	32	0.8021	0.9462	●	●
2007	Lanark	NS 907 384	Haka (5)	16-Apr	31	0.9844	1.0000	●	●
2007	Perth	NO 045 238	Haka (5)	12-Apr	31	1.0000	1.0000	●	X
2008	Aberdeen (1)	NJ 874 107	Haka (5)	06-Mar	26	0.7413	1.0000	●	●
2008	Aberdeen (2)	NJ 874 107	Haka (5)	06-Mar	26	0.8837	0.9965	●	●
2008	Bush (1)	NT 253 656	Haka (5)	23-Apr	30	0.0000	0.0139	●	●
2008	Bush (2)	NT 253 656	Haka (5)	01-May	31	0.9740	1.0000	●	●
2008	Lanark	NS 904 383	Haka (5)	22-Apr	29	0.9774	1.0000	●	●
2008	Perth	NO 048 235	Haka (5)	21-Apr	30	0.9983	1.0000	●	X
2009	Aberdeen (1)	NJ 874 107	Saffron (6)	11-May	31	0.0000	0.0000	●	X
2009	Aberdeen (2)	NJ 874 107	Saffron (6)	12-May	31	0.0000	0.0000	●	X
2009	Bush (1)	NT 246 650	Saffron (6)	30-Apr	30	0.0017	0.7205	●	●
2009	Bush (2)	NT 246 649	Saffron (6)	20-Apr	31	0.8941	0.9983	●	●
2009	Lanark	NS 906 381	Saffron (6)	15-Apr	26	0.0417	0.4983	●	●
2009	Dundee	NO 303 332	Saffron (6)	24-Apr	31	0.0035	0.0816	●	●



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<sup>a</sup> See the Recommended List archive at <https://cereals.ahdb.org.uk/varieties/ahdb-recommended-lists.aspx>. The varieties Sumo, Haka and Saffron were sown in 2005-2006, 2007-2008, and 2009, respectively. All three varieties had similar disease resistance ratings.

<sup>b</sup> Minimum mean disease incidence at the plot scale (based on the smallest number of quadrats coded “1” out of 576).

<sup>c</sup> Maximum mean disease incidence at the plot scale (based on the largest number of quadrats coded “1” out of 576).

<sup>d</sup> In this column, X indicates a trial in which only plots for the commercial seed (fungicide applied) treatment were assessed, and that fungicide had been applied prior to the disease assessment. These trials were excluded from the analysis of treatment effects.

<sup>e</sup> In this column, X indicates a trial in which the range of mean disease incidence at the plot scale was insufficient for spatial analysis.

<sup>f</sup> Trial in which the plots designated for the commercial seed (fungicide applied) treatment were fungicide treated after the date of the disease assessment. These plots were included in the analysis of treatment effects as extra replicates of the commercial seed (no fungicide applied) treatment.

<sup>g</sup> See Figure 1.

<sup>h</sup> Trial in which the plots designated for the commercial seed (fungicide applied) treatment were fungicide treated before the date of the disease assessment. These plots were excluded from the analysis of treatment effects.

Table 2 Comparison of treatment effects on disease incidence.

	Seed source	
	Commercial	Farm-saved
Mean (logit scale)	1.086	1.988
	SED <sup>a</sup> = 0.322	
Mean (incidence scale)	0.615	0.649
SEM <sup>b</sup>	0.0881	0.0840

<sup>a</sup> standard error of the difference, 17 d.f.

<sup>b</sup> standard error of the mean

Table 3 Binary power law coefficients from random coefficients model fitted across trials.

Quadrat grouping	Coefficient	
	Intercept	Slope
	Estimate (standard error)	Estimate (standard error)
G4Q	1.166 (0.102)	1.148 (0.016)
G9Q	1.818 (0.141)	1.215 (0.021)
G16Q	2.583 (0.213)	1.290 (0.028)
G36Q	3.433 (0.293)	1.350 (0.036)







