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Published in:
Environmental Science and Technology

DOI:
[10.1021/acs.est.8b03931](https://doi.org/10.1021/acs.est.8b03931)

Print publication: 06/11/2018

Document Version
Peer reviewed version

[Link to publication](#)

Citation for published version (APA):

Song, X., Liu, M., Ju, X., Gao, B., Su, F., Chen, X., & Rees, RM. (2018). Nitrous oxide emissions increase exponentially when optimum nitrogen fertilizer rates are exceeded in the North China plain. *Environmental Science and Technology*, 52(21), 12504 - 12513. <https://doi.org/10.1021/acs.est.8b03931>

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1 Manuscript submitted to *Environmental Science & Technology*

2 Type of contribution: research article

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4 **Nitrous oxide emissions increase exponentially when optimum nitrogen fertilizer rates**
5 **are exceeded in the North China plain**

6

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25 **Abstract**

26 The IPCC assume a linear relationship between nitrogen (N) application rate and nitrous
27 oxide (N₂O) emissions in inventory reporting, however, a growing number of research studies
28 show a nonlinear relationship under specific soil-climatic conditions. In the North China
29 plain, a global hotspot of N₂O emissions, covering a land as large as Germany, the correlation
30 between N rate and N₂O emissions remains unclear. We have therefore specifically
31 investigated the N₂O response to N applications by conducting field experiments with five N
32 rates, and high-frequency measurements of N₂O emissions across contrasting climatic years.
33 Our results showed that cumulative and yield-scaled N₂O emissions both increased
34 exponentially as N applications were raised above the optimum rate in maize (*Zea mays* L.).
35 In wheat (*Triticum aestivum* L.) there was a corresponding quadratic increase in N₂O
36 emissions with the magnitude of the response in 2012-13 distinctly larger than that in 2013-14
37 owing to the effects of extreme snowfall. Existing empirical models (including the IPCC
38 approach) of the N₂O response to N rate have overestimated N₂O emissions in the North
39 China plain, even at high rates of N use. Our study therefore provides a new and robust
40 analysis of the effects of fertilizer rate and climatic conditions on N₂O emissions.

41

42 **Keywords:** N₂O emission, optimum N fertilizer rate, wheat-maize double cropping system,
43 extreme weather event, freeze-thaw cycle, the North China plain

44 **Introduction**

45 Nitrous oxide (N₂O) is a long-lived potent greenhouse gas with a global warming potential
46 265 times greater than carbon dioxide (CO₂) over a 100-yr time scale and is the most
47 significant ozone (O₃)-depleting substance in the atmosphere.¹⁻³ Anthropogenic emissions
48 have led to a 20% increase in the level of N₂O in atmosphere since pre-industrial periods.⁴
49 Agriculture is currently the largest anthropogenic source of N₂O accounting for two-thirds of
50 the total anthropogenic emissions, which are mainly a consequence of the use of synthetic N
51 fertilizer and animal manure.⁴

52 The application of synthetic nitrogen (N) fertilizer is necessary to achieve the high levels of
53 crop production that are required to feed a large and increasingly affluent global population.⁴⁻⁵
54 China consumes about 30% of global N fertilizer,⁶ and was responsible for 28% of the
55 world's synthetic fertilizer induced N₂O emissions in 2015.⁷ Between 2000-2007, synthetic N
56 fertilizer use produced 77% of the total direct N₂O emissions from Chinese agricultural soils.⁸
57 Overuse of N fertilizers is the main factor causing high levels of N₂O production and other
58 reactive N losses to environment in China.⁹⁻¹⁰

59 The amount of N fertilizer applied to crops is the most significant single predictor of N₂O
60 emissions and changing fertilizer application rates therefore provides an effective means for
61 decreasing emissions without disrupting crop rotations or local farming practices.¹¹⁻¹³
62 However, relationships between N rates and N₂O emissions have not been characterized in a
63 consistent way by previous studies. In estimating N₂O emissions induced by N fertilization,
64 the IPCC uses empirical relationships between N₂O emissions and N inputs with a default
65 Tier 1 emission factor of 1% (and an uncertainty of 0.3-3%). This approach ignores spatial
66 and temporal variabilities resulting from soil type, climate, crop and management, i.e. 1% of
67 the applied N emitted as N₂O from all drained agricultural soils.¹⁴ This emission factor was
68 developed from a large and variable global dataset, and thus couldn't reflect the heterogeneity
69 of local conditions. In addition, a single emission factor implies a linear relationship between
70 N₂O emissions and N rates ignoring the sink capacity of the crop and soil. Lately, this
71 approach has been challenged by the availability of high frequency and more precise field

72 observations.¹⁵⁻¹⁹ A meta-analysis of global data compiled of 78 publications covering 233
73 site-years that had used more than two N rates and a zero N treatment found there was an
74 exponential increase in N₂O emissions as the N rate exceeded crop demand.²⁰ A study of corn
75 with six rates of N fertilizer (0-225 kg N ha⁻¹ season⁻¹) at five farm sites in Michigan, USA
76 over two years, also found overall exponential responses of N₂O emissions to N rates at a
77 given site across different years.²¹ Numerous field measurements in the North China plain
78 have shown N₂O emission factors were generally in the range 0.08-0.21% for wheat (*Triticum*
79 *aestivum* L.), 0.44-0.59% for maize (*Zea mays* L.) and 0.10-0.59% for wheat-maize cycle,
80 which were all well below the default IPCC emission factor (1%).^{9,22-26}

81 Relationships between N₂O emissions and N rates established by direct N₂O measurements
82 under specific soil-climate conditions, cropping systems and agronomic management are
83 crucial for making more accurate national inventory assessments and developing more
84 targeted mitigation measures. It is particularly important in China given its wide spatial
85 variation of N fertilizer use, crop types and soil-climatic conditions across the arable sector
86 which contribute to the development of hotspots of N₂O emissions such as the North China
87 plain.²⁷⁻²⁸

88 As a major cereal producing area in China, the North China plain covers 300,000 km²
89 which is almost equivalent to the land area of Germany (350,000 km²), and more than 70% of
90 its cropland is over-fertilized with synthetic N fertilizer inputs of up to 550-600 kg N ha⁻¹ yr⁻¹
91 for the intensive wheat-maize double cropping systems,^{9,29} which leads to N₂O emissions in
92 this region that are higher than those in other regions in China.^{8-9,27-28,30-31}

93 Nevertheless, the relationships between N rates and N₂O emissions in the North China
94 plain remain unclear. A meta-analysis of direct N₂O measurements in this region has shown
95 an exponential relationship between emissions and N applications to maize and wheat,³² while
96 two field experiments recently reported linear relationships for these crops.^{26,33} However,
97 neither of these studies focused specially on relationships between N rates and N₂O
98 emissions, but instead looked wider effects of other soil and management variables on
99 emissions. Hence, there is a requirement for studies that focus on the response of N₂O

100 emissions to N rates to establish reliable models for estimating the total N₂O emissions in the
101 North China plain.

