Do mothers bias offspring sex ratios in carotenoid-rich 1 2 environments? 3 Abbreviated title: Sex ratio variation and carotenoids in hihi 4 5 6 Lay Summary 7 If carotenoid availability more strongly influences male success, do mothers 8 supplemented with carotenoids produce more sons? We show this is not the case 9 in hihi, a species in which males have carotenoid-based plumage, and so may 10 benefit more from increased carotenoid availability during development. Dietary 11 supplementation can be a valuable conservation tool, but may have unintended 12 consequences. We show carotenoid supplementation is unlikely to alter 13 population dynamics in terms of offspring sex ratio in hihi. 14 15 16 Abstract 17 If environmental or maternal factors favour the fitness of one sex over the other, 18 theory predicts that mothers should produce more offspring of the sex most 19 likely to benefit from prevailing conditions. For species where males depend on 20 carotenoid-based colourful ornaments to secure territory or attract mates, 21 carotenoid availability in the environment could be one such component: 22 mothers experiencing high availability of carotenoids should produce more sons.

23 Here, we test this hypothesis by providing carotenoids to a wild population of a 24 sexually dimorphic passerine, the hihi (stitchbird: Notiomystis cincta). Access to 25 carotenoids during early life influences the colour of male hihi plumage, which 26 improves territory acquisition as adults. Therefore, carotenoid availability when 27 young may influence male fitness. However, we found no evidence of sex ratio 28 bias in treated or untreated groups, either before or after hatching. First-laid 29 eggs, where carotenoid concentrations are usually highest, were also unbiased. 30 For hihi, access to carotenoids during egg-laying does not appear to encourage 31 mothers to alter sex ratios of offspring. Alternatively, the fitness of daughters 32 may also benefit from increased carotenoids during development. Disentangling 33 these alternatives requires further work.

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35 Key words: Notiomystis cincta; carotenoids; sex ratio; supplementary feeding
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38 Introduction

The environment offspring experience during early life can have profound effects on their reproductive productivity (Wilkin and Sheldon 2009; Walker et al. 2013; Kilner et al. 2015), and these effects may differ between the sexes (Trivers 1972; Trivers and Willard 1973). If good environmental conditions increase the reproductive value of one sex more so than the other, mothers should bias sex ratios to maximise their fitness returns (Trivers and Willard 1973; Charnov 1982). Some studies suggest that factors such as maternal

46 condition, age, and social rank may influence offspring sex ratios (reviewed in 47 Cameron 2004; West 2009), but experimental evidence for facultative sex ratio manipulation remains mixed, particularly in birds (Sheldon 1998; Ewen et al. 48 49 2004). This may be due to a lack of information on pre-hatching sex ratios, which 50 may lead to an under-estimation of bias (Sheldon 1998). Alternatively (or 51 additionally), many studies investigating sex allocation in response to resource 52 availability have focused on general food availability (Wiebe and Bortolotti 1992; 53 Appleby et al. 1997), an approach which could overlook fluctuations in key 54 nutrients that may have sex-specific benefits.

55 One class of nutrient that may have different consequences for each sex is 56 carotenoids. These antioxidant pigments can only be obtained through diet 57 (Blount et al. 2000; Svensson and Wong 2011), meaning their physiological use 58 is closely tied to environmental availability. Carotenoids are a common pigment 59 used in animal signals, particularly sexual signals (Svensson and Wong 2011), 60 where carotenoid-based pigmentation can be a reliable indicator of quality 61 during mate choice and sexual display (Blount, Metcalfe, Birkhead, et al. 2003; 62 Faivre et al. 2003; Hidalgo-Garcia 2006), and/or in signals used for intrasexual 63 competition and territory acquisition (Walker, Ewen, et al. 2014). This is because 64 carotenoids are important in development and immune system function across 65 all life stages (Blount 2004). Furthermore, carotenoid availability in early life 66 has follow-on effects for an individual's ability to assimilate carotenoids from the 67 diet in later life (Blount, Metcalfe, Arnold, et al. 2003; Butler and McGraw 2012), 68 which may explain a link between early life carotenoid access and adult 69 colouration (Walker et al. 2013). Theoretically then, in species where sons 70 experience high variance in their reproductive success, and carotenoid

availability influences their fitness, it may be adaptive for mothers in carotenoidrich environments to bias their investment towards sons.

