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1	Modelling the interaction of the sakacin-producing Lactobacillus sakei CTC494
2	and Listeria monocytogenes in filleted gilthead sea bream (Sparus aurata) under
3	modified atmosphere packaging at isothermal and non-isothermal conditions
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# 19 Highlights

20	•	L. sakei CTC494 inhibited L. monocytogenes growth in sea bream fillets during
21		chilled and moderate abuse temperature storage.
22	•	L. sakei CTC494 did not increase deterioration of filleted sea bream at an initial
23		level of $\leq 4 \log c f u/g$ .
24	•	L. sakei CTC494 showed potential as bioprotective culture for fish products.
25	•	An approach from broth to food was developed for modelling microbial
26		interaction.
27	•	Interaction models simulated L. monocytogenes inhibition by the bioprotective
28		L. sakei in filleted sea bream under static and dynamic temperature.
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#### 38 Abstract

The objective of this work was to quantitatively evaluate the effect of Lactobacillus 39 sakei CTC494 (sakacin-producing bioprotective strain) against Listeria monocytogenes 40 in fish juice and to apply and validate three microbial interaction models (Jameson, 41 modified Jameson and Lotka Volterra models) through challenge tests with gilthead sea 42 43 bream (Sparus aurata) fillets under modified atmosphere packaging stored at isothermal 44 and non-isothermal conditions. L. sakei CTC494 inhibited L. monocytogenes growth when simultaneously present in the matrix (fish juice and fish fillets) at different 45 46 inoculation ratios pathogen:bioprotector (i.e. 1:1, 1:2 and 1:3). The higher the inoculation ratio, the stronger the inhibition of L. monocytogenes growth, with the ratio 47 1:3 yielding no growth of the pathogen. The maximum population density  $(N_{max})$  was 48 49 the most affected parameter for L. monocytogenes at all inoculation ratios. According to the microbiological and sensory analysis outcomes, an initial inoculation level of 4 log 50 cfu/g for L. sakei CTC494 would be a suitable bioprotective strategy without 51 compromising the sensory quality of the fish product. The performance of the tested 52 interaction models was evaluated using the Acceptable Simulation Zone approach. The 53 Lotka Volterra model showed slightly better fit than the Jameson-based models with 75-54 92 % out of the observed counts falling into the Acceptable Simulation Zone, indicating 55 a satisfactory model performance. The evaluated interaction models could be used as 56 57 predictive modelling tool to simulate the simultaneous behaviour of bacteriocinproducing Lactobacillus strains and L. monocytogenes; thus, supporting the design and 58 59 optimization of bioprotective culture-based strategies against L. monocytogenes in minimally processed fish products. 60

- 61 Keywords: biopreservation, food-borne pathogen, lactic acid bacteria, competition
- 62 model, minimally processed fish, predictive microbiology

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## 64 1. Introduction

Global consumption of fresh and minimally processed fish has grown rapidly in recent 65 decades. In this regard, aquaculture has been responsible for the extraordinary growth in 66 the supply of fish for human consumption, which resulted in a record-high per capita 67 consumption of 20.3 kg in 2016 (FAO, 2018). The combination of chemical oxidation 68 69 of lipids, autolytic biochemical reactions and physico-chemical characteristics make fish 70 a highly perishable product, but also an ideal environment for growth of spoilage microorganisms and food-borne pathogens (Dalgaard et al., 2006; Parlapani et al., 71 72 2014). Among the pathogenic bacteria, *Listeria monocytogenes* stands out because of its 73 ability to tolerate salty environments and multiply in refrigerated foods, coupled with 74 the high mortality rates in humans (CDC, 2017). The pathogen has been isolated from a variety of raw fish and processed fish products (Abdollahzadeh et al., 2016; Lennox et 75 76 al., 2017; Rožman et al., 2016), and according to the last report of the European Food 77 Safety Authority (EFSA), "fish and fishery products" showed the highest levels of noncompliance with the food safety microbiological criteria for L. monocytogenes laid 78 down by Regulation (CE) 2073/2005 (EFSA, 2017). 79

Lactic acid bacteria (LAB), and lactobacillus in particular, constitute the dominant 80 microbiota in several types of foods and many LAB species are used as microbial food 81 cultures (MFC) in food production. In the EU, there is no specific regulation regarding 82 MFC; but with a long history of safe use, they are considered traditional food 83 84 ingredients and are legally permitted without premarket approval. Thus, MFC defined as characteristic food ingredients must be listed on the ingredient labels of the final food 85 86 in agreement with the Regulation (EU) 1169/2011. In addition, when added to a food, MFC must comply with the requirements established in the General Food Law 87

(Regulation (EC) 178/2002), i.e. they must be safe for their intended use (Herody et al., 88 89 2010; Laulund et al., 2017). Many LAB genera and species are generally recognized as safe (GRAS) by the FDA (2018) and have the qualified presumption of safety (QPS) 90 91 status established by EFSA. Among LAB, Lactobacillus is the genus including a high number of GRAS species, and particularly, Lactobacillus sakei is included in the QPS 92 list (EFSA BIOHAZ, 2017), thus not requiring the full safety assessment 93 (antibioresistance, virulence, and biogenic amine characterization) for its market 94 authorisation in the EU. The application of selected LAB strains as bioprotective 95 cultures has demonstrated a high potential to inhibit undesirable spoilage and 96 97 pathogenic bacteria in fresh fish and RTE fish products, including L. monocytogenes (Anacarso et al., 2014; Brillet et al., 2005). The inhibitory mechanism of LAB includes 98 99 microbial growth competition as well as microbial antagonism associated with the 100 production of antimicrobial metabolites such as organic acids (lactic acid, acetic acid, 101 etc.), hydrogen peroxide and more specifically, bacteriocins active against specific 102 bacteria such as L. monocytogenes (Gómez-Sala et al., 2016). In relation to the latter, 103 sakacins, being produced by certain L. sakei strains, belong to subclass IIa of bacteriocins which are generally known to have a strong antilisterial activity (Leroy and 104 105 De Vuyst, 2000). The lethal action of these bacteriocins results from membrane pore 106 formation of the target cell causing depletion of vital components as well as dissipation 107 of the proton motive force (Héchard and Sahl, 2002).

108 Microbial interaction has been addressed in the predictive microbiology field mainly 109 focused on the inhibitory effect of endogenous LAB on *L. monocytogenes* behavior 110 (Mejlholm and Dalgaard, 2007). Interaction models are usually intended to quantify 111 how much the growth of one population is reduced by the growth of other populations

(Cornu et al., 2011; Pérez-Rodríguez and Valero, 2013). Thus, two model approaches 112 are generally used to describe the interaction of LAB and L. monocytogenes: i) those 113 114 based on the Jameson effect phenomenon (Jameson, 1962) that describes the 115 simultaneous stop of growth of all bacterial populations at the time when the dominant bacteria population reaches its stationary phase (Giménez and Dalgaard, 2004; 116 Mellefont et al., 2008; Møller et al., 2013) and ii) the predator-prey models based on the 117 118 Lotka Volterra equation, which allow to describe the dynamics of two competing bacterial populations by incorporating an additional term describing the reduction of the 119 growth rate of a given population, this being proportional to the population density of 120 121 other competing population (Powell et al., 2004; Valenti et al., 2013; Vereecken et al., 2000). 122

123 Predictive models dealing with the interaction between the pathogen Listeria and 124 bacteriocin-producing LAB strains in foods other than fermented meat products 125 (Drosinos et al., 2006; Leroy et al., 2005) are, to the best knowledge of the authors, not 126 available in literature. Their development would provide the food industry with valuable tools to evaluate the effect of potential bioprotective cultures against L. monocytogenes 127 in specific food matrices, thereby enhancing food safety. In this respect, minimally 128 129 processed and RTE fish products made of raw fish, which are consumed without 130 applying any lethal treatment, could pose a serious risk in relation to L. monocytogenes (Jami et al., 2014; Miettinen and Wirtanen, 2005; Rožman et al., 2016). Sea bream, 131 132 considered a valuable fish species in Mediterranean EU countries, has been included 133 over the last years as main ingredient in popular non-heated RTE fish products, such as sushi, carpaccio and other products (Bolívar et al., 2018). This fish species is mostly 134

135 commercialized fresh as whole fish and in several supermarket chains as filleted fish136 under modified atmosphere packaging (MAP).

Therefore, the objective of this work was i) to quantitatively evaluate the effect of the sakacin-producing bioprotective strain *Lactobacillus sakei* CTC494 against *L. monocytogenes* in a fish model system and ii) to apply and validate microbial interaction models to simulate the simultaneous growth of both microorganisms in gilthead sea bream (*Sparus aurata*) fillets under MAP at isothermal and non-isothermal conditions.

## 143 2. Material and Methods

# 144 2.1. A step-wise approach for interaction model development

A step-wise approach was followed to develop interaction models simulating the growth of the bioprotective *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in fish fillets under MAP during isothermal and dynamic storage temperature. A schematic overview of the step-wise method is shown in Figure 1.

