

## Structural basis of membrane disruption and cellular toxicity by $\alpha$ -synuclein oligomers

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**One Sentence Summary:** Oligomers of  $\alpha$ -synuclein generate neuronal damage when insertion of a highly structured core causes disruption of membrane integrity.

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**Abstract:** Oligomeric species populated during the aggregation process of  $\alpha$ -synuclein have been linked to neuronal impairment in Parkinson's disease and related neurodegenerative disorders. By using solution and solid-state NMR techniques in conjunction with other structural methods, we identified the fundamental characteristics that enable toxic  $\alpha$ -synuclein oligomers to perturb biological membranes and disrupt cellular function; these include a highly lipophilic element that promotes strong membrane interactions and a structured region that inserts into lipid bilayers and disrupts their integrity. In support of these conclusions, mutations that target the region that promotes strong membrane interactions by  $\alpha$ -synuclein oligomers suppressed their toxicity in neuroblastoma cells and in primary cortical neurons.

The aggregation of  $\alpha$ -synuclein ( $\alpha$ S) into amyloid fibrils within Lewy bodies is associated with Parkinson's disease (PD) and a range of other debilitating neurodegenerative disorders (1-9). The primary pathogenic agents in these conditions are thought to be the oligomeric species populated in the self-assembly of  $\alpha$ S, particularly through their aberrant interactions with biological membranes (10-16). Here we investigated two types of stabilized  $\alpha$ S oligomers with significantly different toxicities (17, 18), which we designated as *type-A\** and *type-B\**. Their Förster resonance energy transfer (FRET) signatures (Fig. 1A), as well as other structural and biological properties (Fig. 1), closely matched those of the previously identified transient forms of non-toxic (type A) and toxic (type B)  $\alpha$ S oligomers (19).

The two oligomeric forms of  $\alpha$ S had similar sizes and morphologies (Fig. 1B-C), yet exhibited very different abilities to disrupt lipid bilayers. When incubated in vitro with small unilamellar vesicles (SUVs), *type-A\** oligomers induced only a marginal release of encapsulated calcein molecules, comparable to that induced by  $\alpha$ S monomers and mature fibrils (Fig. 1D). In contrast, *type-B\** oligomers induced over ten times more calcein release, indicating that these oligomers generate considerable disruption of acidic lipid bilayers (Fig. 1D). We observed similar loss of membrane integrity in vivo upon incubation of *type-B\**  $\alpha$ S oligomers with human neuroblastoma SH-SY5Y cells and rat primary cortical neurons, and only marginal effects upon incubation with *type-A\** oligomers, monomers and mature fibrils of  $\alpha$ S (Fig. 1E, G).

The significant disruption of synthetic and cellular membranes by *type-B\**  $\alpha$ S oligomers, but not *type-A\** species, was strongly correlated with their ability to generate cellular toxicity. Thus *type-B\**  $\alpha$ S oligomers, but not monomers, *type-A\** oligomers and  $\alpha$ S fibrils, induced substantial increases of intracellular reactive oxygen species (ROS, Fig. S1A) and reduced the mitochondrial activity in neuronal cells (MTT, Fig. 1F). The cellular damage that *type-B\**  $\alpha$ S oligomers induced in this manner reproduces a variety of patho-physiological effects observed in neuronal models of PD obtained by inducing pluripotent stem cell-derived neurons from a patient with triplication of the  $\alpha$ S gene (20-22).

To probe the structural properties of the two types of  $\alpha$ S oligomers, we used solid-state NMR (ssNMR) spectroscopy. Correlations between carbon atoms of residues located in rigid regions of the oligomers were detected using  $^{13}\text{C}$ - $^{13}\text{C}$  dipolar-assisted rotational resonance (DARR) correlation spectra, measured using magic angle spinning (MAS).  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR spectra of *type-A\** and *type-B\** oligomers revealed fundamental differences in the structural nature of the two species (Fig. 2A), with a significant content of  $\beta$ -sheet identified in the rigid regions of the *type-B\** oligomers and negligible secondary structure content associated with the rigid regions of *type-A\** oligomers (Fig. 2A and S2). The assignment of the  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR peaks was performed using an approach (23-25) that combines information from solution-state chemical exchange saturation transfer (23, 26, 27) (CEST, see Methods and Fig. S3) with known assignments of fibrillar (5) and monomeric (25) states of  $\alpha$ S. This approach revealed that the resonances in the  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR spectra of the two types of oligomers belong to specific regions spanning residues 3-36 and 70-88 in *type-A\** and *type-B\** species, respectively (Table S1).

