

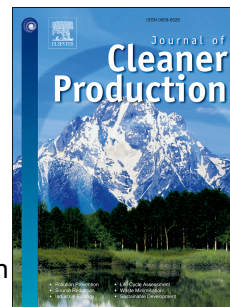


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Freshwater ecotoxicity assessment of pesticide use in crop production: Testing the influence of modeling choices

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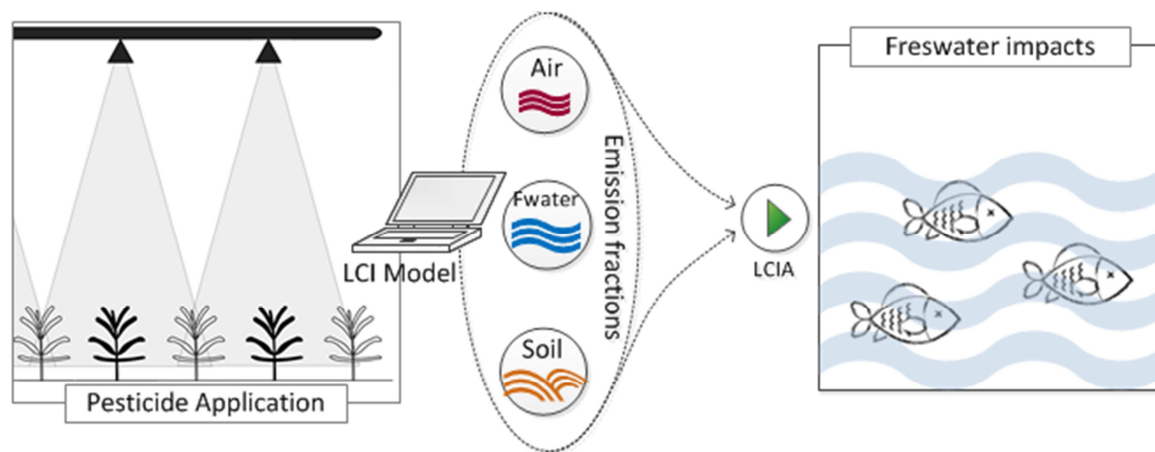
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ACCEPTED MANUSCRIPT

1 **Freshwater ecotoxicity assessment of pesticide**
2 **use in crop production: Testing the influence of**
3 **modeling choices**

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18

19 **ABSTRACT**

20 Pesticides help to control weeds, pests, and diseases contributing, therefore, to food
21 availability. However, pesticide fractions not reaching the intended target may have adverse
22 effects on the environment and the field ecosystems. Modeling pesticide emissions and the
23 link with characterizing associated impacts is currently one of the main challenges in Life
24 Cycle Assessment (LCA) of agricultural systems. To address this challenge, this study takes
25 advantage of the latest recommendations for pesticide emission inventory and impact
26 assessment and frames a suitable interface for those LCA stages and the related mass
27 distribution of pesticide avoiding a temporal overlapping. Here, freshwater ecotoxicity
28 impacts of the production of feed crops (maize, grass, winter wheat, spring barley, rapeseed,
29 and peas) in Denmark were evaluated during a 3-year period, testing the effects of inventory
30 modeling and the recent updates of the characterization method (USEtox). Potential
31 freshwater ecotoxicity impacts were calculated in two functional units reflecting crop impact
32 profiles per ha and extent of cultivation, respectively. Ecotoxicity impacts decreased over the
33 period, mainly because of the reduction of insecticides use (*e.g.*, cypermethrin). Three
34 different emission modeling scenarios were tested; they differ on the underlining assumptions
35 and data requirements. The main aspects influencing impact results are the interface between
36 inventory estimates and impact assessment, and the consideration of intermedia processes,
37 such as crop growth development and pesticide application method. Impact scores for AS2
38 were higher than RS and AS1, but the differences in the crops ranking was less apparent. On
39 the other hand, the influence on the estimation of impacts for individual AIs was considerable
40 and statistical differences were found in the impact results modeled in scenarios RS and AS2.
41 Thereby indicating the effect of inventory models on ecotoxicity impact assessment.

42
43 **Keywords:** Pesticide emission factors, inventory modeling, ecotoxicity characterization, life
44 cycle impact assessment (LCIA), feed crops, agriculture.*¹

¹ Abbreviations

AI: Active ingredient
AS: Alternative scenario
CF: Characterization factors
DK: Denmark
EF: Effect factor
FF: Fate factor
Fun: Fungicides
GAP: Good agricultural practices
Gly_agri: Total agricultural use of glyphosate
Hrb: Herbicides
Ins: Insecticides
IS: Impact scores
LAI: Leaf area index
NAP: National Action Plans
Pgr: Plant growth regulators
RS: Reference scenario
XF: Exposure factor

45 1 INTRODUCTION

46 With the increased global demand for agricultural products for food, fiber and bioenergy, and
47 the interrelated concerns on the environmental impact hereof, there is a need to have efficient
48 tools to evaluate the environmental performance profiles of agricultural production, to
49 facilitate a move towards more sustainable production systems. Life Cycle Assessment (LCA)
50 is widely applied to quantify the potential impacts of products and systems along with their
51 entire life cycles. One of the main challenges in assessing the environmental performance of
52 agricultural systems in LCA is modeling emissions from pesticide use and the subsequent
53 coupling with the impact characterization model (van Zelm et al., 2014). Over the past years,
54 a significant number of LCA studies on agricultural systems were conducted (Gasol et al.,
55 2012; Milà et al., 2006; Noya et al., 2017; Torrellas et al., 2012). However, ecotoxicity
56 impacts as currently modeled may lead to inconsistent results and wrong conclusions in few
57 cases (*e.g.*, comparing conventional vs organic farming), mostly due to the lack of agreement
58 and precise definitions on the modeling framework for this impact category (Fantke et al.,
59 2018; Meier et al., 2015; Müller et al., 2017; Notarnicola et al., 2017; Saouter et al., 2017a,
60 2017b).

61 The development of the life cycle inventory (LCI) analysis and subsequent life cycle impact
62 assessment (LCIA) (*e.g.*, pesticide emission quantification and related characterization of
63 ecotoxicity impacts) are the core phases of an LCA study. The robustness and reliability of
64 the LCA results depend mainly on the quality and representativeness of the LCI and LCIA
65 data and models selected. Different modeling options, hence, will affect the impact profiles of
66 a study, and this is especially relevant for agricultural systems (Anton et al., 2014).