73 Despite this putative link between carotenoid availability and sex ratio 74 manipulation, the little evidence that exists is mixed. For example, female barn 75 swallows did not invest carotenoids differentially into egg yolks destined to be 76 sons or daughters (female birds are the heterogametic sex), but instead provided 77 more carotenoids to eggs of both sexes when mated to poorer quality males 78 (Saino et al. 2003). In zebra finches, on the other hand, females experimentally 79 supplemented with carotenoids during laying produced more sons (McGraw et 80 al. 2005). Male sexual signals of both barn swallows (red plumage, (Safran et al. 81 2010)) and zebra finches (carotenoid-pigmented beaks, (McGraw et al. 2002)) 82 are influenced by carotenoids, so it remains unclear if, or when, mothers might 83 bias sex ratios to match carotenoid availability.

84 Here, we experimentally investigate whether increased availability of 85 carotenoids in the environment results in male-biased sex ratios in the hihi 86 (Notiomystis cincta). Adult hihi are strongly sexually dimorphic in size and 87 plumage: males have black plumage with white ear tufts as well as carotenoid-88 based yellow shoulder patches, while females are 25% smaller and cryptic olive-89 brown in colour (Ewen, Surai, et al. 2006; Walker, Ewen, et al. 2014). Male 90 carotenoid-based colouration is influenced by early life carotenoid availability 91 (Walker et al. 2013), and this colouration is important for various aspects of 92 reproductive success: males with larger yellow shoulder patches are more likely 93 to hold a breeding territory, and those with darker yellow patches are less likely 94 to be cuckolded (Walker, Ewen, et al. 2014). Reproductive success varies among

males, and floater males compete with territorial males to gain high rates of
extra-pair paternity (Brekke et al. 2015), so factors that influence male success
in holding a territory are likely to have substantial effects on their reproductive
success. Consequently, carotenoid availability during development may benefit
sons more than daughters.

100 We predict that if hihi females can provide abundant carotenoids to 101 offspring, they should favour production of sons. Bias may occur at laying, or via 102 improved survival of male embryos (Alonso-Alvarez 2006). Male hihi are more 103 likely to die as embryos than females (Brekke et al. 2010), so access to 104 carotenoids in the egg yolk may improve this outcome (Pérez et al. 2006). As 105 carotenoid concentrations are commonly highest in the first-laid egg (Royle et al. 106 1999; Blount et al. 2002; Saino et al. 2002; Newbrey et al. 2014), first-laid 107 offspring are particularly likely to receive the benefits of increased availability to 108 mothers (Kilner 1998; Badyaev et al. 2003; Dijkstra et al. 2010) and so we 109 predict these should be male. Previous analyses have found no effect of 110 carotenoid treatment on the sex ratio of hihi fledglings (Ewen et al. 2008). 111 However, egg failure (Brekke et al. 2010; Hemmings et al. 2012) and nestling 112 mortality (Rippon 2010; Rippon et al. 2011) are high in this species, a common 113 problem in testing patterns of avian sex ratios (Sheldon 1998), and one which 114 may have led to under-estimation of bias previously. Here we focus on the sex 115 ratio at laying (including looking specifically at the first egg laid) and the sex ratio at hatching to detect any effects of environmental carotenoids on 116 117 facultative sex ratio manipulation by mothers.

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119 Methods

120 Study population

- 121 The hihi (*Notiomystis cincta*) is a passerine endemic to New Zealand, and is well-
- suited to studies of sex allocation because they nest in boxes when provided, and
- take supplementary food readily (Thorogood et al. 2013). We used data
- 124 collected from a closed breeding population on Tiritiri Matangi Island (36°36'S,
- 125 174°53'E), a small (220 ha), low-altitude island where hihi have been
- reintroduced in two rounds of translocations from a remnant population in 1995
- 127 and 1996. Breeding attempts are monitored yearly as part of a conservation
- 128 effort, and every individual is uniquely identifiable by leg rings. For further
- 129 details of habitat and climate see Thorogood *et al.* 2013.
- 130

