1	Effectiveness of real-time PCR for diagnosis and
2	prognosis of varicella-zoster virus keratitis
3	
4	
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21 Abstract

Purpose: To determine the efficacy of real-time PCR for the diagnosis and prognosis 22 23 of varicella-zoster virus (VZV) keratitis. 24Study design: Retrospective case series. Methods: Patients: 545 consecutive patients with keratitis were examined to quantify 25 26 copy numbers of VZV DNA by real-time PCR. Association of copy numbers of DNA of VZV to clinical signs and disease course was assessed using logistic regression 27 analysis and Cox proportional hazard model. 28 29 **Results**: Of the 545 eyes, 38 eyes (6.9%) were diagnosed as VZV keratitis. The copy numbers of the DNA of VZV (median: 10^{4.19} copy) was significantly associated with 30 31 diagnosis of VZV keratitis with the highest odds ratio (OR) of 3390 (for median copy) compared to clinical signs. Diagnostic accuracy of the VZV DNA copy indicated good 32 33 diagnostic value of area under the curve (0.92) by receiver operating characteristic analysis, and detection of unrelated VZV DNA from the cornea was very rare (0.2%). 34 When the VZV DNA copy and clinical signs were assessed for association with the 35 36 disease course after herpes zoster ophthalmicus, the disease duration was significantly prolonged in VZV keratitis cases with higher numbers of VZV DNA copies, 37 iritis, and history of recurrences. The amount of VZV DNA led to a continuous risk to 38 prolong disease duration until the ocular inflammation subsides (hazard ratio (HR) 39

- 40 0.17, 95%CI: 0.07 0.42, for median copies).
- 41 **Conclusions**: Higher VZV DNA copy numbers are associated with the refractoriness
- 42 of VZV keratitis, and its evaluation may be a clinically useful way to diagnose and
- 43 manage VZV keratitis.

Keywords:

- 48 varicella-zoster virus keratitis, real-time PCR, herpes zoster
- 49 ophthalmicus

52 Introduction

53	Herpes zoster contributes significantly to morbidity in elderly individuals and is mainly
54	caused by a reactivation of the varicella-zoster virus (VZV). Almost one-third of
55	individuals are estimated to be affected by herpes zoster, [1, 2] and up to 20% of
56	herpes zoster infections are expressed as herpes zoster ophthalmicus (HZO).[2, 3]
57	Patients with HZO have significantly higher risks of strokes and post-herpetic
58	neuralgia. [4-6] Importantly, an ocular complication is observed in 35.1% to 65% of
59	HZO patients.[7, 8]
60	
61	However, the etiology of the ocular complication has not been determined. For
62	example, the VZV DNA in the ocular lesions of VZV keratitis is occasionally not
63	detected by conventional PCR. [9] For VZV keratitis cases positive for VZV DNA after
64	HZO, VZV DNA was believed to disappear soon after the onset. Thus, it is still unclear
65	whether active keratitis lesions are caused by VZV replication or an inflammatory
66	response of the host.
67	
68	The purpose of this study was to determine the relationship between the presence of

69 VZV DNA in the eye and the diagnosis of HZO. To accomplish this, we quantified the

70	VZV DNA by quantitative real-time PCR (qPCR) and assessed the association of the
71	copy numbers with the clinical signs with and without previous HZO. We then
72	analyzed course of ocular complications after HZO. We shall show that VZV DNA was
73	a significant risk factor for prolonged ocular complications after HZO.
74	
75	
76	Materials and Methods
77	Patients eligibility and diagnostic criteria of VZV keratitis
78	The medical records of 545 consecutive cases with clinically diagnosed keratitis were
79	reviewed, and all were examined at the Tottori University Medical Hospital between
80	November 2005 and September 2016. All of these cases had undergone qPCR for
81	VZV. Of these 545 cases, 283 patients were men, and the mean age was 56.1 \pm 23.1
82	years.
83	
84	The diagnosis of HZO was based on the presence of primary skin rashes with
85	erythema within the ophthalmic dermatome as described in detail.[9] Acute VZV
86	keratitis was diagnosed when keratitis was present with preceding skin rashes <90
87	days from the onset of the skin lesions.[2] In cases without preceding skin rash with
88	positive VZV by conventional PCR, a diagnosis of acute VZV keratitis was made by

