

## ORIGINAL RESEARCH

# Hypoxia Upregulates Estrogen Receptor $\beta$ in Pulmonary Artery Endothelial Cells in a HIF-1 $\alpha$ -Dependent Manner

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## Abstract

17 $\beta$ -Estradiol (E2) attenuates hypoxia-induced pulmonary hypertension (HPH) through estrogen receptor (ER)-dependent effects, including inhibition of hypoxia-induced endothelial cell proliferation; however, the mechanisms responsible for this remain unknown. We hypothesized that the protective effects of E2 in HPH are mediated through hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ )-dependent increases in ER $\beta$  expression. Sprague-Dawley rats and ER $\alpha$  or ER $\beta$  knockout mice were exposed to hypobaric hypoxia for 2–3 weeks. The effects of hypoxia were also studied in primary rat or human pulmonary artery endothelial cells (PAECs).

Hypoxia increased expression of ER $\beta$ , but not ER $\alpha$ , in lungs from HPH rats as well as in rat and human PAECs. ER $\beta$  mRNA time dependently increased in PAECs exposed to hypoxia. Normoxic HIF-1 $\alpha$ /HIF-2 $\alpha$  stabilization increased PAEC ER $\beta$ , whereas HIF-1 $\alpha$  knockdown decreased ER $\beta$  abundance in hypoxic PAECs. In turn, ER $\beta$  knockdown in hypoxic PAECs increased HIF-2 $\alpha$  expression, suggesting a hypoxia-sensitive feedback mechanism. ER $\beta$  knockdown in hypoxic PAECs also decreased expression of the HIF inhibitor prolyl hydroxylase 2 (PHD2), whereas ER $\beta$  activation increased PHD2 and decreased both HIF-1 $\alpha$  and HIF-2 $\alpha$ , suggesting that ER $\beta$  regulates the PHD2/HIF-1 $\alpha$ /HIF-2 $\alpha$  axis during hypoxia. Whereas hypoxic wild-type or ER $\alpha$  knockout mice treated with E2 demonstrated less pulmonary vascular remodeling and decreased HIF-1 $\alpha$  after hypoxia compared with untreated hypoxic mice, ER $\beta$

knockout mice exhibited increased HIF-2 $\alpha$  and an attenuated response to E2 during hypoxia. Taken together, our results demonstrate a novel and potentially therapeutically targetable mechanism whereby hypoxia, *via* HIF-1 $\alpha$ , increases ER $\beta$  expression and the E2-ER $\beta$  axis targets PHD2, HIF-1 $\alpha$ , and HIF-2 $\alpha$  to attenuate HPH development.

**Keywords:** hypoxia-inducible factor 1 $\alpha$ ; hypoxia-inducible factor 2 $\alpha$ ; pulmonary hypertension; prolyl hydroxylase 2; 17 $\beta$ -estradiol

## Clinical Relevance

Our study has three important clinical implications. First, we identify a novel and lung-specific estrogen receptor  $\beta$  response to hypoxia that may explain the protective effects driven by estrogen in hypoxia-induced pulmonary hypertension. This finding has potential therapeutic implications because although hypoxia-induced pulmonary hypertension is one of the most common forms of pulmonary hypertension worldwide, no therapeutic options exist. Second, our results are the first to link estrogen receptor to the regulation of hypoxia-inducible factor 2. Third, our results may help solve the “estrogen paradox” in pulmonary arterial hypertension.

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Pulmonary hypertension (PH) encompasses a heterogeneous group of pulmonary vascular disorders characterized by increased vasoconstriction and aberrant muscularization of the pulmonary arteries (PAs) that lead to increased right ventricular afterload and, if left untreated, right ventricular failure and death (1–3). Several forms of PH are characterized by sexual dimorphism. For example, hypoxia-induced PH (HPH), one of the most common forms of PH worldwide (4–6), is less common and less severe in females (reviewed in Reference 7). On the other hand, pulmonary arterial hypertension (PAH), a progressive vasculopathy characterized by uncontrolled endothelial proliferation, obliterative PA lesions, and markedly elevated PA pressures, exhibits a female/male ratio that ranges from 1.4 to 4.1:1 (reviewed in Reference 7). These disparate observations have been attributed to dichotomous and tissue-dependent effects of 17 $\beta$ -estradiol (E2) and its receptors (estrogen receptor  $\alpha$  [ER $\alpha$ ] and ER $\beta$ ). For example, although E2 may have detrimental effects on the pulmonary vasculature in the context of a *BMPR2* mutation (8, 9), it has favorable ER-dependent effects on pulmonary vascular tone and PA endothelial cell (PAEC) homeostasis in hypoxic conditions (10–13). Interestingly, E2's vasculoprotective effects on PAECs are not observed during normoxic conditions, indicating that E2 exerts specific actions during hypoxia that may not be active during nonhypoxic conditions. Further studies of the specific effects of hypoxia on E2 signaling in the pulmonary vasculature are therefore of crucial importance, as such investigations may 1) identify potential novel therapeutic targets for HPH and PH induced by chronic lung diseases, and 2) elucidate whether alterations in the E2-ER axis—similarly to recently identified alterations in estrogen metabolism (14, 15)—contribute to the predisposition of females for PAH. Given our recently reported observations of hypoxia-specific and ER-mediated protective E2 signaling in HPH (10, 16), we aimed to identify whether and how hypoxia affects ER expression and function in the pulmonary vasculature.

ER $\alpha$  and ER $\beta$  (encoded by the genes *Esr1* and *Esr2*, respectively) are proteins that belong to the nuclear-receptor superfamily of transcription factors and are expressed in both sexes (17, 18). Two

subtypes exist, both of which are expressed in the histologically normal lung, with ER $\beta$  purportedly being more abundant than ER $\alpha$  (19–22). In addition to differences in tissue expression patterns, the two ERs also differ in their biological functions (21–24). For instance, in the setting of breast and prostate cancer, ER $\alpha$  mediates proproliferative effects and ER $\beta$  mediates antiproliferative signaling (25–28). Interestingly, ER $\beta$  exhibits relevant effects in PAECs. Specifically, ER $\beta$  mediates E2-induced increases in endothelial nitric oxide synthase activity and prostacyclin synthesis in fetal bovine PAECs (29, 30), and attenuates ERK1/2 (p42/44 MAPK) activation in hypoxic rat PAECs (10). Administration of a selective ER $\beta$  agonist replicates E2's inhibitory effects on hypoxic pulmonary vasoconstriction in isolated rat PA rings, and this effect is mediated in a nitric oxide- and endothelium-dependent manner (13). Lastly, in a rat model of HPH, E2-mediated decreases in pulmonary vascular remodeling were attenuated after cotreatment with a selective ER $\beta$  antagonist (10).

These findings implicate a unique pathway of ER-dependent signaling during hypoxia that inhibits pulmonary vascular remodeling. We sought to investigate whether and how hypoxia affects ER expression and function *in vitro* and *in vivo*. We demonstrate for the first time that hypoxia upregulates ER $\beta$  expression in isolated PAECs as well as in the pulmonary vasculature, and that this increase is dependent on hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ). Furthermore, we provide the first evidence demonstrating that ER $\beta$  decreases both HIF-1 $\alpha$  and HIF-2 $\alpha$  *in vitro* and *in vivo*, and is necessary for E2's vasculoprotective effects in a murine model of HPH. This novel role of ER $\beta$  in regulating HIF-2 $\alpha$  is of particular relevance because dysregulated HIF-2 $\alpha$  signaling has recently been reported to be a potent driver of the formation of obliterative lesions in PH (31, 32). Finally, we provide evidence that ER $\beta$  stimulates expression of the HIF- $\alpha$  inhibitor prolyl hydroxylase 2 (PHD2) in PAECs, thus identifying attenuation of HIF-1 $\alpha$  and HIF-2 $\alpha$  signaling as a novel mechanism of E2 action in the pulmonary vasculature. Parts of this study have been previously reported in abstract form (33, 34).

## Methods

Please refer to the data supplement for details regarding the materials and methods used in this work.

### Animal Care

The animal studies were approved by the Indiana University School of Medicine Institutional Animal Care and Use Committee, and adhered to the National Institutes of Health guidelines for the care and use of laboratory animals under the Animal Welfare Act.

### In Vivo Studies

The HPH model has been described previously (10). Male Sprague-Dawley rats (Charles River) or wild-type (WT), *Esr1*<sup>-/-</sup>, and *Esr2*<sup>-/-</sup> C57BL/6 mice (Jackson Laboratories) were exposed to 2–3 weeks of hypobaric hypoxia, respectively. A subgroup of mice were treated with E2 (75  $\mu$ g/kg/d via subcutaneous pellets [10]; Innovative Research of America) for the duration of hypoxia exposure.

