



This is the peer reviewed version of the following article: García-Bocanegra, I., J. Belkhiria, S. Napp, D. Cano-Terriza, S. Jiménez-Ruiz, and B. Martínez-López. 2017. "Epidemiology And Spatio-Temporal Analysis Of West Nile Virus In Horses In Spain Between 2010 And 2016". Transboundary And Emerging Diseases 65 (2): 567-577. Wiley. doi:10.1111/tbed.12742. Wiley, which has been published in final form at <https://doi.org/10.1111/tbed.12742>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions

1 **Epidemiology and spatio-temporal analysis of West Nile virus in horses in Spain**
2 **between 2010 and 2016**

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19

20 **Summary**

21 During the last decade, West Nile virus (WNV) outbreaks have increased sharply in
22 both horses and human in Europe. The aims of this study were to evaluate characteristics
23 and spatio-temporal distribution of WNV outbreaks in horses in Spain between 2010 and
24 2016 in order to identify the environmental variables most associated with WNV
25 occurrence and to generate high-resolution WNV suitability maps to inform risk-based
26 surveillance strategies in this country. Between August 2010 and November 2016, a total of
27 403 WNV suspected cases were investigated, of which 177 (43.9%) were laboratory
28 confirmed. Mean values of morbidity, mortality and case fatality rates were respectively
29 7.5%, 1.6% and 21.2%, respectively. The most common clinical symptoms were:
30 tiredness/apathy, recumbency, muscular tremor, ataxia, incoordination and hyperesthesia.
31 The outbreaks confirmed during the last seven years, with detection of WNV RNA lineage
32 1 in 2010, 2012, 2013, 2015, 2016, suggest an endemic circulation of the virus in Spain.
33 The spatio-temporal distribution of WNV outbreaks in Spain was not homogeneous, as
34 most of them (92.7%) were concentrated in western part of Andalusia (southern Spain) and
35 significant clusters were detected in this region in two non-consecutive years. These
36 findings were supported by the results of the space-time scan statistics permutation model.
37 A presence-only MaxEnt ecological niche model was used to generate a suitability map for
38 WNV occurrence in Andalusia. The most important predictors selected by the ENM were:
39 mean annual temperature (49.5% contribution), presence of *Culex pipiens* (19.5%
40 contribution), mean annual precipitation (16.1% contribution) and distance to Ramsar
41 wetlands (14.9% contribution). Our results constitute an important step for understanding
42 WNV emergence and spread in Spain and will provide valuable information for the
43 development of more cost-effective surveillance and control programs and improve the

44 protection of horse and human populations in WNV endemic areas.

45

46 **Keywords:** West Nile Disease, Emerging disease, Maximum Entropy, MaxEnt, SaTScan;

47 Risk-based surveillance

48

49 **Introduction**

50 West Nile disease (WND) is a re-emerging zoonotic disease in Europe and
51 neighboring countries. The causative agent, West Nile Virus (WNV), is a positive, single-
52 stranded, enveloped RNA virus classified within the Japanese encephalitis virus serogroup,
53 in the genus *Flavivirus* (family Flaviviridae). To date, seven (or nine according to some
54 authors) different WNV lineages have been identified, but only lineage 1 and 2 have been
55 associated with human and horse cases (Rizzoli et al., 2015).

56 The virus is mainly transmitted by ornithophilic mosquitoes of the *Culex pipiens*
57 complex and their hybrids in an enzootic life-cycle in which certain birds act as natural
58 reservoir hosts, amplifying the virus. Although mammals are susceptible to infection, most
59 are considered dead-end or incidental hosts, as the viraemia is too low to infect competent
60 vectors. Humans can also become infected by blood transfusion, organ transplantation,
61 intrauterine transmission, handling of infected carcasses and breast feeding (CDC, 2017). In
62 general, WNV infection in humans and horses is asymptomatic or associated with
63 influenza-like illness; however, in some cases (< 1%) the infection can lead to severe
64 neurological symptoms and mortality (Hayes et al., 2005).

65 Compared with the rapid and widespread distribution of WNV in the United States
66 after its introduction in 1999 (CDC, 2017), in Europe, WNV was initially considered to
67 have minor health effects, with sporadic cases in human and horses. However, the number
68 of notified WNV outbreaks caused by both lineage 1 and lineage 2 **has** significantly
69 increased in Mediterranean Basin during the last decade, which has raised concerns in
70 relation to both public and animal health (Hernández-Triana et al., 2014; Benjelloun et al.,
71 2016).

72 Before the 2010 WNV epidemic in Spain, antibodies had already been detected in
73 humans, horses and wild bird species, but only sporadic clinical cases were reported in
74 humans and raptors in Spain (Kaptoul et al., 2007; Jiménez-Clavero et al., 2008; García-
75 Bocanegra et al., 2011a). In late summer 2010, the first WNV horse outbreak was reported
76 in southern Spain (Andalusia). Throughout that year, 35 further cases were reported in
77 horses, and also 2 human cases were confirmed in the region (García-Bocanegra et al.,
78 2011b). Since then, WNV outbreaks in horses have been reported every year. The goals of
79 this study were: (1) to describe the main epidemiological and clinical findings of the WNV
80 outbreaks in horses during the period 2010-2016 in Spain, (2) to assess the spatio-temporal
81 distribution of WNV, and (3) to identifying the drivers of WNV occurrence in the endemic
82 areas in Spain using a presence only maximum entropy ecological niche model.