89	the responsiveness to oral valaciclovir/acyclovir or topical acyclovir ointment, or the
90	combination of these anti-herpetic drugs and steroid treatments. Chronic VZV
91	keratitis was defined to be present when the activity required anti-viral drugs or
92	steroids for ≥90 days from the onset of skin lesions.[2] Recurrent disease was defined
93	to be present, when keratitis recurred ≥90 days after the resolution of the signs
94	without the use of anti-viral drugs or steroids. DNA samples were collected at each
95	outpatient visit until the clinical symptoms were resolved for the VZV keratitis cases.
96	
97	A diagnosis of herpetic keratitis was made when herpes simplex virus (HSV) was
98	detected by PCR. A diagnosis of other non-VZV keratitis, including bacterial keratitis,
99	fungal keratitis, acanthamoeba keratitis, adenoviral keratitis, or autoimmune keratitis,
100	was made by conventional culturing, smearing, and PCR as described.[10-13]
101	
102	This study was approved by the Institutional Review Board of Tottori University,
103	Faculty of Medicine, Tottori, Japan. An informed consent was obtained prior to the
104	procedures from all of the participants after an explanation of the procedures to be
105	used.
106	

107 Quantitative real-time PCR

108	Samples were collected from the ocular surface and cornea by rinsing them with 400
109	μI of saline without touching the eyelids and skin lesions. DNA was extracted from the
110	samples with the QIAamp DNA mini kit (Qiagen, Hilden, Germany) and were
111	amplified with the LightCycler (Roche, Basel, Switzerland) using QuantiTect Probe
112	PCR kit (Qiagen) and primers (Supplementary Table 1).[14, 15] To determine the
113	copy numbers of the DNA of VZV, a standard curve was generated with serial
114	dilutions of synthesized DNA fragments of the VZV polymerase gene.[14] The limit of
115	detection at a 95% detection probability was 49 copies/assay. VZV copy number was
116	adjusted by measurement of human GAPDH copy. [15]
117	
118	Statistical analyses
119	Data are presented as the means \pm standard deviations (SDs). For bilateral cases,
120	the more severely affected eye was used for the statistical analyses. Cox proportional
121	hazard model with shared frailty was used to calculate the hazard ratio (HR) during
122	the course of the disease. Statistical analyses were conducted using Stata 14. A P
123	<0.05 was considered significant.
124	
125	Results

126 Diagnostic efficacy of qPCR for VZV keratitis

127	Patients with corneal ulcer or inflammatory keratitis which was suspected to be
128	caused by VZV infection or required the exclusion of VZV for diagnosis were studied.
129	Of the 545 eyes, 38 eyes (6.9%) were diagnosed with VZV keratitis. Thirty-seven
130	eyes had HZO keratitis and 1 eye had varicella keratitis. The mean age of the HZO
131	keratitis patients was 63.2 ± 20.0 years (Table 1).
132	
133	qPCR for VZV was positive for 32 eyes (5.9%) of all the cases, and 30 eyes of PCR
134	positive cases had HZO keratitis and 1 eye had varicella keratitis. All the VZV keratitis
135	cases had prior periocular skin rashes, and 35 eyes (92.1%) were within 3 months of
136	the initial visit. The percentage of eyes with ocular shedding of VZV DNA by non-VZV
137	infection was very low in the diseased corneas (0.2%).
137 138	infection was very low in the diseased corneas (0.2%).
	infection was very low in the diseased corneas (0.2%). For the eyes diagnosed with VZV keratitis, the mean copy number was $10^{4.21} \pm 10^{2.61}$,
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138 139 140	For the eyes diagnosed with VZV keratitis, the mean copy number was $10^{4.21} \pm 10^{2.61}$, and the median copy number was $10^{4.19}$. VZV DNA was significantly associated with
138 139 140 141	For the eyes diagnosed with VZV keratitis, the mean copy number was $10^{4.21} \pm 10^{2.61}$, and the median copy number was $10^{4.19}$. VZV DNA was significantly associated with VZV keratitis (Odds ratio (OR): 3390 for $10^{4.19}$ (median) copies, 6.9/log copy, after
138 139 140 141 142	For the eyes diagnosed with VZV keratitis, the mean copy number was $10^{4.21} \pm 10^{2.61}$, and the median copy number was $10^{4.19}$. VZV DNA was significantly associated with VZV keratitis (Odds ratio (OR): 3390 for $10^{4.19}$ (median) copies, 6.9/log copy, after age and GAPDH adjustments, $P = 0.000$; Table 2). Periocular skin rashes
 138 139 140 141 142 143 	For the eyes diagnosed with VZV keratitis, the mean copy number was $10^{4.21} \pm 10^{2.61}$, and the median copy number was $10^{4.19}$. VZV DNA was significantly associated with VZV keratitis (Odds ratio (OR): 3390 for $10^{4.19}$ (median) copies, 6.9/log copy, after age and GAPDH adjustments, $P = 0.000$; Table 2). Periocular skin rashes (irrespective of dermatomal distribution and within the prior 3 months) also