67 Quantifying the chemical emissions to the environment in the LCI phase is typically based on
68 generic assumptions, often based on standard emission factors (*e.g.*, expressed in percentages
69 of applied mass) or dynamic models based on specific application scenarios that describe the
70 emission distribution of organic pesticides. The consensus effort on the delimitation between

71 pesticide emission inventory and impact assessment for LCA already provides guidelines on
72 what should be quantified in those LCA steps but explicitly exclude how to do it avoiding
73 recommendations on specific models (Rosenbaum et al., 2015). The implications of choosing
74 different emission models in the LCA of crop production have been discussed for some
75 agricultural systems (Goglio et al., 2018; Schmidt Rivera et al., 2017; van Zelm et al., 2014).
76 However, no studies are addressing the influence of the pesticide emission modeling
77 approach, nor the evaluation of recent developments in impact assessment methods to
78 determine pesticide ecotoxicity impacts in different crop production systems.
79 Thus, there is a need to test different choices on how to quantify pesticide emission fractions
80 (i.e., different modeling approaches) and the recent developments on the recommended
81 method for freshwater ecotoxicity characterization in the production of feed crops.
82 The purpose of the present study is to contribute to the evaluation of the ecotoxicological
83 burden on freshwater ecosystems from pesticide use in crop production using the pesticide
84 use in Denmark (DK) as a case study. It is focused on assessing the influence of pesticides on
85 the environmental impact profiles of the cultivation of feed crops during the period 2013-
86 2015, testing the effects of modeling choices in the inventory analysis as well as in the impact
87 characterization.

88 **2 MATERIALS AND METHODS**

89 This study followed the LCA methodology to evaluate the potential ecotoxicity impacts on
90 freshwater ecosystems from pesticide use in DK's crop production. This bottom-up analysis
91 focuses on the evaluation and influence of pesticide application on the environmental impact
92 profiles of maize, winter wheat, grass, spring barley, rapeseed, and peas during the period
93 2013-2015.

94 **2.1 Definition of ecotoxicity impact scores**

95 The quantification of ecotoxicity impact scores for freshwater ecosystems includes i) Detailed
96 LCI reporting on the pesticide active ingredient (AI); application methods, time and mass,

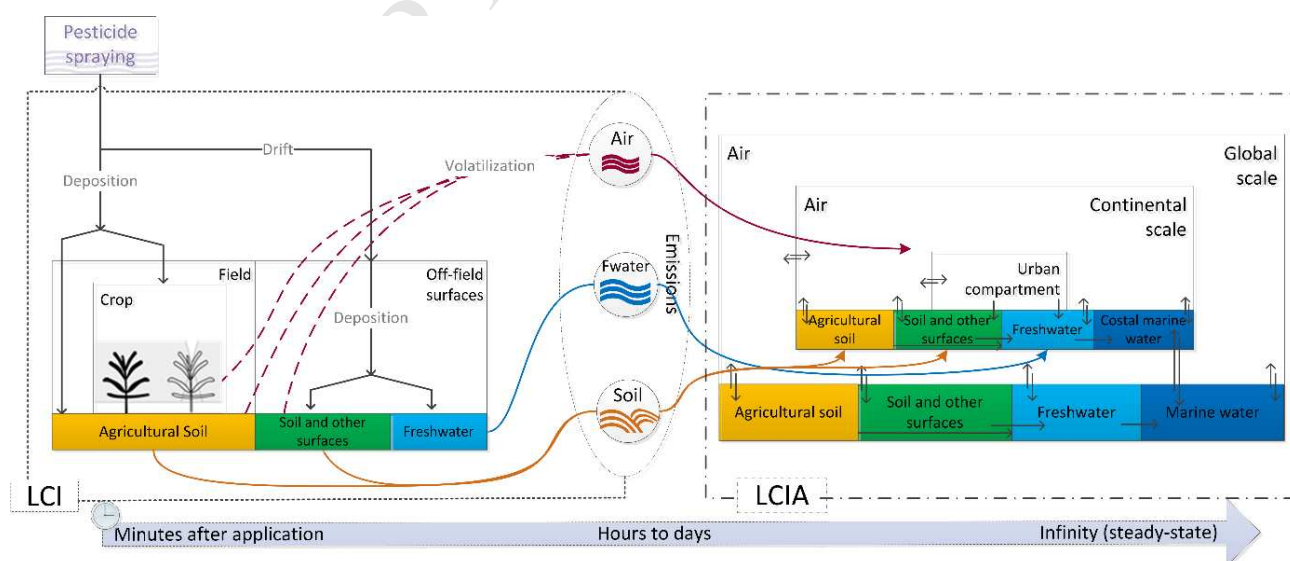
97 location, agricultural practices and crop stage development; ii) quantified AI emission
 98 fractions for both on-field and off-field; and iii) measures to avoid double counting of
 99 multimedia transfers considered in the quantification of emission fractions and the impact
 100 assessment fate modeling (Rosenbaum et al., 2015). Accordingly, the freshwater ecotoxicity
 101 impact scores (IS) can be described as:

$$102 \quad IS = \sum_{i,x} (CF_{i,x} \cdot m_{i,x}) \quad (1)$$

103 Where $CF_{i,x}$ is the characterization factor for freshwater ecotoxicity [$\text{PAF m}^3 \text{ d kg}^{-1}$],
 104 and $m_{i,x}$ is the mass of AI x emitted to compartment i per area treated [$\text{kg}_{\text{emitted}} \text{ ha}^{-1}$].

105 Potential freshwater ecotoxicity impacts ($IS_{\text{crop_ha}}$) [$\text{PAF m}^3 \text{ d ha}^{-1}$] were determined in
 106 relation to 1 hectare [ha] of crop in a given year t within 2013 and 2015. Additionally,
 107 freshwater ecotoxicity impact profiles at country or regional level (IS_{crop}) [$\text{PAF m}^3 \text{ d crop}^{-1}$]
 108 from pesticide use were derived from the product of crop impact scores and the total crop area
 109 in a given year in DK.

110 The interface between LCI and LCIA and related mass distribution for pesticide application in
 111 crop production are presented in Figure 1. This approach follows the proposed framework for
 112 pesticide inventory and impact assessment (Rosenbaum et al., 2015; van Zelm et al., 2014).



113

114 **Figure 1** Interface between LCI and LCIA for pesticide application in crop production

115 This interface considers the boundaries between the emission inventory and impact
116 assessment, setting also spatial and time dimensions, to quantify the AI emission fractions (in
117 air, freshwater, and soil) and characterize ecotoxicity impacts, avoiding any overlap or double
118 counting of the chemical fate process. Furthermore, the emission flows, both on and off the
119 field, are clearly indicated and their link to the characterization factors for the impact pathway
120 (i.e., freshwater ecotoxicity).