### In Vitro Studies

Primary rat PAECs (from Drs. Troy Stevens and Diego Alvarez, University of South Alabama) or human PAECs (Lonza) were exposed to hypoxia (1% O<sub>2</sub>) using an InvivoO<sub>2</sub> hypoxia workstation (Ruskin, Inc.) as described previously (10). Control cells of identical passage/confluence were grown concomitantly under room-air conditions. To verify that no detectable or only minimal amounts of E2 were present in the culture media, E2 concentrations were quantified via ELISA (Calbiotech) and by comparing levels with those from E2-treated media (*see* Fig. E1 in the data supplement). Cells were treated with deferoxamine (DFO; 10–100  $\mu$ M; Sigma-Aldrich) for 24 hours in room air or with the selective ER $\beta$  agonist diarylpropionitrile (DPN; 1–100 nM; Tocris) for 48 hours at 1–5% O<sub>2</sub>. Cells were transfected using Lipofectamine 2000 with siHIF-1 $\alpha$  or siER $\beta$  (ThermoFisher) for 24 hours and exposed to hypoxia for 24–48 hours.

### Immunocytochemistry

Rat or human PAECs were seeded on gelatin-coated coverslips and exposed to room air or hypoxia. The EC phenotype was confirmed (Figure E2). Primary antibodies against ER $\alpha$  or ER $\beta$  (1:200; Santa

Cruz) were used. Alexa Fluor 488 conjugated anti-rabbit secondary antibody (1:200; ThermoFisher) and DAPI (ThermoFisher) mounting media were used. Ten fields per slide were taken using a Nikon microscope at  $40\times$ . ER expression was quantified as the average megapixel intensity per number of nuclei by ImageJ (National Institutes of Health).

### Immunohistochemistry

Lung sections were stained with ER $\alpha$  (1:500, Santa Cruz) or ER $\beta$  (1:10; Dako) and quantified using Metamorph software. WT, *Esr1*<sup>-/-</sup>, and *Esr2*<sup>-/-</sup> mouse lungs were stained for  $\alpha$ -smooth muscle actin (1:500; Abcam). Quantification was performed as previously described (10).

### Western Blotting

Tissue/cell lysis was performed as previously described (10). The following antibodies were used: ER $\alpha$  (1:1,000; Santa Cruz), ER $\beta$  (1:1,000; Santa Cruz), HIF-1 $\alpha$  and HIF2- $\alpha$

(1:1,000; Novus Biological), PHD2 (1:1,000; Abcam), and vinculin (1:5000; Calbiochem). Densitometry was performed via ImageJ.

### Real-Time qRT-PCR

Total RNA was isolated from rat and human PAECs using the RNeasy Plus Mini Kit (Qiagen), and 1  $\mu$ g total RNA was reverse transcribed using the iScript cDNA synthesis kit (Bio-Rad). TaqMan gene expression assays for *Esr1*, *Esr2*, and *18S* (ThermoFisher; for assay IDs, see the data supplement) were used. Changes in mRNA expression were determined by the comparative CT ( $2^{-\Delta\Delta C_T}$ ) method and expressed as the fold change compared with normoxic controls.

### Statistical Analyses

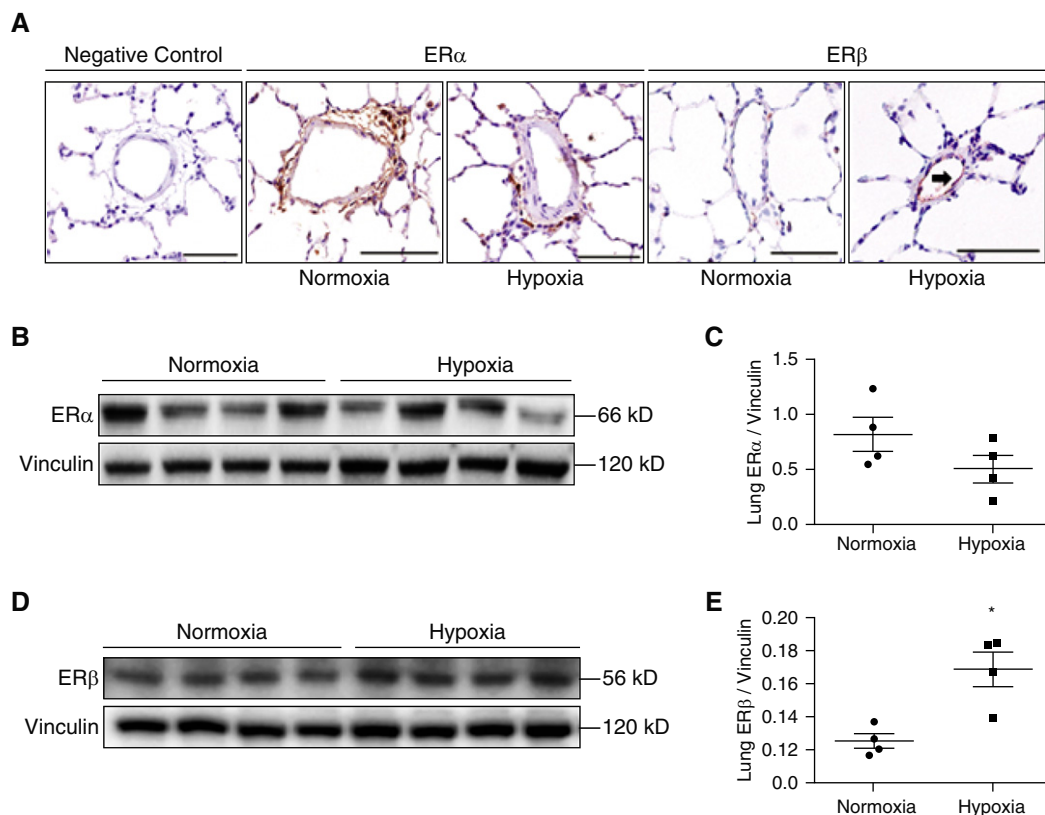
Results are expressed as means  $\pm$  SEM. At least three independent experiments (run in duplicates) were performed for all *in vitro* studies. Statistical analyses were performed with GraphPad Prism 6 (La Jolla,

CA). Student's *t* test or one-way ANOVA with Tukey's *post hoc* or Dunnett's correction were used when appropriate. Statistical significance was accepted at  $P < 0.05$ .

## Results

### Hypoxia Increases ER $\beta$ , but Not ER $\alpha$ , in Rat Lungs

Our previous studies in HPH rats suggested that hypoxia may upregulate ER $\beta$  protein in the pulmonary vasculature (10). To further investigate this finding, we evaluated lung ER $\alpha$  and ER $\beta$  protein expression in chronically hypoxic Sprague-Dawley rats by immunohistochemistry and Western blot. Although 2 weeks of hypoxia tended to decrease lung ER $\alpha$  abundance (Figures 1A–1C), hypoxia robustly increased the lung expression of ER $\beta$  (Figures 1A and 1D–1E). ER $\beta$  staining localized to PAECs, suggesting that ER $\beta$  is predominantly expressed in these cells. The hypoxia-induced increase in



**Figure 1.** Hypoxia increases expression of estrogen receptor  $\beta$  (ER $\beta$ ), but not ER $\alpha$ , in the lung. (A) Representative immunohistochemistry images of lung sections from normoxic and hypoxic animals stained for ER $\alpha$  and ER $\beta$ . Note the increase in ER $\beta$ -positive cells (arrow) in pulmonary arteries from hypoxic rats. Positive staining for ER $\beta$  was mainly present in endothelial cells, and there was no significant staining of smooth muscle cells. (B–E) Protein expression of (B) ER $\alpha$  and (D) ER $\beta$  in whole-lung homogenates from rats exposed to 2 weeks of normoxia (fraction of inspired oxygen [ $F_{I_{O_2}}$ ] 21%) or chronic hypobaric hypoxia ( $P_{atm} = 362$  mm Hg; equivalent to 10%  $F_{I_{O_2}}$ ). Quantification of (C) lung ER $\alpha$  and (E) ER $\beta$  by densitometry is shown. Images were obtained at  $\times 40$  magnification. Scale bars = 50  $\mu$ m;  $n = 4$ /group. Error bars in scatterplots are means  $\pm$  SEM. \* $P < 0.05$  versus normoxia control by *t* test.

ER $\beta$  was lung specific, as no increase was noted in the right ventricle (RV), left ventricle, or liver (Figure E3). In fact, ER $\beta$  expression was significantly decreased in the RV and liver, suggesting differential effects of hypoxia on ER $\beta$  expression in the lung versus other organ systems.

