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**RNF152 Negatively Regulates mTOR Signalling and Blocks Cell Proliferation in
the Floor Plate**

Minori Kadoya and Noriaki Sasai*

Developmental Biomedical Science, Graduate School of Biological Sciences, Nara Institute of
Science and Technology, 8916-5, Takayama-cho, Ikoma 630-0192, Japan

* Correspondence to Noriaki Sasai
E-mail: noriakisasai@bs.naist.jp
Tel: +81-743-72-5650

21 **Abstract**

22 The neural tube is composed of a number of neural progenitors and postmitotic neurons
23 distributed in a quantitatively and spatially precise manner. The floor plate, located in the ventral-
24 most region of the neural tube, has a lot of unique characteristics, including a low cell proliferation
25 rate. The mechanisms by which this region-specific proliferation rate is regulated remain elusive.

26 Here we show that the activity of the mTOR signalling pathway, which regulates the
27 proliferation of the neural progenitor cells, is significantly lower in the floor plate than in other
28 domains of the embryonic neural tube. We identified the forkhead-type transcription factor FoxA2 as
29 a negative regulator of mTOR signalling in the floor plate. We demonstrate that FoxA2
30 transcriptionally induces the expression of the E3 ubiquitin ligase RNF152, which together with its
31 substrate RagA, regulates cell proliferation via the mTOR pathway. Silencing of RNF152 led to the
32 aberrant upregulation of the mTOR signal and aberrant cell division in the floor plate. Taken together,
33 the present findings suggest that floor plate cell number is controlled by the negative regulation of
34 mTOR signalling through the activity of FoxA2 and its downstream effector RNF152.

35

36 Key words: chick, neural tube, floor plate, mTOR, RNF152

37 Introduction

38 The neural tube is the embryonic precursor to the central nervous system, and is composed
39 of neural progenitor cells and postmitotic neurons (Le Dreau and Marti, 2012; Ribes and Briscoe,
40 2009). These cells are arranged in a quantitatively and spatially precise manner, which ensures that
41 the neural tube develops into a functional organ.

42 During neural tube development, cell specification, proliferation and tissue growth are
43 coordinated by secreted factors, collectively called morphogens (Dessaud et al., 2008; Kicheva et al.,
44 2014; Perrimon et al., 2012). Among them, Sonic Hedgehog (Shh) is expressed in the floor plate
45 (FP) and its underlying mesodermal tissue notochord, and the protein is distributed in a gradient from
46 the ventral to the dorsal regions, with the highest level in the FP (Ribes and Briscoe, 2009). Each
47 ventral progenitor cell acquires its own identity depending on the concentration of Shh (Dessaud et
48 al., 2008; Jacob and Briscoe, 2003).

49 Shh regulates cell proliferation in parallel with the cell specification (Komada, 2012). Embryos
50 devoid of the *Shh* gene exhibit not only defective pattern formation but also the reduced size of the
51 neural tube, suggesting that Shh plays indispensable roles in both cell proliferation and tissue growth
52 (Bulgakov et al., 2004; Chiang et al., 1996). However, sustained and excessive Shh signalling lead to
53 tumorigenesis (Dahmane et al., 2001; Rowitch et al., 1999). The Shh signal, therefore, needs to be
54 strictly regulated both spatially and temporally.

55 The FP, located at the ventral-most part of the neural tube, is a source of Shh, and acts as an
56 organiser for the dorsal-ventral pattern formation of the neural tube (Dessaud et al., 2010; Yu et al.,
57 2013). In addition, the FP has a number of unique characteristics compared with other neural
58 domains (Placzek and Briscoe, 2005). At the trunk level, the FP is non-neurogenic (Ono et al., 2007),
59 which is distinct from other progenitor domains where these cells differentiate into the corresponding
60 neurons (Dessaud et al., 2008; Ribes and Briscoe, 2009). FP cells express guidance molecules such
61 as Netrin and DCC, and which are essential for the precise guidance of the commissural axons (de la
62 Torre et al., 1997; Kennedy et al., 1994; Ming et al., 1997; Sloan et al., 2015). The FP also expresses
63 the actin-related factors, and is important for defining the neural tube shape (Nishimura et al., 2012;
64 Nishimura and Takeichi, 2008). Together, the FP is indispensable for pattern formation, morphology,
65 and functional control of the entire neural tube.

66 Neural progenitor cells in any neural domain actively proliferate and dynamically increases in
67 number, whereas the FP cells, which are exposed to the highest level of Shh, do not actively
68 increase (Kicheva et al., 2014). One possible explanation for this phenomenon is that the presence
69 of a negative regulator(s) for the cell proliferation that is exclusively expressed in the FP region, and
70 antagonise the proliferative effect of Shh.

71 The mechanistic target of rapamycin (mTOR) pathway is a versatile signalling system
72 involved in a number of biological events including cell proliferation, survival and metabolism through
73 early embryonic to postnatal stages (Gangloff et al., 2004; Laplante and Sabatini, 2009; Murakami et
74 al., 2004). The mTORC (mTOR complex) is the hub of mTOR signal (Laplante and Sabatini, 2012),

75 and acts as a serine/threonine kinase. Unsurprisingly, mTOR signal is essential for proper
76 development of the central nervous system (LiCausi and Hartman, 2018; Ryskalin et al., 2017; Tee et
77 al., 2016), and aberrant mTOR signalling is associated with neural defects during development.
78 Blocking the mTOR signal with the phosphoinositide 3-kinase and mTOR inhibitors represses
79 neurogenesis (Fishwick et al., 2010). Genetic elimination of the mTOR signal disrupts progenitor self-
80 renewal and brain morphogenesis (Ka et al., 2014). Analysis of Tuberous sclerosis complex subunit
81 1 (*Tsc1*), a negative regulator of mTOR signaling (Dalle Pezze et al., 2012), shows that *Tsc1*
82 homozygous mutant mice exhibit embryonic lethality with an unclosed neural tube (Kobayashi et al.,
83 2001; Rennebeck et al., 1998).

84 In the neural tube, the mTOR pathway is active in ventral regions and in migrating neural
85 crest cells, as shown by the expression of the phosphorylated form of mTOR (Nie et al., 2018).
86 Because Shh is important for the assignment of ventral neural domains (Ribes and Briscoe, 2009)
87 and migration of the neural crest (Kahane et al., 2013), the distribution of activated mTOR suggests
88 an association between Shh and the mTOR signaling pathway.

89 The mTOR pathway phosphorylates and activates the transcription factor Gli1, a mediator of
90 intracellular Shh signaling, and promotes the expression of target genes related to cell proliferation
91 (Wang et al., 2012), thus supporting the relationship between mTOR and Shh signalling. Gli1
92 activation by mTOR is recognised as non-canonical in terms of the Gli activation, as this pathway is
93 independent from the one mediated by the receptor protein for the Shh signal, Smoothed (Smo)
94 (Dessaud et al., 2008). However, this signal pathway was demonstrated at the cellular level, and
95 whether this pathway is also functional in a developmental context remains elusive. Abrogation of
96 cilia activates the mTOR signal (Foerster et al., 2017), and Shh signaling requires cilia (Sasai and
97 Briscoe, 2012), suggesting that Shh and mTOR have reciprocal activities. However, experiments in
98 conditional knockout mice suggest that the underlying regulatory mechanism is context-dependent
99 (Foerster et al., 2017).

100 In the present study, we mainly used chick embryos to investigate the mechanisms
101 underlying the selective low proliferation rate of FP cells, with particular focus on the relationship
102 between the Shh and mTOR signalling pathways. FoxA2, a transcription factor expressed in the FP
103 and a target gene of Shh, blocked the mTOR signal, thereby altering cell proliferation. We identified
104 the E3 ubiquitin ligase RNF152 as a target gene of FoxA2, and showed that RNF152 negatively
105 regulates mTOR signalling by catalyzing the ubiquitination of the small GTPase RagA. Loss-of-
106 function experiments were performed to demonstrate the role of RNF152 in regulating the
107 proliferation of FP cells.

