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1 **Temperature effects on the growth and survival of *tdh* positive *Vibrio***
2 ***parahaemolyticus* in tissues of postharvest Manila clam (*Ruditapes philippinarum*)**

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16 **ABSTRACT**

17 Bivalve storage at inadequate temperatures contains greater densities of *Vibrio*
18 *parahaemolyticus*. The objective of the present study was to determine if there were any
19 differences in growth and survival of potentially pathogenic *V. parahaemolyticus* (*tdh*
20 positive) in relation to nonpathogenic *V. parahaemolyticus* (*tdh-trh* negative) levels in
21 Manila clams (*Ruditapes philippinarum*) when exposed to different postharvest
22 temperatures. Clams were depurated then exposed to known doses of both potential
23 pathogenic and nonpathogenic *V. parahaemolyticus* for 24 h. Clams were then kept at
24 the following temperatures corresponding to the Mediterranean summer (28°C), winter
25 (15°C) and refrigeration (4°C) for 96 h. *Vibrio parahaemolyticus* densities were
26 determined at 0, 24, 48, 72 and 96 h of postharvest storage. Both isolates of *V.*
27 *parahaemolyticus* multiplied rapidly in live clams held at 28°C, (increase of 3 logs
28 CFU/g at 72 h). Nonpathogenic *V. parahaemolyticus* in clams stored at 4°C and 15°C
29 showed 1 log CFU/g and 2 log CFU/g decrease at 96 h, respectively, while no
30 significant differences were detected for pathogenic *V. parahaemolyticus* at these
31 temperatures after 96 h.

32

33 *Keywords:* *Vibrio parahaemolyticus*; *Ruditapes philippinarum*; Postharvest temperature;

34 Food Safety

36 1. Introduction

37

38 *Vibrio parahaemolyticus* is a common cause of shellfish-related gastroenteritis (Daniels
39 et al., 2000; Lozano-León et al., 2003). Due to their filter-feeding activity, bivalve
40 molluscs can concentrate bacteria in their tissues. Environmental temperatures,
41 time/temperature abuse and mishandling in postharvest molluscs may easily induce
42 rapid multiplication of *V. parahaemolyticus* (Beuchat, 1982; DePaola et al., 2000).
43 Hemolysins, namely the thermostable direct hemolysin (TDH) and the TDH-related
44 hemolysin (TRH), encoded by *tdh* and *trh* genes respectively, are important virulence
45 factors as they contribute to precipitate the disease (Honda and Iida, 1993). In addition,
46 hemolytic activity based on TDH-producing *V. parahaemolyticus* is present in almost
47 all *V. parahaemolyticus* strains isolated from clinical specimens, whereas it is present
48 only in 1 to 3% of the isolates of environmental origin (Miyamoto et al., 1969). The
49 population dynamics of a number of pathogenic bacteria in molluscs has been modelled
50 (DePaola et al., 2009; Yoon et al., 2008), but not using live clams. Knowing the survival
51 of pathogenic populations of *V. parahaemolyticus* in relation to the total population of
52 *V. parahaemolyticus* during postharvest-process in live molluscs will contribute to
53 prevent outbreaks and to improve the monitoring of harvest sites. Therefore, the
54 objective of the present study was to model the growth and survival of one potentially
55 pathogenic isolate (*tdh* gene positive) and one isolate classified as nonpathogenic
56 (containing neither *tdh* nor *trh* genes), in *Ruditapes philippinarum*, stored at three
57 different temperatures corresponding to the Mediterranean summer (28°C), winter
58 (15°C) and refrigeration (4°C) following artificial contamination with these two isolates
59 of *V. parahaemolyticus* and to determine whether there were any differences in bacterial
60 kinetics survival.

61 Results from the study can contribute to the production of data for quantitative risk
62 analysis and harmonize an *in vivo* model for further research.

63

64 **2. Material and methods**

65

66 Experiment assessed two factors: temperature and vibrio. The temperature was divided
67 into three categories (4, 15 and 28°C), vibrio was divided into *tdh* positive isolate
68 (potentially pathogenic *V. parahaemolyticus*) or *tdh-trh* negative isolate (potentially
69 nonpathogenic *V. parahaemolyticus*), and a control group without *Vibrio* (non-
70 inoculated clam).

