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Are low doses of caffeine as ergogenic as higher doses? A critical review highlighting the need for comparison with current best practice in caffeine research

Pickering, Craig and Kiely, John

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Title: Are low doses of caffeine as ergogenic as higher doses? A critical review highlighting the need for comparison to current best practice in caffeine research.

Short Title: Low versus high caffeine doses

Authors: Craig Pickering¹, John Kiely¹

1. Institute of Coaching and Performance, University of Central Lancashire, Preston, UK

Corresponding Author:

Craig Pickering

Institute of Coaching and Performance, University of Central Lancashire, Fylde Road, Preston, PR1 2HE, UK.

Email: craigpickering1014@hotmail.com

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29 **Are low doses of caffeine as ergogenic as higher doses? A critical review highlighting the need for**
30 **comparison to current best practice in caffeine research.**

31

32 **Abstract**

33

34 Caffeine is a popular and widely utilised sporting ergogenic aid. Over the years, the effects of different caffeine
35 doses have been researched, with the general consensus being that 3-6 mg/kg of caffeine represents the optimal
36 caffeine dose for most people. Recently there has been increased attention placed on lower (≤ 3 mg/kg) caffeine
37 doses, with some research suggesting these doses are also ergogenic. However, a critical consideration for
38 athletes is not merely whether caffeine is ergogenic at a given dose, but whether the consumed dose provides an
39 optimised performance benefit. Following this logic, we identify a potential oversight in the current research
40 relating to the efficacy of lower caffeine doses. Although low caffeine doses do appear to bestow ergogenic
41 effects, these effects have not been adequately compared to the currently accepted best practice dose of 3-6
42 mg/kg. This methodological oversight limits the practical conclusions we can extract from the research into the
43 efficacy of lower doses of caffeine, as the relative ergogenic benefits between low and recommended doses
44 remains unclear. Here, we examine existing research with a critical eye, and provide recommendations both for
45 those looking to utilise caffeine to enhance their performance, and those conducting research into caffeine and
46 sport.

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48 Key Words: Caffeine, ergogenic, low-dose, supplement, sports drink

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1. Introduction

Of all sporting ergogenic aids, caffeine (1,3,7-trimethylxanthine) is the most popular, with approximately 75% of athletes consuming it either before or during competition [1,2]. Indeed, caffeine has such a reliable performance enhancing effect that, for over twenty years (1984-2004), high doses were banned for within-competition use by the World Anti-Doping Agency (WADA), and caffeine remains on their active monitoring programme to this day. The ergogenic effects of caffeine ingestion have been demonstrated across a wide range of sports, including endurance [3] and team sports [4], and across different exercise methods and modalities, including repeated high-intensity efforts [5], muscular endurance [6], maximum strength [7] and anaerobic performance [8].

Whilst the ergogenic effects of caffeine have been known for over 100 years [9], the broad array of potential mechanisms by which caffeine exerts its performance enhancing effects have only more recently been more fully elucidated. The most well-established mechanism is that of caffeine's role as a competitive adenosine receptor antagonist [10], dampening adenosine's downregulation of Central Nervous System arousal [11]. In turn, this promotes the release of a spectrum of neuro-chemicals, including dopamine and the excitatory neurotransmitter glutamate [12], thereby increasing muscle firing rates [13]. Caffeine also stimulates adrenaline secretion [14], alters substrate utilization and metabolism [15], and increases cellular ion release [16]. More recently, the relationship between caffeine, pain, and exercise performance has been explored, with current evidence suggesting that caffeine decreases pain perception, which in turn reduces rating of perceived exertion (RPE) [17] and enhances exercise capacity [18]. Latterly, it has been proposed that caffeine's bitter taste may drive some of its performance enhancing benefits [19], in a similar fashion to the documented effects of the bitter tasting compound quinine [20]; such observations may explain the ergogenic effects of caffeine-infused mouth-rinses [21].