121 2.2 Pesticide emission inventory

122 Pesticide application practices in DK for the selected crops were determined. Concrete AI was
123 used throughout the study, meaning, that the chemical that is the biologically active part of
124 any pesticide was assessed (European Commission, 2017). The mass applied per AI was
125 derived from the annual statistical report on pesticide use by crop in DK for 2013 (Ørum and
126 Samsøe-Petersen, 2014), 2014 (Ørum and Hossy, 2015) and 2015 (Ørum and Holtze, 2017).
127 We addressed nearly 60 different AIs from four distinct target classes, herbicides (Hrb), plant
128 growth regulators (Pgr), fungicides (Fun), and insecticides (Ins). Additionally, glyphosate
129 (CAS-RN107-83-6) use is not allocated to any specific crop cultivation, and it was assessed
130 as the total agricultural use of the AI per 1 hectare [ha] in a given year, hereafter identified as
131 (Gly_{agri}). All AI identification (CAS registry numbers-RN and names), and classes are
132 reported in Supporting Information (SI), Table S1.

133 2.3 Pesticide emission quantification

134 Crops are treated by foliar spray application (typically boom sprayers), and the reported DK
135 statistics on pesticide treatments were used as a proxy for agricultural practices. The
136 agricultural field is considered as part of the ecosphere. The total emission fraction of an AI
137 [$kg\ kg^{-1}$] is quantified as the sum of the fractions initially emitted to the different
138 environmental compartments:

$$139 \quad f_{em} = \frac{m_{em}}{m_{app}} = f_{em_air} + f_{em_fw} + f_{em_soil.agri} + f_{em_soil.other} + f_{em_crop} \quad (2)$$

140 Where f_{em} is the fraction of the applied mass of pesticide that becomes an emission to the
 141 environment, m_{em} the mass emitted, m_{app} the mass of pesticide applied, f_{em_air} the fraction of
 142 applied mass that is emitted to air, f_{em_fw} the fraction of applied mass that is emitted to
 143 freshwater, $f_{em_soil.agri}$ the fraction of applied mass that is emitted to on-field soil, $f_{em_soil.other}$ the
 144 emission fraction reaching off-field soil and other surfaces, and f_{em_crop} is the fraction reaching
 145 crop surfaces. These pesticide emissions were modeled in two sequential steps, initial
 146 distribution (primary processes) and secondary emission transfers.

147 *Primary distribution*

148 The primary distribution processes between compartments occur during the initial minutes
 149 after pesticide application. These primary processes are emission by wind drift (f_{d_lost}),
 150 pesticide deposition and the fraction intercepted by the crop or weed (further details are
 151 presented in SI, Table S2). Since the fractions from initial distribution to environmental media
 152 should sum up to 100% of the applied mass, considering losses via degradation during the
 153 initial minutes negligible, the aggregated emission fractions will be equal to one (Fantke et
 154 al., 2011a; Juraske et al., 2007). Consequently, the crop/weed interception fraction (f_{int_crop}) of
 155 an AI directly after the application will be given by:

$$156 \quad f_{int_crop} = 1 - (f_{d_lost} + f_{dep_soil.agri}) \quad (3)$$

157 The fraction lost by wind drift f_{d_lost} [$kg\ kg^{-1}$], depends on the application method, i.e., the
 158 spray equipment and elevation, and wind speed. Based on models for conventional spray
 159 equipment on field crops and deposition curve parameters assuming good agricultural
 160 practices (GAP), the f_{d_lost} was fixed to a value of 0.1 (Gil et al., 2014; Gil and Sinfort, 2005;
 161 Gyldenkrne et al., 1999; van de Zande et al., 2007). The soil deposition $f_{dep_soil.agri}$ [$kg\ kg^{-1}$],
 162 depends on crop-specific leaf area index (LAI), thereby affecting fractions reaching soil
 163 surfaces of the treated field area (Fantke et al., 2011b). With an exponential model
 164 (Gyldenkrne et al., 2000; Juraske et al., 2007), based on crop growth stage and capture
 165 efficacy, the fraction reaching the soil surface is described as:

$$166 \quad f_{dep_soil.agri} = e^{-k_p \times LAI} \quad (4)$$

167 Where k_p is the capture coefficient [-] and set to 0.55 for pesticide spray solutions prepared
 168 with adjuvants (Gyldenkrne et al., 1999). Pesticide target class and specific application time
 169 were used to define crop-specific growth stages in the selected crops. The LAI was derived
 170 for plant growth regulators, insecticides and fungicides distinctly as a value dependent on the
 171 target class/crop growth stage/application time combination, (Fantke et al., 2011b; Itoiz et al.,
 172 2012; Olesen and Jensen, 2013); for herbicide application on weeds the corresponding LAI of
 173 0.5 is used. This value is based on the reported leaf cover factor for fallow lands (Panagos et
 174 al., 2015).

175 *Secondary distribution*

176 The subsequent secondary emission transfers include re-volatilization after deposition and
 177 off-field emissions allocation. The volatilization from fractions deposited in the different
 178 compartments is derived from the default Tier 1 emission factors per AI from their vapor
 179 pressures (Webb et al., 2016) see Table S1 and S3 in SI. The emission factor emF was
 180 calculated for each AI (see, SI Table S1), the inter-media transfer and the final emission
 181 factors are presented in SI, SI-1, and SI-2. Finally, the water to soil area ratio for DK (0.016)
 182 was used to allocate the off-field emissions (i.e., drift fraction deposited in off-field surfaces)
 183 see SI, Table S2. This value is based on reported data of the Danish ministry of environment
 184 (Stockmarr and Thomsen, 2009).

185 2.4 Freshwater ecotoxicity characterization

186 For assessing the ecotoxicity of pesticides on freshwater ecosystems, we followed the LCIA
 187 emission-to-damage framework that links emissions to impacts through environmental fate,
 188 exposure and effects (Jolliet et al., 2004). According to (Hauschild and Huijbregts, 2015;
 189 Rosenbaum et al., 2008) characterization factors CF for freshwater ecotoxicity of chemical
 190 emissions can be expressed as:

$$191 \quad CF_{i,x} = FF_{i \rightarrow fw,x} \times XF_{fw,x} \times EF_{fw,x} \quad (5)$$

192 Where $FF_{i \rightarrow fw,x}$ is the fate factor in [$kg_{in_compartment} / (kg_{emitted} d^{-1})$] describing the mass
193 transport, distribution and degradation in the environment. The ecosystem exposure factor,
194 $XF_{fw,x}$, is defined as the bioavailable fraction of a chemical in freshwater; and an effect factor
195 ($EF_{fw,x}$) expressing the ecotoxicological effects associated with the bioavailable fraction, in
196 the exposed ecosystems integrated over the surrounding water volume. CFs were estimated
197 with USEtox 2.02 as characterization model, with the specific European landscape dataset
198 (i.e., representing DK conditions) (Fantke et al., 2017; Westh et al., 2015). New CFs for 10
199 additional AIs, following the procedure in Fantke et al. (2017) were derived. A detailed
200 description of the resulting CF and the data used can be found in SI, SI-3.