102 The objectives of present study were: (1) to obtain high-frequency field measurements of
103 N₂O fluxes in response to N rates across diverse climatic years by controlling other soil and
104 management variables; (2) to determine the response of cumulative or yield-scaled N₂O
105 emissions to N rate in wheat, maize and annual wheat-maize cycle; (3) to compare the N₂O
106 response model in this study with models at other sites in the North China plain and at the
107 global scale.

108

109 **Materials and Methods**

110 *Experiment site and design*

111 The study site was located at the Quzhou research station (36.87°N, 115.02°E) of the China
112 Agricultural University in Hebei province, which represents typical soil-climatic conditions
113 and crop management practices of the North China plain,³⁴⁻³⁶ as shown in S1.1 of [Supporting
114 Information \(SI\)](#). This study was based on a long-term field experiment established in October
115 2007, which was designed to assess the optimum N rate for relatively high target crop yields
116 whilst concomitantly minimizing environmental risks by monitoring crop N demand and soil
117 N supply (nitrate-NO₃⁻ content in root zone) at key growth stages. It included five N fertilizer
118 rates: (1) CK, no fertilizer as a control; (2) Opt.*0.7, 70% of optimum N fertilizer rate; (3)
119 Opt., optimum N fertilizer rate determined by the real-time NO₃⁻ monitoring method; (4)
120 Opt.*1.3, 130% of optimum N fertilizer rate; (5) Con., local conventional N rate.

121 The optimum N rate was determined by in-season root zone N management³⁷⁻³⁹ which
122 subtracted soil NO₃⁻-N content in the root zone from target N values at key growth periods.
123 Winter wheat was divided into two periods, i.e. from sowing to stem elongation and from
124 stem elongation to harvest. Root zone depths for these two periods were identified as 0-60 cm
125 and 0-90 cm, respectively. Summer maize was separated into three periods, i.e. from sowing
126 to the six-leaf stage, from the six-leaf stage to the ten-leaf stage and from the ten-leaf stage to
127 harvest. Root zone depths in these three periods were 0-30, 0-60 and 0-90 cm. The target N

128 value was calculated as the sum of N uptake by shoots and roots in each growing period for a
129 target grain yield and N content. Conventional farming N rates were 250 kg N ha⁻¹ for maize
130 (100 kg N ha⁻¹ applied at three-leaf stage and 150 kg N ha⁻¹ applied at ten-leaf stage) and 300
131 kg N ha⁻¹ for wheat (150 kg N ha⁻¹ before sowing and 150 kg N ha⁻¹ at the stem elongation
132 stage).⁴⁰⁻⁴¹

133 We carried out our study with these five N rates ranging from 0 to 550 kg N ha⁻¹ yr⁻¹ over
134 two wheat-maize cycles from June 2012 to June 2014, and measured N₂O emissions, soil
135 temperature, moisture, mineral N content, crop yield and above-ground N uptake. Each
136 treatment was replicated four times in a randomized block arrangement with an area of 300
137 m² (20 m*15 m) per plot. Urea was used as the N source as it is the main N fertilizer used by
138 farmers in the North China plain.⁶ See field and crop managements in S1.2 of SI.

139

140 *Measurement of N₂O emission*

141 Gas sampling took place over the two rotation cycles from June 2012 to June 2014, using the
142 closed static chamber method (See S1.3 in SI).⁴²⁻⁴³ Gas samples were collected between 8:30
143 and 11:30 in the morning of each sampling day. Four 20 ml headspace samples were taken
144 using a 50 ml plastic gas tight syringe at 0, 15, 30, and 45 min after chamber closure. The
145 syringes were flushed with chamber air three times prior to the samples being taken. N₂O
146 concentrations were analyzed by a gas chromatograph (Shimadzu GC-14B, Kyoto, Japan)
147 equipped with an electronic capture detector (ECD) within 24 h after sampling. We injected
148 10 ml gas samples into the GC. High-purity dinitrogen (N₂) (99.999%) was used as the carrier
149 gas for N₂O analysis and 10% CO₂ in pure N₂ was used as a buffering gas for the ECD. Two
150 filter columns of 2 mm inner diameter, filled with Porapak (80/100 mesh), were used to
151 separate N₂O from oxygen (O₂) and water vapour for the ECD. The detection limit of N₂O
152 emission was 2 µg N m⁻² h⁻¹.^{26,44-45} We used known concentrations of mixed gas (0.333 ppm
153 N₂O in pure N₂) to calibrate the gas samples during each measurement cycle.^{26,33,44}

154 Gas sampling was undertaken daily for 10 days after fertilization and 3 days after irrigation
155 or rainfall (>20 mm). For the remaining periods, gas was sampled every 4 days, except in
156 winter when the gas was sampled weekly.

157

158 *Measurements of auxiliary parameters*

159 Soil samples for measurements of water-filled pore space (WFPS) and mineral N
160 (ammonium-NH₄⁺, NO₃⁻) were taken at 1, 3, 5, 7, 9 and 11 days after fertilization, 1 and 3
161 days after irrigation or rainfall (> 20 mm). For the remaining periods, soil was sampled every
162 2 gas samplings, and each soil sampling was accompanied by a N₂O measurement. Soil
163 WFPS was measured by the oven-drying method. Soil mineral N was extracted by 0.01 mol
164 L⁻¹ calcium chloride (CaCl₂) solution and analyzed by an automated NH₄⁺ and NO₃⁻ analyzer
165 (See S1.4 in SI). Climate data including air temperature and precipitation were provided by
166 the weather station at this study site. Grain yield and above-ground N uptake in 2012-13 and
167 2013-14 wheat were reported by Lu et al.⁴⁶ Corresponding data in 2012 and 2013 maize were
168 recorded from Yan (See S1.5 in SI).⁴⁰ The N surplus in our study was defined as the sum of N
169 fertilization, N deposition and biological N fixation minus above-ground N uptake. N
170 deposition in Quzhou was 63 kg N ha⁻¹.⁴⁷ Biological N fixation was assumed to be 5 kg N ha⁻¹
171 season⁻¹.⁴⁸

