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AN INFORMATION THEORY MODEL FOR OPTIMIZING QUANTITATIVE MAGNETIC RESONANCE IMAGING ACQUISITIONS

by

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AN INFORMATION THEORY MODEL FOR OPTIMIZING QUANTITATIVE MAGNETIC RESONANCE IMAGING ACQUISITIONS

A Dissertation

Presented to the Faculty of The University of Texas Health Science Center at Houston and The University of Texas MD Anderson Cancer Center Graduate School of Biomedical Sciences in Partial Fulfillment

of the Requirements

for the Degree of

DOCTOR OF PHILOSOPHY

by Drew Palmer Mitchell, B.Sc. Houston, Texas

August, 2019

©Copyright by Drew Palmer Mitchell, 2019. All rights reserved. To my dad. Your memory reverberates throughout these pages and all else I do. You are dearly missed.

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An Information Theory Model for Optimizing Quantitative Magnetic Resonance Imaging Acquisitions

Drew Palmer Mitchell, B.Sc.

Advisory Professor: David Thomas Alfonso Fuentes, Ph.D.

Quantitative magnetic resonance imaging (qMRI) is a powerful group of imaging techniques with a growing number of clinical applications, including synthetic image generation in post-processing, automatic segmentation, and diagnosis of disease from quantitative parameter values. Currently, acquisition parameter selection is performed empirically for quantitative MRI. Tuning parameters for different scan times, tissues, and resolutions requires some measure of trial and error. There is an opportunity to quantitatively optimize these acquisition parameters in order to maximize image quality and the reliability of the previously mentioned methods which follow image acquisition.

The objective of this work is to introduce and evaluate a quantitative method for selecting parameters that minimize image variability. An information theory framework was developed for this purpose and applied to a 3D-quantification using an interleaved Look-Locker acquisition sequence with T2 preparation pulse (3D-QALAS) signal model for synthetic MRI. In this framework, mutual information is used to measure the information gained by a measurement as a function of acquisition parameters, quantifying the information content of the acquisition parameters and allowing informed parameter selection.

The information theory framework was tested on synthetic data generated from a representative mathematical phantom, measurements acquired on a qMRI multiparametric imaging standard phantom, and *in vivo* measurements in a human brain. The application of this information theory framework resulted in successful parameter optimization with respect to mutual information. Both the phantom and *in vivo* measurements showed that higher mutual information calculated by the model correlated with smaller standard deviation in the reconstructed parametric maps.

With this framework, optimal acquisition parameters can be selected to improve image quality, image repeatability, or scan time. This method could reduce the time and labor necessary to achieve images of the desired quality. Making an informed acquisition parameter selection reduces uncertainty in the imaging output and optimizes information gain within the bounds of clinical constraints.

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Abbreviations and symbol names

2D	Two- \mathbf{D} imensional
3D	Three- \mathbf{D} imensional
BART	Berkeley Advanced Reconstruction Toolbox
CSF	\mathbf{C} erebro \mathbf{s} pinal \mathbf{F} luid
CMI	$\mathbf{C} onditional \ \mathbf{M} utual \ \mathbf{I} n formation$
FOV	Field of View
GM	Gray Matter
GRE	\mathbf{G} radient \mathbf{R} ecalled \mathbf{E} cho
ISMRM	International Society of Magnetic Resonance in Medicine
MI	\mathbf{M} utual \mathbf{I} nformation
MR	\mathbf{M} agnetic \mathbf{R} esonance
MRI	$\mathbf{M} \mathbf{a} \mathbf{g} \mathbf{n} \mathbf{e} \mathbf{i} \mathbf{c} \mathbf{R} \mathbf{e} \mathbf{s} \mathbf{o} \mathbf{n} \mathbf{a} \mathbf{c} \mathbf{e} \mathbf{I} \mathbf{m} \mathbf{a} \mathbf{g} \mathbf{i} \mathbf{g}$
NIST	National Institute of Standards and Technology
PD	Proton Density
PDF	\mathbf{P} robability \mathbf{D} ensity \mathbf{F} unction
QALAS	$\mathbf{Q}\mathbf{u}\mathbf{a}\mathbf{n}\mathbf{t}\mathbf{i}\mathbf{f}\mathbf{i}\mathbf{c}\mathbf{a}\mathbf{t}\mathbf{i}\mathbf{o}\mathbf{u}\mathbf{s}\mathbf{n}$ interleaved $\mathbf{L}\mathbf{o}\mathbf{o}\mathbf{k}\mathbf{-}\mathbf{L}\mathbf{o}\mathbf{c}\mathbf{k}\mathbf{e}\mathbf{r}$
	A cquisition S equence with T2 preparation pulse
qMRI	\mathbf{Q} uantitative \mathbf{M} agnetic \mathbf{R} esonance \mathbf{I} maging

QRAPMASTER	Quantification of Relaxation times And Proton density
	by M ultiecho A cquisition of a S aturation-recovery using
	${f T}$ urbo spin- ${f E}$ cho ${f R}$ eadout
R1	Longitudinal relaxation rate
R2	Transverse relaxation rate
R2*	Effective transverse relaxation rate
RMSE	\mathbf{R} oot- \mathbf{M} ean- \mathbf{S} quare \mathbf{E} rror
SSE	$\mathbf{S} \text{um of } \mathbf{S} \text{quared } \mathbf{E} \text{rrors}$
Т	\mathbf{T} esla
T1	Longitudinal relaxation time
T1-W	$\mathbf{T1} ext{-}\mathbf{W} ext{eighted}$
T2	Transverse relaxation time
T2*	Effective transverse relaxation time
T2-W	$\mathbf{T2} ext{-}\mathbf{W} ext{eighted}$
\mathbf{TE}	\mathbf{E} cho \mathbf{T} ime
TI	Inversion \mathbf{T} ime
TR	R epetition T ime
VFA	Variable Flip Angle
WM	White Matter

Chapter 1

Introduction

Quantitative MRI is a single MRI quantification scan which measures physical properties.[1– 5] Synthetic MRI methods allow conventional contrast images to be synthesized in postprocessing using any combination of repetition time (TR), echo time (TE), and inversion time (TI). The ability to synthesize images reduces the scan time required to produce multiple series of different contrast weightings. Furthermore, the quantitative images produced by synthetic MRI provide additional diagnostic utility. Current acquisition parameters—the quantities which determine the acquisition sequence, such as flip angle, TR, TE, and delay times—are selected based on a combination of simple models and experience. As an alternative, this work proposes a quantitative framework using mutual information to evaluate the information content[6] of quantitative MRI acquisitions and to guide optimization of acquisition parameter selection for multi-parameter mapping.

In conventional MRI, diagnosis relies on comparison of relative image intensities, since absolute image intensity cannot by itself be used for this purpose. This usually necessitates several different contrast scans. Quantitative MRI addresses these shortcomings by allowing a pixel-wise examination of pathology to determine absolute deviation from normal values.^[1] It allows direct material identification by simultaneous quantification of multiple parameters, *e.g.* cancer cells from T1, T2, and self-diffusion tensor changes. However, many existing methods require clinically unacceptable scan times, and current fast methods have a narrow range of accuracy or employ fitting algorithms which require high SNR to obtain adequate estimates.

Information theory can address these drawbacks. This work aims to obtain a quantitative understanding of the information content of the acquisition parameters. Making an informed acquisition parameter selection instead of an empirical selection reduces the uncertainty in model output or optimizes information gain for fixed time. Measurements of an event with less entropy are by definition more repeatable. In other words, for given clinical constraints, acquisition parameters can be selected which maximize synthetic MRI repeatability. This gives the greatest consistency to post-processed synthetic MR images, automatic segmentation results, and diagnoses made from quantitative images.

Toward this goal, a quantitative framework is developed using mutual information to evaluate acquisition parameter selection for the optimization of multi-parameter mapping. An important feature of this framework is that it is application agnostic. It should be applicable to most clinical modeling problems and could provide a quantitative understanding of model parameter information content in clinical image reconstruction, treatment planning, and other applications.

1.1 Hypothesis

The hypothesis of this research is that quantitative parameter optimization via information theory significantly reduces the variance of reconstructed parametric map values in quantitative MRI. The hypothesis will be tested through completion of the following three specific aims:

1.2 Specific Aims

Specific Aim 1: Design and validate information theory framework to quantify information gain of quantitative MRI acquisitions with variable acquisition parameters.

This information theory approach models uncertainties in image subject properties and measurement accuracy as probability distributions. These uncertainties propagate through the model of measurement acquisition, allowing quantification of uncertainty reduction as a function of controllable measurement parameters. This is ideally applied to signal models which are nonlinear operators with no analytical solution to validate the method, but it must first be validated on a model with a known analytical solution.

The working hypothesis in this aim is that mutual information can quantify the information gain of an MRI acquisition and predict the optimal acquisition parameters needed to maximize SNR of the measurement. To investigate this hypothesis, a spoiled GRE sequence is modeled to compare information-optimized flip angle to the analytically known Ernst angle, where SNR is maximum.

Specific Aim 2: Develop a computational methodology to quantify information content of 3D-QALAS acquisitions relative to a representative synthetic brain model and validate in phantom.

To demonstrate feasibility of information optimization in 3D-QALAS, a synthetic brain model is employed to represent the state of information in the system before image acquisition. Information gained through image acquisition with 3D-QALAS is computed by Gauss-Hermite quadrature. Current 3D-QALAS reconstruction relies on empirical parameter selection during acquisition, but these parameters can be quantitatively optimized by maximizing modeled information gain.

The working hypothesis of this aim is that information-optimized acquisition parameters for a 3D-QALAS signal model correlate to smaller variances in reconstructed parametric maps. To test this hypothesis, reconstruction accuracy will be compared between optimal and sub-optimal acquisitions of phantoms with known T1 and T2 values. Theoretical reconstruction uncertainty reduction will be quantified for informationguided acquisition parameters.

Specific Aim 3: Develop a computational methodology to optimize conditional information gain of 3D-QALAS acquisitions relative to low-information tuning acquisitions of the image subject and validate both in phantom and *in vivo*.

A more flexible and accurate implementation of this information optimization must select a more representative model of the state of information in the system before image acquisition. A low-resolution tuning acquisition provides such a model. The conditional form of mutual information is computed to allow optimization of subsequent measurements with independent acquisition parameters. Conditional mutual information optimization will be used to locate the most informative points in parameter space. The information theory framework will quantify the information content of full-resolution 3D-QALAS acquisitions with updated acquisition parameters.

The working hypothesis of this aim is that information-optimized acquisition parameters for a 3D-QALAS signal model result in reduction of reconstructed parametric map variance. To test this hypothesis, the variability of reconstructed parametric maps as a function of acquisition parameters will be predicted by conditional mutual information in a phantom with known T1 and T2 values. Additionally, an information-optimized acquisition of a human brain will be compared to an acquisition with default clinical parameters.

1.3 Dissertation Organization

The organization of this dissertation is as follows. Chapter 2 covers background information about synthetic MRI, the role of quantitative MRI in diagnostic imaging, and the importance of reproducibility testing for quantitative imaging modalities. Chapter 3 reviews relevant concepts from information theory. Chapters 4, 5, and 6 detail the completion of Specific Aims 1, 2, and 3, respectively. Chapter 7 draws conclusions from this work. Appendix A defines important terms used throughout this work. Appendix B defines mathematical symbols and conventions used consistently across chapters. Appendix C gives mathematical derivations omitted from the main chapters.

Chapter 2

Quantitative MRI

2.1 Introduction

Quantitative magnetic resonance imaging refers to the parametric mapping of meaningful physical or chemical properties, such as proton density, relaxation times, and B1 inhomogeneity.[1–5, 7] This differs from the qualitative images of conventional MRI, the image values of which possess no inherent meaning except relative to one another. When combined with other techniques like image synthesis and automatic segmentation, it is sometimes referred to as SyMRI.[8] Quantitative MRI is poised to bring numerous improvements to patient care through quantitative disease diagnoses, more robust automatic segmentation, and generation of synthetic images in post-processing.[1]

2.2 Relaxometry

The principles of quantifying relaxation times have been employed for decades.^[9] Dozens of studies and methods have been performed to achieve rapid quantification of proton density (PD), R1, R2, or R2* individually.[10–22] Several recent methods have simultaneously quantified PD, R1, and R2, including quantification of relaxation times and proton density by multiecho acquisition of a saturation-recovery using turbo spin-echo readout (QRAPMASTER),[1, 23] magnetic resonance fingerprinting,[24] and inversionrecovery true fast imaging with steady state precession (IR TrueFISP).[25]

The most significant hurdles to widespread clinical implementation have been excessive scan time and lack of clinical experience with directly interpreting absolute PD, T1, and T2 maps. Previous multi-parameter mapping approaches have required 20–25 minutes to perform with partial parallel imaging. [26, 27] Substantial progress in recent years has allowed the absolute quantification of T1, T2, proton density, and B1 inhomogeneity in 5 minutes. [1, 28, 29] However, fast methods must employ fitting algorithms that require a high signal-to-noise ratio to produce acceptable results and are accurate only within a limited range of inputs. [30]

2.2.1 QRAPMASTER

QRAPMASTER is a widely used quantitative MRI pulse sequence that functions by repeating two phases: a slice-selective saturation pulse followed by spoiling acting on one slice and a slice-selective turbo spin-echo acquisition of a second, different slice. Variable delays between the execution of each phase on one specific slice render a matrix of measurements that is used to retrieve R1 and R2 relaxation times through a least squares fit. Local B1 field estimation is also possible due to the use of the saturation pulse (R1 curve position depends on B1). R1, R2, and B1 are then used to estimate unsaturated magnetization M0.[1] Simultaneous quantification of these parameters results in parametric maps which are automatically coregistered with one another, which is a distinct advantage over earlier methods that required separate scans for each parameter. The QRAPMASTER reconstruction assumes monoexponential decay for the computation of R1 and R2, but multiexponential decay may cause errors near CSF interfaces.[31] Nevertheless, the method meets accuracy and reproducibility requirements for clinical use.[23]

2.2.2 3D-QALAS

A pulse signal of particular interest and used as a signal model in this work is 3D-QALAS (3D-Quantification using an interleaved Look-Locker acquisition sequence with T2 preparation pulse).[32] 3D-QALAS is a novel technique based on a multi-acquisition 3D gradient echo sequence. The sequence takes place in a T2 sensitization phase and a T1 sensitization phase. During the T2 sensitization phase, a series of pulses encode T2 relaxation on the M_z axis, and a gradient echo acquisition is performed. In the T1 sensitization phase, an inversion pulse is applied, and then four gradient echo acquisitions are performed as the longitudinal magnetization relaxes. M0, T1, and T2 parametric maps are fitted to these measurements from the five gradient echo acquisitions. The original application of 3D-QALAS is rapid cardiac mapping, but it may be adapted for 3D multi-parameter quantification in the brain with different timing constraints.

2.3 Post-Processing Utility

In addition to the diagnostic benefits, synthetic 3D MRI could fundamentally alter MRI workflow.^[29] Ideally, only a single quantification scan is required, replacing survey and reference scans, as well as various contrast images at different orientations, potentially reducing MRI scanning and planning times. As with CT, images with the preferred contrast and orientation would be generated in post-processing.

2.3.1 Tissue Segmentation

Absolute parametric maps have also led to improvements in automatic tissue segmentation. Accurate segmentations for volume calculations are important in several diseases, including multiple sclerosis, [33] Alzheimer disease, [34] and vascular dementia. [35] Quantitative MR images have been found to make automatic segmentation results more robust. [36, 37] There is no need to normalize signal intensities as with segmentation done from conventional MR images, [38–40] and furthermore, absolute parametric maps are not affected by several machine and pulse sequence imperfections. [1] Segmentations performed with multiple contrasts [39] can model intra-voxel tissue type mixtures, which mitigates partial volume errors. [41] An automatic segmentation method is currently in use that uses quantitative outputs from the QRAPMASTER sequence [42] and has been found to have good repeatability. [43] It uses absolute parametric maps to develop lookup tables of tissue values corresponding to mixtures of brain tissues. [42] Addition of artificial noise gave relaxation times and proton density representative distributions in order to define confidence intervals. [44] Separate lookup tables are needed for 1.5T and 3.0T scanners, but segmentations from the two methods showed good agreement. [45–47]

2.3.2 Synthetic Image Synthesis

PD, T1, and T2 parametric maps are unlikely to be used clinically as the sole diagnostic tool for the time being simply because there is little experience making diagnoses from these images.[1] However, synthetic image synthesis can mimic the contrast weightings that radiologists see routinely, and studies have shown good agreement between diagnoses made on synthetic and conventional MRI.[48, 49] A wide range of contrast weightings can be achieved, as well as inversion recovery and double inversion recovery images.[50–52] Contrasts can be customized to specific diseases[51] or to highly variable tissue properties, such as developing brains in pediatric imaging.[48] Synthetic MRI can allow shorter scan times by replacing multiple conventional acquisitions selected to provide multiple contrast weighting of diagnostic interest. This can be particularly useful when imaging time is limited or when patients require shorter scan times.[53] Rapid generation of synthetic images in post-processing is now possible and has greatly improved the clinical feasibility of this approach.[49, 54]

2.3.3 Magnetic Resonance Spectroscopy

Absolute metabolite concentrations cannot be calculated from conventional magnetic resonance spectroscopy. Instead, the ratios of metabolite concentrations relative to creatine are usually produced.[55] Quantification of spectroscopy results is valuable, because it reduces variations in the relative ratios as a function of age and other factors such as multiple sclerosis,[56, 57] as well as obviating the need for external reference phantoms.[58, 59] It is possible to calibrate magnetic resonance spectroscopy results using quantitative MRI by exploiting correlations of various metabolites with relaxation parameters and water concentration.[60]

2.3.4 Physical Models

Absolute-valued PD, T1, and T2 from quantitative MRI can be used to construct more complex models of physical tissue properties. Of particular importance are models of myelin content and edema, which are useful in the evaluation of multiple sclerosis. Several methods exist to construct such models, [48, 61, 62] and efforts have been made to include myelin modeling in the SyMRI workflow. [63] Quantitative comparison of brain anatomy is also made possible through spatial normalization of parametric maps into a standardized stereotactic space.[64] This allows development of a repository of healthy brain data for which anatomical differences are eliminated. Such an approach could improve automated diagnosis of disease.

2.4 Clinical Applications

Magnetic resonance imaging methods that simultaneously quantify multiple parameters enable new diagnostic approaches—for example, the identification of cancer cells on the basis of T1, T2, and self-diffusion tensor changes.[24] Normal brain tissues possess relatively small ranges of T1, T2, and PD values,[65, 66] but pathological tissues deviate significantly from normal values.[1] Dozens of diagnostic applications have been investigated across a wide range of diseases.

2.4.1 Brain Metastases

Quantitative MRI has been used previously in the evaluation of brain metastases.[67] T1weighted and T1-weighted inversion recovery images have historically had the greatest diagnostic utility for this purpose.[68] Hagiwara[50] et al. have compared diagnoses of brain metastases between synthetic and conventional MR images and found that more lesions were detected with synthetic T1-weighted inversion recovery than with its conventionally acquired counterpart.

2.4.2 Multiple Sclerosis

Multiple sclerosis is perhaps one of the diseases most amenable to analysis by quantitative MRI. Detection and evaluation of multiple sclerosis via quantitative imaging has already been achieved in several studies.[30, 69, 69–72] Treatment effectiveness is often evaluated by detecting new or progressing focal lesions.[73] Compared to conventional methods, synthetic MRI has been found to enable detection of a greater number of multiple sclerosis plaques.[37, 74–76] Two contrasts that are known to be effective for locating multiple sclerosis plaques—phase-sensitive inversion recovery and double inversion recovery images—are often not acquired due to time constraints. However, they can be synthetically generated in post-processing from absolute parametric maps.[51] Analyses of brain tissue segmentations have found that brain parenchymal fraction is an important biomarker in multiple sclerosis.[77–79] It is a predictor of patient outcome, including disability and relapsing-remitting disease,[80] and thus accurate and reproducible volumetric analysis is critical.

2.4.3 Other Diagnostic Utilities

The quantification of tissue relaxation times and proton density has been used in the detection and staging of many other diseases: epilepsy,[81] Parkinson disease,[82] Alzheimer disease,[29] meningitis,[83–85] thalassemia,[86, 87] Sturge-Weber syndrome,[50, 52, 88, 89] and idiopathic normal pressure hydrocephalus.[90–92] It has also been utilized in the general characterization of lesions[93, 94] and trauma,[95] for monitoring response to radiation therapy[96], for assessment of atherosclerotic plaques,[97] and for rapid quantification of metabolites in spectroscopic imaging.[98] Additionally, postmortem imaging is most commonly performed with CT,[99] but whole-body quantitative MRI[100] offers better soft tissue discrimination.[101] It is necessary to perform temperature corrections so the tissue properties of dead tissues approximate those of tissues in living patients before synthetic images are generated.[102–105]

2.5 Performance of Quantitative Imaging

Synthetic MRI is an important technology with promising diagnostic applications, and it is imperative to quantitatively address of images produced by this method. This work addresses variability in 3D-QALAS, in particular. In general, the framework developed herein may be used to predict repeatability or reproducibility as a function of manually selected acquisition parameters for a wide range of imaging modalities and acquisition schemes.

Variability is a key concern in all quantitative imaging modalities. It is central to the mission statement of the Quantitative Imaging Biomarkers Alliance[106, 107], which is to "improve the value and practicality of quantitative imaging biomarkers by reducing variability across devices, patients, and time." [108] The metrology papers[108–112] put forth by QIBA outline terminology and methods for standardizing and optimizing performance evaluations of quantitative imaging biomarkers (QIBs).

Two accepted metrics of QIB performance are repeatability and reproducibility. Repeatability concerns measurement precision under identical testing conditions, whereas reproducibility concerns measurement precision under varying testing conditions, *e.g.* scanner, location, operator, or institution.[113]

The standardized metrics of repeatability and reproducibility suggested by Raunig[111] et al. include the repeatability coefficient (RC) and the reproducibility coefficient (RDC). These coefficients are defined as the least significant difference between two measurements in a repeatability or reproducibility experiment, respectively. Alternatively, they can be understood as the width of the 95% confidence interval on the measurement distribution in these experiments. The repeatability coefficient (RC) is given by

$$RC = 1.96\sqrt{2s_w^2} = 2.77s_w, \tag{2.1}$$

where s_w^2 is an estimate of σ_w^2 , the within-subject variance.[111] The reproducibility coefficient (RDC) is given by

$$RDC = 2.77 \sqrt{\sigma_{\delta}^2 + \sigma_{\gamma\delta}^2 + \sigma_{\epsilon}^2}, \qquad (2.2)$$

where σ_{δ}^2 is the site variance, $\sigma_{\gamma\delta}^2$ is the case by site variance, and σ_{ϵ}^2 is the variance between replicates within site and case.[111]

The guiding principles of QIBA have been implemented in variability studies across many quantitative imaging modalities, including dynamic contrast-enhanced (DCE) MRI,[114, 115] functional MRI (fMRI),[116, 117] MR elastography,[118] computed tomography (CT),[119–129] fluorodeoxyglucose 18F positron emission tomography (FDG PET),[130, 131] PET/CT,[132–137] and ultrasound elastography.[138, 139] Additional studies have demonstrated that variability in imaging protocols impacts reported progressionfree survival results[140] and used Monte Carlo simulation to determine the threshold between measurement error and real change in the patient.[141]

2.6 Application of Information Theory

Acquisition parameters—the quantities that determine the acquisition sequence, such as excitation angle, TR, TE, and delay times—are currently selected on the basis of a combination of simple models and experience. As an alternative, this work develops a quantitative framework using mutual information to evaluate the information content[6] of quantitative MRI measurements and to guide acquisition parameter selection for the optimization of multi-parameter mapping.

The information theory framework addresses the accuracy requirements of fast methods and seeks to improve reproducibility. Development of this framework involved derivation of a numerical method to compute mutual information of a complex MRI pulse sequence model as well as an optimization method for parameter selection.

In this work, a quantitative understanding is obtained of the effect of informationtheory-optimized acquisition parameters on the accuracy and reproducibility of quantitative tissue measurements. It was found that making an informed acquisition parameter selection instead of an empirical selection reduces uncertainty in model output and optimizes information gain for fixed time. These improvements are relevant to the clinic: for given clinical constraints, acquisition parameters can be selected that maximize synthetic MRI reproducibility, increasing the confidence with which one can make diagnoses based on quantitative images. Finally, the information theory framework is application agnostic: it is expected to be applicable to most clinical modeling problems as well as to clinical image reconstruction and treatment planning.

Chapter 3

Information Theory

3.1 Introduction

Information is often understood as the resolution of uncertainty.[142] The information entropy of an event is a measure of how much information it contains. An event that is nearly certain to occur possesses very little information, so greater information gain results from events with greater information entropy. These quantities have long been used in myriad signal processing and communications applications. Unsurprisingly, information theory intersects with imaging science and imaging physics as well, and its tools find a natural place in many imaging modeling problems. Mutual information[143] is the optimization metric used in conjunction with stochastic modeling[144] of the magnetic resonance imaging acquisitions in this work.

3.2 Mutual Information

The reduction in variance from a measurement is quantified using an information theory concept called mutual information. Mutual information is a quantity which describes the amount of information one random variable contains about another random variable. More intuitively, it is the reduction in one random variable's entropy due to knowledge of another variable. It is helpful to visualize mutual information as a Venn diagram of entropies for simple distributions (Figures 3.1 and 3.2). Generally, for some total entropy of a joint probability function of measurements and parametric maps, the entropy of the parametric map distribution is reduced by a known measurement to a smaller entropy. This reduction is mutual information.

Mutual information can be understood intuitively by applying it to a simple example, such as flipping two coins. Consider two fair coins flipped one after another (Figure 3.1). It is assumed that the second coin is equally likely to land on either side. Flipping the first coin gives no information about the outcome of the second coin flip. Thus, mutual information between the two is zero.

Now, consider two unfair coins flipped one after another (Figure 3.2). These coins land on one side 75% of the time. It is not known beforehand which side they favor, so it can only assumed that both outcomes are equally likely. Because landing on one side is actually more probable, the first coin flip gives some information about the second. So, mutual information is greater than zero.

In imaging, acquiring a measurement is like flipping the first coin. The goal is to learn as much as possible about the distribution of image values, or analogously the distribution the second coin flip results, despite having an imperfect measurement due to machine noise and other factors. Acquisition parameters should be selected that are akin

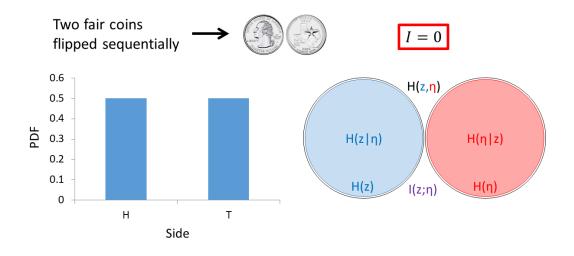


FIGURE 3.1: Fair coin flip example. Two coins are flipped sequentially, both with equal probability of landing on either side. The first coin provides no information about the second, so mutual information between the events is zero.

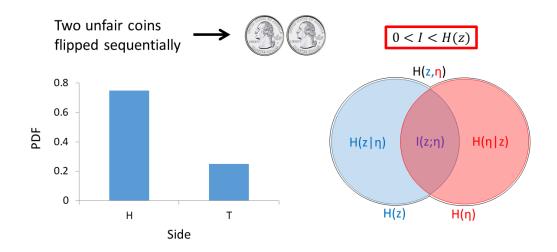
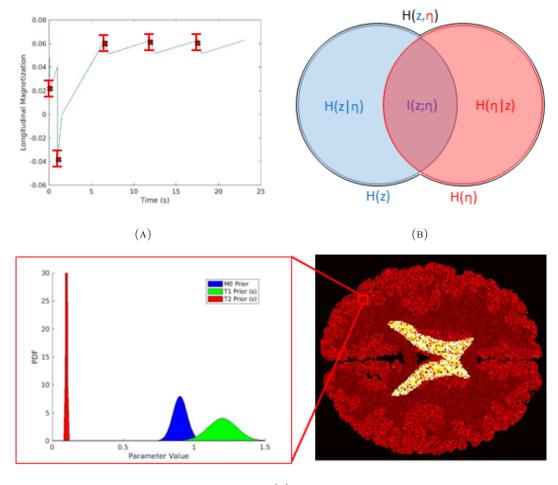


FIGURE 3.2: Unfair coin flip example. Two coins are flipped sequentially, both with 75% chance of landing on one side. The favored side is not known beforehand. The first coin flip now provides some information about the second, so mutual information between the two events is greater than zero.

to flipping the most unfair coin possible. Recall that information can be defined as the resolution of uncertainty.[142] Information known about an event reduces its uncertainty. In imaging applications, mutual information measures how much the measured signal reduces entropy of reconstructed image parameters, which correlates with reduction of the variance of reconstructed image parameters.