149 In the first step, the primary kinetic parameters lag time ( $\lambda$ ), maximum specific growth 150 rate  $(\mu_{max})$  and maximum population density  $(N_{max})$  were obtained for each microorganism from experimental data in mono-culture in fish (sea bream) juice at 151 152 different temperature conditions (section 2.3.1) and based on those, secondary models 153 were generated (section 2.7.1 and 2.7.2). Secondly, experimental data obtained in fish juice in co-culture were used (section 2.3.2) to estimate competition parameters in 154 interaction models by means of a regression process (section 2.7.4). In a third step, the 155 156 parameters from the secondary models and estimated interaction parameters for the model showing the best performance were used to simulate microbial interaction on fish 157

fillets stored under MAP at isothermal and non-isothermal conditions (section 2.5). The 158 159 values for interaction parameters were assumed to be constant in the tested ratios for both microorganisms, hence the average from all assayed temperatures was used to 160 161 define these parameters. Since an effect of the fish matrix and MAP conditions on kinetic parameters was expected, the specific growth rate obtained in fish juice was 162 163 adjusted to consider such effects. To determine the adjustment factor, data from 164 experiments made with fish fillets (section 2.5) were used, in which both microorganisms were inoculated at the same level and monitored under the same 165 temperature conditions used in the fish juice experiments. The adjustment factor for  $\mu_{max}$ 166 167 of each microorganism was calculated as the ratio between the  $\mu_{max}$  values obtained in fish product and in fish juice and were assumed to be constant for the range of 168 169 temperatures tested. Therefore, the same adjustment factor was applied to simulate the 170 microbial interaction on fresh fish fillets at isothermal and dynamic temperature conditions. 171

#### 172 2.2. Bacterial strains and inoculum preparation

The bacteriocin-producing L. sakei CTC494 strain was selected as bioprotective culture 173 174 in this study. This strain is a producer of bacteriocin, sakacin K, being able to inhibit the growth of spoilage bacteria and Listeria (Hugas et al., 1993). The strain L. 175 monocytogenes CTC1034 previously used as indicator to study the antagonism the LAB 176 produced bacteriocins (Garriga et al., 2002) was used in the present study as target 177 178 pathogen. This strain has the same serotype (i.e. 4b) as the clinical isolate Scott A. Stock cultures were stored at -80 °C in de Man Rogosa and Sharpe (MRS, Oxoid, UK) 179 180 broth for the LAB strain and in Brain Heart Infusion (BHI, Oxoid) for the pathogen, 181 both with 20% glycerol as cryoprotectant.

Before experiments, L. sakei CTC494 and L. monocytogenes CTC1034 were pre-182 cultured separately at static conditions in MRS (Oxoid, UK) at 33 °C with 10 % CO<sub>2</sub> 183 and BHI broth (BHI, Oxoid) at 37 °C, respectively. Two consecutive 24 h-subcultures 184 were made for each microorganism by transferring 0.1 mL to tubes containing 9 mL of 185 fresh respective media and incubating at the same above-mentioned temperatures. Then, 186 187 a third subculture was prepared, and tubes were incubated for 18-20 h at the appropriate temperature resulting in early stationary phase cultures, with a cell density of ca.  $10^8$ 188 cfu/mL and 10<sup>9</sup> cfu/mL for L. sakei CTC494 and L. monocytogenes CTC1034, 189 respectively. 190

# 191 2.3. Experiments with L. sakei CTC494 and L. monocytogenes CTC1034 in mono192 and co-culture in fish juice

Sterile fish juice was prepared from fresh muscle of gilthead sea bream following the protocol described by Bolívar et al. (2018). The prepared cultures (Section 2.2) were twice-washed in phosphate buffered saline solution (PBS) (Medicago AB, Uppsala, Sweden) by centrifugation at 4100 rpm (Jouan C4i, Thermo Electron Corporation, France) for 10 min and cells were re-suspended in fish juice. The suspensions of *L. monocytogenes* and *L. sakei* were serially diluted ten-fold in fish juice to obtain the desired concentration to be inoculated to fish juice at 1 % (v/v).

Growth experiments were carried out at static conditions in sterile 250-mL Schott bottles containing fish juice. In the mono-culture experiments, the inoculum concentration of each microorganism was set to *ca*.  $10^2$  cfu/mL. For the co-culture experiments, the inoculum concentration of *L. monocytogenes* was always  $10^2$  cfu/mL, while for *L. sakei* CTC494, three different concentrations were investigated,  $(10^2, 10^4$ and  $10^6$  cfu/mL), thus generating three (initial) inoculation ratios *L. monocytogenes*: *L.*  *sakei* that corresponded to 1:1, 1:2 and 1:3 when bacterial concentrations were
expressed in logarithmic scale. After inoculation, flasks were stored at four constant
temperatures targeted at 2, 5, 8 and 12 °C during a period from 5 to 46 days. Storage
temperature was recorded at regular time intervals using data loggers (Fourtec,
MiniLitE5032L, USA) and the mean of registered temperatures (i.e. 2.2, 5.0, 8.1 and
12.1 °C) was used for modelling purposes. Each experiment was performed in
duplicate.

# 213 2.4. Quality deterioration assessment of fresh sea bream fillets under MAP

# 214 2.4.1. Fish fillet product description

Individual plastic trays containing two fresh gilthead sea bream fillets packed under MAP were supplied by a private company (Zaragoza, Spain). Fish trays were received at the laboratory 18-24 h after processing in expanded polystyrene boxes with flake ice. The average weight of the fish fillets was  $332.2 \pm 12.1$  g with an initial pH of 6.11 ± 0.05 (Hanna Edge, HI2020, USA). The initial headspace gas composition in the trays was measured using a O<sub>2</sub>/CO<sub>2</sub> gas analyser (Gaspace 2, Systech Instruments, U.K.) and the obtained values corresponded to  $37.4 \% \pm 0.7$  for O<sub>2</sub> and  $27.0 \% \pm 1.0$  for CO<sub>2</sub>.

# 222 2.4.2. Inoculation of fish fillets

Bacterial suspensions prepared as described in Section 2.2 were serially diluted ten-fold with physiological saline water (PSW, 0.85 % w/v NaCl). For inoculation, aliquots of 0.01 mL were taken from the appropriate decimal dilution and deposited on the caudal region of the fish fillet. Inoculation was performed using a 1-mL syringe with needle (BD Plastipak, Spain) inserted through an adhesive septum (ø 15 mm, PBI Dansensor, Denmark) which was previously placed on the laminate film of the plastic tray.

A preliminary sensory analysis was conducted to assess the effect of the initial level of *L. sakei* CTC494 on fish quality deterioration. In that aim, fish fillets were inoculated with *L. sakei* CTC494 as described in the previous section at three initial concentrations of  $10^2$ ,  $10^4$  and  $10^6$  cfu/g (n = 14, 14 and 10, respectively). A control batch was prepared without added bacteria (n = 14). All trays were stored at  $5.0\pm0.12$  °C.

235 A semi-trained sensory panel made up of five members from the Faculty of Veterinary (University of Cordoba, Spain) was required in order to evaluate the quality changes of 236 the fish fillets using the Quality Index Method (QIM) (Bremner et al., 1985). This 237 method is based on the use of significant sensory parameters and characteristic 238 239 attributes for raw fish with a scoring system of demerit points ( $\leq 3$ ), which is in direct 240 proportion to their importance in the deterioration pattern of the species (Huidobro et 241 al., 2000). The scores for all the characteristics are summed-up to give an overall 242 sensory score, the so-called Quality Index (QI) (Botta 1995). A QI of 0 indicates a very 243 fresh fish and score increases as the freshness's characteristics lapses (Campus et al., 2011). 244

In our study, the QIM was adapted from the scheme proposed by Lougovois et al. (2003) and Campus et al. (2011) to evaluate freshness in gilthead sea bream fillets under MAP. The attributes scored by the sensory panel are shown in Supplementary Table S1. A linear correlation was established for each experimental condition (i.e. control and inoculated batches) between the freshness expressed by the QI and storage time (Microsoft Excel, Redmond, USA). The QI scores obtained by the five panellists in each evaluation day for inoculated and control fillets were statistically compared by a tStudent test (p=0.05) using the statistical software package SPSS 24.0 (Chicago,
Illinois, USA).

Sensory results demonstrated that the rate of freshness loss was similar for fillets inoculated with  $10^2$  and  $10^4$  cfu/g of *L. sakei* compared to control fillets (data not shown). Hence, a level of  $10^2$  and  $10^4$  cfu/g of *L. monocytogenes* and *L. sakei*, respectively (ratio 1:2 in log scale) was defined for co-inoculation experiments in fish fillets (Section 2.5.2).

The application of *L. sakei* CTC494 as protective culture was sensory validated on fish fillets inoculated with both microorganisms at a ratio 1:2, which corresponded to, in arithmetic scale, *ca.*  $10^2$  cfu/g *L. monocytogenes* CTC1034 and *ca.*  $10^4$  cfu/g *L. sakei* CTC494. Inoculated fish and control (i.e. non-inoculated) fillets were stored at 5 °C for 8 days. Sensory assessment was performed on days 0, 4, 6 and 8.

# 264 2.5. Experiments with L. sakei CTC494 and L. monocytogenes CTC1034 on fresh 265 gilthead sea bream fillets

# 266 2.5.1. Mono-culture experiments

The effect of food matrix on the growth of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 was evaluated by inoculating both microorganisms independently in fresh fish fillets. For that, fish fillets were acquired and inoculated (n = 36) as described in Sections 2.4.1 and 2.4.2. An additional control batch (n = 22) with non-inoculated fish fillets was prepared. Experiments were carried out at a target temperature of 5 °C (measured mean temperature of 4.8 °C) for 25 days until microorganisms reached the stationary phase.

#### 274 2.5.2. Co-culture experiments

The interaction between L. sakei and L. monocytogenes on fish fillets was evaluated by 275 276 co-inoculation at the selected 1:2 ratio (i.e. 2 log cfu/g L. monocytogenes and 4 log 277 cfu/g L. sakei), which was previously defined according to results from Section 2.4.3. 278 Before inoculation, bacterial suspensions were serially diluted ten-fold in PSW to obtain 279 the desired concentration and mixed at equal volumes. Control (n = 56) and inoculated 280 (n = 106) fillets were stored at two isothermal conditions with a mean of  $4.8\pm0.14$  and 8.2±0.10 °C for to 14 and 10 days, respectively. For the experiments at non-isothermal 281 282 conditions, fillets were stored at two dynamic temperature profiles, ranging from 4 to 8 283 °C (profile 1) and from 2.5 to 12 °C (profile 2), for a total period of 12 days. The storage 284 temperature was recorded at regular time intervals using data loggers (Fourtec, MiniLitE5032L, USA). 285

#### 286 2.6. Microbiological analyses

For experiments in fish juice, at each sampling point, 1 mL sample was aseptically taken from each flask and serially diluted ten-fold in PSW. For experiments with fish product, a 25-g portion of the (inoculated) fish fillet's caudal region, considered as the analytical sample, was taken aseptically and transferred to a stomacher bag containing 225 mL PSW. Samples were homogenized for 60 s (1500 rpm) in a stomacher (Masticator, IUL Instruments, Spain).