Given that caffeine's effects have been extensively researched, and consistently, reliably and repeatedly demonstrated to improve—and only very rarely shown to harm [22]—exercise performance, its use is pervasive amongst both professional and amateur athletes alike [1,2]. This extensive use has resulted in the

89 formulation of best practice guidelines by numerous professional bodies. The International Society of Sports
90 Nutrition's position stand on caffeine [23], for example, summarizes that caffeine is effective at enhancing
91 performance at dosages considered to be moderate (~3-6 mg/kg), consumed approximately 60 minutes prior to
92 performance, with no additional ergogenic effects seen with higher caffeine doses (>9 mg/kg). Such
93 recommendations have been echoed elsewhere, both in the scientific literature [14,16] and lay press.
94 Interestingly, however, a number of studies have recently shown that lower doses of caffeine, typically of ≤ 3
95 mg/kg, are also ergogenic [24]. In this article, we examine the evidence underpinning this finding, and explore
96 whether low doses (≤ 3 mg/kg) of caffeine pre-exercise offer comparable ergogenic benefits to the more
97 conventionally recommended intakes (3-6 mg/kg); such an examination is crucial, as athletes are likely
98 interested in whether their caffeine dose offers the *maximal* ergogenic benefits, as opposed to just *an* ergogenic
99 effect. Finally, we note some methodological recommendations that researchers may wish to consider when
100 conducting low dose caffeine research in the future.

101

102 2. Are low doses of caffeine ergogenic?

103

104 Whilst, historically, high doses (up to 13 mg/kg) of caffeine have been used to induce ergogenic effects
105 [25], more recently there has been an increasing focus on the use of more moderate (~3-6 mg/kg) caffeine doses
106 [26]. The success of these trials in turn has prompted research investigating the efficacy of lower doses of
107 caffeine (≤ 3 mg/kg). Whilst the number of these trials is relatively low, a recent review by Spriet [24] concluded
108 that these lower caffeine doses, when consumed prior to exercise, likely enhanced athletic performance.
109 Similarly, a recent meta-analysis of the ergogenic effects of caffeine-containing energy drinks, the majority of
110 which had a dose of ≤ 3 mg/kg, concluded that ingestion of these drinks improved performance [27].
111 Accordingly, in general, the evidence to date supports the perspective that lower doses of caffeine are ergogenic
112 for sports performance, particularly with regards to endurance sport. However, perhaps a more pertinent
113 consideration for athletes is whether these low doses of caffeine are as effective in enhancing performance as
114 the more conventional, higher doses? As athletes consume caffeine primarily to improve performance, and
115 presumably wish to improve their performance to the maximum amount possible, this is an important
116 consideration. If low doses of caffeine are ergogenic, but not as ergogenic as higher doses, then athletes
117 consuming these lower doses may be leaving some potential performance improvements on the table. As such,

118 the question as to whether or not low (≤ 3 mg/kg) doses of caffeine exert similar ergogenic effects as more
119 conventional, moderate (3-6 mg/kg) doses seems highly relevant.

120

121 There are two ways by which we could determine whether low doses of caffeine are as ergogenic as
122 higher doses. Firstly, we could compare the magnitude of improvements seen between studies; for example,
123 determining whether the size of the ergogenic effect is greater in those studies that utilise 6 mg/kg compared to
124 2 mg/kg. This superficially simple approach, however, is surprisingly problematic, because the magnitude of
125 caffeine-derived performance enhancement is highly variable between both trials and subjects [28]. As
126 illustration, consider the array of variables which interact to modulate caffeine ergogenesis; genotype
127 [22,29,30], training status [31], habitual caffeine use [32], sex [33], caffeine source [34], age [35], expectancy
128 [36], exercise type [37], and time of day of exercise [38]. Given the extensive differences between study
129 methodologies and recruited populations, it seems unlikely that such a comparison would provide the desired,
130 and necessary, conceptual clarity.