71 Adult clams (*R. philippinarum*) were collected from the Ebro Delta. Clams used in the
72 study were all alive, opened and closed their valves and presented intact shells with
73 normal coloration. Clams were transported to the laboratory in cool boxes containing
74 ice plates. Transport lasted less than 1 h. Upon arrival, clams were placed in a
75 recirculation system flowing sterile seawater in order to depurate them for 12 days
76 (Lopez-Joven et al., 2011a). Five *R. philippinarum* per tank were sampled to confirm
77 that clams had undetectable levels of sucrose nonfermenting vibrios, including *V.*
78 *parahaemolyticus*. *Vibrio parahaemolyticus* used in the study were isolated from the
79 bivalves cultured in the Ebro Delta (Roque et al., 2009), and assumed to be fully
80 adapted to the bivalves and their environment, reason why they were preferred to type
81 strains. Potentially pathogenic isolate, *V. parahaemolyticus* i678 belongs to the serotype
82 O2:K28 containing *tdh* gene (*tdh* positive *Vp*), was isolated from a clam. Nonpathogenic
83 isolate used, *V. parahaemolyticus* i747 (*tdh-trh* negative *Vp*), was isolated from a
84 mussel. Isolates were characterized with API 20E (BioMérieux, Marcy-l'Etoile, France)
85 giving a profile of *V. parahaemolyticus* with 99.5% and 99.9% similarity, respectively.

86 Biotype was known to correspond to a non-pandemic strain. Inocula were prepared by
87 resuscitating a cryopreserved isolate in 50 mL of tryptic soy broth (TSB) (Scharlau
88 Chemie S.A., Barcelona, Spain) with 2.0% NaCl at 28°C for 18-20 h in a rotating shaker
89 (Excella E24 Incubator skaker series. New Brunswick Scientific. Edison, New Jersey,
90 USA). Bacteria were collected by centrifugation at 2000 g, 4°C, 10 min. Supernatant
91 was discarded and the pellet was suspended in sterile saline solution 2.5% NaCl (SSS).
92 Bacterial solution was added to 10 L containers with sterile seawater containing the
93 depurated clams. Challenge densities were 6.16 ± 0.48 log CFU per clam (*tdh* positive
94 *Vp*, n = 97 clams) or 6.72 ± 0.32 log CFU per clam (*tdh-trh* negative *Vp*, n = 101
95 clams), along with phytoplankton (10^5 cells/mL), axenic *Isochrysis galbana* variety
96 Tahiti (Pérez Camacho et al., 2002) to stimulate bacterial uptake by the clams during 24
97 h (Lopez-Joven et al., 2011b). A control container without *Vibrio* inocula (n = 138
98 clams) was also fed axenic algae.

99 At the end of the 24 h challenge, each batch of clams exposed to *V. parahaemolyticus*
100 and control group, was divided in three equal lots which were placed in different moist
101 plastic containers, and one container from each isolate of *Vibrio* and one for unexposed
102 clams was then stored at each of the temperatures (4, 15 and 28°C) during 96 h.

103 Nine experimental groups in a full-factorial experiment were investigated using a total
104 of 336 clams.

105 At this point (time 0 h) samples (n = 11 clams exposed to *tdh* positive *Vp*; n = 13 clams
106 exposed to *tdh-trh* negative *Vp*; n = 16 clams unexposed) from each batch were
107 analysed. Concentrations of *V. parahaemolyticus* in clams were estimated every 24 h
108 during storage for 96 h. At each time, 3-5 clams were sampled from each container.