131 Supplementation experiment

132 We used data from carotenoid supplementation experiments that were carried 133 out during the 2004/2005 and 2005/2006 breeding seasons. These experiments 134 were designed to investigate effects for maternal egg investment (Ewen, Surai, et 135 al. 2006), parental provisioning of chicks (Ewen et al. 2008), and effects of 136 ectoparasites on chick health (Ewen et al. 2009). The supplementation protocol 137 was identical in both years, except that in 2004/2005 pairs were supplemented 138 from first sign of nest building until chicks fledged (Ewen et al. 2008), and in 139 2005/2006, supplementation continued only until egg-laying was complete 140 (Ewen et al. 2009). Once breeding pairs settled on a nest site, they were allocated 141 to treatment or control groups. Control pairs were provided with a temporary

supplementary food source (sugar water) within 10 m of the nest box, while the
sugar water provided to treatment pairs was supplemented with carotenoids
(lutein and zeaxanthin, dominant carotenoids in yellow plumage in hihi) at a
concentration of 100 µg/ml (Ewen, Surai, et al. 2006; Ewen et al. 2008). Birds
were familiar with these feeders as food was presented in similar feeding
stations across the island throughout the year and used them readily. See Ewen
et al. 2008 for more detail.

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150 Identification of chick sexes

As part of the long-term monitoring of this population, the sexes of chicks that
survived to 21 days of age (standardised age for ringing and sampling, before
fledging occurs at 30 days) were determined via molecular sexing of blood (see
Dawson 2007; 2015 for details) or feather samples (see Thorogood et al. 2009
for details), or via sighting as adults during routine population censuses
conducted in Spring and Autumn (Thorogood et al. 2013).

157 For this study, we used similar methodology to sex chicks that died after 158 hatching and any unhatched embryos (116 out of 501 eggs). As nests in our population are closely monitored (every 1 - 2 days), we were able to recover 159 160 tissue samples for most dead individuals: unhatched eggs were collected several 161 days after the expected hatching date and dead nestlings were recovered soon 162 after death, either from inside the nest box or from the ground outside. Embryos 163 and tissue samples from dead nestlings were then stored in 95% ethanol before 164 we used molecular methods to assign sex.

165 DNA was extracted from approximately 1 g of each sample using DNeasy 166 Blood and Tissue Kits (Qiagen). Sex chromosome markers were amplified 167 following the protocol of Thorogood & Ewen 2006. PCRs were carried out in GS1 168 Thermal Cyclers (G-Storm), with the following conditions: initial denaturation at 169 94°C for 1 min 30 s, followed by forty cycles at 94°C for 30 s, 48°C for 45 s, and 170 72°C for 45 s before a final annealing step at 48°C for 1 min and an extension at 171 72°C for 5 min, then held at 4°C. Electrophoresis of amplified products was carried out on a 1% agarose gel in tris-borate-EDTA (TBE) buffer at 90V. The 172 173 products were then stained with ethidium bromide and viewed under UV light, 174 where single and double bands were easily identifiable. Only embryos that were 175 clearly identifiable were sexed, so there was little risk of contamination from 176 parental DNA (Arnold et al. 2003).

177 In total, we knew (through previous blood/feather sampling or adult re-178 sighting) or assigned (dead material sexed as part of this study) sexes to 363 out 179 of 501 individuals. Inability to assign sexes to the remaining individuals was 180 either because there was insufficient embryonic development to sample (86 out 181 of 199 unhatched eggs across the two seasons; on average 12% of hihi eggs are 182 infertile (Hemmings et al. 2012)), or because we were unable to recover dead 183 material (52 out of 382 hatched chicks). If one sex is over-represented in this 184 unsampled group, we may under- or over-estimate any sex bias in our dataset. 185 As our focus here is on the effect of our carotenoid treatment, this should only be 186 problematic if the number of unknown fertilizations is skewed to one treatment 187 group; however our samples were fairly evenly distributed between the 188 treatment groups (37 of the unsampled eggs were from carotenoid treated nests; 189 49 were from untreated nests).

191 Statistical analyses

192 We first created two subsets of data: one in which we were able to calculate 193 clutch sex ratio *at laying* by excluding clutches where the number of sexes 194 known did not equal the number of eggs laid; and one from which we were able 195 to calculate sex ratio *at hatching* by excluding clutches where the number of 196 sexes known did not equal the number of eggs hatched (i.e. this subset included 197 broods where one or more eggs did not hatch, and we did not know their sex, but 198 we knew the sex of all eggs that did hatch). The former included 43 clutches; the 199 latter included a larger sample of 87 clutches.

