

# Surgical Management of Tibial Plateau Fractures With 3.5 mm Simple Plates

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## Abstract

**Background:** Tibial plateau fractures can be successfully fixed utilizing 3.5 mm locking plates. However, there are some disadvantages to using these plates.

**Objectives:** In the current prospective study, we investigated the outcome of treating different types of tibial plateau fractures with 3.5 mm simple plates which, to our knowledge, has not been evaluated in previous studies.

**Materials and Methods:** Between 2011 and 2013, 32 patients aged  $40 \pm 0.2$  years underwent open reduction and internal fixation for tibial plateau fractures with 3.5 mm simple plates. The patients were followed for  $16.14 \pm 2.1$  months. At each patient's final visit, the articular surface depression, medial proximal tibial angle, and slope angle were measured and compared with measurements taken early after the operation. The functional outcomes were measured with the WOMAC and Lysholm knee scores.

**Results:** The mean union time was  $13 \pm 1.2$  weeks. The mean knee range of motion was  $116.8^\circ \pm 3.3^\circ$ . The mean WOMAC and Lysholm scores were  $83.5 \pm 1.8$  and  $76.8 \pm 1.6$ , respectively. On the early postoperative and final X-rays, 87.5% and 84% of patients, respectively, had acceptable reduction. Medial proximal tibial and slope angles did not change significantly by the last visit. No patient was found to have complications related to the type of plate.

**Conclusions:** In this case series study, the fixation of different types of tibial plateau fractures with 3.5 mm simple non-locking and non-precontoured plates was associated with acceptable clinical, functional, and radiographic outcomes. Based on the advantages and costs of these plates, the authors recommend using 3.5 mm simple plates for different types of tibial plateau fractures.

**Keywords:** Fracture, Osteosynthesis, Tibial Plateau, Internal Fixation

## 1. Background

The principles for the treatment of tibial plateau fractures have progressed substantially over the past 50 years. Nonsurgical treatment was used for most of these fractures before the 1980s, but with the development of internal fixation techniques, surgical treatment became more common (1). The advantages of surgical treatment include early mobilization of the knee, rapid bone union, and better quality of reduction (2).

Currently, locking plates are being used increasingly for fixation of tibial plateau fractures. In the past, 4.5 mm plates were used, but some surgeons now tend to use 3.5 mm locking plates (3). These plates are less bulky and easier to adapt on the bone, and good outcomes have been reported with regard to utilizing them in comminuted tibial plateau fractures (4). However, these fixation tools are associated with some disadvantages. They are expensive, and although they are precontoured, they do not all adapt completely to the bone (5). Occasionally, the surgeon is

forced to change the shape of the plate to adapt it onto the bone completely, which may damage the locking property of the screw. In some types of locking plates, interfragmentary compression is only achieved when the locking screws are inserted at a  $90^\circ$  angle in relation to the plate (6). Based on these problems, we encourage using 3.5 mm simple plates, which can be easily adapted to the bone. Furthermore, these plates are inexpensive. To our knowledge, there is no study using 3.5 mm simple plates for the treatment of tibial plateau fractures.

## 2. Objectives

In the current prospective study, we investigated the clinical, functional, and radiological outcomes of treating different types of tibial plateau fractures with T- or L-shaped 3.5 mm simple non-locking and non-contoured plates.

### 3. Materials and Methods

Between 2011 and 2013, 45 patients with different types of tibial plateau fractures underwent internal fixation using 3.5 mm simple T- or L-shaped plates at our hospital. The exclusion criteria were open fracture, concomitant injuries that could alter the functional outcome (such as ipsilateral femoral shaft fracture), previous major trauma, previous history of knee surgery, deformity of the affected knee, pathologic fracture, preexisting joint disease (severe osteoarthritis, inflammatory arthritis, or a prior fracture), severe systemic illness (a medical contraindication for surgery), open growth plates, vascular injury requiring repair, severe head injury, or other neurological conditions that would interfere with rehabilitation.

After the primary clinical examination, plain radiography of the knee was performed. If there was a tibial plateau fracture, the patient was referred for CT scanning. The amount of displacement and depression of the articular surface was evaluated by anteroposterior (AP) and lateral x-rays, as well as CT scans. If surgical treatment was required, informed consent was obtained.

The fractures were classified based on Schatzker's classification. The condition of the soft tissues was evaluated before the operation, and if this was unsuitable, the operation was delayed until soft-tissue healing. All patients underwent open reduction and internal fixation (ORIF). All of the operations were performed by two expert orthopedic surgeons, and 3.5 mm simple plates were used for all types of fractures, including type V and VI. In patients with type V and VI fractures, posteromedial and anterolateral approaches were used, if necessary (Figure 1).