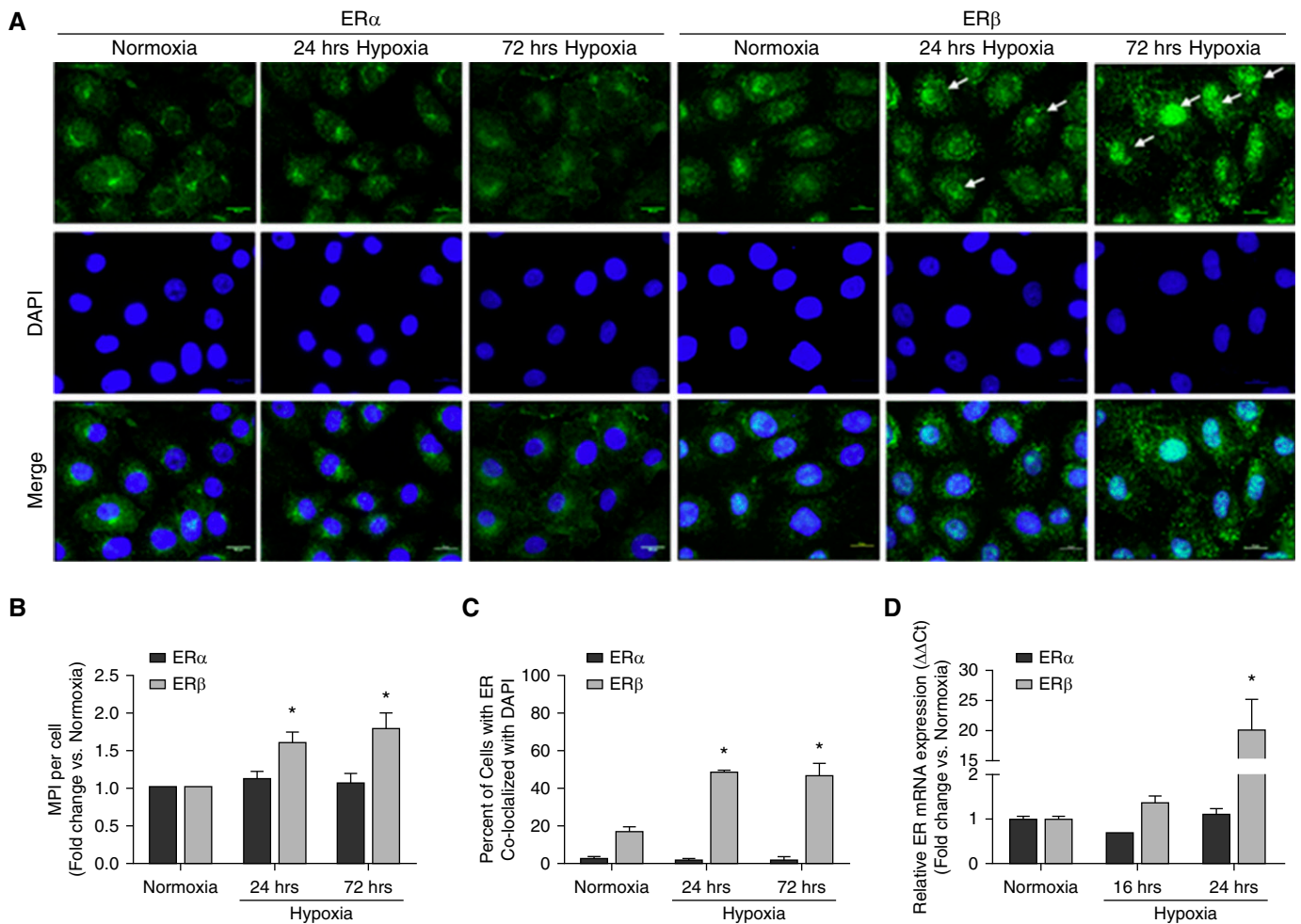
### Hypoxia Increases Expression of ER $\beta$ , but Not ER $\alpha$ , in Primary Rat and Human PAECs

Because ER $\beta$  appeared to be primarily expressed in PAECs and upregulated under hypoxic conditions, we next evaluated the effect of hypoxia on ER expression in

primary rat PAECs. Rat PAECs were cultured under hypoxic conditions (1% O<sub>2</sub>) for 4, 24, or 72 hours, and ER expression was evaluated by immunocytochemistry. As was observed *in vivo*, ER $\alpha$  was not significantly affected by hypoxia exposure compared with normoxic controls (Figures 2A and 2B). In contrast, hypoxia time dependently increased ER $\beta$  protein compared with normoxic controls (Figures 2A and 2B). Interestingly, this hypoxia-induced increase in ER $\beta$  expression was accompanied by a localization pattern suggestive of nuclear translocation (Figures 2A and 2C). To investigate whether the

hypoxia-induced upregulation of ER $\beta$  is transcriptionally regulated, we used real-time RT-PCR to quantify ER $\beta$  mRNA. As expected, ER $\beta$  mRNA was significantly increased in rat PAECs at 24 hours (but not at the earlier time point of 16 h; Figure 2D), suggesting that the hypoxia-induced increase in ER $\beta$  is mediated by a transcriptional mechanism. This increase was specific to ER $\beta$ , as similar increases in ER $\alpha$  mRNA expression were not observed (Figure 2D).

To corroborate these findings, we cultured human primary PAECs under hypoxic conditions for 24 or 72 hours, and



**Figure 2.** Hypoxia increases expression of ER $\beta$ , but not ER $\alpha$ , in cultured rat pulmonary artery endothelial cells (PAECs). (A) Representative immunocytochemistry images of PAECs at 24 and 72 hours of hypoxia (1% O<sub>2</sub>) versus normoxia. ER $\alpha$  (left three columns) and ER $\beta$  (right three columns) are in green. Note the significant increase in ER $\beta$  staining intensity in hypoxic cells, with a pattern of ER $\beta$  staining suggestive of increased nuclear translocation (arrows). (B) Time course of hypoxia-induced expression of ER $\alpha$  (black bars) and ER $\beta$  (gray bars) by immunocytochemistry. ER $\beta$  expression was quantified by normalizing the megapixel intensity (MPI) by the number of cells (nuclei stained with DAPI) with ImageJ, and is expressed as the fold change of MPI at 4, 24, and 72 hours versus MPI at corresponding normoxia time points. (C) ER nuclear translocation was quantified as percent ER stain colocalized with DAPI. (D) ER $\alpha$  and ER $\beta$  gene expression in rat PAECs measured by real-time qRT-PCR. Scale bars = 10  $\mu$ m. Data are from three independent experiments and are presented as means  $\pm$  SEM. \* $P$  < 0.05 versus normoxia control by one-way ANOVA with *post hoc* Tukey's test.

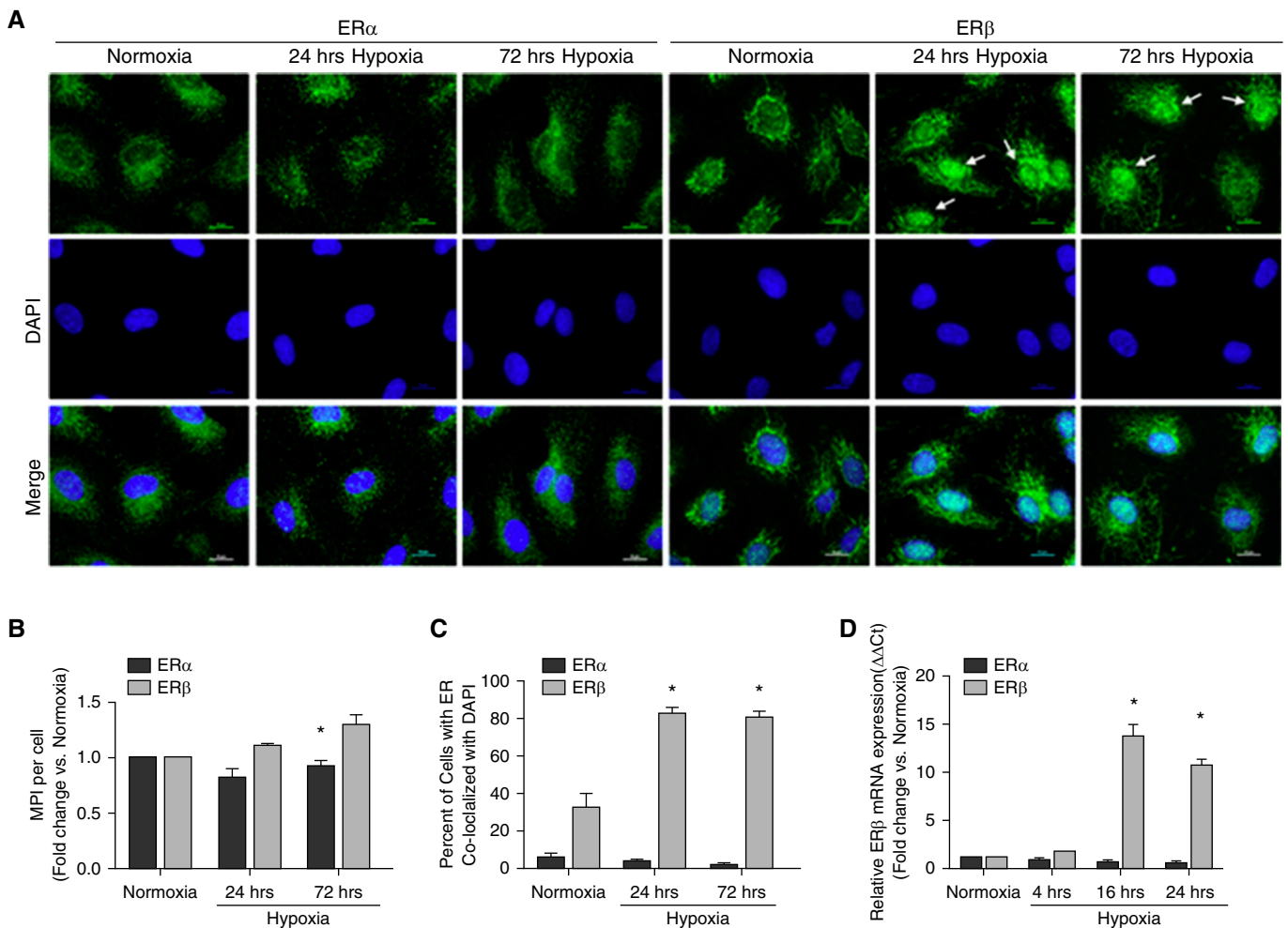
evaluated ER $\alpha$  and ER $\beta$  expression by immunocytochemistry and Western blot. As in the rat PAECs, hypoxia selectively and time dependently increased ER $\beta$  (but not ER $\alpha$ ) expression, with a trend toward an increase at 24 hours and a significant 25% increase at 72 hours (Figures 3A and 3B). As in the rat PAECs, immunocytochemistry studies suggested that the hypoxia-induced increase in ER $\beta$  abundance was paralleled by a change in ER $\beta$ 's cellular localization pattern consistent with translocation to the nucleus (Figures 3A and 3C). In fact, 80% of the ER $\beta$ -positive cells colocalized with DAPI at 24 hours, and this was maintained even