Highly mobile regions of the two forms of  $\alpha$ S oligomers were detected using INEPT measurements in MAS ssNMR (Fig. 2B). Both types of oligomers contained a large number of highly mobile residues (45 in *type-A\** and 67 in *type-B\**, Table S1), whose resonances overlapped with those in the  $^1\text{H}$ - $^{13}\text{C}$ -HSQC spectra of disordered monomeric  $\alpha$ S in solution (Fig. S4). Although both oligomeric species possessed a flexible C-terminal region (43 and 40 highly

mobile C-terminal residues for *type-A\** and *type-B\**, respectively), the N-terminal region of  $\alpha$ S was highly dynamic only in the *type-B\** oligomers (0 and 26 highly mobile N-terminal residues for *type-A\** and *type-B\**, respectively). Furthermore, the mobile regions of the *type-A\**  $\alpha$ S oligomers also included residues from the NAC region (Fig 2B), a finding consistent with the low FRET efficiency observed for the *type-A\** relative to the *type-B\** oligomers when the fluorophores were attached to residue 90, itself located in the NAC region (Fig. 1A).

The ssNMR characterization of the two types of  $\alpha$ S oligomers was supported by Fourier transform infrared (FT-IR) measurements (Fig. S5), showing signals characteristic of both random-coil and  $\beta$ -sheet structure in the case of the *type-B\**  $\alpha$ S oligomers, but indicating a predominantly unstructured conformation for the *type-A\** species. Further indication of the association with the core of the oligomers in *type-A\** and *type-B\** species was provided by CEST experiments (Fig. S3). *Type-A\** oligomers revealed extensive saturation in the 40 N-terminal residues of the protein, indicating a significant degree of association of this region with the core of the oligomers. For *type-B\** oligomers, CEST experiments showed no saturation associated with either the N- or C- terminal regions of the protein or with residues of three of the four major hydrophobic segments of the  $\alpha$ S sequence (residues 36-41, 47-56, 88-95), in contrast to the significant saturation observed for the hydrophobic segment 70-79. The identification of highly dynamic regions in the two types of  $\alpha$ S oligomers was consistent with a dot blot analysis carried out using a primary antibody that bind the N-terminal region of  $\alpha$ S. This indicated that the N-terminal region is more accessible in *type-B\** than in *type-A\** species (Fig. S6). In contrast, dot blot analysis carried out using a primary antibody targeting the C-terminal region of  $\alpha$ S indicated that the C-terminus is accessible to a similar extent in both types of oligomers (Fig. S6).

We next probed the interaction of the two types of  $\alpha$ S oligomers with SUVs composed of DOPE:DOPS:DOPC lipids in a ratio of 5:3:2 (see Methods), which are good mimics of synaptic vesicles for composition and physical properties (24, 25). Fluorescence correlation spectroscopy (FCS) in combination with confocal microscopy showed that both types of oligomers bind the SUVs with high affinity, with the *type-B\** oligomers binding more strongly relative to the *type-A\** species (Fig. 3A and S7). *Type-B\**  $\alpha$ S oligomers also colocalized with the plasma membrane of primary cortical neurons (Fig. 3B).