108 **Results**

109 **The FP is significantly less proliferative than other neural domains**

110 To clarify the mechanisms underlying the regulation of cell proliferation and tissue growth of
111 the neural tube, the distribution of mitotic cells was examined by immunohistochemical detection of
112 phospho-Histone 3 (Ser 10) (pHH3)-positive cells in cross sections of the neural tube. Embryos were
113 harvested at Hamburger and Hamilton (HH) stage 11, soon after neural tube closure, HH stage 16, at
114 the start of neurogenesis, and HH stage 22, when the neural tube matures; and pHH3 expression
115 was analyzed at the anterior thoracic level. pHH3-positive cells were detected among apical cells
116 along the dorsal-ventral axis (Figures 1A,B,C). However, pHH3-positive cells were not detected in
117 the FP domain, which is characterized by high FoxA2 expression, at any stage (Figure 1A',B',C'),
118 suggesting that FP cells were not proliferative.

119 Quantitative evaluation of the rate of proliferation of FP cells was difficult because of the
120 small size of the FP domain. We therefore used overexpression analysis to obtain an increased
121 number of FP cells. We previously showed that overexpression of Shh in the chick neural tube at
122 different time points results in a distinct cell fate determination; early electroporation of Shh (i.e. at
123 HH stage 9) leads to the differentiation of the whole neural tube into the FP identity. Moreover, late
124 electroporation (i.e. at HH stage 11) leads to Nkx2.2-positive p3 identity (Ribes et al., 2010; Sasai et
125 al., 2014) in the entire neural tube, providing a good comparison with the FP.

126 By using this electroporation system, we compared the number of pHH3-positive cells
127 throughout the neural tube sections. The neural tube was significantly smaller in samples undergoing
128 early electroporation than in those undergoing late electroporation of ShhN (Figures 1D-F). In
129 addition, the rate of pHH3-positive apical cells was significantly higher in p3 cells induced by late Shh
130 (Figures 1D-F), suggesting that each domain has a different proliferation rate, and the FP has a low
131 proliferation rate.

132 Taken together, these results indicate that the cell proliferation activity is significantly lower in
133 FP cells in the neural tube.

134

135 **mTOR signal induces the cell proliferation in the neural tube, and is inactive in the FP**

136 We next explored the mechanisms underlying the regulation of cell proliferation in the neural
137 tube. Because the mTOR signaling pathway is important for cell proliferation in many biological
138 contexts (Saxton and Sabatini, 2017), we speculated that the mTOR signal was also involved in
139 regulating the proliferation of neural progenitor cells during neural tube development.

140 We examined the distribution of the cells active for the mTOR signal along the dorsal-ventral
141 axis of the neural tube. For this purpose, we evaluated two markers of mTOR activity, phospho-
142 p70S6K (p-p70S6K) and its downstream regulator phospho-S6 ribosomal protein (Ser235/236)
143 (hereafter pS6), by immunohistochemistry, at the anterior thoracic level of neural tube of chick
144 (Figures 2A-C,E-G,I-K,M-O,Q-S,U-W,Y-AA) and mouse embryos (Figures 2D,H,L,P,T,X,AB).

145 p-p70S6K-positive cells were distributed at the apical region of the neural tube at any stages
146 of chick (Figures 2A-C) and mouse (Figure 2D) neural tube. Moreover, importantly, all p-p70S6K-
147 positive cells are included by the pHH3-positive cells (Figures 2E-H), suggesting that the mTOR
148 signal is deeply involved in cell proliferation.

149 pS6 was detected at the apical domain of the neural tube at HH stage 11 (Figure 2M). At HH
150 stage 16, pS6 was found almost throughout the neural tube with variations in signal intensity (Figure
151 2N). At HH stage 22, pS6 was detected at the transition zone between progenitor and postmitotic
152 neurons (Figure 2O). On the other hand, in e11.5 mouse neural tube, a strong signal of pS6 was
153 found in the progenitor regions (Figure 2P). Thus the active area for mTOR signal becomes broader
154 at the downstream level as the development progresses, and a species-specific distribution of pS6
155 was found in the neural tube.

156 Although mTOR signaling activation, as detected by pS6 expression, was dynamic, neither p-
157 p70S6K nor pS6 were detected in the ventral-most domain at any stage (Figures 2I-L,Q-AB). To
158 more precisely identify pS6-positive cells, pS6-positive domains were compared with FoxA2 and
159 Nkx2.2 expressing domains (Ribes et al., 2010; Sasai et al., 2014). This analysis was performed
160 considering that FoxA2 is weakly expressed in the Nkx2.2-positive p3 domain (Figures 2Q-T), and
161 the *bona fide* FP region is defined by FoxA2-positive and Nkx2.2-negative regions (Ribes et al.,
162 2010; Sasai et al., 2014). The results showed that the ventral end of pS6 expression coincided with
163 the p3 domain, suggesting that the mTOR signal is active in almost all domains in the progenitor
164 regions of the neural tube, but not in the FP (Figures 2U-AB).

165 Taken together, these results indicated that mTOR signaling is involved in the proliferation of
166 neural progenitor cells, but not FP cells, during neural tube development.

167

168 **FoxA2 blocks cell proliferation through negative regulation of mTOR signal**

169 We next focused on the function of FoxA2, a forkhead-type transcription factor, in the
170 regulation of cell proliferation and mTOR signalling in the FP (Ang et al., 1993; Sasaki and Hogan,
171 1994). FoxA2, which is dominantly expressed in the FP, is one of the primary responsive genes of
172 Shh (Kutejova et al., 2016; Vokes et al., 2007) and is essential for FP differentiation (Placzek and
173 Briscoe, 2005; Sasaki and Hogan, 1994). To understand the involvement of FoxA2 in cell
174 proliferation and mTOR signalling, FoxA2 was overexpressed at HH stage 11 on one side of the
175 neural tube, and the phenotypes were analysed at 48 hpt. We found the FoxA2-overexpressing side
176 was significantly smaller than the control side (Figures 3A-B'). Consistently, the number of pHH3-
177 positive cells was significantly lower in FoxA2-overexpressing cells than in the control GFP-
178 expressing neural tube, suggesting that FoxA2 blocks cell cycle progression (Figures 3A-B'). The cell
179 positive for p-p70S6K (Figures 3D-E') and pS6 (Figures 3G-H') were also fewer in the FoxA2-
180 overexpressing side, suggesting that mTOR signaling was inactivated by FoxA2. Conversely, co-
181 expression of CA-mTOR with FoxA2 restored cell proliferation, as characterized by pHH3 expression
182 compared with that in cells expressing FoxA2 alone (Figures 3B-C'). The mTOR signal was also

183 partly recovered in the co-electroporated neural tube (Figures 3F,F',I,I'). These results suggest that
184 the negative effect of FoxA2 on mTOR signalling is rescued by CA-mTOR, and FoxA2 resides
185 upstream of mTOR.

186 We asked if the changes of cell number were mediated by apoptosis, and performed a
187 terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) assay. However, no
188 increasing positive signals were detected in any electroporation (Figures 3J-L'), suggesting that
189 programmed cell death was not the main cause of the alterations in cell numbers.

190 We finally asked if the cell fate change was involved in the mTOR signalling, and carried out
191 an in situ hybridisation with the FP gene *F-spondin* (Burstyn-Cohen et al., 1999; Klar et al., 1992)
192 probe. As a result, we found the ectopic *F-spondin* expression in both neural tubes electroporated
193 with sole FoxA2 (Figure 3N) or coelectroporation of FoxA2 and CA-mTOR (Figure 3O), whereas the
194 endogenous

195 Taken together, these results indicate that FoxA2 negatively regulates cell proliferation by
196 blocking the mTOR signal upstream of mTOR.

