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Scotland's Rural College

Strategies for screening young stock for antibodies - optimising numbers to test, cutpoints & predictive values for bovine viral diarrhoea virus

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- **1** Original Article for submission to Scientific Reports
- 2 Strategies for screening young stock for antibodies optimising numbers to test, cut-points, &
- 3 predictive values for bovine viral diarrhoea virus.
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10 Abstract (<=200 words)

- 11 The antibody seroprevalence of young stock can be a useful indicator of recent or current infection
- 12 in a herd. We examine the factors that contribute to the assessment of herd exposure to disease, via
- 13 spot testing for antibody, using bovine viral diarrhoea virus (BVDv) as an example.
- 14 A statistical distribution of seroprevalences for BVDv in beef herds identified three groups of herds:
- 15 low, intermediate and high within-herd BVDv antibody seroprevalence. We tested two assumptions
- 16 -the intermediate seroprevalence group of herds is assumed to be negative for BVDv at the herd
- 17 level and alternatively if this group is assumed to be positive.
- 18 We found that:
- The herd-level sensitivity and specificity are sensitive to the assumption regarding the herds withintermediate seroprevalence
- 21 If an appropriate cut-point is chosen, reducing the sample size from ten to five does not produce22 a large drop in herd-level test performance
- 23 Increasing the cut-point may be valuable at the outset of an eradication programme
- Increasing the sample size and decreasing the cut-point is advantageous towards the end of an
 eradication programme, to minimise the risk of positive herds being misclassified
- The framework presented here illustrates how seroprevalence screening may be understood andassessed.
- 28 *Keywords:* sample size; cut-point; seroprevalence; BVDv; herd-level test sensitivity and specificity
- 29

30 Introduction

For most infectious agents, antibodies measured in animals by an ELISA test provide information about the recent or historic exposure of those animals to the infectious agent. The prevalence of animals with high antibody levels (*i.e.*, antibody seroprevalence) may thus be used to identify herds that have been recently or currently are being exposed to the infectious agent. This principle has been employed in the screening of herds for infectious diseases, such as bovine viral diarrhoea virus (BVDv) in cattle.

BVDv is the infectious agent of a serious cattle disease of economic importance ^{1,2}. BVDv is amenable 37 38 to eradication at a national level if suitable testing and management in response to testing are put into action ^{3,4}. It is possible to test directly for antigen to BVDv amongst individual animals but, if 39 40 missed, an infectious animal can cause serious break down in status for the herd and result in 41 further cycles of infection. An alternative method of identifying BVDv-infected herds involves the 42 screening of animals for antibodies and the use of screening results as an indicator of virus 43 circulating in the herd. For this primary screen based on antibodies it is common to screen young 44 stock since their antibody levels are indicative of recent infection and it is the recent status of the herd which is typically of most interest 5 . If a herd is deemed to be BVDv-positive (*i.e.*, infected) on 45 46 the basis of the detection of antibody-positive animals, a subsequent and more extensive search for 47 antigen-positive (and potentially infectious) animals within the herd typically will be conducted. A 48 particular aim of such subsequent screening is the identification of persistently infected ("PI") animals, which are epidemiologically important for the continued spread of disease ^{6,7}. 49 50 Using the presence of antibody-positive animals to identify infected herds is dependent upon several 51 factors. Levels of within-herd antibody seroprevalence have been found to vary across populations of herds ^{5,8}. The variation in seroprevalence amongst herds that are truly BVDv-positive as well as 52

- among those that are truly BVDv-negative (i.e. not infected) will impact the results of a screening
- 54 test. Among the other factors that will affect the performance of a screening test are the following:

55	• The sensitivity and specificity of the antibody test at the level of the individual animal;
56	• The number of animals to be tested or sampled; and
57	• The use of an appropriate cut-point or threshold for the number of test-positive animals in
58	the sample at which the herd (or flock) is deemed positive.
59	Together, these factors contribute to the specificity and sensitivity of the screening test at the level
60	of the whole herd.
61	Screening programmes for BVDv which utilise testing for antibodies in individual sera from young
62	stock vary in the number of animals they require to be tested and in the cut-point , <i>i.e.</i> , the number
63	of seropositive animals which must be detected in the sample in order for the herd to be deemed
64	positive. For example, the first mandatory testing stage of the Scottish eradication scheme ⁹ required
65	five or ten young animals to be tested per management group depending on the age of the young
66	stock with an implicit cut-point of one positive animal albeit with scope for reinterpretation by the
67	veterinarian in the case of low numbers of animals with low or inconclusive antibody levels ¹⁰ .
68	
69	Thus the detection of even a single antibody seropositive animal in the sample was sufficient for the
70	herd to be deemed positive for BVDv. In the eradication programme proposed for the Netherlands
71	an initial screening of five young stock leads to additional testing if two or more tested animals are
72	found to be antibody positive (Pers. Comm. Duijn, L. van 8/6/17).
73	
74	As noted above, the within-herd BVDv antibody seroprevalence varies among herds. The statistical
75	distribution of seroprevalence amongst young stock is generally U-shaped with a large proportion of
76	herds with zero or close to zero seroprevalence and a large proportion with close to 100%
77	seroprevalence 5 . However, there is also evidence of a middle group or class of herds with
	0 11 12

78 intermediate seroprevalence (Figure 1)^{8,11,12}. It is not clear whether herds in this group are truly

79 BVDv-positive, BVDv-negative or a mix of both. How herds in this middle group are considered has 80 implications for BVDv screening. In this paper we estimate the herd-level sensitivity and specificity of 81 screening tests under various conditions, considering the range of within-herd BVDv antibody 82 seroprevalence levels, different numbers of animals tested and different cut-points for the threshold 83 above which a herd is deemed positive. Our objective is to provide quantitative evidence for those 84 designing schemes of the herd-level test performance of young stock screening for BVDv. An 85 additional objective is to provide policy implications that vary between the outset of an eradication 86 scheme (when herd-level prevalence is high) and the latter stages of an eradication scheme (when 87 false negatives are costly to the eradication scheme). We also consider the importance of the 88 middle group and how it may affect the interpretation of the results of screening for antibodies in 89 young stock.

90

92 <u>Results</u>

Results of test performance at the herd-level are presented for the following combination ofscenarios (Figure 2):

95 Treatment of herds with intermediate levels of antibody seroprevalence as either BVDv
 96 negative or positive;

- 97 Testing of five or ten animals; and
- Use of a cut-point or threshold (i.e. the number of positive animals at, or above which, the
 herd is declared positive) of different numbers of animals.

100 From results illustrated in Figure 2, we note that the herd-level sensitivity drops and the herd-level 101 specificity increases as the cut-point number of animals increases. It is also clear that the sensitivity 102 is much higher and specificity lower if the group of herds with intermediate seroprevalence in the 103 original distribution is considered negative rather than positive. The designation of the group of 104 herds with intermediate levels of seroprevalence is very important in deciding the optimum cut-105 point. If this group is considered positive, then with a sample size of ten the optimum cut-point 106 arguably is two positive animals. By contrast, if this group is considered negative, then the optimum 107 cut-point is arguably six to achieve both high sensitivity and specificity. There is a similar pattern 108 when considering a sample size of five animals. The optimum cut-point appears to be one positive in 109 the case of the middle component being positive and three positives from a sample of five in the 110 case of the middle component being negative. 111 Table 1 provides the herd-level performance estimates for a testing regime within a recommended

112 control programme. Table 2 provides the overall probability of a false negative, the overall

- 113 probability of a false positive, positive predictive value and negative predictive value under the
- 114 eradication scheme in the Netherlands plus the consequences of reducing the cut-point r of
- seropositive animals from two to one.