109 Each clam was measured (Digital caliper (0-150 mm) LLG, Mitutoyo CD-15, Tokyo,
110 Japan) and its flesh was weighed. Clams were homogeneous with no significant

111 differences among experimental groups. The average length (mm) and weight (g) of
112 clams used in the study were 32.80 ± 5.14 mm and 2.03 ± 0.78 g, respectively.
113 Tissue and shell liquor were aseptically removed, weighed and placed into 10 mL of
114 SSS. The resulting mixture was homogenized for 30 s using a digital homogenizer
115 Ultra-Turrax T-25 (IKA-Werke GmbH & Co. KG). Decimal dilutions were made in
116 SSS and volumes of 0.1 mL from each dilution were spread onto Chromagar Vibrio
117 plates and incubated at 37°C for 18-20 h. The colonies formed (*V. parahaemolyticus*
118 produces mauve-purple colonies onto Chromagar Vibrio) were counted manually to
119 calculate the density of viable cells in the sample (CFU/g).
120 Experiment was performed twice with an extra replicate for storage at 4°C in order to
121 verify previous results, taking into account this temperature is used as a critical control
122 point to protect public health at home, restaurant, retail... (Table 1).
123 Descriptive statistics were performed using mean and standard deviation for quantitative
124 variables, as length and weight, stratified by experiments. Homoscedasticity was tested
125 using Kolmogorov-Smirnov test to check normal distribution, and Levene test for
126 variance homogeneity. Non-homoscedastic variables, as CFU/g, were log-transformed
127 to improve homoscedasticity. Differences between means for log (CFU/g) depending on
128 *tdh*-positive and *tdh-trh* negative *V. parahaemolyticus*, temperature and time were
129 analyzed with one-way analysis of variance (ANOVA) followed by Duncan post-hoc
130 test. A General Linear Model for log (CFU/g) using vibrio strains, temperature and time
131 as fixed factors was carried out to check interactions between factors. Desired alpha
132 error was established at 0.05. Statistical analysis was performed using IBM SPSS 19.0
133 for Windows software (Armonk, NY, USA).

134

135 3. Results and discussion

136

137 At time 0 h, the densities of *V. parahaemolyticus* were not significantly different in all
138 treatments and among repetitions of the same treatment: *tdh*-positive *V.*

139 *parahaemolyticus* (4.12 ± 1.43 log CFU/g) ($P = 0.440$), *tdh-trh* negative *V.*

140 *parahaemolyticus* (5.26 ± 0.27 log CFU/g) ($P = 0.398$), and control group (0.38 ± 0.82

141 log CFU/g) ($P = 0.794$). Bacterial densities are in agreement with previous results

142 (Lopez-Joven et al. 2011b).

143 Results of *tdh* positive *V. parahaemolyticus* fluctuated no significantly in clams stored
144 at 4°C ($P = 0.357$) and 15°C ($P = 0.369$) until 96 h (Fig. 1A), whereas significant

145 reductions from 5.26 to 4.13 log CFU/g and from 5.22 to 3.22 log CFU/g were detected

146 ($P < 0.001$) and ($P = 0.004$), for *tdh-trh* negative *V. parahaemolyticus* at 4°C and 15°C,

147 respectively (Fig. 1B). Duncan test indicated that reductions were significant after 96 h

148 at 4°C, and after 72 h at 15°C. The slight increase for *tdh-trh* negative *V.*

149 *parahaemolyticus* at 72h at 4°C could be due to immunological factors of some clams.

150 Previous studies showed it is possible to isolate total *V. parahaemolyticus* from bivalves

151 after the end of its shelf-life (between 1 and 2 weeks), when it has been stored at

152 refrigeration temperatures, with no apparent decrease in numbers (Johnson et al., 1973;

153 Muntada-Garriga et al., 1995). On the other hand, other studies showed *V.*

154 *parahaemolyticus* decreased during refrigeration storage (3°C) from 2.9 log CFU/g to

155 0.8 log CFU/g after 14 days of refrigeration (Gooch et al., 2002). Shen et al. (2009)

156 observed a gradual decrease of *V. parahaemolyticus* in oysters stored at 0 and 5°C, after

157 96 h. The same study reported populations of *V. parahaemolyticus* remained constant in

158 oysters stored at 10°C which had been previously observed (Cook and Ruple, 1989), and

159 increased when the oysters were stored at 15°C for 60 h. Thomson and Thacker (1973),

160 using sterile oyster homogenates inoculated with 10^4 *V. parahaemolyticus* cells, showed

161 *V. parahaemolyticus* numbers decreased to almost zero after 1 week at 0 and 4°C. In
162 addition, they observed the cells did not multiply but remained viable for 3 weeks at
163 8°C, while multiplication occurred at 10 and 12°C with cell remaining viable for 4
164 weeks or longer.

