

Connection between sea surface anomalies and atmospheric quasistationary waves

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ABSTRACT

Large scale, quasi-stationary atmospheric waves (QSWs) are known to be 14 strongly connected with extreme events and general weather conditions. Yet, 15 despite their importance, there is still a lack of understanding about what 16 drives variability in QSW. This study is a step towards this goal, and identi-17 fies three statistically significant connections between QSWs and sea surface 18 anomalies (temperature and ice cover) by applying a maximum covariance 19 analysis technique to reanalysis data (1979-2015). The two most dominant 20 connections are linked to the El Niño Southern Oscillation and the North At-2 lantic Oscillation. They confirm the expected relationship between QSWs and 22 anomalous surface conditions in the tropical Pacific and the North Atlantic, 23 but they cannot be used to infer a driving mechanism or predictability from 24 the sea surface temperature or the sea ice cover to the QSW. The third con-25 nection, in contrast, occurs between late winter to early spring Atlantic sea 26 ice concentrations and anomalous QSW patterns in the following late sum-27 mer to early autumn. This new finding offers a pathway for possible long 28 term predictability of late summer QSW occurrence. 29

30 1. Introduction

Weather in mid-latitudes is typically associated with synoptic scale transient cyclones and anticyclones, but occasionally more persistent weather regimes on scales of several days to about two weeks can be observed (Horel 1985). These persistent weather regimes are often associated with blocking highs at the jet exit regions (Masato et al. 2014) as part of a longitudinally extended "quasi-stationary" wave (QSW, e.g. Nakamura et al. 1997; Wolf et al. 2018b).

QSWs are important because of their strong influence on weather and their link to extreme 36 events. Periods with increased QSW activity tend to be associated with more extremes, whereas 37 the absence of QSWs is linked to "near-average" weather (Screen and Simmonds 2014; Wolf 38 et al. 2018b). This connection between extreme events and mid-latitude wave patterns has been 39 suggested in several case studies (e.g. Petoukhov et al. 2016; Fragkoulidis et al. 2018) although 40 it is difficult to infer a general relationship from case studies alone (Screen and Simmonds 2013; 41 Petoukhov et al. 2013). Wolf et al. (2018b) showed the most dominant Northern Hemisphere QSW 42 patterns and the QSW patterns most relevant for European temperature extremes and anomalies 43 events and temperature anomalies, with strong correlations also to seasonal averages. 44

⁴⁵ Despite the importance of QSWs, there is still a lack of understanding about possible large ⁴⁶ scale drivers of the QSW variability. Most promising is the strong suggestion from literature that ⁴⁷ large-scale low-frequency variability patterns, like El Niño Southern Oscillation (ENSO) or North ⁴⁸ Atlantic Oscillation (NAO), can be linked to QSW patterns. Further, sea surface temperature (SST) ⁴⁹ and sea ice concentration (SIC) anomalies seem to be linked to jet variability and therefore also to ⁵⁰ QSW patterns.

⁵¹ ENSO may control the spatial and temporal variability of QSW activity of a full season, leading ⁵² to extreme events in North America (Trenberth and Guillemot 1996; Pan et al. 1999). It is well ⁵³ known that a tropical heating source can lead to stationary anomalies in the general circulation
⁵⁴ (Gill 1980), but its effects on non-stationary waves in mid-latitudes and teleconnections to extreme
⁵⁵ events are less clear. Souders et al. (2014) have shown the anomalous wave pattern occurrence for
⁵⁶ transient waves during La Niña and El Niño. Furthermore, the impact of ENSO on the Atlantic is
⁵⁷ weaker and modulated by the Atlantic multidecadal oscillation, such that during its negative phase
⁵⁸ the ENSO teleconnection is more apparent (Rodríguez-Fonseca et al. 2016).

In Europe, the NAO has a strong influence on temperature anomalies (Pozo-Vázquez et al. 2001) and even strong droughts can be associated with the NAO phase (López-Moreno and Vicente-Serrano 2008). To some extent, the NAO can be related to processes outside the Atlantic region, connected by the presence of a wave. Jiang et al. (2017) showed that the Madden-Julian Oscillation influences the behavior and persistence of NAO positive and negative phases. Feldstein (2003) investigated the time evolution of the NAO associated with transients and QSWs, showing a connection between the positive NAO and a preceding Pacific wavetrain.

The connection between sea ice anomalies and circulation changes are of particular importance, 66 because the persistence of sea ice anomalies makes them a possible source of seasonal to inter-67 annual predictability. There is progress in understanding the connection between a changing cli-68 mate and the tropospheric and stratospheric circulation response (e.g. review of Screen et al. 69 2018), but the impact of sea ice on mid-latitude waves in a changing climate is still uncertain and 70 widely discussed. Some studies conclude that stronger sea ice loss leads to decreased baroclinicity 71 which can lead to more persistent wave patterns (e.g. Overland et al. 2016), whereas other studies 72 link reduced sea ice with fewer planetary waves due to a weakening of the baroclinic-eddy wave 73 source (e.g. Smith et al. 2017). These discrepancies highlight the necessity to further investigate 74 and understand the atmospheric wave response to variability in sea surface temperatures and sea 75 ice. It is difficult to isolate the atmospheric response to changes in sea ice due to the many other in-76

fluences on the atmospheric circulation, as well as a low signal-to-noise-ratio (Screen et al. 2014). 77 Regarding this aspect, Luo et al. (2019) highlighted the importance of the weakened north-south 78 gradient of background potential vorticity (PV) over Eurasia for Ural blocking and cold winters in 79 East Asia. The weakened PV gradient was linked therein to a warming climate and reduced sea 80 ice. The cold events, however, can also occur during a weakened PV gradient even without nega-81 tive sea ice anomalies as a result of mid-latitude cold anomalies, but still only if there is blocking. 82 Such dependencies could be responsible for some of the above-mentioned discrepancies and the 83 difficulties to come to a clear conclusion. 84