MRS agar supplemented with bromocresol purple (BP, 0.12 g/L, Sigma-Aldrich, USA) and *Listeria* selective agar base (Oxoid) containing selective supplement (SR140E; Oxoid) were used for the enumeration of *L. sakei* and *L. monocytogenes*, respectively. BP is a pH indicator used for the enumeration of LAB in foods that indicates the production of lactic acid by changing the MRS colour from purple to yellow (Sobrun et al., 2012). Plates were incubated for approx. 48 h at 33 °C under 10 %  $CO_2$  for *L. sakei* 

- and at 37 °C for *L. monocytogenes*.
- 300 2.7. Development of predictive models
- 301 2.7.1. Primary model fitting to mono-culture data

Plate counts for *L. sakei* and *L. monocytogenes* were transformed into decimal logarithmic values (i.e. log cfu/g or mL). The growth parameters  $\lambda$ ,  $\mu_{max}$  and  $N_{max}$ obtained from each storage temperature for mono and co-culture experiments were estimated by fitting the Baranyi and Roberts model (1994) defined by Eqs. (1) and (2) to the observed data (mean of duplicates at each sampling point) using DMFit Excel Add-in v. 3.5.

308 
$$\log N_t = \log N_0 + \frac{\mu_{max}}{\ln(10)} \cdot F(t) - \frac{1}{m \cdot \ln(10)} \cdot \ln\left(1 + \frac{e^{m \cdot \mu_{max} \cdot F(t)} - 1}{10^{m(\log N_{max} - \log N_0)}}\right)$$
 (1)

309 
$$F(t) = t - \lambda + \frac{1}{\mu_{max}} \cdot ln \left( 1 - e^{-\mu_{max} \cdot t} + e^{-\mu_{max} \cdot (t - \lambda)} \right)$$
 (2)

where  $N_t$  is the cellular concentration (cfu/g or mL) at time t,  $N_0$  is the initial concentration (cfu/g or mL),  $\mu_{max}$  is the specific maximum growth rate (h<sup>-1</sup>),  $\lambda$  is the lag time (h),  $N_{max}$  is the maximum population density (cfu/g or mL), m is a curvature factor, F(t) represents an adjustment function for the model.

# 314 2.7.2. Secondary models for mono-culture experiments

The influence of temperature on the primary growth parameters of *L. sakei* and *L. monocytogenes* in fish juice was estimated using the square-root model (Eq. 3) (Ratkowsky et al., 1982) which was fitted in MS-Excel (Microsoft, Redmond, USA).

$$318 \qquad \sqrt{p} = b \cdot \left(T - T_{\min}\right) \tag{3}$$

319 where *p* is the kinetic parameter (i.e.  $\lambda$  and  $\mu_{max}$ ), *b* is a constant, *T* (°C) is temperature 320 and  $T_{min}$  is the theoretical minimum temperature for growth.

# 321 2.7.3. Effect of microbial interaction on kinetics parameters

To quantify the reduction on *L. monocytogenes* growth by the bioprotective *L. sakei* CTC494 in fish juice, a reduction ratio ( $\alpha$ ) was calculated based on the fraction between the parameters obtained in co-culture ( $p_{co}$ ) and mono-culture ( $p_{mono}$ ) as shown by Eq. (4). To that aim, the parameters from co-culture experiments were also obtained by the Baranyi model (see section 2.7.1).

$$327 \qquad \alpha = 1 - \left(\frac{p_{co}}{p_{mono}}\right) \tag{4}$$

328 where  $\alpha$  is the reduction ratio and  $p_{co}$  and  $p_{mono}$  the kinetic parameters (i.e.  $\lambda$  and  $\mu_{max}$ ) in 329 co-culture and mono-culture, respectively.

2.7.4. *Modelling microbial interaction between* L. sakei *CTC494 and* L. monocytogenes *CTC1034*

To predict the simultaneous growth between the bioprotective *L. sakei* strain (at different initial concentrations) and *L. monocytogenes* in fish juice stored at 2.2 $\pm$ 0.08, 5.0 $\pm$ 0.33, 8.1 $\pm$ 0.33 and 12.1 $\pm$ 0.12 °C, three different microbial interactions models were tested. Firstly, the Jameson effect model based on Eqs. (5) and (6), which assumes that the growth of the pathogen halts when the dominant microbial population reaches its  $N_{max}$ (Cornu et al., 2011; Jameson, 1962).

$$339 \qquad \frac{dN_{Ls}}{dt} = N_{Ls} \cdot \mu_{maxLs} \cdot \left(1 - \frac{N_{Ls}}{N_{maxLs}}\right) \cdot \left(1 - \frac{N_{Lm}}{N_{maxLm}}\right) \cdot \left(\frac{Q_{Ls}}{1 + Q_{Ls}}\right) \tag{5}$$

$$340 \qquad \frac{dN_{Lm}}{dt} = N_{Lm} \cdot \mu_{maxLm} \cdot \left(1 - \frac{N_{Lm}}{N_{maxLm}}\right) \cdot \left(1 - \frac{N_{Ls}}{N_{maxLs}}\right) \cdot \left(\frac{Q_{Lm}}{1 + Q_{Lm}}\right) \tag{6}$$

$$341 \qquad \frac{dQ_{Ls}}{dt} = Q_{Lst-1} \cdot \mu_{maxLs} \tag{7}$$

$$342 \qquad \frac{dQ_{Lm}}{dt} = Q_{Lmt-1} \cdot \mu_{maxLm} \tag{8}$$

where *N* is the cell concentration (cfu/mL) at time *t*,  $\mu_{max}$  is the maximum specific growth rate (h<sup>-1</sup>),  $N_{max}$  is the maximum population density (cfu/mL) and *Q* is a measure of the physiological state of cells at time *t*, for *L*. *sakei* (Ls) or *L*. *monocytogenes* (Lm).

346 The value of Q at t=0 ( $Q_0$ ) was calculated for both microorganisms as follows:

347 
$$Q_0 = \frac{1}{e^{(\mu_{max} \cdot \lambda)} - 1}$$
(9)

In our study, a modification of the Jameson effect model was also used, represented by Eqs. (10) and (11). This modification includes the parameters  $Ncri_{Ls}$  and  $Ncri_{Lm}$  that describe the maximum critical concentration that a population should reach to inhibit the growth of the other population (Jameson, 1962; Le Marc et al., 2009; Vasilopoulos et al., 2010).

353 
$$\frac{dN_{Ls}}{dt} = N_{Ls} \cdot \mu_{maxLs} \cdot \left(1 - \frac{N_{Ls}}{N_{maxLs}}\right) \cdot \left(1 - \frac{N_{Lm}}{N_{criLm}}\right) \cdot \left(\frac{Q_{Ls}}{1 + Q_{Ls}}\right)$$
(10)

354 
$$\frac{dN_{Lm}}{dt} = N_{Lm} \cdot \mu_{maxLm} \cdot \left(1 - \frac{N_{Lm}}{N_{maxLm}}\right) \cdot \left(1 - \frac{N_{Ls}}{N_{criLs}}\right) \cdot \left(\frac{Q_{Lm}}{1 + Q_{Lm}}\right)$$
(11)

$$355 \qquad \frac{dQ_{Ls}}{dt} = Q_{Lst-1} \cdot \mu_{maxLs} \tag{12}$$

$$356 \qquad \frac{dQ_{Lm}}{dt} = Q_{Lmt-1} \cdot \mu_{maxLm} \tag{13}$$

where  $N_{cri}$  is the maximum critical concentration (cfu/mL) of *L. sakei* (Ls) on *L. monocytogenes* (Lm) and vice-versa. The rest of model parameters are described in Eqs. (5) to (9).

Finally, the traditional Lotka Volterra model, also referred to as predator-prey model, was used according to Eqs. (14) and (15). This model includes two empirical parameters reflecting the degree of interaction between microbial species ( $F_{LsLm}$  and  $F_{LmLs}$ ) (Cornu et al., 2011; Fujikawa et al., 2014; Giuffrida et al., 2008). Depending on the empirical parameter value for *L. sakei* ( $F_{LsLm}$ ), the growth of *L. monocytogenes* can be affected in three different ways:

366 1) If  $0 < F_{LsLm} < 1$ , *L. monocytogenes* grows with reduced  $\mu_{max}$  after *L. sakei* reaches 367  $N_{max}$ .

368 2) If  $F_{LsLm} = 1$ , *L. monocytogenes* stops growing when *L. sakei* reaches its  $N_{max}$ .

369 3) If  $F_{LsLm} > 1$ , L. monocytogenes population declines when L. sakei reaches its  $N_{max}$ .

$$370 \qquad \frac{dN_{Ls}}{dt} = N_{Ls} \cdot \mu_{maxLs} \cdot \left(1 - \frac{N_{Ls} + F_{LsLm} \cdot N_{Lm}}{N_{maxLs}}\right) \cdot \left(\frac{Q_{Ls}}{1 + Q_{Ls}}\right) \tag{14}$$

$$371 \qquad \frac{dN_{Lm}}{dt} = N_{Lm} \cdot \mu_{maxLm} \cdot \left(1 - \frac{N_{Lm} + F_{LmLs} \cdot N_{Ls}}{N_{maxLm}}\right) \cdot \left(\frac{Q_{Lm}}{1 + Q_{Lm}}\right) \tag{15}$$

$$372 \qquad \frac{dQ_{Ls}}{dt} = Q_{Lst-1} \cdot \mu_{maxLs} \tag{16}$$

$$373 \qquad \frac{dQ_{Lm}}{dt} = Q_{Lmt-1} \cdot \mu_{maxLm} \tag{17}$$

where  $F_{LsLm}$  and  $F_{LmLs}$  are, respectively, the competition factor parameters of *L. sakei* CTC494 on *L. monocytogenes* CTC1034 and vice-versa. The other parameters are as indicated in Eqs. (5-9).

