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# K-VARP: K-anonymity for varied data streams via partitioning

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#### Abstract

The Internet-of-Things (IoT) produces and transmits enormous amounts of data. Extracting valuable information from this enormous volume of data has become an important consideration for businesses and research. However, extracting information from this data without providing privacy protection puts individuals at risk. Data has to be sanitized before use, and anonymization provides solution to this problem. Since, IoT is a collection of numerous different devices, data streams from these devices tend to vary over time thus creating varied data streams. However, implementing traditional data stream anonymization approaches only provide privacy protection for data streams that have predefined and fixed attributes. Therefore, conventional methods cannot directly work on varied data streams. In this work, we propose K-VARP (K-anonymity for VARied data stream via Partitioning) to publish varied data streams. K-VARP reads the tuple and assigns them to partitions based on description, and all tuples must be anonymized before expiring. It tries to anonymize expiring tuple within a partition if its partition is eligible to produce a K-anonymous cluster. Otherwise, partition merging is applied. In K-VARP we propose a new merging criterion called R-likeness to measure similarity distance between tuple and partitions. Moreover, flexible re-using and imputation free-publication is implied in K-VARP to achieve better anonymization quality and performance. Our experiments on a real datasets show that K-VARP is efficient and effective compared to existing algorithms. K-VARP demonstrated approximately three to nine and ten to twenty percent less information loss on two real datasets, while forming a similar number of clusters within a comparable computation time.

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Keywords: Internet of Things, Data privacy, Data streams, Anonymization, Missing values

#### 1. Introduction

The technological revolution of the Internet-of-Things (IoT hereafter) has become an inseparable part of the modern world. We are living in an era in which enormous volumes of data are generated and transmitted in the form of streams[1]. Everything that we do in our lives leaves a trace, forming a digital data stream, such as, the browser history of internet users, bank transactions

and energy consumption logs of houses. Extracting this valuable knowledge from the streaming data can provide a realistic and approximate insight into individuals' activities [2] and the behaviour of a society [3]. Many organizations publish and exchange data for business and research purposes; however, processing individuals' information without compromising privacy is a primary concern for IoT [4, 5].

The most popular technique to provide privacy protection for publishing data is anonymization [6, 7, 8, 9, 10]. Anonymization removes or replaces the information,

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which can be exploited by an attacker, to compromise the privacy of a user. Therefore, individuals remain hidden <sup>60</sup> from potential threats when their data is published for analytical or business purposes. Confidential or identifier information of individuals, which must not be published

- to the public domain, is called sensitive information. Nonsensitive information which can be exploited by an attack <sup>65</sup> is called quasi-identifiers (*QID* hereafter). Anonymization approaches are classified into two major classes; static
- <sup>30</sup> data anonymization and data stream anonymization [11, 12, 13]. Static data anonymization works with prerecorded datasets having pre-defined *QIDs*. The quality of the static data anonymization is measured by information loss which indicates the usability of anonymized data.
- <sup>35</sup> Data stream anonymization processes the data on the fly (*i.e.* publishes the data as it arrives) [13, 14, 15, 16]. The quality of the data stream anonymization is defined by a tradeoff between data freshness and data usability. Some publishers may want fast anonymization - although <sub>are</sub>
- <sup>40</sup> it gives more disrupted data; whereas, some publishers may prioritize data usability rather than data freshness to get data which is more precise. For example, the data stream of a mission critical system requires a minimum delay to publish data that can be used to take immediate <sup>80</sup>
- <sup>45</sup> action against potential threats. On the other hand, sales transaction data can be processed with a longer delay when data usability is prioritized. Sliding window is the most widely used technique for data stream anonymization, it keeps an anonymization algorithm consistent and
- tolerant when dealing with fast and high dimensional data <sup>85</sup> streams [17, 18, 19]. This technique is an accumulation based mechanism for anonymizing data streams, which prevents the overflow of memory and helps to publish data continuously.
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IoT consists of multiple internet enabled sensing and actuating devices used by individuals for different purposes. For instance, smart car, smart heating, fire alarms and security cameras for smart homes and offices, wearable devices to measure the physical performance of a person, and data generated from smart cities to provision personalized services to the inhabitants. IoT data streams generate data streams with missing values due to its unstable and uncontrollable properties. There are three main factors that cause missingness on IoT data streams:

- Individuals' preference: each individual have varying types of devices depending on their preferences;
- **Different usage pattern:** each individual can choose to use different devices at any given time;
- Uncertain environmental condition: environmental conditions can cause devices to malfunction or lose connectivity.

Therefore, we call it varied data stream due to the varying sets of QIDs in each tuple of missing data stream. Anonymizing data with missing values is always an interesting topic for researchers [20]. The main challenge for anonymizing incomplete data is handling the missingness in data streams originating from multiple streams *i.e.* IoT devices used by a user. Researchers identified three main methods to handle missingness of static data:

- a) Imputation: values are calculated to fill the missingness [21];
- b) Marginalization: ignore missingness while anonymizing [22];
- c) Partitioning: splits data into disjoint partitions based on tuple's description [21].

However, there has been no substantial work published on handing missingness for data stream anonymization. Incomplete dataset anonymization techniques can be extended to work on varied data streams; however, this will cause more information loss, weak privacy protection and a high computational time.

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To the best of our knowledge, there is no known algorithm proposed specifically to anonymize varied data stream. To address this, we are proposing K-VARP<sub>120</sub> (K-anonymity for VARied data stream via Partitioning) for anonymizing varied data streams. Our target is to anonymize and publish varied data streams with minimum delay and less information loss. The K-VARP algorithm uses both partitioning and marginalization methods to<sub>125</sub> anonymize varied data streams under a time based sliding window. As previously discussed, a time based sliding window is the most convenient technique for anonymizing data streams, allowing us to publish data streams with minimum delay and less information loss.

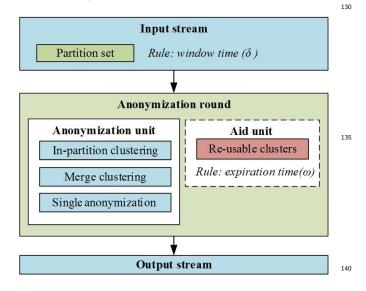


Figure 1: Two phases of  $K\mbox{-}{\rm VARP}$  algorithm with its internal working details.

Our proposed algorithm K-VARP provides privacy preserving capabilities to real world applications that utilizes varied data streams. For example, social network analysis[23, 24], patient monitoring [25, 26] and smart city [27, 28].

An overview of the K-VARP algorithm is illustrated in Fig. 1. K-VARP has two main phases, partitioning and anonymizing. In partitioning, K-VARP assigns receiving

<sup>115</sup> anonymizing. In partitioning, K-VARP assigns receiving tuples to partitions using their *QID* set with their received timestamp attached. This phase plays the role of a buffer, and helps to store received tuples in an organized form to perform fast and efficient anonymization. In time-based sliding window, the maximum time for each tuple to stay in the buffer is defined by a time constraint, denoted as  $\delta$  (see Fig (1)). Each expiring tuple has its own anonymization round. The anonymization round is invoked when a tuple is about to expire according to time window criteria  $\delta$ .

There are three modules to anonymize an expiring tuple t' regarding the size of its partition P', and each of these modules has an option to anonymize an expiring tuple by re-using recently published K-anonymous clusters.

- In-Partition clustering: This module is designed to publish cluster with no missing value. However, it is invoked only if partition has enough tuples to form *K*-anonymous cluster for expiring tuple.
- Merge clustering: Partition merging is inevitable when dealing with varied data stream, and this module is designed to merge the most suitable partitions to anonymize expiring tuples with less information loss.
- Single anonymization: This module is designed to publish expiring tuple when partition merging is not possible for expiring tuple.

For more details, please refer to Section 4.