147	Next, we determined how these clinical signs and VZV DNA copy numbers are
148	related to the diagnosis of VZV keratitis (Table 2). VZV DNA qPCR had a sensitivity of
149	81.6% and specificity of 99.8%. The likelihood ratio, which denotes the efficiency of
150	the test, was the highest at 408 (Table 2). In contrast to the clinical signs, qPCR for
151	VZV had higher positive predictive value and likelihood ratio, indicating that qPCR is
152	accurate for both the negative and positive results for the diagnosis of VZV keratitis.
153	
154	Of the 545 eyes, periocular skin rashes were observed in 49 eyes (9.0%), and 15 of
155	these eyes (30.6%) were not related to VZV infection. The sensitivity and specificity of
156	the skin rashes was 92.1% and 97.2%, and its likelihood ratio was lower at 32.9.
157	
158	Other clinical signs, including ocular hypertension, iritis, corneal dendritic lesion, and
159	scleritis, were also significantly associated with VZV keratitis, however, lower in
160	positive predictive value and likelihood ratio.
161	
162	Next, we assessed the diagnostic accuracy of these signs in comparison to that of
163	qPCR using receiver operating characteristic analysis (ROC; Figure 1). The area
164	under the curve (AUC) for periocular skin rashes calculated as reference was 0.95
165	(95%CI: 0.90 – 0.99). The AUC for VZV qPCR was 0.92 (95%CI: 0.86 – 0.98) after

166	GAPDH adjustments and was not different for the skin rashes. The AUC of dendritic
167	lesions, ocular hypertension, and iritis was 0.66 (95%CI: 0.58 – 0.74), 0.62 (95%CI:
168	0.55 -0.70), and 0.60 (95%CI: 0.53 – 0.67) respectively, and their diagnostic accuracy
169	was significantly lower than that of qPCR ($P = 0.000$).
170	
171	Association of copy numbers of DNA of VZV to clinical signs and disease
172	course
173	We determined whether the copy numbers of the DNA of VZV was significantly
174	associated with the clinical signs or outcomes using logistic regression analysis
175	(Table 3). As expected, the copy number of DNA at the first visit was significantly
176	associated with the presence of periocular skin rashes (OR: 100.6 for median copies,
177	95% CI: 22.9-441.9, $P = 0.000$, age and GAPDH adjusted). Notably, the copy number
178	of DNA was significantly associated with iritis with OR of 6.0 indicating that high VZV
179	copy number is especially associated with intraocular inflammation. This was
180	followed by ocular hypertension (OR: 3.7) and dendritic lesion (OR: 3.5).
181	
182	
183	Therefore, we determined whether the amount of the DNA of VZV at the initial
184	diagnosis of keratitis can predict the refractoriness and prognosis of HZO keratitis. A