To characterize the levels of membrane insertion of the two types of  $\alpha$ S oligomers, we carried out paramagnetic relaxation enhancement (PRE) experiments using MAS ssNMR, in which small quantities of lipid molecules labeled with a paramagnetic center (PC) were incorporated into the bilayers (24, 25). When the PC was located in the hydrophilic head groups of the lipid molecules (see Methods), selective quenching of a number of  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR resonances was observed in the spectra of both types of  $\alpha$ S oligomers (Fig. S8). This indicates in both cases strong interactions with the membrane surface. In contrast, when the PC was positioned within the interior of the lipid bilayer, enhanced relaxation of  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR resonances was observed only for the *type-B\** oligomers (Fig. 3C); this suggests that *type-B\** oligomers can insert into the hydrophobic interior of the lipid bilayer while the *type-A\** oligomers remain bound exclusively to the membrane surface (Fig. 3E-F). In addition, the  $^1\text{H}$ - $^{13}\text{C}$ -INEPT spectra of the *type-B\** oligomers showed peak broadening only in PRE experiments performed when the paramagnetic center was located in the lipid head groups (Fig. S9); this suggests that dynamic regions of *type-B\** oligomers do not insert in the hydrophobic interior of the lipid bilayer.

To characterize the structural nature of the regions of  $\alpha$ S that are tightly bound to the lipid bilayers in the two types of oligomers, we measured  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR spectra at  $-19\text{ }^\circ\text{C}$  (Fig. 3D). This condition enhances the protein signals at the interface with this type of acidic lipid membrane (24, 25). In the case of the *type-A\** oligomers, we observed an additional set of peaks whose resonances are characteristic of lysine residues, which are abundant in the region 1-97 of the  $\alpha$ S sequence. The broad linewidths of these resonances, however, indicated the absence of a defined structural motif of *type-A\** oligomers that is tightly bound to the membrane. In contrast, for the *type-B\** species we observed a set of additional resonances that closely matched those of the N-terminal 25 residues of monomeric  $\alpha$ S bound to SUVs in an amphipathic  $\alpha$ -helical conformation (12, 25, 28). Thus, the N-terminal region in the toxic oligomers is involved in promoting strong membrane interactions.

We next examined the effects that mutations in the N-terminal region of  $\alpha$ S exert on the ability of *type-B\** oligomers to disrupt biological membranes and induce cellular toxicity. The A30P  $\alpha$ S variant ( $\alpha\text{S}_{\text{A30P}}$ ) is associated with an early onset form of PD and reduces the membrane affinity of the N-terminal region of  $\alpha$ S (24). We also examined a truncated form of the protein lacking residues 2-9 ( $\alpha\text{S}_{\Delta 2-9}$ ), a key region involved in anchoring the N-terminal region of  $\alpha$ S to lipid membranes (25, 29). In vitro measurements of calcein release from POPS SUVs showed intermediate and negligible levels of membrane disruption by *type-B\** oligomers formed from  $\alpha\text{S}_{\text{A30P}}$  and  $\alpha\text{S}_{\Delta 2-9}$ , respectively, compared to the effects of wild type  $\alpha$ S ( $\alpha\text{S}_{\text{WT}}$ ) *type-B\** oligomers (Fig. S10A). Similar trends were observed in the disruption of cellular membranes by these species (Fig. S10B) and in their colocalization with the plasma membrane (Fig. S10D-E). The partial and a total impairment of the binding and disruption of cellular membranes by *type-B\** oligomers of  $\alpha\text{S}_{\text{A30P}}$  and  $\alpha\text{S}_{\Delta 2-9}$ , respectively, correlated highly with the levels of cellular toxicity generated by these species (MTT in Fig. S10C and ROS in Fig. S1B).

In conclusion, here we identified two structural elements that determine the ability of toxic  $\alpha$ S oligomers to generate permeability of biological membranes (Figs. 3F, S11). In primary neurons and astrocytes this leads to an increase in the levels of basal intracellular  $\text{Ca}^{2+}$  and of ROS, and a consequent loss of cellular viability (20, 21). The first structural element is an exposed highly lipophilic region of the protein in the oligomers that promotes strong interactions with the membrane surface. The second is a rigid oligomeric core that is rich in  $\beta$ -sheet structure and is able to insert into the lipid bilayer and disrupt the membrane integrity. These conclusions are strongly supported by the introduction of specific mutations that partially (A30P) or completely ( $\Delta 2-9$ ) suppress the membrane affinity of the N-terminal sequence of the protein. This suggests that the ability of the accessible N-terminal region of  $\alpha$ S to bind strongly to lipid bilayers is a vital step in enabling oligomers to disrupt cellular membranes and consequently to induce neuronal toxicity.