197

198 **RNF152 is expressed in the FP and is a target gene of FoxA2**

199 The role of FoxA2 as a transcription factor led us to hypothesize that FoxA2 induces the
200 expression of gene(s) that directly and negatively regulate mTOR signalling and cell proliferation. To
201 identify such negative regulators of mTOR signalling expressed in the FP, we performed reverse
202 transcription quantitative PCR (RT-qPCR) screening in chick neural explants.

203 Neural explants treated with a high concentration of Shh (hereafter denoted as Shh^H; see
204 Materials and Methods for the definition of "high concentration") differentiate into the FP, whereas
205 explants exposed to a low concentration of Shh (Shh^L) tend to differentiate into motor neurons and
206 V3 interneurons (Dessaud et al., 2010; Ribes et al., 2010; Sasai et al., 2014). RNA was extracted
207 from explants exposed to Shh^L or Shh^H for 48 h, and gene expression levels were compared with
208 those of explants without Shh by qPCR focusing on the components of mTORC1 (Laplante and
209 Sabatini, 2009, 2012, 2013) (see Supplementary Table 1 for primer sequences). The results showed
210 that expression of most of the genes was not affected by the presence or absence of Shh (Figure 4A).
211 However, *RNF152*, which encodes an E3 ubiquitin ligase (Deng et al., 2019; Deng et al., 2015), was
212 strongly induced in Shh^H explants with a weaker induction with Shh^L, suggesting that *RNF152* was
213 expressed preferentially in the FP.

214 The regulatory region of the *RNF152* gene contains a FoxA2 binding region (Metzakopian et
215 al., 2012). We therefore prepared explants electroporated with *FoxA2*, and compared gene
216 expression with that of control-GFP electroporated explants by RT-qPCR (Figure 4B). The *RNF152*
217 transcription level was significantly higher in FoxA2-overexpressing explants than in GFP-
218 electroporated explants, suggesting that *RNF152* is a target gene of FoxA2.

219 To identify the spatial expression of *RNF152* in the neural tube, we performed an *in situ*
220 hybridization analysis. Although the *RNF152* expression was not detected at HH stage 11 (Figure

221 4C), the expression was found in the FP at HH stages 16 and 22 with a lower level of expression in
222 the apical region of the neural tube (Figures 4D,E).

223 Taken together, these findings identified *RNF152* as potential negative regulator of the
224 mTOR signal.

225

226 **RNF152 negatively regulates cell proliferation through the mTOR signalling pathway**

227 We next attempted to analyse the function of RNF152 in the cell proliferation of the neural
228 tube. Because *RNF152* is expressed in the FP, we first investigated whether RNF152 is involved in
229 FP differentiation. We electroporated the expression plasmid for RNF152 and analysed the FoxA2
230 expression by immunohistochemistry. However, the expression was not affected by the RNF152
231 overexpression, suggesting that RNF152 *per se* is not involved in FP fate determination (Figures
232 5A,A').

233 The *RNF152* gene encodes an E3 ubiquitin ligase targeting the small GTPase RagA (Deng et
234 al., 2019; Deng et al., 2015; Kim et al., 2008), and the GTP-bound active form of RagA positively
235 regulates the mTOR signalling pathway (Efeyan et al., 2014; Shaw, 2008). Actually the expression of
236 the dominant-negative RagA (DN-RagA) blocks cell proliferation in the electroporated cells while the
237 constitutively-active RagA (CA-RagA) activates it, without changing the FP cell fate (Supplementary
238 Figure 2). RNF152 was therefore expected to act as a negative regulator of the mTOR signalling
239 pathway by blocking RagA activity. To prove this hypothesis, p-p70S6K expression was analysed in
240 cells overexpressing RNF152. The results showed p-p70S6K was downregulated in response to
241 RNF152 overexpression (Figure 5C,C'), suggesting that RNF152 is a negative regulator of mTOR
242 signalling. We further asked if the effect of RNF152 on cell proliferation in the neural tube, and
243 analysed the expression of pHH3 by immunohistochemistry, which showed that the number of
244 pHH3-positive cells was significantly lower in RNF152-overexpressed side than in the
245 unelectroporated side (Figures 5E,E'). Therefore, RNF152 negatively regulates cell proliferation via
246 blocking the mTOR signalling pathway.

247 We next asked if the effect of RNF152 can be rescued by hyperactivation of RagA. We
248 therefore electroporated CA-RagA together with RNF152, and investigated the expression of FoxA2,
249 p-p70S6K and pHH3. As a result, the number of p-p70S6K- and pHH3-positive cells was significantly
250 higher in cells co-electroporated with CA-RagA and RNF152 than in those overexpressing RNF152
251 alone (Figure 5D,D',F,F'), while FoxA2 expression was unchanged (Figure 5B,B'), suggesting that
252 RagA resides downstream of RNF152, and controls cell proliferation by antagonizing RNF152.

253 In summary, RNF152 negatively regulated cell proliferation by blocking mTOR signalling
254 upstream of RagA.

255

256 **Blocking RNF152 expression leads to aberrant cell division in the FP**

257 To elucidate the function of RNF152 in mTOR signaling and FP cell proliferation, we
258 designed a loss-of-function experiment to inhibit the RNF152 expression by si-RNA. We

259 electroporated *si-control* or *si-RNF152* in the ventral region of the neural tube together with the GFP-
260 expressing plasmid at HH stage 10, and cultured the embryos for 48 h to reach HH stage 18.

261 While no ventral expansion of pS6 was found by the *si-control* electroporation (Figure 6A,A'),
262 *si-RNF152* induced aberrant pS6 expression in the FP (Figures 6B,B'), suggesting that the mTOR
263 signal can be reverted by inhibiting RNF152. Moreover, pHH3 was found in the midline cells (Figure
264 6G), which was never expressed in the *si-control*-electroporated neural tube (Figures 6F,G). This
265 pHH3-positive cells coexpressed FoxA2 (Figure 6G"), suggesting that the aberrant pHH3 expression
266 was induced by the perturbation of RNF152 expression.

267 We confirmed that the activation of mTOR signal induced the ectopic pHH3 expression in the
268 FP region. We electroporated control, CA-mTOR or CA-RagA in the ventral neural tube, and checked
269 the expression of pS6 and pHH3. As expected, the pS6 expression was found in the FP region in
270 CA-mTOR and CA-RagA electroporation while no expansion was found the control electroporation
271 (Figure 6C-E'). Moreover, pHH3 expression, which was not found in the FP upon the electroporation
272 of the control plasmid, was found in the midline cells. Moreover, the pHH3-positive cells coexpressed
273 FoxA2, suggesting that the ectopic pHH3 expression did not change the FP cell fate (Figures
274 6H",I",J"). Finally, the FoxA2 expression domain did not change by the electroporation of CA-mTOR
275 or CA-RagA, suggesting that the FoxA2 expression was regulated at the upstream level of the mTOR
276 signal.

277 Altogether, RNF152 is essential for inhibiting the cell proliferation, and blocking the function
278 of RNF152 either by *si-RNA* or by activating mTOR signal induced the aberrant cell division in the FP.

279 **Discussion**

280 **RNF152 is a negative regulator of mTOR signalling in neural tube development**

281 In the present study, we demonstrated that activation of the mTOR signalling pathway
282 promotes cell proliferation in the neural tube. mTOR signalling is inactivated in the FP, which
283 corresponds to the low proliferation rate of FP cells. FoxA2 is an essential transcription factor that
284 restricts cell proliferation, and this negative regulation is mediated by RNF152, an E3 ubiquitin ligase
285 that targets the mTOR pathway component RagA and a target gene of FoxA2.