201 Recent developments for the estimation of CFs, such as the improvements in the calculation
202 of the fate factors now accounting for the influence of pH on partitioning processes ionizing
203 organic chemicals, were introduced in USEtox version 2.02 (Fantke et al., 2017). The
204 differences in the potential freshwater ecotoxicity impact results, coming from the different
205 model versions (USEtox 1.01 and 2.02) were tested. This evaluation was performed taking
206 into account AIs available in both USEtox versions.

207 2.5 Sensitivity analysis

208 Two types of local sensitivity tests were conducted. First, a scenario sensitivity analysis was
209 performed testing the sensitivity on IS results of different modeling scenarios in the impact
210 profiles of feed crop cultivation in DK.

211 There are very different approaches and assumptions in order to provide emission estimates
212 for quantifying lifecycle emission inventories of pesticides in any LCA study involving
213 agricultural systems (Fantke, 2018). The most simplified approaches are based on generic
214 assumptions regarding varying percentages for pesticide application. The most frequently
215 used approach and the more simplified is the assumption that all pesticides remain in the soil
216 (i.e., 100% emitted to soil) (Nemecek and Kagi, 2007). Following this line of fixed
217 percentages, there are several approaches that distribute pesticide emissions on more than one

218 environmental compartment (Berthoud et al., 2011; Margni et al., 2002; Neto et al., 2013). A
219 different approach is the more complex emission modeling as in PestLCI model. This model
220 estimates emissions to three environmental compartments: air, surface water, and
221 groundwater. It considers the agricultural field down to 1 m depth into the soil and up 100 m
222 into the air as part of the technosphere, thus excluding emissions to soil on-field and off-field
223 (Birkved and Hauschild, 2006; Dijkman et al., 2012). The main differences between the
224 methods are the underlining assumptions (*e.g.*, boundaries between ecosphere and
225 technosphere), the level of sophistication (fixed percentages vs. modeling), the data
226 requirements and applicability for quantifying pesticides emissions. All these different
227 approaches, may offer inconsistent results, which in some cases are partially overlapping
228 (spatially or temporally) with the impact pathways for pesticides. Therefore, IS results tend to
229 be not compatible or comparable.

230 For the present study, modeling approaches that allowed the inclusion of agricultural soil in
231 the assessment and that involve simplified assumptions for application methods were selected.
232 Three scenarios were considered, the above proposed simplified estimation routine (section
233 2.3), was selected as a reference scenario (RS) and two alternative scenarios (AS1-AS2) that
234 represent different modeling approaches to quantify emissions from pesticide use. The
235 alternative scenario AS1 followed Margni et al. (2002), which represents a usually used
236 pesticide emission modeling, and furthermore is one of the first approaches that account for
237 pesticide emission distribution in different environmental media in LCA studies for
238 agricultural systems. In this approach, the pesticide emissions are distributed in environmental
239 media based on fixed share percentages. They assume that the fraction of AI emitted to the
240 soil will be 85% of the total application, 5% will stay on leaves and the remaining 10% is lost
241 into the air across crops and pesticides. The second tested scenario AS2 represents fixed
242 emission fractions dependent on the foliar spray application and drift distributions for field
243 crops. This approach was chosen to represent a modeling framework where the initial

244 distribution (i.e., application method and crop relation) is taken into account but also allowing
 245 the inclusion of field emissions in the assessment (Balsari et al., 2007; Felsot et al., 2010; Gil
 246 and Sinfort, 2005). Table 1 displays the emission fractions in the three scenarios considered.

247 **Table 1** Comparison of pesticide emission fractions (f_{em}) calculated by the RS (reference
 248 scenario), AS1 (Margni et al. 2002) and AS2 (application method and crop relation)

Emission scenarios	Average fraction emitted [kg kg ⁻¹]	Standard deviation on fractions
RS		
f_{em_air}	1.16×10^{-1}	2.03×10^{-1}
f_{em_fw}	1.60×10^{-3}	N/A
$f_{em_soil.agri}$	3.75×10^{-1}	3.11×10^{-1}
$f_{em_soil.other}$	8.70×10^{-2}	2.01×10^{-2}
AS1		
f_{em_air}	1.00×10^{-1}	N/A
f_{em_crop}	5.00×10^{-2}	N/A
f_{em_soil}	8.50×10^{-1}	N/A
AS2		
f_{em_air}	1.70×10^{-1}	N/A
f_{em_fw}	1.00×10^{-2}	N/A
f_{em_soil}	4.50×10^{-1}	N/A
f_{em_crop}	3.70×10^{-1}	N/A

249 Note: f_{em} is the fraction emitted. Indices air, fw (freshwater), soil and crop denote environmental
 250 compartment where the emission occurs.

251 Second, input parameter sensitivity analysis was performed to test the sensitivity of the RS by
 252 evaluating the change in the impact scores (propagated from the change in emission fractions)
 253 as a function of the variation of several input parameters by a factor of 2 larger of their initial
 254 values, one at the time. Local sensitivity to input S_{in} [-] was further expressed as the effect on
 255 the model output due to a change in an input parameter (for further details see SI, SI-5).

256 Finally, a statistical analysis between impact results of the different scenarios was conducted.

257 3 RESULTS AND DISCUSSION

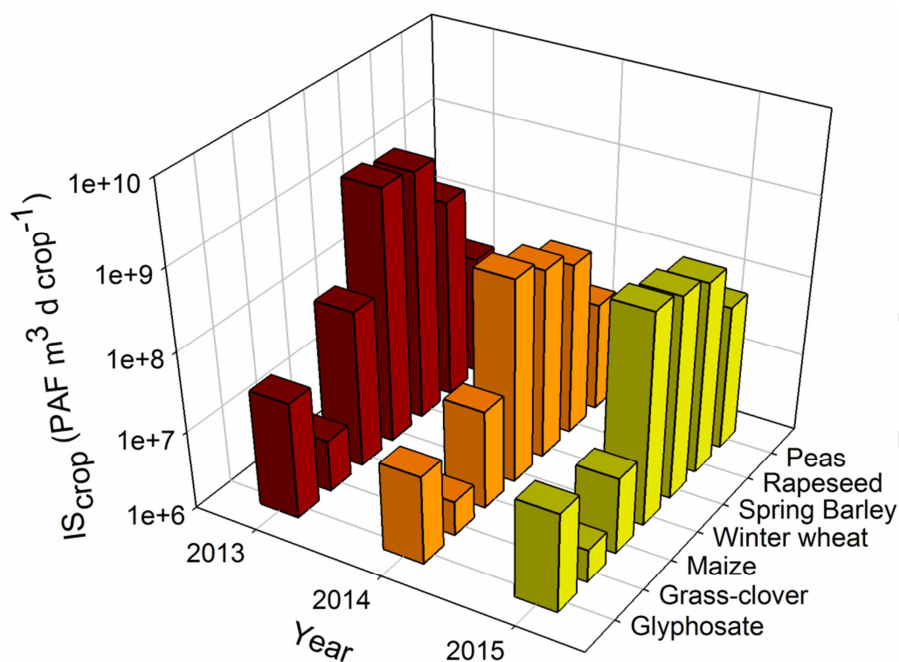
258 3.1 Pesticides use in Danish crop production (2013-2015)