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The data supporting the findings of this study are available within the article and its Supplementary Materials.

### Supplementary Materials

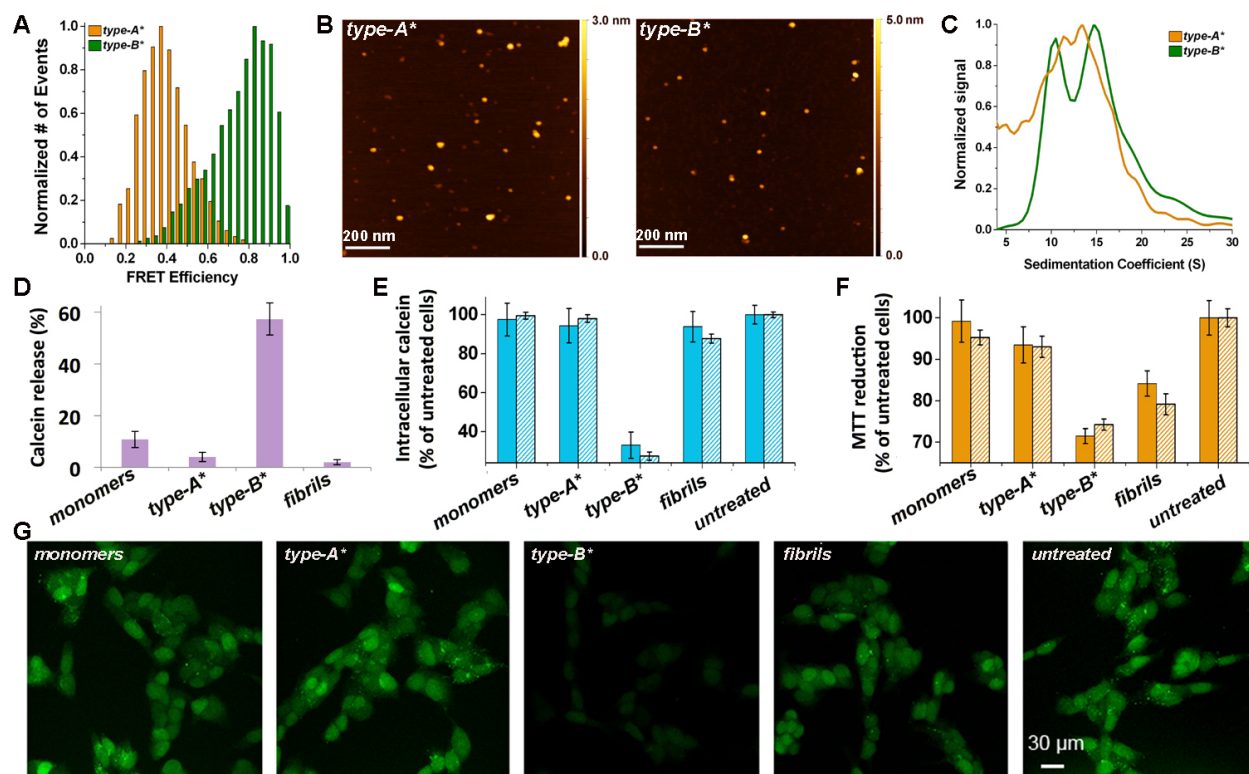
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Materials and Methods