Several studies link specific local changes in sea ice to impacts on the atmospheric circulation. 85 Wu et al. (2013) showed that above average winter sea ice concentrations west of Greenland can 86 lead to Atlantic SST anomalies persisting into spring, which feed back on the atmospheric sum-87 mer circulation in northern Eurasia. Hall et al. (2017) showed that the Atlantic May SST tripole, 88 showing increased correlations with SST anomalies of the preceding months, can be associated 89 with the Atlantic jet speed in summer, while sea ice anomalies could also be related to a latitudinal 90 shift in the jet location. Petrie et al. (2015) found the Labrador sea ice concentration to be rele-91 vant for the jet strength over North America, which affects north-western Europe via downstream 92 developing wave packets. Cause and effect between QSWs and sea ice anomalies is not always 93 obvious and should be considered with caution (Simmonds and Govekar 2014). For example, Sato 94 et al. (2014) linked anomalous sea ice retreats in the Barents-Kara sea to a shift in the Gulf Stream 95 front, leading to an atmospheric wave response with a teleconnection to the Arctic. These studies 96 further motivate investigating the connection between sea ice anomalies and QSW patterns. 97

The remainder of this paper is organized as follows. Section 2 presents the data and methods used to calculate QSWs and to relate them to surface ocean anomalies (sea surface temperature and sea ice concentrations). Results obtained by the application of the statistical method described ¹⁰¹ in section 2 are presented in section 3. Section 4 analyses the connection between late winter/early ¹⁰² spring sea surface anomalies and the associated QSW patterns in late summer/early autumn and ¹⁰³ its possible physical connections. The key conclusions of this paper are summarized in section 5.

104 2. Data and methods

¹⁰⁵ ERA-Interim reanalysis (Dee et al. 2011) is used for all meteorological quantities on a longitude-¹⁰⁶ latitude grid with $0.75^{\circ} \times 0.75^{\circ}$ resolution. The data are linearly detrended at each gridpoint over ¹⁰⁷ 1979 to 2015 for each season individually. This procedure allows us to focus on the intra-annual ¹⁰⁸ connections between variables, without the effect of long term trends.

To identify the envelope field of the quasi-stationary waves (QSW) at 300 hPa we use the method of Wolf et al. (2018b). The envelope field of the QSW is a phase independent, non-negative measure of the waviness of the anomalous meridional wind, v', in the zonal direction. We refer to this envelope field as the amplitude of the QSW. The anomalous meridional wind is calculated as $v' = \tilde{v} - \bar{v}$, where \tilde{v} is the 15-day lowpass filtered meridional wind - to remove faster transients and \bar{v} is the daily climatology, to which we also applied a 15 day lowpass filter.

From this anomalous wind field, the phase-independent amplitude of the wave is calculated using the method of Zimin et al. (2003). For this method a wavenumber range must be chosen, which is assumed to represent the spatial scale of the waves of interest. In this study a wavenumber range of about 4 to 8 in mid-latitudes is chosen, but instead of using a fixed wavenumber range, a latitude-dependent wavenumber range is used, with a cosine decay towards higher latitudes, following the maxima of the power spectra of the anomalous meridional wind v' (Wolf et al. 2018b, details therein)¹. The cosine weighting essentially leads to a latitude-independence of the

¹The data for the 12 hourly envelope fields of the quasi-stationary waves between 1 June 1979 and 31 August 2015, are available at the Centre for Environmental Data Analysis (Wolf et al. 2018a).

range of wavelengths, rather than of the wavenumbers. An advantage of the applied QSW method, compared to other commonly used methods (such as Screen and Simmonds 2014; Kornhuber et al. 2017), is that it is a positive and phase independent measure of the wave packet in longitudelatitude fields for one time-step. This allows to represent the spatial pattern of the investigated wave packets and the application of time averages without having to deal with the problems of phase cancellation (as it would be the case for time averages of anomalies of geopotential height or meridional wind).

To identify statistical connections between QSWs and SST and SIC we apply a maximum co-129 variance (MC) analysis between those variables, as described in Czaja and Frankignoul (2002). 130 The MC is calculated between monthly averaged anomaly fields. The anomalies are calculated as 131 the deviation from the climatological mean of the specific month. The regions used for the MC 132 analysis of the two variables are not necessarily the same and will be defined later. This method 133 identifies the modes that maximize the covariance between two possibly different variables, sim-134 ilar to empirical orthogonal functions, which identify the modes that maximize the variance of 135 one variable in the underlying data. For investigating the covariance between different seasons, 136 monthly anomalies within each season are used. The term "season" refers to a period of any three 137 consecutive months. Introducing further a time lag for one of the variables identifies potentially 138 causal relationships. To give similar weight to each season, the anomalies are further normalized 139 by the standard deviation of the specific variables in the specific season. To identify the relevance 140 of specific modes, a Monte Carlo approach is applied to determine if the modes are statistically 141 significant. The method is therefore a purely statistical approach to connect variables in the under-142 lying data; it does not include any information about the nature of possible physical connections. 143 For the MC analysis of two variables in different seasons, the Monte Carlo approach repeats the 144 MC calculation 1000 times (if not stated otherwise) by holding the first variable fixed, but ran-145

domly permutating the years for the second variable. The permutation is, however, only applied to each season as a whole. This means that consecutive months within one season in the MC analysis are preserved in the Monte Carlo approach; only the years are shuffled. It is important to realize that the results of the MC analysis cannot by themselves be used as proof of causality, even when strong lead/lag relationships are found between variables. Instead, MCA analysis is used here to identify potential causal patterns in order to stimulate the further investigations required to identify physical causal processes.