259 The AIs considered in the study cover 98.3% of the total pesticide applications in relation to
 260 the mass applied for the selected crops: maize, winter wheat, grass, spring barley, rapeseed,
 261 peas and the agricultural use of glyphosate (Gly_{agri}). The total pesticide use was 3165 tons in
 262 2013, 1438 tons in 2014 and 2105 tons in 2015. The average pesticide application rates per

263 crop vary between 2 and 3 orders of magnitude (SI, Table S6). Grass is the crop with the
264 lowest application rates and pesticide use; together, fungicides and insecticides represent
265 nearly 20% of the total use in grass-2013; additionally, in 2014-2015, there was no use of
266 insecticides, and fungicides use was reduced by less than 2.5%. Gly_agri sum up to 2722 tons
267 in the 3 years and represents near 40% of the total use of pesticides in DK. Winter wheat
268 (2672 tons) is the crop with higher pesticide use followed by spring barley (748 tons) (SI,
269 Table S7). The most used pesticide target class is Herbicides, and prosulfocarb is the most
270 used AI after Gly_agri within this target class. Regarding pesticide use it is important to
271 mention that the farmer's choice for an AI or another can be influenced by many different
272 external factors, such as climate variations or the emergence of pests and diseases, crop
273 rotations, market needs and many others (Steingrimsdóttir et al., 2018). For annual crops, a
274 three year assessment period could balance somehow the fluctuations in the crop growing
275 conditions (*e.g.*, as recommended for the assessment of greenhouse gas emissions) and the
276 variations of the factors mentioned above (BSI, 2012; Knudsen et al., 2014).

277 3.2 Ecotoxicity impact profiles of feed crops (2013-2015)

278 The IS_{crop} from pesticide use decreased over the three years (Figure 2). The reduction of the
279 IS_{crop} was more apparent in 2014 (59%) than in 2015 (33%) with respect to the base year
280 (2013). Most of the decrease in the IS_{crop} was due to the non-use of a single substance:
281 cypermethrin. This insecticide was the major contributor to IS_{crop} in 2013 across crops (*e.g.*,
282 87% in maize, 60% in spring barley and 47% in winter wheat) and was no longer used in
283 2014-2015 (see Table S8 in SI). Furthermore, the fact that maize and grass did not require the
284 use of insecticides in 2015 also contributes to the reduction of IS_{crop} . However, it is essential
285 to note that this may be the result of unfavorable climatic conditions for the emergence of
286 pests, different insect population cycles (affecting abundance), competition and predation
287 (*e.g.*, natural enemies) among many other different reasons.



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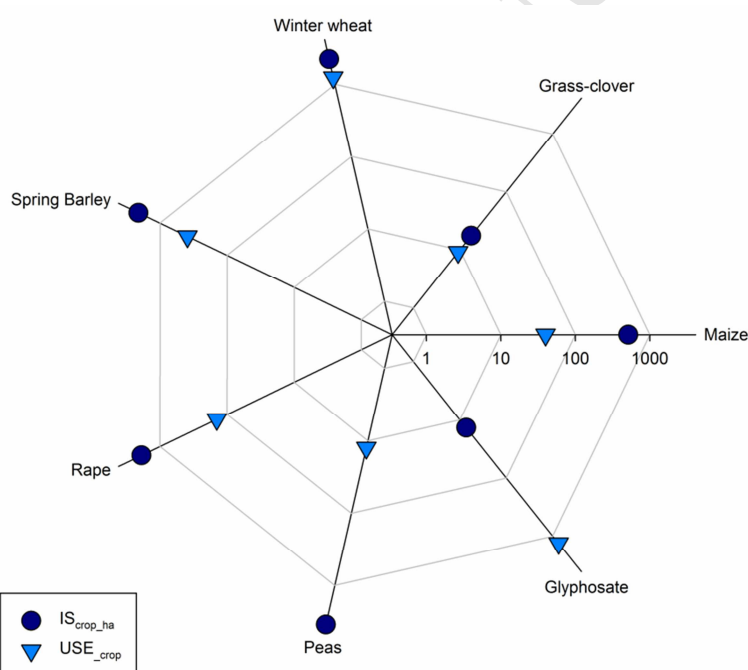
289 **Figure 2** Freshwater ecotoxicity impact profiles for crop production (2013-2015), impact
 290 scores IS_{crop} expressed in $[PAF m^3 d crop^{-1}]$. *Glyphosate (CAS RN-107-83-6) assessed as the
 291 total agricultural use in Denmark
 292

293 As shown in figure 2, winter wheat-2013 ($1.6 \times 10^9 PAF m^3 d crop^{-1}$), spring barley-2013
 294 ($1.4 \times 10^9 PAF m^3 d crop^{-1}$) and rapeseed-2013 ($3.3 \times 10^8 PAF m^3 d crop^{-1}$) present the higher
 295 IS_{crop} . The larger IS_{crop} in those crops is associated with the use of insecticides (*e.g.*,
 296 cypermethrin, pendimethalin, and lambda-cyhalothrin) and fungicides (*e.g.*, pyraclostrobin,
 297 azoxystrobin, and folpet), AIs with relatively high CFs, and also because these are some of
 298 the crops more extensively cultivated in DK (*i.e.*, cultivated area). Consequently, substance
 299 prioritization by LCA helps to identify potentially harmful AI for ecosystems and, with the
 300 restriction of their use or the implementation of more sustainable practices, significant
 301 changes in the impact profiles of the crops can be made more apparent (*e.g.*, cypermethrin). In
 302 this sense, if farmers choose to use pesticides AIs causing lower impacts, the load on
 303 agricultural systems will decline, even if they continue to spray their fields as usual for pests
 304 and disease control. Moreover, linking this decision with integrated pest management (IPM)
 305 will further contribute to lowering the ecotoxicological burden on freshwater ecosystems from
 306 pesticide use.

