

Understanding the origin of disorder in kesterite-type chalcogenides A2ZnBQ4 (A = Cu, Ag; B = Sn, Ge; Q = S, Se): the influence of inter-layer interactions

Article

Accepted Version

Mangelis, P., Aziz, A., da Silva, I., Grau-Crespo, R., Vaqueiro, P. and Powell, A. V. (2019) Understanding the origin of disorder in kesterite-type chalcogenides A2ZnBQ4 (A = Cu, Ag; B = Sn, Ge; Q = S, Se): the influence of inter-layer interactions. Physical Chemistry Chemical Physics, 21 (35). pp. 19311-19317. ISSN 1463-9076 doi: https://doi.org/10.1039/C9CP03630J Available at http://centaur.reading.ac.uk/85636/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.1039/C9CP03630J

Publisher: Royal Society of Chemistry

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in



the End User Agreement.

www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading Reading's research outputs online







Physical Chemistry Chemical Physics

Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: P. Mangelis, A. Aziz, I. da Silva, R. Grau-Crespo, P. Vaqueiro and A. V. Powell, *Phys. Chem. Chem. Phys.*, 2019, DOI: 10.1039/C9CP03630J.



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the <u>Information for Authors</u>.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.



View Article Online DOI: 10.1039/C9CP03630J

Understanding the Origin of Disorder in Kesterite-type Chalcogenides $A_2\text{Zn}BQ_4$ (A = Cu, Ag; B = Sn, Ge; Q = S, Se): the Influence of Interlayer Interactions

Panagiotis Mangelis,^a Alex Aziz,^a Ivan da Silva,^b Ricardo Grau-Crespo,^a Paz Vaqueiro^a and Anthony V. Powell*^a

^a Department of Chemistry, University of Reading, Whiteknights, Reading RG6 6AD, England, United Kingdom

^b STFC, Rutherford Appleton Laboratory, ISIS Facility, Didcot OX11 0QX, United Kingdom

View Article Online

DOI: 10.1039/C9CP03630J

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

Semiconducting quaternary chalcogenides with A_2 Zn BQ_4 stoichiometry, where A and B are monovalent and tetravalent metal ions and Q is a chalcogen (e.g. Cu₂ZnSnS₄ or CZTS) have recently attracted research attention as potential solar-cell absorbers made from abundant and non-toxic elements. Unfortunately, they exhibit relatively poor sunlight conversion efficiencies, which has been linked to site disorder within the tetrahedral cation sub-lattice. In order to gain a better understanding of the factors controlling cation disorder in these chalcogenides, we have used powder neutron diffraction, coupled with Density Functional Theory (DFT) simulations, to investigate the detailed structure of A_2 Zn BQ_4 phases, with A = Cu, Ag; B = Sn, Ge; and Q = S, Se. Both DFT calculations and powder neutron diffraction data demonstrate that the kesterite structure (space group: $\overline{14}$) is adopted in preference to the higher energy stannite structure (space group: $I\overline{4}2m$). The contrast between the constituent cations afforded by neutron diffraction reveals that copper and zinc cations are only partially ordered in the kesterites Cu_2ZnBQ_4 (B = Sn, Ge), whereas the silver-containing phases are fully ordered. The degree of cation order in the copper-containing phases shows a greater sensitivity to the identity of the B-cation than to the chalcogenide anion. DFT indicates that cation-ordering minimises inter-planar Zn²⁺...Zn²⁺ electrostatic interactions, while there is an additional intra-planar energy contribution associated with size mismatch. The complete Ag/Zn order in Ag₂ZnB Q_4 (B = Sn. Ge) phases can thus be related to the anisotropic expansion of the unit cell on replacing Cu with Ag.

Introduction

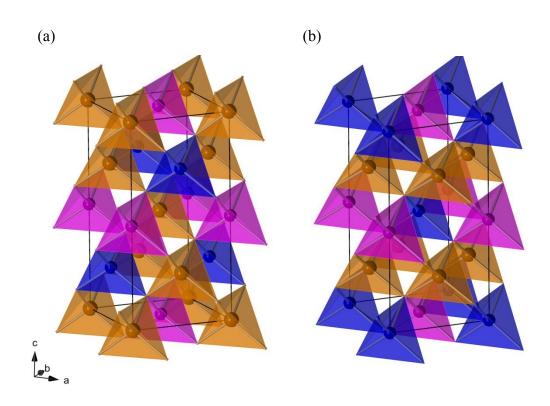
View Article Online DOI: 10.1039/C9CP03630J

There is a high level of interest in quaternary chalcogenides of general formula A_2BCQ_4 (where A, B and C are elements from groups 11, 14 and 12 respectively and Q = S, Se) in relation to sustainable energy technologies. For example, thin-film solar cells constructed from Cu_2ZnSnQ_4 exhibit remarkable efficiencies of around 12.6%. Although this is somewhat reduced from that of $CuInGaSe_2$ (CIGS) based devices, the Earth abundance of the constituent elements, obviates the need for the comparatively rare and expensive elements, indium and gallium. The quaternary chalcogenides also show promise as intermediate temperature thermoelectrics, 3,4 owing to their characteristically low thermal conductivities However, they exhibit low electrical conductivities and hole doping, through chemical substitution, is required to increase the charge-carrier concentration and tune the TE properties. 4,5,6 For example, coppersubstituted $Cu_2ZnSnSe_4$ reaches $ZT \approx 0.9$ at 860 K⁷ This may be the result of enhanced phonon scattering, arising from the variation in metal-chalcogen distance of tetrahedrally-coordinated cations. In addition, Ru-loaded A_2ZnBS_4 (A = Cu, Ag; B = Sn, Ge) is an effective photocatalyst for water splitting under visible light.