185	higher copy number of VZV DNA at the first visit was significantly correlated with the
186	duration of the disease ($\rho = 0.53$, $P = 0.0007$, Spearman correlation analysis). In
187	refractory cases with iritis as the intraocular inflammation, the VZV genome was
188	detected until all clinical signs of the keratitis were not detected (Figure 2).
189	
190	When the history of recurrences was evaluated in HZO keratitis patients, 8 eyes
191	(21.6%) had a history of recurrences. Of these 8 eyes, 2 eyes (25%) were from
192	immune compromised patients. Immune compromised patients had significantly
193	higher number of recurrences by a 50.6% increase of chance ($P = 0.019$ after VZV
194	DNA copy adjustment).
195	
196	The mean duration of the HZO keratitis was 119 days (95%CI: 82 – 155). Kaplan
197	Meier survival analyses were performed to determine whether high copy numbers
198	(more than the median) at the first visit, the clinical characteristics and previous
199	recurrences were associated with the disease duration. The results showed that high
200	VZV copy numbers (\geq median; <i>P</i> = 0.008, log-rank test), iritis (<i>P</i> = 0.01), and history of
201	recurrences were significantly associated with the duration of the disease ($P = 0.006$,
202	Figure 3). Importantly, iritis with high VZV copy number had the most significant effect
203	on the disease duration.

205	During the course of the disease process, we monitored the copy numbers of the
206	DNA of VZV until HZO keratitis resolved. DNA of VZV was continuously detected at
207	the outpatient visits with declining tendency, and become detectable when signs
208	become absent. Then, we calculated the hazard ratio of VZV copy numbers during
209	the course (including the first visit) on the disease duration. VZV copy numbers
210	indicated highly significant HR, and was 0.17 for median of VZV copies (95% CI:
211	0.07-0.42, $P = 0.000$). Thus, detection of VZV copy number predicted a prolonged
212	disease course, and the disease prolonging effect declines with its decrease and
213	become negligible when it is not detected. HR indicated that the keratitis was six
214	times more likely not to be resolved on a given date when the VZV copy numbers
215	exceeded median. Presence of iritis were also significant risk factor associated with
216	longer disease duration with comparable HR (HR, 0.14, 95% CI: 0.04- 0.49, $P =$
217	0.002). When the history of recurrences was assessed, the hazard ratio was
218	calculated to be 0.15 (95%CI: 0.03 – 0.69, $P = 0.01$), indicating that recurrences were
219	also associated significantly with a prolongation of the disease duration.
220	
221	

223 **Discussion**

Our results showed that qPCR is highly efficacious for diagnosing ocular VZV 224225 infection. Using gPCR, we here show two important findings. First, in case of high viral loads at ocular surface after HZO, the prolongation of keratitis was significantly 226 associated with continuous viral production. Second, VZV keratitis was strictly 227 associated with previous HZO, although VZV iritis without keratitis can often occur 228 without noticeable history of HZO. These information is important for the 229 management of refractory ocular complications after HZO because ocular 230 231 inflammation was previously thought to be an anti-viral immune response without viral 232 production and often treated without antiviral medications. 233 Zaal et al examined the inflammation of VZV keratitis after HZO by conventional 234 PCR.[9] Because the inflammation often persisted after the VZV genome became 235 undetectable, they suggested that inflammation was an important component of the 236 pathology of VZV keratitis. 237 238 Considering the inflammatory aspect of ocular complications after HZO, a 239 240 combination of antiviral drugs and steroids is generally used, however consensus has not been reached on how antiviral agents should be used. [16] The general belief was 241