307 3.3 Pressure of pesticide impacts by hectare and class (2013-2015)

308 When calculating the potential ecotoxicity impacts on freshwater ecosystems per 1 hectare of
 309 crop per year (IS_{crop_ha}) [$PAF\ m^3\ d\ ha^{-1}$] the interaction of agricultural systems and practices is
 310 more apparent. The variations in pesticide use (almost 3 orders of magnitude) and impact
 311 scores for individual AIs (up to 9 orders of magnitude) are significant (see Table S9 in SI).
 312 Therefore, in the same year, the trends for the two indicators can move in different directions
 313 (Figure 3), meaning that pesticide use or application rates are not an adequate indicator of
 314 potential impacts (*e.g.*, Gly_agri and rapeseed), since toxicity potentials might be higher for
 315 pesticides that are applied in lesser amounts (Fantke and Jolliet, 2016).

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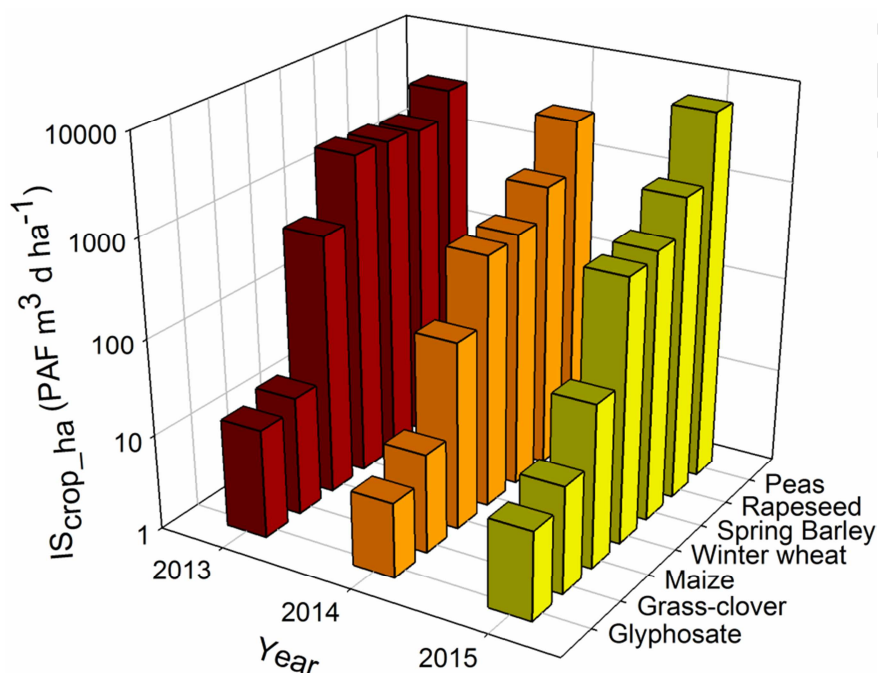


317

318 **Figure 3** Comparison between use of pesticide active ingredient (USE_{crop}) [tonnes] and
 319 potential freshwater ecotoxicity impacts (IS_{crop_ha}) [$PAF\ m^3\ d\ ha^{-1}$] for 5 analyzed crops 2013
 320 and *Glyphosate (CAS107-83-6) assessed as the total agricultural use in Denmark in
 321 logarithmic scale

322 Peas appeared as the crop with the highest pressure by hectare cultivated in the entire period,
 323 with the maximum value ($6440\ PAF\ m^3\ d\ ha^{-1}$) in 2015. In 2013 rapeseed, spring barley and
 324 winter wheat showed IS_{crop_ha} between 64% and 54% lower than peas, in 2014 the difference
 325 for the same crops was among 70% and 85% lower and for 2015 all crops showed IS_{crop_ha}

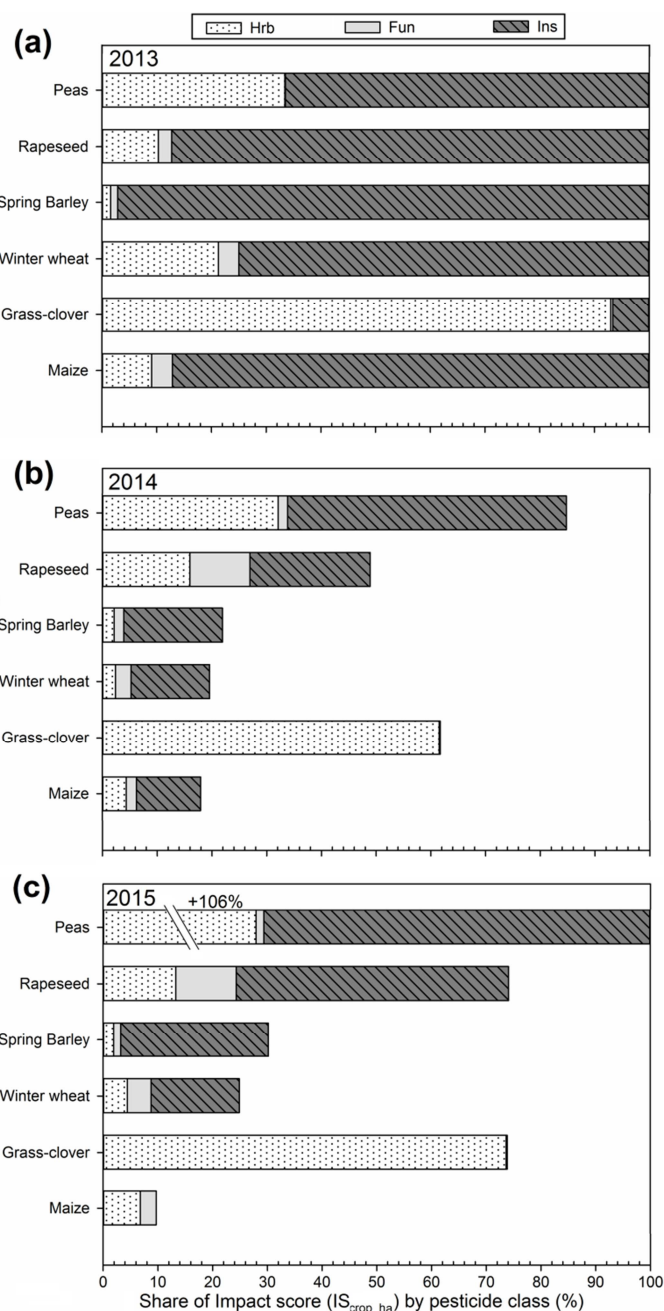
326 80% lower than peas (see Figure 4). The IScrop_ha for the study varies up to 3.5 orders of
 327 magnitude, and the substances cypermethrin (Ins), aconifen (Hrb), pendimethalin (Hrb) and
 328 lambda-cyhalothrin (Ins) present the most significant contribution to IScrop_ha, which his
 329 nearly 70% (see Table S9 in SI).