For reduction of the articular surface, the submeniscal arthrotomy technique was used. In cases with more intra-articular fragments, raft screws (3.5 mm cortical screws or 4 mm cancellous screws) were used. The bone defects were filled by bone grafts; autografts were used for most cases. The coronary ligament and capsule were also repaired. After the operation, the knee was immobilized for 48 hours, followed by early active ROM exercises. The patients wore knee immobilizers for six weeks during walking and sleeping, and they were allowed to do partial weight-bearing after six weeks, depending on union progression. Postoperative X-rays of the knee were taken immediately after the surgery and six weeks later, then every six weeks until bony union occurred. Union was defined as the presence of three bridging cortices on images in two orthogonal planes.

The patients were each recalled to the hospital for a final visit, when WOMAC and Lysholm scores were completed. Anteroposterior and lateral knee X-rays were also obtained, and the amount of articular surface depression,

the medial proximal tibial angle (MPTA), and the slope angle were measured and compared with the early postoperative X-rays. Articular surface depression was evaluated as follows:

Excellent: [U+02C2] 2 mm depression

Satisfactory: 2 - 5 mm depression

Poor: > 5 mm depression.

A gap of  $\geq 5$  mm in the articular surface was considered a poor reduction, and MPTAs of  $< 80^\circ$  or  $> 95^\circ$  were considered malalignment. The measurements were performed by two orthopedic surgeons, and the final value was defined as the average of the two measurements.

#### 3.1. Statistics

SPSS statistical software (version 15.0; SPSS, Chicago, IL, USA) was used for statistical analysis. Paired t-test was utilized to compare the early postoperative and final MPTAs and slope angles. The quality of reduction was compared using McNemar's test. A P value of  $< 0.005$  was considered statistically significant.

### 4. Results

In this case series study, out of 45 patients, 13 were lost during follow-up. One patient was a polytrauma patient, and in four patients, 3.5 mm and 4.5 mm plates were used together. Eight patients did not participate in the follow-up program or were not accessible. The demographics of the 32 patients who completed the study are presented in Table 1.

The mean union time for at least three cortices was  $13 \pm 1.2$  weeks (range 8 - 24). The interval between the operation time and full weight-bearing averaged  $15 \pm 1.5$  weeks (range 8 - 28). At the final follow-up, the mean ROM was  $116.8^\circ \pm 3.3^\circ$  ( $60^\circ - 140^\circ$ ). The numbers of patients who required bone grafts and raft screws, and the types of plates used, are presented in Table 2.

#### 4.1. Functional Assessment

The means of the WOMAC and Lysholm knee scores were  $83.5 \pm 1.8$  and  $76.8 \pm 1.6$ , respectively.

#### 4.2. Radiological Assessment

Immediate postoperative X-rays showed satisfactory to excellent reduction in 28 patients (87.5%). In two cases, an approximately 5 mm gap was found in the articular surface. Two other patients had 5 mm depressions of the articular surface. At the final visit, we found that the articular surface reduction was lost in one patient, while satisfactory to excellent reduction was present in 27 patients (84%). The mean MPTA and slope angle, respectively, were  $85.3^\circ \pm$



**Figure 1.** A 42-year-old patient with type VI tibial plateau fracture, posteromedial and anterolateral approaches were used. A, preoperative anteroposterior X-ray showing the tibial plateau fracture type VI of the left knee; B, preoperative lateral X-ray of the same knee; C, preoperative CT scan of the patient's knee showing the extent of the fracture in the coronal plane; D and E, postoperative AP and lateral views of the knee fixed using double simple plates.

**Table 1.** Demographic Characteristics of Patients with Tibial Plateau Fractures<sup>a,b</sup>

Variables	Values
<b>Gender</b>	
Male	22 (68)
Female	10 (32)
<b>Age (19 - 70), y</b>	40 ± 0.2
<b>Side of surgery</b>	
Right	18 (56)
Left	14 (4)
<b>Type of fracture</b>	
I	3 (9)
II	16 (50)
III	2 (6)
IV	4 (12)
V	3 (9)
VI	4 (12)
<b>Follow-up period (6 - 48), mo</b>	16.14 ± 2.1

<sup>a</sup> Total number of patients is 32.

<sup>b</sup> Values are expressed as No. (%) or mean ± SD.

4.2° and 8.5° ± 3° on the early postoperative images and 84.5° ± 4° and 8.2° ± 3.5° on the final x-rays.

**Table 2.** Surgical Details of Patients with Tibial Plateau Fractures

Graft and Devices	Frequency (%)
<b>Type of graft</b>	
Autograft	14 (43)
Allograft	4 (12)
<b>Type of plate</b>	
T-shaped	22 (69)
L-shaped	6 (19)
Reconstruction plate	4 (12)
<b>Raft screw</b>	12 (37)

The degenerative changes did not progress in the patients with preoperative knee osteoarthritis; however, two patients had developed degenerative changes in the knee at the time of the final follow-up.

### 4.3. Complications

Limited ROM was found in five patients (15.6%). In four of these, ROM was improved by physical therapy; however, in one patient, knee flexion did not exceed 80° due to lack of patient compliance with exercise therapy. Two patients developed superficial wound infections, and one patient developed a deep infection that was improved with

repeated irrigation and debridement. One patient had delayed union, with the fracture healing after nine months.