131

132 Instead, a better option might be to have low-dose and high-dose caffeine trials within each study,
133 thereby allowing for a direct comparison between the different caffeine doses. Although seemingly sensible,
134 such an approach is surprisingly uncommon. In a recent review, Spriet [24] concluded that low caffeine doses
135 (≤ 3 mg/kg), taken before exercise, enhanced athletic performance compared to placebo. However, the vast
136 majority of the studies included in Spriet's [24] review (summarized in table 1) did not directly compare a low
137 dose (≤ 3 mg/kg) of caffeine with a higher dose (> 3 mg/kg). In fact, only 4 of the 14 studies did so [39-42]. Of
138 these four, there were mixed results; two reported no additional benefits from 6 mg/kg of caffeine compared to
139 3mg/kg of caffeine when examining aerobic endurance performance [39,41]; one reported that 4.5 mg/kg
140 enhanced aerobic endurance performance to a greater extent than 3.2 mg/kg, which in turn was more ergogenic
141 than a dose of 2.1 mg/kg [40]; and one found that 5 mg/kg enhanced maximum knee flexion and extension
142 isokinetic torque, whilst 2 mg/kg did not [42]. The remaining studies either did not use a caffeine dose above 3
143 mg/kg in their comparison [43-45], or only used a single caffeine dose (≤ 3 mg/kg), and compared this to
144 placebo [46-52]. We identified additional papers published following Spriet's [24] review that directly
145 examined a low versus high dose of caffeine [22,53-56]. Of these, Arazi and colleagues [53] reported no
146 difference in performance between a low (2 mg/kg) and high (5 mg/kg) caffeine dose—a finding replicated by
147 Guest and colleagues [22] with doses of 2 and 4 mg/kg on a 10kg cycle ergometer time trial—whilst others [53-

148 55] reported mixed results, in part because of the large number of performance tests utilised. Interestingly, Sabol
 149 and colleagues [56] reported similar improvements in vertical jump performance following ingestion of 2, 4,
 150 and 6 mg/kg of caffeine, whilst upper body ballistic exercise performance was only enhanced following a dose
 151 of 6 mg/kg. Consequently, due to both the equivocal results of the small numbers of trials directly investigating
 152 this phenomenon, and the lack of higher caffeine doses utilised in other trials, it is unclear whether lower doses
 153 of caffeine are as ergogenic as higher doses. Recently, Talanian & Spriet [57] suggested that, based on their
 154 interpretations of five lower-dose caffeine studies [26,40,43,44,57] that the timing of the lower caffeine dose
 155 may be a crucial aspect, with ingestion less than 60 minutes pre-exercise associated with a greater performance
 156 benefit than later ingestion (80-180 minutes pre-exercise).
 157
 158

Study	Subjects	Caffeine Timing	Exercise	Caffeine Dose	Comparison to best practice?	Finding
Graham and Spriet [39]	8 well-trained males	60 minutes pre-exercise	TTE run at 85% VO2max	0 (placebo), 3, 6, & 9 mg/kg	Yes	Endurance was equally enhanced in both 3 and 6 mg/kg caffeine trials
Kovacs et al. [40]	15 well-trained males	60% of solution 60 minutes pre-exercise, and 20% at two time points within	1-hour maximum cycle	0 (Placebo), 2.1, 3.2, 4.5 mg/kg	Yes	Performance was enhanced to the greatest extent in 4.5 mg/kg, then 3.2 mg/kg, then 2.1 mg/kg.

		exercise trial.				
Jenkins et al. [44]	13 trained male cyclists	60 minutes pre-exercise	15 minutes VO2 peak performance cycle	0 (placebo), 1, 2, 3 mg/kg	No	Compared to placebo, only 2 mg/kg significantly enhanced performance
Desbrow et al. [43]	9 trained male cyclists	60 minutes pre-exercise	120 min steady state cycle, followed by TT.	0 (placebo), 1.5, 3 mg/kg	No	No performance enhancement with caffeine
Irwin et al. [50]	12 trained male cyclists	90 minutes pre-exercise	Cycle TT	0 (placebo) or 3 mg/kg	No	Caffeine enhances performance compared to placebo
Desbrow et al. [41]	16 trained cyclists	90 minutes pre-exercise	60 min cycle at 75% peak sustainable power	0 (placebo), 3, 6 mg/kg	Yes	No additional benefit of 6 mg/kg compared to 3 mg/kg
Wiles et al. [46]	34 male athletes	60 minutes pre-exercise	1500m run	~150-200 mg from coffee (3g total coffee)	No	Caffeine enhanced performance.
Van Nieuwenhoven et al. [47]	98 well trained male and females	At start, 4.5, 9 and 13.5 km of exercise trial	18km run	90 mg	No	No effect of caffeine