200 We first tested the influence of carotenoid treatment on the sex ratios of 201 a) all eggs laid, and b) all hatched individuals, using binomial GLMMs fit using the 202 lme4 package (R Core Team 2013), with a bound column of number of males and 203 number of females set as the dependent variable (sex ratio). Our dependent 204 variable therefore also inherently accounts for variation in clutch size. We 205 specified carotenoid treatment (0/1) as an independent variable in each model. 206 We also included time in the season (days since first clutch hatched) as this has 207 been shown to influence brood sex ratios in other studies (Dijkstra et al. 2010). 208 We use the latter as a proxy for time in the season as it provides a comparable 209 measure of how early or late a brood hatched relative to the commencement of 210 the population breeding season, which can vary slightly between years. We also 211 included an interaction term to determine whether carotenoid treatment influenced sex allocation according to time in the season. We first tested whether 212 213 season (2004-2005, 2005-2006) or clutch number within season (first or second

clutch) influenced sex ratio in a global model: neither had a significant effect, sowe control for them instead as random terms.

To test if sex ratios changed from when eggs were laid, to when eggs hatched, we used a paired t-test. Overall bias in sex ratios of eggs laid and hatched was tested using Chi-squared tests of expected frequencies (numbers of male and female chicks).

To determine if first-laid eggs were more likely to be male, we tested

221 whether sex ratio of first-laid eggs differed from parity using a Chi-squared test

of expected frequencies (number of males). We next tested whether the

223 probability of the first-laid eggs being male differed according to carotenoid

treatment, time in the season, or an interaction of both, by fitting these terms in a

binomial GLMM with season and clutch as random terms.

226 We tested whether carotenoid treatment influenced embryonic mortality

by a) testing the distribution of unhatched eggs in treated and untreated nests

using a Chi-squared test of expected frequencies, and b) comparing the

proportions of male and female embryos that failed to hatch in treated and

230 untreated nests using Fisher Exact Tests.

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232 Compliance with Ethical Standards

233 Ethical approval for supplementing carotenoids was granted by the Zoological

234 Society of London Ethics Committee (UK). Permissions to conduct research on

235 Department of Conservation Estate and to collect samples as detailed above

were also granted from the Auckland Conservancy of the Department of

- 237 Conservation. These protocols were derived from standard monitoring protocols
- used for management of hihi by the Department of Conservation.

240 Results

i) do carotenoid-treated females produce more sons?

242 We found no evidence that mothers manipulate the sex ratio of their offspring 243 when carotenoids are freely available during laying (Fig 1). Within clutches, sex 244 ratio at laying was not significantly influenced by carotenoid treatment (χ^{2}_{1} = 245 0.42, P = 0.52), date ($\chi^{2}_{1} = 0.02$, P = 0.89), or an interaction between the two 246 (carotenoid treatment*date: χ^{2}_{1} = 0.13, *P* = 0.72). From our larger dataset of sex 247 ratio at hatching, there were also no effects of treatment ($\chi^{2}_{1} = 0.01$, P = 0.94; Fig. 1), date (χ^2_1 = 2.24, *P* = 0.13), or their interaction (χ^2_1 = 0.01, *P* = 0.93). Not 248 249 surprisingly then, across nests in our treatment groups, the number of male 250 embryos produced did not differ (from 20 control nests, 49 males; from 23 251 treated nests, 47 males; Kruskal Wallis χ^{2}_{1} = 0.26, *P* = 0.61), and nor did the 252 number of males that hatched (from 42 control nests, 76 males; from 45 treated 253 nests, 81 males; Kruskal Wallis $\chi^{2}_{1} = 0.004$, *P* = 0.95).

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255 ii) are first-laid eggs from carotenoid-treated females more likely to be male?