172

173 *Calculations of N₂O emission*

174 N₂O flux was calculated as follows:

$$F = k_1 \times \frac{P_0}{P} \times \frac{273}{273 + T} \times \frac{M}{V} \times H \times \frac{dc}{dt} \quad (1)$$

175 where F (μg N₂O-N m⁻² h⁻¹) is the flux, k₁ (0.001) is a coefficient for unit conversion, P₀
176 (hPa) is atmospheric pressure in the chamber, P (1013 hPa) is standard atmospheric pressure
177 at this site, T (°C) is mean air temperature in the chamber, M (28 g N₂O-N mol⁻¹ N₂O) is the
178 molecular weight of N₂, V (22.4 L mol⁻¹) is the mole volume at 273 K and 1013 hPa, H (m)
179 is the chamber height, c (μL L⁻¹) is the concentration of N₂O as volume mixing ratio, t (h) is

180 the time of chamber closure, dc/dt ($\mu\text{L L}^{-1} \text{h}^{-1}$) is the rate of change in N_2O concentration
181 after chamber closure. Here dc/dt was given by a linear or exponential regression model. The
182 result was accepted when it was significant at $P < 0.05$.⁴⁹ If linear and exponential regression
183 models were both significant, we chose the exponential model when R^2 was higher than that
184 of the linear model.^{26,49}

185 A linear interpolation method was used to estimate N_2O emission on non-sampling days
186 between every two gas samplings and further determine cumulative N_2O emission for a
187 growth season or a rotation cycle through the summation of daily emissions.⁵⁰⁻⁵¹ The period
188 from sowing to next crop sowing was used as the duration for calculating seasonal cumulative
189 emissions and emission factors of each crop, for two maize seasons from 18th June to 6th
190 October and for two wheat seasons from 8th October to next 15th June.

191 Yield-scaled N_2O emissions have been proposed as an indicator that is able to capture both
192 crop productivity and environmental costs when assessing optimal N rate.⁵² In our study,
193 yield-scaled N_2O emissions were determined as follows:

$$\text{N}_2\text{O}_{\text{yield-scaled}} (\text{g N}_2\text{O-N kg}^{-1} \text{ grain}) = \frac{\text{cumulative N}_2\text{O emission (kg N ha}^{-1}\text{)}}{\text{grain yield (t ha}^{-1}\text{)}} \quad (2)$$

194 The direct N_2O emission factor for fertilizer N was calculated by following equation:

$$\text{EF}_{\text{N}_2\text{O}} = \frac{\text{N}_2\text{O}_{\text{fer}} - \text{N}_2\text{O}_{\text{ck}}}{\text{N}_{\text{fer}}} \times 100 \quad (3)$$

196 where $\text{EF}_{\text{N}_2\text{O}}$ (%) is direct N_2O emission factor, $\text{N}_2\text{O}_{\text{fer}}$ and $\text{N}_2\text{O}_{\text{ck}}$ are cumulative N_2O
197 emissions ($\text{kg N}_2\text{O-N ha}^{-1}$) from N fertilized treatments and the no fertilizer treatment
198 accordingly, N_{fer} is the rate of N applied to soil (kg N ha^{-1}).

199

200 *Statistical analysis*

201 We performed linear, quadratic and exponential curve fittings to simulate the response of N_2O
202 emission to N rates, then used the coefficient of determination (R^2) and variance (SST-sum of
203 squares for total, SSR-sum of squares for regression, SSE-sum of squares for error) to
204 evaluate their confidence. We firstly selected the function with the highest R^2 value at $P <$

205 0.05 for least significant differences (LSD), and when R^2 values were same among these
206 fittings, a lower SSE value (meaning a lower systematic error) was used as the best N_2O
207 responding function. Differences in cumulative N_2O emission, yield-scaled N_2O emission and
208 grain yield among all treatments were analyzed by a One-way Anova procedure for LSD at P
209 < 0.05 . Statistical analyses were undertaken using IBM SPSS Statistics 21 (SPSS Inc.,
210 Chicago, IL, USA) and SigmaPlot 12.5 (Systat Software Inc., Erkrath, Germany).

211

212 **Results**

213 *Overall climate conditions in the two years measurement years*

214 There was a particularly cold winter in 2012-13. Compared to the long-term average annual
215 air temperature (13.2 °C) and precipitation (473 mm), 2012-13 was both colder and wetter
216 with an average temperature of 12.7 °C and precipitation of 581 mm. By contrast, the 2013-14
217 cycle had a slightly higher temperature of 14.6 °C and more normal precipitation of 470 mm
218 (Figures 1 (a) and S7 (a)). Warm and wet conditions were concentrated in the maize season
219 (June-September) with a mean air temperature of 23.3 °C and precipitation of 430 mm in the
220 2012 maize, and correspondingly 25.7 °C and 369 mm in the 2013 maize. However, a large
221 variation occurred between the two wheat seasons. In the 2012-13 wheat, there was an
222 extreme snowfall event with 65.8 mm precipitation and minimum air temperature of -10 °C,
223 leading to a lower mean air temperature (7.1 °C) and above average precipitation (151 mm) in
224 comparison with 7.4 °C and 101 mm in the normal 2013-14 wheat. There were also significant
225 freeze-thaw cycles in the winter period (December-February) of the 2012-13 wheat.

226

227 *N_2O emission responding to soil temperature, moisture and mineral N*

228 Large emission peaks ($> 500 \mu\text{g } N_2O\text{-N m}^{-2} \text{ h}^{-1}$) consistently occurred in the N treatments
229 within one week after fertilization following rainfall or irrigation in the maize seasons. There
230 was also a short flush of N_2O resulting from the drying-wetting cycle induced by an irrigation
231 of 90 mm after sowing maize in June 2012 (Figure 1 (b)). The conventional treatment was

232 associated with the highest N₂O emissions ranging from 1200 to 3400 μg N₂O-N m⁻² h⁻¹, and
233 the largest N₂O flux (3400 μg N₂O-N m⁻² h⁻¹) was observed in the coupled fertilization,
234 irrigation and deep plough after planting maize in June 2013. These large N₂O emissions
235 occurred under hot and wet conditions when the soil WFPS ranged from 60% to 80%, and the
236 soil temperature at 5 cm depth was between 20-30 °C (Figures 1 (a) and S1 (a)), Within these
237 ranges of soil moisture and temperature, the magnitude of daily N₂O fluxes corresponded
238 well with rates of N application with highest emissions of 100, 200 and 250 g N₂O-N ha⁻¹ day⁻¹
239 in Opt., Opt.*1.3, and Con. treatment, respectively (Figure S2). Two N₂O peaks lower than
240 500 μg N m⁻² h⁻¹ occurred after fertilization in the maize seasons when emissions were
241 restricted by dry soil conditions (40% WFPS) in July 2012 and by low topdressing N rates
242 (20-39 kg N ha⁻¹ for Opt.*0.7, Opt. and Opt.*1.3 treatments) in August 2013 (Table 1).