For an uninformative set of acquisition parameters, mutual information is small, and the variance of reconstructed parametric maps is large. Figure 3.3 illustrates a scenario in which a suboptimal 3D-QALAS acquisition is performed. Figure 3.3a shows a representation of the longitudinal magnetization in a 3D-QALAS acquisition with suboptimal acquisition parameters. Each of the five measurements is shown as a red x. The machine noise that corrupts the measurements is represented as a set of error bars on each measurement. The second, third, fourth, and fifth measurements are used to fit the longitudinal relaxation curve and determine T1. The fact that the third, fourth, and fifth measurements occur after most of the longitudinal relaxation has already occurred make this acquisition spacing highly suboptimal. The Venn diagram in Figure 3.3b shows the relationships between entropies resulting from the information theory modeling of the acquisition depicted in Figure 3.3a. In Bayesian terms, the entropy of the prior distribution of quantitative parameters of the image subject is illustrated by the red outline. These are the assumed distributions of the quantitative parameters before any imaging information is acquired. The entropy of the posterior distribution of quantitative parameters of the image subject is illustrated by the red filled portion of the circle. These are the information-model-predicted distributions of the quantitative parameters after imaging information is acquired. This entropy is reduced increasing mutual information (the purple filled portion of the circle). Thus, mutual information acts as a measure of acquisition optimality by its relationship to predicted entropy of the quantitative parameters of the image subject. Figure 3.3c shows a synthetic reconstruction of the T1 map (right) from the previous suboptimal acquisition in Figure 3.3a. The image is visibly noisy. It is important to note that this is the result of relatively high uncertainty in the reconstruction of the quantitative parameters of the image subject and not a result of increased electronic or machine noise. The probability density functions (left) show the spread of reconstructed M0, T1, and T2 values in gray matter for the synthetic reconstruction. The spread of these values can be quantified by standard deviation (or higher order moments for more general distributions). The entropy of these distributions for one acquisition relative to another is predicted by the mutual information of the information theory model for that acquisition relative to the other.

Alternatively, the greatest mutual information exists for the set of acquisition parameters for which a measurement produces M0, T1, and T2 maps with the least entropy. Mutual information can be optimized over this acquisition parameter space to find the optimal set of parameters. Figure 3.4 illustrates a scenario in which an optimal 3D-QALAS acquisition is performed. Figure 3.4a shows a representation of the longitudinal magnetization in a 3D-QALAS acquisition with optimal acquisition parameters. Each of the five measurements is shown as a red x. The machine noise that corrupts the measurements is represented as a set of error bars on each measurement. The second, third, fourth, and fifth measurements are used to fit the longitudinal relaxation curve and determine T1. The fact that the second, third, fourth, and fifth measurements are well-spaced across the relaxation curve make this acquisition spacing more optimal than the suboptimal acquisition example. The Venn diagram in Figure 3.4b shows the relationships between entropies resulting from the information theory modeling of the acquisition depicted in Figure 3.4a. In Bayesian terms, the entropy of the prior distribution of quantitative parameters of the image subject is illustrated by the red outline.



(C)

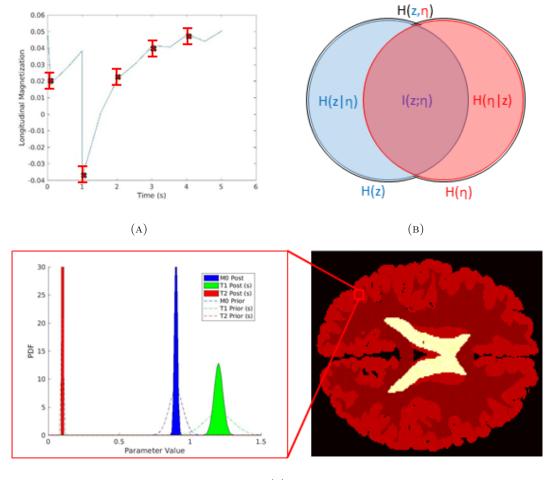
FIGURE 3.3: Representation of a suboptimal 3D-QALAS acquisition. (A) Longitudinal magnetization in a 3D-QALAS acquisition with suboptimal acquisition parameters. Each of the five measurements is shown as a red x. The machine noise that corrupts the measurements is represented as a set of error bars on each measurement. (B) Venn diagram showing the relationships between entropies resulting from the information theory modeling of the acquisition depicted in (A). In Bayesian terms, the entropy of the prior distribution of quantitative parameters of the image subject is illustrated by the red filled portion of the circle. This entropy is reduced increasing mutual information (the purple filled portion of the circle). (C) Synthetic reconstruction of the T1 map (right) from the previous suboptimal acquisition in (A). The probability density functions (left) show the spread of reconstructed M0, T1, and T2 values in gray matter for the synthetic reconstruction.

These are the assumed distributions of the quantitative parameters before any imaging information is acquired. The entropy of the posterior distribution of quantitative parameters of the image subject is illustrated by the red filled portion of the circle. These are the information-model-predicted distributions of the quantitative parameters after imaging information is acquired. This entropy is reduced increasing mutual information (the purple filled portion of the circle). Thus, mutual information acts as a measure of acquisition optimality by its relationship to predicted entropy of the quantitative parameters of the image subject. Compared to the previous figure, mutual information is greater, and the entropy of the posterior distribution is lesser. Figure 3.4c shows a synthetic reconstruction of the T1 map (right) from the previous suboptimal acquisition in Figure 3.4a. The image is visibly less noisy than the corresponding image in the previous figure. It is important to note that this is the result of relatively low uncertainty in the reconstruction of the quantitative parameters of the image subject and not a result of decreased electronic or machine noise. The probability density functions (left) show the spread of reconstructed M0, T1, and T2 values in gray matter for the synthetic reconstruction. The spread of these values can be quantified by standard deviation (or higher order moments for more general distributions). The entropy of these distributions for one acquisition relative to another is predicted by the mutual information of the information theory model for that acquisition relative to the other.

3.3 Information Theory Metrics

3.3.1 Mutual Information

Mutual information can be understood as the expected value of the Kullback-Leibler divergence between two distributions [143]. For two discrete random variables, X and



(C)

FIGURE 3.4: Representation of an optimal 3D-QALAS acquisition. (A) Longitudinal magnetization in a 3D-QALAS acquisition with optimal acquisition parameters. Each of the five measurements is shown as a red x. The machine noise that corrupts the measurements is represented as a set of error bars on each measurement. (B) Venn diagram showing the relationships between entropies resulting from the information theory modeling of the acquisition depicted in (A). In Bayesian terms, the entropy of the prior distribution of quantitative parameters of the image subject is illustrated by the red outline. The entropy of the posterior distribution of quantitative parameters of the image subject is illustrated by the red filled portion of the circle. This entropy is reduced increasing mutual information (the purple filled portion of the circle). (C) Synthetic reconstruction of the T1 map (right) from the previous optimal acquisition in (A). The probability density functions (left) show the spread of reconstructed M0, T1, and T2 values in gray matter for the synthetic reconstruction. The dashed lines show the probability distribution functions resulting from the suboptimal acquisition in Figure 3.3.

Y, with joint probability distribution p(x, y) and marginal probability distributions p(x)and p(y), mutual information between X and Y is defined

$$I(X;Y) = \sum_{y \in Y} \sum_{x \in X} p(x,y) \log\left(\frac{p(x,y)}{p(x)p(y)}\right).$$
(3.1)

Similarly, if X and Y are continuous random variables, mutual information between X and Y is

$$I(X;Y) = \int_{y} \int_{x} p(x,y) \log\left(\frac{p(x,y)}{p(x)p(y)}\right) dxdy.$$
(3.2)

Mutual information may also be defined in terms of the difference of entropies:

$$I(X;Y) = H(Y) - H(Y|X) = H(X) - H(X|Y).$$
(3.3)

This definition is the most closely related to the qualitative understanding of mutual information as the reduction of uncertainty in one random variable given knowledge of another random variable. The derivation for this definition is shown in Section C.1.1 in Appendix C.

3.3.2 Joint Mutual Information

It is also necessary to define the joint mutual information between one random variable, X, and a pair of random variables, Y and Z. For discrete random variables, the joint mutual information is

$$I(X;Y,Z) = \sum_{z \in Z} \sum_{y \in Y} \sum_{x \in X} p(x,y,z) \log\left(\frac{p(x,y,z)}{p(x)p(y,z)}\right).$$
 (3.4)

For continuous random variables, the joint mutual information is

$$I(X;Y,Z) = \int_{z} \int_{y} \int_{x} p(x,y,z) \log\left(\frac{p(x,y,z)}{p(x)p(y,z)}\right) dxdydz.$$
(3.5)

A similar definition in terms of the difference of entropies is again possible:

$$I(X;Y,Z) = H(Y,Z) - H(Y,Z|X) = H(X) - H(X|Y,Z).$$
(3.6)

The derivation for this definition is shown in Section C.1.2 in Appendix C.

3.3.3 Conditional Mutual Information

Finally, it is necessary to define the conditional mutual information between random variables X and Y given random variable Z. For discrete random variables, the conditional mutual information is

$$I(X;Y|Z) = \sum_{y \in Y} \sum_{x \in X} p(x,y|z) \log\left(\frac{p(x,y|z)}{p(x|z)p(y|z)}\right).$$
(3.7)

For continuous random variables, the conditional mutual information is

$$I(X;Y|Z) = \int_{\mathcal{Y}} \int_{x} p(x,y|z) \log\left(\frac{p(x,y|z)}{p(x|z)p(y|z)}\right) dxdy.$$
(3.8)

A similar definition in terms of the difference of entropies is again possible:

$$I(X;Y|Z) = H(Y|Z) - H(Y|X,Z) = H(X|Z) - H(X|Y,Z).$$
(3.9)

The derivation for this definition is shown in Section C.1.3 in Appendix C.

3.3.4 Conditional Mutual Information Relationships

Several generalized conditional mutual information relationships can be derived for a new measurement, z, given N previous measurements, d_1, \ldots, d_N .

$$I(\eta; z|d) = H(\eta|d) - H(\eta|z, d)$$
(3.10)

$$I(\eta; z|d) = H(\eta) - I(\eta; d_1) - \sum_{i=2}^{N} I(\eta; d_i|d_{i-1}, \dots, d_1) - H(\eta; z, d)$$
(3.11)

$$I(\eta; z|d) = I(\eta; z, d) - I(\eta; d_1) - \sum_{i=2}^{N} I(\eta; d_i|d_{i-1}, \dots, d_1)$$
(3.12)

$$I(\eta; z|d) = I(\eta; z, d) - I(\eta; d)$$
(3.13)

These relationships are derived in full in Section C.1.4 in Appendix C.

3.4 Numeric Methods

The information theory framework uses mutual information as an objective function. Computation of mutual information is an expensive high-dimensional integration problem requiring careful consideration of available numeric methods. Numerical integration of multivariate functions has been accomplished by several methods which are largely independent of the problem dimension, each of which is well-suited to certain function classes.[145] These methods include Monte Carlo and Quasi-Monte Carlo[146], lattice rules[147], adaptive subdivision[148, 149], and neural network approximation[150].

For mutual information calculation in particular, several integration and sampling methods have been employed. For special cases and simplified parameter estimation problems, conjugate priors [151], binary models[152], and linear Gaussian models[153] have been used to compute evidence analytically. Numerical quadrature [154] has been used to approximate the posterior and evidence in order to calculate mutual information. Sequential Monte Carlo algorithms have been used to approximate the posterior and evidence for parameter estimation problems[155]. Importance sampling has been used in a computationally expensive method to determine Kullback-Leibler distance between prior and posterior distributions [156]. The same Kullback-Leibler distance has also been calculated by polynomial chaos approximation[157, 158] and nested Monte Carlo integration[159, 160].

Calculation of posterior variance can be accomplished through filters for parameter and state estimation. This has already been accomplished using the Kalman filter[6]. Several additional filters could be applied to this problem[161], including linear Gaussian filters (modified Kalman filter[162]), nonlinear Gaussian filters (extended Kalman filter[163], unscented Kalman filter[164], Gauss-Hermite filter[165, 166], quadrature Kalman filter[165], cubature Kalman filter[167]), adaptive filtering (adaptive filter with gain adaptation [168, 169], multiple models adaptive filtering [170–172]), and robust filtering (robust Kalman filter[173], variable structure filtering, H_{∞} filtering[174]).

The dimensionality of problems can be mitigated by Smolyak's construction (also called discrete blending method, Boolean method, or sparse grid method) for special function classes. Cubature can be performed by combinations of tensor products of one-dimensional quadrature formulas. This allows the number of function evaluations to be a logarithmic function of the problem dimensionality[145]. Different one-dimensional basis rules have been used to accelerate numerical integration, including the midpoint rule, the rectangle rule [175], the trapezoidal rule, the Clenshaw-Curtis rule [176, 177], and Gauss rules[145, 178]. The Newton-Cotes formulas use equidistant abscissas. Weights are

obtained from integrating Lagrange polynomials. For large numbers of points, Newton-Cotes formulas become numerically unstable. Because of this, the usual application is iterated versions of low degree formulas[175]. Clenshaw-Curtis formulas use nonequidistant abscissas obtained from the Chebyshev polynomials and are numerically more stable. If extreme points are used, the quadrature formulas are nested[179]. For Gauss formulas, the abscissas are determined from Legendre polynomials and the weights from integrating associated Lagrange polynomials. They are usually not nested, but they have the maximum possible polynomial degree of exactness[145]. Patterson[180] iterated Kronrod's Gauss quadrature extension formula recursively to retain maximal degree of exactness. The result was a sequence of nested quadrature formulas. It is not clear whether Patterson extensions exist for large numbers of cubature points.

Approximation of posterior distributions can be accelerated by combining variational inference and Markov Chain Monte Carlo[181]. Multi-index Monte Carlo (MIMC) is an extension of multilevel Monte Carlo (MLMC) and a stochastic version of a sparse combination technique[182]. Multifidelity methods have been applied to several problems in uncertainty propagation and optimization. Low-fidelity methods include simplified models (natural problem hierarchies, early-stopping criteria, coarse-grid approximations), projection-based models (proper orthogonal decomposition (POD), reduced basis method, Krylov subspace methods), and data-fit models (interpolation/regression, kriging, support vector machines)[183]. Multifidelity model management uses adaption, fusion, or filtering to either combine information from low- and high-fidelity models or reduce the number of high-fidelity model computations required. Efficient global optimization (EGO) is a multifidelity method used in optimization problems. The low-fidelity model is a kriging method. Kriging approximates a high-fidelity model as a stochastic process $f_{hi} \approx b + \epsilon(z)$. $b \in \mathbb{R}$ is either the mean of the stochastic process or some function depending on the input. $\epsilon(z)$ is a normally distributed stochastic process. ϵ simulates uncertainty caused by limited samples from the high-fidelity model[184]. Efficient global optimization employs surrogate-based optimization and multifidelity trust-region methods[185–190].

Chapter 4

Development and Verification of an Information Model

4.1 Introduction

In this chapter, an information theory framework for quantifying information content of imaging acquisitions is designed and introduced. This approach is verified on a spoiled gradient echo sequence, which is well-understood. The flip angle which maximizes signal intensity, called the Ernst angle, is known analytically. Thus, flip angle is a prime candidate for optimization by maximizing information gain of the acquisition. The information-optimized flip angle is compared to the analytically calculated Ernst angle, and their agreement is analyzed.

4.2 Theory

This section will describe in general terms the framework used to model an image subject and an image acquisition method. It will then demonstrate two methods of computing mutual information between modeled biological uncertainties in the image subject and measurement uncertainties in the acquisition method as a function of acquisition parameters.

For brevity and clarity, throughout this work the function $N(\boldsymbol{x}|\boldsymbol{m}, \boldsymbol{\Sigma})$ will be used to represent a multivariate normal probability density function of N-dimensional random vector \boldsymbol{X} with mean \boldsymbol{m} and covariance matrix $\boldsymbol{\Sigma}$.

$$N(\boldsymbol{x}|\boldsymbol{m},\boldsymbol{\Sigma}) = \frac{\exp\left(-\frac{1}{2}(\boldsymbol{x}-\boldsymbol{m})^{T}\boldsymbol{\Sigma}^{-1}(\boldsymbol{x}-\boldsymbol{m})\right)}{\sqrt{(2\pi)^{N}|\boldsymbol{\Sigma}|}}$$
(4.1)

4.2.1 General Problem Statement

Consider image subject \boldsymbol{x} on image domain Ω :

$$\boldsymbol{x} \in \Omega. \tag{4.2}$$

The image subject on domain Ω is defined by N mutually disjoint tissue labels, each of which occupy their own subdomain, Ω_n .

$$\bigcup_{n=1}^{N} \Omega_n = \Omega \tag{4.3}$$

$$\Omega_n \cap \Omega_m = \emptyset \quad \text{for } n \neq m \tag{4.4}$$

Each tissue label has associated with it some multivariate distribution of physical tissue properties, η . Thus, the distribution of physical tissue properties in the image subject is

$$\boldsymbol{\eta}(\boldsymbol{x}) = \sum_{n=1}^{N} \boldsymbol{\eta}_n U_n(\boldsymbol{x}), \qquad (4.5)$$

where U_n is the indicator function for tissue label n:

$$U_n(\boldsymbol{x}) = \begin{cases} 1 & \text{if } \boldsymbol{x} \in \Omega_n \\ 0 & \text{if } \boldsymbol{x} \notin \Omega_n \end{cases}$$
(4.6)

Physical tissue properties are modeled based on the signal model selection for image acquisition. For example, in a quantitative MRI acquisition $\boldsymbol{\eta}(\boldsymbol{x}) = [M_0(\boldsymbol{x}), T_1(\boldsymbol{x}), T_2(\boldsymbol{x})].$ These physical properties may be selected to simulate independent tissues of interest (white matter, gray matter, CSF, etc.) in each subdomain Ω_n . The physical property distributions are normally distributed about literature values \boldsymbol{m}_{η} with covariance matrix Σ_{η} to simulate biological uncertainty in tissue properties.

$$p(\boldsymbol{\eta}) = N\left(\boldsymbol{\eta} | \boldsymbol{m}_{\boldsymbol{\eta}}, \boldsymbol{\Sigma}_{\boldsymbol{\eta}}\right) \tag{4.7}$$

$$\boldsymbol{m}_{\eta} = \begin{bmatrix} m_{\eta_1} \\ \vdots \\ m_{\eta_N} \end{bmatrix}$$
(4.8)

$$\Sigma_{\eta} = \begin{bmatrix} \sigma_{\eta_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & \sigma_{\eta_{N-1}}^{2} & 0 \\ 0 & 0 & \dots & 0 & \sigma_{\eta_{N}}^{2} \end{bmatrix}$$
(4.9)

These distributions are meant to encompass a range of feasible values that could be measured in any human brain. They may be thought of as the distributions of parameter values over a large population of human brains. Any individual brain likely has narrower distributions of these parameter values, *i.e.* smaller "biological uncertainty." If patientspecific estimates of these distributions were known beforehand, they could serve as assumed prior distributions. Because this is not possible, it is most appropriate to model prior distributions after the range of values expected from a large population. 3D-QALAS measurement data is used to justify the assumed form of the prior distribution later in this chapter. It should be noted that the distribution of parameter values retrieved by measurement can never be less than that resulting from the combined effects of the true patient-specific biological uncertainty and the measurement uncertainty.

Image measurements z are generated by operating on the modeled physical properties of the image subject with the signal model G at some set of acquisition parameters μ .

$$z = \mathcal{G}_{\mu}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu = g_{\mu} + \nu \tag{4.10}$$

The modeled measurement g_{μ} is corrupted by simulated measurement noise ν . Zeromean, normally distributed measurement noise is sufficient for many applications.

$$p(\nu) = N\left(\nu|\mathbf{0}, \Sigma_{\nu}\right) \tag{4.11}$$

$$\Sigma_{\nu} = \begin{bmatrix} \sigma_{\nu_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\nu_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & \sigma_{\nu_{N-1}}^{2} & 0 \\ 0 & 0 & \dots & 0 & \sigma_{\nu_{N}}^{2} \end{bmatrix}$$
(4.12)

4.2.2 Gauss-Hermite Quadrature

The multi-dimensional integration required by mutual information is computed using Gauss-Hermite quadrature. The dimensionality of this application is on the cusp of what is computationally feasible for quadrature, but a deterministic method allowed development of intuition for the quantities involved. More complex applications will require stochastic methods, such as Markov chain Monte Carlo, to be computationally feasible.

Gauss-Hermite quadrature approximates integrals of the form $\int_{-\infty}^{\infty} e^{-x^2} f(x) dx$:

$$\int_{-\infty}^{\infty} e^{-x^2} f(x) dx \approx \sum_{i=1}^{N} \omega_i f(x_i).$$
(4.13)

For *n* quadrature points, the function *f* is evaluated at x_i , the roots of the physicists' Hermite polynomial H_n . The associated Gauss-Hermite weights are defined

$$\omega_i = \frac{2^{n-1} n! \sqrt{\pi}}{n^2 [H_{n-1}(x_i)]^2}.$$
(4.14)

To numerically compute mutual information of high-dimensional uncertainties, it is necessary to perform high-dimensional integration. Because uncertainties are often modeled as normal distributions, it will be necessary to approximate the integration of the product of a multivariate normal probability density function and an arbitrary function. If the change of variables

$$\boldsymbol{x} = \frac{1}{\sqrt{2}} \Sigma^{-1} (\boldsymbol{\xi} - \boldsymbol{\mu}) \Leftrightarrow \boldsymbol{\xi} = \sqrt{2} \Sigma \boldsymbol{x} + \boldsymbol{\mu}$$
(4.15)

is made, the form of integrals approximated by Gauss-Hermite quadrature can be written

$$\int_{-\infty}^{\infty} \left((2\pi)^2 |\Sigma| \right)^{-1/2} \exp\left(-\frac{1}{2} (\boldsymbol{\xi} - \boldsymbol{\mu})^T \Sigma^{-1} (\boldsymbol{\xi} - \boldsymbol{\mu}) \right) h(\boldsymbol{\xi}) d\boldsymbol{\xi}.$$
(4.16)

In particular, it can be shown that integrating the product of some function $h(\boldsymbol{\xi})$ and an *N*-dimensional multivariate normal distribution with independent variables results in the Gauss-Hermite quadrature approximation

$$\int f(\boldsymbol{\xi}|\boldsymbol{\mu},\boldsymbol{\Sigma})h(\boldsymbol{\xi})d\boldsymbol{\xi} \approx \pi^{-N/2} \sum_{i_1=1}^N \omega_{i_1} \dots \sum_{i_N=1}^N \omega_{i_N}h\left(\sqrt{2}\boldsymbol{\Sigma}\boldsymbol{x} + \boldsymbol{\mu}\right).$$
(4.17)

This approximation allows the computation of mutual information for models in which uncertainties can be modeled as multivariate normally distributed random variables.

4.2.3 Linear Approximation

Computational expense can be saved by making the (often poor) assumption that the signal model operator \mathcal{G} is a linear operator. If this is true, the distribution $p(\boldsymbol{z})$ may be treated as normal.

$$I(\eta; z) = H(z) - H(z|\eta) = \frac{1}{2} \ln\left((2\pi e)^2 \cdot |\Sigma_z|\right) - \frac{1}{2} \ln\left((2\pi e)^2 \cdot |\Sigma_\nu|\right)$$
(4.18)

Conditional entropy $H(z|\eta)$ is trivial to compute from the problem definition:

$$|\Sigma_{\nu}| = \prod_{i=1}^{D} \sigma_{\nu,i}^{2}.$$
(4.19)

The full derivation of the covariance matrix of the evidence Σ_z is left for Section C.2.1 in Appendix C, but the quadrature approximation of Σ_z is

$$\Sigma_{z} = \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\boldsymbol{\mu}}\left(\boldsymbol{\eta}_{q}\right)\right) \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\boldsymbol{\mu}}\left(\boldsymbol{\eta}_{q}\right)\right)^{T} - \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\boldsymbol{\mu}}\left(\boldsymbol{\eta}_{q}\right)\right) \\ \cdot \left[\sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\boldsymbol{\mu}}\left(\boldsymbol{\eta}_{q}\right)\right)\right]^{T}.$$

$$(4.20)$$

Approximation of mutual information is straightforward once the covariance matrix Σ_z is known.

$$I(\eta; z) = H(z) - H(z|\eta) = \frac{1}{2} \ln\left((2\pi e)^D \cdot |\Sigma_z|\right) - \frac{1}{2} \ln\left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2\right)$$
(4.21)

For a scalar-valued measurement z, Equation 4.21 simplifies to the following:

$$I(\eta; z) = \frac{1}{2} \ln \left\{ 2\pi e \left[\sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \left(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\mu} \left(\boldsymbol{\eta}_q \right) \right)^2 - \left(\sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \left(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\mu} \left(\boldsymbol{\eta}_q \right) \right) \right)^2 \right] \right\} \quad (4.22)$$
$$- \frac{1}{2} \ln \left(2\pi e \sigma_{\nu}^2 \right).$$

4.2.4 Nonlinear Computation

The signal model \boldsymbol{z} is a nonlinear function of parameters $\boldsymbol{\eta}$.

$$\boldsymbol{z} = \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})) + \boldsymbol{\nu} \tag{4.23}$$

The conditional probability $p(\boldsymbol{z}|\boldsymbol{\eta})$ is defined as a multivariate normal distribution $N(\boldsymbol{\xi}|\boldsymbol{\mu}, \boldsymbol{\Sigma})$, a function of $\boldsymbol{\xi}$ with mean $\boldsymbol{\mu}$ and covariance $\boldsymbol{\Sigma}$, in the problem statement.

$$p(\boldsymbol{z}|\boldsymbol{\eta}) = N(\boldsymbol{z}|\mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})), \Sigma_{\nu})$$
(4.24)

Similarly, the probability $p(\eta)$ is defined as another multivariate normal distribution in the problem statement.

$$p(\boldsymbol{\eta}) = N(\boldsymbol{\eta} | \boldsymbol{m}_{\boldsymbol{\eta}}, \boldsymbol{\Sigma}_{\boldsymbol{\eta}})$$
(4.25)

It is most straightforward to begin with mutual information defined as the difference in entropies:

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta})$$
(4.26)

The entropy of a multivariate normal distribution is $H(N) = \frac{1}{2} \ln \left((2\pi e)^D \det(\Sigma) \right)$, so the term $H(\boldsymbol{z}|\boldsymbol{\eta})$ can be immediately written

$$H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2 \right).$$
(4.27)

The term H(z), however, must be approximated through multiple applications of Gauss-Hermite quadrature. This approximation is possible because H(z) may be represented in terms of distributions defined to be normal in the problem statement:

$$H(\boldsymbol{z}) = \int p(\boldsymbol{z}) \ln (p(\boldsymbol{z})) d\boldsymbol{z}$$

= $\int \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \cdot \ln \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) d\boldsymbol{z}$
= $\int \left(\int N \left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\nu} \right) N \left(\boldsymbol{\eta} | \boldsymbol{m}_{\eta}, \Sigma_{\eta} \right) d\boldsymbol{\eta} \right)$
 $\cdot \ln \left(\int N \left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\nu} \right) N \left(\boldsymbol{\eta} | \boldsymbol{m}_{\eta}, \Sigma_{\eta} \right) d\boldsymbol{\eta} \right) d\boldsymbol{z}.$ (4.28)

The full derivation of the evidence entropy H(z) is left for Section C.2.2 in Appendix C. The final result of quadrature approximation of H(z) is

$$H(\boldsymbol{z}) = \pi^{-N/2 - P/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \dots \sum_{k_P=1}^{K_P} \omega_{k_P}$$
$$\cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N \left(\left(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q) \right) \middle| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \Sigma_{\nu} \right) \right).$$
(4.29)

Thus, the computation of mutual information takes the following form:

$$\begin{split} I(\boldsymbol{\eta}; \boldsymbol{z}) &= H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}) \\ &= \pi^{-N/2 - P/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \dots \sum_{k_P=1}^{K_P} \omega_{k_P} \\ &\quad \cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\left(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q) \right) \middle| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \Sigma_{\nu} \right) \right) \\ &\quad - \frac{1}{2} \ln \left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2 \right). \end{split}$$

For a scalar-valued measurement z, Equation 4.30 reduces to

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}) = \pi^{-N/2 - 1/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \cdot \ln\left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\left(\sqrt{2}\sigma_{\nu}x_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q)\right) \middle| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \sigma_{\nu}\right)\right) - \frac{1}{2} \ln\left(2\pi e \sigma_{\nu}^2\right).$$
(4.31)

4.3 Methods

4.3.1 Verification of Information Theory Framework

Linear and nonlinear methods for calculating mutual information are developed in Section 4.2. Mutual information is used as the objective function in an acquisition parameter optimization for a spoiled GRE pulse sequence. This sequence was selected to enable comparison to an analytical optimum value and analysis of error in the information optimization results.