at 72 hours. Finally, we quantified the changes in ER $\beta$  expression using real-time RT-PCR. Similar to what was observed with rat PAECs, ER $\beta$ , but not ER $\alpha$ , mRNA was significantly increased at 24 hours (but not at the earlier time point of 4 h; Figure 3D), suggesting that the hypoxia-induced increase in ER $\beta$  is transcriptionally regulated. Interestingly, we also observed hypoxic induction of ER $\beta$ , but not ER $\alpha$ , protein in human pulmonary microvascular endothelial cells (PMVECs; Figure E4) and pulmonary smooth muscle cells (data not shown) at 72 hours, indicating that the specific hypoxic regulation of ER $\beta$  is relevant to multiple

cell types/phenotypes in the pulmonary vasculature. Taken together, these data indicate that hypoxia 1) selectively increases ER $\beta$  mRNA and protein in cultured primary PAECs, and 2) results in nuclear translocation of ER $\beta$ , suggesting that this receptor has biologically relevant effects in the context of hypoxia.

### Normoxic HIF- $\alpha$ Stabilization Is Sufficient to Increase ER $\beta$ in Rat PAECs

We next sought to determine whether the hypoxia-induced increase in rat PAEC ER $\beta$  expression is driven by HIF-1 $\alpha$ , a master regulator of hypoxia-driven responses (35).



**Figure 3.** Hypoxia increases expression of ER $\beta$ , but not ER $\alpha$ , in primary human PAECs. (A) Representative immunocytochemistry images of human PAECs at 24 and 72 hours of hypoxia (1% O<sub>2</sub>) versus normoxia. ER $\alpha$  (left three columns) or ER $\beta$  (right three columns) are in green. Note a pattern of ER $\beta$  staining suggestive of increased nuclear translocation (arrows). (B) Time course of hypoxia-induced expression of ER $\alpha$  (black bars) and ER $\beta$  (gray bars). ER expression was quantified by normalizing the MPI by the number of cells (nuclei stained with DAPI) with ImageJ, and is expressed as the fold change of MPI at 4, 24, and 72 hours versus MPI at corresponding normoxia time points. (C) ER nuclear translocation was quantified as percent ER stain colocalized with DAPI. (D) ER $\alpha$  and ER $\beta$  gene expression in rat PAECs measured by real-time qRT-PCR. Scale bars = 10  $\mu$ m. Data are from three independent experiments and are presented as means  $\pm$  SEM. \* $P$  < 0.05 versus normoxia control by one-way ANOVA with *post hoc* Tukey's test.

We treated rat PAECs with the iron chelator DFO, which leads to normoxic HIF- $\alpha$  stabilization (Figures 4A and 4B). Indeed, DFO treatment (10  $\mu$ M for 24 h) resulted in a robust 25% increase in ER $\beta$  (Figures 4A and 4D). DFO leads to stabilization of HIF-2 $\alpha$  and, similar to the case with HIF-1 $\alpha$ , we detected the stabilization of HIF-2 $\alpha$  in rat PAECs after DFO treatment (Figures 4A and 4C), indicating that normoxic HIF- $\alpha$  stabilization is sufficient to increase ER $\beta$  expression.

#### HIF-1 $\alpha$ Is Necessary for the Hypoxia-induced Increase in ER $\beta$ Expression in Rat PAECs

To examine whether HIF-1 $\alpha$  is necessary for the hypoxia-induced increase in ER $\beta$ , we used siRNA directed against HIF-1 $\alpha$  in rat PAECs grown under hypoxic conditions (Figures 5A and 5B). As expected, knockdown of HIF-1 $\alpha$  attenuated the hypoxia-induced increase in ER $\beta$  at 24 and 48 hours of hypoxia (Figures 5A and 5C), suggesting that HIF-1 $\alpha$  indeed is necessary for hypoxia to increase ER $\beta$ .

#### ER $\beta$ Decreases HIF-2 $\alpha$ and Increases PHD2 in Rat PAECs

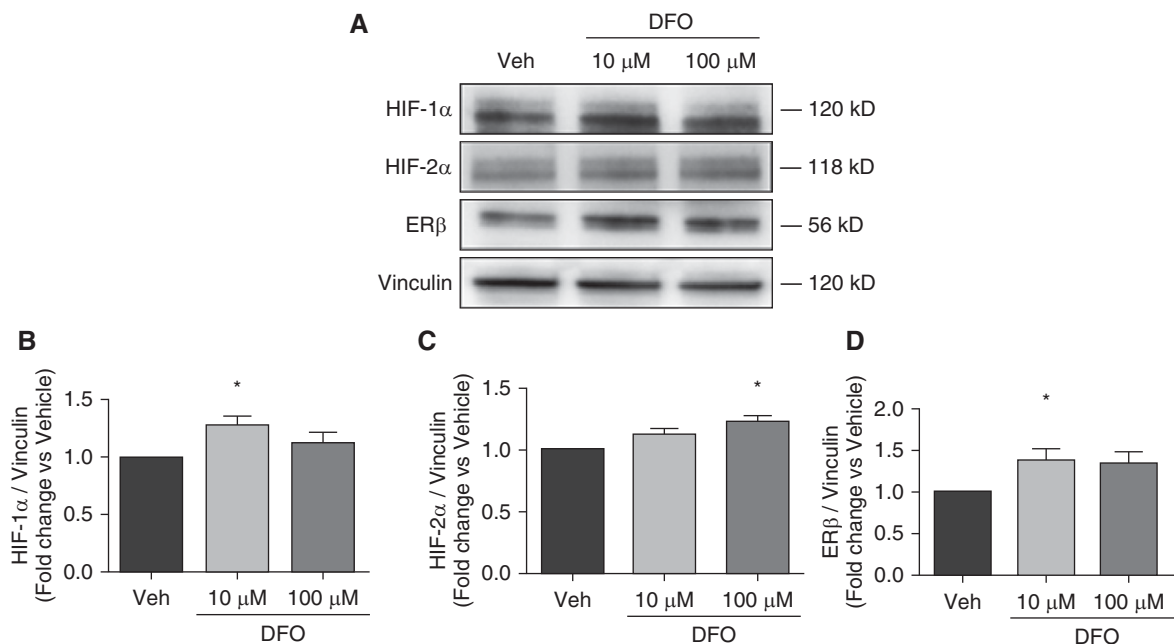
To determine whether ER $\beta$  in turn affects HIF-1 $\alpha$  expression during hypoxia, we used

siRNA directed against ER $\beta$ . Interestingly, knockdown of ER $\beta$  during hypoxia resulted in decreased expression of HIF-1 $\alpha$  at 24 (but not 48) hours of hypoxia exposure (Figures 5A and 5B), suggesting that HIF-1 $\alpha$  is time dependently under the control of ER $\beta$ . We next assessed whether the HIF- $\alpha$  family member HIF-2 $\alpha$  is regulated by ER $\beta$ . Although HIF-2 $\alpha$  was decreased after 24 hours of ER $\beta$  knockdown, we noted a significant 30% increase in HIF-2 $\alpha$  protein 48 hours after ER $\beta$  knockdown (Figures 5A and 5D), suggesting that ER $\beta$  regulates and time dependently decreases HIF-2 $\alpha$ . Because PHD2 is a major inhibitor of HIF- $\alpha$  (by mediating HIF- $\alpha$  degradation) (36), we next sought to identify whether ER $\beta$  also affects PHD2 expression. Indeed, knockdown of ER $\beta$  during hypoxia resulted in 50% decreased expression of PHD2 at both 24 and 48 hours (Figures 5A and 5E), indicating that ER $\beta$  regulates PHD2 expression. We corroborated these findings by treating hypoxic rat PAECs for 48 hours with the ER $\beta$ -selective agonist DPN (Figure 6). We noted a dose-dependent increase in PHD2 expression in cells treated with 100 nM of DPN (Figures 6A and 6B), indicating that, indeed, ER $\beta$  increases PHD2 expression in rat PAECs. We also observed dose-dependent decreases in HIF-1 $\alpha$