Similar to the chalcopyrite structure of CIGS, A_2BCQ_4 quaternary phases crystallise in an ordered superstructure of zinc blende, with the majority of reports describing the room-temperature structure as being either of the stannite ($I\overline{4}2m$) or kesterite ($I\overline{4}$) type. The two structures (Figure 1) differ in the ordering of cations over the available tetrahedral sites: the principal difference being the presence of A cations within every cation plane (2a and 2c sites) in the unit cell of the kesterite structure but only in planes located at z = 0.25 and 0.75 (4d sites) in the stannite structure.

The lower efficiency of $\text{Cu}_2\text{ZnSnS}(\text{Se})_4$ photovoltaic devices compared to their CIGS counterparts is associated with a low open-circuit voltage, V_{oc} . The origin of this

limitation on performance remains poorly understood. It has been proposed that V_{00} there online band tailing due to electrostatic potential fluctuations 10 and spatial fluctuations in bandgap due to cation disorder may play a role, 11 although it has also been suggested that the latter has negligible impact on V_{0c} . Detailed structural characterization is therefore of fundamental importance in understanding the underlying factors limiting the efficiency of quaternary chalcogenide photovoltaics and hence realising the efficiency gains required to create cost-effective high-efficiency devices.



Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM.

Figure 1 Polyhedral representation of (a) the kesterite structure and (b) the stannite structure of general formula A_2BCQ_4 . A-, B- and C- centered tetrahedra are denoted by orange, magenta and blue shading respectively.

Despite several structural investigations on this family of materials, ambiguity remains over detailed structural descriptions. This may be traced to the similarity of the lattice parameters of the two structure types, together with the lack of any discrimination between the $(I\overline{4}2m)$ and $(I\overline{4})$ space groups through systematic absences. Significantly

the constituent cations of many of the phases also have similar X-ray scattering powersticle Online further hampering efforts to establish detailed structures by X-ray methods. Additional confusion arises over the use of the terms stannite and kesterite in the literature. Here, we take stannite to denote an ordered configuration described in the space group $I\overline{4}2m$. Cation disorder can give rise to structures also described in $I\overline{4}2m$, which are neither stannite nor kesterite, while alternative A/B ordering schemes give to rise to structures described in different space groups.¹³

The majority of investigations have focused on Cu₂ZnSnS(Se)₄ phases, owing to their importance to photovoltaic applications. Many of these reports identify stoichiometric Cu₂ZnSnS(Se)₄ phases as kesterite-type, ^{14,15,16,17} while in other reports they are described as stannite.^{7,18,19,20} The closely related Cu₂ZnGeS(Se)₄ phases have also been reported to crystallize in the stannite structure. 4,21,22 Chen et al. 23,24 have shown that the most stable configuration of Cu₂ZnSnS(Se)₄ is the ordered kesterite structure but small energy differences could lead to both the stannite and the disordered configurations being present in experimental samples. 11, 12 Whilst materials in the series Cu₂ZnGeSe₄. _xS_x have been described as crystallizing in the stannite structure, ⁶³Cu nuclear magnetic resonance (NMR)²⁵ indicates at least two distinct sites for Cu atoms in Cu₂ZnGeSe₄ at room temperature, which is inconsistent with the adoption of a structure described in $I\overline{4}$ 2m. Schorr²⁶ has shown by powder neutron diffraction that Cu₂ZnSnS(Se)₄ phases adopt the kesterite structure; a conclusion supported by Raman scattering.²⁷ The kesterite structure has been shown to persist into off-stoichiometric materials.^{28,29, 30,31} It has been proposed that the Voc limit can be overcome by silver substitution in Cu₂ZnSnS(Se)₄,³² although experimental results so far are contradictory.¹

Here we adopt a combined experimental-computational approach which exploits the contrast provided by neutrons, together with DFT calculations, to establish the room

Methodology

Experimental

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

With the exception of Ag₂ZnSnSe₄ which was prepared by a mechanochemical method, all materials were prepared by high-temperature synthesis from appropriate mixtures of the powdered elements, loaded into evacuated silica ampoules. Full details are provided in the ESI.[†] For the preparation of Ag₂ZnSnSe₄, stoichiometric amounts of powdered elements were sealed, under an argon atmosphere into a 25 mL stainless steel jar together with thirty 6 mm diameter steel balls. The mixture was milled for 4 h at 600 rpm using a Retsch PM100 planetary ball mill and subsequently annealed at 500 °C for 30 min in an evacuated and sealed fused silica tube.