The spoiled GRE pulse sequence is described mathematically by

$$g = M_0 \frac{\sin \alpha (1 - e^{-TR/T_1})}{(1 - (\cos \alpha)e^{-TR/T_1})} e^{-TE/T_2^*},$$
(4.32)

where M_0 is the fully relaxed longitudinal magnetization, α is the flip angle, TR is repetition time, T_1 is longitudinal relaxation time, TE is echo time, T_2^* is effective transverse relaxation time. The flip angle at which signal intensity is maximized is called the Ernst angle.[191] This can be determined analytically by setting $\frac{dg}{d\alpha} = 0$ from Equation 4.32. The result is

$$\alpha_E = \arccos(e^{-TR/T1}). \tag{4.33}$$

Figure 4.1a shows the well-known relationship between the Ernst angle and the ratio between TR and T1. Figure 4.1b shows signal intensity as a function of flip angle for two different T1 relaxation times representative of white matter and cerebrospinal fluid. The Ernst angles are located at the maxima of the two functions and can be seen to differ for different tissue T1 values. Ernst angle can be calculated iteratively by maximizing signal intensity, or equivalently if assuming constant noise, by maximizing SNR. Because mutual information measures uncertainty reduction in the measured signal, it is inversely correlated with noise and positively correlated with SNR. Thus, mutual information may be used as the objective function in a flip angle optimization for a spoiled GRE sequence, and the result can be expected to agree with the analytical Ernst angle.

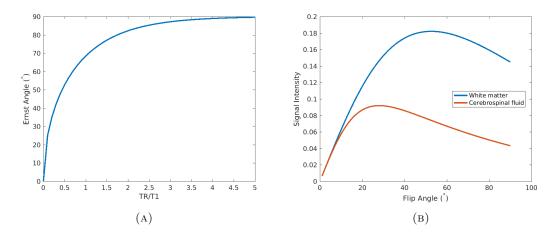


FIGURE 4.1: (A) Plot of the well-known relationship between the Ernst angle and the ratio of TR and T1 for a spoiled GRE sequence. (B) Plot of the signal intensity in a spoiled GRE sequence as a function of flip angle for characteristic values of two brain tissues. T1 for white matter was set to 1000 ms. T1 for cerebrospinal fluid was set to 4000 ms. TR for the acquisition was selected to be 500 ms.

Figure 4.2 shows a flowchart illustrating the process by which information-optimized flip angles are obtained. The image subject is simplified to a single tissue label consisting

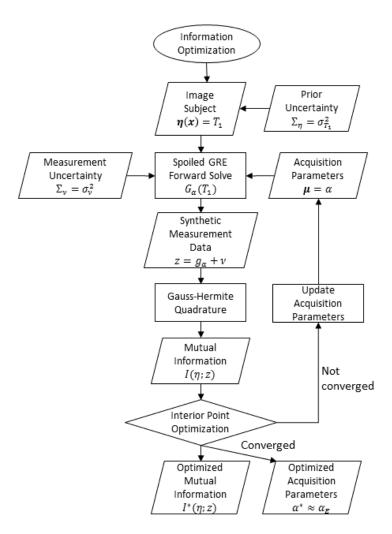


FIGURE 4.2: Flow chart for the mutual information-based optimization of flip angle in a spoiled GRE pulse sequence.

of a single voxel. The only relevant material properties for this acquisition model are the longitudinal relaxation time T_1 and the effective transverse relaxation time T_2^* . Thus, the general vector of material properties η is reduced to a two-dimension vector

$$\boldsymbol{\eta}(\boldsymbol{x}) = \begin{bmatrix} T_1 \\ T_2 \end{bmatrix}.$$
(4.34)

The prior uncertainty is generally described by the covariance matrix of the physical properties η in all tissue labels. T_1 and T_2^* are assumed to be uncorrelated, so the

covariance matrix is

$$\Sigma_{\eta} = \begin{bmatrix} \sigma_{T_1}^2 & 0\\ 0 & \sigma_{T_2^*}^2 \end{bmatrix}, \qquad (4.35)$$

where $\sigma_{T_1}^2$ is the T1 variance and $\sigma_{T_2^*}^2$ is the T_2^* variance of the image subject. Signal intensity is a function of three acquisition parameters:

$$\boldsymbol{\mu} = \begin{bmatrix} \alpha \\ TR \\ TE \end{bmatrix}.$$
(4.36)

Flip angle α is optimized for various fixed values of TR and TE. The signal model is given previously in Equation 4.32. Because signal intensity is a real-valued scalar, the measurement uncertainty is given as

$$\Sigma_{\nu} = \sigma_{\nu}^2, \tag{4.37}$$

where σ_{ν}^2 is the variance of the simulated signal intensity due to modeled acquisition error. The optimization itself is written

$$\alpha^{*}(T_{1}, TR) = \underset{\alpha}{\operatorname{argmin}} I(\boldsymbol{\eta}; z(\boldsymbol{\mu}))$$
$$= \underset{\alpha}{\operatorname{argmin}} I(T_{1}, T_{2}; z(\alpha, TR, TE))$$
$$\approx \alpha_{E}(T_{1}, TR),$$
(4.38)

where α^* is the information-optimized flip angle. The optimization was performed using an interior point method with parameter values listed in Table 4.1. Mutual information is calculated using Equation 4.21 for the nonlinear approximation or Equation 4.30 for the full nonlinear solution.

Optimization Parameter	Value
$\overline{m_{T_2^*}}$ (ms)	100
σ_{T_1} (ms)	100
$\sigma_{T_2^*} \; (\mathrm{ms})$	10
$\tilde{M_0}$	1
TE (ms)	100
$\sigma_ u$	0.05
Termination tolerance	1.0×10^{-20}
Optimality tolerance	1.0×10^{-20}
Finite difference step size	2.0×10^{-26}

TABLE 4.1: Optimization parameters used in calculating information-optimized flip angle for both linear and nonlinear approaches.

Information-optimized flip angle and analytical Ernst angle are calculated for 81 T1 relaxation times evenly spaced between 600 ms and 1400 ms, inclusive, and 81 TR values evenly spaced between 100 ms and 500 ms, inclusive. This results in 6561 points of comparison with the known solution for each optimization method. The relative error is plotted for the linear optimization for visual inspection of trends. The trends and biases of optimization errors are analyzed more thoroughly through linear regression and Bland Altman analysis.[192] The Bland Altman plot is recommended in QIBA metrology by Raunig et al.[111] in order to show trends in variability of measurements over the measuring interval.

4.3.2 Justification of Model Assumptions for 3D-QALAS

The assumption of independence between the nine variables of the prior distribution (M0, T1, and T2 for gray matter, white matter, and CSF) is tested with simulated and measured data. Given the multivariate normal prior distribution described previously, the posterior distribution was sampled using ellipsoidal nested sampling.[193, 194] The Pearson correlation coefficient is used to evaluate the strength of the correlation between parameters:

$$\rho_{i,j} = \frac{\operatorname{cov}\left(\eta_i, \eta_j\right)}{\sigma_{\eta_i} \sigma_{\eta_j}}.$$
(4.39)

Correlations between parameters are compared between simulated posterior distributions and real measurements from a 3D-QALAS acquisition.

4.4 Results

The information theory framework was successfully verified using a spoiled GRE signal model. Simulated measurements made with the assumptions about the prior distribution are shown to agree well with actual measured data.

4.4.1 Linear Approximation

To visualize the relationship between SNR and mutual information as functions of a single acquisition parameter for a spoiled GRE sequence, a global search of these quantities was performed over flip angles between 0° and 90°. Figure 4.3a shows these results for a single scenario with fixed T1 and TR at 1000 ms and 500 ms, respectively. The analytical solution which maximizes SNR is 52.66°, given by Equation 4.33. Maximum mutual information was achieved at 53°. Precision was limited by search grid resolution. The correlation between SNR and mutual information is shown in Figure 4.3b. SNR and mutual information are positively correlated.

Figure 4.4 shows a comparison of Ernst angle (Figure 4.4a) and informationoptimized flip angle (Figure 4.4b) for T1 values between 600 ms and 1400 ms and TR values between 100 ms and 500 ms. The Ernst angle maximizes spoiled GRE signal intensity for given T1 and TR, and it is calculated analytically from Equation 4.33. Information-optimized flip angles are computed by solving the optimization problem

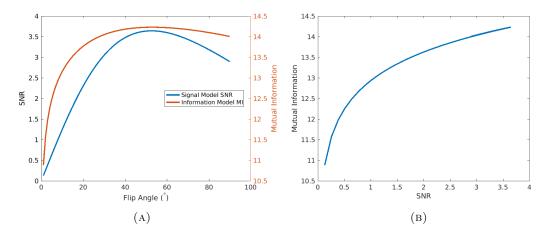


FIGURE 4.3: (A) Comparison between SNR calculated from a spoiled GRE signal model and mutual information calculated from an information theory model of the spoiled GRE sequence. Calculations are performed for a single scenario where T1 is 1000 ms and TR is 500 ms. The signal model SNR is plotted against the left ordinate, and the information model mutual information is plotted against the right ordinate. The maxima of both are located at approximately 53°. (B) Correlation between SNR and mutual information. SNR and mutual information are positively correlated.

in Equation 4.38 and calculating mutual information as in Equation 4.21. Information gain is quantified by mutual information between measurement and tissue property uncertainties. Greater information gain corresponds to greater measurement SNR.

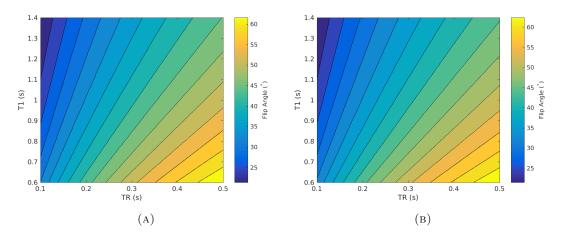


FIGURE 4.4: (A) Theoretical Ernst angle calculated over a range of T1 and TR values. Ernst angle values are calculated from the deterministic equation which maximizes spoiled GRE signal intensity for the flip angle. (B) Optimal flip angle selected by linear approximation of information gain from spoiled GRE acquisitions over a range of T1 and TR values. Information gain is quantified by mutual information between measurement and tissue property uncertainties. Greater information gain corresponds to greater measurement SNR.

Figure 4.5 shows the relative error between the analytical Ernst angle (Figure

4.4a) and the linear information-optimized flip angle (Figure 4.4b). Mean relative error is approximately 0.79%, and maximum relative error is approximately 2.12%. Relative error displays a clear trend and is inversely correlated to both T1 and TR.

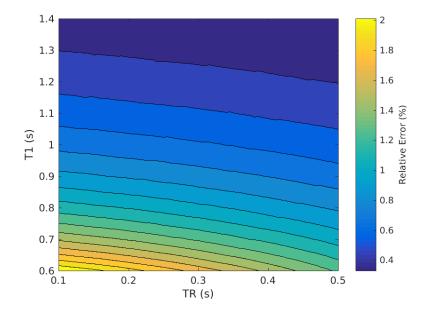


FIGURE 4.5: Relative error between analytical Ernst angle and flip angle optimization using information theory.

Figure 4.6 shows the regression line between the analytical Ernst angle and the linear information-optimized flip angle in a spoiled GRE pulse sequence over the same range of T1 and TR values. Each plotted point corresponds to the analytical Ernst angle (abscissa) and the information-optimized flip angle (ordinate) at identical T1 and TR. The regression line slope and intercept are 1.0143 and 0.2538, respectively. The adjusted coefficient of determination (r^2) is 0.9997. The sum of squared errors (SSE) and root-mean-square error (RMSE) are 162.67 and 0.1575, respectively.

Figure 4.7 shows a Bland Altman plot of the analytical Ernst angle and the nonlinear information-optimized flip angle measurement pairs plotted in Figures 4.4 and 4.6. The mean measurement difference, d, is 0.3426°. The bounds of the 95% confidence interval (defined by $d \pm 1.96s$, where s is the standard deviation of measurement

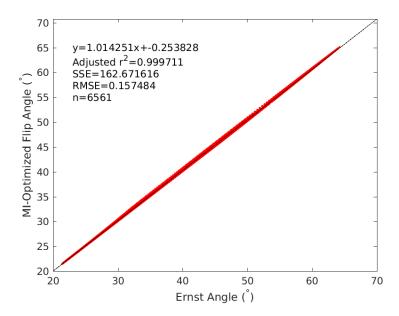


FIGURE 4.6: The regression line between 6561 measurement pairs of the analytical Ernst angle and the linear information-optimized flip angle in a spoiled GRE pulse sequence over a range of T1 and TR values. Each measurement pair is plotted with a red dot. The regression line is shown in black. Fit parameters and goodness of fit metrics are displayed in the upper left corner.

differences) are -0.0577° and 0.7429° . If these measurement differences were normally distributed, 95% of all information-optimized flip angles obtained with the nonlinear MI model will differ from the analytical Ernst angle by between -0.0577° and 0.7429° .

Figure 4.8 shows a histogram of the distribution of measurement differences (ordinate of Figure 4.7). The distribution is highly non-Gaussian and has a long tail in the direction of larger negative flip angle differences.

4.4.2 Nonlinear Model

Figure 4.9 shows a comparison of Ernst angle (Figure 4.9a) and information-optimized flip angle (Figure 4.9b) for T1 values between 600 ms and 1400 ms and TR values between 100 ms and 500 ms. The Ernst angle maximizes spoiled GRE signal intensity for given T1 and TR, and it is calculated analytically from Equation 4.33. Informationoptimized flip angles are computed by solving the optimization problem in Equation 4.38

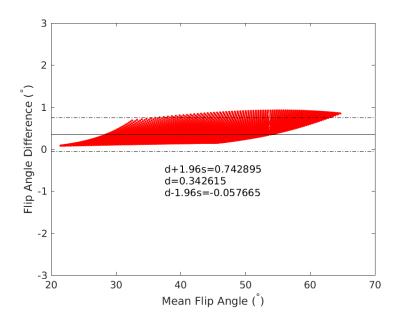


FIGURE 4.7: The Bland Altman plot of 6561 measurement pairs of the analytical Ernst angle and the linear information-optimized flip angle in a spoiled GRE pulse sequence over a range of T1 and TR values. Each measurement pair is plotted with a red dot. The mean measurement difference, d, is shown as a black line. The bounds of the 95% confidence interval assuming normally distributed measurement differences are shown as black dash-dot lines.

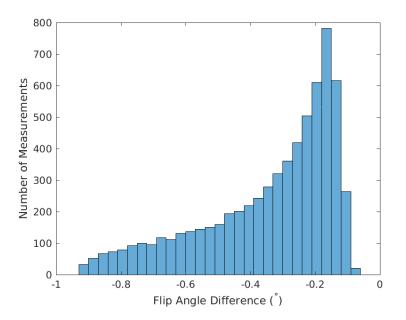


FIGURE 4.8: Histogram of differences between measurement pairs of the analytical Ernst angle and the linear information-optimized flip angle in a spoiled GRE pulse sequence over a range of T1 and TR values.

and calculating mutual information as in Equation 4.30. Information gain is quantified by mutual information between measurement and tissue property uncertainties. Greater information gain corresponds to greater measurement SNR. The maximum relative error is approximately 0.005%.

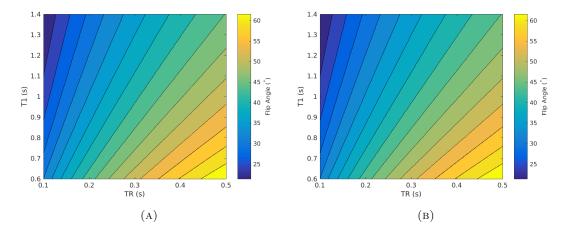


FIGURE 4.9: (A) Theoretical Ernst angle calculated over a range of T1 and TR values.(B) Optimal flip angle selected by nonlinear model of information gain from spoiled GRE acquisitions over a range of T1 and TR values.

Figure 4.10 shows the regression line between the analytical Ernst angle and the nonlinear information-optimized flip angle in a spoiled GRE pulse sequence over the same range of T1 and TR values. Each plotted point corresponds to the analytical Ernst angle (abscissa) and the information-optimized flip angle (ordinate) at identical T1 and TR. The regression line slope and intercept are 0.9999 and 0.0009, respectively. The adjusted coefficient of determination (r^2) is 1.0000. The sum of squared errors (SSE) and root-mean-square error (RMSE) are 0.0015 and 0.0005, respectively.

Figure 4.11 shows a Bland Altman plot of the analytical Ernst angle and the nonlinear information-optimized flip angle measurement pairs plotted in Figures 4.9 and 4.10. The mean measurement difference, d, is -0.0018°. The bounds of the 95% confidence interval (defined by $d \pm 1.96s$, where s is the standard deviation of measurement

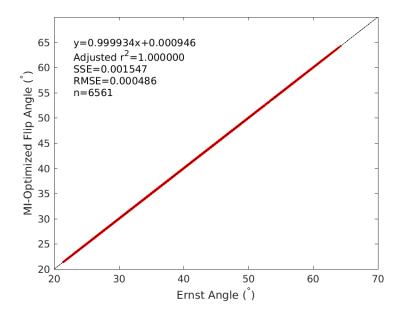


FIGURE 4.10: The regression line between 6561 measurement pairs of the analytical Ernst angle and the nonlinear information-optimized flip angle in a spoiled GRE pulse sequence over a range of T1 and TR values. Each measurement pair is plotted with a red dot. The regression line is shown in black. Fit parameters and goodness of fit metrics are displayed in the upper left corner.

differences) are -0.0033° and -0.0003° . Thus, 95% of all information-optimized flip angles obtained with the nonlinear MI model will differ from the analytical Ernst angle by between -0.0033° and -0.0003° .

4.4.3 Justification of Model Assumptions for 3D-QALAS

The multivariate normal prior distribution is shown in Figure 4.12. The sampled posterior distribution is shown in Figure 4.13. The QALAS signal model introduces some covariance between the parameters. The Pearson correlation coefficient is used to evaluate the strength of the correlation between parameters. The matrix of Pearson correlation coefficients is shown below for the simulated posterior distribution resulting from the multivariate normal prior distribution.

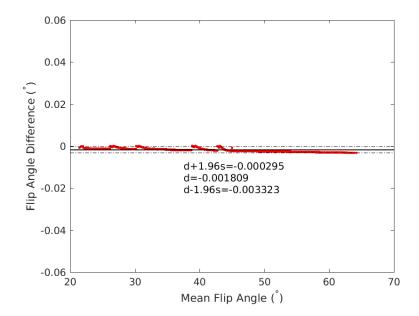


FIGURE 4.11: The Bland Altman plot of 6561 measurement pairs of the analytical Ernst angle and the nonlinear information-optimized flip angle in a spoiled GRE pulse sequence over a range of T1 and TR values. Each measurement pair is plotted with a red dot. The mean measurement difference, d, is shown as a black line. The bounds of the 95% confidence interval are shown as black dash-dot lines.

 $\rho_{\text{normal}} =$

1.000	0.918	-0.353	0.001	0.005	0.003	0.030	0.018	-0.052
0.918	1.000	-0.239	-0.003	-0.004	-0.007	0.004	-0.006	-0.044
-0.353	-0.239	1.000	-0.050	-0.035	0.016	-0.011	0.004	0.020
0.001	-0.003	-0.050	1.000	0.904	-0.227	0.050	0.056	0.031
0.005	-0.004	-0.035	0.904	1.000	-0.166	0.054	0.057	0.018
0.003	-0.007	0.016	-0.227	-0.166	1.000	-0.008	0.001	-0.016
0.030	0.004	-0.011	0.050	0.054	-0.008	1.000	0.940	-0.213
0.018	-0.006	0.004	0.056	0.057	0.001	0.940	1.000	-0.132
-0.052	-0.044	0.020	0.031	0.018	-0.016	-0.213	-0.132	1.000

(4.40)

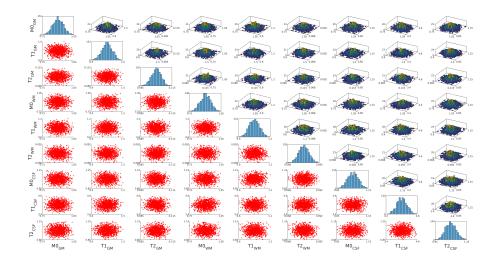


FIGURE 4.12: Assumed normal prior distributions of M0, T1, and T2 for gray matter, white matter, and cerebrospinal fluid. Ellipsoidal nested sampling is used to sample the posterior distributions resulting from these prior distributions. Diagonal entries on the correlation matrix show distributions of each combination of parametric map value and tissue type. Non-diagonal lower-triangular entries show a two-dimension scatter plot of the joint distribution between the parameters labeled on the same row and column. Non-diagonal upper-triangular entries show a two-dimensional histogram of the joint

distribution between the parameters labeled on the same row and column.

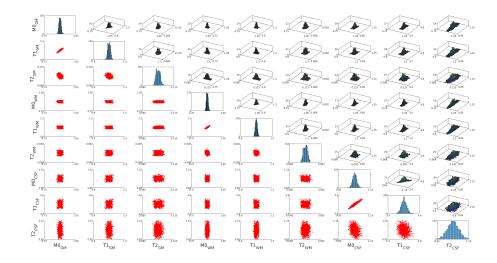


FIGURE 4.13: Posterior distributions of M0, T1, and T2 for gray matter, white matter, and cerebrospinal fluid resulting from ellipsoidal nested sampling and normal prior distributions shown in Figure 4.12. Diagonal entries on the correlation matrix show distributions of each combination of parametric map value and tissue type. Non-diagonal lower-triangular entries show a two-dimension scatter plot of the joint distribution between the parameters labeled on the same row and column. Non-diagonal uppertriangular entries show a two-dimensional histogram of the joint distribution between the parameters labeled on the same row and column.

In particular, there are strong correlations between M0 and T1 values for identical tissues. There are no significant correlations between other parameters and tissues. This process was repeated for independent uniform prior distributions, where $\rho(\eta_i) \sim U(-2\sigma_{\eta_i}, 2\sigma_{\eta_i})$. The uniform prior distributions are shown in Figure 4.14. The sampled posterior distribution is shown in Figure 4.15. Again, the QALAS signal model introduces some covariance between the parameters. The matrix of Pearson correlation coefficients is shown below for the multivariate uniform prior distribution.

ρ_{uniform}	=
Puniform	

1.000	0.927	-0.370	0.043	0.031	-0.094	0.023	0.018	-0.019	
0.927	1.000	-0.303	0.050	0.044	-0.104	0.038	0.029	-0.021	
-0.370	-0.303	1.000	-0.031	-0.020	0.015	0.034	0.047	0.024	
0.043	0.050	-0.031	1.000	0.908	-0.238	0.019	0.035	-0.016	
0.031	0.044	-0.020	0.908	1.000	-0.159	-0.008	0.012	-0.013	
-0.094	-0.104	0.015	-0.238	-0.159	1.000	-0.002	-0.003	0.029	
0.023	0.038	0.034	0.019	-0.008	-0.002	1.000	0.963	-0.321	
0.018	0.029	0.047	0.035	0.012	-0.003	0.963	1.000	-0.254	
-0.019	-0.021	0.024	-0.016	-0.013	0.029	-0.321	-0.254	1.000	
(4.41)									

Strong correlations exist between M0 and T1 values for identical tissues. No other significant correlations exist between other parameters and tissues. Furthermore, the sampled posterior distribution is nearly identical to that resulting from the multivariate normal prior distribution.

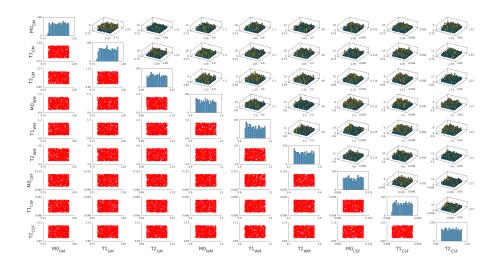


FIGURE 4.14: Assumed uniform prior distributions of M0, T1, and T2 for gray matter, white matter, and cerebrospinal fluid. Ellipsoidal nested sampling is used to sample the posterior distributions resulting from these prior distributions. Diagonal entries on the correlation matrix show distributions of each combination of parametric map value and tissue type. Non-diagonal lower-triangular entries show a two-dimension scatter plot of the joint distribution between the parameters labeled on the same row and column. Non-diagonal upper-triangular entries show a two-dimensional histogram of the joint

distribution between the parameters labeled on the same row and column.

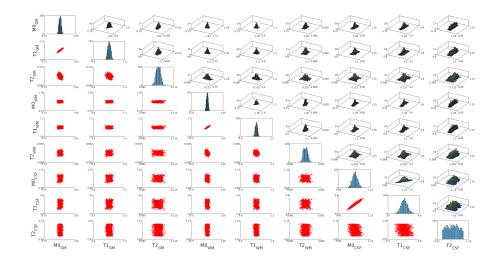


FIGURE 4.15: Posterior distributions of M0, T1, and T2 for gray matter, white matter, and cerebrospinal fluid resulting from ellipsoidal nested sampling and uniform prior distributions shown in Figure 4.14. Diagonal entries on the correlation matrix show distributions of each combination of parametric map value and tissue type. Non-diagonal lower-triangular entries show a two-dimension scatter plot of the joint distribution between the parameters labeled on the same row and column. Non-diagonal uppertriangular entries show a two-dimensional histogram of the joint distribution between the parameters labeled on the same row and column.

Finally, the distribution of parametric map values taken from in vivo data is compared to the simulated posterior distributions. Figure 4.16 shows the distribution of measured parameter values. The matrix of Pearson correlation coefficients is used to evaluate correlation between parameters and is shown below for in vivo 3D-QALAS measurements:

$\rho_{\rm measured}$ =	
-------------------------	--

									_	_
	1.000	0.722	-0.073	-0.016	-0.012	0.023	-0.031	-0.043	0.027	
	0.722	1.000	0.031	0.023	0.042	0.004	-0.001	-0.056	0.068	
-	-0.073	0.031	1.000	-0.026	-0.021	-0.095	0.092	0.055	-0.029	
-	-0.016	0.023	-0.026	1.000	0.970	-0.121	-0.041	0.002	-0.009	
-	-0.012	0.042	-0.021	0.970	1.000	-0.084	-0.057	-0.006	-0.010	
	0.023	0.004	-0.095	-0.121	-0.084	1.000	-0.001	0.048	-0.001	
-	-0.031	-0.001	0.092	-0.041	-0.057	-0.001	1.000	0.777	-0.029	
-	-0.043	-0.056	0.055	0.002	-0.006	0.048	0.777	1.000	-0.057	
	0.027	0.068	-0.029	-0.009	-0.010	-0.001	-0.029	-0.057	1.000	
									(4.4)	2)

Significant correlation exists only between M0 and T1 for identical tissues. This agrees very well with correlations observed in the simulated posterior distributions.

4.5 Discussion

In this aim, a general information theory framework for the optimization of acquisition parameters in MR pulse sequences was developed. The objective function for this

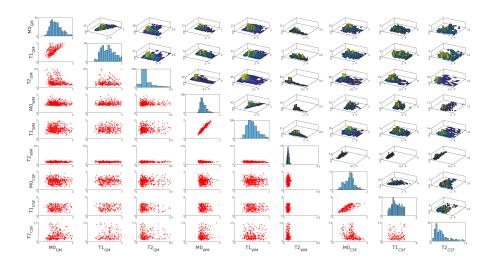


FIGURE 4.16: Correlation matrix for M0, T1, and T2 values measured from in vivo data in gray matter, white matter, and cerebrospinal fluid. Diagonal entries show distributions of each combination of parametric map value and tissue type. Non-diagonal lower-triangular entries show a two-dimension scatter plot of the joint distribution between the parameters labeled on the same row and column. Non-diagonal uppertriangular entries show a two-dimensional histogram of the joint distribution between the parameters labeled on the same row and column.

optimization was mutual information between subject T1 uncertainty and acquisition uncertainty. Both a linear approximation and a full nonlinear computation of mutual information were verified on a simulated spoiled GRE pulse sequence in which the optimized acquisition parameter was flip angle. Selection of a relatively simple signal model enabled optimization results from the information theory framework to be directly compared to a known analytical optimum value. Model assumptions were justified for 3D-QALAS by comparison to actual 3D-QALAS measurements.