165 However, hardly any studies separated *tdh*-positive and *tdh-trh* negative *V.*
166 *parahaemolyticus*. Yoon et al. (2008) inoculated both pathogenic and nonpathogenic *V.*
167 *parahaemolyticus* strains into oyster slurry and observed that both *V. parahaemolyticus*
168 decreased at 10°C and 15°C. Present results are in contrast with the ones published by
169 Yoon et al. (2008). This discrepancy may be attributable to the matrix used, broth and
170 oyster slurry (Yoon et al., 2008) and live clam (present study). Furthermore, different
171 defense systems in clams and oysters may also have influenced in the differences
172 among studies.

173 In any case, not only present study represents better what happens in a bivalve, it is also
174 probable that bacteria are in better conditions when kept alive inside a live clam. Present
175 results also suggest better adaptation properties in the *tdh*-positive *V. parahaemolyticus*
176 isolate harvested clams.

177 Vibrio levels in *R. philippinarum* control clams (after depuration process and
178 postharvest storage at 4°C and 15°C) were either undetectable or were observed in
179 concentrations lower than 1.4 log (CFU/g), which were not statistically significant along
180 time neither at 4°C ($P = 0.184$) nor at 15°C ($P = 0.158$). These values do not affect
181 results since the clams were exposed to a huge initial concentration of both isolates of
182 vibrios.

183 Bacterial load was higher at 28°C than 4 °C and 15°C in all treatments. This growth was
184 significantly higher ($P < 0.001$) at 48 and 72 h (Fig. 1). Similar trends were previously
185 reported, where both pathogenic and nonpathogenic *V. parahaemolyticus* strains

186 increased within oysters stored from 20°C to 30°C during a 5-day period (Cook and
187 Ruple, 1989; Yoon et al., 2008).

188 At 96 h, only two clams exposed to spiked seawater with *tdh-trh* negative *V.*
189 *parahaemolyticus* were alive, and mean levels was 5.36 log CFU/g.

190 In control group, vibrio levels were more than 3 log CFU/g at 24 h and there were
191 significant differences ($P < 0.001$) from 24 h to 96 h with an increase from 0.38 to 4.56
192 log CFU/g.

193 Even though clams were depurated, probably due to storage temperature, undetectable
194 levels of *V. parahaemolyticus* or viable but non-culturable (VBNC) vibrios began to
195 multiply becoming a potential threat to public health (Feldhusen, 2000; Ramamurthy et
196 al., 2014). Although it would have been preferred to run a simultaneous exposure to the
197 two bacterial strains, this design was not feasible because the method to quantify the
198 bacteria would have been real-time PCR and this technique may not sufficiently
199 sensitive (Nordstrom et al., 2007).

200 Previously described relationships among storage temperature, time and *V.*
201 *parahaemolyticus* load were also analyzed using a General Linear Model. Results
202 showed that this model was significant ($P < 0.001$) and its adjusted determination
203 coefficient (R^2) was 0.811. All factors are significantly associated with vibrio
204 concentration ($P < 0.001$ for almost all individual factors and interactions; except $P =$
205 0.001 for the interaction of temperature and vibrio strain; and $P = 0.023$ for the
206 interaction of temperature, vibrio strain and time).

207 All observed significant differences in this multivariate analysis support the previously
208 described results from bivariate analysis, and confirm the different behavior of control
209 group with higher bacteria loads related with increasing postharvest time and
210 temperature, while *tdh*-positive and *tdh-trh* negative *V. parahaemolyticus* groups

211 showed similar and constant concentrations at 4°C and 15°C along the time and
212 increasing concentrations along the time at 28°C (at least during the first 72 h).