116 The "false negative rate" is the probability that a truly positive herd tests negative (that is, the 117 numerator is the number of truly positive herds which test negative, and the denominator is the number of truly positive herds)¹³. Possibly of greater interest to the policy maker is the probability 118 119 that any herd randomly selected from a population of herds is truly positive and tests negative (the 120 numerator is unchanged, but the denominator in this case is the total number of herds). Here we 121 use the term "overall probability of a false negative" to distinguish this value from the false negative 122 rate. The overall probability of a false negative is simply the true herd-level prevalence multiplied by 123 the false negative rate.

124 The overall probability of a false negative naturally increases linearly with herd-level prevalence

125 (Figures 3 & 4). It is noticeable that by dropping the cut-point from two (Figure 3) to one (Figure 5,

126 Table 2) the overall probability of a false negative is decreased substantially. For a given cut-point,

127 increasing the number of animals tested lowers the overall probability of a false negative (Figures 2,

128 3 & 4).

We illustrate the consequences of our assumption for the status of the intermediate seroprevalence group for a scenario provided within the Dutch eradication scheme in which the number of animals tested is five and the cut-point is two (Table 1). This demonstrates how much the sensitivity and specificity of the test depends on this assumption with the sensitivity changing from 75% to 100% under the two assumptions.

particular are undesirable towards the latter stages of eradication when most herds and most
animals are susceptible and therefore at risk of infection through the failure to identify a positive
herd. We see that even though the overall probability of a false negative is lower when the
prevalence is lower, the overall probability of a false negative can be reduced further by lowering

In Table 2 we present the overall probability of false negatives and false positives. False negatives in

the cut-point to one.

140

134

In Figures 3 and 4 we see the linear relationship between the herd level prevalence and the overall median probability of false negatives. We see that as the number of animals tested goes up for a fixed cut-point the overall probability of a false negative goes down. Finally we note that for any particular number of animals tested, if the cut point is reduced to one then the over all probability of a false negativedecreases.

146

We do not present figures for the median overall probability of false negatives when herds with intermediate within-herd seroprevalence are treated as negative because in that situation the median rate of false negatives is zero for both a cut-point of one or two positive animals.

150

151 <u>Discussion</u>

152 For most infectious agents, antibodies measured in animals by an ELISA test provide information 153 about the recent or historic exposure of those animals to the infectious agent. The prevalence of 154 animals with high antibody levels (i.e., seroprevalence) may thus be used to identify herds that have 155 been or are being exposed to high levels of the infectious agent, provided that estimates of 156 seroprevalence can be interpreted as a herd-level result. In this study we employ the example of BVDv to demonstrate how the herd-level sensitivity and specificity might be estimated on the basis 157 158 of an interpretation of seroprevalence data collected from young stock in Scottish beef suckler 159 herds.

160 Relevant epidemiological attributes of BVDv

161 A natural gold standard for defining a herd as BVDv positive is evidence of circulating virus in the 162 herd and therefore evidence of the presence of at least one persistently or transiently infected 163 animal in the herd, which might be detected on the basis of screening animals for virus or for BVDv 164 antigens. Such screening would require a great deal of testing (of every or nearly every animal in a 165 herd), and the consequences of a false negative result could be substantial. Alternatively, however, 166 the epidemiology of BVD virus is such that the presence of BVD virus in the herd is amenable to 167 screening by testing for antibodies in animals of any age, and particularly in young stock. A study ¹⁴ 168 found that the within-herd antibody seroprevalence from herds in which an antigen-positive animal 169 was identified was 87% whilst it was only 43% in herds in which no antigen-positive animal was 170 found. Therefore the within-herd prevalence of antibody-positive animals may be effectively used as 171 a proxy or indicator for the presence or absence of antigen-positive animals. 172 *Optimising the number of animals to test and the cut-point value*

173 When a number of individual animals are tested for antibody in order to determine a binary (i.e.,

- 174 positive or negative) herd status, there are two important decisions to be made. The first is the
 - 9

175 number of animals to be tested and the second is the cut-point for the number of positive animals at 176 or above which the herd is deemed positive. Commonly the number of animals to be tested in BVDv eradication programmes is 5-10 young stock ^{5,9,15–17} although it has been suggested that as few as 177 three young stock might need testing ¹⁷ especially if the antibody test is done in tandem with antigen 178 testing ¹⁸. A BVDv eradication programme now being initiated in the Netherlands involves initial 179 180 antibody spot-testing of five animals, with a cut-point for the number of antibody-positive animals of 181 two. One clear benefit of choosing a cut-point of two (as opposed to one) is that it reduces the risk 182 of false positives and thus reduces the risk of unnecessary and expensive secondary testing of the 183 whole herd. Our work informs decisions regarding sample numbers and threshold values under 184 different conditions.

185 The optimum threshold number, or cut-point, of antibody-positive animals for making a herd-level 186 determination is dependent on the number of animals sampled. If ten animals are tested then the 187 optimum cut-point appears to be greater than one (Figure 2). This matches recommendations published elsewhere ^{5,19}. In our analysis the optimum appears to be two positive animals out of ten, 188 189 if the intermediate seroprevalence group is considered positive and a cut-point of around seven 190 positive animals if the intermediate seroprevalence group is considered to be negative (Figure 2). 191 Whilst it appears justified from an epidemiological point of view, using a cut-point of greater than 192 one may be counter-intuitive to farmers and veterinary practitioners. To use a cut-point of greater 193 than one is to lose some sensitivity of the test but to gain specificity i.e. to reduce the probability of 194 false positives. As discussed below, the best balance between sensitivity and specificity is dependent 195 upon the stage of a disease eradication programme, as discussed below.

196 Performance of the individual level test

197 Our approach to estimating the *group*-level sensitivity and specificity is based on within-herd

198 prevalence of antibody-positive animals, however it is worth noting that the *individual* tests are not

199 perfect either. In the survey on which our simulations are based, the test used was Svanovir BVDV

antibody ELISA ²⁰. This test is reported (by the manufacturer) to have a sensitivity and specificity of 100% and 98.2% respectively in comparison to a virus neutralisation test. In our simulations we have used the sensitivity and specificity from the statistical distributions reported in the study⁸ on which our simulations are based. The sensitivity (and 95% confidence interval) is 96.3% (91.9%, 99.8%) and the specificity is 98.8% (98.0%, 99.3%).