83 **Materials and methods**

84 *Descriptive analysis*

85 After the confirmation of the first WNV outbreak in a horse herd on the 31st of
86 August 2010, a passive surveillance system which included horses, humans, wild birds, and
87 mosquitoes was launched by the veterinary and health authorities in Spain. All the herds in
88 which horses with clinical symptoms compatible with WND were observed, were
89 investigated by veterinary officers. Blood samples were obtained from suspected horses by
90 puncture of the jugular vein. Brain and cerebrospinal fluid samples were also collected
91 from dead or euthanized animals.

92 Serum samples from all investigated horses were tested to detect IgM antibodies
93 against WNV using a commercial competitive ELISA (cELISA; IDEXX IgM WNV Ab,
94 IDEXX Lab). Furthermore, **248 of the 403 (61.5%) investigated herds (including 115**

95 **IgM-positive herds and 133 IgM-negative herds)** were randomly selected and analyzed
96 by a commercial blocking ELISA (bELISA; Ingezim West Nile compac R.10.WNV.K3,
97 Ingenasa Lab), which detects IgG antibodies against one epitope of the prM-E protein of
98 the flaviviruses of the Japanese Encephalitis antigenic group. Both ELISAs were performed
99 according to the manufacturer's recommendations. **Ninety-four of 177 (53.1%) of the**
100 **IgM-positive herds, as well as 31 of 133 (23.3%) of the IgM-negative herds, were**
101 **selected, using a convenience sampling, and analyzed also by** virus serum-neutralization
102 test (VNT) against WNV (strain Eg101) according to the OIE guidelines (**Table 1**). Blood
103 and cerebrospinal fluid samples (CFS) were analyzed for detection of WNV lineage 1 and 2
104 by real time RT-PCR as previously described (Del Amo et al., 2013). All laboratory tests
105 were performed at the National WNV Reference Laboratory in Algete (Madrid, Spain).

106 An outbreak was defined as a herd with at least one confirmed case. A case was
107 defined as a horse with clinical symptoms that were compatible with WND and confirmed
108 by the National Reference Laboratory as positive by detection of IgM antibodies to WNV
109 or RT-PCR positivity. The WNV-specific morbidity was expressed as the number of WND
110 cases in the investigated horse herds divided by the total number of horses in these affected
111 herds. The WNV-specific mortality was calculated as the number of deaths due to WNV
112 infection divided by the total number of horses in the confirmed herds. The WNV-specific
113 case fatality was expressed as the proportion cases that died of WNV infection by the total
114 number of WND cases.

115 Epidemiological data were collected during clinical inspections using a standardized
116 questionnaire in Andalusia, the region where the majority of outbreaks (92.7%) were

117 reported. Information on the characteristics of the herds and affected animals was also
118 recorded in this region for the descriptive analysis.

119 *Spatio-temporal cluster analysis*

120 A spatio-temporal analysis was carried out in Andalusia. Geolocations (UTM
121 coordinates) of all investigated horse herds were provided by the Regional Government of
122 Andalusia and the Spanish Ministry of Agriculture Food and Environment. Data from
123 August 2010 to November 2016 were analyzed using a space-time scan statistic, with a
124 space-time permutation model (Kulldorff et al., 2005), to detect the presence of areas and
125 time periods with significant aggregation of WNV outbreaks in horse herds. Space-time
126 permutation model, similarly to the other more commonly used Bernoulli- and Poisson-
127 based models, creates thousands of overlapping cylinders over the study area and compare
128 the observed number of cases within the cylinder (i.e., at particular space -based of the
129 cylinder- and particular time -height of the cylinder-) to the "expected" number of cases in
130 that cylinder. The main difference of the permutation model is that the expected is
131 calculated using only the cases as described by Kulldorff et al, 2005. The maximum spatial
132 and temporal window were set up to be 50% of the study region surface and 15% of the
133 study period (one year), respectively. The number of Monte Carlo simulations was set to
134 999 for the cluster scan statistic. Analyses were run using SaTScanTM v9.4.4. Clusters were
135 considered to be significant at $P < 0.05$.

136 *Ecological Niche Modeling*

137 Risk areas for WNV outbreaks occurrence in Andalusia were detected using the
138 presence-only maximum entropy ecological niche model (MaxEnt) (Phillips et al., 2006).
139 The model was performed with the MaxEnt program version 3.3.3 via the “dismo” package

140 in R Studio version 1.0.44 (Hijmans et al., 2016). Briefly, the maximum entropy ecological
141 niche model looks at the association between the presence data and several environmental
142 predictors known to be related to the disease in order to characterize the most important
143 environmental requirements for the disease agent to be present and estimate a suitability
144 probability in sampled and non-sampled geographic areas.

145 In order to determine the suitability area for WNV occurrence in Andalusia, the
146 MaxEnt model used the WNV outbreaks locations in the region between 2010 and 2016 as
147 presence data and 10.000 randomly chosen background points from Andalucía as “Pseudo-
148 Absence” data. Potential predictors for the MaxEnt model consisted of a set of 14 climatic,
149 environmental and demographic factors that were previously described as important for
150 WNV presence. The model was calibrated with a default convergence threshold, a
151 regularization of 1 and a number of iterations of 1,000. In addition, a logistic model was
152 used to ensure that predictions gave estimates between 0 and 1 for the spatial suitability per
153 map cell. Climatic predictors were provided by the Regional Government of Andalusia
154 (CMAOT, 2016). They included mean annual temperature (°C), mean maximum annual
155 temperature (°C), mean minimum annual temperature (°C), mean annual rainfall (mm) and
156 average number of rainy days per year (days). Environmental/demographic variables
157 included altitude (m), evotranspiration defined as theoretical water requirements by the
158 vegetation cover (mm), type of soil, distance to wetlands of national importance for water
159 birds (Ramsar wetlands) (Km) (ICWII, 2017), land cover, presence of *Culex pipiens*,
160 presence of *Culex theileri*, horse herd density and human population density. Human and
161 horse densities (at municipality level), altitude, evotranspiration, type of soil, distance to
162 Ramsar wetlands and land cover were provided by the Regional Government of Andalusia