Experiments on real datasets demonstrate the efficiency and effectiveness of K-VARP. Efficient merge clustering that uses R-likeness significantly helped to anonymize varied data streams with less information loss. Also, flexible re-using criteria decreases computation time and improves privacy protection. The contributions of the proposed K-VARP algorithm are:

• Imputation free anonymization for varied data streams: This is the first substantial effort to anonymize a varied data stream without using im160

putation to handle missing values in multiple data streams.

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- Transitive merging criteria that consider data distribution of partition: We have applied customizable merge criteria called *R*-likeness to calculate distance between tuples and partitions. This measurement is used to identify and merge the closest partitions. Unlike merge operations in conventional methods which merely rely on partition size, *K*-VARP calculates the attributes distribution in order to merge similar partitions, thus causing less information loss.
- Flexible re-using strategy to provide better<sup>200</sup> anonymization for less time: This flexible re-using strategy gives tuples a better opportunity to avoid time consuming clustering operations. Also, tuple anonymized by re-using, ensures privacy. More number of re-using, increases the average number of tuples<sup>205</sup> of each cluster that directly improves the privacy protection of K-anonymity.

The rest of this paper is organized as follows: Section 2 presents the related work. Section 3 introduces the basic concept of varied data stream anonymity and defines the anonymization model for varied data streams. In Section 4, K-VARP is explained in detail. Section 5 compares the experimental result of K-VARP with other widely used anonymization algorithms. The paper is concluded along with future directions in Section 6.

#### 2. Related work

In this section, related work is categorised as anonymization algorithms for data streams and techniques to handle<sup>220</sup> missing data while publishing data for analytical purposes.

#### 185 2.1. Data stream anonymization

Data stream anonymization must be performed as quickly and efficiently as possible. However, the quality<sup>225</sup>

of data stream anonymization dependent on the tradeoff between anonymization time and information loss. To process data streams in a dynamic environment, researchers implemented a sliding window technique. Sliding window is a popular anonymization technique for data stream anonymization, which anonymizes the most recent tuples of data and publishes freshly received data. There are two main types of sliding window; time based and count based. In count based sliding window, the anonymization round is invoked when the sliding window size reaches a certain threshold. On the other hand, in time based sliding window, anonymization is controlled by the received time of a tuple in the sliding window.

A well-known anonymization algorithm called CAS-TLE is proposed by Cao *et al.*, in [14]. CASTLE is a count-based sliding window algorithm, which assigns receiving tuples to immature clusters. When tuples are expiring CASTLE releases them immediately. However, if an expiring tuple is not assigned to a K-anonymous cluster, it performs a merge and split operations to create a K-anonymous cluster. Furthermore, to minimize information loss, CASTLE adopts a cluster re-using strategy to anonymize newly arriving tuples using generalization information of recently published clusters.

Hessam and Sylvia introduced FAANST, a count based sliding window anonymization algorithm for numerical data streams [11]. The main purpose of FAANST is to enhance data quality. To achieve this, the authors proposed information loss constraint for each cluster. It outputs K-anonymized clusters having less than  $\Delta$ information loss. Since, it uses a count based sliding window, the tuples are only published when the window is filled with a certain number of tuples. FAANST outperforms CASTLE in terms of data quality and time complexity. However, due to the versatility of varied data streams, count based sliding window is not reliable system for handling varied data streams for anonymization. Most count based sliding window algorithms are improved<sub>255</sub> by applying a time-based sliding window. Wang *et al.*, found that CASTLE [14] generated fewer huge clusters when applied on a data stream, resulting in frequent split operations, creating many K-anonymized small clusters [14]. The split and merge operations in CASTLE were<sub>270</sub> time consuming and resulted in higher information loss during re-clustering and publishing. To deal with this B-CASTLE was proposed. It sets a threshold on cluster size, by changing the optimum selection and merging features of CASTLE. B-CASTLE demonstrated a higher<sub>275</sub>

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<sup>240</sup> Guo and Zhang proposed a data stream anonymization algorithm with time constraint called FADS [17]. It resolved the problem of cluster overload found in CASTLE<sub>280</sub> when homogeneous data streams have non-negligible time differences between arriving tuples. FADS considered time

efficiency and lower complexity compared to CASTLE.

<sup>245</sup> delay as the main constraint and set a time constraint on the sliding window, and cluster set. By this, the longest time for a tuple to stay in memory is  $\delta$ , and re-usable<sup>285</sup> *K*-anonymized clusters are held for a certain amount of time. The authors noted that the complicated merge and <sup>250</sup> split operations of CASTLE are unnecessary since the

cluster size is already constrained by K.

Zhou *et al.*, developed a three-phase method for generalizing streaming data [16]. In the first stage, their algorithm makes a decision about data publishing based on cluster information loss. In the second step, the distribution of the data stream is incorporated in the<sup>295</sup> decision making process of cluster anonymization. In the third step, the effect of cluster anonymization on future tuples is considered. The authors considered that the data publishing based on uncertainty may not be effective because it does not consider the distribution of<sup>300</sup> tuples in a streams. They developed a feature that takes account into the distribution of tuples, which allows a tuple from a sparse area to output before a tuple from a dense area. They adopted the chain sampling method [29] to estimate the density of tuples' area and to reduce computational time.

Moreover, Esmaeil et al., [30], considered that FADS [17] handles tuples in sequence, therefore, it is not a suitable solution for anonymizing data streams. They introduced a parallel algorithm that provides an efficient big data anonymization with multithreaded technique named FAST. The algorithm reads tuples continuously and passes them to new threads until the number of threads reaches the threshold (maximum allowed threads). To publish data, all threads launch a publish function to output the oldest (expiring) tuples from the receiving tuple set. The algorithm finds K-Nearest Neighbours(KNN hereafter) [31] (*i.e.*, tuples) to form a cluster for the oldest tuple. If there is a re-usable cluster which offers less information loss compared to a newly created cluster, then the tuple is published using the re-useable cluster and the re-usable cluster set is updated. In the event that no re-use cluster offers better information loss, the tuple is published with the newly created cluster. It then estimates the other closer K-1 tuples' remaining time. If they have enough time for the next round it remains in memory, if not it suppresses and then outputs the K-1 tuples. The algorithm provides more efficient anonymization when the number of threads are increased, performing more efficiently than FADS in terms of information loss.

Researchers agreed that a time based sliding window is more efficient for anonymizing data streams [17, 19, 30, 32]. Each tuple in a time based sliding window must be processed before expiration - this helps to output the tuple in a similar order to how it was received. In contrast, a count based sliding window has a strict anonymization mechanism. There is no expiration time

of a tuple, a single anonymization round starts when a sliding window contains a certain number of tuples. To perform another anonymization round, a count based<sup>340</sup> window has to accumulate the required number of tuples. Therefore, in count based sliding windows some tuples stay longer compared to others before getting anonymized [12, 19, 32, 33].

2.2. Missing data handling in anonymization

Missing data is always a serious problem for data analytics. Before handling missing data, we must understand why data is missing. Graham *et al.*,[21] classified causes of missingness as followings:

- Not Missing At Random (NMAR): The cause of missingness is explicit. A direct correlation between missingness and cause of missing is definite when data is missing under NMAR. For example, occasionally we find empty seats on a plane before it's departures. The reason for the empty seats are clear; the seats have not been booked or the commuters have missed their flight.
- Missing At Random (MAR): MAR implies that there is a somewhat coherent cause behind the ran-<sup>360</sup> domness of missingess. Randomness of missing data has happened for a reason but the missingness is random. For example, when spell checking large documents for errors, a reader, in order to have the documents reviewed on time, may inadvertently leave some<sup>365</sup> grammatical errors unchecked
- Missing Completely At Random (MCAR): This is the most extreme cause of missingness. There are no reasons to explain what causes missingness in an MCAR situation. Researchers consider MCAR is purely haphazard, such as rolling dice or flipping a coin. These scenarios likened to MCAR.