286 Although Shh regulates not only pattern formation in the neural tube, but also cell proliferation
287 and tissue growth, FP cells exposed to the highest level of Shh have a low proliferation rate (Kicheva
288 et al., 2014). The present study elucidated the mechanism underlying this regulatory function.

289 RNF152, a lysosome-anchored E3 ubiquitin ligase (Deng et al., 2019; Zhang et al., 2010)
290 containing RING-finger and transmembrane domains, was initially thought to induce apoptosis
291 (Zhang et al., 2010). Further study showed that RNF152 ubiquitinates and targets the GDP-bound
292 form of RagA for degradation, thereby negatively regulates mTOR signalling (Deng et al., 2015).
293 Consistently, *RNF152* knockout cells exhibit hyperactivation of mTOR signalling (Deng et al., 2015).
294 Moreover, a recent study proposed that RNF152 has an essential function in neurogenesis by
295 regulating *NeuroD* expression (Kumar et al., 2017). Although these findings at the cell level suggest
296 that RNF152 plays essential roles during the entire course of life including embryogenesis, mutant
297 mice devoid of the *RNF152* gene are actually viable (Deng et al., 2015), suggesting the existence of
298 a compensatory mechanism for RNF152 to ensure survival.

299 On the other hand, RagA, a substrate of RNF152, is essential for embryogenesis; genetic
300 deletion of the *RagA* gene causes morphological and growth defects, and the embryos consequently
301 die at embryonic day 10.5 (Efeyan et al., 2014). This suggests that RagA activation is not exclusively
302 regulated by RNF152, and other factors may be involved in the modulation of RagA activity. To
303 elucidate the critical function of RNF152 in certain aspects of development or at postnatal stages,
304 conditional knockout mice are needed to delete specific functions at a specific space and time.

305 Consistent with the diverse functions of RNF152 and RagA, the downstream mTOR signal is
306 involved at multiple levels during neural development (Yu and Cui, 2016). In addition to its roles in
307 neurogenesis (Fishwick et al., 2010), mTOR signaling is essential for neural tube closure, as
308 demonstrated in *TSC1/2* knockout mice (Kobayashi et al., 2001). Although the critical function of
309 mTOR signalling during neural development is known, an integral understanding of the role of mTOR
310 signalling in this process requires conditional knockout mice to delete its function during each stage
311 of neural development.

312

313 **Factors upstream of the mTOR signal**

314 Figure 7 is a schematic of the regulation of cell proliferation in the FP. FoxA2, a target of Shh,
315 induces the expression of downstream target genes including RNF152. RNF152 inactivates the

316 mTOR signalling pathway, thereby negatively regulates cell proliferation. In this sense, our present
317 study linked the two signalling pathway of Shh and mTOR.

318 The upstream component of the mTOR pathway that is active during neural tube
319 development remains unidentified. mTOR signalling can be activated by insulin-like growth factor
320 (IGF) (Laplante and Sabatini, 2012). However, the expression of IGF and the activation of mTOR do
321 not occur in parallel during neural tube development. IGF1 is not expressed at a detectable level
322 during neural tube development (NS, unpublished observation). Furthermore, IGF2 and IGF1R (IGF1
323 receptor) are expressed in somites and in the dorsal part of the neural tube (Fishwick et al., 2010),
324 whereas mTOR is phosphorylated in the ventral neural tube and in the neural crest (Nie et al., 2018).
325 pAKT, which resides upstream of mTOR, is active at the apical domain of the neural tube and along
326 the dorsoventral axis (Supplementary Figures 1A,B), and later in the commissural axons
327 (Supplementary Figure 1C), which does not correspond to the distribution of the downstream
328 molecule pS6 (Figure 2C,O). These results suggest that mTOR signal is activated dynamically and
329 plays multiple roles during neural tube development; the progenitor cell proliferation is encouraged at
330 earlier stages, while the maturation and/or the migration of the neurons at later stages. Moreover, it is
331 quite possible that more than one upstream factors activate the pathway in a context dependent
332 manner.

333 Future analyses can focus on the behaviour of single cell at different developmental stages to
334 find the new effector(s), which will elucidate the mechanisms by which diverse mTOR functions are
335 exerted.

336 **Materials and Methods**

337 **Ethical Statements**

338 All animal experiments were carried out in accordance with the national and domestic legislations. All
339 protocols of the experiments on chick and mouse embryos were approved by the animal research
340 review panel of Nara Institute of Science and Technology (approval numbers 1636 and 1810,
341 respectively).

342

343 **Electroporation, immunohistochemistry and *in situ* hybridisation**

344 Chicken eggs were purchased from the Yamagishi Farm (Wakayama Prefecture, Japan), and
345 developmental stages were evaluated according to the Hamburger and Hamilton criteria (Hamburger
346 and Hamilton, 1992). Electroporation was performed with the ECM 830 (BTX) electroporator in the
347 neural tube of embryos using pCIG-based expression plasmids, in which gene expression is induced
348 by the chicken beta-actin promoter (Megason and McMahon, 2002). For the ventral electroporation
349 (Figure 6), electrodes was placed on the embryos and under the embryos. *pCIG-CA-mTOR* was
350 generated by modifying the *pcDNA3-FLAG-mTOR-S2215Y* vector purchased from Addgene (#
351 69013), which was deposited by Dr. David Sabatini (Grabiner et al., 2014). Detailed information of
352 the plasmids and si-RNAs used in this study is provided in Supplementary Table 2. Embryos were
353 incubated in a 38°C incubator for the indicated times at constant humidity.

354 Embryos were fixed with 4% paraformaldehyde on ice for 2 hours, and then incubated with
355 15% sucrose/PBS solution overnight. Embryos were embedded in the OCT compound (Sakura) and
356 sectioned at a thickness of 12 µm (Sakura Finetek, Japan).

357 Immunohistochemistry and *in situ* hybridization were performed as described previously
358 (Sasai et al., 2014). The antibodies used in this study are listed in Supplementary Table 2.

359 Timed pregnant mice were purchased from Japan SLC (Shizuoka Prefecture, Japan).
360 Embryos were extracted and processed as described for chick embryos.

361

362 **Explants, RNA extraction and RT-qPCR**

363 Intermediate neural explants comprise the uniform type of neural progenitor cells, which are
364 sensitive to patterning factors and are a useful experimental model to recapitulate *in vivo* neural
365 development (Dessaud et al., 2010; Sasai et al., 2014). For preparation, chick embryos were
366 extracted from eggs at HH stage 9, and the intermediate region of the neural plate at the preneural
367 tube level (Delfino-Machin et al., 2005) was excised. If necessary, expression plasmids were
368 overexpressed before extracting the embryos (Figure 4B). Explants were embedded in a pH-adjusted
369 collagen gel with DMEM. The culture medium consisted of DMEM/F-12 (Thermo Fisher Scientific),
370 Mito+Serum Extender (Sigma), and penicillin/streptomycin/glutamine (Wako). Recombinant Shh was
371 prepared in house (Kutejova et al., 2016; Sasai et al., 2014). Shh^H was defined as the concentration
372 at which the explants produced a dominant population of Nkx2.2-positive cells with a small subset of
373 Olig2 cells at 24 h. SHH^L was defined as 1/4 of the concentration of Shh^H, producing >70% Olig2-

374 positive cells and a lower number of Nkx2.2-positive cells (Dessaud et al., 2010; Dessaud et al.,
375 2007). At the late 48 h time point, Shh^H explants differentiated into the FP, whereas Shh^L induced
376 motor neuron differentiation, as characterized by Islet1 expression (Ribes et al., 2010; Yatsuzuka,
377 2018).