Initial structural characterization was carried out by powder X-ray diffraction using a Bruker D8 Advance diffractometer (Ge-monochromated Cu K α_1 , λ = 1.5406 Å) fitted with a LynxEye linear detector. Room-temperature powder neutron diffraction data³³ were collected at the ISIS facility, Rutherford Appleton Laboratory, using the GEM diffractometer. Samples were contained in vanadium cans. Multibank Rietveld refinements incorporating data from banks at 2θ = 156°, 90°, 63° and 35° were performed using the GSAS software package.³⁴ In the case of the silver-containing

[†] Electronic Supplementary Information is available.

materials, powder X-ray diffraction data were included in simultaneous $X_{DOINJO1039/C9CPO3630J}$ refinements in order to improve the contrast between Ag and Zn, owing to their similar neutron scattering lengths (b(Zn) = 5.7, b(Ag) = 5.9 fm). All multibank refinements were carried out using, for the initial structural models, lattice parameters and atomic coordinates determined from powder X-ray diffraction.

Computational

Density functional theory (DFT) calculations were performed using the VASP code, 35 , 36,37 employing the screened hybrid Heyd, Scuseria and Ernzerhof (HSE06) 38,39 exchange-correlation functional. This provides an improved description of the electronic structure of transition-metal oxides and sulphides, in comparison with local and semilocal functionals. 40 Structures were relaxed until the forces on the atoms were minimized to less than 0.01 eV/Å. The projector augmented wave (PAW) method 41,42 was used to describe the interaction of valence electrons with the cores, which consist of frozen levels up to 2p for S; up to 3p for Cu, Zn, Ge and Se; and up to 4p for Ag and Sn. The kinetic energy cut-off for the plane-wave basis set expansion was set at 410 eV (30% above the value required by the PAW potentials, in order to avoid Pulay errors). A $2\times2\times1$ mesh of k-points was used for reciprocal-space sampling in the unit cell and zone-centre only sampling was used for the $2\times2\times1$ supercells. Test calculations doubling the k-point grid density showed that energy differences between configurations were converged to within 2-3 meV per 16-atom cell."

Cu/Zn disorder was modelled using a symmetry adapted ensemble of configurations, as implemented in the SOD (Site Occupancy Disorder) program.⁴³ In the conventional unit cell, this allowed for five inequivalent configurations for each composition. Further analysis conducted with a $2\times2\times1$ supercell generated 255 possible inequivalent

configurations for each composition. Restricting the possibilities to in-plane disorder ticle Online reduces the number of calculations to only 108 of the 255 possible configurations.

Results and Discussion

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

Initial structural characterization by powder X-ray diffraction shows Cu_2ZnGeS_4 , Cu_2ZnGeS_4 and Ag_2ZnSnS_4 to be single-phase materials. Trace amounts of SnS and SnSe are evident in Cu_2ZnSnS_4 and $Cu_2ZnSnSe_4$, respectively, while similarly low levels of $SnSe_2$ and ZnSe are detectable in the powder X-ray diffraction pattern of $Ag_2ZnSnSe_4$. Rietveld refinements using the powder X-ray diffraction data were carried out to determine lattice parameters and the basic structural details of the materials. As noted above, the almost identical X-ray scattering powers of Cu^+ and Zn^{2+} cations, together with the identical systematic absences of space groups $I\overline{4}2m$ and $I\overline{4}$ did not allow discrimination between the possible zinc-blende-related superstructures. However, X-rays provide greater contrast between the Ag^+ and Zn^{2+} cations and refinement indicates that the kesterite structure is more likely, as a slightly lower goodness of fit parameter, χ^2 , results.

Multibank neutron diffraction refinements were initiated using data from the highest resolution detector bank ($2\theta = 156^{\circ}$). The background was modelled using a reciprocal interpolation function and the peak shape described by a pseudo-Voigt function, with the coefficients included as variables in the refinement. Following refinement of lattice and atomic parameters, data from the remaining detector banks ($2\theta = 90^{\circ}$, 63° and 35°) were introduced sequentially. Both stannite ($I\overline{4}2m$) and kesterite ($I\overline{4}$) structural models were refined for all compositions. Initially, disorder associated with

each of the cation sites was considered through refinement of site occupancy factor sticle Online with the constraint that each site remained fully occupied.

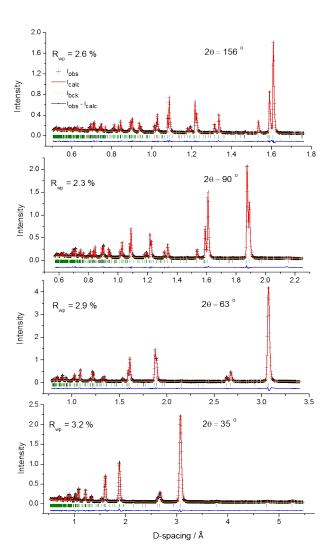


Figure 2. Multibank Rietveld refinement for Cu_2ZnGeS_4 using powder neutron diffraction data collected at room temperature ($\chi^2 = 2.8$). Observed (black crosses), refined (red solid lines) and difference (lower blue line) profiles. Reflection positions are marked by green vertical lines.