243 In the wheat seasons, due to the limitation of soil moisture (15-40% WFPS) and
244 temperature (average around 7 °C), N₂O peaks were all below 200 μg N₂O-N m⁻² h⁻¹ even with
245 high soil NH₄⁺ or NO₃⁻ concentrations following each fertilization. However, there was a peak
246 in N₂O emissions ranging from 200 to 500 μg N₂O-N m⁻² h⁻¹ and lasting over one month
247 under the freeze-thaw cycles following the extreme snowfall in the 2012-13 wheat.

248 Soil NH₄⁺ and NO₃⁻ contents of the conventional treatment were the highest reaching 20-60
249 and 50-200 mg N kg⁻¹, respectively, after fertilizations in the two maize seasons and once in
250 2013-14 in the wheat season (Figure S1 (b)-(c)). An irrigation event in December 2012 gave
251 rise to a small pulse of NH₄⁺ and NO₃⁻ by alternate drying and wetting. During the two wheat-
252 maize cycles, N₂O emissions and soil mineral N contents in the control treatment remained at
253 very low levels, below 70 μg N₂O-N m⁻² h⁻¹ and 20 mg N kg⁻¹, respectively.

254 Changes in soil mineral N content were not always synchronized with N₂O fluxes although
255 they were proportional to increases in N rates following a quadratic response curve. The
256 cumulative N₂O emissions also showed a quadratic response with rising mineral N contents in
257 both wheat, maize and the annual wheat-maize cycle (Figure S3).

258

259 *Cumulative and yield-scaled N₂O emissions under increasing N rates*

260 Cumulative and yield-scaled N₂O emissions both increased stepwise as N rates increased, and
261 showed remarkable interannual variation due to high N₂O emissions following the extreme
262 snowfall in 2012-13 wheat (Figure S4 (c)-(f)) (See grain yields in S2.1 of SI). Cumulative
263 N₂O emissions from N fertilized treatments ranged from 1.3 to 3.7 kg N₂O-N ha⁻¹ in maize in
264 both years, but they were between 2.2 and 4.3 kg N₂O-N ha⁻¹ in 2012-13 wheat and 7 times
265 higher than that in 2013-14 wheat ranging from 0.3 to 0.6 kg N₂O-N ha⁻¹ in the N fertilized
266 treatments. The optimum N rate had significantly lower N₂O emissions when compared with
267 the conventional rate by 41-59% and 33-38% in maize and wheat ($P < 0.05$), respectively, but
268 achieved similar crop yields. Meanwhile, it didn't significantly increase N₂O emissions
269 compared with the 70% of Optimum treatment ($P < 0.05$) except in the 2012-13 wheat.

270 Yield-scaled N₂O emissions from N fertilized treatments ranged from 0.15-0.38 g N₂O-N
271 kg⁻¹ grain of maize in both two years (Figure S4 (e)-(f)), but they amounted to 0.30-0.50 g
272 N₂O-N kg⁻¹ grain in the extreme 2012-13 wheat and were over 6 times higher than that in the
273 normal 2013-14 wheat (0.05-0.08 g N₂O-N kg⁻¹ grain). Consequently, annual yield-scaled
274 N₂O emissions in 2012-13 (0.22-0.42 g N₂O-N kg⁻¹ grain) were nearly 2 times higher than
275 those in 2013-14 (0.10-0.24 g N₂O-N kg⁻¹ grain). The Optimum treatment significantly
276 decreased yield-scaled N₂O emissions from the Conventional treatment by 42-61% and 31-
277 38% in maize and wheat ($P < 0.05$), respectively. Notably, yield-scaled N₂O emissions from
278 the no N fertilizer treatment were larger than all fertilized treatments in 2013-14 wheat, which
279 was caused by low grain yield.

280 On an annual basis, compared to the conventional N rate (550 kg N ha⁻¹ yr⁻¹), the optimum
281 N rate (360 kg N ha⁻¹ yr⁻¹) decreased cumulative and yield-scaled N₂O emissions by 35% (4.9
282 vs. 7.6 kg N₂O-N ha⁻¹) and 37% (0.26 vs. 0.41 g N₂O-N kg⁻¹ grain), while maintaining crop
283 yields (18.5 vs. 18.4 t ha⁻¹) in the extreme weather of 2012-13. During the more normal year
284 of 2013-14, cumulative and yield-scaled N₂O emissions were lowered by 57% (1.8 vs. 4.2 kg
285 N₂O-N ha⁻¹) and 54% (0.11 vs. 0.24 g N₂O-N kg⁻¹ grain) but yields were relatively constant
286 (17.5 vs. 17.4 t ha⁻¹). In the treatment receiving 70% of the optimum N rate (240-252 kg N ha⁻¹

287 ¹ yr⁻¹ of Opt.*0.7), there was a significant drop in crop yield (17.1 t ha⁻¹ in 2012-13 and 15.7 t
288 ha⁻¹ in 2013-14), however, it didn't significantly decrease yield-scaled N₂O emission (0.21
289 and 0.10 g N₂O-N kg⁻¹ grain in 2012-13 and 2013-14, respectively). As for the elevated
290 optimum N rate (445-469 kg N ha⁻¹ yr⁻¹ of Opt.*1.3), it resulted in a rise of yield-scaled N₂O
291 emission (0.31 and 0.17 g N₂O-N kg⁻¹ grain in 2012-13 and 2013-14, respectively), without
292 significantly increasing crop yield (18.2 t ha⁻¹ in 2012-13 and 17.6 t ha⁻¹ in 2013-14).
293 Therefore, the optimum N rate could achieve the high targeted yield but with lower
294 cumulative and yield-scaled N₂O emissions.