378 For RT-qPCR, RNA was extracted using the NucleoSpin RNA extraction kit (Macherey-Nagel
379 U0955), and cDNA was synthesized using the PrimeScript II cDNA synthesis kit (TaKaRa 6210). The
380 qPCR reaction mixtures were prepared with SYBR FAST qPCR master mix (KAPA KR0389), and
381 PCR amplification was quantified by LightCycler 96 (Roche).

382

383 **Images collection and statistical analysis**

384 The immunofluorescent and *in situ* hybridisation images were captured with the LSM 710 confocal
385 microscope and axiocam digital camera (Carl Zeiss), and were processed by Photoshop CC (Adobe)
386 and figures were integrated by Illustrator CC (Adobe). Statistical analyses were carried out by using
387 Prism (GraphPad). Statistical data are presented as mean values \pm s.e.m., and significance (**;
388 $p < 0.01$, ***; $p < 0.001$, ****; $p < 0.0001$ or n.s.; not significant. Statistical analyses between two groups
389 were carried out with two-tailed t-test.

390

391 **Data accessibility**

392 All the data are available in the main text, figures and the supplementary materials.

393

394 **Author contributions**

395 NS conceived the project. MK and NS performed experiments, and analysed the data. NS wrote the
396 manuscript.

397

398 **Competing interest**

399 The authors declare that no competing interests exist.

400

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408

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413 **Figure Legends**

414 **Figure 1. Floor plate cells are not proliferative unlike those of other neural domains. (A-C')**
415 Expression of pHH3 and FoxA2 in neural tube sections at HH stage 11 (A,A'), 16 (B,B') and 22 (C,C').
416 pHH3-positive cells were not detected in the FP, where FoxA2 is highly expressed (A',B',C'). (D-F)
417 FP cells were less proliferative than p3-interneuron progenitor cells. The neural tube cells
418 differentiate into FP or p3 cells after time-lagged forced expression of ShhN. The expression plasmid
419 for ShhN was electroporated either at HH stage 9 (early E.P.; D) or stage 12 (late E.P.; E) and the
420 embryos were cultured for 48 hours (D) or for 36 hours (E) when they reached HH stage 22 for
421 analysis by immunohistochemistry with the GFP and pHH3 antibodies. Early electroporation (D) led
422 to neural tube differentiation into the FP, whereas late electroporation (E) led to differentiation into
423 p3-interneuron progenitor cells (Ribes et al., 2010; Sasai et al., 2014). (F) Quantitative data for (D)
424 and (E). The positive cells for pHH3 were counted, and the positive rate along the apical surface was
425 presented. Scale bars in (A) for (A-C'),(D),(E) = 50 μ m.

426
427 **Figure 2. mTOR signal is negative in the floor plate. (A-L)** p-p70S6K (A-L), pHH3 (E-H) and
428 FoxA2 (I-L) expression was identified by immunohistochemistry at HH stages 11 (A,E,I), 16 (B,F,J)
429 and 22 (C,G,K) of chick and at e11.5 (D,H,L) mouse neural tube sections. (M-AB) pS6-positive cells
430 (red; M-P,U-AB) were analysed with those of Nkx2.2 (blue; Q-X) and FoxA2 (green; Q-T,Y-AB).
431 (I),(J),(K) and (L) correspond to the areas surrounded by rectangles in (E),(F),(G) and (H),
432 respectively. (Q,U,Y),(R,V,Z),(S,W,AA) and (T,X,AB) correspond to the areas surrounded by
433 rectangles in (M),(N),(O) and (P), respectively. Scale bars = 50 μ m. The FP area is indicated by
434 arrowheads (M-P).

435
436 **Figure 3. FoxA2 negatively regulates the cell proliferation by blocking the mTOR signal.** FoxA2
437 blocks phosphorylation of p70S6K and S6, and proliferation of the cells without inducing programmed
438 cell death. Plasmids expressing control GFP (A,A',D,D',G,G',J,J',M), FoxA2 (B,B',E,E',H,H',K,K',N) of
439 FoxA2 together with CA-mTOR (C,C',F,F',I,I',L,L',O) were electroporated into one side of the neural
440 tube of HH stage 12 embryos and the phenotypes were analysed at 48 hpt by immunohistochemistry
441 with pHH3 (A-C'), p-p70S6K (D-F'), pS6 (G-I') and GFP (A',B',C',D',E',F',G',H',I',J',K',L') antibodies,
442 or by a TUNEL assay (J-L'). The merged cells of pHH3 (C,C'), p-70S6K (F,F') or pS6 (I,I') with GFP
443 expression are indicated by filled arrowheads, and the pHH3- (B,B'), p-p70S6K- (E,E') and pS6-
444 (H,H') negative on GFP-positive cells are indicated by outlined arrowheads. The medio-lateral
445 distances are indicated by double arrows. (M-O) The cell fate determination for FP by FoxA2 is not
446 altered by CA-mTOR. *F-spondin*-positive cells were identified by *in situ* hybridisation. The *F-spondin*
447 expression ectopically induced by FoxA2 is indicated by filled arrowheads (N,O). Scale bar = 50 μ m.

448
449 **Figure 4. RNF152 is one of target genes of FoxA2, and is expressed in the FP. (A)** *RNF152* is a
450 responsive gene for Shh. RT-qPCR analysis of genes related to the mTOR signal. Chick neural

451 explants treated with control medium or in the presence of Shh^L or Shh^H for 48 hours were analysed
452 using the indicated gene primers. (B) *RNF152* is a target gene of FoxA2. Explants electroporated
453 with FoxA2 were cultured for 48 hours and the expression of *RNF152* was analysed by RT-qPCR.
454 (C-E) *RNF152* is expressed in the FP. Sections of the neural tube were analysed by *in situ*
455 hybridisation with the *RNF152* probe at HH stage 11 (C), 16 (D) and 22 (E). The FP expression is
456 indicated by arrowheads (D,E). Scale bar = 50 μ m.

457

458 **Figure 5. RNF152 negatively regulates the cell proliferation through the mTOR signalling**
459 **pathway.** (A-F) RNF152 negatively regulates mTOR signalling and cell proliferation without altering
460 the cell fate of the FP. The expression plasmids carrying RNF152 (A,A',C,C') or RNF152 together
461 with CA-RagA (B,B',D,D') were electroporated at HH stage 12 and the FoxA2 (A-B'), p-p70S6K (C-
462 D'), pHH3 (E-F'), and GFP (A',B',C',D',E',F') expression was analysed by immunohistochemistry at
463 48 hpt. (E-H') Cell proliferation is regulated by activation of RagA. DN-RagA (E,E',G,G') or CA-RagA
464 (F,F',H,H') was electroporated at HH stage 12 and phenotypes were analysed at 48 hpt with pHH3
465 (E-F'), FoxA2 (G-H') and GFP (E',F',G',H') antibodies. Scale bars in (A) for (A-B') and in (C) for (C-F')
466 = 50 μ m.

467

468 **Figure 6. Blocking RNF152 expression leads to aberrant mTOR signal upregulation and cell**
469 **division in the floor plate.** (A-B',F-G'") Knockdown of RNF152 by *si-RNA* caused aberrant mTOR
470 activation and the appearance of pHH3-positive cells. *si-control* (A,A',F,F',F'") or *si-RNF152*
471 (B,B',G,G',G'") were electroporated in the FP at HH stage 10 and embryos were analysed at 48 hpt
472 with pS6 (A-B'), pHH3 (F-G'"), FoxA2 (F'',G'") and GFP (A',B',F',G') antibodies. (C-E',H-J'") Activation
473 of mTOR signal induces aberrant cell division. The plasmids of control (C,C',H,H',H'"), CA-mTOR
474 (D,D',I,I',I'"), or CA-RagA (E,E',J,J',J'") was electroporated in the same way as in (A,B) and analysed
475 with pS6 (C-E'), pHH3 (H-J'") and FoxA2 (H'',I'',J'") and GFP antibodies (C',D',E',H',I',J'). The affected
476 areas are indicated by filled arrowheads and outlined arrowheads. Scale bar = 50 μ m.