Figure 4.3a illustrates suitability of mutual information for maximizing information gain. Mutual information correlates with SNR, suggesting that information content of an image acquisition, as measured by mutual information, is a predictor of image quality. This is potentially invaluable as a tool for optimization of image quality before imaging occurs in scenarios where scanner time is limited or opportunities for rescanning do not exist. The information-optimized flip angles relying on the linear approximation to mutual information show fairly good agreement with the known Ernst angle (Figure 4.4). The maximum relative error is slightly greater than 2% (Figure 4.5). However, the relative error shows concerning bias and appears to be a function of T1 and TR. The regression line and Bland Altman plot (Figures 4.6 and 4.7) confirm the bias of linear method. Mean optimization error is 0.3426°, which is non-negligible. Furthermore, optimization error is not normally distributed (Figure 4.8).

The nonlinear mutual information calculation is more computationally expensive, but it allows the model evidence to take any general non-Gaussian form. This results in the elimination of bias from the information optimization. Figure 4.9 shows the nonlinear solution also agrees reasonably well with analytical values. The regression line between information optimized flip angles and Ernst angles in Figure 4.10 shows an improvement of agreement with the analytical solution compared to that of the linear approximation. This is reflected in the regression line parameters and goodness of fit metrics. The adjusted r^2 value was used to attempt to compensate for the large number of points fit by the regression line. Even so, the difference in adjusted r^2 between the linear ($r^2 = 0.9997$) and nonlinear ($r^2 = 1.000$) methods is quite small. SSE and RMSE both decrease multiple orders of magnitude—a more appreciable difference between the two results. SSE decreases from 162.7 to 0.0015, and RMSE decreases from 0.1575 to 0.0005.

The Bland Altman plot for the nonlinear method in Figure 4.11 shows near elimination of bias, a significant reduction in error, and elimination of non-Gaussian behavior in error. These results suggest that the more computationally intensive approach is necessary to capture nonlinearities in even the simplest signal models. It is likely that a linear approximation to mutual information would introduce error and bias in analyses of more complex signal models as well.

Strong correlations can be seen between M0 and T1 values for identical tissues in Figures 4.13 and 4.15. No other significant correlations exist between other parameters and tissues. Furthermore, the sampled posterior distribution is nearly identical to that resulting from the multivariate normal prior distribution. This suggests that there is at least some degree of robustness to the selection of prior distribution. For real 3D-QALAS data, significant correlation exists only between M0 and T1 for identical tissues, as seen in Figure 4.16. This agrees very well with correlations observed in both of the simulated posterior distributions. These results suggest that correlation is introduced by the 3D-QALAS signal model (in particular, the curve fitting during which M0 and T1 are simulataneously determined) and justifies using prior distributions with independent variables. Chapter 5

Information Quantification of Acquisition Parameters for 3D-QALAS in Phantom

5.1 Introduction

In this chapter, the feasibility of the information theory framework is tested on 3D-QALAS acquisitions, a signal model with significantly increased complexity. A computational methodology is developed to quantify information content of 3D-QALAS acquisitions relative to a representative synthetic brain model and validated in phantom measurements. The hypothesis that information-optimized acquisition parameters for a 3D-QALAS signal model correlate to smaller variances in reconstructed parametric maps is tested.

5.2 Theory

5.2.1 3D-QALAS Signal Model

The signal model of interest is 3D-QALAS (3D-Quantification using an interleaved Look-Locker acquisition sequence with T2 preparation pulse).[32] 3D-QALAS is described briefly in Section 2.2.2. The pulse sequence can be generally divided into a T2-sensitization phase and a T1-sensitization phase. The longitudinal magnetization over the two phases is modeled mathematically in the next section (Section 5.2.2). M0, T1, and T2 parametric maps are fitted to measurements from five gradient echo acquisitions over the two phases. The original application of 3D-QALAS is rapid cardiac mapping, but an adaptation for 3D multi-parameter quantification in the brain, which has different timing constraints, is used in this work.

The QALAS forward solve, \mathcal{Q}_{μ} , describes the set of N_{acq} measurements, M, retrieved at a combination of acquisition parameters, μ , for a subject with properties $\eta = [M_0(\boldsymbol{x}), T_1(\boldsymbol{x}), T_2(\boldsymbol{x})]$ in image space $\boldsymbol{x} \in \Omega$, where Ω is the synthetic phantom domain:

$$\boldsymbol{M}(\boldsymbol{\mu}, \boldsymbol{x}) = \mathcal{Q}_{\boldsymbol{\mu}}(M_0(\boldsymbol{x}), T_1(\boldsymbol{x}), T_2(\boldsymbol{x})) = \mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})).$$
(5.1)

Acquisition parameters can generally include flip angle, TR, TE, TE_{T2prep}, TI, number of acquisitions, acquisition times, and delay times. The QALAS inverse solve describes the fitting of the parametric map values, η , such that the norm of the difference between the measurements, M_{meas} , and the modeled signal, $Q(\eta)$, is minimized:

$$\boldsymbol{\eta}_{\text{meas}} = \underset{\boldsymbol{\eta}}{\operatorname{argmin}} \left(\|\boldsymbol{M}_{\text{meas}} - \mathcal{Q}(\boldsymbol{\eta})\|_2 \right).$$
(5.2)

The QALAS signal model used in this work, z, is the Fourier transform of the QALAS forward solve, subsampled by subsampling mask S, which may generally be a function of subsampling parameters θ , and subjected to normally distributed noise ν :

$$\boldsymbol{z}(\boldsymbol{\mu}, \boldsymbol{\theta}, \boldsymbol{k}) = S_{\boldsymbol{\theta}} \odot \int_{\Omega} \boldsymbol{M}(\boldsymbol{\mu}, \boldsymbol{x}) e^{-2\pi i \boldsymbol{k} \cdot \boldsymbol{x}} d\boldsymbol{x} + \nu(\boldsymbol{k}) = S_{\boldsymbol{\theta}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta}) + \nu(\boldsymbol{k})$$

$$\nu \sim \mathcal{N}(\boldsymbol{0}, \Sigma_{\nu})$$

$$\Sigma_{\nu} = \begin{bmatrix} \sigma_{\nu, r}^{2} & 0 \\ 0 & \sigma_{\nu, i}^{2} \end{bmatrix}.$$
(5.3)

The model is defined such that the real and imaginary components of the noise are independent and identically distributed $(\sigma_{\nu,r}^2 = \sigma_{\nu,i}^2)$.

The probability of observing a measurement z made in tissue with properties η , then, is normally distributed about the model evaluation $S \odot Q$ with covariance Σ_{ν} :

$$p(\boldsymbol{z}|\boldsymbol{\eta}) \sim \mathcal{N}(S_{\boldsymbol{\theta}} \odot \mathcal{FQ}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\nu}).$$
 (5.4)

Because the noise components are independent and identically distributed, the multivariate distribution $p(\boldsymbol{z}|\boldsymbol{\eta})$ is equal to the product of the univariate distributions $p(z_r|\boldsymbol{\eta})$ and $p(z_i|\boldsymbol{\eta})$:

$$p(\boldsymbol{z}|\boldsymbol{\eta}) \sim \mathcal{N}(S_{\boldsymbol{\theta}} \odot \mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\boldsymbol{\nu}})$$
$$= \mathcal{N}_{z_r}(S_{\boldsymbol{\theta}} \odot \Re(\mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta})), \sigma_{\boldsymbol{\nu}_r}) \cdot \mathcal{N}_{z_i}(S_{\boldsymbol{\theta}} \odot \Im(\mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta})), \sigma_{\boldsymbol{\nu}_i})$$
(5.5)
$$\sim p(z_r|\boldsymbol{\eta})p(z_i|\boldsymbol{\eta}).$$

5.2.2 3D-QALAS Operator

The QALAS forward solve operator, Q, mentioned previously is defined by a series of recursive equations describing the longitudinal magnetization during various points in the acquisition. During delay, the longitudinal magnetization relaxes according to the following equation:

$$M_{n+1} = M_0 - (M_0 - M_n) \cdot e^{-\Delta t/T_1}.$$
(5.6)

During signal acquisition the longitudinal magnetization relaxes toward M_0^* at rate T_1^* according to the following two equations:

$$\frac{T_1^*}{T_1} = \frac{M_0^*}{M_0} = \frac{1 - e^{-T_R/T_1}}{1 - \cos(\alpha) \cdot e^{-T_R/T_1}} \equiv S$$
(5.7)

$$M_{n+1} = M_0^* - (M_0^* - M_n) \cdot e^{-\Delta t/T_1^*} = SM_0 - (SM_0 - M_n) \cdot e^{-\Delta t/ST_1}.$$
 (5.8)

Figure 5.1 shows the full QALAS acquisition sequence.

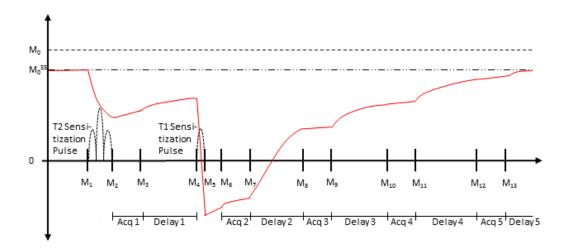


FIGURE 5.1: Diagram of QALAS acquisition sequence. The longitudinal magnetization is shown in red as a function of time. The five acquisition and delay times are labeled at the bottom.

5.2.3 Mutual Information

In general, measurement errors, noise, and other perturbations usually make it impossible to know inputs to systems exactly. For this reason, inputs can often be modeled as random variables, and the propagation of this uncertainty also makes the output of the system a random variable. For this model, the five 3D-QALAS acquisitions are the random variables inputted into the system. Their distributions are selected to simulate machine noise that would cause uncertainty in the measurements. This uncertainty is propagated through the model, resulting in uncertainty in the M0, T1, and T2 maps, which are the system outputs. The uncertainty of the system outputs is inversely related to the reproducibility of the parametric maps. The goal is to minimize the uncertainty of these system outputs by taking a measurement with the optimal parameters.

The information gain is quantified from a measurement using mutual information. Mutual information is a quantity that describes the amount of information one random variable contains about another random variable. Alternatively, it is the reduction in one random variable's uncertainty owing to knowledge of another variable, i.e. the difference between the marginal entropy and the conditional entropy:

$$I(\boldsymbol{z};\boldsymbol{\eta}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}).$$
(5.9)

In this framework, mutual information measures the reduction in uncertainty of the parametric maps resulting from the 3D-QALAS signal model owing to knowledge of the measured signal.

For some total entropy of a joint probability function of measurements, \boldsymbol{z} , and parametric maps, $\boldsymbol{\eta}$, the entropy of $\boldsymbol{\eta}$, $H(\boldsymbol{\eta})$, is reduced by a known measurement to the entropy of η given z. The measurement of this reduction is mutual information. The optimization problem to find the best set of acquisition parameters, μ^* , is written

$$\boldsymbol{\mu}^* = \operatorname*{argmax}_{\boldsymbol{\mu}} I(\boldsymbol{z}(\boldsymbol{\mu}); \boldsymbol{\eta}) = \operatorname*{argmax}_{\boldsymbol{\mu}} \left(H(\boldsymbol{z}(\boldsymbol{\mu})) - H(\boldsymbol{z}(\boldsymbol{\mu})|\boldsymbol{\eta}) \right). \tag{5.10}$$

In this equation, $H(\boldsymbol{z}|\boldsymbol{\eta})$ is known and is a function only of the normally distributed model machine noise:

$$H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^2 \cdot |\Sigma_{\eta}| \right) = \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu,r}^2 \sigma_{\nu,i}^2 \right).$$
(5.11)

The difficulty is in calculating the entropy H(z) of the non-Gaussian distribution p(z), which is a function of a nonlinear signal model. A detailed description of the mutual information computation is in Appendix C.

Mutual information for a set of acquisition parameters corresponds to this uncertainty reduction for a signal measurement at this set of acquisition parameters. The greatest mutual information exists for the set of acquisition parameters for which a measurement produces M0, T1, and T2 maps with the least uncertainty. Thus, mutual information can be optimized over this acquisition parameter space to find the optimal set of parameters.

5.3 Methods

Experiments were performed on both synthetic phantom data and the ISMRM NIST qMRI Multiparametric Imaging Standard phantom (QalibreMD, Boulder, CO).[195] The synthetic phantom data were used to optimize the selected acquisition parameters (five independent delay times) with respect to mutual information. Multiple measurements of the ISMRM NIST system phantom with different combinations of the selected acquisition parameters were used to validate the synthetic phantom mutual information model by measuring the correlation between mutual information and reconstruction variance. These processes are detailed below and shown schematically in Figures 5.2 and 5.3.

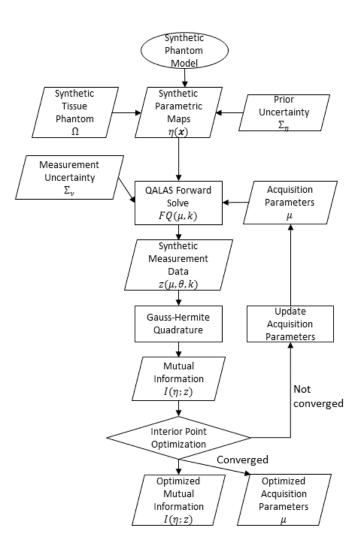


FIGURE 5.2: Flowchart for the mutual information-based optimization of acquisition parameters in a synthetic model.

5.3.1 Synthetic Phantom Definition

To test the information theory framework, measurements were simulated on a mathematical phantom segmented into gray matter, white matter, and cerebrospinal fluid

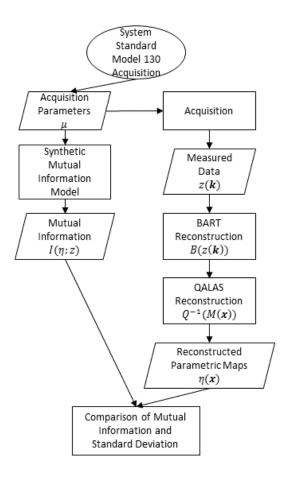


FIGURE 5.3: Flowchart for the application of the synthetic model to real-world measurements in the System Standard Model 130 phantom is shown on the right.

(CSF). A two-dimensional cross-section of this synthetic phantom is shown in Figure 5.4. Gold standard measurements were synthesized using literature values for proton densities and relaxation times, as well as acquisition parameter values from the current evaluation point in acquisition parameter space. To support the assumption that this model is a representative population average, mutual information sensitivity to geometry was approximated by dilating and eroding tissue boundaries.

The synthetic phantom on domain Ω is defined by N mutually disjoint tissue labels:

$$\boldsymbol{\eta}(\boldsymbol{x}) = \sum_{n=1}^{N} \boldsymbol{\eta}_{n} U(\boldsymbol{x} - \Omega_{n})$$
$$\bigcup_{n=1}^{N} \Omega_{n} = \Omega$$
$$\Omega_{n} \cap \Omega_{m} = \emptyset \quad \text{for } n \neq m$$
$$U(\boldsymbol{x} - \Omega_{n}) = \begin{cases} 1, & x \in \Omega_{n} \\ 0, & \text{otherwise} \end{cases}$$
(5.12)

Physical tissue properties, $\boldsymbol{\eta}(\boldsymbol{x}) = [M_0(\boldsymbol{x}), T_1(\boldsymbol{x}), T_2(\boldsymbol{x})]$, for tissue *n* (white matter, gray matter, CSF, etc.) are normally distributed about literature values $\boldsymbol{m}_{\eta,n}$ with covariance matrix $\Sigma_{\eta,n}$:

$$p_{n}(\boldsymbol{\eta}) \sim \mathcal{N}(\boldsymbol{m}_{\eta,n}, \Sigma_{\eta,n})$$

$$\boldsymbol{m}_{\eta,n} = \begin{bmatrix} m_{\eta_{1}} \\ \vdots \\ m_{\eta_{N}} \end{bmatrix}$$

$$\Sigma_{\eta,n} = \begin{bmatrix} \sigma_{\eta_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & \sigma_{\eta_{N-1}}^{2} & 0 \\ 0 & 0 & \dots & 0 & \sigma_{\eta_{N}}^{2} \end{bmatrix}$$
(5.13)

The acquisition parameters explored were flip angle and four independent delay times between the T1 sensitization phase acquisitions during which the longitudinal magnetization relaxes. The dimensionality of the acquisition parameter space can be increased by including TR, TE of the T2 preparation pulse, acquisition length, and

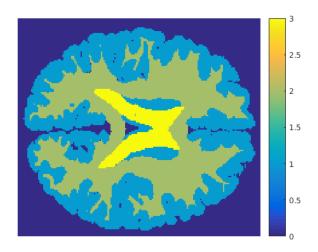


FIGURE 5.4: A two-dimensional axial slice of the tissue labels used to define the synthetic phantom. Tissue label 1 is gray matter, 2 is white matter, and 3 is cerebrospinal fluid.

various parameters defining the subsampling strategy, but for the sake of computation time, these parameters were held constant. Optimization was performed over this acquisition parameter space using an interior point method with mutual information as the objective function to be maximized. For a full derivation of the mutual information calculation used, see Appendix C.

To validate the optimization, a clustered ellipsoidal nested sampling method was used to sample the distributions of the parametric map values after simulating a measurement. Greater uncertainty reduction should result in narrower distributions. The prior distributions for T1 and T2 in gray matter, white matter, and CSF are shown in Table 5.1. Several acquisition parameters are fixed to constant values (TR = 2.6 ms, TI = 30 ms, T2 preparation pulse echo time (TE_{T2prep}) = 100 ms, acquisition time (Tacq) = 338 ms, number of gradient echo acquisitions (Nacq) = 5).

Parameter		$\mathbf{G}\mathbf{M}$	WM	CSF
T1 (ms)	Mean	1400	1000	4000
	Std. dev.	100	100	100
T2 (ms)	Mean	100	75	600
	Std. dev.	5	5	30
M0	Mean	0.8	0.8	1.0
	Std. dev.	0.1	0.1	0.1

TABLE 5.1: Prior distributions for tissue properties (M0, T1, and T2) in three tissues (gray matter, white matter, and cerebrospinal fluid).

5.3.2 Phantom Acquisitions

To validate the mutual information model, it was applied to actual measurements of the ISMRM NIST system phantom (Figure 5.5). This phantom has 14 T1 elements and 14 T2 elements with well-characterized relaxation times. The phantom also has 14 proton density elements with known material properties. Two scans were performed with different sets of acquisition parameters using a 3.0T MR 750W MRI scanner (GE Healthcare, Waukesha, WI). Reconstruction was performed using geOrchestra and the Berkeley Advanced Reconstruction Toolbox (BART, The University of California, Berkeley, Berkeley, CA), with L2 regularization (Figure 5.6).[196] The acquisition parameters are listed in Table 5.2.

Parameter	Scan 1	Scan 2
Flip angle (°)	4	4
TR (ms)	6.6	6.6
TI (ms)	100	100
TE_{T2prep} (ms)	100	100
$T_{acq} (ms)$	674.8	674.8
$\mathbf{N}_{\mathbf{acq}}$	5	5
$TD1^a (ms)$	230.6	500
$TD2^a \ (ms)$	325.2	142.6
$TD3^a (ms)$	325.2	142.6
$TD4^a \ (\mathrm{ms})$	325.2	142.6
$TD5^a \ (\mathrm{ms})$	221.6	500.0

TABLE 5.2: Acquisition parameter values for two scans performed on ISMRM NIST system phantom. ^aTD1 is the time between the T1 sensitizing pulse and the first acquisition, TD2 is the time between the end of the first acquisition and the start of the second acquisition, and so on.

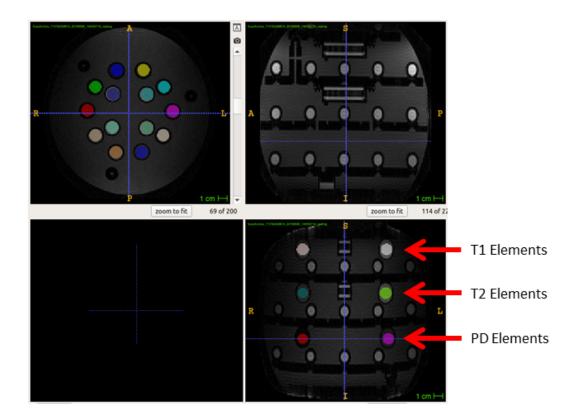


FIGURE 5.5: Measurements of the ISMRM NIST phantom with each of the 42 phantom elements segmented. The three layers of elements (PD, T1, and T2) are labeled. There are 14 elements in each layer.

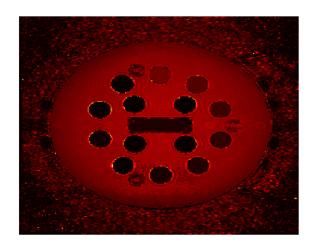


FIGURE 5.6: Example T1 parametric map reconstructed from measurements of the ISMRM NIST phantom.

5.4 Results

5.4.1 Synthetic Model

For a fixed set of acquisition parameters, dilating and eroding the tissue boundaries by one voxel resulted in relative changes in mutual information of less than 1%. For fixed geometry and a variable flip angle, mutual information decreased by almost 7% and increased by more than 2% for flip angles between 2° and 6°. For fixed geometry and variable delay times, mutual information increased by almost 2% and decreased by more than 2% for fixed delay times between 100 ms and 500 ms. This exercise demonstrates that the mutual information computed using the synthetic phantom model was much more sensitive to the acquisition parameters being optimized than the geometry of the tissue labels, which suggests that the synthetic phantom is adequately representative of a population average.

The calculation of mutual information in the synthetic phantom was used to optimize acquisition parameter selection. The acquisition parameter optimization history is displayed in Figure 5.7. The optimal parameters were approximately the following: flip angle = 5° , delay 2 = 1050 ms, delay 3 = 450 ms, delay 4 = 330 ms, and delay 5 = 125 ms. Delay 2 is the time between the T1 sensitizing pulse and the second acquisition, and the other delays are the times between the start of the corresponding acquisition and the end of the previous acquisition.

Ellipsoidal nested sampling was also used to generate and compare two posterior distributions from reconstructions of two measurements—one with acquisition parameters from a non-optimal point in parameter space and one with acquisition parameters

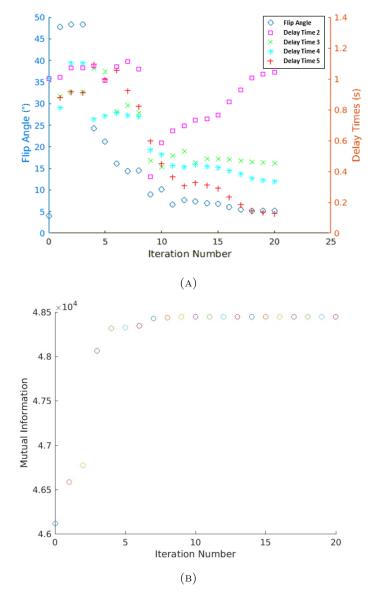


FIGURE 5.7: Evolution of acquisition parameters over the course of an optimization (A), and the optimization objective function, mutual information (B).

from the optimal location in parameter space, where mutual information and, consequently, the information gain from a measurement are greatest. As expected, narrower distributions were observed, which resulted from greater uncertainty reduction in all three parametric maps for all three tissues (Figure 5.8).

To better visualize the impact of the result of reducing the parametric map uncertainties, a reconstruction was simulated using a measurement with the initial, nonoptimized acquisition parameters and the absolute error was calculated between these

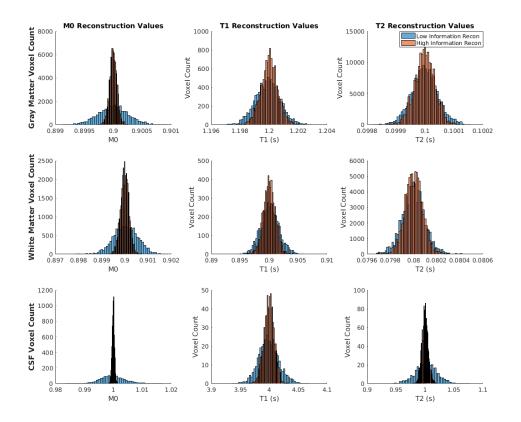


FIGURE 5.8: The red histogram shows the final distribution resulting from a measurement at the optimal location in parameter space. This is the location where mutual information and, consequently, the information gain from a measurement are greatest. The blue histogram shows the final distribution from a measurement at the initial point in parameter space.

parametric maps and the ground truth maps (Figure 5.9, top). A second reconstruction was then simulated using a measurement with the optimized acquisition parameters. The absolute error between these parametric maps and the ground truth was much smaller than that for the first reconstruction (Figure 5.9, bottom). This finding illustrates how the reproducibility of these images is improved by optimal selection of acquisition parameters.

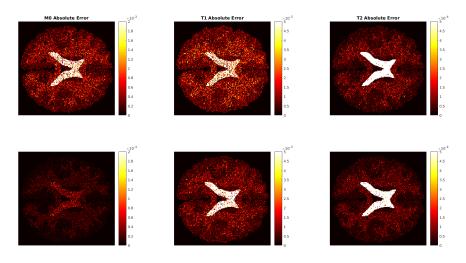
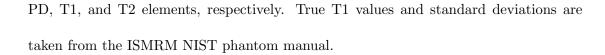


FIGURE 5.9: We simulate a reconstruction using a measurement with the initial, nonoptimized acquisition parameters. The absolute error between these parametric maps and the ground truth maps is shown on the top row. We simulate a second reconstruction using a measurement with the optimized acquisition parameters. The absolute error between these parametric maps and the ground truth is on the bottom row.

5.4.2 ISMRM NIST Phantom

Two scans were performed on the ISMRM NIST system phantom with different sets of acquisition parameters. Using the model derived from the synthetic phantom with parameter values listed in Table 5.1, the mutual information of the first scan was calculated to be 7.29×10^5 . The mutual information of the second scan was 7.49×10^5 . The M0, T1, and T2 parametric maps were reconstructed for the phantom's 14 T1 elements. Figure 5.10 shows the distributions of the M0, T1, and T2 values, reconstructed from the first scan, for the PD, T1, and T2 elements. Omitting T1 and T2 elements 1 and 2 owing to poor reconstruction, nine of 12 T1 elements displayed less variable reconstructions for the higher mutual information scan, and nine of 12 T2 elements displayed less variable reconstructions for the higher mutual information scan. Figures 5.11, 5.12, and 5.13 show a comparison of reconstructed T1 values and 95% confidence intervals between 3D-QALAS and variable flip angle (VFA) T1 mapping in the ISMRM NIST phantom



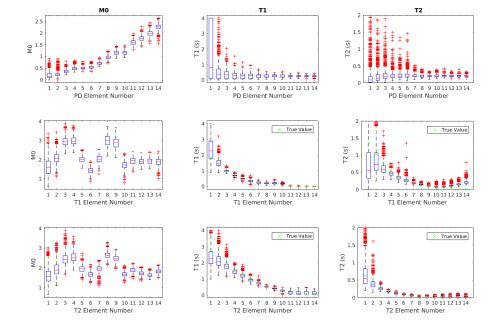


FIGURE 5.10: Distribution of reconstructed M0, T1, and T2 values in the 14 T2 elements of the System Standard Model 130 phantom for the second set of acquisition parameters. The first row shows the distribution of the absolute M0, T1, and T2 values. The second row shows the M0, T1, and T2 values normalized by the element mean. The red bars represents the median M0 value. The blue boxes are the middle two quartiles,

and the black lines are the outer two quartiles. A red plus denotes an outlier.

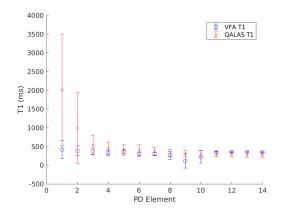


FIGURE 5.11: Comparison of T1 mapping performance between a variable flip angle T1 mapping sequence and 3D-QALAS on the PD elements of the ISMRM NIST phantom. T1 means and standard deviations for these elements are not available in the phantom manual.