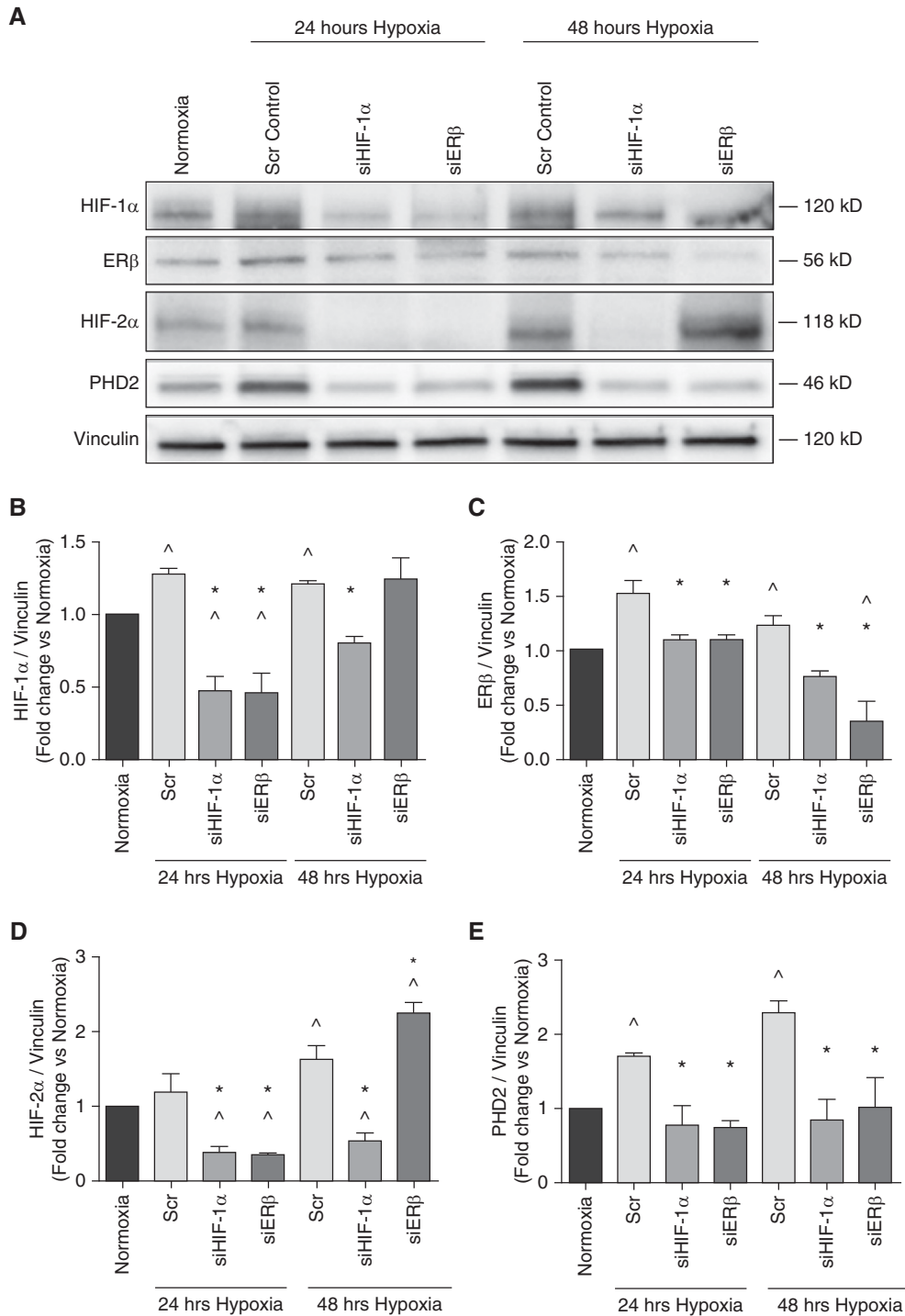
(Figures 6A and 6C) and HIF-2 $\alpha$  (Figures 6A and 6D) expression in cells treated with DPN. Given the potential inactivation of PHD2 in severe hypoxic conditions (37), we also tested the effect of DPN on PHD2, HIF-1 $\alpha$ , and HIF-2 $\alpha$  expression under less severe hypoxic conditions (5% O<sub>2</sub>; Figure E5). Similar to our observations at 1% O<sub>2</sub>, we observed a dose-dependent increase in PHD2 expression (Figures E5A and E5B), a decrease in HIF-2 $\alpha$  expression (Figures E5A and E5D), and a trend toward decreased HIF-1 $\alpha$  expression (Figures E5A and E5C). In summary, these data suggest that in hypoxic rat PAECs, HIF-1 $\alpha$  increases ER $\beta$ , and that ER $\beta$  in turn decreases HIF-2 $\alpha$  and increases its inhibitor, PHD2. The data for DPN, but not those for siER $\beta$ , suggest that ER $\beta$  may also decrease HIF-1 $\alpha$ . This discrepancy may be due to a compensatory upregulation of ER $\alpha$  or other factors after ER $\beta$  knockdown, or it may indicate a time-dependent differential effect of ER $\beta$  on HIF-1 $\alpha$  expression.

#### ER $\beta$ Is Necessary for E2 to Attenuate Hypoxia-induced Pulmonary Vascular Remodeling and Decrease Lung HIF-1 $\alpha$ and HIF-2 $\alpha$ Expression

To corroborate our findings *in vivo*, we treated *Esr1*<sup>-/-</sup> or *Esr2*<sup>-/-</sup> mice (mice



**Figure 4.** Hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ) stabilization under normoxic conditions increases ER $\beta$  expression in cultured rat PAECs. Effects of treatment with deferoxamine (DFO; 10 or 100  $\mu$ M for 24 h) or vehicle (veh; H<sub>2</sub>O) on HIF-1 $\alpha$  (A and B), HIF-2 $\alpha$  (A and C), and ER $\beta$  (A and D) expression are shown in representative Western blots (A) and densitometry (B–D) from three independent experiments. Data are means  $\pm$  SEM. \* $P$  < 0.05 versus vehicle control using one-way ANOVA with *post hoc* Tukey's test.