295 In conclusion, our results have demonstrated that applying the optimum N rate to wheat-
296 maize systems in the North China plain could allow conventional N applications to be
297 reduced by 37% (350 vs. 550 kg N ha⁻¹ yr⁻¹), leading to a reduction in cumulative N₂O
298 emissions of 42% (3.5 vs. 6.0 kg N₂O-N ha⁻¹) and reduction in yield-scaled N₂O emissions of
299 44% (0.18 vs. 0.32 g N₂O-N kg⁻¹ grain) while maintaining crop yield (18 t ha⁻¹) and achieving
300 a slightly positive N surplus (18-37 kg N ha⁻¹ yr⁻¹). Regarding the other two adjusted optimum
301 N levels, the decrease of N input (246 kg N ha⁻¹ yr⁻¹ for Opt.*0.7) was accompanied by a
302 significant drop in crop yield rather than N₂O emissions, and the increase of applied N (457
303 kg N ha⁻¹ yr⁻¹ for Opt.*1.3) resulted in a rise in N₂O emissions rather than crop yield.

304

305 *The relationship between N₂O emissions and N rates*

306 We explored linear, quadratic and exponential models of the relationship between N₂O
307 emissions and N rates and analyzed their R² and variance to determine the best model of
308 cumulative and yield-scaled N₂O emission to N rate. Since N₂O emission patterns for the two
309 wheat seasons varied, we developed N₂O emission models for each wheat season and each
310 annual rotation cycle. Because N₂O emission patterns for the two maize seasons were broadly
311 similar, we combined the data to develop the general N₂O emission models for maize (Figure
312 2). The exponential model had the highest R² and lowest SSE for maize but in each wheat
313 season, the quadratic model provided a better fit (Table S1 and S2).

314 For the maize season and on an annual basis, cumulative and yield-scaled N₂O emissions
315 both increased exponentially as N rate increased, especially at N rates exceeding the optimum
316 (Figure 2). The N₂O emissions from the conventional N rate were more than double the
317 emission from optimum rate. In the wheat seasons, N₂O emissions increased quadratically
318 with rising N rates, but the magnitude and strength of the response in the extreme 2012-13
319 wheat season was distinctly larger than that in the normal 2013-14 season owing to the effects
320 of freeze-thaw cycles. Thus, the slow increases in emissions in response to increasing N rates
321 in 2013-14 was more typical for wheat seasons in the North China plain (see more in
322 discussion section). N₂O emission factors under increasing N rates are shown in S2.2 of SI.

323 We explored further the responses of crop yield, above-ground N uptake and N₂O
324 emissions together to N rate (Figure S5; See discussion in S3.1 of SI) and the correlations
325 between N₂O emission and N surplus (Figure S6). There was a quadratic relationship between
326 crop yield or above-ground N uptake and increasing N rates both in the extreme snowfall year
327 and the normal year. The yield and N uptake reached close to the maximum at the optimum N
328 rate and showed a decrease gradually above that, but N₂O emissions increased exponentially
329 when the optimum rate was exceeded.

330 N₂O emissions increased nonlinearly (in both quadratic and exponential patterns) as the N
331 surplus rose from -50 to 220 kg N ha⁻¹ yr⁻¹ (Figure S6). Where the N surplus was lower than
332 zero, both cumulative and yield-scaled N₂O emissions remained stable and relatively low. The
333 optimum N rate had a slightly positive N surplus of 37 and 18 kg N ha⁻¹ yr⁻¹ in the extreme
334 snowfall and normal year, respectively, but did not significantly increase the cumulative and
335 yield-scaled N₂O emissions. However, the N surplus at the conventional N rate ranged from
336 210 to 220 kg N ha⁻¹ yr⁻¹ and resulted in exceptionally high cumulative and yield-scaled N₂O
337 emissions.

338

339 **Discussion**

340 *Comparison of modelling approaches*

341 This study provides clear evidence of an exponential rise in N₂O emissions at N fertilizer rates
342 higher than the optimum level, which means that N₂O emissions per unit input of N fertilizer
343 also became larger. Previous studies with gradients of N addition to maize in Michigan USA
344 indicated that N₂O fluxes mainly responded to N additions that exceeded the crop demand,
345 and also found an exponential response of N₂O emissions to N rates, in which N₂O fluxes
346 were significantly increased by 43-115% after fertilization above the recommended N rate
347 (135 kg N ha⁻¹).^{15,21} More discussion of mechanisms underlying the exponential response of
348 N₂O emissions to N rates is provided in S3.2 of [SI](#).

349 The Global N₂O response model for upland grain crops and IPCC's emission factor model
350 both overestimated the N₂O emissions, even at excessive N rates in both maize and wheat
351 ([Figure 3 and Table S4](#)). This contradicts previous studies that have reported underestimation
352 of N₂O emissions at high N application rates.²⁰⁻²¹ With conventional N application rates (250-
353 300 kg N ha⁻¹ season⁻¹), the two global models calculated N₂O emissions to be close to our
354 model in maize but overestimated N₂O emissions by 6-8 times (0.6 vs. 4.0-4.8 kg N₂O-N ha⁻¹)
355 in wheat in the normal year. In the optimum N range (150-180 kg N ha⁻¹ season⁻¹) ([Figure](#)
356 [3](#)),^{9,44,53} N₂O emissions were overestimated by 60% (1.7 vs. 2.6-2.8 kg N₂O-N ha⁻¹) in maize
357 and by 7 times (0.4 vs. 2.6-2.8 kg N₂O-N ha⁻¹) in wheat of the normal year. Nevertheless, the
358 two global models both gave realistic estimations of the peak of N₂O emissions from wheat in
359 the extreme snowfall year.

360 This overestimation occurred mainly because the global models used a statistical
361 description of previous high emission data mostly measured in Europe or North
362 America,^{12,20,54-56} but were not representative of the relatively low N₂O emissions observed in
363 the North China plain. Many recent studies have shown that N₂O is mainly produced through
364 nitrification with little denitrification due to the low carbon calcareous soils and lack of
365 moisture that is prevalent in the North China plain and the Mediterranean regions.^{22,57-60} In our
366 study, the N₂O emission peaked and soil NO₃⁻ concentration increased after NH₄⁺-based
367 fertilizer (urea) application. During this period nitrification would have predominated driven
368 by the high pH soil, and moisture contents around 60%-80% of soil WFPS in the whole maize

369 season and April in wheat season, which was consistent with previously results that
370 demonstrated that nitrification and nitrifier denitrification were the major source processes.
371 However, N₂O emissions after fertilization in wheat was sow at the beginning of October
372 were lower than in the maize season mainly because of limited soil moisture (around 40-50%
373 of WFPS^{22,57}). This contrasts with some regions that are dominated by denitrification (e.g. UK
374 and Germany) with a higher ratio of N₂O to N₂O+N₂.^{22,61-62} Overestimation of N₂O emissions
375 by IPCC modelling was also reported in a well-managed, high input, high yielding irrigated
376 maize system in Nebraska, USA, in which the global warming potential was estimated to be
377 28% higher than that based on the exponential model proposed by Van Groenigen et al.^{52,63}