Adjusting the T1 and T2 relaxation times of the synthetic phantom to match those

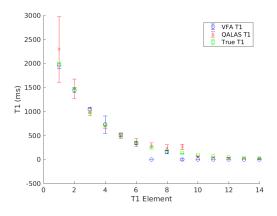


FIGURE 5.12: Comparison of T1 mapping performance between a variable flip angle T1 mapping sequence and 3D-QALAS on the T2 elements of the ISMRM NIST phantom. True T1 values use the T1 means and standard deviations listed for these elements in the phantom manual.

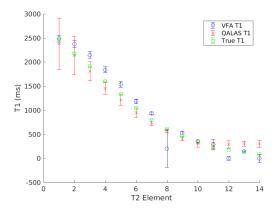


FIGURE 5.13: Comparison of T1 mapping performance between a variable flip angle T1 mapping sequence and 3D-QALAS on the T1 elements of the ISMRM NIST phantom. True T1 values use the T1 means and standard deviations listed for these elements in the phantom manual.

of the various ISMRM NIST system phantom elements allowed the calculation of an independent mutual information value for each phantom element and each set of acquisition parameters. Figure 5.14 shows the reconstructed M0, T1, and T2 standard deviations as a function of the independent mutual information calculated for 12 T1 elements and 12 T2 elements for the two scans. Relative variance was negatively correlated with mutual information, as expected. This result suggests that higher mutual information calculated by this model corresponds to lower standard deviation in the reconstructed image.

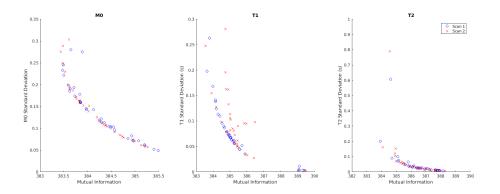


FIGURE 5.14: Reconstructed M0, T1, and T2 standard deviation in each of the 36 analyzed phantom elements for both scans as a function of mutual information. For reconstructed M0, T1, and T2 values, standard deviation is negatively correlated with mutual information across all data sets.

5.5 Discussion

The synthetic phantom results show that this mathematical model can minimize QALAS reconstruction uncertainty by optimizing mutual information as a function of acquisition parameters. The optimization is stable in a parameter space of flip angle and four delay times (Figure 5.7). The optimized parameter values differ substantially from the initial values, which are currently used for QALAS acquisitions. The high-information and low-information acquisitions also show substantially different distributions (Figure 5.8). The distributions of parametric map values resulting from a point in acquisition parameter space with greater mutual information are narrower than the distributions resulting from a point with less mutual information. Reduced reconstruction uncertainty corresponds directly to reduced variability (Figure 5.9). This method for quantitatively optimizing reproducibility could have a significant impact on the reliability of quantitative MRI as diagnostic tool.

The ISMRM NIST system phantom measurements demonstrate that the mathematical model tested on the synthetic phantom is applicable to real-world measurements.

The mutual information model can quantify the potential of various combinations of acquisition parameters to reduce QALAS reconstruction uncertainty in phantom measurements. The 3D-QALAS model reconstructed parametric maps from the two acquisitions reasonably accurately (Figure 5.10), with the exception of proton density elements 1 and 2, T1 elements 1 and 2, and T2 elements 1 and 2. These elements were excluded from further analysis. The distribution width indicates how well suited the scan parameters are to reconstructing tissues with the properties of a given element—i.e., optimal scan parameters for an element result in narrower distribution of reconstructed values for that element. The elements of the ISMRM NIST system phantom cover a wide range of M0, T1, and T2 values, including several outside the normal range of human brain tissue. Using literature M0, T1, and T2 values for human brain tissue in the construction of the synthetic phantom may not be representative for ISMRM NIST system phantom elements with properties significantly different from those of human brain tissue. Furthermore, it is expected that different acquisition timings are optimal for different tissue properties, so comparing the performances of two scans across widely differing phantom elements may not be informative. For this reason, mutual information was calculated independently for each element by adjusting the model tissue properties to match the phantom element properties. Mutual information is then a function of the scan parameters and the phantom element properties. Scan parameters that are well suited for reconstructing parametric maps for tissue properties similar to a particular element will then result in greater mutual information. This model showed success when applied to real data (Figure 5.14). The reconstruction performance, measured by standard deviation of the voxel values within the phantom element, was clearly negatively correlated with the mutual information modeled for the element. This result suggests that as long as the model is representative of the subject, mutual information is an accurate predictor of reconstruction performance.

Chapter 6

Conditional Mutual Information Generalization and In Vivo Model Validation

6.1 Introduction

In this chapter, the information theory framework is validated on *in vivo* data from a human brain. It is also generalized to include conditioning on previous measurements. This allows additional flexibility for application to real-world problems. The feasibility of the conditional information theory framework is tested on 3D-QALAS acquisitions conditioned on low-resolution pre-scan acquisitions with independent acquisition parameters. A computational methodology is developed to quantify information content of 3D-QALAS acquisitions relative to a representative synthetic brain model and validated in phantom measurements. The hypothesis that information-optimized acquisition parameters for a 3D-QALAS signal model result in reduction in reconstructed parametric map variance is tested.

6.2 Theory

6.2.1 Conditional Mutual Information Relationships

The low-resolution pre-scan measurement d is acquired with subsampling parameters θ_1 and acquisition parameters μ_1 . The QALAS operator Q_{μ} is a nonlinear operator representing the solution of the QALAS forward problem with pulse sequence parameters μ .

$$\boldsymbol{d} = S_{\boldsymbol{\theta}_1}(\boldsymbol{k}) \odot \left(\mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}(\boldsymbol{x})) + \boldsymbol{\nu}(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_1}(\boldsymbol{k}) \odot \left(\mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}) \right) + \boldsymbol{\nu}(\boldsymbol{k}) \right)$$
(6.1)

The optimized scan z is acquired with subsampling parameters θ_2 and acquisition parameters μ_2 .

$$\boldsymbol{z} = S_{\boldsymbol{\theta}_2}(\boldsymbol{k}) \odot \left(\mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}(\boldsymbol{x})) + \boldsymbol{\nu}(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_2}(\boldsymbol{k}) \odot \left(\mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}) \right) + \boldsymbol{\nu}(\boldsymbol{k}) \right)$$
(6.2)

Conditional probability of the low-resolution pre-scan measurement $p(\boldsymbol{d}|\boldsymbol{\eta})$ is normally distributed about the signal model evaluation with subsampling parameters $\boldsymbol{\theta}_1$, acquisition parameters $\boldsymbol{\mu}_1$, and measurement variance Σ_{ν_1} .

$$p(\boldsymbol{d}|\boldsymbol{\eta}) = N\left(\boldsymbol{d}\big|S_{\boldsymbol{\theta}_1}(\boldsymbol{k}) \odot \mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}(\boldsymbol{x})), \Sigma_{\nu_1}\right)$$
(6.3)

Similarly, conditional probability of the high-resolution full scan $p(\boldsymbol{z}|\boldsymbol{\eta})$ is normally

distributed about the signal model evaluation with subsampling parameters θ_2 , acquisition parameters μ_2 , and measurement variance Σ_{ν_2} .

$$p(\boldsymbol{z}|\boldsymbol{\eta}) = N\left(\boldsymbol{z}|S_{\boldsymbol{\theta}_2}(\boldsymbol{k}) \odot \mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}(\boldsymbol{x})), \Sigma_{\nu_2}\right)$$
(6.4)

Because measurements \boldsymbol{z} and \boldsymbol{d} are independent, the joint conditional probability distribution $p(\boldsymbol{z}, \boldsymbol{d} | \boldsymbol{\eta})$ is the multivariate normal distribution

$$p(\boldsymbol{z}, \boldsymbol{d} | \boldsymbol{\eta}) = N \left(\begin{bmatrix} \boldsymbol{z} \\ \boldsymbol{d} \end{bmatrix} \middle| \begin{bmatrix} S_{\boldsymbol{\theta}_2}(\boldsymbol{k}) \odot \mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}(\boldsymbol{x})) \\ S_{\boldsymbol{\theta}_1}(\boldsymbol{k}) \odot \mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}(\boldsymbol{x})) \end{bmatrix}, \begin{bmatrix} \Sigma_{\nu_2} & 0 \\ 0 & \Sigma_{\nu_1} \end{bmatrix} \right).$$
(6.5)

Recall the generalized mutual information relationships for a new measurement, \boldsymbol{z} , given N previous measurements, $\boldsymbol{d}_1, \ldots, \boldsymbol{d}_N$:

1

$$I(\boldsymbol{\eta}; \boldsymbol{z}|\boldsymbol{d}) = H(\boldsymbol{\eta}|\boldsymbol{d}) - H(\boldsymbol{\eta}|\boldsymbol{z}, \boldsymbol{d})$$
(6.6)

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = H(\boldsymbol{\eta}) - I(\boldsymbol{\eta}; \boldsymbol{d}_1) - \sum_{i=2}^{N} I(\boldsymbol{\eta}; \boldsymbol{d}_i | \boldsymbol{d}_{i-1}, \dots, \boldsymbol{d}_1) - H(\boldsymbol{\eta}; \boldsymbol{z}, \boldsymbol{d})$$
(6.7)

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = I(\boldsymbol{\eta}; \boldsymbol{z}, \boldsymbol{d}) - I(\boldsymbol{\eta}; \boldsymbol{d}_1) - \sum_{i=2}^{N} I(\boldsymbol{\eta}; \boldsymbol{d}_i | \boldsymbol{d}_{i-1}, \dots, \boldsymbol{d}_1)$$
(6.8)

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = I(\boldsymbol{\eta}; \boldsymbol{z}, \boldsymbol{d}) - I(\boldsymbol{\eta}; \boldsymbol{d})$$
(6.9)

If the measurement parameters are explicitly written out, conditional mutual information takes the following form:

$$I\left(\boldsymbol{\eta}; \boldsymbol{z}(\boldsymbol{\mu}_{N+1}, \boldsymbol{\theta}_{N+1}) | \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1}), \dots, \boldsymbol{d}_{N}(\boldsymbol{\mu}_{N}, \boldsymbol{\theta}_{N})\right)$$

= $I\left(\boldsymbol{\eta}; \boldsymbol{z}(\boldsymbol{\mu}_{N+1}, \boldsymbol{\theta}_{N+1}), \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1}), \dots, \boldsymbol{d}_{N}(\boldsymbol{\mu}_{N}, \boldsymbol{\theta}_{N})\right) - I\left(\boldsymbol{\eta}; \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1})\right)$ (6.10)
 $-\sum_{i=2}^{N} I\left(\boldsymbol{\eta}; \boldsymbol{d}_{i}(\boldsymbol{\mu}_{i}, \boldsymbol{\theta}_{i}) | \boldsymbol{d}_{i-1}(\boldsymbol{\mu}_{i-1}, \boldsymbol{\theta}_{i-1}), \dots, \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1})\right).$

For the case where d is one low-resolution pre-scan with sub-optimal parameters, conditional mutual information simplifies to

$$I(\boldsymbol{\eta}; \boldsymbol{z}(\boldsymbol{\mu}_{2}, \boldsymbol{\theta}_{2}) | \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1}))$$

$$= I(\boldsymbol{\eta}; \boldsymbol{z}(\boldsymbol{\mu}_{2}, \boldsymbol{\theta}_{2}), \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1})) - I(\boldsymbol{\eta}; \boldsymbol{d}_{1}(\boldsymbol{\mu}_{1}, \boldsymbol{\theta}_{1}))$$

$$= H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{z}, \boldsymbol{d} | \boldsymbol{\eta}) - (H(\boldsymbol{d}) - H(\boldsymbol{d} | \boldsymbol{\eta})).$$
(6.11)

Because the conditional probability functions $p(\boldsymbol{d}|\boldsymbol{\eta})$ and $p(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta})$ are defined to be normally distributed, their respective entropies, $H(\boldsymbol{d}|\boldsymbol{\eta})$ and $H(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta})$, are known analytically.

$$H(\boldsymbol{d}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2N_{\mathrm{acq},d}} \right)$$
(6.12)

$$H(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2(N_{\mathrm{acq},d} + N_{\mathrm{acq},z})} \right)$$
(6.13)

6.2.2 Mutual Information for Jointly Gaussian Measurements

For measurements which are jointly Gaussian, conditional mutual information can be approximated by Gauss-Hermite quadrature. This derivation is given in Section C.3.1 in Appendix C, but the general forms of H(d), H(z, d), and $I(\eta; z|d)$ used in the computation of conditional mutual information are shown below. Conditional mutual information $I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d})$ requires approximation of entropies $H(\boldsymbol{d})$ and $H(\boldsymbol{z}, \boldsymbol{d})$. The approximation of $H(\boldsymbol{d})$ can be computed by

$$H(\boldsymbol{d}) \approx \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}}$$

$$\cdot \ln \left[\pi^{-N/2} \sum_{s_{1}=1}^{S_{1}} \omega_{s_{1}} \cdots \sum_{s_{N}=1}^{S_{N}} \omega_{s_{N}} \right]$$

$$\cdot \prod_{p=1}^{P} p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,r}} + S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\boldsymbol{\eta}}) | \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\boldsymbol{\eta}})$$

$$\cdot p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,i}} + S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\boldsymbol{\eta}}) | \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\boldsymbol{\eta}}) \right].$$

$$(6.14)$$

The approximation of $H(\boldsymbol{z},\boldsymbol{d})$ can by computed by

$$H(\boldsymbol{z},\boldsymbol{d}) = \int \int p(\boldsymbol{z},\boldsymbol{d}) \ln (p(\boldsymbol{z},\boldsymbol{d})) d\boldsymbol{z} d\boldsymbol{d}$$

$$\approx \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \cdot \sum_{j_{1,r}=1}^{J_{1,r}} \omega_{j_{1,r}} \sum_{j_{1,i}=1}^{J_{1,i}} \omega_{j_{1,i}} \cdots \sum_{j_{P,r}=1}^{J_{P,r}} \omega_{j_{P,r}} \sum_{j_{P,i}=1}^{J_{P,i}} \omega_{j_{P,i}}$$

$$\cdot \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \cdot \ln \left\{ \pi^{-N/2} \sum_{s_{1}=1}^{S_{1}} \omega_{s_{1}} \cdots \sum_{s_{N}=1}^{S_{N}} \omega_{s_{N}} \right.$$

$$\left. \cdot \prod_{p=1}^{P} p \left(\sqrt{2} \Sigma_{\nu} \left[\begin{array}{c} \boldsymbol{x}_{j_{p,r}} \\ \boldsymbol{x}_{k_{p,r}} \end{array} \right] + \left[\begin{array}{c} S_{\boldsymbol{\theta}_{2}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{2}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \\ S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \end{array} \right] \right| \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\boldsymbol{\eta}} \right) \right\}.$$

$$\left. \cdot p \left(\sqrt{2} \Sigma_{\nu} \left[\begin{array}{c} \boldsymbol{x}_{j_{p,i}} \\ \boldsymbol{x}_{k_{p,i}} \end{array} \right] + \left[\begin{array}{c} S_{\boldsymbol{\theta}_{2}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{2}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \\ S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \end{array} \right] \right| \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\boldsymbol{\eta}} \right) \right\}.$$

$$\left. (6.15)$$

After computing H(d) and H(z, d), conditional mutual information is straightforward to determine:

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = H(\boldsymbol{\eta} | \boldsymbol{d}) - H(\boldsymbol{\eta} | \boldsymbol{z}, \boldsymbol{d}) = H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{z}, \boldsymbol{d} | \boldsymbol{\eta}) - (H(\boldsymbol{d}) - H(\boldsymbol{d} | \boldsymbol{\eta}))$$

$$= H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{d}) + \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2N_{\text{acq},d}} \right) - \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2(N_{\text{acq},d} + N_{\text{acq},z})} \right)$$

$$= H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{d}) + \frac{1}{2} \ln (\sigma_{\nu}^{-2N_{\text{acq},z}}).$$

(6.16)

6.2.3 Mutual Information for Independent Subsampling Masks

When optimizing k-space subsampling approaches, the inclusion of new subsampling locations conditional upon existing subsampled measurements can be represented by independent subsampling masks in this model. Computation of conditional mutual information is simplified significantly for this special case.

For FFT reconstruction, the FFT operator is not a function of the subsampling mask like the BART reconstruction operator. Let g_{μ} be a fully-sampled, noiseless set of measurements resulting from $\mathcal{FQ}_{\mu}(\eta(x))$.

$$\boldsymbol{z}(\boldsymbol{\mu},\boldsymbol{\theta},\boldsymbol{k}) = S_{\boldsymbol{\theta}} \odot \left(\mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k})\right) = S(\boldsymbol{\theta},\boldsymbol{k}) \odot \left(g_{\boldsymbol{\mu}} + \nu(\boldsymbol{k})\right)$$
(6.17)

Subsequent measurements, z_1 and z_2 , with separate acquisitions, \mathcal{G}_{μ_1} and \mathcal{G}_{μ_2} are defined as follows:

$$\boldsymbol{z}_{1} = S_{\boldsymbol{\theta}_{1}} \odot \left(\mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu_{1}(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_{1}} \odot \left(\mathcal{G}_{\boldsymbol{\mu}_{1}}(\boldsymbol{\eta}) + \nu_{1}(\boldsymbol{k}) \right)$$

$$\boldsymbol{z}_{2} = S_{\boldsymbol{\theta}_{2}} \odot \left(\mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{2}}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu_{2}(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_{2}} \odot \left(\mathcal{G}_{\boldsymbol{\mu}_{2}}(\boldsymbol{\eta}) + \nu_{2}(\boldsymbol{k}) \right).$$
(6.18)

Now, subsequent subsampling realizations, $\boldsymbol{\xi}_1$ and $\boldsymbol{\xi}_2$, of the same fully-sampled measurement, $g_{\boldsymbol{\mu}}$, are defined as follows:

$$\boldsymbol{\xi}_{1}|g_{\boldsymbol{\mu}} = S_{\boldsymbol{\theta}_{1}} \odot \left(\mathcal{FQ}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k})\right) = S_{\boldsymbol{\theta}_{1}} \odot \left(g_{\boldsymbol{\mu}} + \nu(\boldsymbol{k})\right)$$

$$\boldsymbol{\xi}_{2}|g_{\boldsymbol{\mu}} = S_{\boldsymbol{\theta}_{2}} \odot \left(\mathcal{FQ}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k})\right) = S_{\boldsymbol{\theta}_{2}} \odot \left(g_{\boldsymbol{\mu}} + \nu(\boldsymbol{k})\right)$$
(6.19)

The subsampled $\boldsymbol{\xi}_1$ and $\boldsymbol{\xi}_2$ are independent for the same measurement $g_{\boldsymbol{\mu}}$. However, \boldsymbol{z}_1 and \boldsymbol{z}_2 are not independent.

The joint mutual information of these subsampling masks is equal to the sum of the independent mutual information terms.

$$I(\boldsymbol{\eta};\boldsymbol{\xi}_1,\boldsymbol{\xi}_2) = I(\boldsymbol{\eta};\boldsymbol{\xi}_1) + I(\boldsymbol{\eta};\boldsymbol{\xi}_2)$$
(6.20)

The derivation of this relationship is shown in Section C.3.2 in Appendix C. It is straightforward to show through induction that

$$I(\boldsymbol{\eta}; \boldsymbol{\xi}) = \sum_{i=1}^{N} I(\boldsymbol{\eta}; \boldsymbol{\xi}_i).$$
(6.21)

An expected result of the independence of subsampling masks is that conditional mutual information reduces to mutual information:

$$I(\eta; \xi_{2} | \xi_{1}) = I(\eta; \xi_{2}, \xi_{1}) - I(\eta; \xi_{1})$$

= $I(\eta; \xi_{2}) + I(\eta; \xi_{1}) - I(\eta; \xi_{1})$ (6.22)
= $I(\eta; \xi_{2}).$

Thus, the general result for conditional mutual information of independent subsampling masks is

$$I(\boldsymbol{\eta}; \boldsymbol{\xi}_M | \boldsymbol{\xi}_N) = \sum_{i \in M} I(\boldsymbol{\eta}; \boldsymbol{\xi}_i) \qquad \text{for } M \cap N = \emptyset.$$
(6.23)

To compute conditional mutual information from Equation 6.23, the difference of entropies is used.

$$I(\boldsymbol{\eta}; \boldsymbol{\xi}_M | \boldsymbol{\xi}_N) = \sum_{i \in M} I(\boldsymbol{\eta}; \boldsymbol{\xi}_i) = \sum_{i \in M} (H(\boldsymbol{\xi}_i) - H(\boldsymbol{\xi}_i | \boldsymbol{\eta}))$$
(6.24)

As previously, the probability density functions $p(\boldsymbol{\xi}_1|\boldsymbol{\eta}), p(\boldsymbol{\xi}_2|\boldsymbol{\eta})$, and $p(\boldsymbol{\eta})$ are assumed to be normal.

$$p(\boldsymbol{\xi}_{1}|\boldsymbol{\eta}) = N(\boldsymbol{\xi}_{1}|S_{\boldsymbol{\theta}_{1}}(\boldsymbol{k}) \odot g_{\boldsymbol{\mu}}(\boldsymbol{k}), \Sigma_{\nu})$$

$$p(\boldsymbol{\xi}_{2}|\boldsymbol{\eta}) = N(\boldsymbol{\xi}_{2}|S_{\boldsymbol{\theta}_{2}}(\boldsymbol{k}) \odot g_{\boldsymbol{\mu}}(\boldsymbol{k}), \Sigma_{\nu})$$

$$p(\boldsymbol{\eta}) = N(\boldsymbol{\eta}|\boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}})$$
(6.25)

The conditional entropy term is again known from the problem statement.

$$H(\boldsymbol{\xi}_i|\boldsymbol{\eta}) = \frac{1}{2} \ln\left((2\pi e)^2 \sigma_{\nu}^{2N_{acq,i}} \right)$$
(6.26)

 $H(\boldsymbol{\xi}_i)$ can be approximated via Gauss-Hermite quadrature.

$$H(\boldsymbol{\xi}) \approx \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}}$$

$$\cdot \ln \left[\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \cdots \sum_{s_N=1}^{S_N} \omega_{s_N} \right]$$

$$\cdot \prod_{p=1}^{P} p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,r}} + S \odot \mathcal{Q}(\sqrt{2} \Sigma_{\eta} \boldsymbol{x}_q + \boldsymbol{m}_{\eta})) \sqrt{2} \Sigma_{\eta} \boldsymbol{x}_s + \boldsymbol{m}_{\eta})$$

$$\cdot p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,i}} + S \odot \mathcal{Q}(\sqrt{2} \Sigma_{\eta} \boldsymbol{x}_q + \boldsymbol{m}_{\eta})) \sqrt{2} \Sigma_{\eta} \boldsymbol{x}_s + \boldsymbol{m}_{\eta})$$

$$(6.27)$$

The derivation of this relationship is shown in Section C.3.3 in Appendix C. The complete form of conditional mutual information for independent subsampling masks is

$$I(\boldsymbol{\eta}; \boldsymbol{\xi}_M | \boldsymbol{\xi}_N) = \sum_{i \in M} I(\boldsymbol{\eta}; \boldsymbol{\xi}_i) = \sum_{i \in M} \left(H(\boldsymbol{\xi}_i) - \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2N_{\text{acq},i}} \right) \right).$$
(6.28)

6.3 Methods

Proof-of-concept experiments were again performed on both synthetic phantom data and the ISMRM NIST qMRI Multiparametric Imaging Standard phantom (QalibreMD, Boulder, CO).[195] The synthetic phantom data were used to demonstrate the range of optimized acquisition parameter values compared to the static empirical parameter selection currently implemented clinically. Multiple measurements of the ISMRM NIST system phantom at high and low resolutions and with different combinations of the selected acquisition parameters were used to validate the conditional mutual information model. The correlation between mutual information and reconstruction variance was measured.

6.3.1 Synthetic Model

To demonstrate potential clinical usefulness of real-time parameter tuning, measurements are simulated on a cohort of ten synthetic brain phantoms (Figure 6.1). The tissue label boundaries are eroded and dilated to create anatomically distinct synthetic patients. Additionally, the patient-specific biological uncertainty was randomly assigned by sampling the population distribution of tissue properties (Table 5.1).

Conditional mutual information was calculated conditioned on synthesize low-resolution measurements (using tissue labels in Figure 6.2) made with all delay times set to 500 ms, which are the current clinically implemented values of these parameters. The optimized values of these delay times are then compared to the current clinical value.

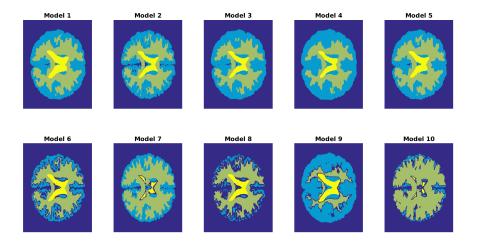


FIGURE 6.1: High-resolution tissue label maps of ten synthetic brain models.

6.3.2 Phantom Acquisitions

To validate the mutual information model, we applied it to actual measurements of the ISMRM NIST system phantom (Figure 5.5). Multiple measurements were acquired at high (Figure 6.3a) and low resolutions (Figure 6.3b) and with different combinations of the selected acquisition parameters using a 3.0T MR 750W MRI scanner (GE Healthcare,

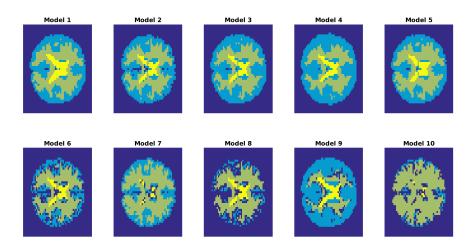
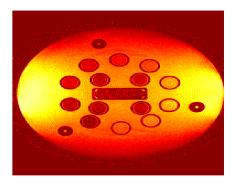
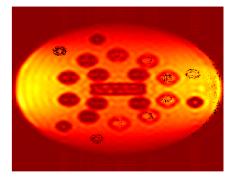


FIGURE 6.2: Low-resolution tissue label maps of ten synthetic brain models.

Waukesha, WI). The acquisition parameters are shown in Table 6.1, and the physical properties of the 42 ISMRM NIST phantom elements are shown in Tables 6.2, 6.3, and 6.4. Reconstruction was performed using geOrchestra and the Berkeley Advanced Reconstruction Toolbox (BART, The University of California, Berkeley, Berkeley, CA), with L2 regularization (Figure 5.6).[196] Correlation between mutual information and reconstruction variance was measured.



(A)



(B)

FIGURE 6.3: (A) Example longitudinal magnetization of ISMRM NIST phantom from high-resolution acquisition. (B) Longitudinal magnetization of same view from ISMRM NIST phantom from low-resolution acquisition.

The ISMRM NIST phantom contains 42 elements with differing material properties. This provides 42 data points of well-characterized physical properties. Conditional

Parameter	Scan 1	Scan 2
Flip angle (°)	4	4
TR (ms)	6.6	6.6
TI (ms)	100	100
TE_{T2prep} (ms)	100	100
T_{acq} (ms)	674.8	674.8
$\mathbf{N}_{\mathbf{acq}}$	5	5
$TD1^a$ (ms)	230.6	500
$TD2^a \ (\mathrm{ms})$	325.2	142.6
$TD3^a (ms)$	325.2	142.6
$TD4^a (ms)$	325.2	142.6
$TD5^a (ms)$	221.6	500.0

TABLE 6.1: Acquisition parameter values for two scans performed on ISMRM NIST system phantom. a TD1 is the time between the T1 sensitizing pulse and the first acquisition, TD2 is the time between the end of the first acquisition and the start of the second acquisition, and so on.