330 **Figure 4** Pressure of pesticide impact scores by hectare of crop cultivated for Danish crop
 331 production (2013-2015), impact scores IS_{crop_ha} in $[PAF\ m^3\ d\ ha^{-1}]$. *Glyphosate (CAS RN-
 332 107-83-6) assessed as the total agricultural use in Denmark
 333

334 The large IS_{crop_ha} for peas-2015, almost double than precedent years, is mainly explained
 335 by the bloated use of aconifen (Hrb). This intensification of herbicide treatments in 2015
 336 could be potentially associated with the emergence of weed infestation in pea's productions
 337 fields. Moreover, the sharp increment on IS_{crop_ha} in part is explained by the dose increment
 338 by hectare and the relatively high CF for direct emissions to surface water of aconifen (SI,
 339 Table S5), which is driven by a significant EF ($1.3 \times 10^4\ PAF\ m^3\ kg^{-1}$). Furthermore, it is
 340 important to note that even if some substances have a high CF, their use could be justified at
 341 low doses, because of their agronomic importance and effectiveness of pest or disease control.
 342 The contribution by pesticide target class to freshwater IS_{crop_ha} can be observed in Figure
 343 5. Insecticides are the class that contributes the most (56%) to impact scores, followed by

344 herbicides (36.4%) and fungicides (7%); plant growth regulators were not included in Figure
 345 5 as their contribution to IS_{crop_ha} and IS_{crop_DK} was lower than 1%.



346
 347 **Figure 5** Share of freshwater ecotoxicity impact scores IS_{crop_ha} in [%] for a) 2013, b) 2014
 348 and c) 2015; by pesticide class herbicides (Hrb), insecticides (Ins) and fungicides (Fun) taking
 349 IS_{crop_ha} - 2013 as the reference year.

350 It is well known that pesticide treatments are a highly dynamic activity that varies year by
 351 year. It could be more static for herbicides than for the other pesticide classes (i.e.,
 352 insecticides and fungicides) that are more closely correlated with the specific climatic
 353 conditions on the area and year of study and thus also the emergence of any specific pest or

354 disease. If these dynamics are to be considered, the relevant data (on, *e.g.*, pesticide treatment
355 and crop characteristics) have to be consistently reported (Fantke et al., 2016). Furthermore,
356 the assessment period should also reflect these fluctuations in the crop growing conditions,
357 that is why it should also carefully designated (Knudsen et al., 2014).

358 Some other authors have found similar results than here (unallocated values by hectare and
359 year). For example, similar trends are found by Nordborg et al. (2014) for the cultivation of
360 maize, rapeseed and winter wheat for biofuel feedstock production; Parajuli et al. 2017 for
361 grass, maize and winter wheat straw for bio-refinery, and Schmidt Rivera et al. 2017 for
362 barley production in Italy and Denmark. The studies mentioned above use PestLCI (version 1
363 or 2) as inventory model and USEtox 1.01 as characterization method for the impact
364 assessment. Therefore, using a fewer data demanding and a simplified approach could lead to
365 the same results for substance prioritization. Despite the similarities in the trends of IS_{crop_ha} ,
366 when comparing the results with the absolute values of AI use per 1 ha in a given crop (in the
367 same studies), the IS_{crop_ha} are up to 2.2 orders of magnitude higher; considering the
368 uncertainty range of the characterization method (between 1-2 orders of magnitude) this
369 difference might be moderately significant, and more probably associated with differences in
370 the LCI and the pesticide emission model.

371 3.4 Effects of modeling choices on ecotoxicity impact score results

372 3.4.1 Sensitivity of different pesticide emission modeling scenarios

373 The selected methodologies are described in section 2.5, and the results of the three scenarios
374 (RS, AS1, and AS2) were compared between the five crops in the 3-year period. The mean
375 values for f_{em} into air, freshwater and soil in the RS are ~1 order of magnitude higher than the
376 alternative AS2 and greater than AS1 but within the same order of magnitude. The major
377 difference between RS and AS2 comes from the fact that in the latter 37% of the mass applied
378 is considered retained by the crop, degraded or taken up, and thus not been considered as an
379 emission. When modeling soil emissions the mean values present lower differences in

380 comparison with the variations of freshwater and air emissions. Significant differences were
 381 found between RS and AS2 for freshwater emissions, and across the three scenarios for air
 382 emissions. All these variations in the emission fractions lead to further changes (propagated)
 383 in the resulting IS.

384 Results for IS_{crop_ha} in $[PAF\ m^3\ d\ ha^{-1}]$ in the scenarios RS, AS1 and AS2 are summarized in
 385 Table 2. AS1 presented the lowest impact results across crops and years; the highest impact
 386 results appear in AS2, whereas RS showed higher impacts than AS1 but in the same order of
 387 magnitude.

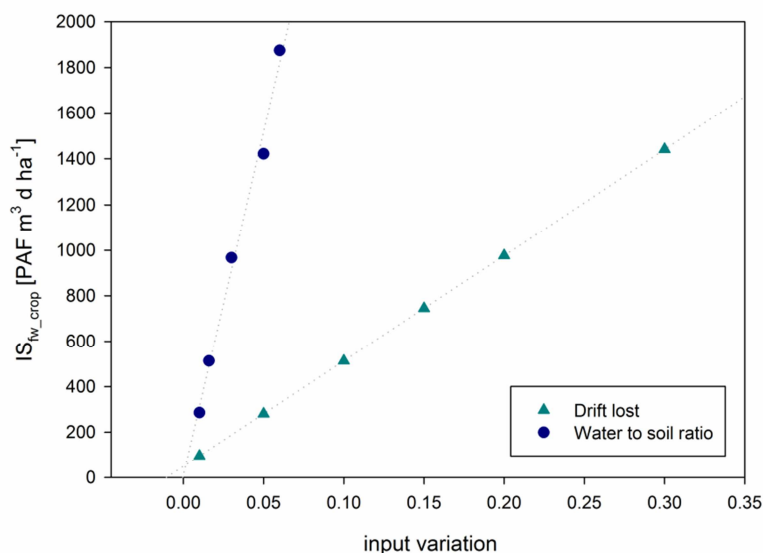
388 **Table 2** Comparison of scenarios to test different emission modeling approaches. Results for
 389 potential freshwater ecotoxicity impact scores IS_{crop_ha} expressed as $[PAF\ m^3\ d\ ha^{-1}]$ in the
 390 reference scenario (RS) and alternative scenarios AS1 and AS2
 391

Crop	RS			AS1			AS2		
	2013	2014	2015	2013	2014	2015	2013	2014	2015
Maize	513	92	50	182	35	45	3041	475	138
Grass	17	11	13	17	7	9	51	31	37
Winter wheat	2210	434	551	883	221	256	12410	2502	3154
Spring Barley	2086	458	631	669	167	182	13514	2701	3808
Rape	1880	921	1394	1532	1312	1243	12244	4144	7267
Peas	3454	2928	6440	2641	1275	3564	23547	14653	26057

392
 393 High variability in IS_{crop_ha} results within RS and AS2 approaches were observed. Also, the
 394 Tukey's range test was conducted to determine statistical differences in the impact assessment
 395 of the three modeling approaches. AS2 estimated impact scores were significantly higher than
 396 the scores in RS, which did not differ significantly from the AS1 values.