Rietveld analysis reveals that all quaternary compounds investigated here are better described in the space group $I\overline{4}$ than in $I\overline{4}2m$; consistent with the adoption of the kesterite structure for all compositions. A representative multibank Rietveld refinement

for Cu₂ZnGeS₄ is presented in Figure 2, while the remaining profiles are provided ascletoning profiles are provided ascletoning ESI. Final lattice and atomic parameters resulting from Rietveld analysis of powder neutron diffraction data are presented in Tables 1 and 2.

Replacement of sulphur by the larger selenium anion increases both the a and c lattice parameters, leading to an increase in unit cell volume of ca. 16 % for a given combination of cations. The volume change induced by replacement of germanium with the larger tin cation for a given chalcogenide anion, is somewhat smaller at ca. 6 %. Significantly, whilst replacement of copper by the larger silver cation also increases the unit-cell volume by 12 - 14 %, this increase is primarily associated with an in-plane expansion: the a lattice parameter increasing by 6 - 7 %. In both Ag_2ZnSnS_4 and Ag_2ZnSnS_4 , the c lattice parameter is decreased slightly compared to their copper congeners, leading to a significant change in the c/2a ratio, which has a marked influence on the degree of order ($vide\ infra$).

Table 1: Lattice parameters determined from Rietveld analysis of powder neutron diffraction data for A_2 Zn BQ_4 (A = Cu, Ag; B = Ge, Sn; Q = S, Se) a compared with those obtained by DFT calculations (for configuration $\mathbf{K1}^b$).

	CZGS	CZGSe	CZTS	CZTSe	AZTS	AZTSe			
Neutron Diffraction									
a/Å	5.34333(2)	5.60873(2)	5.43218(3)	5.69544(5)	5.81261(3)	6.04419(3)			
c / Å	10.51126(6)	11.04297(6)	10.8308(1)	11.3417(2)	10.77886(7)	11.30653(9)			
c/2 a	0.984	0.985	0.997	0.996	0.927	0.936			
$V/\rm \AA^3$	300.108(2)	347.388(3)	319.603(4)	367.902(6)	364.179(4)	413.052(5)			
DFT Calculations									
a /Å	5.365	5.657	5.461	5.744	5.850	6.109			
c/Å	10.508	11.081	10.854	11.406	10.886	11.453			
c/2a	0.979	0.979	0.994	0.993	0.930	0.937			
$V/{\rm \AA}^3$	302.45	354.61	323.69	376.32	372.55	427.42			

^a CZTS, CZTSe, CZGSe, CZGSe, AZTS and AZTSe denote Cu₂ZnSnS₄, Cu₂SnSnSe₄, Cu₂ZnGeS₄, Cu₂ZnGeSe₄, Ag₂ZnSnS₄ and Ag₂ZnSnSe₄ respectively.

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

^b Configuration **K1** is defined below and shown in Figure 3.

Detailed analysis of site-occupancy-factor data reveals that the 2b site and 2a sites of the conline the kesterite structure are fully occupied by the group 14 (Ge, Sn) and A- (Cu or Ag) cations respectively, in all compositions. However in the case of the copper-containing materials, there is anti-site disorder associated with the 2c and 2d positions (corresponding to cation planes at z = 0.25 and 0.75), the extent of which is dependent on the composition of the particular quaternary phase (Table 2).

Neutron diffraction reveals that in the kesterite Cu₂ZnGeS₄, the 2c site is occupied by ca. 67 % Cu and 33 % Zn: the occupancies being inverted at the 2d site. Structural refinement provides no evidence for anti-site defects involving the other cation sites in the kesterite structure (e.g. Cu/Zn on the 2a position, Cu/Ge or Zn/Ge on the 2b position), although the presence of low levels of occupancy of interstitial sites cannot be excluded completely. In addition, the 2a and 2b positions remain fully occupied by Cu and Ge cations respectively. While similar anti-site disorder is observed in the analogous selenide, its extent is reduced slightly, with the relative proportions of copper and zinc at the 2c site increased to ca. 71% and 29% respectively. Multibank refinements reveal a further marked reduction in the degree of Cu/Zn disorder, associated with cation planes at z = 0.25 and 0.75, for the two tin congeners, Cu₂ZnSnS₄ and Cu₂ZnSnSe₄. In Cu₂ZnSnS₄, only ca. 5.5% of the 2c sites are occupied by Zn cations, with the remainder of 94.5 % located at the 2d site; Cu cations occupying the remaining sites at each position. In the analogous selenide, these occupancies fall slightly to 92% and 8%. Again, there is no evidence for disorder associated with the remaining cation sites. The Cu/Zn disorder present in Cu_2ZnBQ_4 (B = Sn, Ge; Q = S, Se) contrasts with the behaviour of the Ag₂ZnSnQ₄ phases. Structural refinement using powder neutron and X-ray diffraction data simultaneously, demonstrates that the two silver-containing materials adopt a fully ordered kesterite structure. The extent of cation disorder of Cu₂ZnSnS₄ has been shown to exhibit a dependence on thermal-history 126,44cle Online particularly annealing temperature and rate of cooling. Although the synthetic procedure therefore has an impact on the cation ordering, the variations within the series of materials reported here can however be related to changes in sample composition, as all materials were prepared using slow cooling from elevated temperatures. In an effort to understand these composition-dependent changes in cation order, Density Functional Theory was used to explore the energies of the possible configurations.