477

478 **Figure 7. A regulatory loop composed of Shh, FoxA2 and RNF152 modulates FP cell**
479 **proliferation.** FoxA2 expression is induced by Shh, whereas *RNF152* is a target gene of FoxA2.
480 RNF152 blocks the cell proliferation through by negatively regulating mTOR signalling.
481 Transcriptional regulation is indicated by solid arrows; activation, and inactivation with protein
482 interactions or modifications are indicated by dotted arrows; the regulation of cell proliferation by the
483 activation of p70S6K is apparently indirect, which is indicated by the gray arrow.

484 **Supplementary Information**

485 **Supplementary Figure 1. pAKT is localised at the apical domain and at commissural neurons.**

486 pAKT-positive cells are identified by immunohistochemistry in chick neural tube sections HH stages
487 11 (A), 16 (B) and 22 (C). Expression in the apical domain and in the commissural axons are
488 indicated by arrowheads and arrows, respectively. Scale bar = 50 μ m.

489

490 **Supplementary Figure 2. Cell proliferation is regulated by activation of RagA.** DN-RagA

491 (A,A',C,C') or CA-RagA (B,B',D,D') was electroporated at HH stage 12 and phenotypes were
492 analysed at 48 hpt with pHH3 (A-B'), FoxA2 (C-D') and GFP (A',B',C',D') antibodies. Scale bars in
493 (A) for (A-B') and in (C) for (C-D') = 50 μ m.

494

495

496 **Supplementary Table 1 Primers for quantitative PCR**

497

498 **Supplementary Table 2 Plasmids, siRNAs and antibodies used in this study**

499 (references)

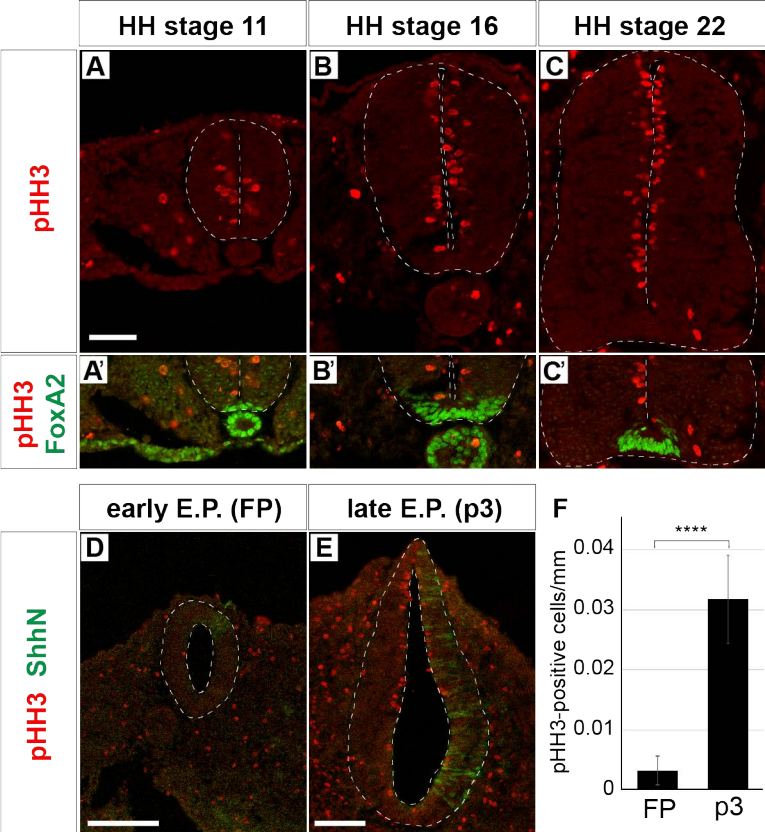
500 (Grabiner et al., 2014; Kim et al., 2008; Li et al., 2004; Sasai et al., 2014; Sato et al., 2008; Tabancay
501 et al., 2003; Yatsuzuka, 2018)