205 Our study is based on the categorisation of individual antibody scores into a binary positive or 206 negative result by comparing the antibody scores with a cut-off. This is the conventional way of 207 interpreting individual antibody results and is both convenient and easily interpreted. However, 208 when we scale up to testing at the group level it is possible that the loss of information that is 209 involved in categorising a continuous antibody score into a binary positive or negative is an 210 important loss of information. For example, it is possible that having several calves with an antibody 211 score just below the cut-off is a better predictor of a positive herd than having only one calf just 212 above the cut-off. Current eradication schemes tend not to be set up to report individual antibody 213 scores, and it is not known how frequently such a situation might occur. To take into account the 214 individual antibody scores would require further investigation, ideally using data pertaining to 215 individual antibody scores from sampled calves from herds with and without PI animals. The 216 interpretation would require a multivariate analysis to optimally interpret several antibody scores 217 without recourse to the binary categorisation. Such an approach might improve the herd-level test 218 performance but might be unattractive to veterinary practitioners and to producers because it 219 would involve complex and non-transparent calculations.

220

221 The effect of herds characterised by intermediate within-herd antibody seroprevalence levels

The extent to which antibody seroprevalence can be used to determine herd-level status of infection
 is dependent on the level of separation of the frequency distribution of seroprevalence values in

224 negative herds compared to the distribution in positive herds (see Figure 1). The greater the 225 separation of these frequency distributions, the easier it is to observe separate groups or classes of 226 herds (aka "components" in the statistical literature) within the overall distribution. Various 227 statistical methods exist for identifying and describing such classes or groups in a frequency 228 distribution. The results we present here are predicated on the empirical description of such classes from a randomised study of Scottish beef herds prior to the Scottish eradication scheme⁸. The 229 230 Scottish study found three classes, characterised by low, intermediate and high seroprevalence. Our 231 analyses are based on the assumption that those groups represent different epidemiological herd 232 statuses. The analyses are made complex by the fact that the epidemiological status of the group of 233 herds characterised by intermediate levels of antibody seroprevalence is uncertain. Ideally we would 234 have data from the same herds on both the within-herd seroprevalence for young stock and 235 whether or not an infected animal exists in the herd. With such data we would be able to confidently 236 describe the status of herds in the group with intermediate seroprevalence, but in the absence of 237 such data we can consider the two extremes – i.e. that all herds in this group are either negative or 238 positive. It is probable that this group of herds with intermediate seroprevalence consist of a mixture 239 of truly positive and truly negative herds, in which case the correct interpretation would lie between 240 the two extremes presented here.

241 It is worth considering the wider evidence regarding the existence of a group of herds with

242 intermediate seroprevalence levels. Other studies have presented data suggesting the possible

243 existence of such a group ^{11,12}. On the other hand at least one study exists in which there was no

²⁴⁴ obvious middle component in the distribution of within-herd seroprevalence ⁵.

245 There are various possible causes of intermediate seroprevalence in some herds:

Existence of residual maternal antibody derived from dams in young stock that have not, in
 fact, been exposed to the virus

• The young stock have been vaccinated

249	• The young stock were exposed to low levels of virus (for example, over fence from a PI or
250	transiently infected animal) leading to a low antibody response
251	Fomite contamination – leading to low antibody response and hence low prevalence
252	• Exposure to a particular strain of virus that causes low antibody response
253	• Heterogeneity in ages of the sampling group – if there are some new recruits to the group
254	post-exposure they will be antibody negative and will "dilute" the group and hence reduce
255	the prevalence
256	In our analyses we have combined the intermediate seroprevalence group with either the low
257	seroprevalence (negative) group or the high seroprevalence (positive) group. In doing so, we have
258	effectively created a mixture of two, rather than three, groups. The resulting mixture depends upon
259	the relative proportional contribution each group gives and we have, implicitly, used the relative
260	proportions outlined in the original description of the three groups (Figure 1). It is likely the
261	contribution that the intermediate seroprevalence group makes to the overall distribution is not
262	constant across time or between countries. It is not clear, however, whether we can predict how its
263	contribution will vary. For example, in the case of a country or region with an eradication scheme
264	such as that in Scotland, it is possible to envisage either an increase or decrease in the proportion of
265	herds that fall into the intermediate seroprevalence category. During an eradication scheme, if all
266	goes well, there is "movement" of herds from the right hand end of the seroprevalence frequency
267	distribution (Figure 1) towards the left hand end of the distribution. Therefore it is not possible to
268	predict whether the middle group will gain more from the high seroprevalence group than it loses to
269	the low seroprevalence group.
270	Consequences of misunderstanding the status of the intermediate seroprevalence group

The large differences in herd-level test characteristics such as sensitivity, specificity, false negative and false positive rates, depending on whether the intermediate seroprevalence group is assumed to be negative or positive (Figures 2, 3, 4; Tables 1 & 2) highlight the importance of better

understanding this group's epidemiological status if we wish to improve the accuracy of our
estimates of these test characteristics. For example, in Figure 2, it appears that, for a sample size of
ten young stock, the trade-off between herd-level sensitivity and specificity is optimal with a cutpoint of two if the middle component is positive but it is a cut-point of six or seven if the middle
component is negative. Therefore the consequence of misunderstanding the status of the
intermediate seroprevalence group is large – and in particular may lead to poor selection of the
number of animals to test and poor selection of cut-points.

281 It is also worth noting that whatever the true status of intermediate seroprevalence group, the 282 assumption that it represents truly positive herds results in estimates of the herd-level sensitivity 283 which are lower than, or equal to, the actual herd-level sensitivity: this then represents a "worse 284 case" estimate of herd-level sensitivity. It is therefore a "conservative" estimate for herd-level 285 sensitivity. If this group were composed either partly or wholly of truly negative herds, then the 286 actual herd-level sensitivity would be higher than predicted on the basis of the assumption that they 287 are all truly positive. The opposite relationship can be seen for herd-level specificity: assuming that 288 the intermediate seroprevalence group is positive results in estimates for this parameter which 289 might be higher than the actual value. Whether it is preferable to under-estimate herd-level 290 sensitivity or specificity of a test may be dependent on other conditions, as discussed below. Which 291 of these test characteristics (sensitivity or specificity) is more important may determine which 292 assumption, regarding the intermediate prevalence group, is the more "conservative" or risk-averse 293 at a particular time.

294 The final stages of an eradication scheme

We may also consider the relative importance of herd-level sensitivity and specificity towards the end of an eradication scheme when the prevalence is low compared to the early stages of an eradication scheme when the prevalence is high. In these latter stages of an eradication scheme it becomes more important that positive herds are accurately identified than it is in the early stages

299	when it is typically accepted (and is less consequential) that some positive herds may be incorrectly
300	classified as negative. This is because as the population approaches total susceptibility, the
301	epidemiological, economic and political consequences of reintroduction become substantial ⁶ .
302	Therefore it is important that the test sensitivity is particularly high during the final stages of
303	eradication even if this comes with the increased risk of false positives. To achieve a meaningful and
304	maximum sensitivity indicates a cut-point of just one antibody positive animal. Thus the overall
305	probability of a false negative is reduced if the number of animals tested is increased to ten and the
306	cut-point is held at one (Figures 2, 3). The consequent high risk of false positives due to the low
307	specificity (Figure 2 and Table 2) will require diplomatic explanations to farmers and veterinary
308	practitioners. Specifically the explanation needed is that an initial positive result requires
309	subsequent testing before the result is accepted as genuinely positive. Typically this subsequent test
310	could be a full herd antigen screening for PI animals. Such a two-stage process in response to an
311	initial positive result is a reasonable way of screening a herd before declaring its status. The
312	proposed scheme in the Netherlands is based on follow up tests if the number of positive animals is
313	equal to or higher than the cut-point (which is two out of five young stock in this example).