We knew the sex of 43 first-laid eggs (15 were from control nests, and 28 were

from carotenoid-treated nests): 23 were female, and 20 were male. Again, sex

- was not influenced by carotenoid treatment ($\chi^2 = 0.23$, P = 0.63), date in the
- 259 season ($\chi^2 = 0.7$, P = 0.4), or an interaction of the two ($\chi^2 = 0.19$, P = 0.66), and at

260 the population level, this sex ratio did not differ from an expected equal 261 frequency ($\chi^2 = 0.21$, d.f. = 1, *P* = 0.65).

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263 264

iii) do carotenoids influence embryonic survival?

265 Of 182 eggs laid in the 43 clutches (22 clutches from carotenoid-treated 266 mothers) for which we knew sex ratio at laying and at hatching, 96 were male, 267 and 86 were female (an overall sex ratio of 1.12 males per each female; no significant bias detected with Chi squared test: $\chi^{2}_{1} = 0.55$, P = 0.46). Of these 268 eggs, 20 failed to hatch (11 contained male embryos, and 9 contained female 269 embryos, no significant bias: $\chi^{2_1} = 0.2$, P = 0.65). This changed the sex ratio 270 271 overall to 1.09 males to each female; however this change was not statistically 272 significantly different (paired T test $T_{41} = 0.71$, P = 0.48). The sex ratio at 273 hatching of our larger dataset was very similar: 279 chicks successfully hatched, 274 of which 142 were male, and 137 were female (1.04 males to each female, not significantly different from an equal distribution: $\chi^{2}_{1} = 0.09$, P = 0.76), so our 275 276 inability to detect a change was not likely to be influenced by our inability to sex 277 all embryos.

278 When we looked at every sexed embryo produced across nests (374 eggs 279 from 119 nests), carotenoid treatment did not improve survival of embryos, 280 either overall (53 out of 115 eggs that failed to hatch came from carotenoid 281 treated nests, $\chi^{2}_{1} = 0.70$, P = 0.40). Carotenoid treatment did not influence the 282 proportion of male embryos that died before hatching: 8 of 89 male embryos, 283 8.9%, failed to hatch in carotenoid-treated nests, compared to 9 of 94 male 284 embryos in untreated nests, 9.6%, a non-significant difference (Fisher exact test,

285 P = 0.9). Fewer female embryos died before hatching in untreated nests (6 out of 286 92 female embryos, 6.5%) compared to carotenoid-treated nests (10 out of 99 287 female embryos, 10.1%), but this difference was not significant (Fisher exact 288 test, P = 0.44). This meant we did not detect any male bias in the failure of 289 embryos to hatch, as was found in a previous cohort of this population (52% of 290 unhatched embryos were male in our study Vs. 76% in Brekke et al. 2010; Fisher 291 exact test, P = 0.047); while female mortality rates were similar, mortality rates 292 for males in our study were far lower (Table 1).

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294

295 **Discussion**

296 According to sex ratio theory, if a maternal or environmental factor more 297 strongly influences the fitness of one sex, mothers with that trait or in an 298 environment with that trait should bias the sex ratio of their offspring to the 299 more affected sex (Trivers and Willard 1973). Although carotenoid availability 300 may be more likely to benefit male hihi (Walker et al. 2013; Walker, Ewen, et al. 301 2014; Walker, Thorogood, et al. 2014), we found that sex ratio at laying and 302 hatching were similar in carotenoid-supplemented and unsupplemented 303 clutches, and regardless of treatment, the sex of first eggs was also equally likely to be male or female. Nor did carotenoids influence embryonic survival, with 304 305 both sexes enjoying low rates of mortality. This meant that across treatments, 306 there was no change in sex ratio from laying of eggs to their hatching, and so we 307 find no evidence for sex bias at any stage.

308 Since previous work has suggested that carotenoids in early life may lead 309 to differences in reproductive success for male offspring, why did we find no 310 effect of carotenoids on sex ratios? From other analyses of data from this 311 experiment, we know that our manipulation of carotenoids to mothers leads to 312 elevated levels in egg yolk (Ewen, Thorogood, et al. 2006) and influences chicks' 313 growth in response to challenges (Ewen et al. 2009). Therefore, we can be 314 confident that our manipulation had the potential to influence maternal sex 315 allocation decisions. Perhaps carotenoid availability during egg-laying is not a 316 reliable predictor of availability during the nestling provisioning period. This 317 may be especially true in this species, where the provisioning period (chicks 318 fledge at 30 days) is relatively long (Roff et al. 2005), and during which time 319 resource availability may change. Indeed, the study on which we based our 320 predictions for sex ratio adjustment supplemented hihi chicks directly with 321 carotenoids during rearing (Walker et al. 2013), not via their mothers during egg 322 laying. Therefore, our lack of effect here may have arisen because access to 323 carotenoids during chick-rearing is a better cue for sex-biased variance in future 324 reproductive success. Alternatively, adjusting sex ratio according to carotenoid 325 availability at laying, or even during chick-rearing, may be maladaptive.