## 5. Discussion

The most important finding of the current study was that treatment of tibial plateau fractures using 3.5 mm simple plates was associated with satisfactory clinical, functional, and radiographic outcome.

Tibial plateau fractures can be treated surgically or non-surgically (7). The aim of surgical treatment is to obtain stability, proper alignment, and congruent articular surfaces (8, 9). However, surgical treatment for tibial plateau fractures can lead to many complications, including wound breakdown, deep infection (2.8% - 8%), DVT (3.6% - 7%), compartment syndrome, non-union, peroneal nerve injury, device failure (hardware failure), and arthrofibrosis (10-14). Recently, minimally invasive surgeries have been designed to reduce these complications (15-17). There are several surgical methods, such as external fixation, percutaneous screw fixation, ORIF, less-invasive stabilization systems (LISS), double osteosynthesis, and staged external and internal fixation (18-21), but there is no consensus as to the most appropriate method (22, 23). Blokker et al. suggested surgical treatment when the articular surface depression was > 5 mm (8). Reduction of the articular surface by plate and screws can also reduce the risk of degenerative changes of the knee (24).

In the past, L- or T-shaped 4.5 mm plates were used in lateral or medial tibial plateau fractures, and partial-thread 6.5 mm screws were used for compression of the articular surface (25). In the late 1980s and into the 1990s, with the invention of locking plates, which were thought to enhance mechanical stability, surgeons tended to use the 4.5 mm locking plates for fixation of tibial plateau fractures (26-29). However, although there are satisfactory outcomes from treating plateau fractures with these new plates, some complications have been reported. Krettek et al. reported complications with 4.5 mm plates that included devitalization of the fracture due to extensive stripping of muscle from bone, risk of peroneal nerve injury, and complications from placing the plates in the lateral limited space (17). It seems that using 3.5 mm plates can reduce the risk of these complications, and these plates can be placed on the fracture site easily, with less injury to the soft tissues around the bone. Also, for better stabilization of fragments in comminuted fractures, 3.5 mm screws or K-wire can be used near the articular surface with raft screws or wire (30, 31). Moreover, there is no significant difference in the biomechanical properties between locked 3.5 mm and 4.5 mm plates (32). It has been shown that in cancellous bone, 3.5 mm screws have equivalent pullout strength

in comparison to 4.5 mm and 6.5 mm screws (32, 33). Furthermore, the smaller 3.5 mm screws allow more of them to be placed closer to the articular surface, to support the reduced fragments.

Pre-contoured anatomic plates for proximal tibial fractures are made by different manufacturers and have several advantages, such as decreased time spent on intraoperative plate-contouring and the use of minimally invasive surgery. However, in a cadaveric study, Goyal et al. demonstrated that anatomic plates made by popular manufacturers were not matched completely to the bones of the cadaver samples, with the plates not completely touching the bone (5). However, the simple 3.5 mm plates can be formed and placed on the bone easily during the surgery. In addition, non-locking screws can facilitate compression between fracture fragments. Furthermore, locking plates are more expensive than simple plates, which may exert a considerable financial burden on patients.

The complications of 4.5 mm plates (locking or simple), the problems with contouring locking plates to the bone surface, cost-benefit considerations, and a consideration of the efficiency properties led us to use 3.5 mm simple non-locking and non-precontoured plates for the treatment of tibial plateau fractures. In our experience, all of the fractures united, without any complications related to the type or size of the plate. The functional outcomes were acceptable and the reduction achieved with surgery was maintained after 16 months. Even in Schatzker's type V and VI fractures, under suitable soft-tissue conditions, simple 3.5 mm plates were used with anterolateral and posteromedial approaches if necessary, and there were no cases of failed fixation. In such cases, the 3.5 mm lateral plate must function as a buttress device and the medial plate must function as an anti-glide device. Single lateral plating does not provide sufficient stability (34).

In our study, the functional outcomes were satisfactory, considering the WOMAC and Lysholm scores. All of the fractures healed in an acceptable amount of time. Patients were able to ambulate after 15 days on average, and the average knee range of motion was good ( $116.8^\circ \pm 3.3^\circ$ ). Anatomical reduction was achieved in most of the patients (87.5%); however, in one of them, the reduction was lost after the operation. Unacceptable reductions in four patients were related to severe comminution rather than to the type of plate. The rate of loss of reduction in the current study is similar to other studies that used other types of plates for tibial plateau fractures (29).

The small sample size and the short follow up were limitations.

### 5.1. Conclusion

Based on the findings of this study, regardless of the type of tibial plateau fracture, ORIF using 3.5 mm simple plates can lead to acceptable clinical, functional, and radiographic outcomes.

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### Footnote

**Authors' Contribution:** Study concept and design: Abolfazl Bagherifard; acquisition, analysis, and interpretation of data: Mohammadreza Minator Sajadi and Hossein Karimi Heris; drafting of the manuscript: Mahmoud Jabalameli; critical revision of the manuscript for important intellectual content: Hosseinali Hadi; statistical analysis: Ali Jahansouz; study supervision: Mohammad Rahbar.

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