The interaction parameters  $N_{cri}$  (maximum critical concentration of one population) and  $F_{LsLm}$  and  $F_{LmLs}$  (competition factors of one species on the other) were estimated by regression using kinetic parameters derived from mono-culture data (see sections 2.7.1 and 2.7.2). To estimate the best-fit values of interaction parameters, an optimization procedure was implemented in MATLAB version R2015b using the functions *fmincon* and *ode45* (The MathWorksInc®, Natick, USA).

# 383 2.7.5. Goodness-of-fit indexes and predictive model performance

- The goodness-of-fit of the primary and secondary models was assessed by root mean square error (RMSE) and coefficient of determination ( $R^2$ ).
- The performance of the developed interaction models to predict the behaviour of the bioprotective *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in MAP-fish fillets under isothermal and dynamic temperature conditions was evaluated by the acceptable simulation zone (ASZ) approach. Model performance is considered acceptable when at least 70 % of the observed log counts values are within the ASZ, defined as  $\pm$  0.5 log-

units from the simulated concentration in log units (Mejlholm and Dalgaard, 2015;Møller et al., 2013).

393 3. Results

394 3.1. Primary growth parameters of L. sakei CTC494 and L. monocytogenes CTC1034
395 in mono-culture on fish juice and fish fillets

396 The two studied microorganisms were able to grow in sterile fish juice when stored at 2.2±0.08, 5.0±0.33, 8.1±0.33 and 12.1±0.12 °C and on fish fillets at 4.8±0.14 °C. The 397 398 growth curves obtained from the fit of the Baranyi and Roberts model provided a good description of the observed data (Supplementary Figure S1). The parameters  $\lambda$  and  $\mu_{max}$ 399 varied with temperature, while  $N_{max}$  was not affected, with average values of 7.92 and 400 8.74 log cfu/mL for L. sakei CTC494 and L. monocytogenes, respectively. The 401 402 parameters estimated by the Baranyi and Roberts model are shown in Table 1. For both fish matrices (juice and fillets) the model showed good fit to data ( $R^2 > 0.98$ ) 403 (Supplementary Table S2). A minimum of 7 and a maximum of 23 sampling points 404 were taken for each microorganism depending on the storage temperature. In summary, 405 406 results in mono-culture confirmed that the bioprotective strain L. sakei CTC494 407 presented better ability to grow in fish juice at low temperatures, which was also observed on fish fillets. 408

409 *3.2. Secondary growth models* 

410 The parameters  $\lambda$  and  $\mu_{max}$  obtained from the Baranyi and Roberts model were used to 411 fit a square-root model (Eq. 3). The ability of the secondary models to describe the 412 influence of temperature on the growth parameters was proven to be satisfactory 413 according to the values from RMSE and R<sup>2</sup>, whose values were in the ranges 0.064414 0.086 and 0.874-0.999, respectively. A summary of results from the fitting of the415 square-root model for both microorganisms is shown in Table 2.

# 416 3.3. Interaction of L. sakei CTC494 and L. monocytogenes CTC1034 in fish juice at 417 different temperatures and inoculation ratios

418 The influence of storage temperature and the inoculation ratio (1:1, 1:2 and 1:3) on the interaction of L. sakei CTC494 and L. monocytogenes was assessed. To allow a 419 comparison with kinetic parameters in mono-culture, the Baranyi and Roberts model 420 without considering interaction was fitted to experimental data in co-culture (Table 3). 421 The statistical indexes for the fitted model presented satisfactory RMSE and  $R^2$  values 422 423 (Supplementary Table 3). The most evident outcome from these experiments was that 424 higher ratios produced stronger inhibition of L. monocytogenes growth, with the ratio 425 1:3 yielding no apparent growth for the pathogen. The parameter  $\mu_{max}$  was little influenced, even though values obtained in co-culture were generally lower than those 426 427 obtained in mono-culture. Figure 2 represents, through a bar diagram, a comparison of  $\lambda$ 428 and  $N_{max}$  obtained from mono-culture and co-culture at the different conditions by using the reduction ratio ( $\alpha$ ) calculated according to Eq. (4). From this figure, it can be 429 430 observed that  $\alpha$  for  $\lambda$  varied for L. monocytogenes among the different inoculation ratios, but in all co-culture experiments,  $\lambda$  presented a reduction with respect to that 431 432 observed in mono-culture. However, further analysis of data confirmed that differences 433 were rather produced by the fitting process (i.e. prediction error) affected by the relatively  $\lambda$  short duration ( $\geq$  5 °C;  $\lambda \leq$  36 h) than a hypothetical interaction between 434 microorganisms. 435

436 On the other hand,  $N_{max}$  was the most affected parameter for *L. monocytogenes* at all 437 concentration ratios. For instance, in mono-culture experiments at 5.0 °C (Table 1), log

438  $N_{max}$  was 8.65 log cfu/mL while for co-culture experiments, the parameter was gradually 439 decreasing to 5.94 ( $\alpha = 31$  %), 4.22 ( $\alpha = 51$  %) and 1.37 ( $\alpha = 84$  %) log cfu/mL for 440 inoculation ratios 1:1, 1:2 and 1:3, respectively. For the latter, the putative " $N_{max}$ " was 441 taken from observations since the Baranyi and Roberts model could not be fitted to data 442 at ratio 1:3 as no growth was observed. Similar inhibition patterns were observed for the 443 other assayed temperatures (Fig. 2).

444 3.4. Sensory analysis

445 The sensory evaluation results obtained for sea bream fillets under MAP conditions stored at 5 °C are presented in Table 4. The QI scores obtained for fish samples 446 inoculated at a ratio 1:2 (L. monocytogenes: L. sakei) were compared to control samples 447 (i.e. non-inoculated). In general, QI scores increased linearly during storage with a 448 correlation coefficient  $(R^2)$  of 0.82 and 0.67 for control and inoculated batches, 449 respectively. The statistical analysis of QI scores showed that L. sakei CTC494 did not 450 significantly affect the sensory properties of fish fillets (p > 0.05) during the evaluated 451 452 storage time (8 days). Though the deterioration rate was slightly lower for control (slope = 0.47) than for inoculated samples (slope = 0.55), the differences were not statistically 453 significant (p > 0.05). Therefore, from the sensory perspective, the addition level of  $10^4$ 454 455 cfu/g of L. sakei CTC494 would be suitable as bioprotective strategy without modifying the spoilage rate in comparison with a control (non-bioprotected) product. 456

*3.5. Modelling interaction of* L. sakei CTC494 *and* L. monocytogenes CTC1034 *in fish juice*

459 The three interaction models (Fig. 3) were tested using the kinetic parameters ( $\lambda$ ,  $\mu_{max}$ 460 and  $N_{max}$ ) obtained from the Baranyi and Roberts model fitted to mono-culture 461 experiment data and estimating the respective interaction factors by regression analysis.
462 The statistical performance of the models was evaluated by RMSE whose values are
463 shown in Table 5 together with the estimated parameters.

The Jameson effect model presented the worst fitting to data, showing the highest 464 RMSE values. This result suggests that interaction between both microorganisms could 465 466 not be exclusively explained by the Jameson effect, where growth inhibition is the result 467 from a depletion in nutrient bioavailability and toxicity increase when the dominant population reaches  $N_{max}$ . The modified Jameson effect model including the parameter 468  $N_{cri}$  showed better performance, with RMSE lower values. For both microorganisms, 469  $N_{cri}$  remained in the same order of magnitude for the different temperatures and 470 471 inoculation ratios, with average values, in log scale, of 7.7 and 8 log cfu/mL for L. sakei 472 CTC494 and L. monocytogenes CTC1034, respectively (Table 5).

The Lotka Volterra interaction model showed slightly better fit to data than the above models according to RMSE (Table 5) and visual analysis of growth curves (Fig. 3). In the case of the ratio 1:3, a poor fitting was observed for *L. monocytogenes* although this condition also yielded unsatisfactory fitting results for the Jameson effect-based models. This could be due to the difficulty of the models to suitably describe the large decline of *L. monocytogenes* population at this ratio.

As regards the inhibitory effect of *L. sakei* CTC494 on *L. monocytogenes* growth, competition factors ( $F_{LsLm}$ ) at the lowest temperature (2.2 °C) were below 1 for inoculation ratios 1:1 and 1:2, with values of 0.84 and 0.94, respectively. However, for the same temperature at ratio 1:3, the competition factor was equal to 2.67. This higher value reflected the noticeable decline of *L. monocytogenes* population (down to 0.70 log cfu/mL). For inoculation ratios 1:2 and 1:3, at 5 °C, the competition factors increased up to 1.54 and 1.46, respectively. For higher temperatures (8-12 °C), this increasing trend
in the competition factor was minimized showing a rather variable pattern, and
therefore, no mathematical model could be derived for such a relationship. Thus, for
modelling purposes, this parameter was fixed to the average value observed at different
temperatures for the corresponding inoculation ratio.