163 (CMAOT, 2016). The land cover was obtained from the Land Cover Change 2006-2012
164 (<http://land.copernicus.eu/pan-european/corine-land-cover/lcc-2006-2012/view>). Raster
165 maps of presence/absence of *C. pipiens* and *C. theileri* were generated based on the criteria
166 defined by Tran et al. (2013). In brief, they evaluated whether different mosquito species
167 were present in each CORINE (Coordination of Information on the Environment,
168 <http://www.eea.europa.eu>) land cover class based on a literature review and the opinion of
169 expert entomologists. Therefore, presence or absence of *C. pipiens* and *C. theileri*
170 (dichotomous variable) throughout Andalusia was defined as based on the corresponding
171 CORINE land cover class at each location. The 2006 CORINE land cover map was
172 obtained from the European Environment Agency website (<http://www.eea.europa.eu>). All
173 predictors were rescaled in rasters format with 100m × 100m spatial resolution, the same
174 extent and the common UTM 30N projection. Correlation between predictors was assessed
175 using pairwise Spearman's rank correlation coefficient (*rho*). When the correlation between
176 two variables was 0.5 or higher, only the variable more biologically linked to WNV
177 occurrence was included in the model. A first "full" MaxEnt model was fit with the **14**
178 potential predictors. Predictors that contributed to 5% or more to the first model were
179 selected to be run in a final "reduced" model. The final model was evaluated after
180 partitioning the presence data into a training and a testing using the A k-fold method (Jung
181 and Hu, 2015). A total of 80% of the WNV outbreaks locations were randomly selected for
182 model building, whereas the remaining 20% locations were set aside for external validation.
183 Model performance was assessed using the area under the curve (AUC) of the receiver
184 operating characteristics curve (ROC) using the "dismo" package in R. Since AUC has
185 been shown to be influenced by spatial sorting bias, the calibrated AUC (AUCc) was also
186 used as suggested by Hijmans et al. (2012). The AUCc provides a more accurate estimate

187 of the real performance of a model.

188 The Jackknife training gain test and percent contribution were used to estimate the
189 contribution of each predictor in the final model. Predictors with the highest training gains
190 or those that reduced the training gain the most when left out of the model, were considered
191 the most valuable variables to the model. The final model was then used to generate the
192 corresponding suitability map for WNV occurrence in Andalusia and a partial plot for the
193 contribution of each predictors in the model were generated.

194 Non-confirmed herds were also overlaid over the WNV suitability map in order to
195 assess how many of them where located in high risk areas. Specifically, WNV suspected
196 cases were classified into two categories (“high risk” or “low risk”) based on the median
197 value of the outbreaks. Map was created using ArcMap version 10.3 (ESRI, Environmental
198 Systems Resource Institute, www.esri.com).

199 **Results**

200 *Descriptive analysis*

201 Between August 2010 and November 2016, 403 suspected horse herds were
202 investigated, 177 (43.9%) were confirmed as WNV outbreaks (presence of both clinical
203 symptoms and IgM antibodies or RT-PCR positivity to WNV in at least on horse) (Table 1,
204 Fig. 1). Within the WNV positive herds, 236 (8.5%) of the 2,779 horses were considered
205 clinically suspected, of which 215 were confirmed as cases, resulting in a mean WNV-
206 specific morbidity of 7.7%. The mean age of the cases was 7 years (ranging between one
207 and 22 years), and the census of the infected herds varied from 1 to 325 horses (median =
208 4). Vaccination programs were not implemented in any of the confirmed or non-confirmed

209 herds and movement of animals were not performed in these herds one month before the
210 outbreak was reported. In those WNV positive herds, mean WNV-specific mortality and
211 WNV-specific case fatality rates were 1.6% and 21.2%, respectively. The most common
212 clinical symptoms detected in the positive herds were: tiredness/apathy (74.2%),
213 recumbency (54.8%), muscular tremor (51.6%), ataxia (48.4%), incoordination (48.4%)
214 and hyperesthesia (45.2%). Fever (32%), anorexia (23%) and convulsion (23%) were also
215 frequently observed (Fig. 2).

216 Presence of IgG antibodies was confirmed in 107 (93.0%) of the 115 WNV
217 confirmed (**IgM-positive**) herds tested (Table 1). WNV neutralizing antibodies were
218 observed in 50 (53.2%) of the 94 confirmed herds that could be tested using VNT, with
219 titers of 1:10 in 20% of them, 1:20 in 30%, 1:40 in 18%, 1:80 in 22% and 1:160 in 10%.
220 Ten samples (10.6%) could not be analyzed due to cytopathic effects. Besides, presence of
221 IgG antibodies was detected in 41 of the 133 (30.8%) non-confirmed herds analyzed. WNV
222 neutralizing antibodies were detected in 14 out of 31 (45.2%) non-confirmed (**IgM-**
223 **negative**) herds analyzed by VNT, with titers of 1:20, 1:40, 1:80, 1:240 and 1:640 in two,
224 five, four, two and one horse herds, respectively.