The most common type of the missingness is MAR. Since IoT is a combination of devices, we consider the<sup>375</sup> missingness of varied data streams as MAR. There is no substantial work published that anonymizes data streams with missing values. However, researchers identified imputation, marginalization and partitioning as three possible approaches to manage the missingness of datasets while anonymizing.

**Imputation:** By using this method, missing values of varied data streams are replaced by pre-calculated representative values. Sarkar *et al.*, applied a Fuzzy K-means algorithm for dataset containing missing values [20]. To reduce the uncertainty caused by imputation, they attempted to repair the missing values while clustering, instead of preprocessing data before anonymization. The Fuzzy K-means algorithm creates a greater number of independent clusters with different imputation on missing data compared to the K-means algorithm with preprocessing. Imputation has a disadvantage, that when the percentage of missing values in a data stream is relatively high, the uncertainty of anonymized data due to a higher number of imputed values is amplified.

Marginalization: In marginalization, a missing value is handled as a NULL and anonymized as part of the range attribute and the node of categorical attribute in the generalization hierarchy [28]. The major drawback of this type of anonymization is that tuples with different descriptions can be assigned to a same cluster, which can be too sparse to analyze. However, an advantage of this method is that the original data is not disrupted by imputation. Wagstaff *et al.*, noted that, marginalization is a better solution because this method does not add any new data values [22]. If missingness of a published cluster is less than the size of the cluster, then marginalization can provide a fast and more secure anonymization.

**Partitioning:** Datasets with missing values can be divided into several complete datasets, which can then be published through traditional anonymization approaches.

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This strategy is not cost-efficient when a dataset has a relatively high percentage of missing values compared to its size. However, by using this method, we can publish solid clusters with no missing data that do not require any

- imputation, with privacy protection also secured. Ciglic et al., investigated on anonymization of datasets containing NULL values. They considered three NULL value matching schemes for datasets, and proposed an anonymization system called ANON [34]. In this approach, dataset was
  divided into separate partitions by the tuples' attribute
- description and then a *best-first-search* was applied to find the optimal anonymization solution for each partition.

Suppression based methods can be applied to ensure the privacy of a dataset through anonymization. Jia *et al.*,<sub>425</sub> presented a partial suppression algorithm to anonymize datasets in [35]. The authors identified that some QIDscan have sensitive values. This issue is resolved by *global suppression* under  $\rho$ -uncertainty [36] privacy model.

- <sup>430</sup> Moreover, they stated that the global suppression algorithm is not efficient and suppressing all sensitive values
  <sup>430</sup> is unnecessary. Therefore, a partial suppression algorithm is proposed to minimize the suppression while providing privacy preservation. This algorithm is proposed to
  <sup>400</sup> anonymize datasets; however, suppression based methods can be applied on varied data streams as assistive modules
  - 3. Anonymizing varied data streams

to ensure privacy.

In this section, we formally define the data stream anonymization for varied data streams.

**Definition 1 (Quasi-identifiers).** Let  $Q = \{q_1, q_2, ..., q_n\}$ be a set of attributes of data streams which need to be anonymized before publishing. We call Q a set of QIDs.

**Definition 2 (Tuple of data stream).** Tuple of data <sup>410</sup> stream is defined as:  $t(id_t, Q_t, ts_t)$ - where  $id_t$  is the iden-<sup>445</sup> tity of an individual,  $Q_t = \{q_1, q_2, ..., q_m\}$  is a set of QIDs of a tuple, and  $ts_t$  is a timestamp at which the tuple is received.

In a conventional streaming scenario, received data has fixed attributes with no missing values; whereas, in varied data streams one or more random attributes can be missing. In the varied data streaming settings, each tuple can contain a different description of the data. In the following, we defined varied data streams based on definition 1 and definition 2.

**Definition 3 (Varied data stream).** Let Q be a set of QIDs that can appear in a data stream, where  $Q = \{q_1, q_2, , q_n\}$ . We define a varied data streams as  $VS(id, Q_t, ts)$  where id is the identity,  $Q_t$  is the subset of  $Q(Q_t \subseteq Q)$  that describes a receiving tuple, and ts is the arrival timestamp of a tuple.

A varied data streams consist of tuples with different QID sets. In the following we define cluster, K-anonymous cluster of varied data streams and Kanonymized varied data stream respectively in definition 4, definition 5 and definition 6.

#### Definition 4 (Cluster of varied data streams).

Cluster is a set of tuples in a varied data stream VS. Let  $S_c$  be a set of tuples,  $Q_c$  be a set of QIDs that can be found in tuples of  $S_c$ . Then a cluster of varied data streams C is defined as:  $C(Q_c) = \{t(id_t, Q_t, ts_t) \mid t \in S_c \land Q_t \subseteq Q_c\}$ 

**Definition 5 (K-Anonymous cluster).** Let  $C(Q_c)$  be a cluster C built from a varied data stream VS. If the number of distinct identities of tuples in  $C(Q_c)$  is greater than K we call  $C(Q_c)$  a K-anonymous cluster.

## Definition 6 (K-anonymized varied data stream).

Let  $VS(id, Q_t, ts)$  be a varied data stream, and  $VS_{out}$ be an anonymized stream generated from VS.  $VS_{out}$  is called K-anonymized when following the conditions are met:

a) For  $\forall t \in VS, \exists t' \in VS_{out} \text{ corresponds to } t$ .

- b) For  $\forall t' \in VS_{out}, DI(C(Q'_t)) \geq k$ , when  $C(Q'_t)$  is the cluster containing t' which belongs to  $VS_{out}$ . DI counts the number of distinct values of the tuples' id in  $C(Q'_t)$ .
- <sup>450</sup> Clusters generated from varied data streams can contain tuples from multiple partitions. Therefore, cluster generalization of varied data streams is different than traditional cluster generalization. Traditional generalization functions create a virtual tuple to represent all tuples of a<sub>480</sub>
  <sup>455</sup> cluster. In contrast, anonymization on varied data streams can generate cluster with different types of tuples *i.e.*, tuples having different missing attributes. Therefore, we de-

**Definition 7 (Cluster generalization).** Let's assume  $G_c^*(G_1, G_2, ..., G_n)$  is a generalization of cluster  $C(Q_n)$ , then

fine the following cluster generalization for such clusters.

- a)  $g_i = [r_{i.min}, r_{i.max}]$ , where  $r_{i.min}(r_{i.max})$  is the minimum(maximum) of the values of all tuples in C that have attribute values on  $q_i$ . If  $q_i$  is a numeric attribute.
- <sup>465</sup> b)  $g_i = H_{i.lowest}$  where  $H_{i.lowest}$  is the lowest common ancestor of the  $v_{qi}$  values of the tuples in cluster C that have values on  $q_i$ . If  $q_i$  is a categorical attribute.

The quality of the anonymization algorithm is measured by the average information loss caused by the anonymization of the data stream. The Generalized Loss Metric[37] (*GLM* hereafter) is used by most data streams anonymization algorithms [11, 12, 14, 17, 32, 38] due to its precision and simplicity. Therefore, we define information loss of tu-<sub>485</sub> ple and average information loss is defined in the definition

<sup>475</sup> 8 and definition 9.

**Definition 8 (Information loss of tuple).** The information loss of anonymizing a tuple  $t(pid, Q_t)$  to generalization  $G_t(g_1, g_2, ..., g_m)$  is:

$$InfoLoss(t, G_t) = \frac{1}{|G_t|} \left( \sum_{q_i \in Q_t} Loss(v_{qi}) \right)$$
(1)

Where  $Loss(v_{qi})$  is the information loss of t on QID  $q_i$ caused by the generalization, which is defined as:

$$Loss(v_{qi}) = \begin{cases} \frac{r_{i.u} - r_{i.l}}{R_{i.u} - R_{i.l}} & ifg_i \in [r_{i.l}, r_{i.u}] \\ \frac{|leaves(H_i)| - 1}{|leaves(DGH_i)| - 1} & ifg_i = H \end{cases}$$
(2)

Where  $[r_{i,l}, r_{i,u}]$  is the value domain of a numeric attribute  $q_i \ DGH_i$  is the domain graph hierarchy(DGH) of a categorical attribute  $q_i$ ,  $|leaves(H_i)|$  and  $|leaves(DGH_i)|$  are the number of nodes of a tree rooted on  $H_i$  and  $DGH_i$ .