378 The statistical model using a meta-analysis of data from the North China plain,⁶⁴ predicted
379 slightly higher N₂O emissions than our model (difference within 0.5 kg N₂O-N ha⁻¹) at an N
380 rate of up to 220 kg N ha⁻¹ in maize, but above this, our predictions were higher than that of
381 the statistical model. The difference between two models could be attributed to the large and
382 varied dataset, which included not only the N rate, but also soil and climatic variables from
383 the different sites. N₂O emissions calculated by the models on a site-specific basis, but over
384 multiple-years of field measurements in the North China plain were similar to the values
385 calculated by our model below the optimum N rate.^{26,33} But they significantly underestimated
386 N₂O emissions when N rates exceeded the optimum level in maize, and at the conventional N
387 rate, they underestimated emissions by more than 50% (1.5-1.8 vs. 3.6 kg N₂O-N ha⁻¹). This
388 underestimation was probably a consequence of changes in other management factors (e.g.
389 straw return; alternative cropping and the rotation system) significantly decreasing N₂O
390 emissions at high N rates. Nevertheless, the estimations of N₂O emissions in wheat were
391 consistent among all these models with the relatively low values in a normal year, but large
392 discrepancies in the extreme winter year.

393

394 *N₂O emissions induced by freeze-thaw cycles*

395 During the winter period (December-February) in the extreme snowfall year (Figure S7; See
396 S3.3 in SI), cumulative N₂O emissions from N fertilized treatments were 1.7-3.3 kg N₂O-N

397 ha⁻¹ which accounted for 30-48% of the annual N₂O emission. However, in the normal year,
398 they were only 0.10-0.15 kg N₂O-N ha⁻¹ and accounted for 3-6% of the annual N₂O emission,
399 which was more typical of the North China plain (Figures 1 (b) and S4 (c)-(d)). Freeze-thaw
400 cycles were important factors in driving the peaks in N₂O emissions, which were mostly
401 attributed to the newly produced N₂O by microbial processes in the surface layer rather than
402 the release of N₂O trapped in the deep unfrozen layer (See S3.4 in SI).⁶⁵ Although the extreme
403 winter significantly increased N₂O emissions, it didn't reduce crop yields or above-ground N
404 uptake in this wheat-maize cycle (Figures S4 (a)-(b), S5 (b)-(e)).

405 Extreme weather events including intense snowfall in winter and heavy rainfall in summer
406 have increased across China over the last 50 years, with large geographical variations.⁶⁶⁻⁶⁸ The
407 impacts of extreme weather events on regional N₂O emissions need to be considered in the
408 context of global climate change, since intense snowfall can give rise to so large increases in
409 N₂O over short time periods as a consequence of freeze thaw cycles, and heavy rainfall can
410 contribute to significant peaks of N₂O emission due to high NO₃⁻ accumulation in the soil
411 profile which is widespread in the North China plain.^{22,69-70} Because we measured N₂O
412 emissions over two years covering an extreme winter and a normal year, our results were
413 representative in this cropping system in the North China plain given the high frequency of
414 gas and soil samplings, and comparisons with other studies in multiple years and sites in this
415 region. This helps to provide a robust understanding and prediction of the impact of climate
416 change on one of the world's hotspots of N₂O emissions.

417

418 *Implications for both model update and sustainable N management*

419 Nitrogen inputs in intensively managed cropping systems should aim to achieve high target
420 crop yields whilst simultaneously sustaining soil N pools and reducing environmental
421 impacts.⁷¹ Previous studies have shown that significant decreases in N₂O emissions could be
422 achieved by reducing excessive N inputs and soil N surpluses without sacrificing crop
423 yields.^{21,72-73} Hence, instead of seeking the maximum crop yield by excessive N inputs which
424 lead to unnecessary N₂O emissions, balancing the crop demand for N with the supply could

425 achieve maximal economic return and positive environmental outcomes.^{50,52,74} Our results
426 showed that N₂O emissions increased nonlinearly (in both quadratic and exponential patterns)
427 as the N surplus rose, which was in line with the result from a meta-analysis of 48 maize and
428 40 wheat field experiments across China.⁷³ The key to mitigating N₂O emissions from
429 fertilizer-N is to reduce the N surplus rather than decrease N applications that may be
430 counterproductive.⁷⁵ Meanwhile, N₂O emissions and other reactive N losses could be
431 minimized by matching N supply and crop N uptake.^{39,63} We here demonstrate that optimized
432 N applications with a slightly positive N surplus are advisable for achieving higher target
433 yields and sound environmental outcomes that fail to be achieved by the conventional farming
434 approach used in this region.

435 Our results found the overestimation of N₂O emissions by previous studies in this region
436 using global statistical models. The exponential model of global upland grain crops and the
437 IPCC fixed emission factor model both gave much higher emission estimates when compared
438 to our model in a normal weather year. To improve the estimation of N₂O emission and its
439 mitigation potential it is crucial to use regional models that represent specific soil-climate
440 conditions and cropping systems, then aggregate these different systems to make more
441 accurate national inventory assessments. Total N₂O emissions from the North China plain
442 should be reevaluated in the light of this research.

443 **Acknowledgements**

444 We thank Weichao Zhang, Xiaohui Yang and Huanying Ren from the Weather Station of
445 Quzhou County for providing the long-term (1987-2017) weather data. This study was
446 financially supported by the National Natural Science Foundation of China (41471190,
447 41830751), National Key Research and Development Project of China (2017YFD0200105,
448 2016YFD0800102), School Grade Research Project of Qinzhou University (2017KYQD222),
449 China-UK Joint Research and Innovation Partnership Fund PhD Placement Programme
450 funded by the China Scholarship Council (201603780112), 973 program (2015CB150402),
451 the National Maize Production System in China (CARS-02-24), Newton Fund via UK
452 BBSRC/NERC (BB/N013484/1) and Global Environmental Facility on the ‘Towards INMS’.

453 **Figure Captions**

454 Figure 1. Dynamics of (a) air temperature, soil temperature at 5 cm depth, precipitation and
455 irrigation; and (b) N₂O emission during the two wheat-maize cycles from June 2012 to June
456 2014. Solid and dashed arrows in (b) represent fertilization and tillage, respectively. Vertical
457 bars in (b) indicate standard deviation (n=4).