- 490 *L. monocytogenes* did not exert any inhibitory effect on *L. sakei* CTC494 as 491 demonstrated by the competition factor ( $F_{LmLs}$ ) being equal to 0 (Table 5).
- 492 3.6. Simulation of growth interaction of L. sakei CTC494 and L. monocytogenes
  493 CTC1034 on fish fillets
- The simultaneous growth of *L. sakei* CTC494 and *L. monocytogenes* was evaluated in sea bream fillets under MAP at two isothermal (4.8 and 8.2 °C) as well as at two nonisothermal conditions (profile 1: 4-8 °C and profile 2: 2.5-12 °C) at an initial inoculation ratio 1:2 based on the sensory analysis outcome (Fig. 4).
- For isothermal conditions, *L. sakei* CTC494 reached the stationary phase with 10 and 5 days of storage at 4.8 °C and 8.2 °C, respectively, with an average log  $N_{max}$  of 7.91 log cfu/g, while for *L. monocytogenes* under the same conditions, log  $N_{max}$  was 2.23 and 1.87 log cfu/g respectively. The value obtained at 4.8 °C represented for a reduction of 67 % compared with log  $N_{max}$  estimated in mono-culture in fish fillets (5.68 log cfu/g).
- The average pH values for fish fillets remained constant throughout the storage time (6.15  $\pm$  0.02) and the gas concentration in the packaging at the end of storage was 31.2 %  $\pm$  0.85 and 29.0 %  $\pm$  0.19 for O<sub>2</sub> and CO<sub>2</sub>, respectively.
- 506 The kinetic parameters, in the model, for both microorganisms were estimated by using 507 the secondary models ( $\lambda$  and  $\mu_{max}$ ) derived from mono-culture experiments except for

log  $N_{max}$  which was not temperature dependent and therefore, the average value was 508 509 used instead (i.e., in log scale, 7.92 and 8.74 log cfu/mL for L. sakei and L. 510 *monocytogenes*, respectively). To consider the effect of food matrix on  $\mu_{max}$  (h<sup>-1</sup>), the 511 reduction of this parameter on the fresh fish product (4.8 °C) in relation to that observed in fish juice in mono-culture was estimated (5.0 °C), which corresponded to 0.68. Thus, 512 513 for simulating growth, the specific growth rate for L. monocytogenes was adjusted 514 applying the above reduction rate in the Lotka Volterra model. Due to the difficulty to set an equation describing the temperature effect on the competition factor, this was 515 fixed to the average of the values obtained at the different temperatures at the ratio 1:2, 516 517 which corresponded to 1.54. It was deemed that the value was representative for the 518 assayed temperatures, considering that most temperatures in challenge tests were in the 519 range 4-12 °C, where the competition factor was similar. The same reduction rate and 520 competition factor were used for the experiments at isothermal conditions (4.8 and 8.2 °C) as well as for the two dynamic time-temperature profiles. 521

522 Table 6 shows RMSE and ASZ values for the growth interaction of L. sakei CTC494 523 and L. monocytogenes CTC1034 on fish fillets predicted by Lotka Volterra model. The 524 RMSE values for experiments under isothermal conditions varied between 0.378-0.555 525 and 0.452-0.593 for L. sakei CTC494 and L. monocytogenes CTC1034, respectively. 526 The visual inspection of the simulated line also confirmed that good performance of 527 models, demonstrating that the model was able to simulate the observed slight Listeria 528 increase and subsequent decline, though at 8.2 °C, observations showed a more 529 prominent decline than the one predicted by the model simulation (i.e. fail-safe 530 prediction). Furthermore, values for ASZ considering as criterion  $\pm 0.5$  log.units showed that models can mostly accounted for the counts recorded during the interactionexperiments, with values of 79 % (Table 6).

533 For non-isothermal temperature conditions, RMSE values ranged from 0.645-0.894 and 0.309-0.615 for L. sakei and L. monocytogenes, respectively. Lotka Volterra model 534 535 showed closer predictions to experimental data in fish fillets for L. monocytogenes 536 under profile 1. The percentages for the ASZ corresponded to 92 % (11/12) and 77 % 537 (10/13) for profile 1 and 2, respectively. For L. sakei, ASZ values varied between 75 (9/12) and 77 % (10/13) for both profiles. Lotka Volterra model overestimated the 538 exponential phase of L. sakei CTC494, while for L. monocytogenes the same was 539 540 observed only for profile 2. The overestimation in profiles for L. monocytogenes could 541 be considered as a "fail-safe" prediction since growth was predicted when no-growth 542 was actually observed (i.e. profile 2).

#### 543 **4.** *Discussion*

# 544 *4.1.* L. monocytogenes growth in mono-culture

For L. monocytogenes in mono-culture at 5° C,  $\mu_{max}$  values obtained in our study were 545 546 16 % higher than those found by Verheyen et al. (2018) for in fish-protein based emulsions at 4 °C used as food model system for fish. On the contrary, the  $\mu_{max}$ 547 observed by Bolívar et al. (2018) in fish juice within the interval 5-11 °C were higher 548 (30-57%) than those found in our study in the range 4-12 °C. Differences in growth 549 550 rates could be mainly attributed to strain variability and experimental conditions. By the 551 contrary, the predictions provided by Combase Predictor 552 (https://www.combase.cc/index.php/en/) considering the same physico-chemical 553 characteristics as those obtained for fish juice (pH = 6.66;  $a_w = 0.997$ ) were similar in all 554 temperatures studied. Furthermore, the obtained  $\mu_{max}$  for L. monocytogenes in our fish juice were in the range of values reported for other fish matrices (i.e. 0.0329-0.2075 at
4-12 °C) such as smoked salmon, raw tuna, vacuum-packed rainbow trout fillets and sea
bream fillets under MAP conditions (Faber, 1991; Hisar et al., 2005; Hwang, 2007; Liu
et al., 2016; Provincial et al., 2013).

4.2. Growth interaction of L. sakei CTC494 and L. monocytogenes CTC1034

In general, observations in our study showed that the suppression of *Listeria* growth occurred when the dominant population, i.e. *L. sakei* CTC494 reached their  $N_{max}$ . This result would signal a potential Jameson effect between populations. Several studies have considered the Jameson effect in the simultaneous growth of microorganisms and *L. monocytogenes* on fish products (Beaufort et al., 2007; Giménez and Dalgaard, 2004; Koseki et al., 2011; Mejlholm and Dalgaard, 2007).

566 According to results, the inhibitory effect was influenced by the inoculation ratio and 567 temperature, which has been also reported in other works (Quinto et al., 2016; 568 Yamazaki et al., 2003). Differences in inoculum level is key to determine the dominant microorganism in the microbial interaction and thus, the level of inhibition between 569 570 microbial populations (Mellefont et al., 2008). Despite this fact, we observed that L. sakei CTC494 exerted a slight inhibition on  $N_{max}$  of L. monocytogenes even when both 571 microorganisms were inoculated the same level (ratio 1:1). This inhibition at equal 572 inoculum level could be associated with production of bacteriocin since L. sakei 573 574 CTC494 produces sakacin K (Hugas et al., 1995; De Vuyst and Leroy, 2007; Leroy et 575 al., 2005; Ravyts et al., 2008) and the influence of other metabolites such as organic 576 acids was discarded as potential inhibitors because of no relevant changes in pH were 577 detected during growth experiments in fish juice and fish samples.

In summary, the interaction between L. sakei CTC494 and L. monocytogenes presented 578 579 in our study could be understood by a combination of two mechanisms: i) a non-specific interaction involving the Jameson effect on the inhibition of L. monocytogenes, 580 581 occurring when L. sakei CTC494 is present at an initial concentration higher than L. monocytogenes together with the fact that the bioprotective strain grows faster than the 582 583 pathogen (Mellefont et al., 2008; Jameson, 1962) and ii) specific interaction caused by 584 modification of the medium where both microorganisms coexist, resulting in an specific antagonistic effect on the growth of L. monocytogenes due to bacteriocin production 585 (i.e. sakacin K) by the bioprotective strain (Aguilar and Klotz, 2010; Vescovo et al., 586 587 2006). However, the production of bacteriocin was not quantified in our study, thus no conclusion can be drawn about which interaction phenomenon was more relevant. Nor 588 589 could mechanistic models be applied due to the lack of biological insight into the 590 metabolic and genetic phenomena arising from the simultaneous growth of two microbial populations. 591

592

#### 4.3. Lotka Volterra's competition factor

The competition factors for L. monocytogenes ( $F_{LsLm}$ ) in fish juice were slightly 593 594 temperature dependent for all ratios (Table 5). The largest increase in the competition 595 factor took place at low temperatures for ratios 1:2 and 1:3 (i.e. 2.2-5 °C) while for ratio 1:1, higher temperatures (8-12 °C) were responsible for a higher rise of this factor. No 596 597 mathematical expression could be derived from data because of the limited number of 598 observations, reduced temperature range and the lack of a clear pattern in data. Møller et al. (2013) estimated the competition factors for natural microbiota on growth of 599 600 Salmonella spp. in fresh pork using the Lotka Volterra model and expanded Jameson effect model and found dependency on range of storage temperature assayed. By the 601

602 contrary, Mejholm and Dalgaard (2015) using the model proposed by Giménez and 603 Dalgaard (2004) did not find that the competition factor was temperature dependent. 604 Furthermore, the traditional Jameson effect model or its modification suggested by Le 605 Marc et al. (2009) have been used to predict growth of microorganisms in food at different storage temperature (Giménez and Dalgaard, 2004; Le Marc et al., 2009; 606 607 Mejholm and Dalgaard, 2007; Vermeulen et al., 2011). In those studies, however, the 608 effect of microbial interaction on growth patterns was independent of the studied 609 storage temperatures. The divergence between studies to correlate interaction factors with temperature can be related to the different conditions used in experiments (i.e. type 610 611 of microorganism, food matrix and inoculum concentration).

612 Competition factors, in our study, were also under the influence of the inoculation ratio. Thus, the lowest values were obtained for ratios 1:1 and 1:2 (Table 5). Baka et al. 613 614 (2014) estimated low competition factors for the interaction between Leuconostoc 615 carnosum and L. monocytogenes in vacuum packed Frankfurter sausages stored at 4 °C 616 for the ratio 1:1. At intermediate temperatures (8.1-12.1 °C), the competition factors 617 decreased with the initial increase of concentration of Leuconostoc carnosum. Fujikawa 618 et al. (2014) found that the values for the competition factors did not vary with the 619 combinations of the initial populations of Staphylococcus aureus, Escherichia coli and 620 Salmonella spp. at 28 °C.

According to Baka et al. (2014), differences in values of the competition factor can be attributed to the combination of different variables, such as temperature, nutrient depletion, pH, bacteriocin production, organic acid, MAP conditions, etc., which can be considered as part of the hurdle concept (Leistner, 1995). When these variables are identified, the Lotka Volterra model can be modified for more realistic microbial interaction descriptions, for instance, the effect of environmental conditions (i.e.
temperature), the influence of inhibitory substances on lag phase duration of pathogenic
organisms or whether bacteriocin production is dependent quorum sensing (Dens et
al.,1999, Powell et al., 2004).