225 WNV RNA was found in 14 (4.2%) out of 332 horse herds. Within the positive
226 herds, WNV RNA was detected in 5 (2.2%) of the 229 blood samples of the analyzed
227 horses, and in 11 (61.1%) of the 18 CFS from dead or euthanized animals. Two horses were
228 WNV RNA positive in both blood and CFS. WNV RNA was detected in 2010, 2012, 2013,
229 2015 and 2016. All RT-PCR positive samples were confirmed as WNV lineage 1.

230 The first outbreak was reported on the 31th of August 2010 in a horse herd in Cádiz
231 province (Andalusia). Since then, outbreaks have been reported every year during the

232 studied period (Fig. 3). The total number of outbreaks per year was: 36 (20.3%) in 2010, 6
233 (3.4%) in 2011, 3 (1.7%) in 2012, 35 (19.8%) in 2013, 8 (4.5%) in 2014, 17 (9.6%) in 2015
234 and 72 (40.7%) in 2016. There was a clear seasonal pattern in the outbreak temporal
235 distribution. Outbreaks were concentrated between the months of July and January, and
236 peaked in September (Fig. 3). The last three outbreaks were reported on the 11th of
237 November 2016, two in Badajoz province (Extremadura) and one in Avila province
238 (Castile and Leon). A total of 82 municipalities located in four different regions have been
239 affected by the WNV outbreaks that occurred in Spain between 2010 and 2016. Most of the
240 outbreaks were located in Andalusia (92.7%), followed by Extremadura (3.9%), Castile and
241 Leon (2.8%) and Castile La Mancha (0.7%). One herd reported outbreaks in three
242 consecutive years (2014-2016), and there were three other herds that reported outbreaks
243 two different years (2010-2012, 2014-2015 and 2015-2016). The remaining 173 horse
244 herds affected reported a single outbreak.

245 *Spatio-temporal analysis*

246 The space-time permutation model identified two statistically significant clusters (P
247 < 0.001) centered in the west part of Andalusia (Fig. 1 and Table 2). The most likely cluster
248 included 36 outbreaks and was located in south-western Andalusia (Cádiz province) in
249 September 2010. Another cluster, with 34 outbreaks, emerged in August 2016 in central
250 western Andalusia (Seville province).

251 *MaxEnt modeling*

252 Mean annual temperature (49.5% contribution), presence of *Culex pipiens* (19.5%
253 contribution), mean annual rainfall (16.1% contribution) and distance to Ramsar wetlands
254 (14.9% contribution) were identified as the most important predictors for WNV occurrence

255 in Andalusia (Fig. 4). The AUC of the final MaxEnt model was 0.918 and the AUCc values
256 0.914. Spearman correlation showed very low correlation between the selected predictors
257 (see Supplementary Fig. 1). Results of Jackknife and partial plots of the variables in the
258 final model are shown in Supplementary Fig. 2 and Supplementary Fig. 3. A total of 85 of
259 the 226 (37.6%) non-confirmed herds were identified in “high risk” areas (Fig. 4).

260 **Discussion**

261 *Descriptive analysis*

262 Even though antibodies to WNV had been previously detected in different species
263 in Spain, clinical cases in horses or humans were not reported until 2010 (García-
264 Bocanegra et al., 2011b). Since 2010, a total of 403 suspected WNV outbreaks were
265 investigated, and almost half of them (43.9%) were confirmed as outbreaks. The occurrence
266 of WNV **outbreaks** over Spain was not homogeneous, as it was higher in the western part
267 of Andalusia (Fig. 1). However, the temporal evolution confirms important changes in the
268 spread of WNV in the last decade in Spain, in agreement with those previously reported in
269 other European countries (ECDC, 2016).

270 Clinical signs observed in WNV infected horses included both general and nervous
271 signs, being similar to those previously reported (Murgue et al., 2001; Kutasi et al., 2011;
272 Porter et al., 2011; van Galen et al., 2013; Bouzalas et al., 2016). The WNV-specific case
273 fatality found in Spain (21%) was in accordance the values reported in Italy in 2011 (25%)
274 (Cantile et al., 2000; Autorino et al., 2002), but was lower than that reported in other
275 countries such as Hungary in 2008 (29%), Greece in 2010 (30%), France in 2000 (45%)
276 and Morocco in 2003 (56%) (Murgue et al., 2001; Schuffenecker et al., 2005; Kutasi et al.,

277 2011; van Galen et al., 2013; Bouzalas et al., 2016). Discrepancies in fatality rate among
278 countries may be explained by differences in the viral strains involved or individual factors
279 (Rios et al., 2010; Porter et al., 2011). In this respect, cross-immunity associated to
280 previous exposure to other flaviviruses may also influence the clinical presentation of the
281 disease (Tesh et al., 2002; Rodríguez et al., 2010). Several flaviviruses, including Usutu
282 virus, Bagaza virus and Meaban virus have circulated in the study area in the last decade
283 (García-Bocanegra et al., 2012a, Jurado-Tarifa et al., 2016). Consequently, laboratory
284 analyses are required to confirm or exclude WNV infection.

285 Given the low viral load and short viremia in horses and humans, the voluntary
286 vaccination in horses as well as the late appearance of clinical symptoms, frequently when
287 the viremia phase is over, confirmation of WNV outbreaks is based on both presence of
288 clinical symptoms and the detection of early IgM antibodies against WNV. Based on that
289 criteria, 177 (43.9%) of the 403 suspected herds were confirmed as WNV outbreaks. VNT
290 positivity was only confirmed in 53.2% of the total confirmed herds. Additionally, two
291 cases were negative by VNT but positive using RT-PCR. Although VNT is recommended
292 by OIE as the gold standard method for WNV diagnosis particularly in areas with
293 circulation of other flaviviruses, our results indicate that the combination of clinical
294 symptoms and detection of IgM antibodies against WNV, may be a good criterion to
295 confirm a case in specific epidemiological scenarios.