Table 1: Example table for information loss measurement

	Age	Gender	Education
$t_1(Tuple)$	20	Male	Bachelor
$G_1(Generalization)$	[20-24]	Gender	University

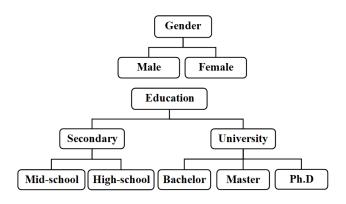


Figure 2: DGH of Gender and Education

In the following example, we demonstrate the calculation of information loss (see eq. 1) caused by cluster generalization (definition 7). Table 1 shows tuple  $t_1$ 's values and it's generalization  $G_1$ . Let us assume that value domain of Age is [0, 100], and DGH of Gender and Educationis illustrated in Fig 2. Therefore, information loss of  $t_1$  caused by generalization  $G_1$  is:  $InfoLoss(t_1, G_1) =$  $(Loss(G_{Age}) + Loss(G_{Gender}) + Loss(G_{Education}))/3$ . Using eq. 2, information loss of each QID is measured as

follows:  

$$Loss(v_{Age}) = \frac{|24-20|}{|100-0|} = 0.04$$

$$Loss(v_{Gender}) = \frac{|leaves(Gender)|}{|leaves(Gender)|} = \frac{2}{2} = 1$$

 $Loss(v_{Education}) = \frac{|leaves(University)|}{|leaves(Education)|} = \frac{3}{7} = 0.428$ Therefore, information loss on  $t_1$  caused by generalization  $G_1$  is  $InfoLoss(t_1, G_1) = (0.04 + 1 + 0.428)/3 = 0.489.$ 

**Definition 9 (Average information loss).** The average information loss of a varied data stream of first N tuples is:

$$AverageInfoLoss(N) = \frac{1}{N} \sum_{i=1}^{N} InfoLoss(t_i, G_i) \quad (3)$$

Where  $G_i$  is generalization of a tuple  $t_i$ .

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# 4. K-anonymity for varied data streams via partitioning (K-VARP)

K-VARP anonymizes varied data streams under a time 500 based sliding window. It has five parameters, VS is a varied data stream, K is the K-anonymity,  $\delta$  is the time constraint of a sliding window,  $\omega$  is the time constraint for re-using K-anonymous clusters, and R is the R-likeness criteria (please refer to definition 13 for more details). For the sake of simplicity, an abstract of working details of K-VARP is presented in Algorithm-1. Respective procedures of K-VARP are discussed in detail in the following<sub>530</sub> text. K-VARP continuously receives tuples, and newly received tuples are assigned into respective partitions of 510  $S_p$  (definition 10) based on their *QID* set. Partition set  $S_p$  plays the role of a buffer. If there is no appropriate partition for a received tuple, a new partition is created

- and added to  $S_p$  based on their *QIDs* set  $Q_t$ . The re-<sup>515</sup> ceived time of each tuple is recorded while assigning each tuple to a partition. Partitioning limits the number of tuples which may be involved in the *KNN* and this helps to reduce computation time, because, we need to perform a quick-sorting algorithm to find the nearest neighbors,
- and the time complexity of a quick sort is O(nlog(n))[39]. Saving computation time is important in respect of the performance. Also, partitioning helps to localize similar tuples for KNN, and this leads to less information loss and improve the usability of the data.

Algorithm 1  $K - VARP(VS, K, \delta, \omega, R)$ 

- 1: Let  $S_p$  be a set of partitions which will be used as a buffer, initialized empty;
- 2: Let  $S_k$  be a set of K-anonymous clusters which will be re-used, initialized empty;
- 3: while  $VS \neq NULL$  do
- 4: Read tuple  $t_i$  from VS and assign partition of  $S_p$ or create new partition for  $t_i$ ;
- 5: **if** Oldest tuple in buffer is expiring **then**
- 6: TriggerPublish();
- 7: end if
- 8: end while
- 9: while  $S_p \neq NULL$  do
- 10: TriggerPublish();
- 11: end while

**Definition 10 (Partition on**  $Q_p$ ). Let P be a set of tuples which only contains tuples with same  $QIDs \ P(Q_p) = \{t_1(pid_1, Q_p, ts_1), \ t_2(pid_2, Q_p, ts_2), ..., t_m(pid_m, Q_p, ts_m)\}$ . We call P is a partition on QIDs set  $Q_p$ .

According to the sliding window time  $\delta$ , an expiring tuple must get published. Each expiring tuple has its own anonymization round and TriggerPublish() handles anonymization of an expiring tuple. The internal workings of TriggerPublish() is explained in Algorithm-2.

Before anonymizing expiring tuples, TriggerPublish()deletes expired K-anonymous re-usable clusters. As we discussed earlier in Section 1, an expiring tuple is processed through one of the following three procedures regarding their partition size. Let us assume that t' is an expiring tuple, and P' is a partition containing t'.

- i. InPartitionClustering(t', P') is a procedure which is called when P' has enough numbers of tuples to produce a K-anonymous cluster.
- ii. MergeClustering(t', P') is invoked when there are not enough tuples in P' to produce a cluster with miss-

Algorithm 2 TriggerPublish()

- Delete expiring K-anonymous clusters from S<sub>k</sub> using ω;
- Let t' be a tuple stored in buffer for δ(expiring tuple) and P' be a partition containing t';
- 3: if  $|P'| \ge K$  then
- 4: InPartitionClustering(t', P');
- 5: **end if**
- 6: if  $|S_p| \ge K$  then
- 7: MergeClustering(t', P');
- 8: else
- 9: SingleAnonymization(t', P');

## 10: end if

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ing data, and the buffer has enough tuples to produce merged *K*-anonymous clusters.

iii. SingleAnonymization(t', P') is called when the buffer<sub>570</sub> does not have enough tuples to produce K-anonymous cluster. This method tries to anonymize and output t' with re-usable K-anonymous clusters. Otherwise, t' is published with suppression.

Each anonymized tuple is removed from its partition, and each published K-anonymous cluster is added to a re-usable cluster set  $S_k$ .

InPartitionClustering(t', P') is illustrated in Algorithm-3. This method finds K-1 number of nearest neighbours of t' using distance function eq. 4. This method does not always generate K-anonymous cluster, if there is a previously anonymized cluster C' which can be used to publish t' with minimal information loss (see eq. 1) then InPartitionClustering(t', P') publishes only t' using C', and t' is removed from P'. In contrast, if t' cannot be published with re-using, then new K-anonymous cluster is created by generalizing t' and it's K-1 neighbours<sub>580</sub> according to definition 7.