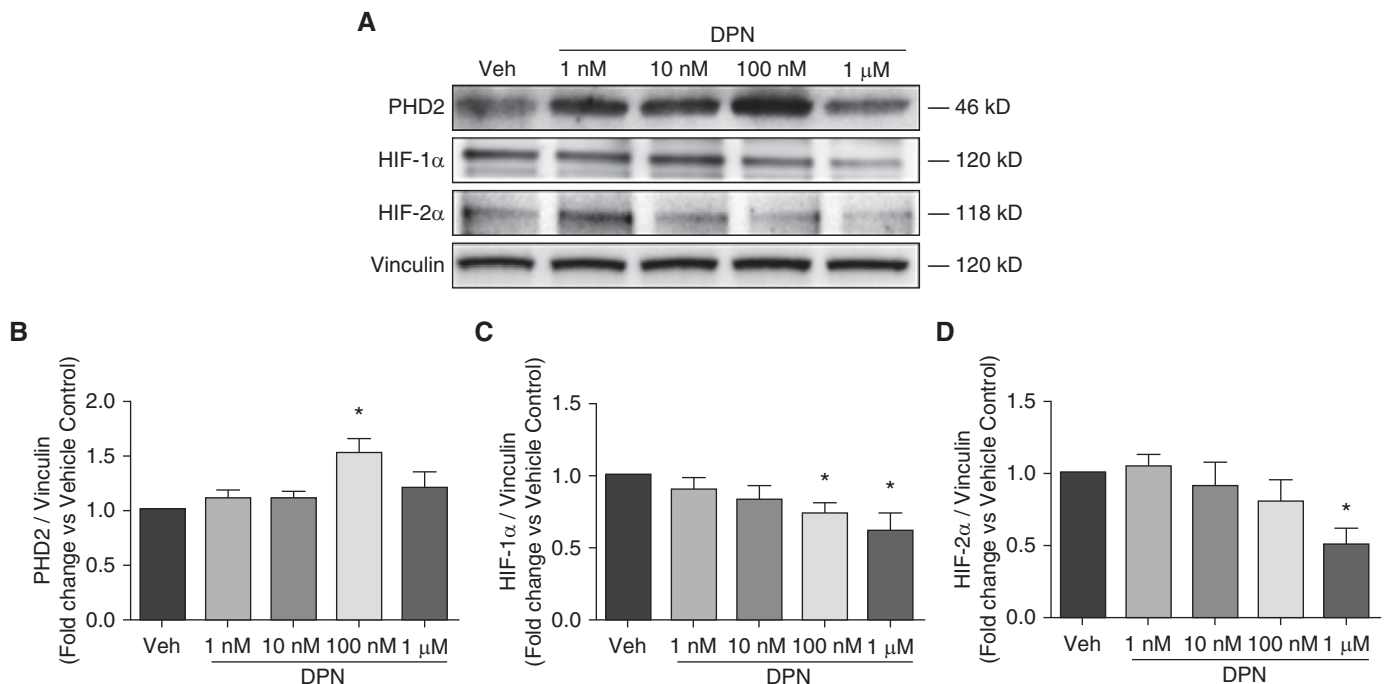


**Figure 5.** HIF-1 $\alpha$  and ER $\beta$  regulate HIF-2 $\alpha$  and prolyl hydroxylase 2 (PHD2) expression in hypoxic rat PAECs. (A) Western blot and (B–E) densitometry of HIF-1 $\alpha$  (B), ER $\beta$  (C), HIF-2 $\alpha$  (D), and PHD2 (E) protein expression after knockdown of HIF-1 $\alpha$  or ER $\beta$  by siRNA. Scrambled control oligos were used as negative control. Cells were grown for 24 or 48 hours under hypoxic conditions (1% O<sub>2</sub>). Data represent three independent experiments and are expressed as means  $\pm$  SEM. \* $P$  < 0.05 versus scrambled control;  $\wedge P$  < 0.05 versus normoxic control using one-way ANOVA with *post hoc* Tukey's test. Scr = scrambled.

containing germline null mutations for ER $\alpha$  or ER $\beta$ , respectively) and WT mice with E2 (75  $\mu$ g/kg/day [10]), and exposed them to 3 weeks of hypoxia

(Patm = 362 mm Hg). An additional group of untreated WT mice were placed in hypoxia to serve as controls. As previously noted in chronically hypoxic rats, compared

with hypoxic WT controls, E2 treatment in WT mice significantly decreased hypoxia-induced pulmonary vascular remodeling by 40% (Figures 7A and 7B). Importantly, this



**Figure 6.** Selective ER $\beta$  agonism with diarylpropionitrile (DPN) dose dependently increases PHD2 and decreases HIF-1 $\alpha$  and HIF-2 $\alpha$  in cultured rat PAECs. (A) Representative Western blots showing the effects of DPN (1, 10, or 100 nM, or 1  $\mu$ M) or vehicle (DMSO) on PHD2 protein expression during hypoxia (1% O<sub>2</sub>; 48 h). (B–D) The protein expression of PHD2 (B), HIF-1 $\alpha$  (C), and HIF-2 $\alpha$  (D) was quantified with densitometry. Data represent the mean  $\pm$  SEM from three independent experiments. \* $P$  < 0.05 versus vehicle control using one-way ANOVA with *post hoc* Dunnett's test.

reduction in pulmonary vascular remodeling was observed in E2-treated *Esr1*<sup>-/-</sup>, but not *Esr2*<sup>-/-</sup>, mice (Figures 7A and 7B), suggesting that ER $\beta$  is required for E2 to attenuate hypoxia-induced pulmonary vascular remodeling. Contrary to our hypothesis, PHD2 was not affected by E2 or loss of ER $\alpha$  or ER $\beta$  (Figures 7C and 7D), suggesting that PHD2 is not solely regulated by E2-ER signaling. Alternatively, it is possible that E2-ER-mediated changes in PHD2 expression in PAECs *in vivo* were not captured at the time point investigated, or were masked by surrounding tissue in whole-lung homogenates. On the other hand, E2 treatment in hypoxic WT mice tended to decrease lung HIF-1 $\alpha$  and HIF-2 $\alpha$  expression (Figures 7C, 7E, and 7F), and in the case of HIF-1 $\alpha$ , resulted in a significant 50% decrease in E2-treated *Esr1*<sup>-/-</sup> mice (where E2 signaling occurs through ER $\beta$ ; Figures 7C and 7E). However, E2 did not lower HIF-1 $\alpha$  abundance in *Esr2*<sup>-/-</sup> mice (where E2 signaling occurs through ER $\alpha$ ; Figures 7C and 7E). In parallel, E2 treatment led to increased HIF-2 $\alpha$  expression in *Esr2*<sup>-/-</sup> mice (Figures 7C and 7F). This suggests that ER $\beta$  is required for E2 to decrease HIF-2 $\alpha$  and HIF-1 $\alpha$  expression. However,

in the latter case, this effect can only occur in the absence of ER $\alpha$ , indicating that 1) ER $\alpha$  has effects opposite to those of ER $\beta$  and actually increases HIF-1 $\alpha$ , and 2) ER $\alpha$  and ER $\beta$  compete with each other for E2 binding. Taken together, these studies demonstrate that ER $\beta$  is required for E2 to attenuate hypoxia-induced pulmonary vascular remodeling, is necessary for E2 to decrease lung HIF- $\alpha$  expression, and prevents increases in lung HIF-2 $\alpha$  expression.

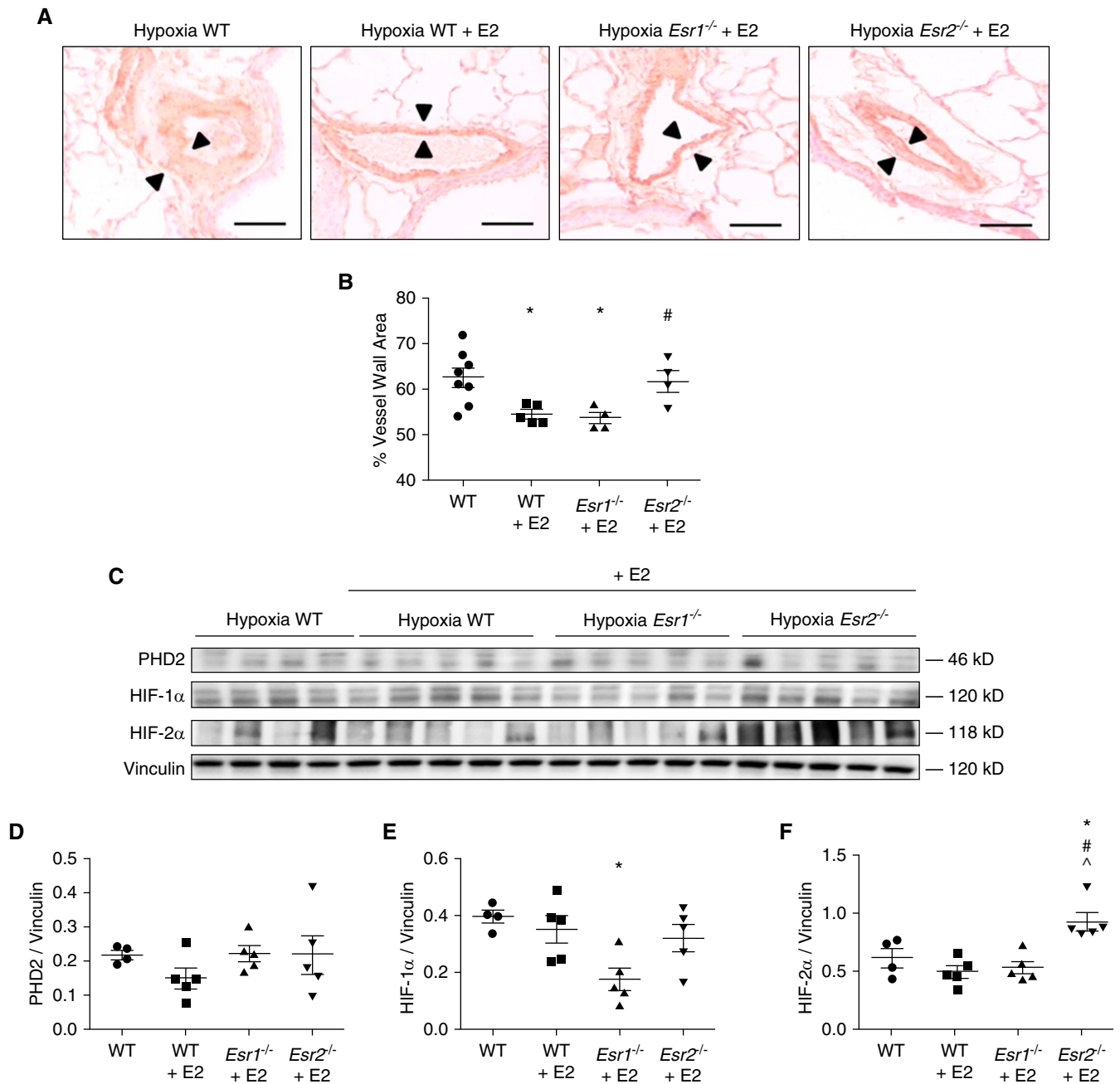
## Discussion

Our studies reveal that ER $\beta$ , but not ER $\alpha$ , is upregulated in hypoxic rat lung, cultured hypoxic rat and human conduit PAECs, and PMVECs. We show for the first time that HIF- $\alpha$  chemical stabilization with DFO is sufficient to induce ER $\beta$  expression and that knockdown of HIF-1 $\alpha$  by siRNA reduces ER $\beta$  expression in hypoxic PAECs, identifying that the hypoxia-induced increase in ER $\beta$  expression occurs via a HIF- $\alpha$ -dependent mechanism. Additionally, we provide novel evidence suggesting that HIF-2 $\alpha$  is downregulated and PHD2 is upregulated by ER $\beta$  *in vitro*. siRNA directed against ER $\beta$  leads to the robust