458

459 Figure 2. Correlations between N application rates and cumulative N₂O emissions (a-c), and
460 between N application rates and yield-scaled N₂O emissions (d-f). Data point refers to the
461 value of each replicate during the two wheat-maize cycles from June 2012 to June 2014.

462

463 Figure 3. Comparison of N₂O responses to N application rates in our study site and other sites
464 in the North China Plain or the global scale. Green shaded areas represent the optimum N
465 application rate range (150-180 kg N ha⁻¹ season⁻¹) for maize and wheat in the North China
466 Plain.

467

468 **Supporting Information**

469 This file includes detailed site and soil-climate characteristics, field and crop managements,
470 measurement methods, results and discussion of the second important points and
471 supplementary tables and figures (Table S1 to S5, Figure S1 to S7).

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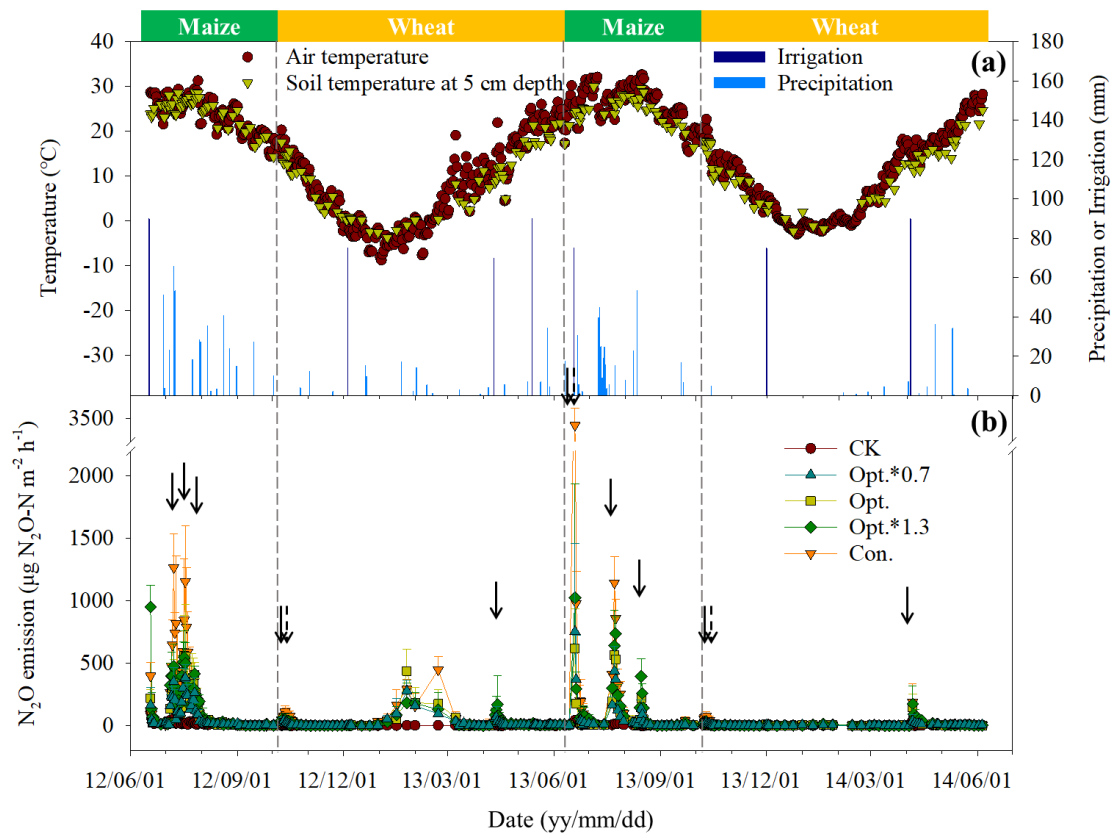


Figure 1. Dynamics of (a) air temperature, soil temperature at 5 cm depth, precipitation and irrigation; and (b) N₂O emission during the two wheat-maize cycles from June 2012 to June 2014. Solid and dashed arrows in (b) represent fertilization and tillage, respectively. Vertical bars in (b) indicate standard deviation (n=4).