296 A high percentage of WND cases (93.0%; 107 of the 115 WNV positive herds)
297 presented both IgM and IgG antibodies. Experimental WNV infections indicate that IgM
298 antibodies can be found in serum around 7-10 days post-infection (dpi) until 1-3 months,
299 while IgG-specific antibodies can be detected for several years after infection (Ostlund et

300 al., 2001; Durand et al., 2002; Castillo-Olivares and Wood, 2004; Bouzalas et al., 2016).
301 WNV RNA was only detected in blood in 2.2% of the total analyzed animals from positive
302 herds, which is consistent with the short viremia reported in this species (Bunning et al.,
303 2002). The presence of WNV RNA in 11 of the 18 (61.1%) CFS confirms the higher
304 persistence of the virus in central nervous system as well as the usefulness of using this
305 fluid for RNA WNV detection (Kleiboeker et al., 2004). Although both WNV lineages 1
306 and 2 have been reported in Europe and the Mediterranean Basin (Calistri et al., 2010; Papa
307 et al., 2011), all RT-PCR positive samples were confirmed as WNV lineage 1. This
308 coincides with previous studies that reported only WNV lineage 1 in birds, horses and
309 mosquitoes in Spain (Jiménez-Clavero et al., 2008; García-Bocanegra et al., 2011b;
310 Vázquez et al., 2011). However, given the active circulation of WNV lineage 2 in other
311 Mediterranean countries during the last few years (Hernández-Triana et al., 2014), its
312 introduction and spread in Spain cannot be ruled out (Fros et al., 2015).

313 The highest risk period for WNV outbreaks occurrence in Spain ranges between
314 mid-August and mid-November, concentrating 94.4% of the total horse outbreaks. This
315 temporal distribution of WNV outbreaks is consistent with the findings in other
316 Mediterranean countries (Murgue et al., 2001; Autorino et al., 2002; Porter et al., 2011;
317 Kutasi et al., 2011) and USA states with Mediterranean-like climate (CDC, 2017). The
318 outbreaks confirmed consecutively during the last seven years as well as the detection of
319 WNV RNA lineage 1 in 2010, 2012, 2013, 2015 and 2016, suggest an endemic circulation
320 of WNV in Spain. Annual reintroduction of the virus through transportation of migratory
321 infected birds, infected vectors putatively from Africa or overwintering, may explain
322 pathways for the endemic circulation observed in Spain in the last years. Further

323 phylogenetic analyses are needed to elucidate the origin and evolution of the viruses
324 circulating in Spain.

325 *Spatio-temporal distribution*

326 Andalusia is the region of Spain with the largest populations of horses with about
327 219,198 animals, and the density ranges between 3.7 and 1.2 horses/km² in the western and
328 eastern regions, respectively (MAPAMA, 2016). The provinces of Seville and Cadiz, where
329 the two clusters were detected, were also consistently associated with the detection of the
330 three human cases reported in Spain to date (Fig. 3). The strategic location within important
331 wild birds migratory flyways, the high number of wetlands, environmental conditions,
332 higher density of competent vectors and the high density of horses, are possible factors
333 implicated in the higher spread of WNV in this area.

334 The immunity of the horse population to WNV is directly related with the natural
335 exposure and the vaccination. Seroprevalence against WNV in horses in Andalusia after the
336 first WNV epidemic was 7.1% (36/510), being significantly higher in areas where the
337 outbreaks were reported (García-Bocanegra et al., 2012). Further studies are required to
338 assess the evolution of the immunity in the horse populations after seven years of WNV
339 circulation in this region. Even though vaccination is known to be an effective measure for
340 WNV prevention and control, because of the cost of vaccines, its application is voluntary
341 and commonly restricted to regions where outbreaks were previously detected. The
342 secondary cluster was identified in the region of Andalusia with highest horses and human
343 population density, which highlights the high risk of WNV potential infection in humans.
344 In fact, confirmed WNV outbreaks in horses is considered an early indicator of the risk of
345 exposure to humans (Saegerman et al., 2016).

346 *MaxEnt modeling*

347 We have shown that MaxEnt modeling can be successfully applied to emerging
348 diseases, in which climatic and environmental characteristics play an essential role. The
349 presented presence-only model showed high accuracy capturing risk areas for WNV
350 outbreaks (AUCc=0.914). The WNV risk map accurately identifies areas at high risk for
351 WNV outbreaks in both humans and animals and thus provides a useful tool to design more
352 accurate and cost-effective surveillance and control programs. Our results were in general
353 consistence with those previously obtained using Mahalanobis distance analysis (Conte et
354 al., 2015). Similar risk areas were also identified by Sánchez-Gómez et al. (2017) using a
355 logistic regression-based spatial model with a limited number of predictors. The suitability
356 areas obtained in our study provide a much higher spatio-temporal resolution than previous
357 studies, allowing to refine the implementation of target interventions. Additionally, the
358 MaxEnt model also highlighted new high risk areas for WNV occurrence (Fig. 4), which
359 provides valuable information to guide surveillance strategies for early detection of new
360 cases in currently free areas. Interestingly, the MaxEnt model identified WNV risk areas in
361 central regions in Andalusia where, although outbreaks have not been reported yet,
362 seropositivity to WNV has been detected in different species including horses, birds and
363 wild ruminants (García-Bocanegra et al., 2012b, García-Bocanegra et al., 2016; Jurado-
364 Tarifa et al., 2016). Moreover, a high percentage (37.6%; 85/226) of non-confirmed herds
365 were located in high suitability areas. This finding, together with the high percentage of
366 non-confirmed herds positive to IgG antibodies (30.8%; 41/133), most of them (45.2%;
367 14/31) also confirmed by VNT, indicate that the number of WNV outbreaks confirmed in
368 horses in Spain may be underestimated. The notification of WND clinically suspected
369 horses to the official veterinary services, which usually occurs at late stages of the disease,

370 as well the relatively short duration of WNV-specific IgM antibodies, are possible factors
371 that can hamper the confirmation of clinically suspected cases.