Contrast ID	Concentration	H2O mass (g)	D2O mass (g)
	(% Water)		
PD-1	5	0.746	15.758
PD-2	10	1.491	14.932
PD-3	15	2.238	14.106
PD-4	20	2.238	13.279
PD-5	25	3.731	12.451
PD-6	30	4.478	11.624
PD-7	35	5.226	10.796
PD-8	40	5.974	9.968
PD-9	50	7.470	8.310
PD-10	60	8.968	6.651
PD-11	70	10.468	4.990
PD-12	80	11.968	3.328
PD-13	90	13.470	1.668
PD-14	100	14.973	0.000

TABLE 6.2: ISMRM NIST phantom proton density sphere properties.

mutual information was calculated for the physical properties of each phantom element between a low-resolution acquisition at one set of acquisition parameters and a theoretical high-resolution acquisition at the other set of acquisition parameters. In other words, one data point would result from the calculation of conditional mutual information using the physical properties of element T1-4, conditional on the low-resolution acquisition with Scan 1 parameters, and assuming a theoretical high-resolution acquisition with Scan 2 parameters. Then, the difference in standard deviations of reconstructed M0, T1, and

Sample Name	T1 (ms)	T1 Standard	T2 (ms)	T2 Standard
		Devation (ms)		Deviation (ms)
T1-1	1989	1.0	1465	1.0
T1-2	1454	2.5	1076	1.8
T1-3	984.1	0.33	717.9	1.12
T1-4	706	1.5	510.1	1.36
T1-5	496.7	0.41	359.6	0.22
T1-6	351.5	0.91	255.5	0.07
T1-7	247.13	0.086	180.8	0.04
T1-8	175.3	0.11	127.3	0.14
T1-9	125.9	0.33	90.3	0.14
T1-10	89.0	0.17	64.3	0.05
T1-11	62.7	0.13	45.7	0.12
T1-12	44.53	0.090	31.86	0.02
T1-13	30.84	0.016	22.38	0.02
T1-14	21.719	0.0054	15.83	0.03

TABLE 6.3: ISMRM NIST phantom T1 contrast sphere properties at 3.0 T.

Sample Name	T1 (ms)	T1 Standard	T2 (ms)	T2 Standard
		Devation (ms)		Deviation (ms)
T2-1	2480	10.8	581.3	0.39
T2-2	2173	14.7	403.5	0.55
T2-3	1907	10.3	278.1	0.28
T2-4	1604	7.2	190.94	0.011
T2-5	1332	0.8	133.27	0.073
T2-6	1044	3.2	96.89	0.049
T2-7	801.7	1.70	64.07	0.034
T2-8	608.6	1.03	46.42	0.014
T2-9	458.4	0.33	31.97	0.083
T2-10	336.5	0.18	22.56	0.012
T2-11	224.2	0.09	15.813	0.0061
T2-12	176.6	0.09	11.237	0.0057
T2-13	126.9	0.03	7.911	0.0037
T2-1 4	90.9	0.05	5.592	0.0055

TABLE 6.4: ISMRM NIST phantom T2 contrast sphere properties at 3.0 T.

T2 values in element T1-4 between low-resolution Scan 1 and high-resolution Scan 2 can be used to evaluate the success of the performance prediction made by conditional mutual information. By using all phantom elements, a correlation between the standard deviation differences and conditional mutual information is used to evaluate model prediction performance.

In order to more strongly corroborate the trend seen in image quality prediction

with the available data, predictions are also made between NIST phantom elements for constant scan parameters. This is equivalent to changing the image subject properties between scans instead of the acquisition parameters. While this is obviously not a realistic scenario, it provides further validation of the predictive capabilities of the conditional mutual information model.

6.3.3 In Vivo Acquisitions

In vivo 3D-QALAS measurements were acquired on one volunteer patient. Two scans were performed, one with default clinical acquisition parameters and one with an optimized set of acquisition parameters. Optimized parameters were determined similarly to the optimization performed in Section 5.4. The five delay times were optimized as shown in Figure 6.4. Because it was not feasible to implement the exact delay times selected via optimization at the time of acquisition, a set of delay times were chosen that were acceptably close in order to demonstrate the differences in reconstruction. These three sets of acquisition parameters are displayed in Table 6.5.

Parameter	Default	Optimized	Theoretical
	Acquisition	Acquisition	Optimum
Flip angle (°)	4	4	4
TR (ms)	6.684	6.684	6.684
TI (ms)	100	100	100
${ m TE_{T2prep}} \ ({ m ms})$	100	100	100
$T_{acq} (ms)$	871	871	871
$\mathbf{N}_{\mathbf{acq}}$	5	5	5
$TD1^a (ms)$	23.4	500.0	625.5
$TD2^a \ (ms)$	117.4	150.0	71.3
$TD3^a \ (ms)$	117.4	0.5	0.0
$TD4^a \ (\mathrm{ms})$	117.4	0.5	198.0
$TD5^a (ms)$	0.0	500.0	1608.6

TABLE 6.5: Acquisition parameter values for *in vivo* 3D-QALAS acquisitions. ^{*a*}TD1 is the time between the T1 sensitizing pulse and the first acquisition, TD2 is the time between the end of the first acquisition and the start of the second acquisition, and so on.

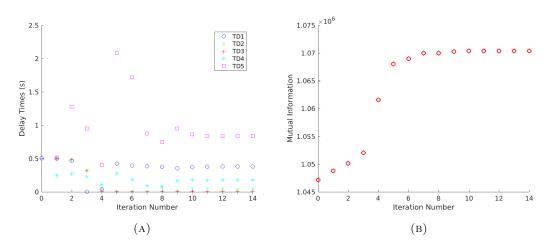


FIGURE 6.4: (A) Optimization history of acquisition parameters. Five delay times are shown over the course of 14 optimization iterations. (B) Optimization history of mutual information over the course of 14 optimization iterations. Mutual information is the objective function to be maximized during optimization.

Performance of these acquisition parameters is prospectively evaluated by sampling the posterior distributions resulting from each set of parameters using ellipsoidal nested sampling.[193, 194] Standard deviation of the posterior distributions was used to predict relative performance between the scans. Mutual information was calculated using Equation 4.30 for scans acquired with current clinical parameters, optimized acquisition parameters, and theoretical optimum parameters.

Two scans were performed with acquisition parameters listed in Table 6.1 using a 3.0T MR 750W MRI scanner (GE Healthcare, Waukesha, WI). Reconstruction was performed using geOrchestra, the Berkeley Advanced Reconstruction Toolbox (BART, The University of California, Berkeley, Berkeley, CA), and a fitting algorithm for the 3D-QALAS signal model developed for use in this work.

ROIs were drawn manually in gray matter and white matter in the frontal, parietal, temporal, and occipital lobes, as well as cerebrospinal fluid in the ventricles. ROI selection was performed manually in order to exclude interfaces between tissue types. This minimizes the effects of partial voluming, for which the model did not account. ROIs were analyzed in the four lobes of the cerebral cortex in both hemispheres in order to minimize sensitivity to ROI selection. Summary statistics were calculated for the parameter value distributions in gray and white matter in each of the four lobes, as well as CSF. The change in standard deviation between the reconstructions of the default and optimized scans was evaluated. Two-sample F-tests for equal variances were used to determine the significance of the change in standard deviation.

6.4 Results

6.4.1 Synthetic Model

Figure 6.5 shows the wide range of optimal parameter values that arise from realistic perturbations of the synthetic brain model. Optimal delay time varied from 280 ms to 480 ms. The currently implemented clinical value is 500 ms. Thus, patient-specific anatomical and tissue property perturbations resulted in an optimized delay time up to 44% less than the clinical value. The range of optimized parameter values indicates that this method may result in significant information gain in clinical settings.

6.4.2 Phantom Acquisitions

Figure 6.6 shows the change in M0 standard deviation in NIST phantom elements between a low-resolution pre-scan at one set of acquisition parameters and a high-resolution scan at a second set of acquisition parameters as a function of conditional mutual information. It can be seen that the change in M0 standard deviation is negatively correlated with conditional mutual information. For conditioning on the low-resolution acquisition at Scan 1 parameters, the adjusted r^2 from a robust linear regression is 0.3436. The root-mean-squared error is 0.0108. For conditioning on the low-resolution acquisition at

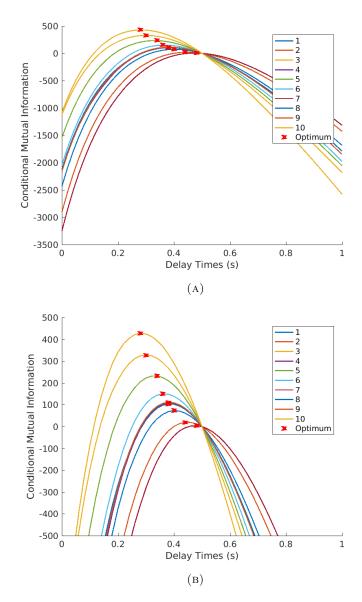


FIGURE 6.5: (A) Conditional mutual information between simulated high- and lowresolution acquisitions in the ten synthetic brain models (Figures 6.1 and 6.2) as a function of variable delay times. Delay times are fixed equal to one another. Positive CMI predicts an improvement in image quality, whereas negative CMI predicts a decrease in image quality. Optimum delay times are selected by maximizing CMI. Optimum values are marked by a red x for each synthetic brain model. (B) CMI between simulated high- and low-resolution acquisitions as a function of delay times, zoomed around optimum values.

Scan 2 parameters, the adjusted r^2 from a robust linear regression is 0.0176, and the root-mean-squared error is 0.0210.

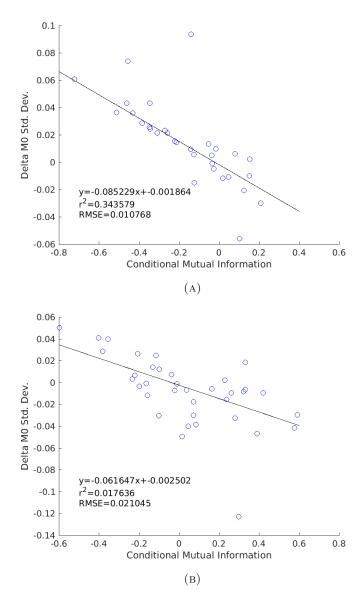


FIGURE 6.6: Inter-scan differences in reconstructed M0 standard deviations as a function of conditional mutual information between low-resolution pre-scan and high-resolution scan. (A) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 2 acquisition parameters (Table 6.1). (B) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 1 acquisition parameters (Table 6.1).

Similar trends are seen in T1 reconstruction in Figure 6.7. For conditioning on the low-resolution acquisition at Scan 1 parameters, the adjusted r^2 from a robust linear regression is 0.5503. The root-mean-squared error is 0.0205. For conditioning on the

low-resolution acquisition at Scan 2 parameters, the adjusted r^2 from a robust linear regression is 0.3790, and the root-mean-squared error is 0.0150.

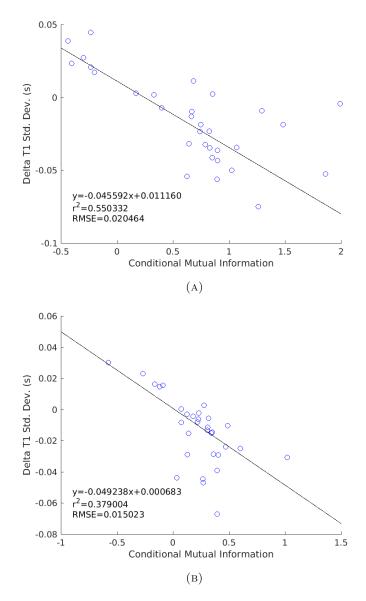


FIGURE 6.7: Inter-scan differences in reconstructed T1 standard deviations as a function of conditional mutual information between low-resolution pre-scan and high-resolution scan. (A) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 2 acquisition parameters (Table 6.1). (B) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 1 acquisition parameters (Table 6.1).

Once more, similar trends are seen in T2 reconstructions in Figure 6.8. For conditioning on the low-resolution acquisition at Scan 1 parameters, the adjusted r^2 from a robust linear regression is 0.6107. The root-mean-squared error is 0.0.0068. For conditioning on the low-resolution acquisition at Scan 2 parameters, the adjusted r^2 from a robust linear regression is 0.7211, and the root-mean-squared error is 0.0056.

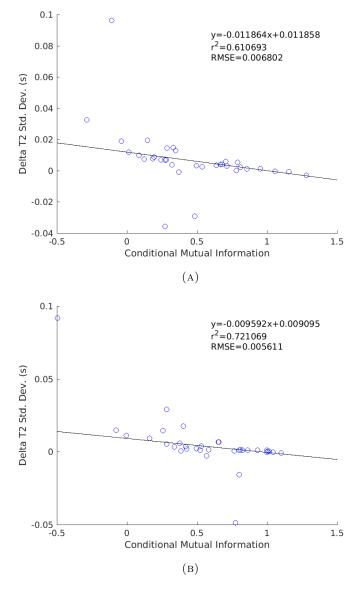


FIGURE 6.8: Inter-scan differences in reconstructed T2 standard deviations as a function of conditional mutual information between low-resolution pre-scan and high-resolution scan. (A) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 2 acquisition parameters (Table 6.1). (B) Low-resolution pre-scan at scan 1 acquisition parameters and high-resolution scan at scan 1 acquisition parameters (Table 6.1).

Finally, Figure 6.9 shows the same trends for inter-element calculations with unchanged acquisition parameters. A robust linear regression of M0 predictions resulted in an adjusted r^2 of 0.0161 and RMSE of 0.0243. A similar fit of T1 predictions yielded an adjusted r^2 of 0.0261 and RMSE of 0.0342. Another fit of T2 predictions produced an adjusted r^2 of 0.0665 and RMSE of 0.2445.

6.4.3 In Vivo Acquisitions

Three acquisitions were simulated with scan parameters listed in Table 6.5. For scan 1, mutual information was 1090210, for scan 2 it was 1108215, and for the theoretical optimum parameters it was 1112305. The standard deviations of the simulated posterior distributions from these acquisitions are shown in Figure 6.10. Additionally, the posterior distribution resulting from no uncertainty in the prior distribution is shown in Figure 6.10 and labeled as theoretical minimum. This is the smallest possible standard deviation of the posterior distribution, and all uncertainty results from uncertainty in the measurement itself. This serves as an approximation to the minimum detectable change in standard deviation from optimization, *i.e.* the smallest improvement in reconstruction that is differentiable from variance introduced by machine noise. It should be noted that the sampled posterior distributions are meant to predict relative changes in standard deviation, rather than accurately predict the magnitude of the standard deviations of measured distributions.

Reconstructed parametric maps from an acquisition with default clinical acquisition parameters are shown in Figure 6.11a, and reconstructed parametric maps from an acquisition with optimized acquisition parameters are shown in Figure 6.11b. The analysis of parameter value distributions from these two acquisitions is shown in Figure 6.12. The difference in standard deviations in M0, T1, and T2 distributions between the reconstructions from the two acquisitions is shown, where negative values correspond

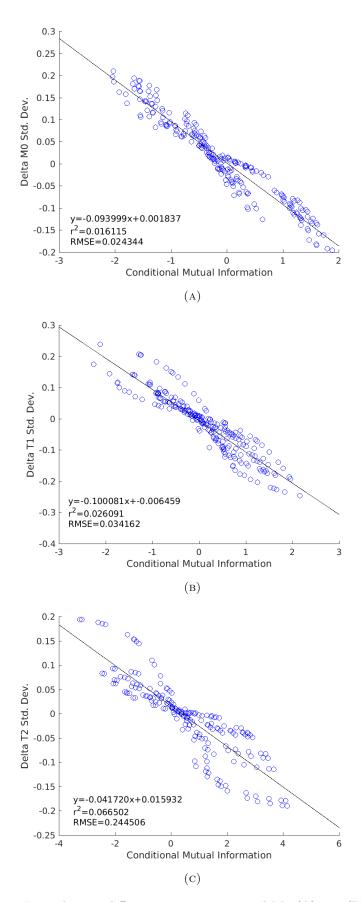


FIGURE 6.9: Inter-element differences in reconstructed M0 (A), T1 (B), and T2 (C) standard deviations as a function of inter-element conditional mutual information. Positive CMI predicts decrease in reconstructed parametric map value standard deviation between different ISMRM NIST phantom elements in the same scan. Negative CMI predicts increase in standard deviation.

to an improvement in performance for an acquisition with optimized parameters. Distributions were analyzed from gray and white matter in the frontal, parietal, temporal, and occipital lobes, as well as cerebrospinal fluid in the ventricles. Distributions from nearly all ROIs showed improvement, with the exception of T2 in occipital lobe white matter. Table 6.6 shows p-values from two-sample F-tests for equal variances between distributions from the default and optimized acquisitions. Six out of nine changes in standard deviation were statistically significant in M0 maps. All changes in standard deviation were statistically significant in T1 maps. Four out of nine changes in standard deviation were statistically significant in T2 maps.

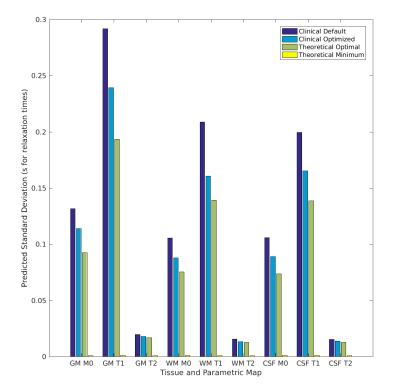


FIGURE 6.10: Standard deviations of simulated posterior distributions. Clinical default is obtained by sampling with clinical default acquisition parameters (scan 1). Clinical optimized is obtained by sampling with implemented optimal acquisition parameters (scan 2). Theoretical optimal is obtained by sampling with calculated optimal acquisition parameters that could not be feasible tested *in vivo*. Theoretical minimum is obtained by sampling with no biological uncertainty.

(B)

FIGURE 6.11: (A) Reconstructed parametric maps from acquisition with default clinical parameters (scan 1). (B) Reconstructed parametric maps from acquisition with optimized parameters (scan 2).

	M0	T1	T2
Fr. WM	0.0150	0.0000	0.1547
Fr. GM	0.0014	0.0000	0.0000
Pa. WM	0.0000	0.0000	0.9792
Pa. GM	0.0000	0.0005	0.0000
Tm. WM	0.0000	0.0000	0.0000
Tm. GM	0.4567	0.0029	0.6346
Oc. WM	0.5353	0.0476	0.8956
Oc. GM	0.0002	0.0000	0.5565
\mathbf{CSF}	0.5559	0.0000	0.0007

TABLE 6.6: The p-values from two-sample F-tests for equal variances between distributions from the default and optimized acquisitions. Entries outlined in green are significant at the p < 0.05 level. Entries outlined in red are nonsignificant at the p < 0.05 level.

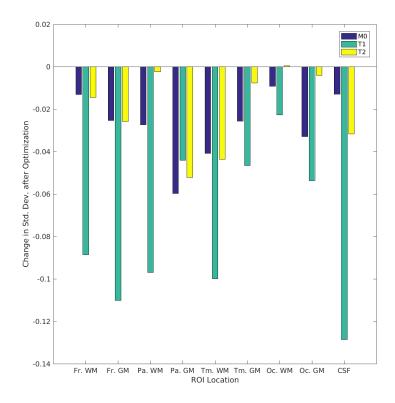


FIGURE 6.12: Change in standard deviation in several tissues between two in vivo scans. Negative change in standard deviation denotes an improvement in reconstruction going from the default clinical parameters to the optimized parameters. Analysis was performed in frontal lobe white matter (Fr. WM) and gray matter (Fr. GM), parietal lobe white matter (Pa. WM) and gray matter (Pa. GM), temporal lobe white matter (Tm. WM) and gray matter (Tm. GM), occipital lobe white matter (Oc. WM) and gray matter (Oc. GM), and cerebrospinal fluid (CSF). Change in standard deviation is shown separately for M0, T1, and T2 maps.

6.5 Discussion

Figure 6.5 shows the potential value of information optimization. Ten realistic scenarios produced optimum delay times ranging from 280 ms to 480 ms. Current empirical delay times are selected to be 500 ms. Additionally, this does not account for further improvements through independent delay time optimization.

It should be noted that the low-resolution simulation is performed with acquisition spacing of 500 ms. Conditional mutual information is larger for scenarios in which the magnitude of the difference between the optimal delay time and 500 ms is larger. This behavior is expected, because a highly sub-optimal first acquisition leaves more information unknown before for a second, optimized acquisition. Conversely, scenarios in which the optimal acquisition spacing is near 500 ms show very nearly zero conditional mutual information between the first and second acquisitions. Finally, for delay times outside the advisable range, conditional mutual information becomes negative, denoting a loss of information for extremely poor parameter selection.

Figure 6.6 shows a clear trend in the difference in standard deviation for reconstructed M0 values as a function of conditional mutual information between a lowresolution scan at scan 1 parameters and a high-resolution scan at scan 2 parameters (Figure 6.6a) and between a low-resolution scan at scan 2 parameters and a highresolution scan at scan 1 parameters (Figure 6.6b). Positive conditional mutual information indicates an improvement in the information content of the acquisition, whereas negative conditional mutual information indicates a worsening. The fact that the linear regression intercept is approximately zero is evidence that the information framework accurately captures this behavior. As expected, the change in standard deviation is negatively correlated with conditional mutual information predicts a decrease in reconstruction variance, and negative mutual information predicts an increase. These results demonstrate that conditional mutual information is a feasible metric for use in image quality prediction given a quickly acquired pre-scan.

Figures 6.7 and 6.8 show the same results for standard deviation in reconstructed T1 and T2 values, respectively. These parametric maps displayed the same trends with respect to conditional mutual information. The correlation is stronger for the T1 and T2 results.

Generally, these figures indicate that conditional mutual information is predictive of the change in image variability. Reconstruction uncertainty is negatively correlated with conditional mutual information. Positive conditional mutual information corresponds to decreased reconstruction standard deviation, while negative CMI corresponds to increased reconstruction standard deviation.

In order to more strongly corroborate the trend seen in image quality prediction with the available data, predictions are made between NIST phantom elements. Figure 6.9 shows the additional data analysis used to confirm the relationship observed in the previous figures. Here, conditional mutual information is calculated between the physical properties of two different phantom elements while the scan parameters are unchanged. This is equivalent to changing the image subject properties between scans instead of the acquisition parameters. While this is obviously not a scenario encountered in the clinic, it still provides further validation of the predictive capabilities of the conditional mutual information model. A similar trend can be seen in this data, where an increase in conditional mutual information predicts better performance, *i.e.* a decrease in standard deviation of reconstructed values, and vice versa.

For the predicted *in vivo* performance, mutual information correlated with the standard deviation of simulated posterior distributions in the expected manner. The default clinical parameters resulted in the smallest mutual information among the three configurations simulated. In turn, the standard deviations of the posterior distributions of M0, T1, and T2 values in gray matter, white matter, and cerebrospinal fluid for an acquisition with default clinical parameters were the greatest among the three configurations. The theoretically optimal acquisition parameters reached through interior point optimization of mutual information of course resulted in the greatest mutual information. The standard deviations of the posterior distributions for an acquisition

with the optimum acquisition parameters were the smallest. The practical optimized acquisition parameters that were employed in the acquisition of *in vivo* data resulted in mutual information greater than an acquisition with default parameters, but less than that of the theoretically optimal acquisition. As expected, the standard deviations of the simulated posterior distributions from this acquisition fall between the default clinical acquisition and the theoretically optimal acquisition. Furthermore, the magnitude of the theoretical minimum standard deviation resulting solely from measurement uncertainty is more than an order of magnitude smaller than the differences in posterior distribution standard deviations between default and optimized acquisitions. This suggests performance improvement through optimization is distinguishable from the variance introduced through machine noise.

Information optimization of acquisition parameters resulted in decreases in standard deviation of reconstructed M0, T1, and T2 distributions in all but one case. Nineteen out of 26 of these improvements were statistically significant. The one increase in standard deviation was not statistically significant. These results confirm the hypothesis of this work.

Chapter 7

Conclusion

The information theory analysis described in this work allows quantitative guidance of synthetic MRI acquisition parameters across multiple applications. The results represent an advanced quantitative understanding of the relationship between acquisition parameters and reproducibility of parametric maps, which in quantitative imaging is especially critical for reliable diagnosis. The major contribution of this research is the quantitative assessment of the impact of acquisition parameter selection on reconstructed parametric map uncertainty, which is currently understood only empirically. The tool described in this work guides the optimized selection of acquisition parameters for a given application, and it may be useful in the evaluation of repeatability and reproducibility in clinical settings.

This information theory approach becomes increasingly valuable for increasingly complex acquisition signal models. For the simplest possible signal models, optimal parameters are either known analytically or readily intuited. Complex signal models, such as 3D-QALAS, benefit greatly from quantitative optimization, but there is no existing analytical optimum against which to validate the information optimization. For this reason, in specific aim 1 the information optimization framework was tested and validated on a spoiled GRE acquisition signal model. The optimal flip angle is known analytically and defined by the Ernst angle equation. A nonlinear mutual information optimization of the flip angle showed near perfect agreement with the analytical solution, giving confidence to the application of this approach to more complex signal models. In specific aim 2, the model was applied to acquisitions of an ISMRM NIST phantom. The results showed that acquisitions with greater mutual information resulted in reconstructed parametric maps with smaller standard deviations. In specific aim 3, the model was extended to consider conditional mutual information and applied to *in vivo* data. It was demonstrated that information theory optimization of acquisition parameters led to a statistically significant improvement in the standard deviations of reconstructed parameters mutual information for acquisitions of reconstructed parameters have a statistically significant improvement in the standard deviations. This confirms the hypothesis defined in Chapter 1.

Conditional mutual information has potential applications in corrective updates to acquisitions in real time. Real time updates could range in complexity from updating k-space locations of new measurements in undersampled acquisitions to altering pulse sequence parameters mid-scan to maximize information acquired within clinical constraints. Another important feature of this framework is that it is application agnostic. It should be applicable to most clinical modeling problems and could provide a quantitative understanding of model parameter information content in clinical image reconstruction, treatment planning, and other applications.

Another approach to quantitative imaging, called magnetic resonance fingerprinting, boasts high accuracy and robustness to parameter variance. [24, 197, 198] In fingerprinting, reproducibility is a function of the dictionary and randomization of parameters, rather than fixed parameter selection. It may be possible to apply an information theory approach to quantifying magnetic resonance fingerprinting accuracy as a function of dictionary selection, or to inform randomization of parameters based on information content.

Compressed sensing is also based on information theory principles, but it is not mutually exclusive with this approach. Compressed sensing allows measurement of a small number of signal samples without previous knowledge of the signal or image, whereas the technique described in this work provides a method to quantitatively optimize acquisition parameters given knowledge of the signal or imaging location.[6, 199–201] Furthermore, compressed sensing can be included in the signal model, so optimal acquisition parameters can be obtained for such acquisitions, and compressed sensing parameters can even be included in the optimization space.

Model complexity is currently a limiting factor in this work. These results have demonstrated the importance of a representative model, but in cases where modeling a subject requires high-dimensional parameter spaces, the current method struggles with computation time. Using Gauss-Hermite quadrature becomes prohibitively expensive as the problem dimensionality increases. In future work, Markov chain Monte Carlo integration will be implemented to calculate mutual information for higher-dimensional problems.

For the development of new protocols, this method could determine a theoretical optimal set of parameters for image quality faster than an empirical approach comprising the iteration of scans with different sets of parameters. Currently, some amount of trial and error is unavoidable when tuning parameters for different scan times, tissues, and resolutions. Depending on the availability of machines, volunteers, and patients, this process can even span days or weeks. A quantitative parameter optimization method reduces the logistical burden of developing new protocols by minimizing scanner time and labor. A promising example application would be determining the set of optimized parameters for a target range of T1 or T2 values. With a short enough computation time, an information theory analysis could be run *ad hoc* at a scanner and acquisition parameters could be tailored to any given situation. A brief computation time also enables the alteration of existing protocols through informed adjustments that ensure consistent image quality. For example, acquisition parameters change when coverage or field of view is adjusted, but there is not always enough scan time to optimize parameters again empirically. Furthermore, evaluation of image quality during parameter tuning is almost always visual and subjective. Mutual information is a useful metric for quantitative evaluation of measurement quality and possibly for standardization of parameter tuning processes.

This mutual information framework could also prove valuable in model-based reconstruction for several other modalities. The framework is applicable to any other method with an acceptable model, including most magnetic resonance pulse sequences and reconstructions. The physics model will determine the prior and posterior statistics, and the mutual information framework can be used to similarly determine the most informative data to be used in reconstruction.

Overall, these results show that more informative acquisition parameters, which are quantified by mutual information, can be selected to reduce output uncertainty. In the case of 3D-QALAS, selecting a more informative combination of acquisition delay times in the sequence can reduce M0, T1, and T2 parametric map uncertainty. Thus, an information theory analysis of the construction of parametric maps in synthetic MRI using mutual information shows promise for quantifying and guiding selection of acquisition parameters in synthetic MRI.

Appendix A

Important Terms

Herein is a list of important terms and their definitions used throughout this work.