Calculating the distance for KNN is the most important part of clustering. Since we are processing tuples with different QID sets, we only measure distance between **Algorithm 3** InPartitionClustering(t', P')

- 1: Find K 1 nearest tuples to t' from P' and form a virtual cluster  $C'_p$ ;
- 2: Find K-anonymous cluster  $C_k$  from  $S_k$  defined by P' has minimum information loss;
- 3: if  $C_k \neq NULL$  then
- 4: **if**  $InfoLoss(C'_p) \ge Infoloss(C_k)$  **then**
- 5: Use cluster generalization of  $C_k$  to publish t';
- 6: Remove t' from P'
- 7: RETURN;
- 8: end if
- 9: end if
- 10: Anonymize and publish all tuples of  $C'_p$  and remove published tuples from P';
- 11: Add  $C'_p$  to  $S_k$ ;

QIDs that are common between tuples. Based on the definition of information loss (see definition 8) we defined the distance between two tuples as follows:

#### Definition 11 (Distance between 2 tuples). The

distance between two tuples  $t_1(pid, Q_1)$  and  $t_2(pid, Q_2)$  is measured using QIDs received from both tuples that are the same.

$$Distance(t_1, t_2) = \frac{\sum_{q_i \in |Q_1 \cap Q_2|} d_i(q_i)}{|Q_1 \cap Q_2|}$$
(4)

$$d_i(q_i) = \begin{cases} \frac{|r_{i,1} - r_{i,2}|}{|R_{i,u} - R_{i,l}|} & \text{if } q_i \text{ is numerical} \\ \frac{|leaves(H_i)| - 1}{|leaves(DGH_i)| - 1} & \text{if } q_i \text{ is categorical} \end{cases}$$
(5)

Where  $r_{i.1}(r_{i.2})$  is the value of  $t_1.q_i(t_2.q_i)$  if  $q_i$  is a numeric attribute,  $H_i$  is the lowest common ancestor of  $t_1.q_i(t_2.q_i)$  with respect to  $DGH_i$ .

In the following example, we will demonstrate the calculation of distance function (see eq. 4) for both numeric and categorical QIDs. In Table 2 we showed two different tuples of varied data stream defined on

Age, Gender, Education, Height and Weight QIDs, and Fig. 2 we illustrated the DGH of Gender and Education attributes. Let us assume that the value domain of Age, Height and Weight are [8, 100], [120, 200] and [30, 120]<sub>620</sub> respectively.

No	Age	Gender	Education	Height	Weight
$t_1$	24	Male	Ph.D	null	85
$t_2$	20	Female	Bachelor	162	null

Table 2: Example table for distance measurement

- According to the distance function (see eq. 4) the distance of two tuples is calculated on QIDs which are common between tuples. In our example,  $t_1$  and  $t_2$  is common on Age, Gender and Education QIDs and  $|Q_1 \cap Q_2| = 3$ . Therefore, distance between  $t_1$  and  $t_2$  is:
- <sup>595</sup>  $Distance(t_1, t_2) = (d(q_{Age}) + d(q_{Gender}) + d(q_{Education}))/3$ As we stated, value domain of  $QID \ Age$  is [8, 100] then distance of  $QID \ Age$  is:  $d(q_{Age}) = \frac{|24-20|}{|100-8|} = 0.043$

Considering the *DHG* of *QIDs* (see Fig. 2) lowest com-<sup>630</sup> mon ancestor of '*Male*' and '*Female*' is '*Gender*', and lowest common ancestor of '*Bachelor*' and '*Ph.D*' is '*University*'. Therefore, distance of *Gender* and *Education QIDs* are calculated as follows:

 $d(q_{Gender}) = \frac{|leaves(Gender)|}{|leaves(Gender)|} = \frac{2}{2} = 1$ <sup>635</sup>  $d(q_{Education}) = \frac{|leaves(University)|}{|leaves(Education)|} = \frac{3}{7} = 0.428$ After calculating distance of each *QID* using eq. 5, the distance between  $t_1$  and  $t_2$  is:

 $Distance(t_1, t_2) = 0.043 + 1 + 0.428/3 = 1.471/3 = 0.49$ .

The distance measurement has disadvantage when both tuples have too few or zero common QIDs. However, *K*-VARP prevents this problem by applying two-phase partition selection (see section 4.1 for more details) in *MergeClustering*(t', P', R').

#### 4.1. Efficient merge-clustering

The MergeClustering(t', P', R) is presented in Algorithm-4. This method performs clustering on multiple partitions. Highlighting part of this method is the partition merging criteria which helps to merge similar partitions to P' until it becomes eligible to produce K-anonymous cluster.

## Definition 12 (Jaccard's similarity measurement).

Let  $P_1$  and  $P_2$  be similar partitions defined on  $Q_1$  and  $Q_2$ respectively. Their similarity is measured as:

$$Jaccard(P_1, P_2) = \frac{|Q_1 \cap Q_2|}{|Q_1 \cup Q_2|}$$
(6)

Finding the most suitable candidates to merge in this dynamic environment is always complex. Also, the main challenge is to minimize calculation time and cluster information loss in this *best-from-current* situation. Selection process of the most merge-similar partition has two stages.

First, localization stage: K-VARP uses Jaccard's similarity coefficient (see eq. 6) [40] to find partitions set PS'which is most similar to P' in terms of description. Second, best selection stage: R-likeness is used to find the most suitable partition to merge with P' (see eq. 7).

As we discussed, *IoT Anonymization* [41] has unsupervised simple selection criteria that does not consider data distribution of partitions. It randomly chooses the most similar and biggest partition. Although, this simple selection criteria helps to reduce the impact of imputation in *IoT Anonymization*, it is not a feasible solution. The following example shows the drawback of simple merge selection criteria, and the advantage of R-likeness.

**Definition 13 (***R***-likeness between tuple and partition).** Let *R* be a likeness measurement radius, *P* be a partition, *t* be a tuple. Then *R*-likeness between *t* and *P* is defined as:

$$Likeness(P, t, R) = \sum_{t_i \in P} Radar(t, t_i, R)$$
(7)

$$Radar(t, t_i, R) = \begin{cases} 1 & Distance(t, t_i) \le R \\ 0 & Distance(t, t_i) > R \end{cases}$$
(8)

## Algorithm 4 MergeClustering(t', P', R)

- 1: Find K-1 cluster from  $S_k$  that can fully generalize t' with low information loss;
- 2: if  $C_k \neq NULL$  then
- 3: Use cluster generalization of  $C_k$  to publish t';
- 4: Remove t' from P';
- 5: RETURN;
- 6: end if
- 7: while  $|P'| \ge K$  do
- 8: Find non-empty partition  $P_{sim}$  from  $S_p$  which is most similar to P';
- 9: Merge  $P_{sim}$  into P' and remove  $P_{sim}$  from  $S_p$ ;
- 10: end while
- 11: Find K 1 nearest tuple to t' from P' and form a virtual cluster  $C'_m$  that has missingness;
- 12: Anonymize and publish  $C'_m$ ;
- 13: Remove published tuples from P';
- Re-assign remaining tuples of P' to respective partitions;

## Algorithm 5 SingleAnonymization(t', P')

- 1: Find K-anonymous cluster  $C_k$  from  $S_k$  which covers t'with minimum information loss
- 2: if  $C_k$  found then
- 3: Use cluster generalization of  $C_k$  to publish t';
- 4: **else**
- 5: Suppress t' and publish
- 6: end if
- 7: Remove t' from P'

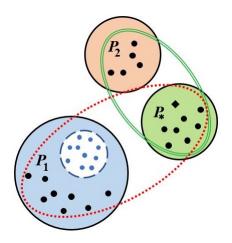


Figure 3: Stage of merge selection.

Let us assume that K = 10, and  $P_*$  has an expiring tuple (illustrated as a diamond in Fig. 3), shows the merge selection stage after the localization stage.  $P_1$  and  $P_2$  are the most suitable partitions that can be merged with  $P_*$ . Also, the circle with the dash represents previously anonymized data points of  $P_1$ . According to IoT Anonymization  $P_1$ is the most suitable partition to merge; however, if we consider the data distribution of each partition, tuples in  $P_2$  are tightly packed *i.e.*, attribute values of tuples are very similar among available attributes. Thus,  $P_2$  is a better option to merge with  $P_*$ . Another supporting point for choosing  $P_2$  is that  $P_1$  has eight tuples and there is a high possibility of executing InPartitionClustering (see Algorithm-3) on  $P_1$ .