213

214 **4. Conclusions**

215

216 This research highlighted the different behavior of different biotypes of *V.*
217 *parahaemolyticus* at three different storage temperatures. Results here suggest that
218 conditions or ability for survival of a potentially pathogenic *V. parahaemolyticus* are
219 better in postharvest Manila clam, as compared to a potentially nonpathogenic *V.*
220 *parahaemolyticus* at 4°C and 15°C. Present study was based on the growth and survival
221 of one single isolate of each potentially pathogenic and nonpathogenic *V.*
222 *parahaemolyticus*; thus more extensive growth data for various pathogenic strains
223 possessing the *tdh* gene as well as various nonpathogenic strains are needed for the data
224 validation. Furthermore, it is relevant that no differences appear to exist between *tdh*
225 positive *V. parahaemolyticus* densities in clams stored at 4°C and 15°C, therefore, clams
226 could be stored at either of these temperatures which would facilitate transport
227 conditions after harvesting and depuration.

228

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230

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236

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238

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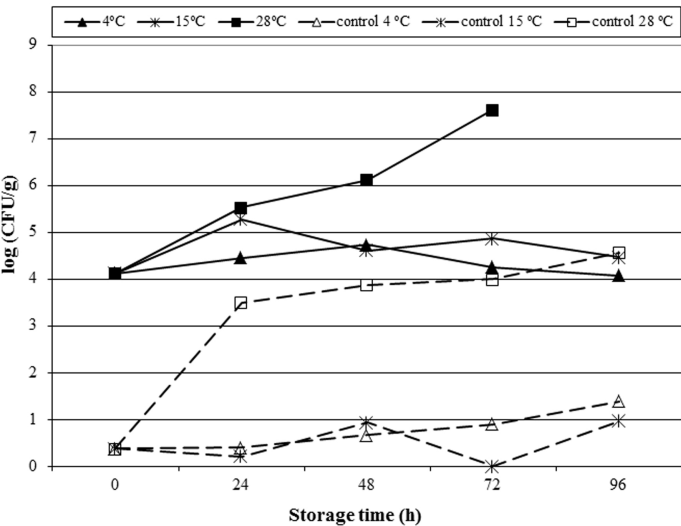
Table 1. Number (n) of *Ruditapes philippinarum* clams analysed at each time point from each batch (exposed to *tdh* positive *Vp*; *tdh-trh* negative *Vp*; unexposed clams) used in the present study with three different storage temperatures (°C). Average and standard deviation of *V. parahaemolyticus* log levels (expressed as mean ± standard deviation).

	A (<i>tdh</i> positive <i>Vp</i>)						B (<i>tdh-trh</i> negative <i>Vp</i>)						Control Group (unexposed clams)								
	n	4°C		15°C		28°C		n	4°C		15°C		28°C		n	4°C		15°C		28°C	
0 h	11	4.12 ± 1.43						13	5.26 ± 0.27						16	0.38 ± 0.82					
24 h	11	4.46 ± 0.60		6 5.28 ± 0.43		6 5.53 ± 0.52		11	5.05 ± 0.57		6 5.52 ± 0.43		6 5.77 ± 0.44		14	0.40 ± 1.06		9 0.22 ± 0.65		9 3.50 ± 1.59	
48 h	11	4.73 ± 0.63		6 4.61 ± 0.83		6 6.12 ± 0.79		11	4.64 ± 0.84		6 5.19 ± 1.19		6 6.84 ± 0.75		14	0.67 ± 1.14		9 0.93 ± 1.40		9 3.88 ± 0.80	
72 h	11	4.26 ± 0.58		6 4.87 ± 0.53		6 7.61 ± 0.90		11	5.14 ± 0.46		6 3.86 ± 2.12		6 8.11 ± 0.69		14	0.90 ± 1.38		9 0.00 ± 0.00		9 4.00 ± 2.19	
96 h	11	4.08 ± 0.57		6 4.46 ± 0.32		0		11	4.15 ± 0.64		6 3.22 ± 0.68		2 5.37 ± 0.95		14	1.39 ± 1.72		9 0.97 ± 1.45		3 4.56 ± 1.62	

Figure captions

Figure 1. Growth of *tdh* positive (A), and *tdh-trh* negative (B) *V. parahaemolyticus* in clams during 96 h storage study at refrigeration temperatures (4°C), Mediterranean winter temperatures (15°C), and Mediterranean summer temperatures (28°C).

A) *tdh* positive *V. parahaemolyticus*



B) *tdh-trh* negative *V. parahaemolyticus*