*4.4. Simulating growth inhibition and bioprotective activity of* L. sakei CTC494 *on* L.
monocytogenes CTC1034 *on fish fillets*

A challenge test on gilthead sea bream fillets under MAP inoculated with L. 632 monocytogenes and L. sakei CTC494 in co-culture at ratio 1:2 was carried out under 633 isothermal and non-isothermal conditions. The Lotka Volterra model slightly 634 overestimated the experimental observations of L. sakei in the exponential phase for the 635 636 profiles 1 and 2. These discrepancies can be partly explained by the fact that the 637 performance of a dynamic model depends on the performance of the primary and 638 secondary models, and the sudden temperature changes can cause an intermediate lag 639 time that cannot be predicted by the models (Longhi et al., 2013). Nevertheless, this fact 640 did not affect predictions for Listeria growth, providing a reliable estimate for this 641 pathogen for two dynamic conditions, according to the ASZ approach.

642 The control of pathogenic bacteria using LAB as bioprotective cultures in fish products 643 is widely reported in literature (Bernardi et al., 2011; Chowdhury et al., 2012; Ghanbari et al., 2013; Hisar et al., 2005; Matamoros et al., 2009; Nath et al., 2014; Tahiri et al., 644 645 2009; Tomé et al., 2008; Weiss and Hammes, 2006), thus showing that live 646 microbiological cultures can be a more effective alternative to the use of bacteriocins 647 (Pilet and Leroy, 2011), which in addition are not permitted by most of the food 648 additive regulations. However, the selection of candidates as bioprotective cultures to 649 improve food quality and extend shelf-life has been attributed to the capacity not to

650 produce undesirable organoleptic changes in foods. In this sense, L. sakei CTC494 is 651 reported in literature as a starter culture providing good organoleptic and sensory properties in fermented meat products and as bioprotective (not spoiling) culture in 652 653 cooked ham (Aymerich et al., 2002; Bover-Cid et al., 2001; Hugas et al., 1995; Hugas et al., 1998; Hugas et al., 2002). Our study proposed the extension of the use the 654 bacteriocinogenic L. sakei CTC494 in raw fish and other minimally processed fish 655 656 products demonstrating that its availability to grow in a different food matrix and its 657 application as a suitable approach for controlling L. monocytogenes growth in packaged sea bream fillets stored under isothermal and non-isothermal conditions including 658 659 moderate abuse.

#### 660 5. Conclusion

661 Results demonstrated that the use of the bacteriocinogenic strain L. sakei CTC494, as bioprotective culture is a suitable strategy for controlling L. monocytogenes growth in 662 minimally processed fresh fish products (i.e. filleted gilthead sea bream) under 663 664 refrigerated storage. Furthermore, the modelling approach, developed herein, based on a step-wise scheme from mono-culture experiments in fish juice under isothermal 665 666 conditions to experiments performed in co-culture in actual fish product under dynamic 667 temperature profiles was proved to be effective to derive reliable microbial interaction 668 models. These mathematical models could be used as a predictive tool to simulate the 669 simultaneous behaviour of bioprotective lactobacillus strain and L. monocytogenes. 670 Thus, these tools can support the design and optimization of bioprotective culture based strategies against L. monocytogenes in minimally processed fish products. 671

672 Supplementary data to this article can be found online at.

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# 680 **Declarations of interest**

681 The authors declare that there is no conflict of interest in the publication of this paper.

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	Parameter	Attributes	Demerit points
Appearance	Skin	Bright, shining, iridescent	0
		Less bright, some loss of iridescence	1
		Pale, dull	2
	Slime/Mucus	Clear-transparent	0
		Slightly cloudy/cloudy	1
Flesh	Colour	Fresh, translucent	0
		Waxy, milky	1
		Dull, slightly discoloured, yellowish	2
	Stiffness	Firm	0
		Some softening	1
		Soft	2
Odour	Odour	Fresh	0
		Neutral	1
		Slight off-odours	2
		Spoiled	3
Quality Index ( points)	QI, as the sum of as	ssigned demerit	0-10

**Supplementary Table S1**. Quality Index Method (QIM) scheme applied to the sensory analysis of filleted sea bream under modified atmosphere packaging adapted from Lougovois et al. (2003) and Campus et al. (2011).

**Supplementary Table S2.** Goodness-of-fit indexes for the fit of the Baranyi and Roberts model to the growth data of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in mono-culture in sterile fish juice of sea bream and sea bream fillets under modified atmosphere packaging at different temperatures.

Matrix	Temp.	n (Ls) <sup>c</sup>	n (Lm) <sup>d</sup> —		<i>:illus sakei</i> C494	Listeria monocytogenes CTC1034		
Iviauix	(°C)	II (LS)	II (LIII)	RMSE <sup>e</sup>	$\mathbf{R}^2$	RMSE	$\mathbb{R}^2$	
	2.2	12	19	0.061	0.9991 <sup>f</sup>	0.154	0.9955	
Fish juice <sup>a</sup>	5.0	18	23	0.107	0.9972	0.091	0.9985	
	8.1	14	14	0.120	0.9968	0.122	0.9965	
	12.1	14	17	0.126	0.9965	0.105	0.9977	
Fresh fish								
fillets <sup>b</sup>	4.8	7	11	0.191	0.9930	0.151	0.9840	

<sup>a</sup> Experiments in sterile fish juice of gilthead sea bream inoculated with *ca*. 10<sup>2</sup> cfu/mL of *L. sakei* or *L. monocytogenes*.

<sup>b</sup> Experiments on gilthead sea bream fillets under modified atmosphere packing inoculated with *ca*.  $10^2$  cfu/g of *L. sakei* or *L. monocytogenes*.

<sup>c</sup>n (Ls), number of data (sampling points) for *L. sakei* CTC494.

<sup>d</sup>n (Lm), number of data (sampling points) for *L. monocytogenes* CTC1034.

<sup>e</sup> RMSE, Root mean square error.

 ${}^{f}R^{2}$ , Coefficient of determination.

Ratio <sup>a</sup>	Temp.	$n (Ls)^{b}$	n (Lm) <sup>c</sup>		illus sakei 2494		nocytogenes 21034
Itutio	(°C)	n (£5)	II (LIII)	RMSE <sup>d</sup>	$\mathbb{R}^2$	RMSE	$\mathbb{R}^2$
1:1		17	17	0.100	0.9979 <sup>e</sup>	0.092	0.9954
1:2	2.2	17	14	0.081	0.9963	0.032	0.9954
1:3		11	11	0.071	0.9818	Ν	$\mathbf{F}^{\mathrm{f}}$
1:1		14	14	0.113	0.9968	0.074	0.9974
1:2	5.0	13	7	0.117	0.9924	0.157	0.9432
1:3		10	10	0.023	0.9969	N	ΙF
1:1		14	14	0.135	0.9965	0.472	0.9090
1:2	8.1	11	7	0.060	0.9986	0.180	0.9684
1:3		11	11	0.092	0.9800	N	IF
1:1		16	11	0.128	0.9965	0.122	0.9970
1:2	12.1	11	7	0.081	0.9970	0.143	0.9823
1:3		11	11	0.100	0.9761	N	IF

**Supplementary Table S3.** Goodness-of-fit indexes for the Baranyi and Roberts model without interaction fitted to co-culture growth data of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in sterile fish juice of sea bream and sea bream fillets under modified atmosphere packaging at different temperature.

<sup>a</sup> Ratio of inoculation of *L. monocytogenes* CTC1034 and *L. sakei* CTC494 in sterile fish juice of gilthead sea bream where the ratios 1:1, 1:2 or 1:3 represent the initial concentrations of 2 log cfu/mL for the *L. monocytogenes* strain and 2, 4, 6 log cfu/mL for the *L. sakei* strain, respectively. <sup>b</sup>n (Ls), number of data (sampling points) for *L. sakei* CTC494.

<sup>c</sup> n (Lm), number of data (sampling points) for *L. saket* CTC1034.

<sup>d</sup> RMSE, Root mean square error.

 $^{e}$  R<sup>2</sup>, Coefficient of determination.

<sup>f</sup> NF, no fit as no growth was observed.

2 L. sakei CTC494 and L. monocytogenes CTC1034 in mono-culture obtained from the Baranyi and Roberts model in sterile fish juice of sea

3	bream and	sea bream	fillets	under	modified	atmosphere	packaging.
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			Lactobacillı	ıs sakei CTC494		Listeria monocytogenes CTC1034			
Matrix	Temp. (°C)	$\frac{\log N_0 (\text{Ls})}{(\log \text{cfu/mL} \ \text{or g})}$	λ (h)	$\mu_{max}$ (h <sup>-1</sup> )	log N <sub>max</sub> (log cfu/mL or g)	$\frac{\log N_0 (Lm)}{(\log cfu/mL or g)}$	λ (h)	$\mu_{max}$ (h <sup>-1</sup> )	log N <sub>max</sub> (log cfu/mL or g)
	2.2	2.36	$92.4 \pm 7.55$	$0.0351 \pm 0.0004$	$7.70\pm0.03$	2.59	$166.7 \pm 23.20$	$0.0226 \pm 0.0004$	$8.92\pm0.10$
Fish juice <sup>a</sup>	5.0	2.04	43.1 ± 6.72	$0.0697 \pm 0.0005$	$7.85 \pm 0.05$	1.53	36.1 ± 7.13	$0.0477 \pm 0.0005$	$8.65\pm0.05$
	8.1	2.67	$18.7\pm5.04$	$0.1273 \pm 0.0039$	$7.94 \pm 0.05$	2.29	$15.1\pm6.26$	$0.0892 \pm 0.0019$	$8.68\pm0.07$
	12.1	2.48	$5.3\pm2.68$	$0.2140 \pm 0.0052$	$8.17\pm0.07$	2.39	$2.0\pm2.01$	$0.1685 \pm 0.0020$	$8.70\pm0.06$
Fresh fish									
fillets <sup>b</sup>	4.8	1.49	$33.8 \pm 11.39$	$0.0806 \pm 0.0036$	$7.08\pm0.13$	2.71	$56.1\pm35.23$	$0.0154 \pm 0.0006$	$5.68\pm0.13$

<sup>a</sup> Experiments in sterile fish juice of gilthead sea bream inoculated with *ca*. 10<sup>2</sup> cfu/mL of *L. sakei* or *L. monocytogenes*.