#### 4.2. Flexible re-using

IoT Anonymization re-using is available only to tuples and clusters having the same QID set which is restrained by a mechanism of imputation. If we publish merged cluster data using imputation, we cannot re-use imputed clusters, since imputed values are published and it is not possible to change information after publication. On the other hand, K-VARP has a flexible re-using strategy; it keeps clusters from MergeClustering (Algorithm-4) for re-use. Therefore, the re-using rule is relaxed. Both expiring tuples and re-using K-anonymous clusters do not need to have exactly the same QID set.

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No	Age	Gender	Height	Weight
$t_1$	18	Male	[168-175]	80
$t_2$	20	Male	[168-175]	null
$t_3$	19	null	[168-175]	74

Table 3: 3-anonymous cluster

It is possible to apply re-using for expiring tuples if all attributes are covered by re-usable cluster generalization. Let us explain the difference between both re-using strate-<sup>690</sup> gies in the following example. Table 3, demonstrates tuples assigned to a 3-anonymous cluster, *null* represents the missing values.  $t_2$  has a missing value for attribute *Weight*, and  $t_3$  has no value for attribute *Gender*.

Table 4: IoT Anonymization on Table 3

No	Age	Gender	Height	Weight	
$t_1$	[18-20]	$\operatorname{Male}(3,2)$	[168-175]	[74-80](3,2)	
$t_2$	[18-20]	$\operatorname{Male}(3,2)$	[168-175]	[74-80](3,2)	
$t_3$	[18-20]	$\operatorname{Male}(3,2)$	[168-175]	[74-80](3,2)	700

If we anonymize Table 3 using *IoT Anonymization*, each tuple of a corresponding table will be published using generalization  $G_1([18 - 20], Male(3,2), [168 - 175], [74 - 80](3,2))$ . In addition,  $G_1$  cannot be re-used due to the<sup>705</sup> imputation on *Gender* and *Weight* attributes according to the limitation of *IoT Anonymization*. Also, Table 4 is hard to analyze, because of the uncertainty that was created by imputation.

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Table 5:	K-VARP	on	Table	3
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No	Age	Gender	Height	Weight
$t_1$	[18-20]	Male	[168-175]	[74-80]
$t_2$	[18-20]	Male	[168-175]	null
$t_3$	[18-20]	null	[168-175]	[74-80]

On the other hand, if we use K-VARP  $G_2([18 - 20], Male, [168 - 175], [74 - 80])$  is a cluster generalization which is kept for re-using. Table 5 demonstrates an

anonymized version of Table 3. It is worth mentioning that, this flexible re-using increases the possibility of reuse anonymization which reduces computation time and number of suppressions.

#### 4.3. Complexity analysis of K-VARP

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The time complexity of K-VARP is analyzed in this section.

InPartitionClustering(t', P') (Algorithm-3), step 1 needs O(|P'|log|P'||QID|) with quick sort algorithm applied, step 2 requires  $O(|S_k||QID|)$ , both step 4, 5, 6 and 11 costs O(|QID|) time, and step 10 costs O(|QID|K). Hence, time complexity of procedure InPartitionClustering(t', P') is  $O((|P'|log|P'| + |S_k| + K)|QID|) = O(|P'|log|P'||QID|)$ .

MergeClustering(t', P', R) (Algorithm-4), step 1 costs  $O(|S_k||QID|)$  time, step 3 costs O(|QID|) time. While loop from step 7 to 10 runs at most K-1 times, and each iteration costs  $O(|S_p||QID|)$ , thus, calculation cost of the while loop is  $O(K|S_p||QID|)$ . Using quick sort algorithm, step 11 costs O(|P'|log|P'||QID|), and step 12 to 14 needs O(|P'||QID|) time. Total running time of MergeClustering(t', P', R) is  $O((K|S_p| + |P'|log|P'|)|QID|)$ .

SingleAnonymization(t', P') (Algorithm-5), step 1 costs  $O(|S_k||QID|)$ , step 3, 5 and 7 each costs O(|QID|), time complexity of SingleAnonymization(t', P') is  $O(|S_k||QID|)$ .

In K-VARP (Algorithm-1) buffer size is bounded with sliding window constraint. Therefore, time complexity of InPartitionClustering(t', P') is  $O(\delta log \delta |QID|)$ , MergeClustering(t', P', R) is  $O(\delta log \delta |QID|)$ , and SingleAnonymization(t', P') is  $O(\delta |QID|)$  if we consider  $\delta$  in the equation.

In the main procedure of  $K - VARP(VS, K, \delta, \omega, R)$ , step 4 costs  $O(|S_p||QID|)$ , and while loop is called at most  $|VS| - \delta$  times. Thus, time complexity of while loop step 3 to 8 is  $O(|S_p||VS||QID|)$ , after the first loop  $\delta - 1$  tuples

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are left and the time complexity of while loop step 9 to 11 is  $O(K\delta^2|QID|)$ . Therefore, the time complexity of  $K - VARP(VS, K, \delta, \omega, R)$  is  $O(|VS|K\delta|QID|)$ . Size of data stream is potentially infinite and  $\delta$  and K are considerably smaller compared to |VS|, thus, time complexity of KvARP can be O(|VS|).

### 5. Experimental evaluation

In order to estimate the performance of K-VARP, we compared it with *IoT Anonymization* [41] and *FADS* [17]. We used the *adult*<sup>1</sup> and *PM2.5 Data* of Five Chinese cities<sup>2</sup> datasets from the UCI machine learning repository. The Adult dataset is widely used to evaluate efficacy of anonymization algorithms [6, 11, 12, 14, 17, 32, 30, 41, 42, 38]. The selected attributes are: *education,marital – status,work – class, occupation, relationship, race, gender, country* 

- and age, final weight, education number, capital gain, capital – loss and hours – per – week where eight attributes are categorical and six are numeric. The generalization hierarchy of eight categorical attributes are <sup>740</sup> defined in [16], a brief description of the Adult dataset is<sup>755</sup>

explained in Table 6.

PM2.5 Data of Five Chinese cities (*PM2.5* hereafter) is a dataset of meteorological information of five big cities in China which includes sensory data. We <sup>745</sup> merged five separate city data to create a large IoT data stream. The selected attribures of *PM2.5* are: *season, wind – direction(combined – wind – direction)* and *first – post(PM2.5), second – post(PM2.5), third – post(PM2.5), dew – point, temperature,* 

humidity, pressure, wind - speed(cumulated - wind - speed), h - precipitation(hourly - precipitation), c - precipitation(cumulated - precipitation) where two attributes are categorical and ten are numeric. The gener-

Table 6:	QID	descriptions	of	Adult	dataset
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	m	Range		
Attribute name	Type	Min	Max	
Age	Numeric	17	90	
Final-weight	Numeric	13769	1484705	
Education-number	Numeric	1	16	
Capital-gain	Numeric	0	99999	
Capital-loss	Numeric	0	4356	
Hours-per-week	Numeric	1	99	
		Hierarchy tree		
		Height	Nodes	
Education	Categorical	5	26	
Marital-status	Categorical	4	11	
Work-class	Categorical	5	13	
Country	Categorical	4	62	
Occupation	Categorical	3	15	
Relationship	Categorical	3	7	
Rage	Categorical	3	6	
Gender	Categorical	2	3	

alization hierarchy of two categorical attributes are illustrated in Fig. 4, a brief description of the PM2.5 dataset is explained in Table 7.