242	that the period of VZV replication in the lesion is not prolonged beyond the acute
243	phase of skin lesion stage. Currently, one-third of corneal specialists use antiviral
244	drugs for 2 weeks and 18% do not use antivirals for HZO.[16]
245	
246	In chronic and refractory cases, high amounts of VZV DNA were detected for a long
247	time. If antivirals are discontinued after presumed clinical remission with significant
248	viral replication, a recurrence in the form of delayed dendritic ulcer or iritis develops
249	as ocular complications can be expected. Thus, VZV qPCR will be beneficial for
250	clinicians to optimize drug dosage and duration during the disease course when the
251	signs are resolving.
252	
253	The presence of iritis was recently shown to be significantly associated with a risk of
254	recurrences or chronicity in VZV keratitis after HZO.[2] We found that iritis with low
255	copy numbers of the DNA of VZV was not a significant risk factor for refractoriness
256	(Figure 2 a). Instead, a combination of high amounts of VZV DNA copy and iritis were
257	the most significant factors in the refractory cases. In the refractory or chronic cases,
258	high VZV DNA copy numbers were maintained until the inflammation subsided. This
259	suggests that prolonged viral replication and not the presence of iritis, determines the

260 disease course in refractory cases.

262	The results of two studies have suggested that the detection of VZV may be caused
263	by shedding and was independent of the refractoriness.[8, 17] In addition, VZV may
264	be often reactivated in the saliva of healthy individuals when under extreme
265	stress.[17] In bone marrow transplant patients, 19% have subclinical viremia without
266	signs of HZO.[3, 18] This suggested detection of viral genome was frequent, and may
267	not reflect diseases. In Japanese population, seropositivity reaches almost 100% in
268	elderly subjects. [19] However, we found that the rate of unrelated VZV shedding
269	from the eye was very low (0.2%) even in eyes stressed by non-VZV keratitis. This is
270	in marked contrast to ocular HSV infection, in which spontaneous HSV shedding in
271	tear is observed in one third of healthy subjects. [20]
271 272	tear is observed in one third of healthy subjects. [20]
	tear is observed in one third of healthy subjects. [20] Recurrences of ocular complication after HZO are frequent. The percentage of eyes
272	
272 273	Recurrences of ocular complication after HZO are frequent. The percentage of eyes
272 273 274	Recurrences of ocular complication after HZO are frequent. The percentage of eyes with recurrences range between 5 to 25% depending on the duration of the
272 273 274 275	Recurrences of ocular complication after HZO are frequent. The percentage of eyes with recurrences range between 5 to 25% depending on the duration of the observation period.[2, 21, 22] Consistent with previous reports, the recurrence
 272 273 274 275 276 	Recurrences of ocular complication after HZO are frequent. The percentage of eyes with recurrences range between 5 to 25% depending on the duration of the observation period.[2, 21, 22] Consistent with previous reports, the recurrence percentage in our study was 21.6%. Tran et al reported that a recurrence of keratitis

episode was the only significant risk factor for recurrences. We suggest that the
clinical signs are secondary to the long disease course which would directly explain
the recurrences.

283
284 There are several limitations in our study. Our data were obtained at a tertiary referral

institution, and selection or referral bias may have affected our outcomes. However,

our data are based on 12 consecutive years of observation and should provide

287 epidemiological evidence for Asians. In addition, the outcomes from a large series of

VZV qPCR data from the eye have not been available.

289

In conclusion, VZV qPCR revealed an unexpectedly longer viral replication period
and provided an effective measure to assess the viral load accurately during the
course of the disease process. We propose that the management strategy of ocular
complication after HZO would be significantly improved in the future with the use of
VZV qPCR.

295

296

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Total 545 eyes	HZO keratitis	Varicella keratitis	Non-VZV-associated keratitis
	N=37	N=1	N=507
Age	63.2 ± 20.0	2	55.7 ± 23.1
Male	24 eyes	0 eyes	259 eyes
	(64.9%)		(51.1%)
Asthma	3 eyes	0 eyes	11 eyes
	(8.1%)		(2.2%)
Eczema	1 eyes	1 eyes	31 eyes
	(2.7%)		(6.1%)