315 <u>Conclusions</u>

316 In conclusion, we find that:

317	• The true status of the intermediate seroprevalence group is crucial, and analyses of herd-
318	level sensitivity and specificity are highly dependent on the assumed status of this group.
319	Misunderstanding the true status of this group will lead to imperfect design of a screening
320	scheme and in particular poor selection of cut-points;
321	• Reducing the number of young stock screened for BVDv antibody from ten to five need not
322	result in a substantial drop in herd-level sensitivity and specificity if the appropriate cut-
323	point is selected;
324	• Increasing the cut-point to a value greater than one for the number of antibody seropositive
325	animals before treating a herd as positive may be useful at the outset of an eradication
326	scheme; The benefit of doing so is to increase the specificity, and reduce the cost of
327	unnecessary secondary whole herd screening that is associated with a false positive.
328	• Increasing the number of animals tested, reducing the cut-point to one, or adopting both
329	actions in the latter stages of an eradication scheme is warranted in order to maximise the
330	herd-level sensitivity and thus reduce the number of false negative herds to as great an
331	extent possible.
332	We believe this paper provides a useful example of a framework for understanding the complexity of
333	measuring herd exposure to an infectious agent using a spot-test approach. It enables us to consider
334	how the 'test' should evolve over the course of an eradication scheme.

335

337 Methods

338 We estimated the herd-level sensitivity and specificity of a spot test of young stock using the 339 frequency distribution of the number of BVD antibody-positive animals within a sample of young 340 stock from Scottish beef suckler herds. Our starting point was the modelled distribution of a mixture of components for the number of positive ELISA antibody tests out of ten as published by Brülisauer 341 342 et al.⁸ identified and described three statistically distinct groups or classes of herds on the basis of their within-herd seroprevalence levels: these groups are characterised by low, intermediate and 343 high antibody seroprevalences. Brülisauer et al. (2010)⁸ described these groups statistically thus 344 345 making them amenable to simulation (Figure 1). 346 We hypothesised that each group reflects an epidemiologically important class of herd with the 347 group characterised by low seroprevalences reflecting negative status herds and the group with high 348 seroprevalences reflecting positive status herds. The status of herds with intermediate seroprevalences remains unclear and has several possible explanations^{8,11,12} (see Discussion above). 349 350 We explore here the consequences of both the extreme scenarios that this intermediate group is 351 fully negative or fully positive whilst recognising that it could be a mixture of both negative and 352 positive herds. 353 We then envisaged the relatively high herd-level prevalence which might be expected at the outset

of an eradication scheme and compared it with the relatively low prevalence anticipated in the latter

355 stages. We sought to demonstrate the varying rate of false negatives, false positives and predictive

- values dependent on the prevalence as well as the screening design.
- The simulation was carried out using the following procedure which is also illustrated in a flowdiagram (Figure 6).

359 The simulated population

360 We constructed a population of 2000 simulated herds (we chose 2000 because this is the 361 approximate number of suckler herds in the sampling frame of Scottish population originally 362 studied), which were distributed among the three classes of herds described above (i.e., herd classes 363 characterised by low, intermediate, and high antibody seroprevalence levels within groups of young stock). The proportion of herds in each class was simulated based on published data ⁸. We then 364 365 simulated the true antibody seroprevalence in young stock within each herd (i.e., the overall 366 proportion of young animals in each herd that are truly antibody positive), based on the range of 367 seroprevalence values reported for the class of each herd. All simulation parameter values were 368 based on published estimates, as presented in Table 3.

369 Testing for antibodies to BVDv

370 From each simulated herd, a sample of a fixed number of animals was drawn (the exact sample size 371 varied depending on the scenario: see below for more detail). No assumption for the total number 372 of young stock in the herd was made as this was not necessary – it was merely assumed that each 373 herd had sufficient young stock to fulfil the desired sample. The true status (antibody-positive or 374 antibody-negative) of each animal in the sample was determined based on a random binomial 375 distribution defined by the overall proportion of antibody-positive animals in the herd. The test 376 status of each animal in the sample was then determined on the basis of its true status and the 377 (imperfect) test performance parameters (test sensitivity and specificity at the individual-animal 378 level) drawn from the triangular distributions described in Table 3.

379 Herd-level status determination

380 We then envisaged a cut-point for the number of test-positive animals in the sample at, or above

381 which, the sampled herd would be deemed to be positive. If the number of test positives was below

that cut-point then the herd was interpreted as being negative.

383 Calculating herd-level sensitivity and specificity

384	Therefore, we had 2000 herds each of which was from one of the three classes of herd
385	(characterised by low, intermediate or high antibody seroprevalence). The low-seroprevalence class
386	of herd was described as negative, and the high-seroprevalence as positive. The intermediate
387	seroprevalence group of herd was treated as either negative or positive depending on which
388	scenario we selected. This status was, in effect, our (simulated) "true" status for the herd. For each
389	herd we also had the number of individual test-positive animals, and therefore when compared to a
390	chosen cut-point the herd was designated "test positive" or "test negative". As the test is not
391	perfect, some herds may be truly negative but test-positive and vice versa. By summing over all
392	herds we then calculated the proportion of "true" positive herds that tested positive and similarly
393	for negative herds to estimate the herd-level sensitivity and specificity of the screening regimen.
394	Herd-level specificity and sensitivity under different scenarios
395	Different scenarios were examined to explore the consequences of important contributing factors
396	on the predicted herd-level specificity and sensitivity:
397	 Different sample sizes (the number of young stock tested);
398	Different cut-points; and
399	 Different assumptions about the group of herds characterised by intermediate
400	seroprevalence.
401	False negative, false positive rates and predictive values
402	The resulting simulated herd-level sensitivity and specificity estimates were used to estimate rates of
403	false negatives and false positives and to estimate positive and negative predictive values under two
404	different scenarios: a) high BVDv prevalence as typical of the early stages for an eradication scheme
405	and b) low BVDv prevalence as typical of an "end-game" scenario towards the latter stages of an
406	eradication scheme. The high BVDv herd-level prevalence was set at 60% ^{8,21–23} . The low BVDv herd-

- 407 level prevalence scenario was hypothesised at 0.5% based on work by Løken and Nyberg (2013)²⁴ in
- 408 which after 7 years of eradication the prevalence had dropped to 0.5%.

409 Simulating uncertainty

- 410 Each run of the model provided a single estimate of the herd-level sensitivity and specificity, based
- 411 on 2000 herds. In order to estimate the *variation* or uncertainty, we ran the model 2000 times (to
- 412 provide a 95% precision of ± 0.5% on a proportion of 1%), thus giving a range of values for herd-level
- 413 sensitivity and specificity.