372 The final presence-only model mostly relied on climatic and environmental factors
373 to determine the WNV suitability in Spain between 2010 and 2016. Specifically, mean
374 annual temperature and mean annual rainfall which were the most important climatic
375 predictors for WNV occurrence. Areas with mean annual temperature higher than 18° C
376 were found most risk for WNV (Supplementary Fig. 2). Different studies have reported the
377 influence of temperature on the risk of WNV transmission (Ben Hassine et al., 2014; Paz,
378 2015). Increased temperature accelerates both WNV amplification and transmission
379 through the acceleration of the development of competent vectors, increasing the biting
380 behavior, increasing the reproductive rate, increasing the duration of the breeding season,
381 and by reducing the extrinsic incubation period (Chevalier et al., 2014; Paz, 2015).
382 Moreover, areas with annual precipitation between 550 and 1100 mm were found most risk
383 for the presence of WNV (Supplementary Fig. 2). These are consistent with the notion that
384 regions with wet and warm climatic conditions are risk habitats for WNV transmission
385 (Ozdenerol et al., 2013; Mughini-Gras et al., 2014; Paz, 2015). As the impact of rainfall in
386 WNV transmission is not as straightforward as temperature, the influence of rainfall on
387 WNV transmission remains controversial. Even though previous studies demonstrated that
388 the rainfall is positively correlated with both the presence of mosquitoes and WNV
389 outbreaks in humans (Papa et al., 2010; Bisanzio et al., 2011; Hartley et al., 2012;
390 Chevalier et al., 2014), heavy precipitation may have a negative effect on their abundance
391 through the dilution of nutrients and the flushing of the breeding sites (Koenraad et al.,
392 2008). Furthermore, drought may concentrate resources for both avian reservoirs and

393 mosquitoes, leading to more likely contact between both groups of species.

394 Areas close to Ramsar wetland (< 0.5 km) were also found to be suitable for WNV
395 occurrence (Supplementary Fig. 2). This finding is consistent with previous observations
396 (Rodríguez-Prieto et al., 2012; Valiakos et al., 2014; Bargaoui et al., 2015; Sánchez-Gómez
397 et al., 2017). WNV transmission depends on the co-occurrence in space and time of both
398 virus, competent vectors and susceptible bird hosts. Wetlands provide suitability habitats
399 for mosquito larva presence (Bian et al., 2006). Moreover, Ramsar wetlands present a
400 particularly high diversity and abundance of wild bird species, increasing the risk of WNV
401 transmission to both natural reservoirs and dead-end hosts close to these areas (Valiakos et
402 al., 2014).

403 The final model included the presence of *C. pipiens* as the second most relevant
404 predictor of WNV occurrence. This result is consistent with other studies that identified this
405 mosquito species as the primary vector of WNV in Europe (Hubálek and Halouzka, 1999;
406 Calistri et al., 2010; Chevalier et al., 2014). Although data on the distribution of *Culex*
407 species are still limited in Spain, previous studies have shown that *C. pipiens*, *C.*
408 *perexiguus*, *C. theileri* and *C. modestus* are the main *Culex* species detected in western
409 Andalusia (Aranda et al., 2009, Vázquez et al., 2011, García-Bocanegra et al., 2012b). The
410 absence of *C. theileri* in the final model could indicate a less relevant role of this vector
411 species in WNV transmission in the study area. Future studies should be conducted
412 considering other competent vector species (eg. *C. perexiguus* and *C. modestus*) as well as
413 other environmental and climatic variables for which data was not available in this study
414 (e.g., relative humidity, seasonality) to assess the implication of these variables in the WNV
415 occurrence in Spain.

416 **Conclusions**

417 The results obtained in this study contribute to a better understanding of WNV
418 transmission in Spain. The outbreaks confirmed consecutively during the last seven years,
419 as well as the detection of WNV RNA lineage 1 in five different periods, suggest an
420 endemic circulation of the virus in the south part of the country. The spatio-temporal
421 distribution of WNV outbreaks in Spain was not homogeneous, as significant clusters were
422 detected in western Andalusia in two non-consecutive years. Additionally, the highest
423 number of outbreaks reported in 2016 compared to the previous years and the expansion to
424 northern areas outside Andalusia province, suggests an active circulation and expansive
425 trend. Therefore, there is a potential risk of WNV spread to previously unaffected areas
426 within the Iberian Peninsula in the following years. The identification of WNV risk areas
427 and spatio-temporal clusters in this study can serve to inform risk-based, more cost-
428 effective strategies towards better prevention and control of WNV in Spain. In order to
429 better prevent future WNV cases in the horses and humans, specific measures, including
430 vaccination programs, risk-based surveillance and outreach and communication of horse
431 owners and the general public should be implemented in the identified areas.

432 **Conflict of interest statement**

433 None of the authors of this study has a financial or personal relationship with other
434 people or organisations that could inappropriately influence or bias the content of the paper.