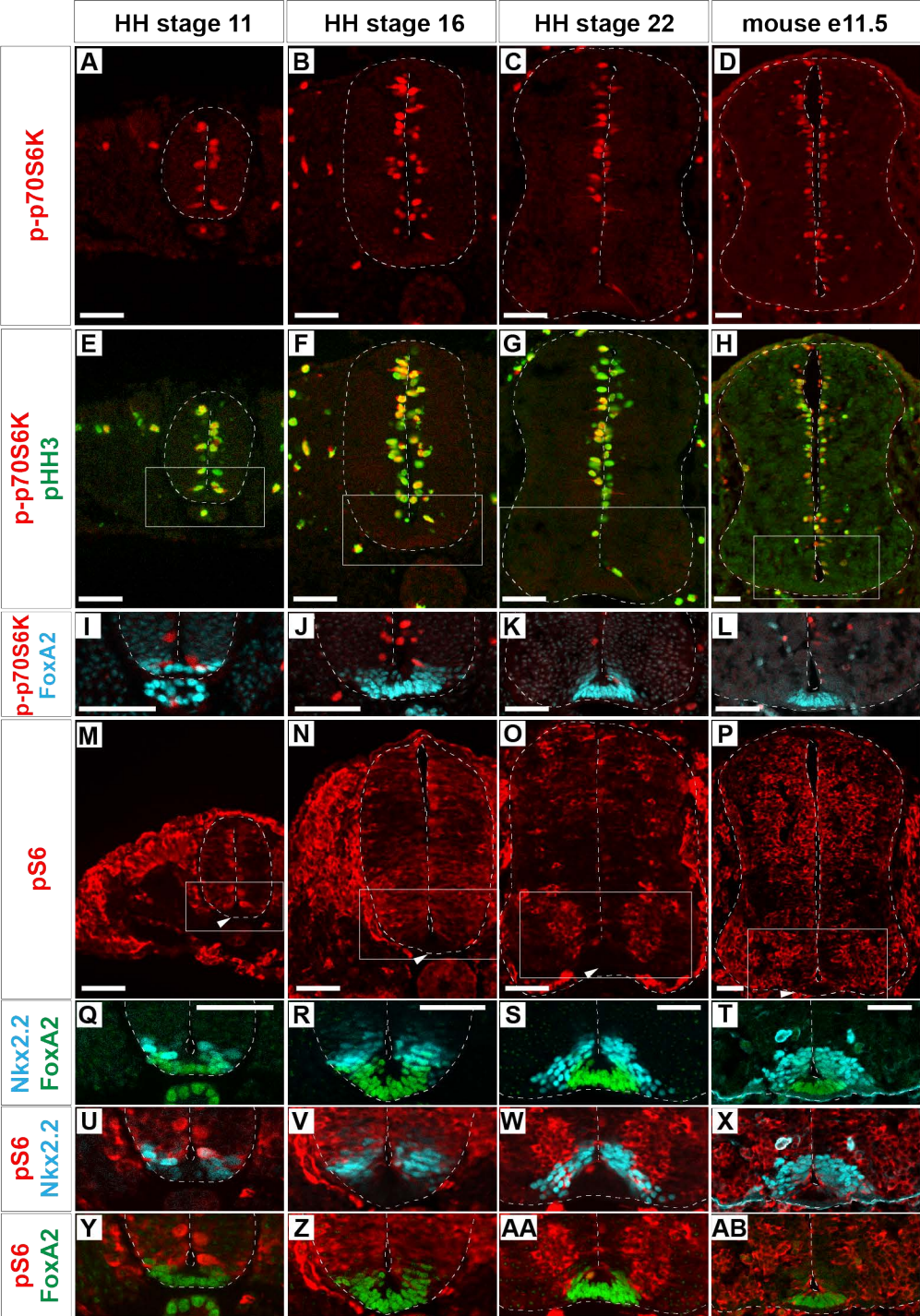
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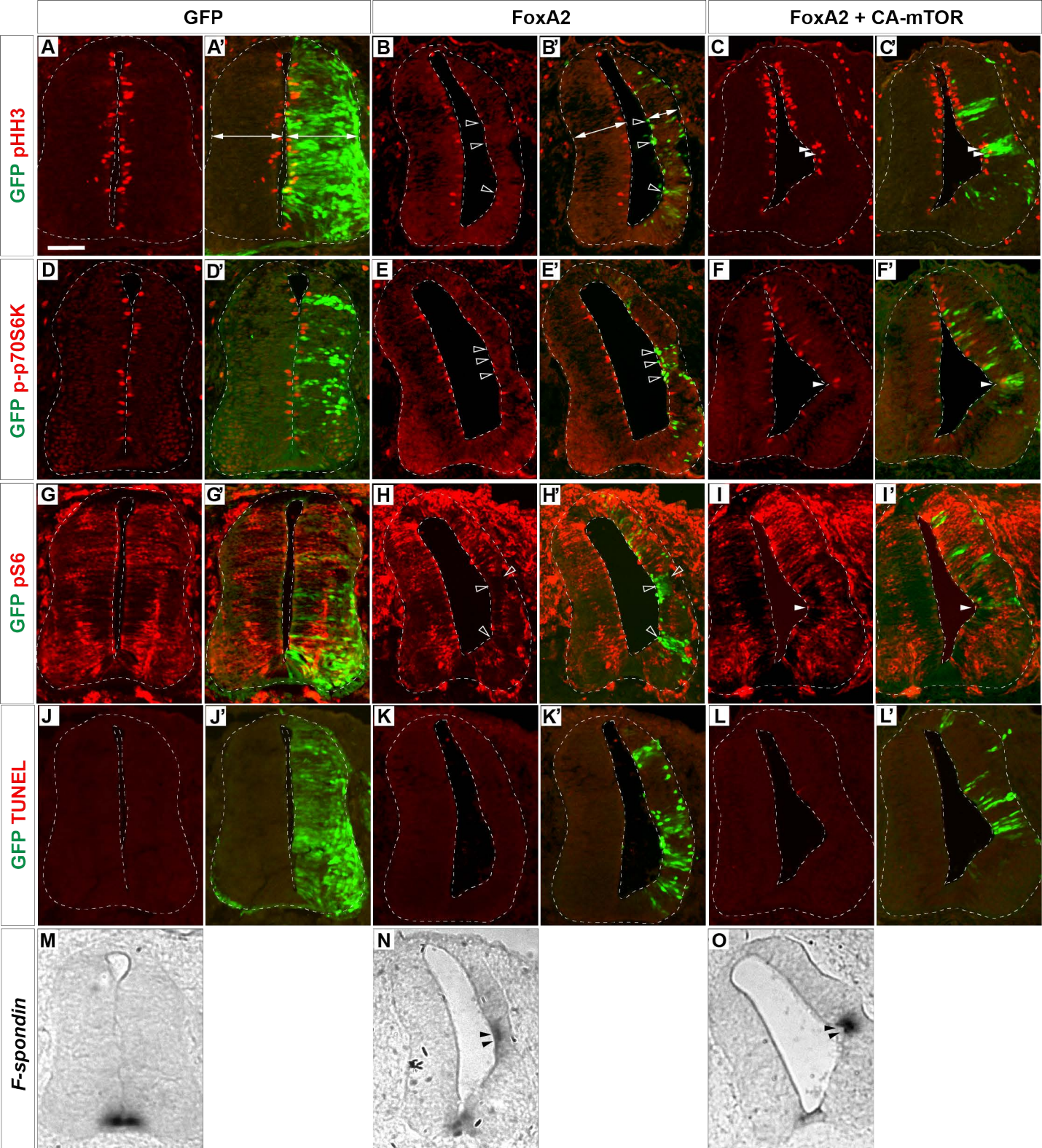
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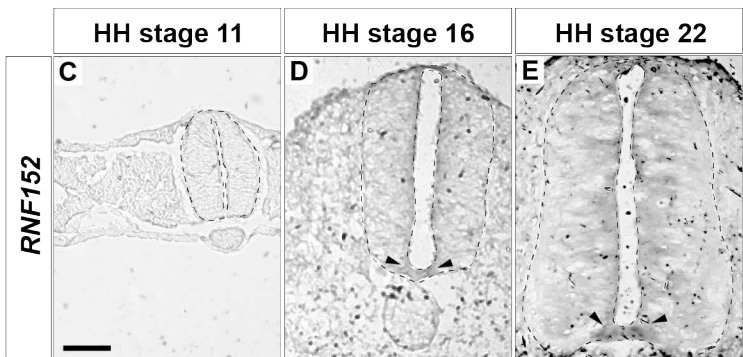
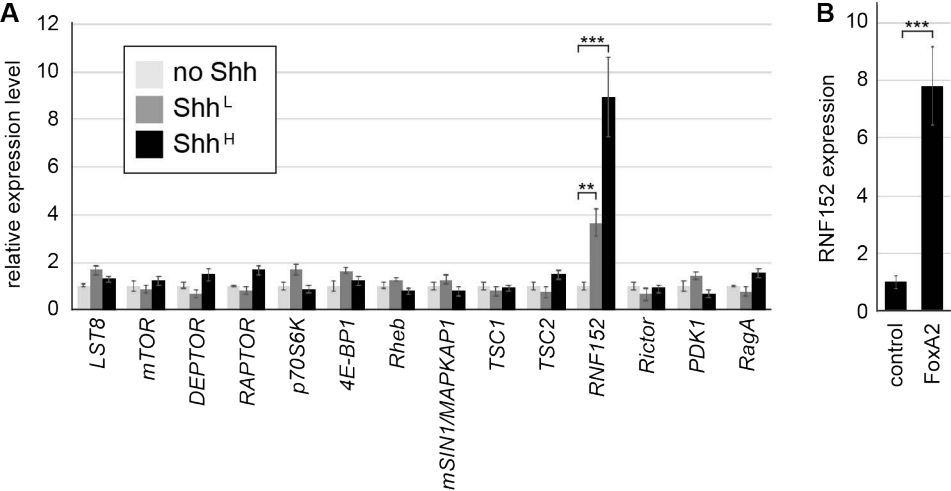
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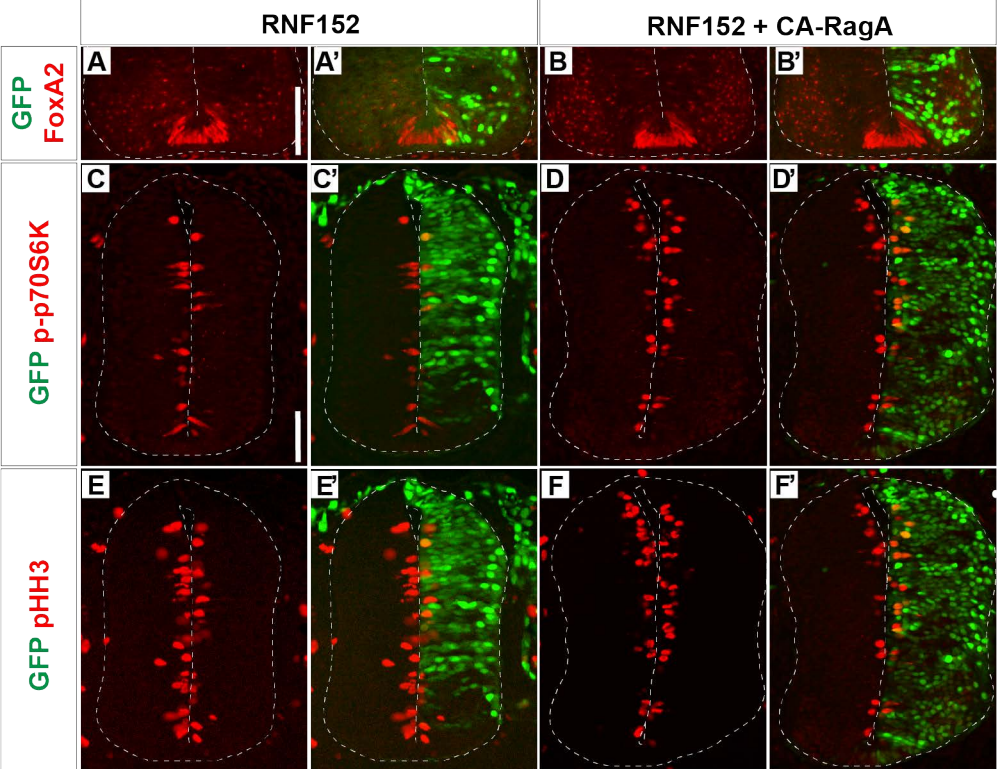