Table 2: Key Refined Atomic Parameters Determined from Rietveld Refinement.^a

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

Site	Parameter	CZGS	CZGSe	CZTS	CZTSe	AZTS	AZTSe
8 <i>g</i>	X	0.246(3)	0.2479(5)	0.2423(5)	0.2382(5)	0.243(1)	0.2455(4)
	у	0.2650(9)	0.2584(1)	0.2375(4)	0.247(1)	0.2216(3)	0.2288(1)
	z	0.1224(2)	0.1243(1)	0.1269(5)	0.1287(1)	0.1289(3)	0.1288(1)
2c	SOF						
	(Cu/Ag)Zn	0.67(1)	0.71(1)	0.945(9)	0.92(2)	1.0(-)	1.0(-)
		0.33(1)	0.29(1)	0.055(9)	0.08(2)	0.0(-)	0.0(-)
2 <i>d</i>	SOF						
	(Cu/Ag)Zn	0.33(1)	0.29(1)	0.055(9)	0.08(2)	0.0(-)	0.0(-)
		0.67(1)	0.71(1)	0.945(9)	0.92(2)	1.0(-)	1.0(-)

aSpace group: $I\overline{4}$. (S, Se) on 8g (x,y,z); (Ge,Sn) on 2b (0,0,½); Cu on 2a (0,0,0); (A, Zn) on 2c (0,½,¼) and 2d (0,½,¾). All site occupancy factors are 1.0 unless otherwise stated.

Five symmetry-inequivalent configurations of the A⁺ and Zn²⁺ cations may be identified (Figure 3) using the SOD program. Configurations **K1**, **K2** and **K3** are kesterite-like configurations, with no Zn²⁺ cations in the planes at z = 0 and z = 0.5. Configuration **S** corresponds to the stannite structure, in which all Zn²⁺ cations are located exclusively in the planes at z = 0 and z = 0.5. Configuration **M** mixes A^+ and Zn²⁺ cations in the planes at z = 0 and z = 0.5.

The calculated relative energies of these configurations, presented in Tablew Sticle Online Doi: 10.1039/C9CP03650J demonstrate that the K1 configuration, which corresponds to the ordered kesterite structure, is the most stable for all compositions. This is in agreement with the results of powder neutron diffraction presented above. Calculated lattice parameters show excellent agreement with those determined experimentally (Table 1). In all cases the second most stable configuration is K2. This contains the same ordering pattern of A^+ and Zn^{2+} cations within the planes located at z = 0.25 and z = 0.75 as that of the K1 configuration but differs in the relative stacking of these two planes: in the K2 configuration, Zn^{2+} cations in planes at z = 0.25 and 0.75 are located directly above one another, whereas in **K1** the layers are displaced by $(\frac{1}{2}, \frac{1}{2})$ with respect to each other, with the result that the Zn²⁺ cations are offset with respect to one another. The latter arrangement minimises the electrostatic Zn2+...Zn2+ interactions and is therefore of lower energy. The stannite structure (configuration S) is always higher in energy than K1 and K2, consistent with the results of experiment which provides no evidence for the adoption of this structure type. The other two configurations (K3, M) are of significantly higher energies for all compositions.

Figure 3. The five symmetry-inequivalent configurations for which DFT calculations were performed

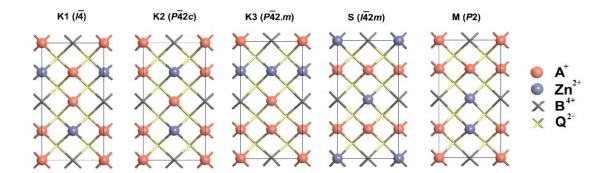


Table 3: Energies (meV) of the Five Configurations Presented in Figure 3. All Energies ticle Online are Expressed Relative to that of the **K1** Configuration.