upregulation of HIF-2 $\alpha$  and downregulation of PHD2 in hypoxic PAECs, and treatment with the ER $\beta$  agonist DPN upregulates PHD2 expression and decreases HIF-1 $\alpha$  and HIF-2 $\alpha$  in a dose-dependent manner. Lastly, we demonstrate that ER $\beta$  is necessary for E2 to attenuate hypoxia-induced pulmonary vascular remodeling, decrease lung HIF-1 $\alpha$ , and prevent increases in HIF-2 $\alpha$  expression. However, the decrease in HIF-1 $\alpha$  *in vivo* only occurred in the absence of ER $\alpha$ . Taken together, these novel data implicate ER $\beta$  as a mediator of protective E2 signaling in the hypoxic pulmonary vasculature. A schematic of the putative interaction among hypoxia, ER $\beta$ , HIF-1 $\alpha$ , HIF-2 $\alpha$ , and PHD2 in the pulmonary vasculature is provided in Figure E6.

HPH is prevalent globally; it affects millions of people living at high altitude and millions of patients afflicted with a wide spectrum of chronic lung disease and sleep-disordered breathing (5). HPH is characterized by significant pulmonary vascular remodeling that may culminate in right ventricular failure and death (4, 38). Although it occurs much more frequently than PAH (39), no specific treatment for HPH exists. In fact, therapeutics used for PAH frequently worsen oxygenation in





**Figure 7.** ER $\beta$  is necessary for E2-mediated attenuation of hypoxic pulmonary vascular remodeling and HIF-1 $\alpha$  and HIF-2 $\alpha$  expression in mice. (A) Representative immunohistochemical images of lung sections stained for smooth muscle actin from untreated wild-type (WT) (solid circles), E2-treated WT (solid squares), E2-treated *Esr1*<sup>-/-</sup> (solid triangles), and E2-treated *Esr2*<sup>-/-</sup> (solid inverted triangles) mice exposed to chronic hypobaric hypoxia (Patm = 362 mm Hg; equivalent to 10% F<sub>O<sub>2</sub></sub>; 3 wk). Note the decrease in vascular wall thickness (arrowheads) in E2-treated WT and *Esr1*<sup>-/-</sup> mice, but not in E2-treated *Esr2*<sup>-/-</sup> mice compared to WT controls. Scale bars = 50  $\mu$ m. (B) Quantification of the wall thickness of small- and medium-sized pulmonary arteries (less than 200  $\mu$ m). (C) PHD2, HIF-2 $\alpha$ , and HIF-1 $\alpha$  protein expression in mouse lung homogenates from WT, E2-treated WT, E2-treated *Esr1*<sup>-/-</sup>, and E2-treated *Esr2*<sup>-/-</sup> mice exposed to chronic hypobaric hypoxia. (D–F) Expression levels of lung PHD2, HIF-2 $\alpha$ , and HIF-1 $\alpha$  quantified by densitometry;  $n = 4$ –5 per group. Scatterplots include means  $\pm$  SEM. \* $P < 0.05$  versus WT; # $P < 0.05$  versus WT + E2; ^ $P < 0.05$  versus *Esr1*<sup>-/-</sup> (one-way ANOVA with *post hoc* Tukey's test). E2 = 17 $\beta$ -estradiol.

HPH (40–42), indicating a need for novel therapeutic approaches to HPH. Several clinical and preclinical studies have identified that female sex confers a protective phenotype during acute or

chronic hypoxia exposure (reviewed in Reference 7). For example, in multiple species, females exhibit less hypoxic pulmonary vasoconstriction and are protected from HPH. These effects have

been linked to vasodilator and antiproliferative effects of E2 (7). For example, pregnancy attenuates hypoxic pulmonary vasoconstriction, ovariectomy worsens HPH, and E2 repletion attenuates

HPH (reviewed in References 7 and 43). A better understanding of the effects of E2 and its receptors in the hypoxic pulmonary vasculature could provide the rationale and basis for future studies focused on developing novel therapeutic strategies for HPH.

We previously demonstrated that E2 attenuates HPH via an ER-dependent mechanism (10), and recently expanded upon that finding by discovering an ER-dependent genome in lungs from HPH rats (16). Of note, our previous data showed that E2 exerts specific antiproliferative effects during hypoxia that are not evident during normoxia (10). For example, E2-ER dependently decreased bromodeoxyuridine uptake and vascular endothelial growth factor secretion in hypoxic rat PAECs, but not in PAECs grown in room air. Our current data expand upon these findings and suggest that hypoxia-enabled increases in ER $\beta$  expression may allow for E2 to exert its hypoxia-specific effects. Such a finding may explain why E2 has consistently been shown to be protective in hypoxia models of PH, whereas its effects in other types of PH have been less consistent (reviewed in Reference 7).

If ER $\beta$  signaling can be protective in the pulmonary vasculature, this raises the possibility that alterations in ER $\beta$  signaling may contribute to the female predominance noted in PAH. Of note, SNPs in *ESR2*<sup>-/-</sup> have been associated with LV structural differences in women with hypertension (44) and with increased arterial stiffness in Framingham Offspring Study participants (45). This suggests that genetic alterations in *ESR2*<sup>-/-</sup> could also contribute to abnormalities in the pulmonary vasculature. Alternatively, in the absence of hypoxia-enabled increases in ER $\beta$  expression, E2's protective signaling pathways may not be engaged, allowing for the development of pulmonary vascular disease, especially in the context of otherwise predisposing conditions for PAH development (e.g., genetic abnormalities and environmental exposures).

To the best of our knowledge, our studies are the first to implicate HIF-1 $\alpha$  as a regulator of ER $\beta$  in PAECs. Given that HIF-1 $\alpha$  is a key regulator of hypoxia-mediated effects, this finding is not entirely surprising. Our findings are consistent with results from studies in HEK293 cells, which demonstrated that HIF-1 $\alpha$  transcriptionally activates ER $\beta$  expression in the absence of E2, and the addition of E2 did not further

enhance the upregulation of ER $\beta$  (46). Subsequent studies in other cell types have linked HIF-1 $\alpha$  to the induction of ER $\beta$  independently of E2 (47–49). For instance, in human ER-positive breast cancer cells, hypoxia stimulated ER $\beta$  expression and reduced ER $\alpha$  expression (48), whereas in human stromal cells isolated from patients with endometriosis, hypoxia strongly induced ER $\beta$  (47), both in the absence of E2. Additionally, steroid receptor coactivator-1 and CREB-binding protein comprise part of the HIF-1 $\alpha$  transcriptional coactivator complex and can promote ER $\beta$  transcription in the absence of E2 (49). Building on these observations, we performed PROMO and TRANSFAC *in silico* promoter analyses, which identified two putative HIF-1 $\alpha$  binding sites in the *ESR2* promoter (Ensembl ENSG00000140009) to be validated in future studies. Interestingly, we did not observe a significant increase in ER $\beta$  mRNA expression until 16 hours (human PAECs) or 24 hours (rat PAECs) after hypoxia. One explanation for this slow upregulation is that because ER $\beta$  expression is regulated by multiple coactivators and corepressors, there may be a transcriptional repressor complex at the ER $\beta$  promoter upon the first, acute induction of HIF-1 $\alpha$  due to hypoxia that is not present at a later, chronic hypoxia time point. Finally, we cannot completely rule out that the trace amounts of E2 detected in rat PAEC media (Fig. E1; likely arising as a result of endogenous PAEC E2 synthesis via aromatase [50]) activated ER $\beta$ . However, this seems unlikely, as no E2 was detected in human PAEC media, yet hypoxia increased ER $\beta$  expression and induced its translocation in this cell type as well. Together, these data suggest that ER $\beta$ , independently of the presence of E2, is encoded by a hypoxia-responsive gene.