Bridge & Jones [48]	8 male runners	60 minutes pre-exercise	8km race	0 (Placebo), 3 mg/kg, or no supplement.	No	Caffeine enhanced performance.
Schubert et al. [45]	6 male runners	65 minutes pre-exercise	5km run TT	0 (placebo), 80 mg, 140 mg)	No	No differences in caffeine consumption trials when compared to placebo.
Perez-Lopez et al. [52]	13 elite female volleyball players	60 minutes pre-exercise	Volleyball specific tests	0 (placebo) and 3 mg/kg	No	Caffeine enhanced performance.
Del Coso et al. [51]	15 male volleyball players	60 minutes pre-exercise	Volleyball specific tests	0 (placebo) and 3 mg/kg	No	Caffeine enhanced performance.
Strecker et al. [49]	10 male tennis players	90 minutes pre-exercise	Tennis skill performance	0 (placebo) and 3 mg/kg	No	Caffeine enhanced performance.
Astorino et al. [42]	15 active males	60 minutes pre-exercise	40 maximal knee extensions	0 (placebo), 2, 5 mg/kg	Yes	Only the 5mg/kg dose enhanced performance.
Talanian & Spriet [57]	15 cyclists (n=4 female)	40 (~42% total), 20 (~33%	Time to completion cycle	0 (placebo),	No	Higher caffeine dose enhanced time-trial

		total) and 0 (~25%) minutes pre-time trial	ergometer test	~1.5, ~2.9 mg/kg		performance to a greater extent than lower dose.
Tallis & Yavuz [55]	10 active males	60 minutes pre-exercise	Isokinetic concentric and eccentric strength at 60 & 180 deg/s of elbow and knee flexors	0 (placebo), 3 and 6 mg/kg	Yes	No effect of caffeine on elbow flexor (concentric and eccentric) or knee (eccentric) flexor strength. Both caffeine doses increased concentric force in knee extensors at 180 deg/s, with no difference between doses. Only the higher (6 mg/kg) dose enhanced force during repeated contractions.
Turley et al. [54]	26 young (8-10y) boys	60 minutes pre-exercise	Hand grip and Wingate tests..	0, (placebo), 1, 3 and 5 mg/kg	Yes	Grip strength – significantly higher in 3 and 5 mg/kg caffeine trials. Wingate – 3 mg/kg produced greatest peak power, whilst

						5 mg/kg produced greatest mean power.
Arazi et al. [53]	10 female karate athletes	60 minutes pre-exercise	1RM leg press, leg press repetitions to failure, vertical jump, RAST test.	0 (placebo), 2 and 5 mg/kg	Yes	No significant difference in test performance between groups.
Sabol et al [56]	20 recreationally active males	60 minutes pre-exercise	Medicine ball throw and vertical jump	0 (placebo), 2, 4, and 6 mg/kg	Yes	No difference between caffeine doses in terms of lower body performance enhancement. Only 6 mg/kg enhanced upper body performance.
Guest et al [22]	101 competitive males	~45 minutes pre-exercise	10km cycle ergometer time trial	0 (placebo), 2 and 4 mg/kg	Yes	No difference in performance enhancement between caffeine doses; both enhanced performance compared to placebo.

160

161 Table 1 – A summary of studies examining the impact of low doses of pre-exercise caffeine on sports
162 performance. For the purposes of this table, a low dose of caffeine is defined as 3mg/kg or less. (Adapted from
163 Spriet [24]; studies that did not utilise a pre-exercise caffeine dose, or those that only used a caffeine dose
164 greater than 3mg/kg, were excluded, and additional relevant papers published since that review have been
165 added). 1RM; one repetition maximum. RAST; running-based anaerobic sprint test.

166

167 3. A potential solution?

168

169 This is not to suggest that these methodological shortcomings are the fault of researchers. Commonly,
170 investigations are designed to explore phenomena tangentially bordering, but not directly targeting, this
171 experimental question. However, based on our interpretation of the research, it is clear that, to decisively answer
172 this question, additional trials that directly compare low caffeine doses with those falling into line with the
173 currently accepted optimal dose (3-6 mg/kg), are required. Such research would remove much of the existing
174 ambiguity permeating caffeine research. An equivalent approach is considered best-practice in the realm of
175 medical drug development, where randomised controlled trials are designed to directly compare new drugs with
176 the best currently available treatment as the optimal approach [58]. Accordingly, it is not sufficient to
177 demonstrate that a new intervention is more effective than placebo, but that it produces better results than the
178 currently accepted best treatment.