- **Coefficient of repeatability**: The least significant difference between two measurements in a repeatability experiment.
- **Coefficient of reproducibility**: The least significant difference between two measurements in a reproducibility experiment.
- Differential entropy: A measure of average self-information of a random variable.
- **Information**: The resolution of uncertainty. Information known about an event reduces uncertainty and entropy.
- **Information entropy**: A measure of how much information an event contains. An event that is nearly certain to occur possesses very little information, so greater information gain results from events with greater information entropy.
- **Moment**: The n^{th} moment is the expectation value of the n^{th} power of the difference between x and the mean.

- Mutual information: The amount of information one random variable contains about another random variable. Equivalently, it is the reduction in one random variable's entropy due to knowledge of another variable. Alternatively, it is also the expected value of the Kullback-Leibler divergence between the probability distributions of the two random variables.
- **Noise**: The random variation in image intensity that is often the result of electronic noise.
- **Population variance**: The expectation value of the squared difference between a random variable and the population mean. It is also the second central moment.
- **Repeatability**: Repeatability concerns measurement precision under identical testing conditions.
- **Reproducibility**: Reproducibility concerns measurement precision under varying testing conditions, *e.g.* scanner, location, operator, or institution.

Sample variance: The estimation of population variance from a sample of finite size.

- Signal-to-noise ratio (SNR): The ratio of average signal intensity in the foreground to background noise.
- Standard deviation: The square root of the variance.
- **Uncertainty**: The absence of information or knowledge of a random variable. It is quantified by entropy.

Appendix B

Mathematical Symbols

Herein is a list of symbols used in derivations and model descriptions throughout this work.

 α Flip angle or excitation angle.

 η General tissue properties of the image subject. In the case of quantitative MRI in brain, this is usually a vector of M0, T1, and T2 values, *i.e.* $\eta(x) = [M_0(x), T_1(x), T_2(x)].$

 \mathcal{F} Discrete Fourier transform.

 \mathcal{G} Signal model operator. In this work, it is often shown as $\mathcal{G}_{\mu}(\eta)$, which denotes the signal model with acquisition parameters, μ , operating on physical tissue properties of the image subject, η , to create signal values z.

μ	General acquisition parameters. These are used in the optimiza-
	tion of acquisition parameters. For example, if the signal model is
	a spoiled GRE sequence, one subsampling parameter may be flip
	angle. If the signal model is 3D-QALAS, μ may be a vector of five
	delay times which partly define acquisition spacing.
Ω	Image subject domain. The n^{th} tissue label of the image subject
	is described by $\boldsymbol{x} \in \Omega_n$.
σ	Standard deviation.
Σ	Covariance matrix.
heta	General subsampling parameters. These are used if optimizing the
	$k\mbox{-space}$ subsampling trajectory. For example, if variable density
	Poisson disc subsampling is used, one subsampling parameter may
	be acceleration of variable spacing in the x -direction, and another
	may be the same in the y -direction.
I(X;Y)	Mutual information between random variable \boldsymbol{X} and random variable
	able Y .
$N\left(oldsymbol{x} oldsymbol{m},\Sigma ight)$	Multivariate normal probability density function of \boldsymbol{x} with mean
	\boldsymbol{m} and covariance Σ .

R_1	Longitudinal relaxation rate. This is the inverse of T_1 .
R_2	Transverse relaxation rate. This is the inverse of T_2 .
R_2^*	Effective transverse relaxation rate. This is the inverse of T_2^* .
T_1	Longitudinal relaxation time.
T_2	Transverse relaxation time.
T_2^*	Effective transverse relaxation time.
x	Position in image space.
z	Signal value. This is complex valued in k -space for 3D-QALAS.

Appendix C

Mathematical Derivations

This appendix contains mathematical derivations omitted from the main body for brevity and clarity. Derivations are organized into appropriate sections and referenced in the main text where relevant.

C.1 Chapter 3 Derivations

C.1.1 Mutual Information

Derivation of relationship to entropy:

$$\begin{split} I(X;Y) &= \int_{y} \int_{x} p(x,y) \log \left(\frac{p(x,y)}{p(x)p(y)} \right) dx dy \\ &= \int_{y} \int_{x} p(x,y) \log \frac{p(x,y)}{p(x)} dx dy - \int_{y} \int_{x} p(x,y) \log p(y) dx dy \\ &= \int_{y} \int_{x} p(x,y) \log p(y|x) dx dy - \int_{y} p(y) \log p(y) dy \\ &= H(Y) - H(Y|X) \end{split}$$
(C.1)

Symmetric case:

$$\begin{split} I(X;Y) &= \int_{y} \int_{x} p(x,y) \log \left(\frac{p(x,y)}{p(x)p(y)} \right) dx dy \\ &= \int_{y} \int_{x} p(x,y) \log \frac{p(x,y)}{p(y)} dx dy - \int_{y} \int_{x} p(x,y) \log p(x) dx dy \\ &= \int_{y} \int_{x} p(x,y) \log p(x|y) dx dy - \int_{x} p(x) \log p(x) dx \\ &= H(X) - H(X|Y) \end{split}$$
(C.2)

C.1.2 Joint Mutual Information

Derivation of relationship to entropy:

$$\begin{split} I(X;Y,Z) &= \int_{z} \int_{y} \int_{x} p(x,y,z) \log \left(\frac{p(x,y,z)}{p(x)p(y,z)} \right) dx dy dz \\ &= \int_{z} \int_{y} \int_{x} p(x,y,z) \log \frac{p(x,y,z)}{p(x)} dx dy dz - \int_{z} \int_{y} \int_{x} p(x,y,z) \log p(y,z) dx dy dz \\ &= \int_{z} \int_{y} \int_{x} p(x,y,z) \log p(y,z|x) dx dy dz - \int_{z} \int_{y} p(y,z) \log p(y,z) dy dz \\ &= H(Y,Z) - H(Y,Z|X) \end{split}$$
(C.3)

Symmetric case:

$$\begin{split} I(X;Y,Z) &= \int_z \int_y \int_x p(x,y,z) \log \left(\frac{p(x,y,z)}{p(x)p(y,z)}\right) dx dy dz \\ &= \int_z \int_y \int_x p(x,y,z) \log \frac{p(x,y,z)}{p(y,z)} dx dy dz - \int_z \int_y \int_x p(x,y,z) \log p(x) dx dy dz \\ &= \int_z \int_y \int_x p(x,y,z) \log p(x|y,z) dx dy dz - \int_x p(x) \log p(x) dx \\ &= H(X) - H(X|Y,Z) \end{split}$$

(C.4)

C.1.3 Conditional Mutual Information

Derivation of relationship to entropy:

$$\begin{split} I(X;Y|Z) &= \int_{y} \int_{x} p(x,y|z) \log \left(\frac{p(x,y|z)}{p(x|z)p(y|z)} \right) dxdy \\ &= \int_{y} \int_{x} p(x,y|z) \log \frac{p(x,y|z)}{p(x|z)} dxdy - \int_{y} \int_{x} p(x,y|z) \log p(y|z) dxdy \\ &= \int_{y} \int_{x} p(x,y|z) \log p(y|x,z) dxdy - \int_{y} p(y|z) \log p(y|z) dy \\ &= H(Y|Z) - H(Y|X,Z) \end{split}$$
(C.5)

Symmetric case:

$$\begin{split} I(X;Y|Z) &= \int_{y} \int_{x} p(x,y|z) \log \left(\frac{p(x,y|z)}{p(x|z)p(y|z)} \right) dxdy \\ &= \int_{y} \int_{x} p(x,y|z) \log \frac{p(x,y|z)}{p(y|z)} dxdy - \int_{y} \int_{x} p(x,y|z) \log p(x|z) dxdy \\ &= \int_{y} \int_{x} p(x,y|z) \log p(x|y,z) dxdy - \int_{x} p(x|z) \log p(x|z) dx \\ &= H(X|Z) - H(X|Y,Z) \end{split}$$
(C.6)

C.1.4 Conditional Mutual Information Relationships

Conditional mutual information relationships derived for three measurements, z_1 , z_2 , and z_3 , and parametric maps $\eta = [M0, T1, T2]$:

$$I(\eta; z_1) = H(\eta) - H(\eta | z_1)$$

$$I(\eta; z_2 | z_1) = H(\eta | z_1) - H(\eta | z_2, z_1)$$

$$I(\eta; z_3 | z_2, z_1) = H(\eta | z_2, z_1) - H(\eta | z_3, z_2, z_1)$$
(C.7)

$$I(\eta; z_3 | z_2, z_1) = H(\eta | z_2, z_1) - H(\eta | z_3, z_2, z_1)$$

= $H(\eta | z_1) - I(\eta; z_2 | z_1) - H(\eta | z_3, z_2, z_1)$
= $H(\eta) - I(\eta; z_1) - I(\eta; z_2 | z_1) - H(\eta | z_3, z_2, z_1)$ (C.8)

$$I(\eta; z_3 | z_2, z_1) = I(\eta; z_3, z_2, z_1) - I(\eta; z_1) - I(\eta; z_2 | z_1)$$

= $I(\eta; z_3, z_2, z_1) - I(\eta; z_2) - I(\eta; z_1 | z_2)$ (C.9)
= $I(\eta; z_3, z_2, z_1) - I(\eta; z_2, z_1)$

Generalized mutual information relationships for a new measurement, \boldsymbol{z} , given N previous measurements, $\boldsymbol{d}_1, \ldots, \boldsymbol{d}_N$:

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = H(\boldsymbol{\eta} | \boldsymbol{d}) - H(\boldsymbol{\eta} | \boldsymbol{z}, \boldsymbol{d})$$
(C.10)

$$I(\boldsymbol{\eta};\boldsymbol{z}|\boldsymbol{d}) = H(\boldsymbol{\eta}) - I(\boldsymbol{\eta};\boldsymbol{d}_1) - \sum_{i=2}^{N} I(\boldsymbol{\eta};\boldsymbol{d}_i|\boldsymbol{d}_{i-1},\ldots,\boldsymbol{d}_1) - H(\boldsymbol{\eta};\boldsymbol{z},\boldsymbol{d})$$
(C.11)

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = I(\boldsymbol{\eta}; \boldsymbol{z}, \boldsymbol{d}) - I(\boldsymbol{\eta}; \boldsymbol{d}_1) - \sum_{i=2}^{N} I(\boldsymbol{\eta}; \boldsymbol{d}_i | \boldsymbol{d}_{i-1}, \dots, \boldsymbol{d}_1)$$
(C.12)

$$I(\boldsymbol{\eta}; \boldsymbol{z}|\boldsymbol{d}) = I(\boldsymbol{\eta}; \boldsymbol{z}, \boldsymbol{d}) - I(\boldsymbol{\eta}; \boldsymbol{d})$$
(C.13)

C.2 Chapter 4 and 5 Derivations

C.2.1 Linear Approximation

The distribution p(z) is assumed to be normal.

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln\left((2\pi e)^2 \cdot |\boldsymbol{\Sigma}_z|\right) - \frac{1}{2} \ln\left((2\pi e)^2 \cdot |\boldsymbol{\Sigma}_\nu|\right)$$
(C.14)

Recall conditional entropy $H(\boldsymbol{z}|\boldsymbol{\eta})$ from the problem definition:

$$|\Sigma_{\nu}| = \prod_{i=1}^{D} \sigma_{\nu,i}^2. \tag{C.15}$$

The covariance matrix of the evidence is defined as

$$\Sigma_{\boldsymbol{z}} = \operatorname{cov}[\boldsymbol{z}, \boldsymbol{z}] = E\left[(\boldsymbol{z} - m_{\boldsymbol{z}})(\boldsymbol{z} - m_{\boldsymbol{z}})^{T}\right] = E\left[\boldsymbol{z}\boldsymbol{z}^{T}\right] - m_{\boldsymbol{z}}m_{\boldsymbol{z}}^{T}, \quad (C.16)$$

or, in matrix form,

$$\Sigma_{z} = \begin{bmatrix} E[z_{1}z_{1}] - m_{z_{1}}m_{z_{1}} & E[z_{1}z_{2}] - m_{z_{1}}m_{z_{2}} & \dots & E[z_{1}z_{n}] - m_{z_{1}}m_{z_{n}} \\ E[z_{2}z_{1}] - m_{z_{2}}m_{z_{1}} & E[z_{2}z_{2}] - m_{z_{2}}m_{z_{2}} & \dots & E[z_{2}z_{n}] - m_{z_{2}}m_{z_{n}} \\ \vdots & \vdots & \ddots & \vdots \\ E[z_{n}z_{1}] - m_{z_{n}}m_{z_{1}} & E[z_{n}z_{2}] - m_{z_{n}}m_{z_{2}} & \dots & E[z_{n}z_{n}] - m_{z_{n}}m_{z_{n}} \end{bmatrix}. \quad (C.17)$$

The mean of \boldsymbol{z} can be computed with Gauss-Hermite quadrature.

$$m_{z} = E[z] = \int zp(z)dz$$

$$= \int z \left(\int p(z|\eta)p(\eta)d\eta\right)dz$$

$$= \int z \left(\int p(z|\eta)N(\eta|m_{\eta}, \Sigma_{\eta})d\eta\right)dz$$

$$= \int z \left(\sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}p(z|\eta_{q})\right)dz$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}\int zp(z|\eta_{q})dz$$
(C.18)
$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}\int zN(z|\mathcal{G}_{\mu}(\eta_{q}), \Sigma_{\nu})$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}\sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}}z_{q,k}$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}\sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}x_{k} + \mathcal{G}_{\mu}(\eta_{q})\right)$$

$$\boldsymbol{\eta}_q = [\eta_{q_1}, \dots, \eta_{q_N}] = \sqrt{2} \Sigma_\eta \boldsymbol{x}_q + \boldsymbol{m}_\eta \tag{C.19}$$

$$\boldsymbol{z}_{q,k} = \sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\boldsymbol{\mu}}\left(\boldsymbol{\eta}_{q}\right) \tag{C.20}$$

Likewise for the term $E[\boldsymbol{z}\boldsymbol{z}^T]$:

$$E[\mathbf{z}\mathbf{z}^{T}] = \int \mathbf{z}\mathbf{z}^{T}p(\mathbf{z})d\mathbf{z}$$

$$= \int \mathbf{z}\mathbf{z}^{T} \left(\int p(\mathbf{z}|\boldsymbol{\eta})p(\boldsymbol{\eta})d\boldsymbol{\eta}\right)d\mathbf{z}$$

$$= \int \mathbf{z}\mathbf{z}^{T} \left(\int p(\mathbf{z}|\boldsymbol{\eta})N(\boldsymbol{\eta}|\boldsymbol{m}_{\eta},\boldsymbol{\Sigma}_{\eta})d\boldsymbol{\eta}\right)d\mathbf{z}$$

$$= \int \mathbf{z}\mathbf{z}^{T} \left(\sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}}p(\mathbf{z}|\boldsymbol{\eta}_{q})\right)d\mathbf{z}$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \int \mathbf{z}\mathbf{z}^{T}p(\mathbf{z}|\boldsymbol{\eta}_{q})d\mathbf{z}$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \int \mathbf{z}\mathbf{z}^{T}N(\mathbf{z}|\mathcal{G}\boldsymbol{\mu}(\boldsymbol{\eta}_{q}),\boldsymbol{\Sigma}_{\nu})$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}}\mathbf{z}_{q,k}\mathbf{z}_{q,k}^{T}$$

$$= \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}}$$

$$\cdot \left(\sqrt{2}\Sigma_{\nu}\mathbf{x}_{k} + \mathcal{G}_{\mu}(\boldsymbol{\eta}_{q})\right) \left(\sqrt{2}\Sigma_{\nu}\mathbf{x}_{k} + \mathcal{G}_{\mu}(\boldsymbol{\eta}_{q})\right)^{T}.$$

Thus, the quadrature approximation of the covariance matrix of the evidence Σ_z is

$$\Sigma_{z} = \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\mu}\left(\boldsymbol{\eta}_{q}\right)\right) \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\mu}\left(\boldsymbol{\eta}_{q}\right)\right)^{T} - \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\mu}\left(\boldsymbol{\eta}_{q}\right)\right) \\ \cdot \left[\sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \dots \sum_{k_{N}=1}^{K_{N}} \omega_{k_{N}} \left(\sqrt{2}\Sigma_{\nu}\boldsymbol{x}_{k} + \mathcal{G}_{\mu}\left(\boldsymbol{\eta}_{q}\right)\right)\right]^{T}.$$
(C.22)

Approximation of mutual information is straightforward once the covariance matrix Σ_z is known.

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln\left((2\pi e)^D \cdot |\boldsymbol{\Sigma}_z|\right) - \frac{1}{2} \ln\left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2\right)$$
(C.23)

For a scalar-valued measurement z, Equations C.18, C.21, and C.23 simplify to the following:

$$m_{z} = \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \left(\sqrt{2} \Sigma_{\nu} x_{k} + \mathcal{G}_{\mu} \left(\boldsymbol{\eta}_{q} \right) \right)$$
(C.24)

$$E[z^{2}] = \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \dots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1}=1}^{K_{1}} \omega_{k_{1}} \left(\sqrt{2}\Sigma_{\nu}x_{k} + \mathcal{G}_{\mu}\left(\boldsymbol{\eta}_{q}\right)\right)^{2}$$
(C.25)

$$I(\boldsymbol{\eta}; z) = \frac{1}{2} \ln \left\{ 2\pi e \left[\sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \left(\sqrt{2} \Sigma_{\nu} x_k + \mathcal{G}_{\mu} \left(\boldsymbol{\eta}_q \right) \right)^2 - \left(\sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \left(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\mu} \left(\boldsymbol{\eta}_q \right) \right) \right)^2 \right] \right\} \quad (C.26)$$
$$- \frac{1}{2} \ln \left(2\pi e \sigma_{\nu}^2 \right)$$

C.2.2 Nonlinear Computation

The signal model z is a nonlinear function of parameters η .

$$\boldsymbol{z} = \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x})) + \boldsymbol{\nu} \tag{C.27}$$

The conditional probability $p(\boldsymbol{z}|\boldsymbol{\eta})$ is defined as a multivariate normal distribution $N(\boldsymbol{\xi}|\boldsymbol{\mu}, \boldsymbol{\Sigma})$, a function of $\boldsymbol{\xi}$ with mean $\boldsymbol{\mu}$ and covariance $\boldsymbol{\Sigma}$, in the problem statement.

$$p(\boldsymbol{z}|\boldsymbol{\eta}) = N(\boldsymbol{z}|\mathcal{G}_{\boldsymbol{\eta}}(\boldsymbol{\eta}(\boldsymbol{x})), \Sigma_{\nu})$$
(C.28)

Similarly, the probability $p(\eta)$ is defined as another multivariate normal distribution in the problem statement.

$$p(\boldsymbol{\eta}) = N(\boldsymbol{\eta} | \boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta})$$
(C.29)

It is most straightforward to begin with mutual information defined as the difference in entropies:

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta})$$
(C.30)

The entropy of a multivariate normal distribution is $H(N) = \frac{1}{2} \ln \left((2\pi e)^D \det(\Sigma) \right)$, so the term $H(\boldsymbol{z}|\boldsymbol{\eta})$ can be immediately written

$$H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2 \right).$$
(C.31)

The term H(z), however, must be approximated through multiple applications of Gauss-Hermite quadrature. This approximation is possible because H(z) may be represented in terms of distributions defined to be normal in the problem statement:

$$H(\boldsymbol{z}) = \int p(\boldsymbol{z}) \ln (p(\boldsymbol{z})) d\boldsymbol{z}$$

= $\int \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \cdot \ln \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) d\boldsymbol{z}$
= $\int \left(\int N \left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\nu} \right) N \left(\boldsymbol{\eta} | \boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}} \right) d\boldsymbol{\eta} \right)$
 $\cdot \ln \left(\int N \left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}), \Sigma_{\nu} \right) N \left(\boldsymbol{\eta} | \boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}} \right) d\boldsymbol{\eta} \right) d\boldsymbol{z}.$ (C.32)

The first quadrature iteration is performed by making the substitution $\eta = \sqrt{2}\Sigma_{\eta} x + m_{\eta}$.

$$H(\boldsymbol{z}) = \int p(\boldsymbol{z}) \ln (p(\boldsymbol{z})) d\boldsymbol{z}$$

= $\int \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \ln (p(\boldsymbol{z})) d\boldsymbol{z}$
= $\int \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) N(\boldsymbol{\eta}|\boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta}) d\boldsymbol{\eta} \right) \cdot \ln (p(\boldsymbol{z})) d\boldsymbol{z}$
 $\approx \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p(\boldsymbol{z}|\boldsymbol{\eta}_q) \right] \cdot \ln (p(\boldsymbol{z})) d\boldsymbol{z}$ (C.33)

The resulting quadrature points are defined $\eta_q = [\eta_{q_1}, \dots, \eta_{q_N}] = \sqrt{2}\Sigma_\eta x_q + m_\eta$, where $x_q = [x_{q_1}, \dots, x_{q_N}]$ is the combination of roots of the physicists' Hermite polynomial H_n corresponding to the indices q_1 through q_N . The second quadrature iteration is performed almost identically and approximates the integral inside the natural logarithm by making the substitution $\eta = \sqrt{2}\Sigma_\eta x + m_\eta$.

$$H(\boldsymbol{z}) = \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p\left(\boldsymbol{z}|\boldsymbol{\eta}_q\right) \right] \cdot \ln\left(p(\boldsymbol{z})\right) d\boldsymbol{z}$$

$$= \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p\left(\boldsymbol{z}|\boldsymbol{\eta}_q\right) \right] \cdot \ln\left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta}\right) d\boldsymbol{z}$$

$$= \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p\left(\boldsymbol{z}|\boldsymbol{\eta}_q\right) \right] \cdot \ln\left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) N\left(\boldsymbol{\eta}|\boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta}\right) d\boldsymbol{\eta}\right) d\boldsymbol{z}$$

$$= \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p\left(\boldsymbol{z}|\boldsymbol{\eta}_q\right) \right]$$

$$\cdot \ln\left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot p\left(\boldsymbol{z}|\boldsymbol{\eta}_s\right) \right) d\boldsymbol{z}$$

(C.34)

The resulting quadrature points are defined $\boldsymbol{\eta}_s = [\eta_{s_1}, \dots, \eta_{s_N}] = \sqrt{2} \Sigma_{\eta} \boldsymbol{x}_s + \boldsymbol{m}_{\eta}$. The third quadrature iteration is performed by making the substitution $\boldsymbol{z} = \sqrt{2} \Sigma_{\nu} \boldsymbol{x} + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta})$.

$$H(\boldsymbol{z}) = \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} p\left(\boldsymbol{z} | \boldsymbol{\eta}_q \right) \right] \\ \cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot p\left(\boldsymbol{z} | \boldsymbol{\eta}_s \right) \right) d\boldsymbol{z} \\ = \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \\ \cdot \int N\left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q), \boldsymbol{\Sigma}_{\boldsymbol{\nu}} \right) \cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\boldsymbol{z} | \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \boldsymbol{\Sigma}_{\boldsymbol{\nu}} \right) \right) d\boldsymbol{z} \\ = \pi^{-N/2-P/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \dots \sum_{k_P=1}^{K_P} \omega_{k_P} \\ \cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\left(\sqrt{2}\boldsymbol{\Sigma}_{\boldsymbol{\nu}} \boldsymbol{x}_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q) \right) \Big| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \boldsymbol{\Sigma}_{\boldsymbol{\nu}} \right) \right)$$
(C.35)

Thus, the computation of mutual information takes the following form:

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta})$$

$$= \pi^{-N/2 - P/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \dots \sum_{k_P=1}^{K_P} \omega_{k_P}$$

$$\cdot \ln \left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\left(\sqrt{2}\Sigma_{\nu} \boldsymbol{x}_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q) \right) \middle| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \Sigma_{\nu} \right) \right)$$

$$- \frac{1}{2} \ln \left((2\pi e)^D \prod_{i=1}^D \sigma_{\nu,i}^2 \right).$$
(C.36)

For a scalar-valued measurement z, Equation C.36 reduces to

$$I(\boldsymbol{\eta}; z) = H(z) - H(z|\boldsymbol{\eta}) = \pi^{-N/2 - 1/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \dots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_1=1}^{K_1} \omega_{k_1} \cdot \ln\left(\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \dots \sum_{s_N=1}^{S_N} \omega_{s_N} \cdot N\left(\left(\sqrt{2}\sigma_{\nu}x_k + \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_q)\right) \middle| \mathcal{G}_{\boldsymbol{\mu}}(\boldsymbol{\eta}_s), \sigma_{\nu}\right)\right) - \frac{1}{2} \ln\left(2\pi e \sigma_{\nu}^2\right).$$
(C.37)

C.3 Chapter 6 Derivations

C.3.1 Conditional Mutual Information for Jointly Gaussian Measurements

The signal model z is a nonlinear function of parameters η .

$$\boldsymbol{z}(\boldsymbol{\eta}, \boldsymbol{\theta}, \boldsymbol{k}) = S(\boldsymbol{\theta}, \boldsymbol{k}) \odot \mathcal{FQ}(\boldsymbol{\eta}, \boldsymbol{k}) + \nu(\boldsymbol{k})$$
(C.38)

The conditional probability $p(\boldsymbol{z}|\boldsymbol{\eta})$ is defined as a multivariate normal distribution $N(\boldsymbol{\xi}|\boldsymbol{\mu}, \boldsymbol{\Sigma})$, a function of $\boldsymbol{\xi}$ with mean $\boldsymbol{\mu}$ and covariance $\boldsymbol{\Sigma}$, in the problem statement.

$$p(\boldsymbol{z}(\boldsymbol{\eta}, \boldsymbol{\theta}, \boldsymbol{k}) | \boldsymbol{\eta}(\boldsymbol{x})) = N(\boldsymbol{z}(\boldsymbol{\eta}, \boldsymbol{\theta}, \boldsymbol{k}) | S(\boldsymbol{\theta}, \boldsymbol{k}) \odot \mathcal{FQ}(\boldsymbol{\eta}, \boldsymbol{k}), \Sigma_{\nu}) \sim \mathcal{N}(S(\boldsymbol{\theta}, \boldsymbol{k}) \odot \mathcal{FQ}(\boldsymbol{\eta}, \boldsymbol{k}), \Sigma_{\nu})$$
(C.39)

Similarly, the probability $p(\eta)$ is defined as another multivariate normal distribution in the problem statement.

$$p(\boldsymbol{\eta}(\boldsymbol{x})) = N(\boldsymbol{\eta}(\boldsymbol{x})|\boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta}) \sim \mathcal{N}(\boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta})$$
(C.40)

The derivation begins with mutual information defined as the difference in entropies:

$$I(\boldsymbol{\eta}; \boldsymbol{z}) = H(\boldsymbol{z}) - H(\boldsymbol{z}|\boldsymbol{\eta}) = H(\boldsymbol{z}) - \frac{1}{2} \ln \left((2\pi e)^{N_{\text{meas}}} \sigma_{\nu,r}^{2N_{\text{meas}}} \sigma_{\nu,i}^{2N_{\text{meas}}} \right).$$
(C.41)

The entropy of a multivariate normal distribution is $H = \frac{1}{2} \ln ((2\pi e)^N \det(\Sigma))$, so the term $H(\boldsymbol{z}|\boldsymbol{\eta})$ can be immediately written

$$H(\boldsymbol{z}|\boldsymbol{\eta}) = \frac{1}{2} \ln \left((2\pi e)^{N_{\text{meas}}} \sigma_{\nu,r}^{2N_{\text{meas}}} \sigma_{\nu,i}^{2N_{\text{meas}}} \right).$$
(C.42)

The term H(z), however, must be approximated through multiple applications of Gauss-Hermite quadrature. This approximation is possible because H(z) may be represented in terms of distributions defined to be normal in the problem statement:

$$H(\boldsymbol{z}) = \int p(\boldsymbol{z}) \ln (p(\boldsymbol{z})) d\boldsymbol{z}$$

= $\int \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \cdot \ln \left(\int p(\boldsymbol{z}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) d\boldsymbol{z}$
= $\int \left(\int f (\boldsymbol{z}|S \odot \mathcal{FQ}(\boldsymbol{\eta}), \Sigma_{\nu}) f (\boldsymbol{\eta}|\boldsymbol{m}_{\eta}, \Sigma_{\eta}) d\boldsymbol{\eta} \right)$
 $\cdot \ln \left(\int f (\boldsymbol{z}|S \odot \mathcal{FQ}(\boldsymbol{\eta}), \Sigma_{\nu}) f (\boldsymbol{\eta}|\boldsymbol{m}_{\eta}, \Sigma_{\eta}) d\boldsymbol{\eta} \right) d\boldsymbol{z}.$ (C.43)