	K1	K2	К3	S	M
CZGS	0	8	401	86	310
CZGSe	0	5	231	78	171
CZTS	0	11	393	44	281
CZTSe	0	12	349	63	255
AZTS	0	211	496	324	590
AZTSe	0	186	445	355	1,726

Examination of the relative energies of K1 and K2 demonstrates that the energetic cost of vertically aligning the A^+ and Zn^{2+} cation distributions in the z=0.25 and z=0.75planes is greater for A = Ag than for A = Cu. This can be related to the anisotropic expansion of the unit cell on moving from the copper-containing to the silver-containing phase discussed above. Although the larger Ag⁺ cation has relatively little effect on the c lattice parameter, perpendicular to the cation planes, it produces an expansion of 6 – 7% of the a lattice parameter in the in-plane direction (Table 1). Expansion in a direction parallel to the cation planes, whilst maintaining an almost constant inter-planar separation, increases the electrostatic stabilization achievable by offsetting the Zn²⁺...Zn²⁺ interactions in planes at z=0.25 and z=0.75 in the K1 configuration. This leads to a greater stabilization of K1 relative to K2. If cation disorder in the kesterite phases is considered in terms of a distribution of K1 and K2 configurations, the much higher energy of **K2** relative to **K1** in Ag₂ZnSnS₄ and Ag₂ZnSnSe₄ suggests that cation disorder of Ag and Zn cations within a plane will be energetically less favoured than the Cu/Zn disorder in the analogous copper chalcogenides, consistent with the fully ordered structure determined for Ag₂ZnSnS₄ and Ag₂ZnSnSe₄ by powder neutron diffraction. This conclusion is also consistent with the increase in Cu/Zn order that occurs when germanium is replaced with tin. The expansion of ca. 1.6 % in the in-plane, a, lattice

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM.

parameter suggests that the stabilization of **K1** relative to **K2** is increased in Cu2nSmQtele Online compared to Cu2ZnGeQ4. This is borne out by the calculated energies of Table 3. However, it is notable that whilst the energy difference **K2 - K1** is increased in the tincontaining phases, the stabilization is markedly reduced compared to that resulting from the replacement of copper by silver. This may be associated with the fact that on going from Cu2ZnGeQ4 to Cu2ZnSnQ4, in addition to an in-plane expansion of *ca.* 1.6%, which stabilizes the ordered configuration (**K1**), there is an increase of *ca.* 3% in the inter-planar distances. This reduces the electrostatic stabilization achievable by displacing the next nearest-neighbour layers to minimise the Zn²⁺···Zn²⁺ interactions and contrasts with the situation when copper is replaced by silver, where there is effectively no change in the *c* lattice parameter when the *a* lattice parameter increases.

Band gaps computed using the HSE functional (ESI) reveal that the replacement of S with Se tends to decrease the band gap, whereas the opposite effect is observed on substitution of Cu with Ag. These trends are broadly consistent with experimental data presented iin Table S1 of the ESI. Although the values of the band gap calculated for the **K1** and **K2** configurations are similar, only that for the **K1** configuration is relevant to the Ag-based materials, due to the high degree of ordering.

The results and analysis presented above suggest that inter-planar interactions are a significant factor behind the complete ordering observed in Ag-containing kesterites. However, intra-planar effects may also play a role. Their examination requires the use of an enlarged supercell. Therefore, we have performed calculations for the CZTS and AZTS compositions using a $2 \times 2 \times 1$ supercell, in which A/Zn pairs were swapped both in the z = 0.25 and z = 0.75 planes (Figure 4). In the double-swapped configuration, the inter-planar interaction remains in the favourable offset configuration, and therefore the calculated swapping energy reflects only intra-planar effects.

View Article Online DOI: 10.1039/C9CP03630J

Figure 4. Double swapping of A/Zn cations in the K1 Configuration

The energies of 400 meV per pair for Cu/Zn, and 540 meV per pair for Ag/Zn suggest that there is also an intra-planar effect that contributes to the higher degree of ordering observed in the Ag structures. The intra-planar effect is related to the much larger difference in ionic radius⁴⁵ between Ag⁺ (1.0 Å) and Zn²⁺ (0.60 Å) compared to that between Cu⁺ and Zn²⁺ (both ~0.60 Å). Therefore we conclude that both inter- and intraplanar effects contribute to the ordering of the Ag-containing structures. The energy differences associated with the inter-planar effects are however lower than the intraplanar energies, indicating the former may be the dominant affect, through the variation of the in-plane a lattice parameter. It has been suggested⁴⁶ that the suppression of A-Zn antisite defects when Cu is replaced with Ag may increase the open-circuit voltage V_{oc} . This result suggests that a potential route to engineer a higher degree of ordering in Cu kesterites, thereby addressing one of the factors that limit V_{oc} in a photovoltaic device, is through the application of tensile strain via an appropriate support during thin film growth, an idea that may merit further investigation.