To represent sea surface anomalies, we combine the fields of SST and SIC into one matrix, be-153 fore applying the MC analysis. To do so, both fields are normalized by their seasonal standard 154 deviation, using all gridpoints at which anomalies could be observed in the dataset for the asso-155 ciated season. For SIC, this includes all gridpoints inside the maximum areal extent of SIC in 156 the dataset. The combined matrix is created by concatenating both normalized matrices along the 157 latitude dimension. The MC analysis then proceeds as usual by assuming that the combined field 158 represents one variable. In the following, we will refer to the combined field as SSTSIC. The MC 159 patterns using either SST or SIC individually are qualitatively very similar. In case of a difference 160 to the combined SSTSIC, this will be highlighted in the text. Note that the technique is linear so 161 that the signs of patterns shown in the figures below can be reversed (the relative signs between 162 QSW and SSTSIC remaining unchanged). 163

The values for the global pattern indices used in this study, namely the North Atlantic Oscillation (NAO) and the El Niño Southern Oscillation in the Niño 3.4 region (Niño 3.4), are retrieved from the CPC database of the National Oceanic and Atmospheric Administration (http://www.cpc.ncep.noaa.gov).

3. Connection between ocean anomalies and QSWs

In this section we identify connections between anomalous QSW amplitudes and anomalies in 169 SSTSIC using monthly averages. We do this by applying the MC analysis between those two 170 variables, as described in section 2, for various regions and with lags between -6 and +9 months 171 (QSW leads surface variables at negative lags). Results are shown in Fig. 1a (extended Northern 172 Hemisphere SSTSIC anomalies) and Fig. 2a (Atlantic SSTSIC anomalies). These figures display 173 in colour the squared covariance of the leading MC mode between QSW and SSTSIC as a function 174 of season and time lag, following Czaja and Frankignoul (2002, their Fig. 1). For example in 175 Fig. 1a, large squared covariances are found when SSTSIC is taken in NDJ (x-axis) and QSW two 176 months later (JFM, white rectangle highlighted). It is worth noting that the largest synchronous 177 values occur during the colder seasons. Statistical significance is indicated by the green plusses 178 in these plots while the contours display the correlation coefficient between large scale modes of 179 climate variability and the QSW leading mode timeseries. Application of this procedure reveals 180 three statistically significant connections which are discussed in the following three subsections. 181

¹⁸² a. Connection between QSWs and El Niño Southern Oscillation

High covariances for the first MC mode between extended Northern Hemisphere SSTSIC (20°S 183 to 85° N) and extratropical Northern Hemisphere QSWs (30° N to 85° N) in Fig. 1a identify strong 184 lead/lag connections between those variables for all seasons. The connection for all seasons can 185 be understood by a persistent SSTSIC anomaly from the warmer seasons into the colder seasons 186 (strong covariances along the diagonal line from top left to bottom right in Fig. 1a) with strong 187 QSW anomalies manifestating only during the colder seasons. Due to the persistence of these 188 increased covariances, the covariances during summer with large positive lags are also potentially 189 physically meaningful, although not statistically significant. Since the statistically significant co-190

variances (green dots and plusses) occur in an area of the plot which does show high correlations
between the time series of the principal component of QSW and the Niño 3.4 index (black contours in Fig. 1a), we can associate this connection to El Niño Southern Oscillation (ENSO). Since
this connection represents the clear first mode in the MC analysis, ENSO can be identified, on a
hemispheric scale, as the dominant oceanic anomaly associated with QSW variability.

The diagonal tilting of the statistically significant covariances in Fig. 1a along a straight line indicates that this connection exists for QSW patterns mainly from DJF to FMA. Due to the connection to ENSO with the strongest anomalies in the tropical Pacific, it is not surprising that this specific connection is dominated by the SST contribution and cannot be reproduced by using SIC only (not shown).