<sup>b</sup> Experiments on gilthead sea bream fillets under modified atmosphere packing inoculated with *ca*.  $10^2$  cfu/g of *L. sakei* or *L. monocytogenes*.

5 6

- Table 2. Coefficients of the square-root model describing the effect of temperature on
- lag time ( $\lambda$ ) and maximum specific growth rate ( $\mu_{max}$ ) of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in sterile fish juice of sea bream.

Parameters	Microorganisms	b	$T_{min}$ (°C)	<b>RMSE</b> <sup>a</sup>	$\mathbb{R}^2$
3	L. sakei CTC494	-0.7269	14.69	7.365	0.9695 <sup>b</sup>
λ	L. monocytogenes CTC1034	-1.0868	12.42	30.332	0.8737
	L. sakei CTC494	0.0280	-4.50	0.086	0.9994
$\mu_{max}$	L. monocytogenes CTC1034	0.0263	-3.40	0.064	0.9990

<sup>a</sup> RMSE, Root mean square error. <sup>b</sup> R<sup>2</sup>, Coefficient of determination.

12	<b>Table 3.</b> Estimated lag time ( $\lambda$ ), maximum specific growth rate ( $\mu_{max}$ ), $N_{max}$ (maximum population density) and associated standard error from the
10	Paranyi and Departs model without interaction fitted to the growth of L sake CTC 404 and L managetee and CTC 1024 in an output in starily

13 Baranyi and Roberts model without interaction fitted to the growth of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in co-culture in sterile

14 fish juice of sea bream.

	Tama		Lactobacill	us sakei CTC494			Listeria monocytogenes CTC1034				
Ratio <sup>a</sup>	Temp. (°C)	$\log N_0$ (Ls) $\log cfu/mL$	$\lambda$ (h)	$\mu_{max}$ (h <sup>-1</sup> )	log N <sub>max</sub> (log cfu/mL)	$\log N_0$ (Lm) $\log c fu/mL$	$\lambda$ (h)	$\mu_{max}$ (h <sup>-1</sup> )	log N <sub>max</sub> (log cfu/mL)		
1:1		2.37	$53.3 \pm 11.72$	$0.0350 \pm 0.0007$	$7.74\pm0.05$	2.52	$75.4 \pm 16.32$	$0.0223 \pm 0.0006$	$5.77\pm0.04$		
1:2	2.2	4.58	$59.5\pm8.08$	$0.0355 \pm 0.0097$	$7.63\pm0.04$	2.60	$106.1\pm6.45$	$0.0268 \pm 0.0008$	$4.28\pm0.01$		
1:3		6.77	$39.4 \pm 14.39$	$0.0284 \pm 0.0027$	$7.99\pm0.03$	2.57		$\mathrm{NG}^{\mathrm{b}}$			
1:1		2.84	$30.0 \pm 4.72$	$0.0675 \pm 0.0012$	$7.94 \pm 0.07$	2.42	$36.9\pm6.87$	$0.0490 \pm 0.0012$	$5.94 \pm 0.03$		
1:2	5.0	4.59	$23.0\pm7.52$	$0.0625 \pm 0.0026$	$7.86\pm0.05$	2.49	$14.5 \pm 18.80$	$0.0334 \pm 0.0053$	$4.22\pm7.35$		
1:3		6.62	$11.8\pm3.13$	$0.0294 \pm 0.0007$	$7.68\pm0.01$	2.46*		NG			
1:1		2.42	$20.3\pm3.50$	$0.1373 \pm 0.0029$	8.11 ± 0.06	2.27	12.3 ± 19.73	$0.1085 \pm 0.0131$	$6.07 \pm 0.18$		
1:2	8.1	4.34	$15.2\pm2.15$	$0.1266 \pm 0.0023$	$8.14\pm0.03$	1.92	$0.0\pm0.00$	$0.0977 \pm 0.0058$	$4.03\pm0.11$		
1:3		6.37	$0.0 \pm 0.00$	$0.0703 \pm 0.0027$	$7.96\pm0.03$	2.24*		NG			
1:1		2.49	$6.4 \pm 1.62$	$0.2292 \pm 0.0035$	$8.17\pm0.06$	2.33	$1.3 \pm 1.86$	$0.1959 \pm 0.0035$	$6.81\pm0.08$		
1:2	12.1	4.40	$5.1 \pm 1.16$	$0.2273 \pm 0.0046$	$8.20\pm0.03$	2.47	$0.0\pm0.00$	$0.1733 \pm 0.0065$	$4.86\pm0.12$		
1:3		6.37	$0.0\pm0.00$	$0.1716 \pm 0.0073$	$8.06\pm0.03$	2.47*		NG			

<sup>a</sup> Ratio of inoculation of *L. monocytogenes* CTC1034 and *L. sakei* CTC494 in fish juice of sea bream where the ratios 1:1, 1:2 or 1:3 represent the initial concentrations of 2 log cfu/mL for the *L. monocytogenes* strain and 2, 4, 6 log cfu/mL for the *L. sakei* strain, respectively.

17  ${}^{b}$  NG, no growth.

18 \* Observed initial concentration of *L. monocytogenes*.

Table 4. Quality Index values obtained from the sensory analysis of sea bream fillets
packaged under modified atmosphere and stored under refrigerated conditions (5 °C, 8
days) for samples inoculated at a ratio 1:2 (*Listeria monocytogenes: Lactobacillus sakei*) (i.e., 2 log cfu/g and 4 log cfu/g, respectively) and control fillets (non-inoculated).

Storage time (days) <sup>a</sup>	Quality Index					
Storage time (days) <sup>a</sup> _	Inoculated fillets	Control fillets				
0	$0.3\pm0.5^{\text{b}}$	$0.0\pm0.0$				
4	$0.6 \pm 1.3$	$1.2 \pm 1.6$				
6	$2.0 \pm 1.7$	$2.0\pm2.0$				
8	$6.3\pm0.5$	$4.8\pm1.9$				

<sup>a</sup> Storage under modified atmosphere packaging at 5 °C.

25 <sup>b</sup> Mean  $\pm$  standard deviation (n = 5 panellists).

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Table 5. Estimated maximum critical concentration ( $N_{cri}$ ) of the modified Jameson effect model and competition factors ( $F_{LsLm}$  and  $F_{LmLs}$ ) of the 28 Lotka Volterra model and goodness-of-fit index (RMSE) for L. sakei CTC494 and L. monocytogenes CTC1034 in co-colture in fish juice of sea 29 30 bream.

			Jameso	on model		Modified Ja	meson model			Lotka Volte	erra model	
Ratios <sup>a</sup>	Temp. (°C)	n <sup>b</sup>	Lactobacillus sakei CTC494	Listeria monocytogenes CTC1034		oacillus CTC494	List monocytoger		Lactobao sakei CT		Lister monocyto CTC10	genes
		RMSE <sup>c</sup>	RMSE	RMSE	<i>Lm<sub>cri</sub><sup>d</sup></i> (cfu/mL)	RMSE	<i>Ls<sub>cri</sub><sup>e</sup></i> (cfu/mL)	RMSE	$F_{LmLs}^{f}$	RMSE	F <sub>LsLm</sub> <sup>g</sup>	
1:1		17	0.314	0.423	0.349	1.00*10 <sup>8</sup>	0.469	5.00*10 <sup>7</sup>	0.314	0.00	0.370	0.84
1:2	2.2	14	0.293	0.189	0.335	$1.00*10^{8}$	0.215	5.00*10 <sup>7</sup>	0.293	0.00	0.181	0.94
1:3		11	0.224	1.214	0.350	$1.00*10^{8}$	0.369	$1.90*10^{7}$	0.294	0.00	0.308	2.67
1:1		14	0.370	0.387	0.371	$1.00*10^{8}$	0.316	7.49*10 <sup>7</sup>	0.371	0.00	0.316	0.90
1:2	5.0	13	0.279	1.058	0.322	$1.00*10^{8}$	0.687	5.00*10 <sup>7</sup>	0.274	0.00	0.481	1.54
1:3		10	0.139	1.240	0.139	$1.00*10^{8}$	0.612	4.62*10 <sup>7</sup>	0.139	0.00	0.612	1.46
1:1		14	0.216	0.666	0.217	1.00*10 <sup>8</sup>	0.592	7.16*10 <sup>7</sup>	0.216	0.00	0.530	1.20
1:2	8.1	11	0.300	0.930	0.300	$1.00*10^{8}$	0.609	5.00*10 <sup>7</sup>	0.301	0.00	0.606	1.87
1:3		11	0.190	1.796	0.190	$1.00*10^{8}$	0.978	5.00*10 <sup>7</sup>	0.190	0.00	0.973	1.86
1:1		16	0.213	1.138	0.210	9.99*10 <sup>7</sup>	0.328	8.92*10 <sup>7</sup>	0.214	0.00	0.312	1.63
1:2	12.1	14	0.108	1.424	0.108	$1.00*10^{8}$	0.382	$7.57*10^{7}$	0.124	0.00	0.341	1.81
1:3		11	0.105	2.050	0.105	$1.00*10^{8}$	1.089	$7.51*10^{7}$	0.105	0.00	1.089	1.95

<sup>a</sup> Ratio of inoculation of *L. monocytogenes* CTC1034 and *L. sakei* CTC494 in sterile fish juice where the ratios 1:1, 1:2 or 1:3 represent the initial concentrations of 2 log

cfu/mL for the *L. monocytogenes* strain and 2, 4, 6 log cfu/mL for the *L. sakei* strain, respectively. <sup>b</sup> n, number of data (sampling points) for *L. sakei* CTC494 and *L. monocytogenes*. 32

<sup>c</sup> RMSE, Root mean square error.

- <sup>d</sup>*Lm*<sub>cri</sub> maximum critical concentration for *L. monocytogenes* CTC1034 obtained from the Jameson's modified model.
- <sup>e</sup>  $L_{scri}$  maximum critical concentration for *L. monocytogenes* CTC194 obtained from the Jameson's modified model. <sup>f</sup>  $F_{LmLs}$  competition factor of *L. monocytogenes* CTC1034 in *L. sakei* CTC494 obtained from the Lotka Volterra model. <sup>g</sup>  $F_{LsLm}$  competition factor of *L. sakei* CTC494 in *L. monocytogenes* CTC1034 obtained from the Lotka Volterra model.