The finding that ER $\beta$  in turn regulates HIF-1 $\alpha$  and HIF-2 $\alpha$  *in vitro* and *in vivo* reveals a novel and potentially therapeutically targetable mechanism of action in the hypoxic pulmonary vasculature that may explain in part why E2 attenuates HPH and why females are protected against the development of HPH. This putative negative-feedback loop of ER $\beta$  on HIF- $\alpha$  likely occurs via direct binding of HIF-1 $\alpha$  to ER $\beta$ , followed by the nuclear translocation of ER $\beta$  and binding to estrogen response elements of target genes. Interestingly, at least one study has

shown that the ER $\beta$  protein is able to bind directly to HIF-1 $\alpha$  (46). Alternatively, hypoxia could indirectly facilitate ER $\beta$  nuclear translocation via direct activation of ER $\beta$  by a HIF-1 $\alpha$ -induced growth factor, e.g., epidermal growth factor (51). The negative-feedback mechanism we identified is consistent with data from the oncology literature, which demonstrates that ER $\beta$  inhibits HIF-1 $\alpha$  transcriptional activity and promotes its proteasome degradation in prostate cancer cells (52). On the other hand, to the best of our knowledge, we are not aware of any prior data demonstrating that ER $\beta$  decreases HIF-2 $\alpha$ . This is of particular importance because dysregulated HIF-2 $\alpha$  signaling has been linked to the formation of obliterative pulmonary vascular lesions in PH (32). However, the effects of ER $\beta$  on HIF-1 $\alpha$  and HIF-2 $\alpha$  were not clear-cut. At 24 hours of ER $\beta$  knockdown, both HIF-1 $\alpha$  and HIF-2 $\alpha$  were decreased rather than increased, suggesting a time dependence of ER $\beta$  effects on these transcription factors. In addition, ER $\beta$  knockdown did not lead to increased HIF-1 $\alpha$  at the 48-hour time point. This phenomenon may be explained by competing effects of ER $\alpha$  and ER $\beta$  on HIF expression: ER $\alpha$  (or another, as yet unidentified factor) may compensate for the loss of ER $\beta$  and repress HIF- $\alpha$ . On the other hand, the finding that selective ER $\beta$  activation decreased both HIF-1 $\alpha$  and HIF-2 $\alpha$  clearly indicates that ER $\beta$  exerts inhibitory effects on these mediators.

The *in vivo* effects of E2 and ER $\beta$  on HIF- $\alpha$  were complex. E2 did not statistically significantly decrease HIF-1 $\alpha$  in hypoxic WT rats (even though there was a trend), and a significant decrease occurred only in E2-treated *Esr1*<sup>-/-</sup> mice. Although this could be the result of a type II error, it may also suggest that ER $\alpha$  increases HIF-1 $\alpha$ . Specifically, ER $\alpha$  and ER $\beta$  may have opposing effects on HIF-1 $\alpha$  *in vivo*, allowing ER $\beta$  to decrease HIF-1 $\alpha$  only in the absence of ER $\alpha$ . Such a paradigm would be consistent with the known proproliferative effects of ER $\alpha$  in prostate cancer (28) and in PA smooth muscle cells (53). An alternative hypothesis is that the absence of ER $\alpha$  increases the abundance of E2 at ER $\beta$ , thus driving a more prominent ER $\beta$  signal. This would suggest that ER $\alpha$  and ER $\beta$  compete with each other for binding to E2. Such a scenario is likely, given that in the absence of ER $\beta$  there is no increase in HIF-1 $\alpha$  (Figures 7C and 7F).

Similarly, E2 did not statistically significantly decrease HIF-2 $\alpha$  *in vivo*, yet, again, there was a trend. In contrast to HIF-1 $\alpha$ , HIF-2 $\alpha$  markedly increased in E2-treated *Esr2*<sup>-/-</sup> mice. This indicates that ER $\beta$  exerts inhibitory effects on HIF-2 $\alpha$  and suggests that ER $\alpha$  and ER $\beta$  may have opposing effects on HIF-2 $\alpha$  *in vivo*, with ER $\alpha$  exerting stimulatory effects and ER $\beta$  preventing this increase.

Our data suggest that the inhibitory effect of ER $\beta$  on HIF-1 $\alpha$  and HIF-2 $\alpha$  may be mediated by PHD2. PHDs hydroxylate HIF-1 $\alpha$  and HIF-2 $\alpha$ , leading to von Hippel–Lindau protein-dependent ubiquitination and rapid proteasomal degradation (35). Three main isoforms exist, with PHD2 being the most prominent isoform (36). Interestingly, we found that ER $\beta$  induces PHD2 in hypoxic PAECs. This may, in turn, downregulate HIF-1 $\alpha$  and HIF-2 $\alpha$ . This finding is supported by recently published data from prostate epithelial cells demonstrating that ER $\beta$  promotes HIF-1 $\alpha$  degradation by increasing PHD2 transcription and by identifying an estrogen response element in the PHD2 promoter (52). Given the recent report that PHD2 expressed in PAECs protects against PAH development (32), our identification of PHD2 as a target of ER $\beta$  is of particular interest, as it suggests a novel mechanism whereby E2 attenuates HPH development.

ER $\beta$  may also inhibit HIF-1 $\alpha$  and HIF-2 $\alpha$  through PHD2-independent mechanisms. For example, ER $\beta$  was shown to inhibit HIF-1 $\alpha$  transcriptional activity via downregulation of *ARNT* (HIF-1 $\beta$ ) (54). In another study, ER $\beta$  was shown to destabilize HIF-1 $\alpha$  protein via proteasomal degradation (55). It is possible that similar mechanisms regulate ER $\beta$ 's effects on HIF-1 $\alpha$  in the hypoxic pulmonary vasculature. Future studies will focus on identifying the exact molecular mechanisms of ER $\beta$ -mediated attenuation of HIF-1 $\alpha$  and HIF-2 $\alpha$  in the hypoxic lung and in hypoxic PAECs.

Our study has several limitations. First, although our *in vitro* data robustly identify PHD2 as a target of ER $\beta$ , we did not detect any changes in PHD2 expression in *Esr1*<sup>-/-</sup> or *Esr2*<sup>-/-</sup> mice treated with E2. Although it is possible that PHD2 is not regulated by E2-ER $\beta$  signaling *in vivo*, we consider it more likely that E2-ER $\beta$ -mediated changes in PHD2 expression in PAECs *in vivo* were not captured at the time point investigated, or were masked by surrounding tissue in whole-lung homogenates. This is supported by the observation that the effects we noted on HIF-1 $\alpha$  and HIF-2 $\alpha$  were robust *in vitro* as well as *in vivo*. Second, our *in vitro* studies used a severe level of hypoxia (1% O<sub>2</sub>). This severe level of hypoxia can inhibit PHD2 activity at least acutely (at 4 h), but perhaps not chronically (36, 37, 56), which could be a source of variability in our data; however, we were able to corroborate our DPN findings using less severe hypoxic conditions (5% O<sub>2</sub>), during which PHD2 should be active (Figure E3). Second, in our studies we used *in vitro* rat and human primary cells, and conducted *in vivo* studies in rats and mice; however, it should be noted we did not use human cells for all studies. Future investigations will focus on expanding upon these mechanisms in human PAECs and PMVECS, and in patients with HPH under less severe hypoxic conditions. Third, although we confirmed that hypoxia upregulates ER $\beta$  in several cell types and in two species, we did not corroborate our mechanistic studies in human cells. We did, however, confirm the relevance of this signaling pathway *in vivo*, making the rat PAEC studies relevant. Mechanistic studies dissecting the interplay among HIFs, PHD, and ER $\beta$  in PAECs from healthy donors and patients with PH are currently ongoing in our laboratory. To eliminate potentially confounding effects of estrogens or the estrous cycle on ER expression, we performed the current study in male animals, and therefore do

not provide information on ER expression in hypoxic females. Similarly, our commercially obtained human PAECs and PMVECs were derived from male donors. Studies in females are currently underway, and a sex-specific comparison of ER expression will be the focus of future investigations. Lastly, our studies focused on hypoxia-induced changes in the pulmonary vasculature and are therefore relevant for HPH and WHO group 3 PH, but cannot necessarily be extrapolated to PAH. Given that HIF-1 $\alpha$ , HIF-2 $\alpha$ , and female sex play major roles in PAH development, the cross-talk among HIF-1 $\alpha$ , HIF-2 $\alpha$ , E2, and ER $\beta$  in this disease needs to be explored further. The protective ER $\beta$  signaling noted in hypoxia may be lost or altered in PAH.

In summary, we provide the first evidence of a novel HIF-1 $\alpha$ -dependent hypoxia pathway that induces ER $\beta$ , but not ER $\alpha$ , in PAECs and PMVECs. We show that the hypoxia-induced upregulation of ER $\beta$  is specific to the lung and pulmonary vasculature, as evidenced by the decrease in ER $\beta$  expression in the hypoxic RV and liver. We demonstrate that ER $\beta$  induces expression of the HIF- $\alpha$  inhibitor PHD2 and decreases HIF-1 $\alpha$  and HIF-2 $\alpha$  expression *in vitro*. *In vivo*, ER $\beta$  prevents increases in HIF-2 $\alpha$  and is necessary for E2 to decrease lung HIF-1 $\alpha$  and hypoxia-induced pulmonary vascular remodeling. Harnessing these pathways may facilitate the development of specific therapeutic strategies for patients with HPH and WHO group 3 PH, for whom there are no pharmacological treatment options, and help unravel the “estrogen paradox” in PAH. ■

**Author disclosures** are available with the text of this article at [www.atsjournals.org](http://www.atsjournals.org).

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