The associated latitude-longitude pattern of the MC mode between SSTSIC in NDJ and QSW 201 amplitudes in JFM (lag of +2 months, white box in Fig. 1a) shows increased QSW amplitudes 202 over the Pacific, North America and the subtropical Atlantic and decreased QSW amplitudes over 203 Europe and the high-latitude North Atlantic during La Niña (Fig. 1b, continuous and dashed con-204 tours, respectively - the La Niña state is clearly seen in the SST anomaly pattern shown in colour 205 in Fig. 1b). Due to the linearity of the MC analysis, the exact opposite is true for El Niño (flipped 206 signs for both SSTSIC and QSW). The patterns for the statistically significant covariances at pos-207 itive lags are very similar, whereas for negative lags this is less clear (not shown here). Due to 208 the long persistence of SST anomalies during ENSO phases of either sign and the statistical sig-209 nificance occurring at both positive and negative lags, it is impossible to deduce a direct forcing 210 of QSW variability by the SST pattern in Fig. 1. Modeling work is necessary to understand how 211 such strong covariances come about, perhaps through an atmospheric bridge (Lau and Nath 1994; 212 Alexander et al. 2002). The connection between the ENSO SST pattern and QSW therefore sug-213 gests predictive skill for the QSW insofar as the ENSO SST pattern in itself tends to be strongly 214

²¹⁵ persistent (thus a month with warm SSTs tends to be followed by another warm SST month, con²¹⁶ sistent with similar QSW patterns being observed in both). This should not, however, be taken
²¹⁷ to imply a direct causal connection between ENSO SSTs and remote QSW anomalies at some
²¹⁸ later time. A seasonal forecast model that skillfully predicted the persistence for ENSO might also
²¹⁹ skillfully predict the preferred QSW pattern, but such an investigation is outside the scope of this
²²⁰ paper.

b. Connection between QSWs and North Atlantic Oscillation

Using again the same region for the QSW amplitudes ($30^{\circ}N$ to $85^{\circ}N$), but reducing the region 222 for the SSTSIC to the North Atlantic north of 20°N (80°W to 40°E), the first MC mode shows 223 strong covariances associated with negative lags (Fig. 2a, i.e. QSW leads SSTSIC). These covari-224 ances are associated with the NAO (blue contour lines). The statistically significant covariances at 225 negative lags suggest that the NAO-related SSTSIC pattern is reflecting a forcing of the ocean by 226 the atmosphere, consistent with previous studies (e.g. Czaja and Frankignoul 2002; Visbeck et al. 227 2003). For the phase shown in Fig.2b, it consists of a tripolar SST anomaly, with colder conditions 228 along the separated Gulf Stream sandwiched between anomalously warm conditions to the north 229 and south (colours). The SIC pattern is, in response to a negative NAO phase, less sea ice in the 230 Labrador sea (green contours) and more sea ice in the Greenland-Barents Sea (magenta contours). 231 The associated wave pattern (Fig. 2b, based on the lags/month highlighted by white box at 232 negative lags in Fig. 2a) represents a reduction of wave amplitude over 30°N and an enhancement 233 poleward of 50°N. It was shown to be associated with cold temperatures at 850 hPa in Central 234 Europe (Wolf et al. 2018b), agreeing with previous results for temperature anomalies associated 235 with the negative phase of the NAO (Pozo-Vázquez et al. 2001). The shift between the strongest 236 covariances and highest correlation in Fig. 2a is the result of an evolving QSW pattern, from mid-237

latitudes towards high latitudes and a further shift from the Pacific towards the Atlantic (not shown 238 here). Only the pattern at the later stage of this evolving QSW signal (Fig. 2b) is strongly correlated 239 with the NAO, which is the reason for the reduced correlations occurring for the preceding seasons. 240 However, the associated SST pattern is consistent and shows for all negative lags the typical NAO-241 like Atlantic SST-tripole (as the one in Fig. 2b) and therefore are those QSW patterns also expected 242 to be associated with the NAO. As for the connection to ENSO, this connection is also associated 243 dominantly with QSW anomalies during winter and the adjacent months. In winter, ENSO and 244 NAO show strong correlations with the first three EOFs of Northern hemispheric QSW amplitudes 245 (Wolf et al. 2018b), which highlights again the importance of these two QSW patterns. 246

²⁴⁷ c. Connection between QSWs and North Atlantic high latitude surface ocean anomalies

Besides the dominant two connections with ENSO or the NAO, we identified a third significant connection through MC analysis between late winter to early spring SSTSIC and late summer to early autumn QSW amplitudes (second white box in Fig. 2a, i.e. SSTSIC in FMA leads QSW by about 5 months).

The associated latitude-longitude QSW pattern in JAS shows increased mid-latitude and de-252 creased high latitude QSW amplitudes (Fig. 2c), covarying with the SST tripole and SIC anomalies 253 described above. That is, we find a very similar SSTSIC pattern but associated at lag +5 months 254 with a generally opposing QSW pattern than found at lag -1 month (i.e., the signs of the anomaly 255 in the high and mid-latitude regions are reversed). Note that the lags of +4 and +6 months show 256 a consistent QSW pattern (not shown). In addition, the same statistically significant pattern can 257 be reproduced using only SST or only SIC for the MC analysis, instead of the combined SSTSIC 258 field (not shown here). 259

The pattern of increased mid-latitude QSW amplitudes in summer (Fig. 2c) is linked to strong lower troposphere temperature anomalies of either sign (but mainly warm anomalies) over Central Europe (Wolf et al. 2018b). QSW composites associated with extreme warm anomalies in the same region showed a very similar wave pattern. Further, cold anomalies in Central Europe were associated with preceding increased high latitude QSW activity. This suggests that the QSW patterns, related to European temperature anomalies in summer could be linked to Atlantic SSTSIC anomalies in late winter to early spring.