435 **Acknowledgments**

436 We would like to thank to Manuel Fernández Morente and Amparo Cabezas of the
437 Epidemiological Monitoring Program of the Regional Government of Andalusia. We thank
438 the Regional Government of Andalusia and Ministry of Agriculture, Food and Environment

439 (the SG Health and the Hygiene Animal and Traceability) for sharing spatial data. We
440 gratefully acknowledge the assistance of the Central Veterinary Laboratory in Algete,
441 Madrid (MAPA) with the laboratorial analyses. This study was conducted thanks to the
442 José Castillejo and the Fulbright programs.

443

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681 **Figure legends**

682

683 **Fig. 1.** Spatial distribution of the 177 confirmed WNV outbreaks in Spain between 2010
684 and 2016. Black dots indicate outbreaks in horse herds.

685 Fig. 2. Frequency in which different clinical symptoms associated to WNV infection were
686 observed in herds affected by WNV in Spain (2010-2016). Black and grayed bars indicate
687 general and nervous symptoms, respectively.

688 Fig. 3. Temporal evolution (in weeks) of WNV outbreaks in Spain during the period 2010-
689 2016.

690 Fig. 4. Map of Andalusia (southern Spain) showing high risk areas for WNV occurrence.
691 Color gradient represents the WNV occurrence risk. Black and green dots indicate the
692 confirmed and non-confirmed WNV outbreaks in horses, respectively. Yellow crosses
693 indicate WNV outbreaks in human.

694

695 **Table legends**

696

697 **Table 1.** Results of the laboratory analyses for WNV in horse herds between August 2010
698 and November 2016, in southern Spain.

699

700 **Table 2.** Results of the space-time permutation model for WNV outbreaks in horses
701 between August 2010 and November 2016, in Andalusia, southern Spain.

TABLE 1 Results of the laboratory analyses for West Nile virus (WNV) in horse herds between August 2010 and November 2016, in southern Spain

Laboratory diagnostics	% Confirmed herds (positive/tested)	% Non-confirmed herds (positive/tested)	% Investigated herds (positive/tested)
IgM antibodies	100 (177/177)	0.0 (0/226)	43.9 (177/403)
IgG antibodies	93.0 (107/115)	30.8 (41/133)	59.7 (148/248)
Neutralizing antibodies	53.2 (50/94)	45.2 (14/31)	51.2 (64/125)
WNV RNA	7.9 (14/177)	0.0 (0/155)	4.2 (14/332)

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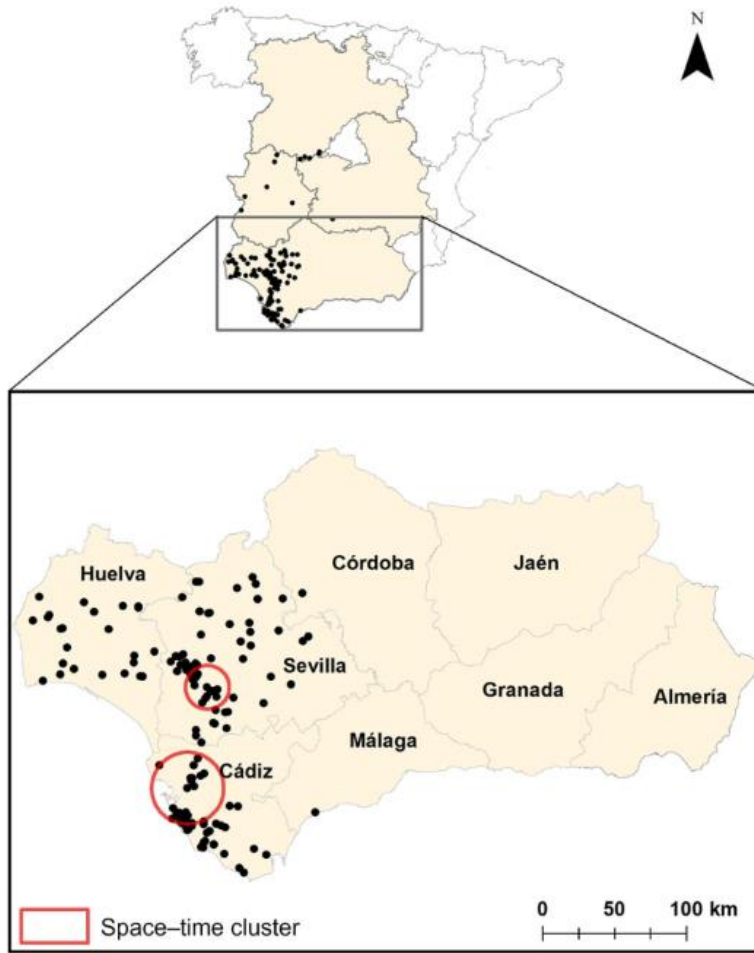


FIGURE 1 Spatial distribution of the 177 confirmed West Nile virus outbreaks in Spain between 2010 and 2016. Black dots indicate outbreaks in horse herds [Colour figure can be viewed at wileyonlinelibrary.com]