Figs. S1 to S12

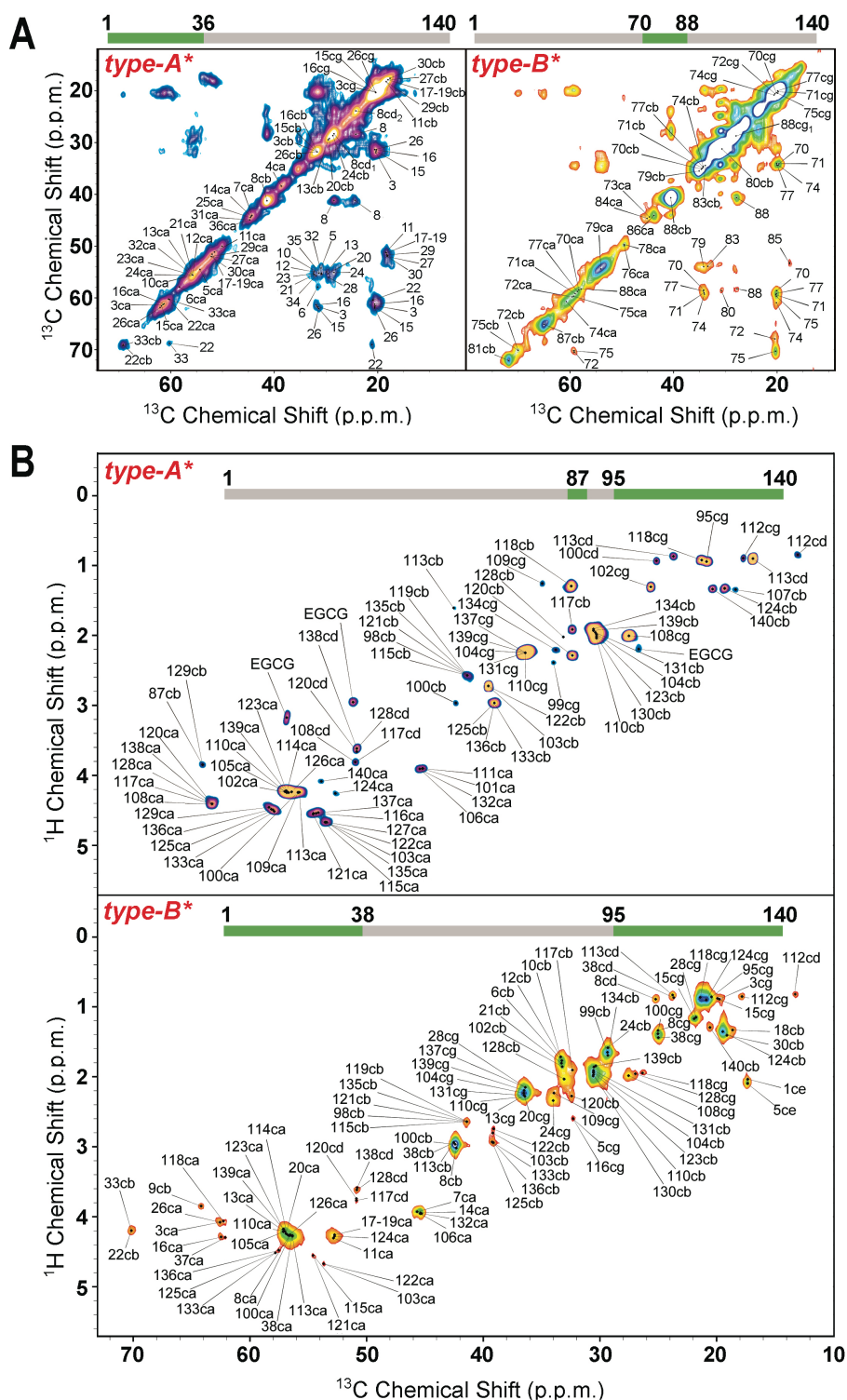
Tables S1 to S2

References (30-43)