A further separation of the SSTSIC region into northern and southern parts $(20^{\circ}N \text{ to } 60^{\circ}N \text{ and }$ 267 60°N to 85°N) reveals that the MC analysis for the northern part leads to statistically significant 268 covariances, whereas MC analysis for the southern part does not (not shown here; see section 4 269 below for more sensitivity tests of the MC analysis). The associated longitude-latitude patterns 270 for the northern part are very similar to the ones using the full Atlantic region (20° N to 85° N). 271 This suggests the importance of high latitude sea surface anomalies for this connection, but the 272 associated longitude-latitude patterns for the southern part show similarities to the ones for the 273 northern part, at least for lags of +5 and +6 months, meaning that the southern part is not nec-274 essarily irrelevant for this teleconnection. The role of the SIC in this connection is investigated 275 further in section 4. 276

To check the robustness of this connection between FMA SSTSIC and subsequent JAS QSW amplitudes, we calculated composite FMA SSTSIC anomalies for the 8 JAS seasons with the strongest QSW anomalies in mid- (225°W to 45°E, 40°N to 60°N: 1987, 1985, 1998, 1981, 2003, 2007, 1986 and 1995) and high latitudes (North of 65°N: 1984, 1995, 1993, 1979, 2008, 1991, 1983 and 2004), where the years given in brackets are ordered by their intensity, starting with the highest intensity. The resulting SSTSIC patterns are very similar to the one given in Fig. 2c (not shown). The results are not sensitive to the number of seasons used for the composite. This ²⁸⁴ supports the hypothesis of a connection between SSTSIC in FMA and QSW amplitudes in the ²⁸⁵ following JAS. We now briefly investigate possible physical mechanisms for this connection.

4. Possible physical links for the inter-seasonal ocean and QSW connection

In the previous section we have already shown the importance of the high-latitude Atlantic for 287 the connection between late winter/early spring SSTSIC anomalies and late summer/early autumn 288 QSW amplitude anomalies. Using only SIC for the MC analysis leads to more statistically signif-289 icant signals of the same patterns for neighbouring seasons with similar lags (Fig. S1), additional 290 to the previously found statistically significant signal at a lag of +5 months for FMA by using 291 SST only or SSTSIC (Fig. 2a). From this we can hypothesize that SIC is the main contributor 292 to this connection. Such SIC anomalies, if persistent enough, could interact with the large scale 293 atmospheric circulation by modifying the baroclinicity, acting on similar sub-annual timescales as 294 in previous studies (e.g. Wu et al. 2013). We possibly see an atmospheric response in summer 295 and not spring, because of the importance of the jet location relative to the region of the modified 296 baroclinicity. The center (defined by the peak intensity) of the lower tropospheric jet at 850 hPa 297 in the Atlantic jet entry region may still be too far south in April to June (climatological value at 298 42°N, between 60°W and 30°W), whereas in July to September it shifts northward (climatological 299 value at 49° N). This means that the change in baroclinicity by the higher-latitude ocean anoma-300 lies close to the Labrador Sea in April to June do not align well with the jet position in the West 301 Atlantic, which therefore does not optimally contribute as a baroclinic energy source for further 302 wave amplification. This could change, once the climatological jet location moves towards higher 303 latitudes in the following months. As discussed in the introduction, this source of energy could 304 be a relevant mechanism for wave amplification (e.g. Smith et al. 2017). How this interaction 305

works clearly needs further investigation but the statistical result reported here appears robust. We proceed below to further analysis of the empirical relationship captured in Fig. 2c.

To interact with the late summer atmospheric circulation, the late winter SIC anomalies must 308 be persistent enough. To check the persistence of these SIC anomalies, we calculate a lag com-309 posite of area-averaged SST and SIC anomalies in the Greenland-Barents Sea (0°E to 60°E, 50°N 310 to 80° N) and Labrador Sea (70° W to 50° W, 50° N to 65° N) for the 8 seasons with the strongest 311 positive and negative SIC differences between those two regions in FMA (Fig. 3a). As a reminder, 312 those regions are chosen to cover the relevant SIC anomalies for the investigated connection in 313 this section (see Fig. 2b and c). We refer to this difference as I_{diff} . Positive values indicate more 314 anomalous sea ice in the Greenland-Barents Sea than in the Labrador Sea. All composite anoma-315 lies (SST and SIC) for positive I_{diff} (solid lines) and negative I_{diff} (dashed lines) show the same sign 316 until JAS. This persistence is insensitive to the number of seasons used for the composite. If these 317 anomalies are optimally aligned to interact with the wave guide in summer, this could cause the 318 anomalous QSW patterns in summer. 319

Similar to the previous test of robustness, we calculate the QSW patterns in JAS for the years 320 with the strongest positive (1979, 2011, 2010, 1981, 1998, 1987, 2004 and 2003) and negative 321 values (1984, 1993, 1983, 1990, 1992, 1991, 1995 and 2014) for I_{diff}. As expected from the results 322 of the MC analysis, the composite for the years with negative I_{diff} values leads to anomalously strong 323 high latitude QSW amplitudes (Fig. 3b), exceeding the 99th percentile (white dots). The composite 324 for the years with positive I_{diff} values leads to anomalous strong and statistically significant mid-325 latitude QSW amplitudes (Fig. 3c), although there is a gap of increased QSW amplitudes over 326 North America. But overall, the sign of I_{diff} clearly leads to a separation of the QSW patterns with 327 strong values at high or mid-latitudes. The qualitative results are insensitive to the exact choice of 328 the regions used to calculate I_{aff} , as long as they capture the dipole character of this anomaly. 329