415 <u>Competing interest statement</u>

- 416 The authors have no competing interests as defined by Nature Research, or other interests that
- 417 might be perceived to influence the results and/or discussion reported in this paper.
- 418
- 419 Data availability
- The only data used in this study were generated through random simulation as described in themethods section.
- 422 <u>Acknowledgements</u>
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- 425
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489 <u>Author contribution statement</u>

- 490 Dr Humphry envisaged and implemented the method and wrote the first draft.
- 491 Dr Reeves provided epidemiological expertise and quality control.
- 492 Prof Gunn provided relevant context of eradication schemes and quality control.
- 494 Data availability statement

- 495 The data used in this study were all generated through simulation based on the statistical
- 496 distributions described in a publicly available paper⁸.

- 497 <u>Tables</u>
- 498 Table 1. Median (& 95% percentile) herd-level sensitivity, specificity, false negative rate amongst
- 499 positive herds and false positive rate amongst negative herds based on five animals and a cut-point
- 500 of two seropositive animals (as written into the Netherlands' eradication programme).

	If herds with intermediate within-herd seroprevalence are treated as positive	If herds with intermediate within-herd seroprevalence are treated as negative	
Sensitivity	75% (66%, 83%)	100% (99.6%, 100%)	
False negative rate amongst pos herds	25% (17%, 34%)	0% (0%, 0.4%)	
Specificity	99.9% (99.4%, 100%)	90% (86%, 94%)	
False positive rate amongst neg herds	0.10% (0%, 0.5%)	10% (6%, 14%)	

501

503 Table 2. Overall probability of false negatives and positives based on a sample size of five young stock and the comparing the cut-point of two seropositive

animals to one seropositive animal.

				If herds with intermediate within-herd		
		If herds with intermediate within-herd seroprevalence are treated as positive		seroprevalence are treated as negative		
	Cut-point number of positive animals	1/5	2/5	1/5	2/5	
High herd-level prevalence (60% of herds)	Overall Probability of False neg	4.5% (2.4%, 7.4%)	15% (10%, 21%)	0% (0%, 0%)	0% (0%, 0.2%%)	
	Overall Probability of False pos	2.6% (1.3%, 3.9%)	0.06% (0%, 0.21%)	8.67% (6.46%, 10.66%)	3.92% (2.55%, 5.59%))	
	Positive predictive value	95.5% (93.3%, 97.7%)	99.87% (99.5%, 100%)	87.4% (84.9%, 90.3%)	93.87% (91.47%, 95.92%)	
	Negative predictive value	89.26% (83.51%, 93.98%)	72.67% (65.86%, 79.53%)	100.00% (100.00%, 100.00%)	100.00% (99.33%, 100.00%	
Low herd-level prevalence (5% of herds)	Overall Probability of False neg	0.04% (0.02%, 0.06%)	0.1% (0.09%, 0.17%)	0.00% (0%, 0%)	0.00% (0%, 0.002%)	
	Overall Probability of False pos	6.5% (3.3%, 9.8%)	0.1% (0%, 0.52%)	21.57% (16.08%, 26.51%)	9.74% (6.34%, 13.90%)	
	Positive predictive value	6.64% (4.47%, 12.29%)	71.25% (41.58%, 100.00%)	2.27% (1.85%, 3.02%)	4.88% (3.47%, 7.31%)	

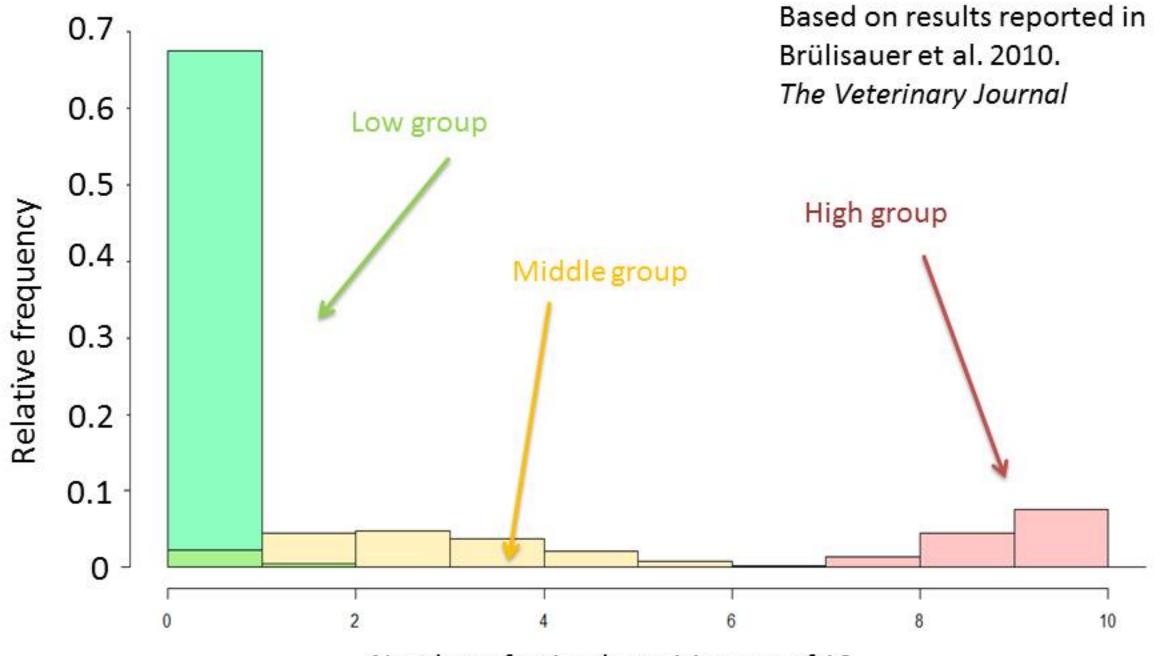
	Negative predictive	99.96%	99.87%	99.96%	100.00%
	value	(99.93% <i>,</i> 99.98%)	(99.83%, 99.91%)	(99.94%, 99.98%)	(100.00%, 100.00%)
505					

- 506 Table 3. The parameters and their 95% credible intervals from ⁸, and consequent triangular
- 507 distributions ²⁵used to simulate the number of true positives and the number of test positives (see
- 508 Supplementary Information for more detail).