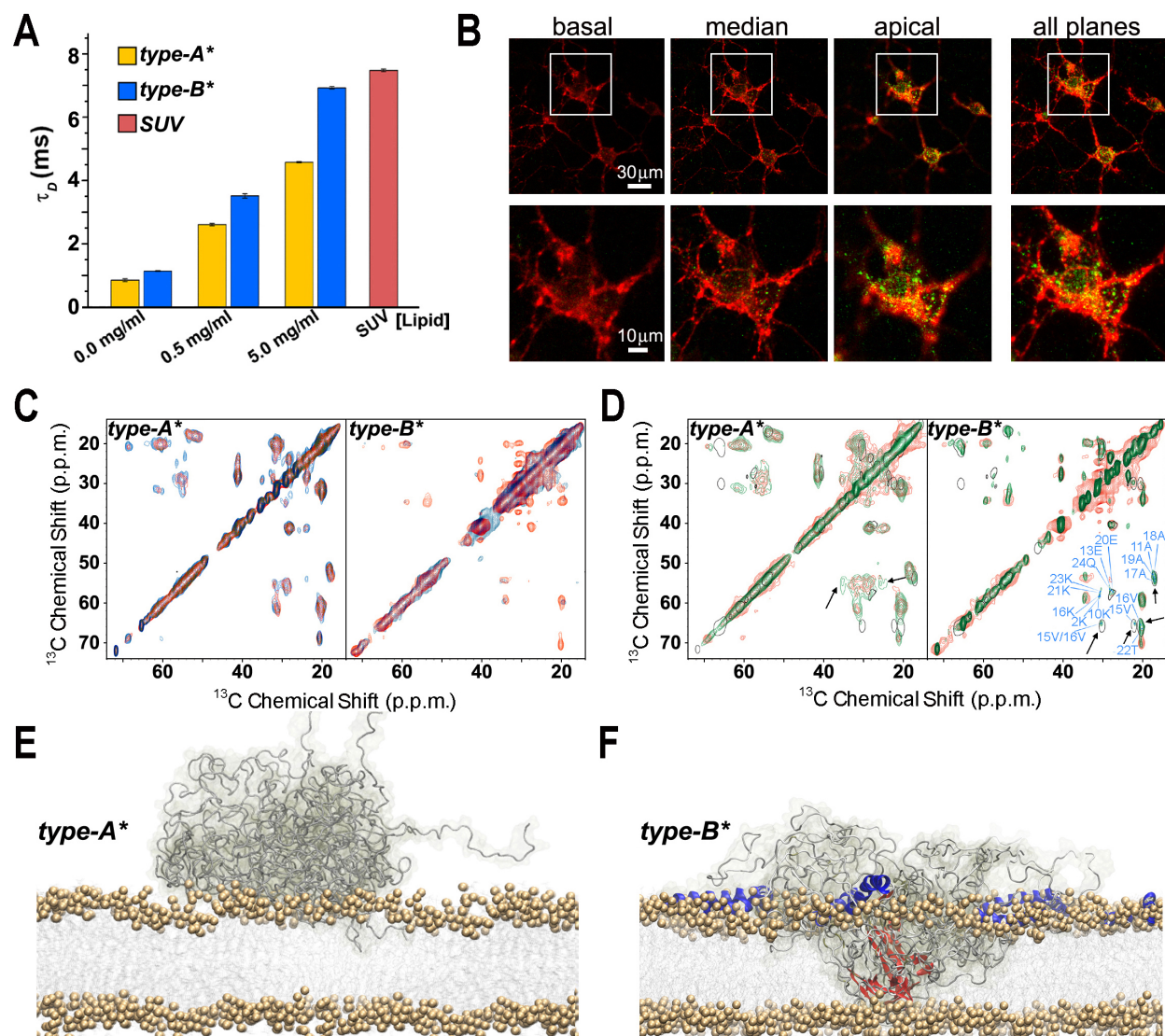


**Figure 1. Properties of the two types of  $\alpha$ S oligomers.** (A) Intermolecular FRET efficiencies from measurements of *type-A\** (orange) and *type-B\** (green)  $\alpha$ S oligomers. (B) Images of the *type-A\** and *type-B\** samples of  $\alpha$ S oligomers probed by AFM (scale bar 200 nm). (C) Sedimentation coefficients of the *type-A\** (orange) and the *type-B\** (green) oligomers measured using AU. (D) In vitro calcein release (%) from POPS SUVs (see Methods). (E-F) Intracellular calcein-induced fluorescence (E) and mitochondrial activity monitored by the reduction of MTT (F) measured on human neuroblastoma SH-SY5Y cells (filled bars) and rat primary cortical neurons (striped bars) upon incubation with the various  $\alpha$ S species. The data in panels D-F report the mean values  $\pm$  standard deviations (see table S2 for P values). (G) Representative confocal scanning microscopy images of SH-SY5Y cells (scale bar 30  $\mu$ m), showing intracellular calcein-induced fluorescence upon incubation with the various  $\alpha$ S species.





**Figure 2. MAS ssNMR spectra of  $\alpha$ S oligomers.** (A)  $^{13}\text{C}$ - $^{13}\text{C}$  DARR correlation spectra (aliphatic regions) of *type-A\** and *type-B\**  $\alpha$ S oligomers (left and right panels, respectively). (B)  $^1\text{H}$ - $^{13}\text{C}$  correlations via INEPT transfer. The labels ca, cb, cg, cd and ce indicate  $\text{C}^\alpha$ ,  $\text{C}^\beta$ ,  $\text{C}^\gamma$ ,  $\text{C}^\delta$  and  $\text{C}^\epsilon$  atoms, respectively. The regions of the protein sequence detected in the spectra are highlighted in green in the bars at the top of the spectra.



**Figure 3. Interactions of  $\alpha$ S oligomers with lipid bilayers.** (A) Diffusion times,  $\tau_D$ , from FCS experiments of *type-A\** and *type-B\**  $\alpha$ S oligomers in the presence of variable quantities of SUVs composed of DOPE:DOPS:DOPC.  $\tau_D$  values and errors are derived from the fitting of the autocorrelation function (see Methods and Fig. S7). (B) Representative confocal scanning microscope images of basal, median, and apical planes and their combination (fourth column) of primary cortical neurons upon incubation of 15 min with *type-B\**  $\alpha$ S oligomers. Red and green fluorescence indicates the cell membrane and the  $\alpha$ S oligomers, respectively. Lower panels correspond to magnifications of the sections indicated with a white square in the upper panels (C) PRE effects measured using MAS ssNMR for *type-A\** (left) and *type-B\** (right)  $\alpha$ S oligomers using SUVs with a paramagnetic center at the position of carbon 16 of the lipid chain.  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR spectra measured in the presence and absence of the paramagnetic labeled lipids are shown in blue and red, respectively. (D)  $^{13}\text{C}$ - $^{13}\text{C}$ -DARR spectra of isolated *type-A\** (left) and *type-B\** (right)  $\alpha$ S oligomers (red) compared with spectra measured at  $-19^\circ\text{C}$  in the presence of DOPE:DOPS:DOPC SUVs (green) and of monomeric  $\alpha$ S bound to the same type of vesicles (24) (black contour lines). The arrows identify the signals of residues in the  $\alpha$ S oligomers arising from the membrane-bound protein regions. In the case of *type-B\**  $\alpha$ S oligomers these regions

were assigned from a previous study of the monomeric state of  $\alpha$ S bound to SUVs (24). **(E-F)** Schematic representation of the binding of *type-A\** and *type-B\** with biological membranes. **(E)** *Type-A\** (left) are mainly disordered and bind exclusively to the membrane surface. **(F)** *Type-B\**  $\alpha$ S oligomers feature both structured (red) and disordered (grey) regions, and bind the surfaces of the lipid bilayers *via* the N-terminal regions fold into amphipathic  $\alpha$ -helices (blue) upon membrane binding. The rigid regions of *type-B\**  $\alpha$ S oligomers, which are rich in  $\beta$ -sheet structure, insert into the lipid bilayers thereby disrupting their integrity.