The first quadrature iteration is performed by making the substitution $\eta = \sqrt{2}\Sigma_{\eta} x + m_{\eta}$.

$$H(\boldsymbol{d}) = \int p(\boldsymbol{d}) \ln (p(\boldsymbol{d})) d\boldsymbol{d} = \int \left(\int p(\boldsymbol{d}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \ln (p(\boldsymbol{d})) d\boldsymbol{d}$$
$$= \int \left(\int p(\boldsymbol{d}|\boldsymbol{\eta}) f(\boldsymbol{\eta}|m_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}}) d\boldsymbol{\eta} \right) \cdot \ln (p(\boldsymbol{d})) d\boldsymbol{d}$$
$$\approx \int \left[\pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} p(\boldsymbol{d}|\boldsymbol{\eta}_q) \right] \cdot \ln (p(\boldsymbol{d})) d\boldsymbol{d}$$
(C.44)
$$\eta_q = \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_q + \boldsymbol{m}_{\boldsymbol{\eta}}$$

The resulting quadrature points are defined $\boldsymbol{\eta}_q = [\eta_{q_1}, \dots, \eta_{q_N}] = \sqrt{2}\Sigma_{\eta}\boldsymbol{x}_q + \boldsymbol{m}_{\eta}$, where $\boldsymbol{x}_q = [x_{q_1}, \dots, x_{q_N}]$ is the combination of roots of the physicists' Hermite polynomial H_n corresponding to the indices q_1 through q_N . The second quadrature iteration is performed similarly by making the substitution $\boldsymbol{z}_q = \sqrt{2}\Sigma_{\nu}\boldsymbol{x} + S \odot \mathcal{FQ}(\boldsymbol{\eta}_q)$.

$$H(d) = \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \int p(d|\boldsymbol{\eta}_q) \cdot \ln(p(d)) dd$$

$$= \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \int f(d|S \odot \mathcal{Q}(\boldsymbol{\eta}_q), \boldsymbol{\Sigma}_{\nu}) \cdot \ln(p(d)) dd$$

$$\approx \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N}$$

$$\cdot \left[\pi^{-P} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \cdot \ln(p(d_k)) \right]$$

$$\mathbf{d}_k = \sqrt{2} \boldsymbol{\Sigma}_{\nu} \mathbf{x}_k + S \odot \mathcal{Q}(\boldsymbol{\eta}_q)$$
(C.45)

The resulting quadrature points are now $\mathbf{z}_{q,k} = \sqrt{2}\Sigma_{\nu}\mathbf{x}_k + S \odot \mathcal{FQ}(\boldsymbol{\eta}_q)$. The second term is evaluated for the quadrature point $\boldsymbol{\eta}_q$ before a second combination of roots of H_n (assuming *n* quadrature points are also used for this step) are computed for indices $k_{1,r}$ through $k_{P,r}$ and $k_{1,i}$ through $k_{P,i}$. The third quadrature iteration approximates the integral inside the natural logarithm by making the substitution $\eta = \sqrt{2}\Sigma_{\eta} x + m_{\eta}$ again.

$$\begin{split} H(\mathbf{d}) &= \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\int p(\mathbf{d}_k | \boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right] \\ &= \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\int p(\mathbf{d}_k | \boldsymbol{\eta}) f(\boldsymbol{\eta} | \boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta}) d\boldsymbol{\eta} \right] \\ &\approx \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \cdots \sum_{s_N=1}^{S_N} \omega_{s_N} p(\mathbf{d}_k | \boldsymbol{\eta}_s) \right] \\ &= \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \cdots \sum_{s_N=1}^{S_N} \omega_{s_N} \prod_{p=1}^{P} p(\mathbf{d}_{k_{p,r}} | \boldsymbol{\eta}_s) p(\mathbf{d}_{k_{p,i}} | \boldsymbol{\eta}_s) \right] \\ & \eta_s = \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_s + \boldsymbol{m}_{\boldsymbol{\eta}} \end{split}$$

The third set of quadrature points are $\eta_s = \sqrt{2}\Sigma_\eta x_s + m_\eta$. The probability distribution $p(\boldsymbol{z}|\boldsymbol{\eta})$ is normal and can be evaluated at each quadrature point.

$$p\left(\boldsymbol{z}_{q,k_{p,r}} \middle| \boldsymbol{\eta}_{s}\right) = N\left(\boldsymbol{z}_{q,k_{p,r}} \middle| S(\boldsymbol{\theta}) \odot \mathcal{FQ}(\boldsymbol{\eta}_{s}), \boldsymbol{\Sigma}_{\nu}\right)$$
$$= N\left(\sqrt{2}\boldsymbol{\Sigma}_{\nu}\boldsymbol{x}_{k_{p,r}} + \Re\left(S(\boldsymbol{\theta}) \odot \mathcal{FQ}\left(\sqrt{2}\boldsymbol{\Sigma}_{\eta}\boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}\right)\right) \left|S(\boldsymbol{\theta}) \odot \mathcal{FQ}\left(\sqrt{2}\boldsymbol{\Sigma}_{\eta}\boldsymbol{x}_{s} + \boldsymbol{m}_{\eta}\right), \boldsymbol{\Sigma}_{\nu}\right)$$
(C.47)

(C.46)

$$p\left(\boldsymbol{z}_{q,k_{p,i}} \middle| \boldsymbol{\eta}_{s}\right) = N\left(\boldsymbol{z}_{q,k_{p,i}} \middle| S(\boldsymbol{\theta}) \odot \mathcal{FQ}(\boldsymbol{\eta}_{s}), \boldsymbol{\Sigma}_{\nu}\right)$$
$$= N\left(\sqrt{2}\boldsymbol{\Sigma}_{\nu}\boldsymbol{x}_{k_{p,i}} + \Im\left(S(\boldsymbol{\theta}) \odot \mathcal{FQ}\left(\sqrt{2}\boldsymbol{\Sigma}_{\eta}\boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}\right)\right) \left(\boldsymbol{C}.48\right)$$
$$\left|S(\boldsymbol{\theta}) \odot \mathcal{FQ}\left(\sqrt{2}\boldsymbol{\Sigma}_{\eta}\boldsymbol{x}_{s} + \boldsymbol{m}_{\eta}\right), \boldsymbol{\Sigma}_{\nu}\right)$$

The entropy approximation is then

$$H(\boldsymbol{d}) \approx \pi^{-N/2-P} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}}$$

$$\cdot \ln \left[\pi^{-N/2} \sum_{s_1=1}^{S_1} \omega_{s_1} \cdots \sum_{s_N=1}^{S_N} \omega_{s_N} \right]$$

$$\cdot \prod_{p=1}^{P} p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,r}} + S_{\boldsymbol{\theta}_1} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_1} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_q + \boldsymbol{m}_{\boldsymbol{\eta}}) | \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_s + \boldsymbol{m}_{\boldsymbol{\eta}})$$

$$\cdot p(\sqrt{2} \Sigma_{\nu} \boldsymbol{x}_{k_{p,i}} + S_{\boldsymbol{\theta}_1} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_1} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_q + \boldsymbol{m}_{\boldsymbol{\eta}}) | \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_s + \boldsymbol{m}_{\boldsymbol{\eta}}) \right].$$
(C.49)

Entropy of the joint distribution is approximated by the same process.

$$H(\boldsymbol{z},\boldsymbol{d}) = \int \int p(\boldsymbol{z},\boldsymbol{d}) \ln (p(\boldsymbol{z},\boldsymbol{d})) d\boldsymbol{z} d\boldsymbol{d}$$

$$\approx \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \cdot \sum_{j_{1,r}=1}^{J_{1,r}} \omega_{j_{1,r}} \sum_{j_{1,i}=1}^{J_{1,i}} \omega_{j_{1,i}} \cdots \sum_{j_{P,r}=1}^{J_{P,r}} \omega_{j_{P,r}} \sum_{j_{P,i}=1}^{J_{P,i}} \omega_{j_{P,i}}$$

$$\cdot \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \cdot \ln \left\{ \pi^{-N/2} \sum_{s_{1}=1}^{S_{1}} \omega_{s_{1}} \cdots \sum_{s_{N}=1}^{S_{N}} \omega_{s_{N}} \right\}$$

$$\cdot \prod_{p=1}^{P} p \left(\sqrt{2} \Sigma_{\nu} \begin{bmatrix} \boldsymbol{x}_{j_{p,r}} \\ \boldsymbol{x}_{k_{p,r}} \end{bmatrix} + \begin{bmatrix} S_{\boldsymbol{\theta}_{2}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{2}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \\ S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \end{bmatrix} \right| \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\eta} \right)$$

$$\cdot p \left(\sqrt{2} \Sigma_{\nu} \begin{bmatrix} \boldsymbol{x}_{j_{p,i}} \\ \boldsymbol{x}_{k_{p,i}} \end{bmatrix} + \begin{bmatrix} S_{\boldsymbol{\theta}_{2}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{2}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \\ S_{\boldsymbol{\theta}_{1}} \odot \mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_{1}} (\sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}) \end{bmatrix} \right| \sqrt{2} \Sigma_{\boldsymbol{\eta}} \boldsymbol{x}_{s} + \boldsymbol{m}_{\eta} \right) \right\}$$

$$(C.50)$$

Thus, the computation of mutual information takes the following form:

$$I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = H(\boldsymbol{\eta} | \boldsymbol{d}) - H(\boldsymbol{\eta} | \boldsymbol{z}, \boldsymbol{d}) = H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{z}, \boldsymbol{d} | \boldsymbol{\eta}) - (H(\boldsymbol{d}) - H(\boldsymbol{d} | \boldsymbol{\eta}))$$

= $H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{d}) + \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2N_{acq,d}} \right) - \frac{1}{2} \ln \left((2\pi e)^2 \sigma_{\nu}^{2(N_{acq,d} + N_{acq,z})} \right)$
= $H(\boldsymbol{z}, \boldsymbol{d}) - H(\boldsymbol{d}) + \frac{1}{2} \ln (\sigma_{\nu}^{-2N_{acq,z}}).$ (C.51)

C.3.2 Joint Mutual Information for Independent Subsampling Masks

For measurements with independent subsampling masks, $\boldsymbol{\xi}_1$ and $\boldsymbol{\xi}_2$, it is shown below that the mutual information between the image subject parameters and the joint distribution of measurements is equal to the sum of the mutual information between the image subject parameters and each of the marginal measurement distributions.

$$\begin{split} I(\eta; \xi_{1}, \xi_{2}) &= \int_{\eta} \int_{\xi_{1}} \int_{\xi_{2}} p(\eta, \xi_{1}, \xi_{2}) \log \left(\frac{p(\eta, \xi_{1}, \xi_{2})}{p(\eta)p(\xi_{1}, \xi_{2})} \right) d\eta d\xi_{1} d\xi_{2} \\ &= \int_{\eta} \int_{\xi_{1}} \int_{\xi_{2}} p(\xi_{1}, \xi_{2} | \eta) p(\eta) \log \left(\frac{p(\xi_{1}, \xi_{2} | \eta)}{p(\xi_{1}, \xi_{2})} \right) d\eta d\xi_{1} d\xi_{2} \\ &= \int_{\eta} \int_{\xi_{1}} \int_{\xi_{2}} p(\xi_{1} | \eta) p(\xi_{2} | \eta) p(\eta) \log \left(\frac{p(\xi_{1} | \eta)p(\xi_{2} | \eta)}{p(\xi_{1})p(\xi_{2})} \right) d\eta d\xi_{1} d\xi_{2} \\ &= \int_{\eta} \int_{\xi_{1}} \int_{\xi_{2}} p(\xi_{1} | \eta) p(\xi_{2} | \eta) p(\eta) \log \left(\frac{p(\xi_{1} | \eta)}{p(\xi_{1})} \right) d\eta d\xi_{1} d\xi_{2} \\ &+ \int_{\eta} \int_{\xi_{1}} \int_{\xi_{2}} p(\xi_{1} | \eta) p(\xi_{2} | \eta) p(\eta) \log \left(\frac{p(\xi_{2} | \eta)}{p(\xi_{2})} \right) d\eta d\xi_{1} d\xi_{2} \\ &= \int_{\eta} \int_{\xi_{1}} p(\eta, \xi_{1}) \log \left(\frac{p(\eta, \xi_{1})}{p(\eta)p(\xi_{1})} \right) \left(\int_{\xi_{2}} p(\xi_{2} | \eta) d\xi_{2} \right) d\eta d\xi_{1} \\ &+ \int_{\eta} \int_{\xi_{2}} p(\eta, \xi_{2}) \log \left(\frac{p(\eta, \xi_{2})}{p(\eta)p(\xi_{2})} \right) \left(\int_{\xi_{1}} p(\xi_{1} | \eta) d\xi_{1} \right) d\eta d\xi_{2} \\ &= \int_{\eta} \int_{\xi_{1}} p(\eta, \xi_{1}) \log \left(\frac{p(\eta, \xi_{1})}{p(\eta)p(\xi_{1})} \right) d\eta d\xi_{1} \\ &+ \int_{\eta} \int_{\xi_{2}} p(\eta, \xi_{2}) \log \left(\frac{p(\eta, \xi_{2})}{p(\eta)p(\xi_{2})} \right) d\eta d\xi_{2} \\ &= I(\eta; \xi_{1}) + I(\eta; \xi_{2}) \end{split}$$
(C.52)

C.3.3 Conditional Mutual Information for Independent Subsampling Masks

The entropy of the distribution of measurements with independent subsampling masks, $H(\boldsymbol{\xi})$, is approximated via Gauss-Hermite quadrature as demonstrated previously. The first quadrature approximation is made as follows:

$$H(\boldsymbol{\xi}) = \int p(\boldsymbol{\xi}) \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi} = \int \left(\int p(\boldsymbol{\xi}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right) \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi}$$
$$= \int \left(\int p(\boldsymbol{\xi}|\boldsymbol{\eta}) f(\boldsymbol{\eta}|m_{\eta}, \Sigma_{\eta}) d\boldsymbol{\eta} \right) \cdot \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi}$$
$$\approx \int \left[\pi^{-N/2} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} p(\boldsymbol{\xi}|\boldsymbol{\eta}_{q}) \right] \cdot \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi}$$
$$\eta_{q} = \sqrt{2} \Sigma_{\eta} \boldsymbol{x}_{q} + \boldsymbol{m}_{\eta}$$
(C.53)

The second quadrature approximation is made as follows:

$$H(\boldsymbol{\xi}) = \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \int p(\boldsymbol{\xi}|\boldsymbol{\eta}_q) \cdot \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi}$$

$$= \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N} \int f(\boldsymbol{\xi}|S \odot \mathcal{Q}(\boldsymbol{\eta}_q), \Sigma_{\nu}) \cdot \ln(p(\boldsymbol{\xi})) d\boldsymbol{\xi}$$

$$\approx \pi^{-N/2} \sum_{q_1=1}^{Q_1} \omega_{q_1} \cdots \sum_{q_N=1}^{Q_N} \omega_{q_N}$$

$$\cdot \left[\pi^{-P} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \cdot \ln(p(\boldsymbol{\xi}_k)) \right]$$

 $\boldsymbol{\xi}_k = \sqrt{2} \Sigma_{\nu} \boldsymbol{x}_k + S \odot \boldsymbol{\mathcal{Q}}(\boldsymbol{\eta}_q).$

The final quadrature approximation is made as follows:

$$\begin{split} H(\boldsymbol{\xi}) &= \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\int p(\boldsymbol{\xi}_{k} | \boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta} \right] \\ &= \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\int p(\boldsymbol{\xi}_{k} | \boldsymbol{\eta}) f(\boldsymbol{\eta} | \boldsymbol{m}_{\eta}, \boldsymbol{\Sigma}_{\eta}) d\boldsymbol{\eta} \right] \\ &\approx \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\pi^{-N/2} \sum_{s_{1}=1}^{S_{1}} \omega_{s_{1}} \cdots \sum_{s_{N}=1}^{S_{N}} \omega_{s_{N}} p(\boldsymbol{\xi}_{k} | \boldsymbol{\eta}_{s}) \right] \\ &= \pi^{-N/2-P} \sum_{q_{1}=1}^{Q_{1}} \omega_{q_{1}} \cdots \sum_{q_{N}=1}^{Q_{N}} \omega_{q_{N}} \sum_{k_{1,r}=1}^{K_{1,r}} \omega_{k_{1,r}} \sum_{k_{1,i}=1}^{K_{1,i}} \omega_{k_{1,i}} \cdots \sum_{k_{P,r}=1}^{K_{P,r}} \omega_{k_{P,r}} \sum_{k_{P,i}=1}^{K_{P,i}} \omega_{k_{P,i}} \\ & \cdot \ln \left[\pi^{-N/2} \sum_{s_{1}=1}^{S_{1}} \omega_{s_{1}} \cdots \sum_{s_{N}=1}^{S_{N}} \omega_{s_{N}} \prod_{p=1}^{P} p(\boldsymbol{\xi}_{k_{p,r}} | \boldsymbol{\eta}_{s}) p(\boldsymbol{\xi}_{k_{p,i}} | \boldsymbol{\eta}_{s}) \right] \\ &\boldsymbol{\eta}_{s} = \sqrt{2} \Sigma_{\eta} \boldsymbol{x}_{s} + \boldsymbol{m}_{\eta}. \end{split}$$
(C.55)

C.3.4 Form of the Conditional Probability Distribution

The form of the conditional probability distribution $p(\boldsymbol{z}|\boldsymbol{\eta}, \boldsymbol{d})$ for a second measurement \boldsymbol{z} , conditional on a first measurement \boldsymbol{d} and the parametric maps $\boldsymbol{\eta}$ is of interest. The probability distribution of a set of measurements \boldsymbol{z} with acquisition parameters $\boldsymbol{\mu}$ of an exactly known set of parametric maps $\boldsymbol{\eta}(\boldsymbol{x})$ have previously been defined to be normally distributed about the forward model solution $\mathcal{FQ}_{\boldsymbol{\mu}}(\boldsymbol{\eta}(\boldsymbol{x}))$ with covariance matrix Σ_{ν} representing the uncertainty from machine noise. Making the reasonable assumption that measurements are independent from one another with consistent uncertainty for

each measurement, the covariance matrix becomes diagonal, $\Sigma_{\nu} = \sigma_{\nu}^2 I$. It also follows that a second set of measurements \boldsymbol{z} is independent from the first set of measurements \boldsymbol{d} . Therefore, the distributions $p(\boldsymbol{z}|\boldsymbol{\eta})$, $p(\boldsymbol{d}|\boldsymbol{\eta})$, and $p(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta})$ are given as follows:

$$p(\boldsymbol{z}|\boldsymbol{\eta}) = \prod_{i=1}^{n} p(z_{i}|\boldsymbol{\eta}) \sim \mathcal{N} \left(\begin{bmatrix} z_{1} \\ \vdots \\ z_{n} \end{bmatrix}, \begin{bmatrix} \sigma_{\eta_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & \sigma_{\eta_{N-1}}^{2} & 0 \\ 0 & 0 & \dots & 0 & \sigma_{\eta_{N}}^{2} \end{bmatrix} \right)$$
(C.56)
$$p(\boldsymbol{d}|\boldsymbol{\eta}) = \prod_{j=1}^{n} p(d_{j}|\boldsymbol{\eta}) \sim \mathcal{N} \left(\begin{bmatrix} d_{1} \\ \vdots \\ d_{m} \end{bmatrix}, \begin{bmatrix} \sigma_{\eta_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & \sigma_{\eta_{N}}^{2} \end{bmatrix} \right)$$
(C.57)
$$p(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta}) = \prod_{i=1}^{n} p(z_{i}|\boldsymbol{\eta}) \prod_{j=1}^{m} p(d_{j}|\boldsymbol{\eta}) \sim \mathcal{N} \left(\begin{bmatrix} z_{1} \\ \vdots \\ z_{n} \\ \vdots \\ d_{m} \end{bmatrix}, \begin{bmatrix} \sigma_{\eta_{1}}^{2} & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_{2}}^{2} & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & \sigma_{\eta_{N}}^{2} \end{bmatrix} \right)$$
(C.58)

The first set of measurements can also be written

$$\boldsymbol{d} = S_{\boldsymbol{\theta}_1} \odot \left(\mathcal{F} \mathcal{Q}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_1} \odot \left(\mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k}) \right).$$
(C.59)

If the second set of measurements is conditional on the first, $\boldsymbol{z}|\boldsymbol{d}$, then in this model the subsampling parameters $(\boldsymbol{\theta}_1 \rightarrow \boldsymbol{\theta}_2)$ and the acquisition parameters $(\boldsymbol{\mu}_1 \rightarrow \boldsymbol{\mu}_2)$ can be changed as a result of acquiring the first set of measurements. The given parametric maps, $\boldsymbol{\eta}(\boldsymbol{x})$, and the uncertainty from machine noise, $\nu(\boldsymbol{k})$, remain the same. Therefore, the second set of measurements takes the form

$$\boldsymbol{z}|\boldsymbol{d} = S_{\boldsymbol{\theta}_2} \odot \left(\mathcal{F}\mathcal{Q}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k}) \right) = S_{\boldsymbol{\theta}_2} \odot \left(\mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}(\boldsymbol{x})) + \nu(\boldsymbol{k}) \right).$$
(C.60)

where θ_2 and μ_2 are conditional on d. This is still a set of normally distributed, independent measurements, and the mean is only conditional on d insofar as d affects the selection of model parameters for the second measurement. So, $p(\boldsymbol{z}|\boldsymbol{\eta}, \boldsymbol{d})$ is defined as

$$p(\boldsymbol{z}|\boldsymbol{\eta}, \boldsymbol{d}) = \prod_{i=1}^{n} p(z_i|\boldsymbol{\eta}, \boldsymbol{d}) \sim \mathcal{N} \left(\begin{bmatrix} z_1(\boldsymbol{\theta}_2, \boldsymbol{\mu}_2 | \boldsymbol{d}) \\ \vdots \\ z_n(\boldsymbol{\theta}_2, \boldsymbol{\mu}_2 | \boldsymbol{d}) \end{bmatrix}, \begin{bmatrix} \sigma_{\eta_1}^2 & 0 & \dots & 0 & 0 \\ 0 & \sigma_{\eta_2}^2 & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & \sigma_{\eta_{N-1}}^2 & 0 \\ 0 & 0 & \dots & 0 & \sigma_{\eta_N}^2 \end{bmatrix} \right).$$
(C.61)

For the purposes of maximizing conditional mutual information to select an optimum z given an already acquired d, it makes sense to think of z and d as independent (which, absent selection criteria for parameters of a second measurement that depend on the first, they are), because the model parameters are explored during optimization. The optimization problem to select optimum model parameters θ_2^* and μ_2^* for the second measurement z is of the form

$$[\boldsymbol{\theta}_2^*, \boldsymbol{\mu}_2^*] = \underset{\boldsymbol{\theta}, \boldsymbol{\mu}}{\operatorname{argmax}} I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) = \underset{\boldsymbol{\theta}, \boldsymbol{\mu}}{\operatorname{argmax}} (I(\boldsymbol{\eta}; \boldsymbol{z}(\boldsymbol{\theta}, \boldsymbol{\mu}), \boldsymbol{d}) - I(\boldsymbol{\eta}, \boldsymbol{d})).$$
(C.62)

As for the form of the conditional probability $p(\boldsymbol{z}|\boldsymbol{d})$, it cannot be computed analytically, but it can be writen in terms of known probability distributions $p(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta})$, $p(\boldsymbol{d}|\boldsymbol{\eta})$, and $p(\boldsymbol{\eta})$ with normal distribution N:

$$p(\boldsymbol{z}|\boldsymbol{d}) = \frac{p(\boldsymbol{z},\boldsymbol{d})}{p(\boldsymbol{d})} = \frac{\int p(\boldsymbol{z},\boldsymbol{d}|\boldsymbol{\eta})p(\boldsymbol{\eta})d\boldsymbol{\eta}}{\int p(\boldsymbol{d}|\boldsymbol{\eta})p(\boldsymbol{\eta})d\boldsymbol{\eta}}$$

$$= \frac{\int N(S_{\boldsymbol{\theta}_1} \odot \mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}), \Sigma_{\nu})N(S_{\boldsymbol{\theta}_2} \odot \mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}), \Sigma_{\nu})N(\boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}})d\boldsymbol{\eta}}{\int N(S_{\boldsymbol{\theta}_2} \odot \mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}), \Sigma_{\nu})N(\boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}})d\boldsymbol{\eta}}.$$
 (C.63)

This can be computed using Gauss-Hermite quadrature as in previous sections.

Conditional mutual information can be computed through this probability distribution in the following way:

$$I(\boldsymbol{\eta}; \boldsymbol{z}|\boldsymbol{d}) = H(\boldsymbol{z}|\boldsymbol{d}) - H(\boldsymbol{z}|\boldsymbol{\eta}, \boldsymbol{d})$$

= $H(\boldsymbol{z}|\boldsymbol{d}) - H(\boldsymbol{\eta}, \boldsymbol{z}, \boldsymbol{d}) + H(\boldsymbol{\eta}, \boldsymbol{d})$
= $H(\boldsymbol{z}|\boldsymbol{d}) - H(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta}) - H(\boldsymbol{\eta}) + H(\boldsymbol{d}|\boldsymbol{\eta}) + H(\boldsymbol{\eta})$
= $H(\boldsymbol{z}|\boldsymbol{d}) + H(\boldsymbol{d}|\boldsymbol{\eta}) - H(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta}).$ (C.64)

Then, known entropies can be substituted.

$$H(\boldsymbol{z}|\boldsymbol{d}) + H(\boldsymbol{d}|\boldsymbol{\eta}) - H(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta})$$

$$= -\int \int p(\boldsymbol{z}, \boldsymbol{d}) \ln(p(\boldsymbol{z}|\boldsymbol{d})) d\boldsymbol{z} d\boldsymbol{d} + \frac{1}{2} \ln\left((2\pi e)^2 \sigma_{\nu}^{2N_{\mathrm{acq},d}}\right)$$

$$- \frac{1}{2} \ln\left((2\pi e)^2 \sigma_{\nu}^{2(N_{\mathrm{acq},d}+N_{\mathrm{acq},\boldsymbol{z}})}\right)$$

$$= -\int \int (p(\boldsymbol{z}, \boldsymbol{d}|\boldsymbol{\eta}) p(\boldsymbol{\eta}) d\boldsymbol{\eta}) \ln(p(\boldsymbol{z}|\boldsymbol{d})) d\boldsymbol{z} d\boldsymbol{d} + \frac{1}{2} \ln(\sigma_{\nu}^{-2N_{\mathrm{acq},\boldsymbol{z}}})$$
(C.65)

Finally, in terms of known normal distributions N, conditional mutual information is

$$\begin{split} I(\boldsymbol{\eta}; \boldsymbol{z} | \boldsymbol{d}) &= -\int \int \int N(S_{\boldsymbol{\theta}_1} \odot \mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}), \Sigma_{\nu}) N(\boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}}) d\boldsymbol{\eta} \\ & \quad \cdot \ln \left(\frac{\int N(S_{\boldsymbol{\theta}_1} \odot \mathcal{G}_{\boldsymbol{\mu}_1}(\boldsymbol{\eta}), \Sigma_{\nu}) N(S_{\boldsymbol{\theta}_2} \odot \mathcal{G}_{\boldsymbol{\mu}_2}(\boldsymbol{\eta}), \Sigma_{\nu}) N(\boldsymbol{m}_{\boldsymbol{\eta}}, \Sigma_{\boldsymbol{\eta}}) d\boldsymbol{\eta} \right) d\boldsymbol{z} d\boldsymbol{d} \\ & \quad + \frac{1}{2} \ln(\sigma_{\nu}^{-2N_{\mathrm{acq},z}}). \end{split}$$

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Vita

Drew Palmer Mitchell was born in Houston, Texas to Randall Glenn Mitchell and Melanie Ann Mitchell. His two younger brothers are Matthew Alexander Mitchell and Kyle Glenn Mitchell. After graduating as the valedictorian of Friendswood High School in 2007, Drew attended the Eastman School of Music in Rochester, New York to pursue a degree in music performance. Drew transfered to Texas A&M University in College Station, Texas in 2009 to pursue degrees in physics and nuclear engineering. In 2013, he received the degree of Bachelor of Science, *summa cum laude*, with a major in Physics and the degree of Bachelor of Science, *summa cum laude*, with a major in Nuclear Engineering. In 2013, he entered The University of Texas Graduate School of Biomedical Sciences at Houston and began a doctor of philosophy degree in medical physics. In 2018, Drew married Julika Ayla Kaplan.

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