179

180 An illustrative example is that of research into caffeinated chewing gum, an increasing popular
181 ergogenic aid in sport [19]. Studies investigating the ergogenic effects of caffeinated gum on aerobic endurance
182 performance are currently equivocal. As per a recent review [19], two studies [59,60] reported no ergogenic
183 effect of caffeinated gum on aerobic endurance performance, whilst three studies [61-63] reported a positive
184 effect. An obvious distinction between these trials is the dose; the “no effect” findings occurred following a dose
185 of 200 mg, whilst the positive effect trials employed a dose of 300 mg. If we assume an average subject mass of
186 ~80 kg, then 200 mg of caffeine would be classed as a low dose, and 300 mg would fall within the
187 recommended optimal threshold. Here, the inclusion of a trial utilising a currently accepted optimal caffeine
188 dose in the 200 mg studies would potentially resolve the current ambiguity.

189

190 Additionally, there is contemporary debate regarding the impact of regular caffeine consumption on the
191 subsequent ergogenic effects of caffeine, with some studies finding a negative impact of habituation [32], whilst
192 others report none [64]. One potential outcome is that regular caffeine use requires a subsequently larger
193 caffeine dose to exert performance benefits [65]. As such, the dose of caffeine used in experimental trials
194 substantially influences study conclusions, particularly when exploring the effects of habitual use. Recently,
195 Evans and colleagues [66] explored the influence of caffeinated gum, supplying 200 mg of caffeine, on repeated
196 sprint performance in team sport athletes. The initial finding was that caffeine did not confer any ergogenic
197 effects; however, further analysis demonstrated that habitual caffeine use modified the performance
198 enhancement seen following caffeine ingestion; in this case, very low habitual caffeine users (<40 mg/d) did
199 exhibit ergogenic effects, whilst more moderate habitual users (>130 mg/d) did not. Such findings may be
200 interpreted as evidence that habitual use reduced caffeine's ergogenic effects. However, an obvious question
201 emerges; what if the dose of caffeine used was within the currently accepted guidelines, as opposed to <3
202 mg/kg? As this wasn't explored, the answer remains unclear. Again, this is not an attack on the authors, who
203 were exploring a different research question, but it nevertheless underscores the point that increasingly robust
204 conclusions could be inferred from caffeine research if the currently accepted optimal dose was included.

205

206 **4. How robust is the currently accepted optimal dose?**

207

208 For the purposes of this review, we have defined the currently accepted optimal dose of caffeine as
209 between 3 and 6 mg/kg. This figure is based on a number of different reviews and positions stands [14,23].
210 Furthermore, it is not suggested that there are any additional ergogenic effects associated with a dose above this
211 [25]. However, there is considerable inter-individual variation in the ergogenic effects of caffeine ingestion [68].
212 This phenomenon becomes apparent when caffeine studies report individual subject data. Jenkins et al. [44], for
213 example, examined the effects of lower caffeine doses (1, 2, and 3 mg/kg) compared to placebo on a 15-minute
214 maximum cycle. Of the 13 subjects, one did not exhibit an ergogenic effect at any dose, whilst four found
215 caffeine ergogenic at every dose, but to different extents. Graham and Spriet [39] demonstrated that 9 mg/kg of
216 caffeine improved time-to-exhaustion in seven subjects, but with the percentage improvements compared
217 against the placebo trial varying from 105-250%. Neither of these studies utilised the currently accepted optimal
218 caffeine dose, so whether the findings would have been replicated under those conditions remains unclear.
219 Nevertheless, the results serve to illustrate the extent of inter-individual responses to caffeine. Furthermore,

220 some studies report no ergogenic effect of caffeine [67], again illustrating that the individual response to a
221 standardised dose of caffeine is highly variable. The drivers of the variation of wide and varied, but can be
222 grossly summarised as genetic, environmental (i.e. non-genetic), and epigenetic factors [68].