Conclusions

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

In conclusion, this combined experimental and computational study demonstrates that all compositions in the series, $A_2\text{Zn}BQ_4$ (A = Cu, Ag; B = Sn, Ge; Q = S, Se), adopt the kesterite structure, which is shown to be lower in energy than alternatives, such as the

stannite structure, for all cation-anion combinations. The copper- and silver-containing-le-036303 materials exhibit contrasting behaviour, with the latter showing complete cation order. Cu/Zn anti-site disorder is observed in the copper-containing phases, the extent of which is affected by the identity of the group 14 cation. DFT reveals that the difference in behaviour between copper- and silver-containing phases is due to the accessibility of a second, relatively low energy, configuration in the former, in which next-nearest neighbour cation layers are vertically aligned with respect to one another. The disorder can thus be considered as a mixing of the ground state and next lowest energy configurations. On replacement of copper with silver there is an anisotropic expansion of the unit-cell, which increases the electrostatic stabilization achievable by offsetting the Zn²⁺··Zn²⁺ interactions through adoption of a fully-ordered configuration. DFT reveals that this results in a marked increase in the energy separation between the ground state and low-energy configuration through which disorder occurs. Similar considerations account for the dependence of the extent of disorder on the identity of the group 14 cation.

Conflicts of interest

There are no conflicts to declare.

Acknowledgments

A.A. and P.M. acknowledge funding from the UK Engineering and Physical Sciences Research Council (EPSRC) Doctoral Training Partnership studentships. Calculations were performed using the U.K. National Supercomputing Facility ARCHER via our membership of the U.K. HPC Materials Chemistry Consortium (EPSRC Grant No. EP/L000202). The authors wish to thank the University of Reading for access to the

Chemical Analysis Facility for powder X-ray-diffraction measurements and the STF Cole Online for access to the ISIS Facility.

References

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM

- ¹ S. Giraldo, Z. Jehl, M. Placidi, V. Izquierdo-Roca, A. Pérez-Rodríguez, E. Saucedo, *Adv. Mater.* 2019, **31**, 1806692.
- ² W. Wang, M.T. Winkler, O. Gunawan, T. Gokmen, T.K. Todorov, Y. Zhu and D.B. Mitzi, *Adv. Energy Mater.*, 2014, **4**, 1301465.
- ³ M-L. Liu, I-W. Chen, F-Q. Huang and L-D. Chen, Adv. Mater., 2009, **21**, 3808-3812.
- ⁴ W.G. Zeier, A. LaLonde, Z.M. Gibbs, C.P. Heinrish, M. Panthöfer, G.J. Snyder and W. Tremel, *J. Amer. Chem. Soc.*, 2012, **134**, 7147-7154.
- ⁵ X. Y. Shi, F. Q. Huang, M. L. Liu, and L. D. Chen, *Appl. Phys. Lett.*, 2009, **94**, 122103.
- ⁶ D. Zhang, H-C. Bai, Z-L. Li, J-L. Wang, G-S., Fu and S-F. Wang, *Chin. Phys. B*, 2018, **27**, 047206.
- ⁷ M-L- Liu, F-Q Huang, L-D- Chen and I-W Chen, *Appl. Phys. Lett.*, 2009, **94**, 202103.
- ⁸ I. Tsuji, Y. Shimodaira, H. Kato, H. Kobayashi and A. Kudo, *Chem. Mater.* 2010, **22**, 1402 1409.
- ⁹ D.B. Mitzi, O. Gunawan, T.K. Todorov, K. Wang and S. Guha, *Sol. Energ. Mat. Sol C*, 2011, **95**, 1421–1436.
- ¹⁰ T. Gokmen, O. Gunawan, T.K. Todorov and D.B. Mitzi, *Appl. Phys. Lett*, 2013, **103**, 103506.
- ¹¹ J.J.S. Scragg,, J. K. Larsen, M.. Kumar, C. Persson, J. Sendler, S. Siebentritt and C. Platzer Björkman, *Phys. Status Solidi B*, 2016, **253**, 247-254.
- ¹² S. Bourdais, C. Choné, B. Delatouche, A. Jacob, G. Larramona, C. Moisan, A. Lafond, F. Donatini, G. Rey, S. Siebentritt, A. Walsh and G. Dennler, *Adv. Energy Mater.*, 2016, **6**, 1502276,
- ¹³ J. Paier, R. Asahi, A. Nagoya and G. Kresse, *Phys. Rev. B*, 2009, **79**, 115126.
- ¹⁴ S. Siebebtritt and S. Schorr, *Prog. Photovolt. Res. Appl.*, 2012, **20**, 512-519.
- ¹⁵ A. Lafond, L. Choubrac, C. Guillot-Deudon, P. Deniard and S. Jobic, *Z. Anorg. Allg. Chem.*, 2012, **638**, 2571-2577.
- ¹⁶ W. Y. Gong, T. Tabata, K. Takei, M. Morihama, T. Maeda and T. Wada, *Phys. Status Solidi C*, 2015, **12**, 700-703.
- ¹⁷ J. M. Skelton, A. J. Jackson, M. Dimitrievska, S. K. Wallace and A. Walsh, *Apl Materials*, 2015, **3**, 041102.