Comparing the SSTSIC in Fig. 2b and 2c reveals very similar patterns. This suggests that the 330 NAO, which is strongly associated with the QSW and SST pattern of Fig. 2b, represents the com-331 mon feature behind both connections (the ones shown in Fig. 2b and Fig. 2c). The associated 332 SSTSIC pattern found for both connections therefore appears to link the two atmospheric anoma-333 lies in autumn/winter and the following summer/autumn. This would mean that the autumn/winter 334 QSW pattern leads to a specific late winter/spring SSTSCI pattern which further leads to a specific 335 QSW pattern in late summer/early autumn. In the following we will provide further support for 336 this hypothesis. First for the connection between winter NAO index and the following late sum-337 mer/early autumn QSW anomalies. For this connection we obtain a linear correlation of -0.42338 between mid-latitude (225°W to 45°E and 40°N to 60°N) averaged QSW amplitudes in JAS and 339 the averaged NAO value in the preceding DJF (Fig. S2a), whereas strong high-latitude (north of 340 65°N) averaged QSW amplitudes in JAS seem to occur mainly after a positive NAO in the pre-341 ceding DJF (Fig. S2b). Second, if the above hypothesis is true, one can possibly expect increased 342 covariances between similar QSW patterns in autumn/winter and the following summer/autumn. 343 To test this we repeated the MC analysis of Fig. 2a between extratropical Northern Hemisphere 344 QSW amplitudes and QSW amplitudes limited to the Atlantic basin (instead of SSTSIC limited 345 to the Atlantic basin). The QSW amplitudes in the second region are restricted to the Atlantic 346 basin, because of the known strong connection between Atlantic QSW anomalies and the NAO 347 (Wolf et al. 2018b, or Fig. 2a and 2b herein). This MC analysis indeed shows a statistically signif-348 icant connection between autumn to winter Atlantic QSW amplitudes and Northern Hemisphere 349 QSW amplitudes with about a +7 month lag, which further show increased correlations with NAO 350 (Fig. S3). Because of the strong atmospheric internal variability and its nonlinear behaviour, the 351 presented linear statistical method does not prove this hypothesis, but supports the potential for 352 recurrent interactions between QSWs, SST and SIC anomalies between autumn to winter and late 353

³⁵⁴ summer to early autumn. To clarify the details of these recurrent interactions, further analysis is
 ^{a55} necessary.

5. Conclusion and discussion

In a previous study (Wolf et al. 2018b) we showed the connection between QSWs and European 357 weather and extreme events and identified the main modes of QSW variability. We highlighted 358 therein the importance of better understanding the physical mechanisms underlying these QSW 359 patterns and their variability. This analysis represents the first step towards this goal by investigat-360 ing the link between surface ocean anomalies and QSW amplitudes with lags of several months. 361 Therefore, we use the MC analysis as a powerful tool to identify statistical connections between 362 different variables, as done in previous studies (e.g. Czaja and Frankignoul 2002; Frankignoul 363 et al. 2014). 364

We identified three statistical significant connections between sea surface anomalies and anoma-365 lous QSW amplitudes. The two most dominant connections occur during the colder seasons (late 366 autumn, winter, early spring) and can be related to ENSO and NAO. These global pattern indices 367 are not only linked to strong temperature anomalies and extreme events (e.g. Pan et al. 1999; 368 Pozo-Vázquez et al. 2001; López-Moreno and Vicente-Serrano 2008), but they can also be asso-369 ciated with some predictability (Latif et al. 1998; Scaife et al. 2014). It is therefore important to 370 understand the evolution of the associated QSW patterns, which are more directly linked to the as-371 sociated weather and therefore can help to get a deeper understanding of the evolution of extremes 372 or why predictability increases in remote regions. This is no contradiction with the previous state-373 ment that our results for the ENSO connection cannot be used to infer predictability for the QSWs. 374 The results from the applied statistical method could only be used to highlight the general con-375 nection between the SST associated with ENSO and mid-latitude QSWs. The QSW pattern itself 376

indicates possible teleconnection regions, but to understand the details of the teleconnections or 377 the time evolution and frequency of the QSWs during an ENSO event, further analysis beyond this 378 monthly lagged analysis is needed. During La Niña we identified an increase in QSW amplitudes 379 over the North Pacific and North America, reaching downstream into the subtropical Atlantic to-380 wards the Mediterranean, whereas over the high-latitude North Atlantic and Europe a decrease 381 in QSW amplitudes can be observed. For the Atlantic SST tripole, associated with the negative 382 NAO phase, QSW amplitudes show increased values at high latitudes with a maximum over the 383 Atlantic and a slight decrease along the subtropical Asian jet. This connection exists for QSW 384 amplitudes with negative lags in the MC analysis, suggesting the SST tripole to be an imprint of 385 the preceding atmospheric flow pattern. This dominant atmosphere-driving-ocean relationship is 386 in agreement with previous studies (e.g. Czaja and Frankignoul 2002; Visbeck et al. 2003). These 387 QSW patterns, associated with NAO and ENSO, explain a large contribution of the overall QSW 388 variability during the cold season. The focus in that paragraph, concerning the global pattern in-389 dices, was towards La Niña and the negative NAO phase. Due to the linearity of the MC analysis, 390 the exact opposite is true for El Niño or the positive NAO (reversed signs for both SSTSIC and 391 QSW, relative signs remain unchanged). 392

The third statistical significant connection between those two variables occurs between FMA 393 Atlantic high latitude sea surface anomalies and JAS extratropical Northern Hemisphere QSW 394 anomalies. We identified the SIC as the main contributor to this connection. The large lag of 395 about +5 months can possibly be attributed to the persistence of the associated SIC pattern. We 396 showed that for years with a strong anomaly of such a SIC pattern in FMA, this anomaly persists 397 into JAS. Interacting with the general circulation, these sea ice anomalies could be responsible for 398 the QSW response in the following late summer/early autumn. The reason why this interaction is 399 not apparent during late spring/early summer could be that the locations between the baroclinic 400

⁴⁰¹ modified region by the SIC or associated SST anomalies and the wave guide for the QSWs are not ⁴⁰² optimally aligned. How this interaction works in detail needs further investigation.