223

224 **4.1 Genetic**

225 Variation within *CYP1A2*, the gene encoding for cytochrome P450 1A2—the enzyme responsible for
226 95% of all caffeine metabolism [69]—has been shown to affect caffeine metabolization speed. Here, individuals
227 with a C allele metabolise caffeine slower than AA genotypes [70]. Potentially, this single nucleotide
228 polymorphism (SNP) might impact caffeine ergogenicity, with C allele carriers exhibiting lower [29] or no [22]
229 ergogenic effects. However, these findings are currently tentative, with other studies reporting the opposite [71],
230 or no effect [72] of this polymorphism on performance. The mechanism underpinning this reduced ergogenic
231 effect in C allele carriers is currently unclear. Guest and colleagues [22] suggest that, because caffeine is a
232 vasoconstrictor, slow metabolisers experience this vasoconstriction for a longer period of time, inhibiting the
233 delivery of oxygen and nutrients to the working muscle. Conversely, Womack and colleagues [29] suggest that
234 the downstream metabolites of caffeine (paraxanthine, theobromine, and theophylline) confer their own
235 ergogenic effect; in this case, the presence of these metabolites would be lower in C allele carriers than AA
236 genotypes at a given time point due to the slower metabolization of caffeine. As such, it's not clear whether
237 caffeine has a reduced ergogenic, or even an ergolytic, effect in C allele carriers, or whether they need to ingest
238 caffeine a greater amount of time before exercise [73]. Similarly, there is the potential that a SNP in *ADORA2A*,
239 which encodes for a sub-type of adenosine receptor, may underpin some of the individual variation in response
240 to caffeine, in terms of ergogenicity [30], anxiety [74], and sleep disturbances [75].

241

242 **4.2 Environmental**

243 Alongside these genetic drivers are environmental determinants of individual variation in the response
244 to caffeine, which include age [35], training status [31], habitual caffeine use [32,65], diet [76], medication use
245 [77], and personal belief as to whether caffeine enhances performance [36].

246

247 **4.3 Epigenetics**

248 Habitual caffeine use likely induces long-term epigenetic changes [78,79], which may in turn affect
249 future ergogenic effects, potentially by increasing caffeine metabolization speed [80]. For example, habitual

250 caffeine use increases CYP1A2 activity [81], thereby increasing caffeine clearance, which may alter the
251 expected ergogenic effects of caffeine ingestion. Additionally, long-term exposure to caffeine may alter its
252 stimulatory effects, partly mediated by inhibition of genes affecting the adenosine pathway [82].

253

254 Accordingly, whilst caffeine is ergogenic, the currently accepted optimal caffeine dose may not be
255 optimal for everyone [68]. Some individuals may benefit from lower doses of caffeine (discussed below), whilst
256 others may need higher doses. Nevertheless, at present the abundance of evidence does suggest that, for most
257 people, most of the time, a caffeine dose of between 3-6 mg/kg likely is sufficient to realise the optimum
258 ergogenic effects. Indeed, Burke [83] suggested that the dose-response relationship of caffeine on performance
259 appears to plateau at around 3 mg/kg. As such, this dose may represent a target threshold to maximise caffeine's
260 ergogenic effects, although higher doses are indeed ergogenic, and in some cases may be required, such as in
261 habitual users [65]. Sensibly, the recommendations of 3-6 mg/kg should be taken as a starting point, from which
262 individual experimentation can be used to refine pre-training and pre-competition caffeine strategies.