View Article Online DOI: 10.1039/C9CP03630J

- ¹⁸ D. F. Zou, G. Z. Nie, Y. Li, Y. Xu, J. G. Lin, H. R. Zheng and J. Y. Li, *RSC Adv*. 2015, **5**, 24908-24914.
- ¹⁹ C. Raju, M. Falmbigl, P. Rogl, X. Yan, E. Bauer, J. Horky, M. Zehetbauer and R. C. Mallik, *AIP Adv.*, 2013, **3**, 032106.
- ²⁰ Y. K. Dong, H. Wang and G. S. Nolas, *Inorg. Chem.*, 2013, **52**, 14364-14367.
- ²¹ R. Chetty, A. Ball, O.E. Femi, K. Chattopadhyay, R.C. Mallik, *J. Electron. Mater.* **2015**, *45*, 1625-1632.,
- ²² W.G. Zeier, H. Zhu, Z.M. Gibbs, G. Cedre, W. Tremel and G.J. Snyder, *J. Mater. Chem. C*, 2014, **2**, 10189-10194.
- ²³ S. Chen, X. G. Gong, A. Walsh and S.-H. Wei, *Appl. Phys. Lett.*, 2009, **94**, 041903.
- ²⁴ S. Chen, A. Walsh, J.-H. Yang, X. G. Gong, L. Sun, P.-X. Yang, J.-H. Chu and S.-H. Wei, *Phys. Rev. B*, 2011, **83**, 165211.
- ²⁵ W. G. Zeier, C. P. Heinrich, T. Day, C. Panithipongwut, G. Kieslich, G. Brunklaus, G. J. Snyder and W. Tremel, *J. Mater. Chem. A*, 2014, **2**, 1790-1794.
- ²⁶ S. Schorr, Sol. Energy Mater. Sol. Cells, 2011, **95**, 1482-1488.
- ²⁷ M. Guc, S. Levcenko, V. Izquierdo-Roca, X. Fontane, E Arushanov and A. Perez-Rodriguez, *J. Appl. Phys.*, 2013, **114**, 193514.
- ²⁸ R. Gunder, J.A. Marquez-Prieto, G. Gurieva, T. Unold and S. Schorr, *CrystEngComm*, 2018, **20**, 1491-1498.
- ²⁹ A. Ritscher, A. Franz, S. Schorr and M. Lerch, *J. Alloys Compd.* 2016, **689**, 271-277.
- ³⁰ G. Gurieva, L.E.V. Rios, A. Franz, P. Whitfield and S. Schorr, *J. Appl. Phys.*, 2018, **123**, 161519.
- ³¹ L. Choubrac, A. Lafond, C. Guillot-Deudon, Y. Moëlo and S. Jobic, *Inorg. Chem.*, 2012, **51**, 3346-3348.
- ³² Z.-K. Yuan, S. Chen, H. Xiang, X.-G. Gong, A. Walsh, J.-S. Park, I. Repins, S.-H. Wei, *Adv. Funct. Mater.* 2015, **25**, 6733–6743
- ³³ DOI:10.5286/ISIS.E.67768949.
- ³⁴ A.C. Larson and R.B. Von Dreele, *Los Alamos National Laboratory Report* LAUR 1994, 86–784.
- ³⁵ G. Kresse and J. Furthmuller, *Phys. Rev. B*, 1996, **54**, 11169-11186.
- ³⁶ G. Kresse and J. Furthmüller, Comp. Mater. Sci., 1996, 6, 15-50.
- ³⁷ G. Kresse and J. Hafner, *Phys. Rev. B*, 1994, **49**, 14251-14269.
- ³⁸ J. Heyd, G. E. Scuseria and M. Ernzerhof, *J Chem. Phys.*, 2003, **118**, 8207-8215.
- ³⁹ J. Heyd, G. E. Scuseria and M. Ernzerhof, *J Chem. Phys.*, 2006, **124**, 219906.

View Article Online DOI: 10.1039/C9CP03630J

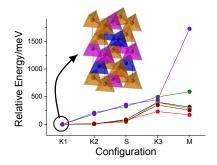
- ⁴⁰ T. M. Henderson, J. Paier and G. E. Scuseria, *Phy. Status Solidi B*, 2011, **248**, 767-774.
- ⁴¹ G. Kresse and D. Joubert, *Phys .Rev. B*, 1999, **59**, 1758-1775.
- ⁴² P. E. Blochl, *Phys. Rev. B*, 1994, **50**, 17953-17979.

Published on 16 August 2019. Downloaded by University of Reading on 8/16/2019 1:53:59 PM.

- ⁴³ R. Grau-Crespo, S. Hamad, C. R. A. Catlow and N. H. de Leeuw, *J. Phys-Condens. Mat.*, 2007, **19**, 256201.
- ⁴⁴ A. Ritscher, M. Hoelzel and M. Lerch, *J. Solid State Chem.*, 2016, **238**, 68–73.
- ⁴⁵ R.D. Shannon, *Acta Crystallogr. Sect. A*, 1976, **32**, 751-767.
- ⁴⁶ C. Maa, H. Guoa, K. Zhanga, N. Yuana and J. Dinga, *Mater. Lett.*, 2017, **186**, 390–393.

Table of Contents Entry

View Article Online DOI: 10.1039/C9CP03630J



Neutron diffraction coupled with Density Functional Theory provides new insights into the structural features of quaternary chaclogenides