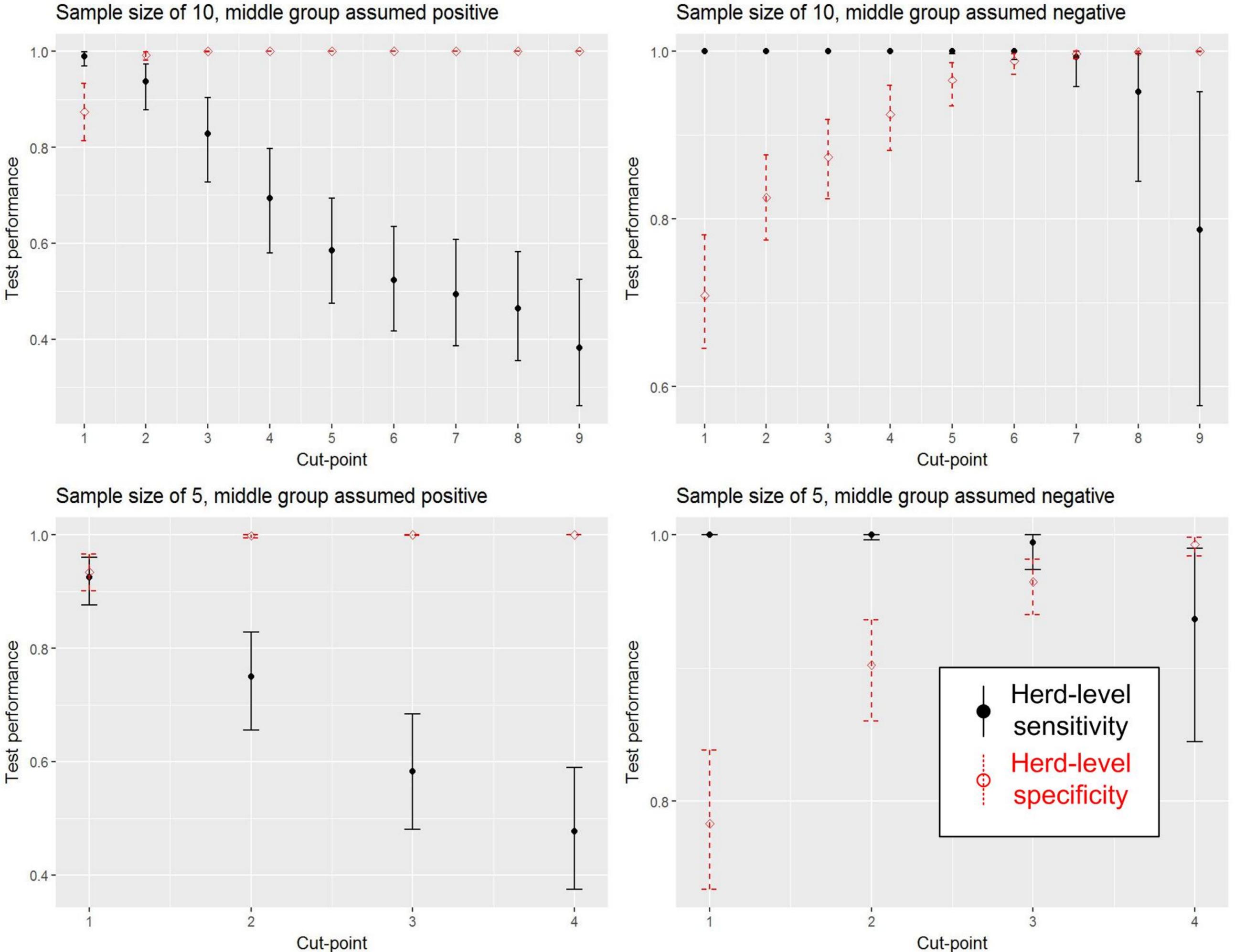
Parameter	Published 95%	Triangular distribution (min,
	Credible Interval	mode, max)
π_1 The proportion of herds characterised by	(0.623, 0.742)	0.606, 0.683, 0.759
low within-herd seroprevalence		
π_2 The proportion of herds characterised	(0.113, 0.213)	0.099, 0.163, 0.227
by intermediate within-herd		
seroprevalence		
π_3 The proportion of herds characterised by	(0.116, 0.197)	0.104, 0.157, 0.209
high within-herd seroprevalence		
μ_1 The within-herd seroprevalence for	(0,0)	NA
herds with low seroprevalence		
μ_2 The within-herd seroprevalence for	(0.263, 0.385)	0.245, 0.324, 0.403
herds with intermediate seroprevalence		
μ_3 The within-herd seroprevalence for	(0.919, 0.998)	0.908, 0.959, 1.000
herds with high seroprevalence		
S_e The sensitivity of the individual (animal-	(0.919, 0.998)	0.908, 0.959, 1.000
level) test for BVDv antibody		
S_p The specificity of the individual (animal-	(0.980, 0.993)	0.978, 0.987, 0.995
level) test for BVDv antibody		

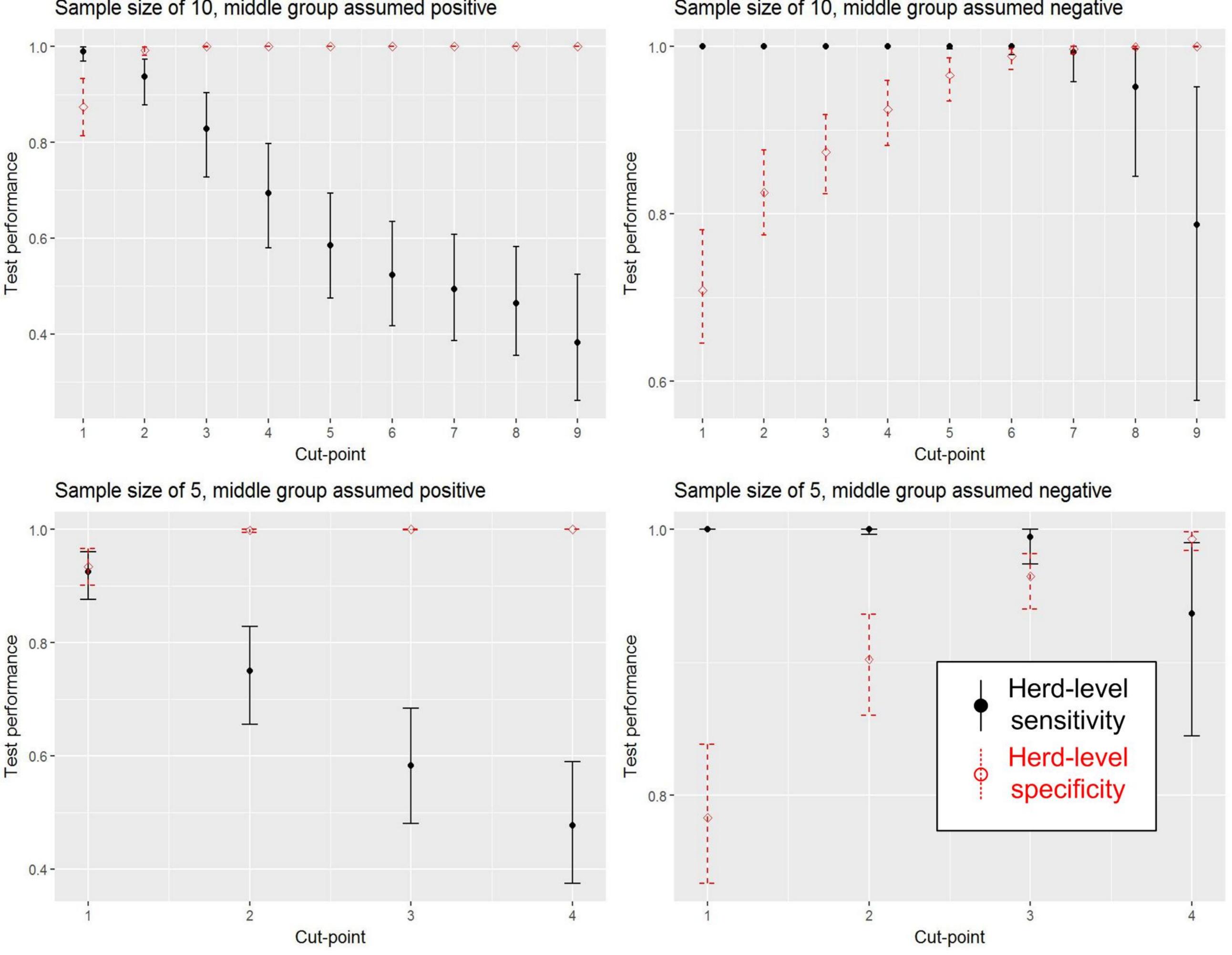
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- 512 Figure 1. A graphical presentation of the three groups of herds identified and described on the basis
- 513 of different levels of antibody seroprevalence in a study involving seroprevalence of ten young stock
- 514 from Scottish beef suckler herds ⁸
- 515 Figure 2. The herd-level test performance (sensitivity in black, specificity in red) where the group of
- 516 herds with intermediate within-herd seroprevalence is treated as either positive or negative and
- 517 using a sample size of either five or ten animals.
- 518 Figure 3. The median overall probability of false negatives with a cut-point of two positive animals
- and if herds with intermediate within-herd seroprevalence are treated as is positive.
- 520 Figure 4. The median overall probability of false negatives with a cut-point of one positive animal
- 521 and if the herds with intermediate within-herd seroprevalence are treated as positive.
- 522 Figure 5. The median overall probability of false negatives as a function of the number of animals
- tested, two different prevalence levels (60% and 0.5%) and cut-points of one and two positive
- 524 animals.
- 525 Figure 6. Flow diagram representing the process by which herd-level sensitivity and specificity (and
- 526 uncertainty around these) was generated using the published estimates for animal-level antibody
- 527 seroprevalence and test performance for each of the components identified in the source paper⁸