263

264 **5. When might lower doses of caffeine be more appropriate?**

265

266 The purpose of this article is not to discount the ergogenic potential of lower doses of caffeine; indeed,
267 available evidence suggests that these lower doses can enhance performance [24]. Furthermore, the use of lower
268 doses of caffeine may be preferential in certain situations. Higher doses of caffeine, for example, appear to be
269 more likely to induce negative side-effects, such as anxiety [84] and sleep disturbances [85]. From a sporting
270 perspective, both of these outcomes have the potential to negatively impact performance [86,87]. Furthermore,
271 sleep disturbances following caffeine ingestion may reduce recovery from exercise and/or competition, and
272 subsequently harm physical performance the following day [87]. In these cases, individual athletes need to make
273 informed, strategic decisions negotiating the trade-off between the optimised ergogenic effects seen with higher
274 doses of caffeine against the potential for increased anxiety or compromised sleep. Here, the context is critical;
275 arguably, the athlete would be more concerned with sleep disturbances if there is a high priority competitive
276 bout in the proceeding few days, such as during the heats at the Olympic Games, as opposed to an Olympic
277 Final, when no subsequent performance is required. Conversely, athletes predisposed to greater pre-competition
278 anxiety may wish to consume less caffeine prior to important competitions than they would for lower level
279 competitions and training, as caffeine may exacerbate this anxiety-promoting predisposition.

280

281 Similarly, differences in genotype may predispose individuals to respond well to lower doses of
282 caffeine. Preliminary evidence suggests, for example, that moderate doses of caffeine (4 mg/kg) are harmful to
283 endurance performance in *CYP1A2* genotypes [22]. However, a dose of 2 mg/kg showed no performance
284 decrement, suggesting that lower doses for these individuals may be more favourable than higher doses. Whilst
285 further clarification is required, the potential for genetically-guided caffeine recommendations to be made, with
286 certain genotypes potentially responding better to lower caffeine doses, remains a future possibility [68,73].

287

288 Regular ingestion of lower doses of caffeine may also guard against habituation to higher doses, which
289 has been shown to negatively affect the ergogenic benefits of a caffeine dose [32,65], although this remains
290 equivocal [64]. There is the potential that regular ingestion of caffeine increases the amount of caffeine required
291 to realise the ergogenic effects, such that if an athlete habitually consumed 3 mg/kg of caffeine pre-training,
292 they might require a caffeine dose closer to 6 mg/kg pre-competition [65]. This may increase the potential for
293 adverse side effects, and, if the habitual dose increases over time, might take the athlete to a point in which
294 further increases in dose don't restore the optimised ergogenic effect of caffeine. In this scenario, habitual use of
295 lower caffeine doses (~3 mg/kg) may facilitate an increased pre-competition dose, thereby allowing for both
296 enhancement of regular training, along with competition performance.

297

298 6. Conclusions

299

300 In summary, the existing research is clear that low doses of caffeine are ergogenic [24]. However, to
301 derive more robust conclusions there is an evident need within these studies for a direct comparison with the
302 currently accepted optimal caffeine dose (>3 to 6 mg/kg). The majority of studies that support the ergogenic
303 benefits of low doses of caffeine do not compare these low doses to the caffeine doses more typically considered
304 to be ergogenic. As a result, whilst low doses of caffeine do offer a performance benefit, it's not clear that this
305 performance benefit is greater than, or indeed equal to, that offered by caffeine doses between 3 and 6 mg/kg.
306 The addition of a caffeine trial utilising 3-6 mg/kg of caffeine would therefore greatly aid in the interpretation of
307 such findings, and so should be considered in future research.

308

309 We hope that the points raised here enable athletes, coaches, support staff, and perhaps even
310 researchers to better critique the studies underpinning their caffeine strategies and recommendations. Moving
311 forward, we also recommend that caffeine researchers include a trial that utilizes the currently accepted optimal
312 dose of caffeine – even if this dose is not optimal for everyone – in order to enable more direct comparisons
313 between studies, and thereby enabling firmer conclusions to be made. Finally, as per our previous explorations
314 of caffeine use in sport [65,68], we urge athletes and practitioners to experiment with different caffeine doses,
315 timing, and ingestion methods in order to uncover the strategies best suiting their unique genetic predispositions,
316 environmental influences, and individual histories.

317

318 **Novelty Statement & Practical Applications**

319 This critical review has demonstrated that, whilst lower doses (≤ 3 mg/kg) of caffeine have the potential to be
320 ergogenic, it's not clear whether such doses are as ergogenic as higher doses. The main cause of this uncertainty
321 is due to a lack of trials directly comparing low and high doses of caffeine. As such, athletes, coaches and
322 practitioners looking to utilise caffeine as a means to enhance performance would be best placed to experiment
323 with various different caffeine doses in order to determine the optimal dose to enhance their performance, given
324 their own unique biology, history, and performance requirements.

325

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329

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