Finally, we may not have detected an effect because improved availability of carotenoids in early life could also benefit the reproductive value of daughters, reducing the difference in likely returns from investing differentially. While increased carotenoids may benefit male offspring in terms of adult plumage (Walker et al. 2013), any role in female colouration has not been explored. Studies of carotenoid effects on colouration have mostly focused on male traits (Pryke et al. 2001; Pryke and Griffith 2006; Walker, Ewen, et al. 2014), but

333 evidence is growing that carotenoid-based colouration is also important in 334 female status display (Crowley and Magrath 2004; Murphy, Rosenthal, et al. 335 2009; Murphy, Hernández-Muciño, et al. 2009). In hihi, females are at the bottom 336 of both an inter- and con-specific dominance hierarchy for foraging 337 opportunities (Rasch and Craig 1988), so signals of status may play a role for 338 both sexes in mediating differences in condition and eventual ability to breed 339 successfully. Plumage aside, when a female hihi nestling's access to carotenoids 340 is experimentally increased, their survival to fledge increases by 13% (males 341 enjoy no improvement in survival). And, if carotenoids are provided to mothers 342 when rearing chicks, they are encouraged to attempt a second clutch that season 343 (Thorogood et al. 2011). Whether increased carotenoid availability in early life 344 environments primes daughters' responses to environmental conditions when 345 breeding themselves remains unknown.

346 While we found no evidence that mothers manipulated the sex ratio of 347 their clutches at laying, we did expect to detect sex-biased mortality before 348 hatching. Previous work with hihi has suggested that male embryos are more 349 vulnerable than females, perhaps because they are more susceptible to 350 inbreeding effects (Brekke et al. 2010). We did not find increased male mortality 351 in our cohorts: while female mortality rates were similar to those found by 352 Brekke et al., males enjoyed a much reduced mortality rate (9.5% compared to 353 21.1%). Differences in male mortality could be due to variability in the effects of 354 inbreeding depression, the expression of which is the combination of inbreeding 355 level and (variable) environmental conditions (Keller et al. 2012). Determining 356 what environmental factors (including food supplementation regimes) influence 357 the expression of inbreeding depression in hihi would be a valuable next step.

- 358 We show that, in hihi, increased access to carotenoids during egg-laying
- does not result in an increase in male offspring produced. This result did not
- 360 support our predictions that, as male hihi rely on carotenoid-based signals to
- 361 maintain territories, they should benefit more from an increase in carotenoid
- 362 availability, and so should be produced in greater numbers when carotenoids in
- 363 the environment are plentiful. However, to understand the role that
- 364 environmental conditions play in sex allocation clearly requires a better
- 365 knowledge of the consequences of carotenoids for long-term reproductive
- 366 success in both sexes.
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Fig. 1. Clutch sex ratios (proportion male) according to carotenoid treatment
(mean ± s.d). Open circles represent sex ratios at laying (where sexes of total
brood, including unhatched chicks were known); closed circles represent sex
ratios at hatching (where sexes of all hatched chicks were known).

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No supplement

Table 1. Hatch failure of male and female embryos in this study, and in a previous
study showing significant male mortality bias (Brekke et al. 2010), which we did
not find in this study (% hatch failures of male and female embryos highlighted
for comparison).

133

21.1

9

62 for comparison).

 MALE
 FEMALE

 Dead
 Total
 % hatch
 Dead

 embryos
 offspring
 failure
 embryos

28

% hatch

failure

9.6

Total

94

offspring

This study:						
Food + Carotenoid	8	89	8.9	10	99	10.1
supplement						
Food supplement only	9	94	9.6	6	92	6.5
Total	17	183	9.3	16	191	8.4