397 The different modeling approaches to calculate pesticide emission inventory from a given
 398 applied quantity (i.e. elementary flows from the product system to the ecosphere) and the
 399 connection to the impact characterization have also previously shown to have considerable
 400 influence on the estimation of IS for individual AIs and the impact profiles of crop production
 401 (Rosenbaum et al., 2015; van Zelm et al., 2014). The consideration of inter-media transfer
 402 processes, crop growth development and application method allow for a more accurate

403 estimation of the real phenomena, which are also the aspects that usually have the highest
 404 influence on LCI and LCIA models (Dijkman et al., 2012; Fantke et al., 2012).
 405 On the other hand, the consistency showed for trend results (for substance prioritization) of
 406 others studies using PestLCI (a more sophisticated emission modeling approach) compared to
 407 the RS are satisfactory (see section 3.3). Keeping in mind that such a model is much more
 408 data demanding and since IS_{crop_ha} represent potential impacts rather than actual damages, the
 409 substance prioritization with a simplified method as the RS may serve as a first proxy in LCA
 410 studies when more detailed data are lacking.
 411 Regarding the input parameter sensitivity analysis (performed on several input parameters of
 412 the RS and using Maize 2013 and Peas in 2015 as example), the primary sources of
 413 uncertainty in the RS are identified as i) the application method and the drift fractions, and ii)
 414 the allocation for the off-field emission, specifically the water to soil ratio as shown in Figure
 415 6 (further details, see SI-5). However, the uncertainty range associated with pesticide
 416 emissions have not yet been quantified and is beyond the scope of the present study.



417 **Figure 6** Sensitivity to model input parameters of the reference scenario (RS). Variation for
 418 ecotoxicity impact scores (IS_{crop_ha}) expressed as $[PAF m^3 d ha^{-1}]$ for the example of Maize
 419 in 2013
 420

421 3.4.2 Influence of choice of LCIA characterization method

422 The range of variation for the CF of all AI in the study with USEtox 2.02 was almost 9 orders
 423 of magnitude. FF and XF vary by near 2 orders of magnitude, while EF varies up to 7 orders
 424 of magnitude indicating substantial differences in pesticide-specific ecotoxicity potential. The
 425 variation in the CF for direct emissions to surface water, continental air or agricultural soil
 426 was near to 10 orders of magnitude, but CF for direct emissions to continental air and
 427 agricultural soil was lower than the CF for direct emissions to freshwater (3 and 2 orders of
 428 magnitude, respectively). From which, the importance of modeling the impacts of the dose
 429 applied, with a coherent coupling of the LCI to the LCIA model results (i.e., characterized
 430 results).

431 Results for $IS_{\text{crop_ha}}$ in the RS using USEtox versions 1.0 and 2.02 are summarized in Table 3.
 432 Improvements and scientific consensus have been achieved for the new features introduced in
 433 the USEtox 2.02 among which substances and updated substance data and continent-specific
 434 landscape parameters contribute to further improving the accuracy in the quantification of
 435 CFs, given that respective spatial emission data are available. The more substantial
 436 differences in the impact results might come from the updated EF or by the influence of
 437 considering ionization (acid/bases) in USEtox 2.02.

438 **Table 3** Comparison of scenarios to test developments of LCIA characterization method.
 439 Results for potential freshwater ecotoxicity impact scores $IS_{\text{crop_ha}}$ in [PAF m³ d ha⁻¹] in the
 440 reference scenario (RS) and USEtox version 1.0 and 2.02

Crop	RS - USEtox 1.0			RS - USEtox 2.02		
	2013	2014	2015	2013	2014	2015
Maize	246	63	146	491	80	32
Grass	24	12	14	17	11	13
Winter wheat	1349	445	1223	2139	387	508
Spring Barley	758	267	390	2068	435	622
Rape	776	563	702	1880	921	1393
Peas	1483	1893	6080	3454	2928	6440
Glyphosate Agri-use	28	12	17	14	6	8

442

443 The uncertainty of CFs (USEtox 2.02) due to emissions to air, freshwater and agricultural soil
444 is 176, 18 and 103 GSD² (Rosenbaum, 2016). The major sources of uncertainty are
445 substances half-lives and ecotoxicity EF (Henderson et al., 2011). Without considering winter
446 wheat-2015, in general, ISs characterized with the improved USEtox 2.02 are higher than the
447 results obtained with the previous version. Furthermore, in comparison with the FF and XF,
448 the EF shows a substantial variation among the AI in this study, explaining a large part of the
449 variations in the CFs for the AI after emissions to freshwater.

450 **4 CONCLUSIONS**

451 The combination of the emission modelling scenario RS, shown in the present study, and the
452 characterization model USEtox 2.0 has allowed to recognize trends of different pesticides
453 treatments and burdens on freshwater ecosystems, thus accounting for interactions between
454 different compartments and a defined clear interface between LCI and LCIA. LCI modeling
455 options do affect the ecotoxicological burden on freshwater ecosystems from pesticide use,
456 and directly affects substance prioritization in LCA studies. Furthermore, the updated CF with
457 the continent-specific landscape parameters contributes to a broader assessment. In the case of
458 scenario and sensitivity analysis, application method and allocation for the off-field emission
459 were identified as the main descriptors for modeling emissions of pesticides. The use of the
460 modeling framework presented in this study allows for delivering more robust results and
461 accurate evaluation of ecotoxicity impacts. Finally, to provide consumers and policymakers
462 with more reliable information on the environmental performances of agricultural systems,
463 LCA studies need to include all relevant emission outputs; therefore, a final consensus needs
464 to be reached with a specific emission model recommendation.

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474 **APPENDIX A. SUPPORTING INFORMATION**

475 The following is the supplementary material related to this article. Detailed information of
476 scenarios, physicochemical properties, and data on pesticide active ingredients, further
477 annotations on pesticide emission quantification, data and sources for the derivation of new
478 CFs, as well as supporting materials for results and sensitivity analysis included in the study
479 are provided in the Supporting information.

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HIGHLIGHTS FOR:**FRESHWATER ECOTOXICITY ASSESSMENT OF PESTICIDE USE IN CROP PRODUCTION: TESTING THE INFLUENCE OF MODELING CHOICES**

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- Pesticide use is not an apt indicator for impact as toxicity may be higher for pesticides applied in low rates
- A suitable interface between LCI and LCIA and related mass distribution for pesticides is framed
- Crop growth development and application method have major influence on the emission model
- Substance ranking with a simplified modeling framework serve as proxy in LCA studies when detailed data lacks