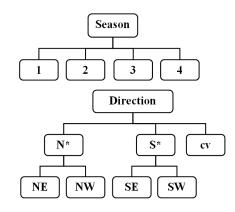


Figure 4: DGH tree of PM2.5 dataset

To compare our algorithm with existing data stream anonymization algorithm, we modified FADS [17] for varied data stream anonymization. FADS suppresses tuples

<sup>&</sup>lt;sup>1</sup>http://archive.ics.uci.edu/ml/datasets/Adult

<sup>&</sup>lt;sup>2</sup>https://archive.ics.uci.edu/ml/datasets/PM2.5+Data+of+Five+ochineshenCiticsloes not find any suitable cluster for an expir-

ing tuple. However, in varied data stream settings, for FADS we hold expired tuples until they get anonymized in clusters, and this causes late anonymization. A late anonymized tuple loses its usability due to the time sensitivity of a sliding window. To measure information loss correctly, we used information loss measurements that applies a late anonymization penalty which is used in [38]. The average information loss of K-VARP is calculated ac-<sup>780</sup> cording to definition 9.

Table 7:	QID	descriptions	of	PM2.5	dataset
----------	-----	--------------	----	-------	---------

A 1	m	Range		
Attribute name	Туре	Min	Max	
First-post	Numeric	1	1528	
Second-post	Numeric	1	940	
Third-post	Numeric	1	968	
Dew-point	Numeric	-40	28	
Temperature	Numeric	-25	41	
Humidity	Numeric	2	100	
Pressure	Numeric	975	1042	
Wind-speed	Numeric	0	608	
H-precipitation	Numeric	0	61.6	
C-precipitation	Numeric	0	226.4	
		Tree		
		Height	Nodes	
Season	Categorical	3	8	
Wind-Direction	Categorical	2	5	

To create a continuous and consistent flow of data streams, tuples were received from the dataset with a delay of 500 microseconds. The experiment parameters are shown in Table 8, where K represents K-anonymity,  $\delta$  is the time constraint of the sliding window,  $\omega$  is the time constraint of re-usable K-anonymous clusters,  $\alpha$  is a late anonymization penalty coefficient, and R is the R-likeness coefficient.

We randomly added missing values to the original datasets to create a varied data streams. Tuples with

Table 8: Parameters of experiment

Algorithm name	Parameters
FADS	K=50, $\delta$ =2000, $\omega$ =200, $\alpha$ =0.001
IoT Anonymization	K=50, $\delta$ =2000, $\omega$ =200
K-VARP	K=50, $\delta$ =2000, $\omega$ =200, R=0.2

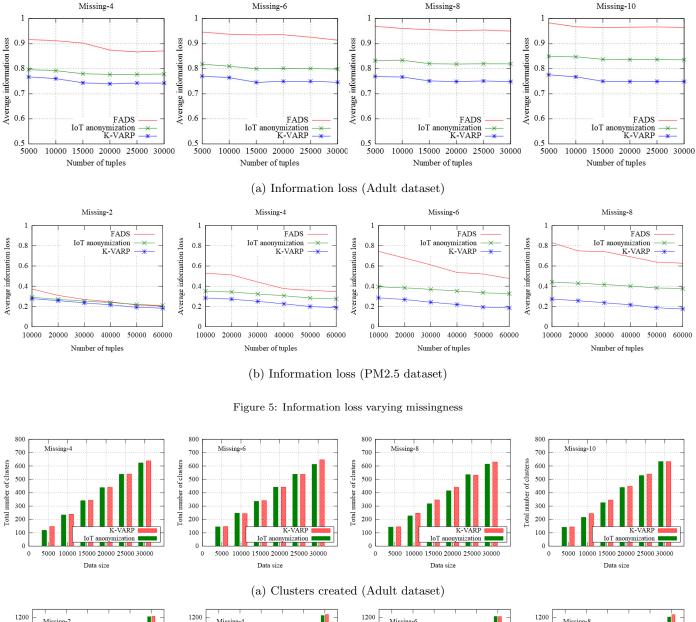
different numbers of missing values are the same in all datasets. As an example, Table 9 shows a description of dataset containing at most three missing values of the *Adult* dataset. The entry with data size of 30000 with maximum 3 - missing in each tuple, shows tuples with same number of *QIDs* all equal to 7500. In experiment graphs the *Missing* – X indicates that each tuple in the dataset has at most X number of missing values. To maintain the validity of the tests, all the algorithms were implemented in Java. The experiments were conducted on a PC with Intel i5-4590 CPU (3.30GHz) with 8GB RAM and Windows 10x64 with JDK 8.0.

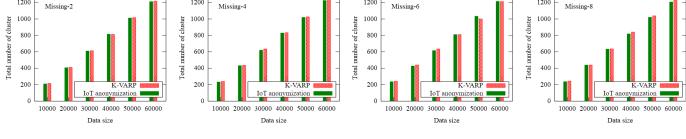
Table 9: Data set description (At most missing-3 values (Adult dataset))

Data	Number of tuples with						
size	same	same number of missing values					
	Missing-0	Missing-0 Missing-1 Missing-2 Missing-					
5000	1250	1250	1250	1250			
10000	2500	2500	2500	2500			
15000	3750	3750	3750	3750			
20000	5000	5000	5000	5000			
25000	6250	6250	6250	6250			
30000	7500	7500	7500	7500			

#### 5.1. Information loss

In Fig. 5 the average information loss of FADS, IoT Anonymization and K-VARP are illustrated by the varying missingness amount on Adult and PM2.5 dataset respectively. Fig. 5 shows that K-VARP anonymizes with less information loss compared to the other two ap-





(b) Clusters created (PM2.5 dataset)

Figure 6: Number of clusters created

proaches. The difference of information loss between K-VARP and others increases when the receiving data has more missing values.

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An increase in missingness of data decreases the average number of tuples in partitions. This leads to a greater number of clustering with merging partitions for 805

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IoT Anonymization, and more tuple holding for FADS. To achieve less information loss, IoT Anonymization has to minimize clustering with merge. Whereas for FADS, to reduce the number of late anonymization, the receiving data must be assigned to a fewer number of partitions.<sup>845</sup> However, these conditions are all violated when the missingness of data increases. On the other hand, K-VARP is not sensitive to the decrease of average numbers of tuples in partitions due to its merge-clustering criteria and

flexible re-using. This significantly helps to reduce infor-

mation loss even with the increase of missingness of data,

<sup>815</sup> as compared to *IoT Anonymization* and *FADS*.

In Fig. 5(a) we can see that the information loss difference of K-VARP and IoT Anonymization is between 3% to 9% which indicates the advantage of K-VARP. However,<sub>855</sub> in Fig. 5(b) we can also see that K-VARP has significantly lower information loss compared to other algorithms, by resulting 10% to 20% less information loss compared to IoT Anonymization.

Information loss on two datasets shows similar figure<sub>860</sub> but considerable difference, and this is caused by data distribution of datasets and the curse of dimensionality [43] amplified by the amount of missingness. The *Adult* dataset have fourteen (eight categoric, six numeric) and the *PM2.5* have twelve (two categoric and ten numeric)<sub>865</sub> number of *QID*s, and missingness amounts of *Adult* are higher than *PM2.5* (see Fig. 5) in the experiments. There-

fore, algorithms are expected to result more information loss on *Adult*. Nevertheless, from these results, we can see that *K*-VARP has performed significantly better which in- $_{870}$ dicates the scalability and efficiency of the algorithm.

#### <sup>835</sup> 5.2. Clustering

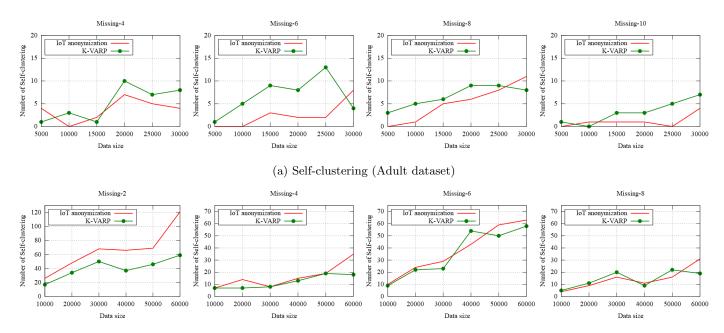
To achieve K-anonymity when a single partition does not contain enough tuples, K-VARP and *IoT Anonymization* merge two or more partitions to create a single cluster satisfying anonymity. For the given datasets (see Table 6 and Table 7) K-VARP and IoT Anonymization created an almost similar number of clusters (see Fig 6). Since the experiment is performed on the same datasets under a consistent environment, both algorithms employ a similar time to creating K-anonymous clusters. However, the flexible merging criteria of K-VARP prevented the creation of more clusters, but increased the chance of suppression, resulting in a similar number of clusters.