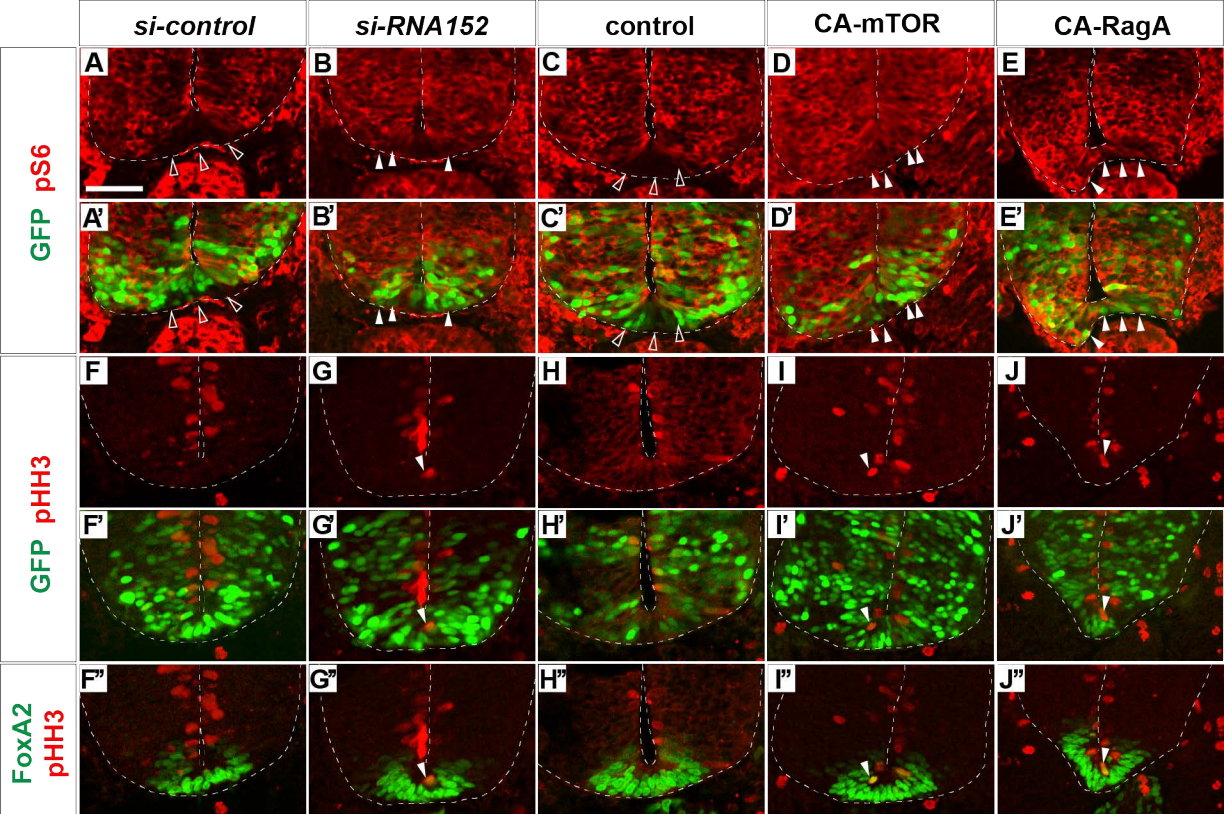
Kadoya and Sasai, Figure 2



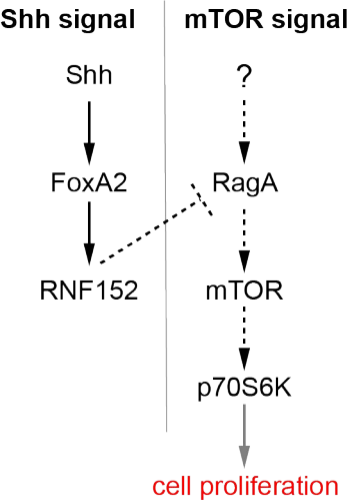




Kadoya and Sasai, Figure 5



Kadoya and Sasai, Figure 6

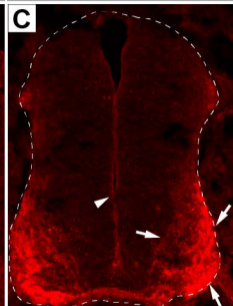
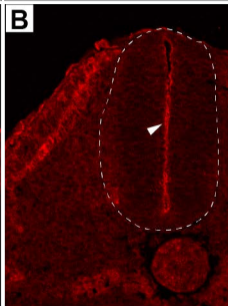
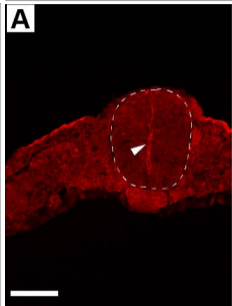


HH stage 11

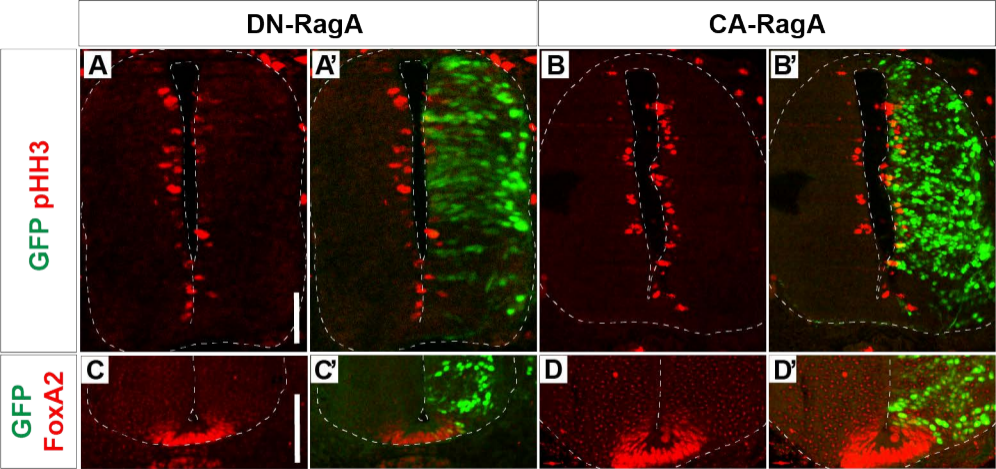
HH stage 16

HH stage 22

p-AKT



Kadoya and Sasai, Supplementary Figure 1



Kadoya and Sasai, Supplementary Figure 2