Our results about the FMA SSTSIC anomalies show strong similarities with the findings of 403 Frankignoul et al. (2014), in which they showed that the Atlantic SIC anomalies in the Labrador 404 sea and Greenland-Barents Sea (they refer to it as "seesaw" pattern) during late winter/early spring 405 can be associated to preceding NAO anomalies and which by itself leads to a NAO-like pattern 406 of opposite polarity about 6 weeks later. This suggests the same underlying driving mechanism 407 between winter NAO and FMA SSTSIC anomalies, but distinct to the analysis of Frankignoul 408 et al. (2014), we identified a longer lag connection between their "seesaw" pattern and upper 409 tropospheric QSWs in JAS. In agreement with our findings, they also identified SIC anomalies as 410 the main contributor to this connection. They further discussed that including the North Pacific SIC 411 dipole pattern of negative and positive anomalies in the Bering and Okhotsk Sea, which appears 412 also in our findings (see Fig. 2c), increases the statistical significance. 413

To test the robustness of the results, we included a composite analysis, showing the same sea 414 surface or QSW patterns as for the linear MC analysis by applying a ± 5 months lag to each of the 415 composited variables separately. This further increases the confidence in the findings of the applied 416 statistical analysis. Due to the findings of the connection between NAO and QSW anomalies 417 in autumn to winter, the connection between winter NAO and FMA SSTSIC anomalies and the 418 connection between FMA SSTSIC anomalies and JAS QSW anomalies, we hypothesized that a 419 connection between autumn to winter QSWs and QSWs in the following JAS may be apparent. 420 Repeating the MC analysis for QSW amplitudes between different seasons does indeed show 421 increased covariances, supporting this hypothesis. 422

These results are all based on the first MC modes for the different regions or variables, to highlight the most dominant and robust signals. Higher MC modes also include some statistically

significant signals, but those are fewer and less coherent. The second MC modes show mainly 425 two statistically significant signals. For SSTSIC in the extratropical Northern Hemisphere (first 426 mode given in Fig. 1a) the area of the statistically significant covariances is very similar to the one 427 found for negative lags in Fig. 2a, also with increased correlations with the winter NAO index, 428 meaning that the second MC mode for extratropical Northern Hemisphere SSTSIC describes the 429 same signal as the first MC mode for SSTSIC in the Atlantic region. The second MC mode for 430 SSTSIC in the Atlantic shows statistically significant signals in spring to summer, with a lag of 431 about +4 months. The SSTSIC signal is represented again by the previously discussed NAO-like 432 imprint. The associated QSW patterns are also partly very similar to the signal found for FMA 433 with a +5 lag, suggesting that the previously identified SSTSIC not only appears in late winter, but 434 also into spring and summer. The patterns are less coherent, however, and besides the very similar 435 QSW pattern we can also identify a similar SSTSIC pattern, but which is associated with a east-436 west dipole in QSW amplitudes, with positive anomalies towards Europe and negative over North 437 America for a negative NAO. This second mode could explain the gap of increased mid-latitude 438 QSW amplitudes in the composite study (Fig. 3c). 439

In this paper we were able to link some important QSW patterns to surface ocean anomalies. Due to the more direct link of the QSW patterns to the associated weather, compared to the use of global pattern indices, their consideration can be helpful in the understanding and interpretation of specific teleconnection patterns. We further demonstrated the relevance of SIC anomalies on the QSW patterns of following seasons, which can be very helpful for long term predictability of large scale weather conditions or the occurrence of extremes. Acknowledgments. We acknowledge funding from the Natural Environment Research Council
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564 **6. Figures**