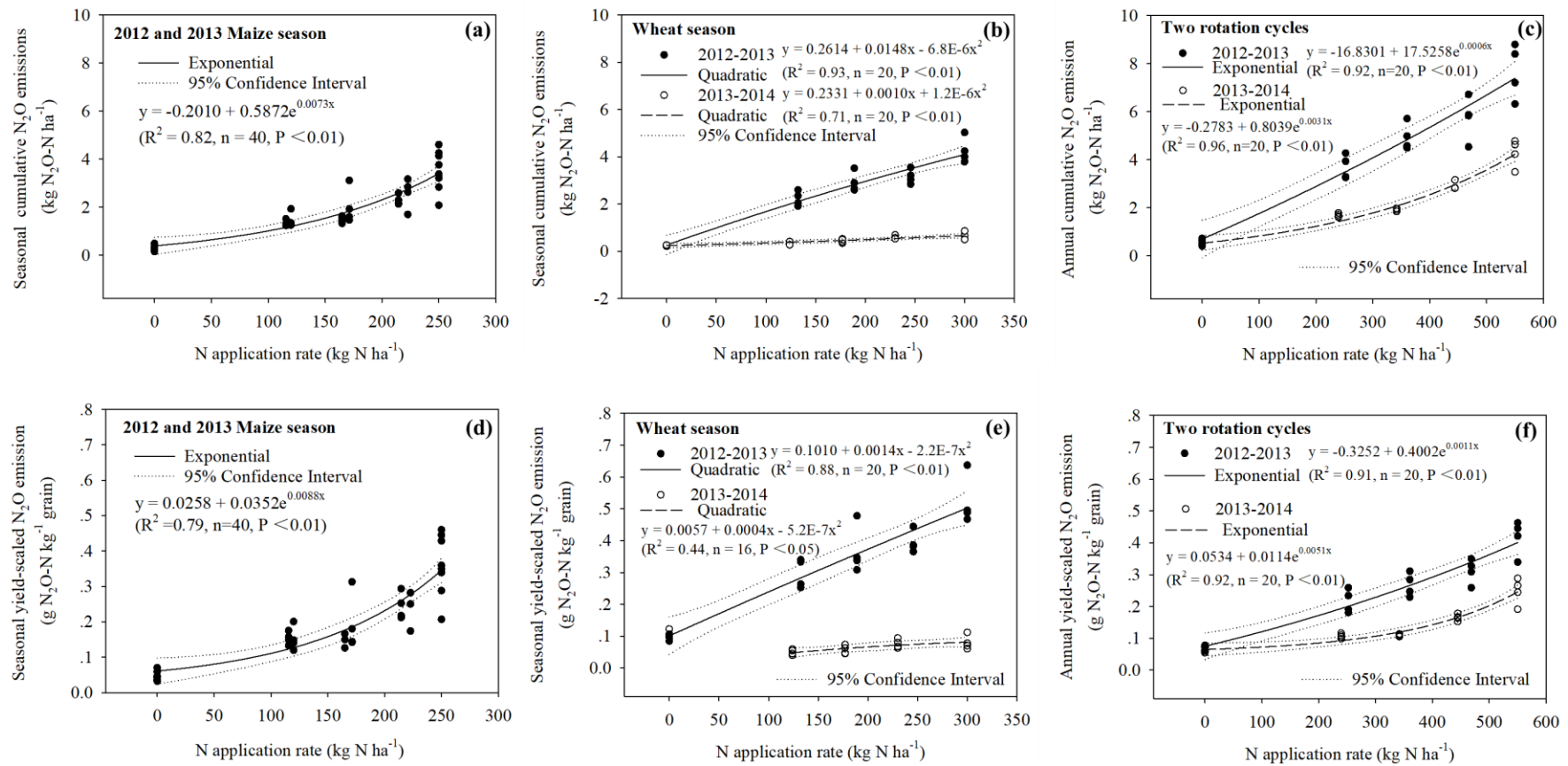


Figure 2. Correlations between N application rates and cumulative N_2O emissions (a-c), and between N application rates and yield-scaled N_2O emissions (d-f). Data point refers to value of each replicate during the two wheat-maize cycles from June 2012 to June 2014. The equations for each response in this figure are also shown in Table S5.

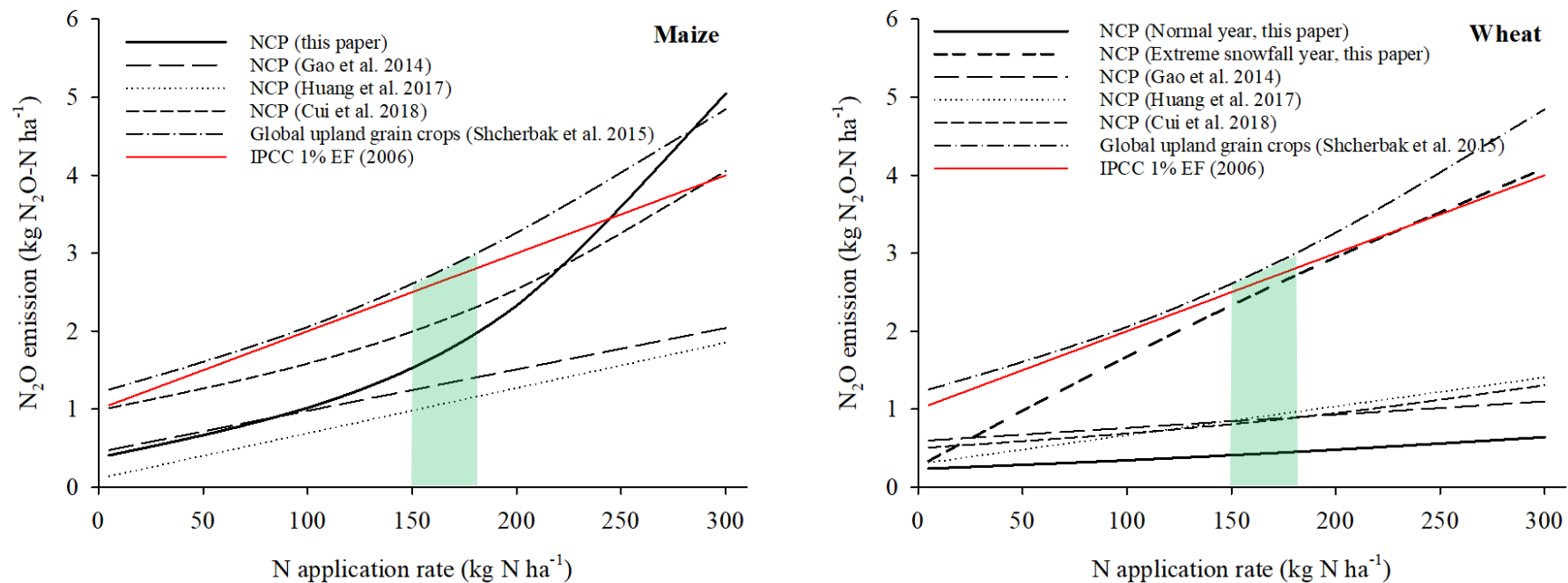


Figure 3. Comparison of N_2O responses to N application rates in our study site and other sites in the North China plain or the global scale. Green shaded areas represent optimum N application rate range ($150\text{-}180 \text{ kg N ha}^{-1} \text{ season}^{-1}$) for maize and wheat in the North China plain.

Table 1 N fertilization and irrigation rates in the two wheat-maize cycles.

Growth season	Date	N fertilization rate (kg N ha ⁻¹)					Irrigation rate (mm)
		CK ^a	Opt.*0.7	Opt.	Opt.*1.3	Con.	
2012 Maize (Sowing date: 16 Jun.)	17 Jun.						90
	3 Jul.	0	32	45	59	100	
	13 Jul.	0	48	69	89	150	
	21 Jul.	0	40	58	75	0	
	Total	0	120	172	223	250	90
2012-13 Wheat (Sowing date: 8 Oct.)	8 Oct. 2012	0	35	50	65	150	
	5 Dec. 2012						75
	10 Apr. 2013	0	97	139	181	150	70
	13 May. 2013						90
	Total	0	132	189	246	300	235
2013 Maize (Sowing date: 16 Jun.)	16 Jun.	0	32	45	59	100	
	18 Jun.						75
	19 Jul.	0	63	90	117	150	
	13 Aug.	0	21	30	39	0	
	Total	0	116	165	215	250	75
2013-14 Wheat (Sowing date: 7 Oct.)	7 Oct. 2013	0	35	50	65	150	
	1 Dec. 2013						75
	4 Apr. 2014	0	89	127	165	150	90
	Total	0	124	177	230	300	165

^a Abbreviations are: CK-- no N fertilizer treatment, Opt.*0.7-- 70% of optimum N fertilizer rate, Opt.-- optimum N fertilizer rate, Opt.*1.3-- 130% of optimum N fertilizer rate, Con.-- conventional N fertilizer rate.

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