703

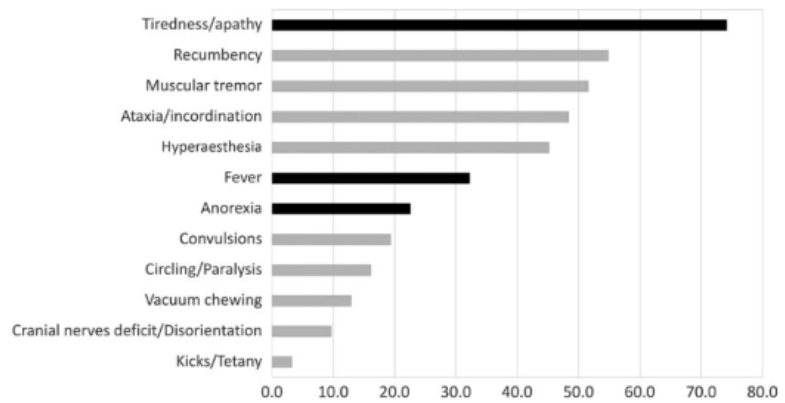
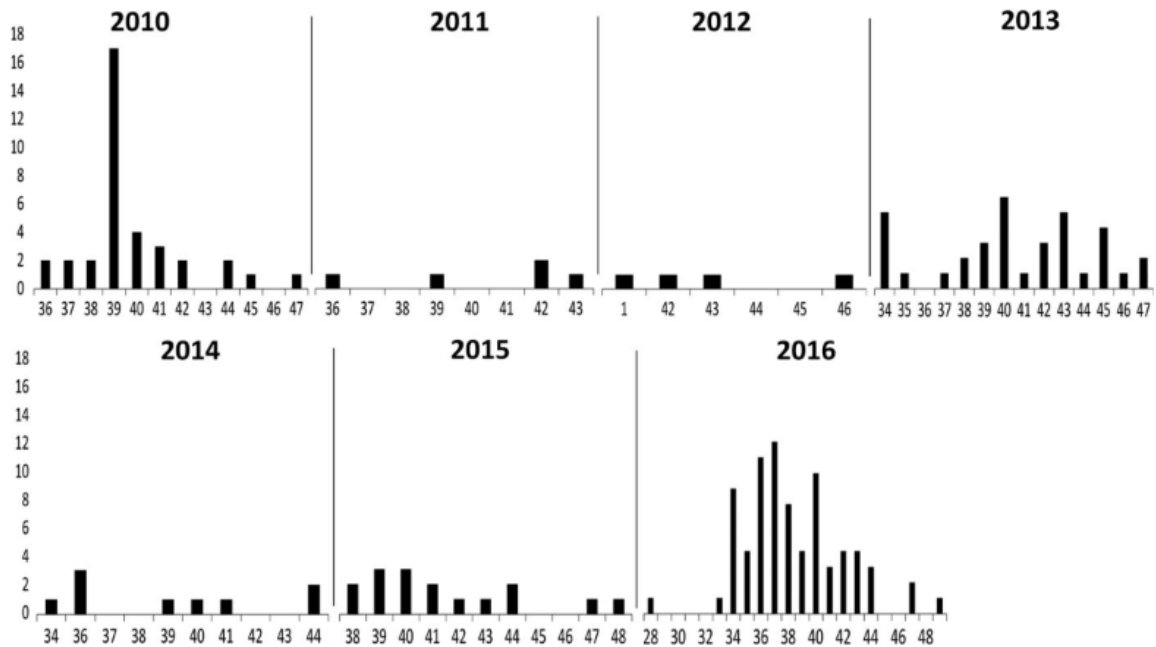


FIGURE 2 Frequency in which different clinical symptoms associated to West Nile virus infection was observed in herds affected by West Nile disease in Spain (2010–2016). Black and grated bars indicate general and nervous symptoms, respectively

704



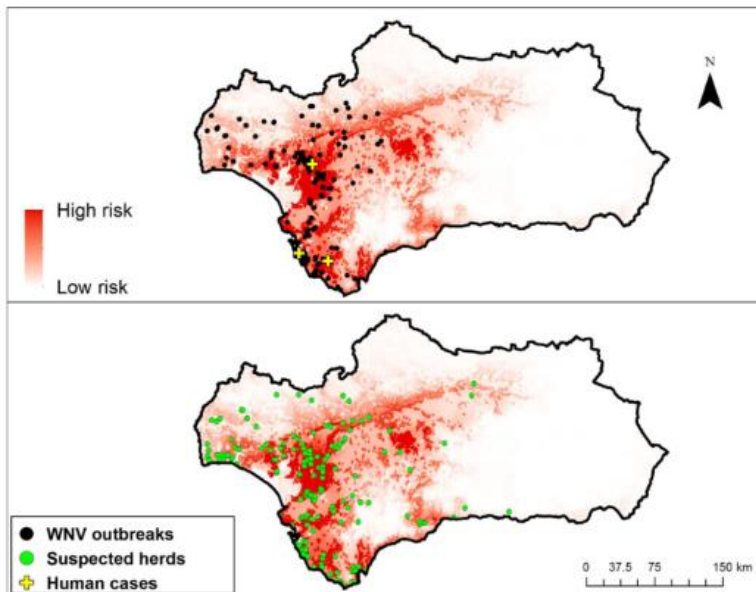
705

FIGURE 3 Temporal evolution (in weeks) of West Nile virus outbreaks in Spain during the period 2010-2016

TABLE 2 Results of the space-time permutation model for West Nile virus outbreaks in horses between August 2010 and November 2016, in Andalusia, southern Spain

Cluster	Radius (km)	Cluster time frame	No. of observed cases	No. of expected cases	Observed/expected	p-Value
1	25.00	31 August to 29 September 2010	36	8.45	4.26	<.001
2	15.17	4 August to 7 September 2016	34	7.62	4.46	<.001

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FIGURE 4 Map of Andalusia (southern Spain) showing high-risk areas for West Nile virus (WNV) occurrence. Colour gradient represents the WNV occurrence risk. Black and green dots indicate the confirmed and non-confirmed WNV outbreaks in horses, respectively. Yellow crosses indicate WNV outbreaks in human [Colour figure can be viewed at wileyonlinelibrary.com]