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- Fig. 1. **Panel** (a) shows the first MC mode for the lagged covariance matrix between extended 566 Northern Hemisphere (20°S to 85°N) SSTSIC and extratropical Northern Hemisphere 567 (30°N to 85°N) QSW anomalies. Shading represents covariance between associated anoma-568 lies, weighted by their respective seasonal standard deviation. Seasons for the SSTSIC fields 569 are given in panel (a) on the x-axis and are represented by the initial letters of the associated 570 months. For the seasonally averaged QSW amplitudes a lag of -6 to +9 months is applied 571 (given on the y-axis); positive lags therefore mean that the SSTSIC is leading QSW. Green 572 plusses (dots) show statistical significant covariances based on 95th (90th) percentile. Red 573 dots show those instances when the MC mode is not separable from the following mode, 574 following the rule of thumb of North et al. (1982). Additional contour lines represent corre-575 lations between one of two global pattern indices (Niño 3.4 in black and NAO in blue) and 576 the lagged QSW MC mode. 577
- Panel (b) shows the associated latitude-longitude pattern for the box, marked by the white 578 edges in panel (a), for NDJ SSTSIC and JFM QSW (lag of +2 months). Boundaries for 579 the regions used in the MC analysis are given by the black dashed lines. Shading shows 580 anomalies of SST. Gray solid (dashed) contour lines show positive (negative) anomalies of 581 QSW amplitude, spaced every $0.5 \,\mathrm{m/s}$ omitting the zero contour line. Magenta (positive 582 values) and green (negative values) contour lines show anomalies in SIC, spaced every 0.04 583 omitting the zero contour line. All variables shown are calculated via the projection of this 584 variable onto the timeseries of the first principal component. 585 .
- First MC mode between Atlantic (80°W to 50°E and 20°N to 85°N) SSTSIC and extrat-Fig. 2. 586 ropical Northern Hemisphere (30°N to 85°N) lagged QSW anomalies (boundaries of these 587 regions shown by black dashed lines in panel (b) and (c)). Panel (b) and (c) show the asso-588 ciated latitude-longitude pattern for the boxes, marked by the white edges in panel (a), for 589 JFM SSTSIC and DJF QSW (panel b, lag - 1 month) and for FMA SSTSIC and JAS QSW 590 (panel c, lag +5 months). Gray solid (dashed) contour lines show positive (negative) anoma-591 lies of QSW amplitude, spaced every 0.25 m/s omitting the zero contour line. Description 592 for all other shadings, contours, etc. are the same as in Fig. 1. 593

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Fig. 3. Panel a shows SST and SIC persistence for a composite of the 8 years with the strongest 594 positive and negative I_{diff} values in FMA. I_{diff} represents the difference of SIC box averages 595 between the Labrador Sea (70° W to 50° W, 50° N to 65° N) and Greenland-Barents Sea (0° E 596 to 60° E, 50° N to 80° N). Blue and black lines show the averaged values of SST and SIC 597 in the Greenland-Barents Sea; red and magenta lines show the averaged values of SSTSIC 598 in the Labrador Sea. Values associated with positive (negative) values of I_{diff} are given by 599 solid (dashed) lines. All values are seasonally detrended and normalized by the associated 600 seasonal standard deviation. Panel b (panel c) shows the associated anomalous QSW am-601 plitudes in JAS for the same composite years with $I_{\text{diff}} < 0$ ($I_{\text{diff}} > 0$). Statistical significance 602 above the 95th (99th) percentile is given by the green (white) dots. Mean QSW amplitudes 603 are given by the contour lines, spaced every 0.75 m/s, starting at 7.5 m/s. 30 604



FIG. 1. Panel (a) shows the first MC mode for the lagged covariance matrix between extended Northern 605 Hemisphere (20°S to 85°N) SSTSIC and extratropical Northern Hemisphere (30°N to 85°N) QSW anomalies. 606 Shading represents covariance between associated anomalies, weighted by their respective seasonal standard 607 deviation. Seasons for the SSTSIC fields are given in panel (a) on the x-axis and are represented by the initial 608 letters of the associated months. For the seasonally averaged QSW amplitudes a lag of -6 to +9 months is 609 applied (given on the y-axis); positive lags therefore mean that the SSTSIC is leading QSW. Green plusses 610 (dots) show statistical significant covariances based on 95th (90th) percentile. Red dots show those instances 611 when the MC mode is not separable from the following mode, following the rule of thumb of North et al. (1982). 612 Additional contour lines represent correlations between one of two global pattern indices (Niño 3.4 in black and 613 NAO in blue) and the lagged QSW MC mode. 614

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⁶²² FIG. 2. First MC mode between Atlantic (80°W to 50°E and 20°N to 85°N) SSTSIC and extratropical ⁶²³ Northern Hemisphere (30°N to 85°N) lagged QSW anomalies (boundaries of these regions shown by black ⁶²⁴ dashed lines in panel (b) and (c)). Panel (b) and (c) show the associated latitude-longitude pattern for the boxes, ⁶²⁵ marked by the white edges in panel (a), for JFM SSTSIC and DJF QSW (panel b, lag -1 month) and for FMA ⁶²⁶ SSTSIC and JAS QSW (panel c, lag +5 months). Gray solid (dashed) contour lines show positive (negative) ⁶²⁷ anomalies of QSW amplitude, spaced every 0.25 m/s omitting the zero contour line. Description for all other ⁶²⁸ shadings, contours, etc. are the same as in Fig. 1.



FIG. 3. Panel a shows SST and SIC persistence for a composite of the 8 years with the strongest positive and 629 negative I_{diff} values in FMA. I_{diff} represents the difference of SIC box averages between the Labrador Sea (70°W 630 to 50°W, 50°N to 65°N) and Greenland-Barents Sea (0°E to 60°E, 50°N to 80°N). Blue and black lines show 631 the averaged values of SST and SIC in the Greenland-Barents Sea; red and magenta lines show the averaged 632 values of SSTSIC in the Labrador Sea. Values associated with positive (negative) values of I_{diff} are given by 633 solid (dashed) lines. All values are seasonally detrended and normalized by the associated seasonal standard 634 deviation. Panel b (panel c) shows the associated anomalous QSW amplitudes in JAS for the same composite 635 years with $I_{\text{diff}} < 0$ ($I_{\text{diff}} > 0$). Statistical significance above the 95th (99th) percentile is given by the green (white) 636 dots. Mean QSW amplitudes are given by the contour lines, spaced every 0.75 m/s, starting at 7.5 m/s. 637