 Table 1
 Demographic information of patients and VZV keratitis

Total 545 eyes	ratio of	Odds ratio	positive	negative	Sensitivity	Specificity	likelihood
	positive	after age	predictive	predictive			ratio
	eyes	adjustment	value	value			
		3390*					
		(135-85383)					
VZV DNA	32*	:median copy	96.9%	98.6%	81.6%	99.8%	408
сору	(5.9%)	6.9*	(80.3-99.6%)	(97.2-99.3%)	(65.8-91.1%)	(98.6-100%)	(47-3037)
		(3.2-15.0)					
		:log copy					
Periocular	49 *	701*	71.4%	99.4%	92.1%	97.2%	32.9
skin rashes	(9.0%)	(162 - 3057)	(57.2-82.4%)	(98.1-99.8%)	(77.9-97.5%)	(95.3-98.3%)	(16.6-57.4
Ocular	47*	5.8*	25.5%	94.8%	31.6%	93.1%	4.6
hypertension	(8.6%)	(2.7 – 12.6)	(15.0-40.0%)	(92.4-96.4%)	(18.7-48.0%)	(90.5-95.0%)	(2.0-9.6)
Iritis with							
mutton fat	39*	5.4*	25.6%	94.5%	26.3	94.3%	4.6
keratic	(7.2%)	(2.4 - 12.5)	(14.3-41.7%)	(92.1-96.2%)	(14.6-42.6%)	(91.9-96.0%)	(1.8-10.7)
precipitates							
Corneal	85*	5.0*	20.0%	95.4%	44.7%	86.6%	3.3
dendritic	(15.6%)	(2.5 - 10.1)	(12.8-30.0%)	(93.1-97.0%)	(29.7-60.8%)	(83.3%-89.3%)	(1.8-5.7)
lesion							
Scleritis	28**	3.9 ***	21.4%	93.8%	15.8%	95.7%	3.7
	(5.1%)	(1.5 – 10.4)	(9.8-40.7%)	(91.4-95.6%)	(7.2-31.3%)	(93.5-97.1%)	(1.1-10.8)

Table 2 Association of VZV DNA copy numbers and clinical signs of VZV keratitis and evaluation of their diagnostic accuracy

*: statistically significant, *: P=0.000, **: P=0.009, ***: P=0.006, 95% confidence interval (95%CI)

	Odds ratio	95% confidence interval	P value
	(Median copy)		
Periocular skin rashes	100.6	22.9 – 441.9	0.000
Iritis (with mutton fat keratic precipitates)	6.0	2.4 – 15.2	0.000
Ocular hypertension	3.7	1.6 - 8.9	0.003
Corneal dendritic lesion	3.5	1.6 – 7.9	0.002

Table 3 Association of VZV copy numbers to clinical signs and characteristics

Logistic regression analysis after age and GAPDH adjustment

377	Figure captions
378	Figure 1. Diagnostic accuracy of qPCR for VZV and clinical signs.
379	The sensitivity and specificity of VZV qPCR and clinical signs to diagnose VZV
380	keratitis are plotted to determine the area under the curve (AUC) as diagnostic
381	accuracy by receiver operating characteristic analysis. The VZV DNA copy number
382	has a very high accuracy comparable to that for skin rashes calculated as reference
383	and is significantly better than the other signs.
384	
385	Figure 2. Case of VZV keratitis with duration of VZV disease. A 53-year-old man
386	presented with herpes zoster ophthalmicus (HZO) with periocular skin rashes. One
387	month later, he developed VZV keratitis with corneal edema and iritis, and the copy
388	number of the DNA of VZV was 4.7 $\times 10^5$. The elevated copy number was present for
389	4 months.
390	a VZV keratitis with stromal infiltration (arrow) and iritis with VZV copy of 4.5 $\times 10^5$ at 2
391	months after the onset of HZO.
392	b Prolonged elevation of VZV DNA copy number during the course of the disease.
393	

Figure 3. Association of duration of VZV keratitis to VZV DNA copy number and

- 395 clinical characteristics.
- ³⁹⁶ Disease duration was analyzed using Kaplan Meier survival analysis and plotted on
- non-healing rate. **a** High VZV DNA copy number (\geq median (10^{4.19} copies); *P* = 0.008,
- log-rank test), the presence of iritis (P = 0.01), and **b** history of recurrences (P =
- 399 0.006) were significantly associated with the disease duration.