In Fig. 7 we show the number of self-clustering for K-VARP and IoT Anonymization. Despite the few drops, K-VARP performed more self-clustering compared to IoT Anonymization in Fig. 7(a), and a fewer number of self-clustering in Fig. 7(b).

IoT Anonymization has a merge selection criteria that tends to minimize imputation by limiting the number of different partitions involved in merge-clustering. Therefore, the fewer number of clusters merging caused greater numbers of self-clustering. On the other hand, K-VARP only considers the data distribution of partitions when merging clusters, and this merging rule leads to more partitions merging for K-VARP which decreases the number of self-clustering.

K-VARP has a flexible cluster re-using strategy which allows more tuples to be anonymized with re-using. This decreases the number of clustering using KNN and the merging operation of K-VARP, thus decreasing execution time of K-VARP. In contrast to K-VARP, the IoT Anonymization's re-using strategy is very strict only allowing tuples to re-use K-anonymous clusters from their own partitions. This leads to the creation of new clusters for expiring tuples, thus increasing the execution time.

In Fig. 8 we have demonstrated the number of clusters which are re-used during anonymization. When data has lesser amounts of missingness, then, re-using occurs more often. Also, self-clustering occurs more frequent if data has lesser amounts of missing values, and this shortens the time gap between clustering operations, leading to more re-usable K-anonymous clusters being stored, result-



(b) Self-clustering (PM2.5 dataset)

Figure 7: Number of self clustering

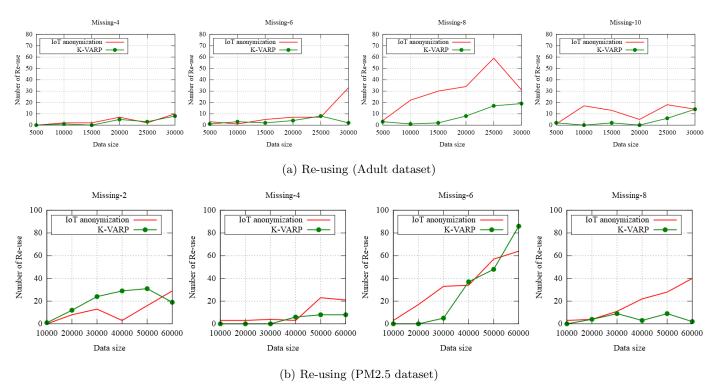


Figure 8: Number of re-use

ing in more numbers of re-using. Except the unusual result on 8(b), overall result of re-using shows that K-VARP has a lesser number of re-using than *IoT Anonymization*.<sub>885</sub> The greater number of re-using reduces calculation time;

880

however, it is not guaranteed to reduce information loss.

Fig 9. illustrates number of suppression for K-VARP and *IoT Anonymization*. From the figures we can see that, when the number of partitions of varied data streams increases, suppression occurs more often for K-VARP. IoT Anonymization tends to combine the biggest partitions when performing merge-clustering for expiring tu-925

- ples, maintaining less number of partitions in the buffer. On the contrary, K-VARP leaves more number of smaller partitions after merging which leads more number of suppression. The overall figures show more suppression is performed on K-VARP compared to IoT Anonymization. 930
- 895 5.3. Runtime

Fig. 10 demonstrates the runtime of K-VARP, IoT Anonymization and FADS. The improved merging criteria of K-VARP increases the computation time to per-<sub>935</sub> form merge clustering. This is because K-VARP's merging

- stage spends more time calculating R-likeness compared to *IoT Anonymization* and *FADS*. Also, the number of partitions merged in single merge-clustering is generally higher in K-VARP. However, the runtimes of these algo-<sub>940</sub> rithms are reasonably comparable. The experiment graphs
- shows that, K-VARP spent approximately zero to five percent more time on anonymization depending on the amount of missingness and the data size. Although, there is a slight increase in runtime, this does not adversely affect the overall performance because information loss is
  decreasing rapidly. Altogether, our algorithm outperforms
  - 6. Conclusion and future direction

conventional algorithms.

In this paper, we presented K-VARP, a novel algorithm to anonymize varied data streams. It uses a time based<sub>950</sub> sliding window technique to partition tuples based on their description. This preliminary operation helps to form clusters faster by localizing tuples and merging the relevant partitions when required. It is necessary to merge similar<sub>955</sub> partitions to anonymize tuples with less uncertainty, and in this situation, a marginalization with flexible re-using strategy is a convenient and scalable approach. K-VARP outperformed both *IoT Anonymization* and<sub>960</sub> FADS conventional data stream anonymization approach is adopted for varied data streams. The results demonstrated the effectiveness of K-VARP as it uses R-likeness to identify similar partitions upon merging. Moreover, a combination of marginalization and flexible re-using has a significant impact for anonymizing varied data streams, K-VARP anonymizes varied data streams with 3-9% less information loss on Adult and 10-20% less information loss on PM2.5 compared to the other two algorithms while spending similar time for computation. Data usability of K-VARP is better than the other two algorithms because, our proposed algorithm does not impute missing values and impractical late anonymization.

For future work we envision the optimization of merge clustering for partitioning based varied data stream anonymization. Finally, we will study the application of K-VARP for smart and connected spaces that have limited data streams. The challenge will be to maintain data privacy and usability through anonymization while having fewer numbers of streaming tuples.

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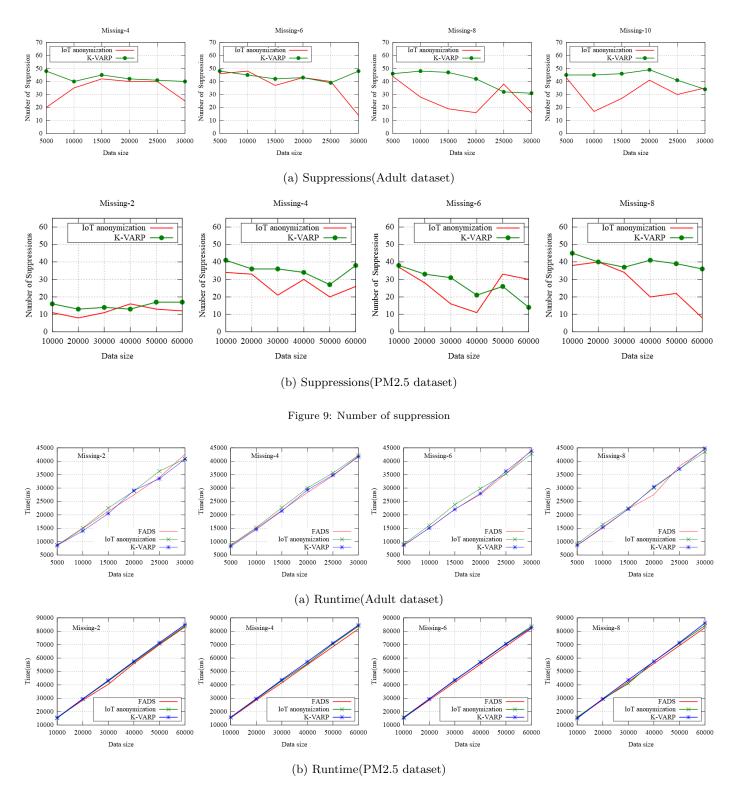


Figure 10: Runtime of algorithms

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