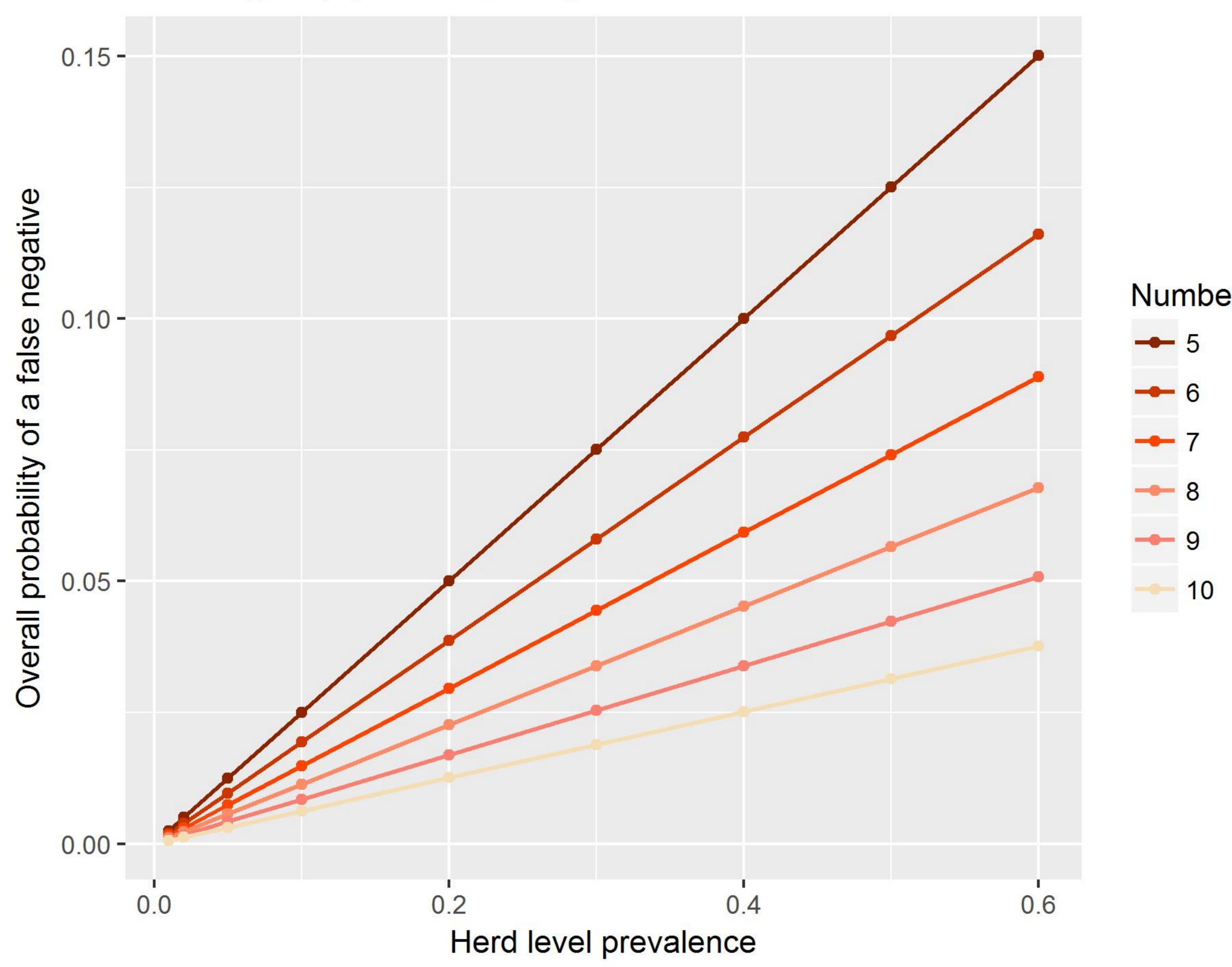


Number of animals positive out of 10



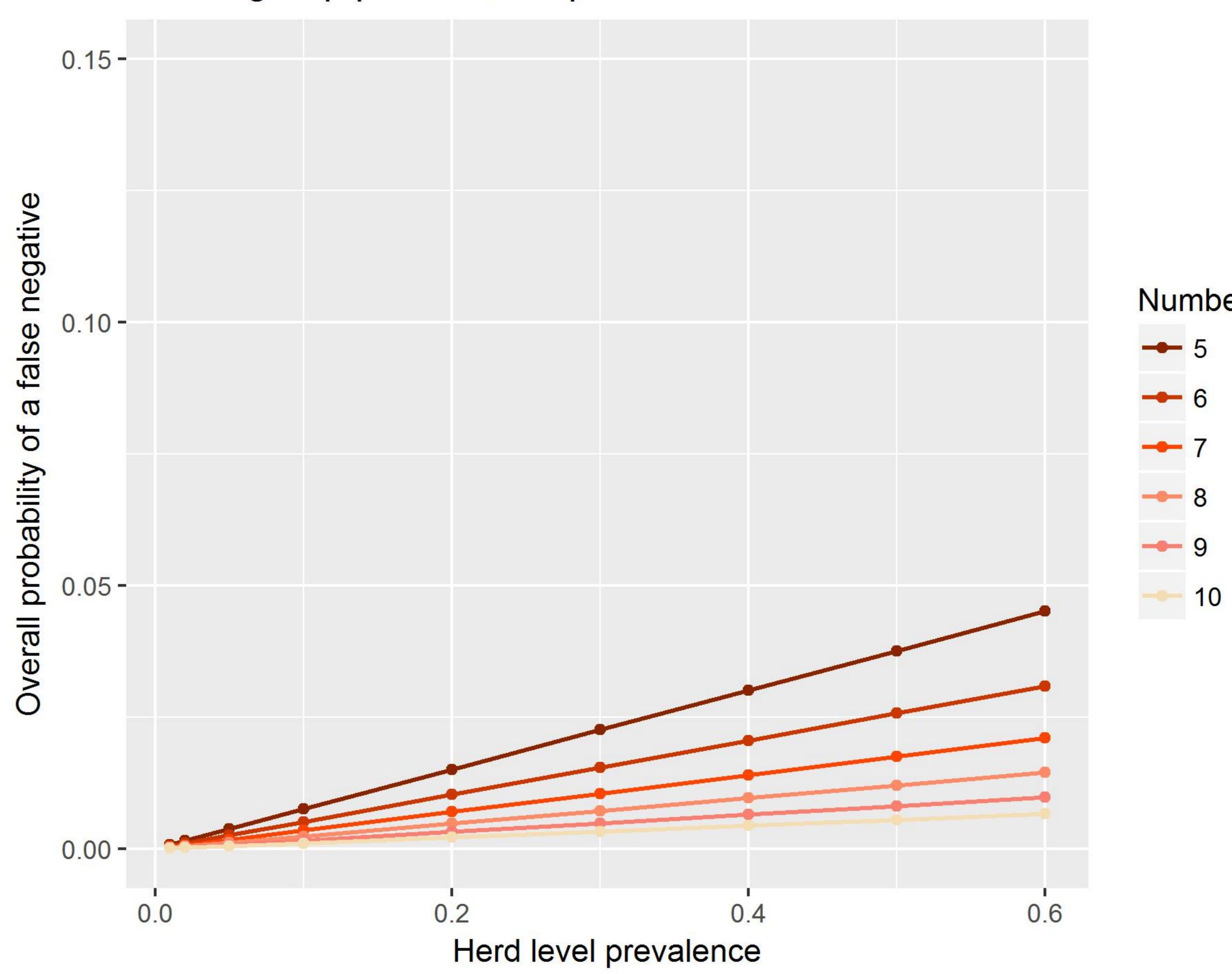


Middle group positive, cut-point 2

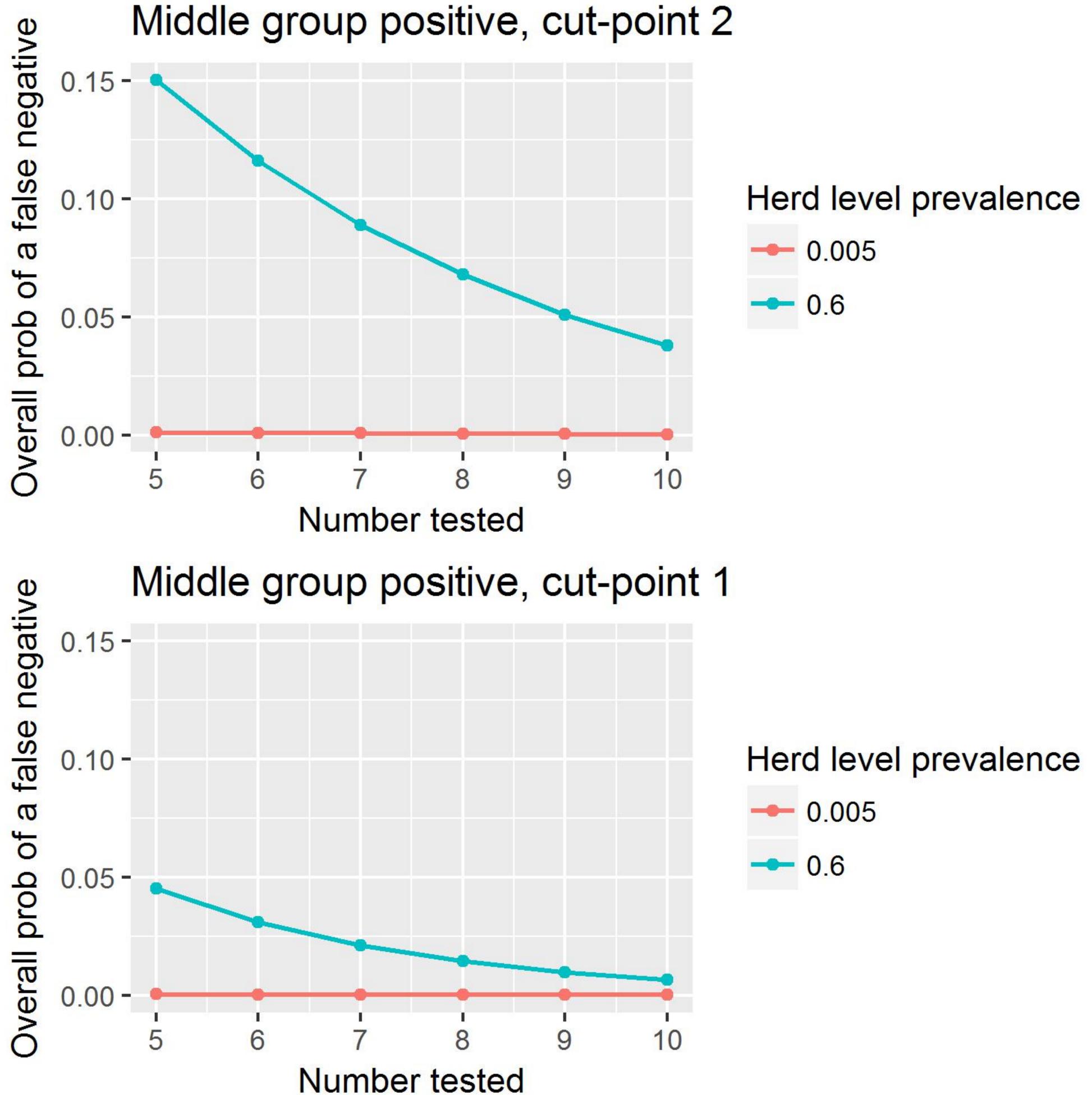




Middle group positive, cut-point 1







Population: A total of 2000 herds simulated. The number of herds in each group simulated based on the herd level prevalence using a multinomial distribution

Herd level prevalence for each group Herd level prevalence for each distribution selected from triangular distribution

Within herd prevalence and test Sensitivity and Specificity simulated from triangular distribution for each herd depending on which the three groups it came

Number of test positive animals and test negative animals simulated using binomial sampling for each simulated herd depending

on which group that herd belongs to

Herd level status determination: Calculate herd level sensitivity and specificity for different combinations of the number of animals tested and the value of the cut-point for the number of positive animals

> Repeat 2000 times to represent the uncertainty about the herd level sensitivity and specificity