Table 6. Predictive performance of the Lotka Volterra model when applied to simulate the simultaneous growth of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in sea bream fillets under modified atmosphere packaging stored under isothermal and non-isothermal conditions.

Temp.	n <sup>a</sup>	L	actobacillus sak	ei	Listeria monocytogenes			
(°C)		N <sub>0</sub> (LAB) cfu/g	RMSE <sup>b</sup>	ASZ <sup>c</sup>	N <sub>0</sub> (Lm) cfu/g	RMSE	ASZ	
4.8	14	3.36	0.555	79 %	1.83	0.593	79 %	
8.2	14	3.55	0.378	79 %	1.65	0.452	79 %	
Profile 1 (4-8)	12	3.71	0.894	75 %	1.66	0.309	92 %	
Profile 2 (2.5-12)	13	3.96	0.645	77 %	1.65	0.615	77 %	

41 <sup>a</sup>n, number of data (sampling points) for *L. sakei* CTC494 and *L. monocytogenes* CTC1034.

42 <sup>b</sup> RMSE, Root mean square error

43 <sup>c</sup> ASZ, acceptable simulation zone defined as  $\pm 0.5$  log-units from the simulated log cfu/g values (Møller et al., 2013).

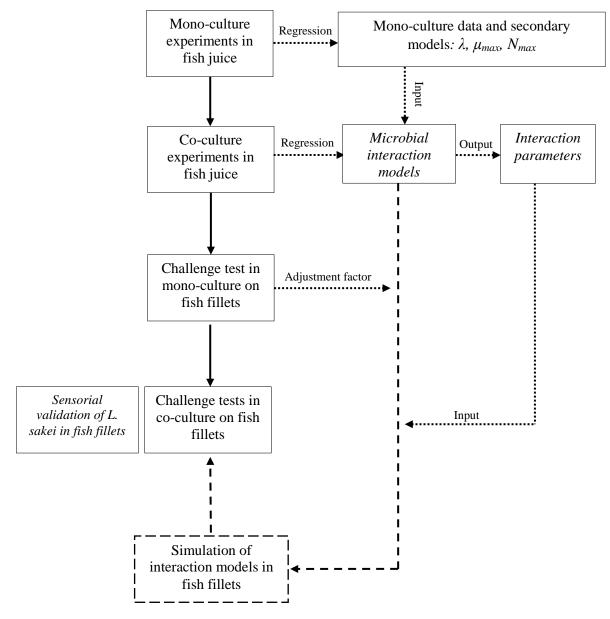
**Figure 1.** A schematic overview of the modelling approach used in this study. Solid lines represent the experiments carried out for data generation, while dotted and dashed lines stand for the model building process and interaction model simulation, respectively.

**Figure 2.** Reduction ratio ( $\alpha$ ) of the parameters (a) lag time ( $\lambda$ ) and (b)  $N_{max}$  for *Listeria* monocytogenes CTC1034 (black bars) and *Lactobacillus sakei* CTC494 (greys bars) in coculture on sterile juice fish of sea bream at different storage temperatures with three inoculation ratios of *L* monocytogenes: *L. sakei*. The negative bars represent an increase in co-culture for the specific parameter. No growth of *L. monocytogenes* was observed at the ratio 1:3 (NG).

**Figure 3.** Experimental observed data and fitted Jameson (dotted line), modified Jameson (dashed line) and Lotka Volterra (solid line) models for *Lactobacillus sakei* CTC494 ( $\circ$ ) and *Listeria monocytogenes* CTC1034 ( $\blacklozenge$ ) in sterile fish juice of sea bream stored at (a, b, c) 2.2, (d, e, f) 5.0, (g, h, i) 8.1 and (j, k, l) 12.1 °C for the inoculation ratios of *L. monocytogenes*: *L. sakei*, 1:1, 1:2 and 1:3, respectively. The grey dotted line stands for the storage temperature recorded.

**Figure 4.** Experimental observed data (mean and standard deviation of 3 replicates) and simulations provided by the predictive model based on the Lotka Volterra equation for *Latobacillus sakei* CTC494 ( $^{\circ}$ ) and *Listeria monocytogenes* CTC1034 ( $^{\bullet}$ ) on sea bream fillets under modified atmosphere packaging at isothermal conditions: (a) 4.8 °C, (b) 8.2 °C; and dynamic temperature conditions (c) profile 1 (4-8 °C) and (d) profile 2 (2.5-12.0 °C). Dashed and solid line represent the simulations for *L. sakei* and *L. monocytogenes* strains, respectively. Dotted lines show the acceptable simulation zone (ASZ) used to compare observations versus predictions of the interaction between *L. sakei* CTC494 and *L. monocytogenes* CTC1034. Grey dashed line stands for the storage temperature recorded.

## Figure 1



Lag phase duration:  $\lambda$ , maximum specific growth rate:  $\mu_{max}$  and maximum population density:  $N_{max}$ 

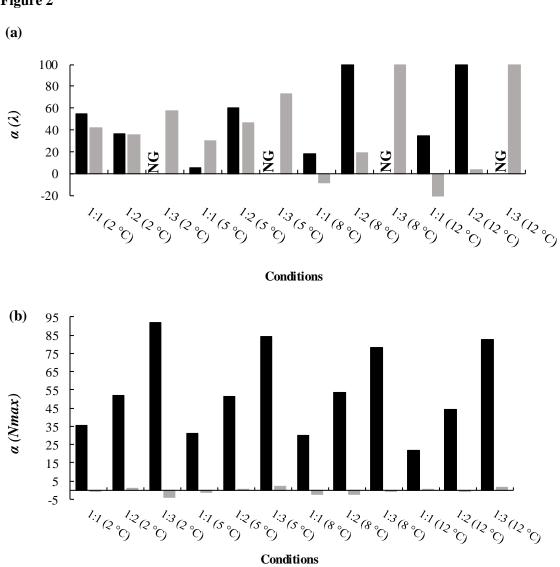


Figure 2



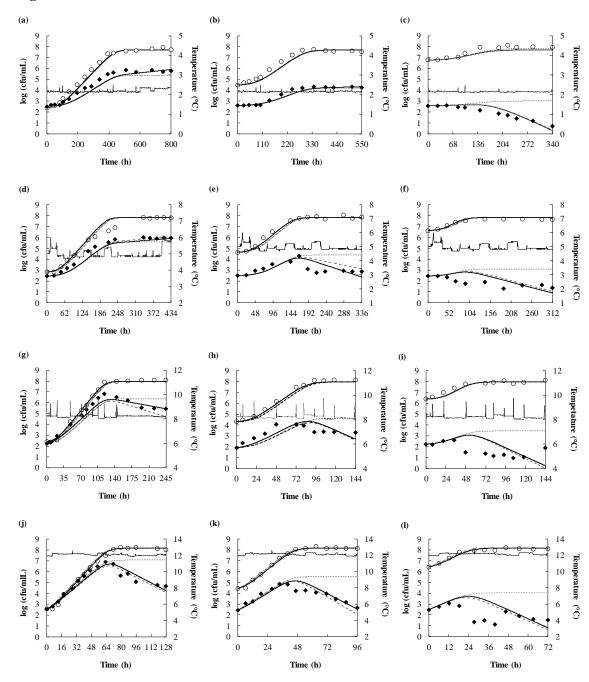
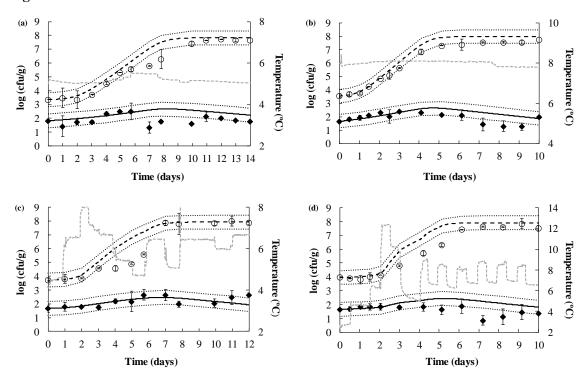
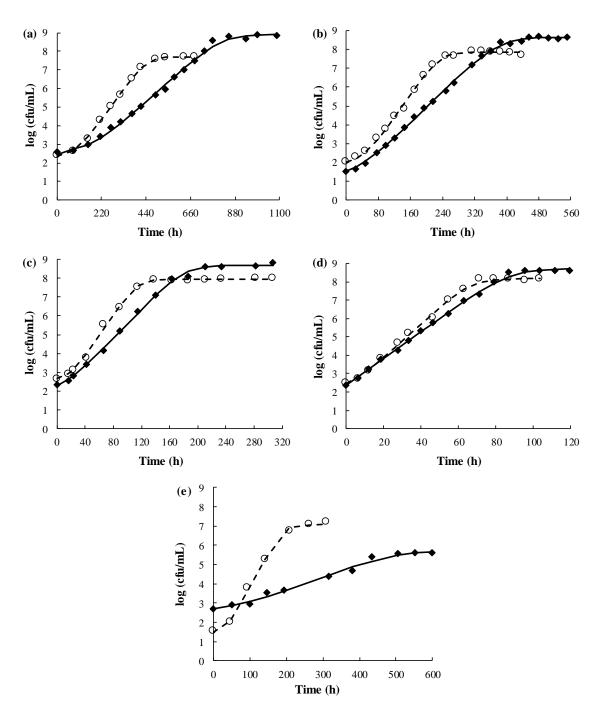


Figure 4





**Supplementary Figure S1.** Growth curves of *Lactobacillus sakei* CTC494 ( $\circ$ ) and *Listeria monocytogenes* CTC1034 ( $\blacklozenge$ ) in mono-culture obtained in sterile fish juice of gilthead sea bream at (a) 2.2, (b) 5.0, (c) 8.1 and (d) 12.1 °C and (e) on sea bream fillets at 4.8 °C. Dashed line and solid line represent the fittings for the *L. sakei* and *L. monocytogenes* strains, respectively, obtained with the Baranyi and Roberts model.