

1 **Short communication:**

2 **Clinical application of a 3-dimensional morphometric apparatus for**  
3 **diagnosis and treatment of a Class III patient with facial asymmetry: a pilot**  
4 **study**

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1 **Abstract**

2 This article demonstrated the usefulness of a non-contact 3-dimensional  
3 (3D) morphometric apparatus in orthodontic diagnosis and treatment evaluation.  
4 A female patient, 23 years 6 months of age, had a Class III malocclusion with  
5 mandibular deviation. The 3D images taken by a 3D morphometric apparatus  
6 figured out her protrusive chin of 6 mm on the deviation side compared to the  
7 non-deviation side, and showed a possibility of orthognathic surgery. Before  
8 starting of orthodontic treatment, a diagnostic splint was used for 2 months to  
9 determine her proper mandibular position. The 3D images retaken for quantitative  
10 evaluation showed decrease of the mandibular protrusion by approximately 3 mm,  
11 and improvement of facial asymmetry. Then, we decided to treat the patient  
12 without orthognathic surgery. After 18 months of active orthodontic treatment with  
13 miniscrew anchorage, the mandibular deviation was improved and an acceptable  
14 occlusion was achieved. The 3D images at posttreatment demonstrated  
15 significant decrease of chin protrusion on the deviation side, and improvement of  
16 facial asymmetry. In conclusion, a 3D morphometric apparatus could provide  
17 quantitative data of facial asymmetry and chin protrusion and contributed  
18 decision making process of treatment planning in a patient with facial asymmetry.

1 **Introduction**

2 Evaluation of facial proportions is certainly important for orthodontic  
3 diagnosis because numerous patients complain of their facial esthetics [1-3]. In  
4 general, facial photographs and cephalometric radiographs are taken as  
5 diagnostic records, and frontal view and facial profile are evaluated, respectively.  
6 However, they have the inherent limitation of aesthetic assessment because of  
7 2-dimensions [4, 5].

8 Recently, computed tomography (CT) has been widely used to evaluate 3-  
9 dimensional (3D) morphology of both maxillofacial skeleton and soft tissue [6,  
10 7]. Images of 3D-CT are quite useful as a diagnostic record but include some  
11 concerns, i.e., higher radiation exposure and medical costs compared to  
12 traditional radiographs [7]. Moreover, it is difficult to appreciate facial soft tissue  
13 in a natural head position because CT images are generally taken under dorsal  
14 position.

15 In medical field, a non-contact 3D morphometric apparatus is often applied  
16 for 3D assessment such as evaluation of breast reconstruction surgery and  
17 designing an auricular prosthesis [8, 9]. This system is easy to use as it is  
18 automated and picture-capturing process is within less than 5 minutes. The 3D  
19 photographs are taken in a natural head position. Additionally, these images can  
20 be inverted, rotated, translated and zoomed. Therefore, it allows for more precise  
21 measurement and analysis of facial soft tissues, particularly in frontal views.  
22 Against these background, this device is becoming more acceptable in dental  
23 field [10].

24 In this article, we demonstrate the usefulness of a non-contact 3D

1 morphometric apparatus for quantitative evaluation of facial soft tissues and  
2 orthodontic diagnosis and treatment evaluation in a patient with mandibular  
3 deviation.

4

## 5 **CASE PRESENTATION**

6 A female, 23 years 6 months of age, had a chief complaint of protrusive  
7 chin and facial asymmetry (Fig. 1A). Her mandible was deviated 2.5 mm to the  
8 left toward the facial midline. For evaluating the soft tissue, we used a non-contact  
9 3D morphometric apparatus (Danae 200, NEC engineering, Yokohama, Japan)  
10 and a 3D image analyzing software (3D-Rugle, Medic engineering, Kyoto, Japan ).  
11 When image analyzing software was applied, we could compare degree of facial  
12 asymmetry by the customized color scale (in millimeter) on facial deviation maps.  
13 The frontal face was divided at the facial midline and one image (right side) was  
14 inverted and superimposed to the other (left side). As the result, her soft tissue  
15 chin on the deviated (left) side was protruded 6 mm compared to that on the right  
16 side (Fig. 1B). The maxillary arch form was constricted and posterior crossbite  
17 was observed on the left side (Fig. 1C). Both overbite and overjet were 1 mm.  
18 The patient was diagnosed as having an Angle Class III malocclusion, a skeletal  
19 Class I jaw-base relationship with mandibular deviation. Before starting of  
20 orthodontic treatment, an occlusal splint was placed in the maxilla for seeking the  
21 proper mandibular position (Fig. 2A). After splint wear for about 2 months,  
22 mandibular deviation was slightly improved and mandibular dental midline was  
23 almost coincident with the facial midline. Quantitative evaluation with 3D  
24 morphometric apparatus demonstrated that the chin protrusion degree on the

1 deviation side was changed to 0.67- 2.0 mm, compared to that at pretreatment  
2 (Fig. 2B). Then, we decided to treat her with non-surgical camouflage treatment.  
3 After the maxillary expansion with a quad-helix, preadjusted-type multi-bracket  
4 appliances were placed in both arches (Figs. 3A and 3B). Then, 4 miniscrews  
5 (diameter, 1.4 mm; length, 6 or 8 mm; Absoanchor; Dentos, Daegu, South Korea)  
6 were inserted in posterior alveolus to distalize the maxillary and mandibular  
7 dentition (Figs. 3C and 3D). After 18 months of active treatment, the occlusion  
8 was much more stable, and acceptable intercuspation of the teeth was achieved  
9 with Class I canine and molar relationships (Fig. 4C). Dental midlines were  
10 coincident with the facial midline. Mandibular deviation was improved compared  
11 to pretreatment (Fig. 4A, D). 3D morphometric apparatus still indicated the chin  
12 protrusion on the deviated side, but it was significantly decreased to less than 3.3  
13 mm (Fig. 4B).

14

## 15 **DISCUSSION**

16 Mandibular deviation is one of the most common problems in facial  
17 aesthetics [11]. In severe cases, patients have facial asymmetry and tend to  
18 undergo orthognathic surgery [12, 13]. On the other hand, recent development of  
19 temporary anchorage devices (TADs) gives these patients more chances to avoid  
20 orthognathic surgery because TADs provide various tooth movement including  
21 molar intrusion which could not be achieved with traditional orthodontic  
22 mechanics [14, 15]. Therefore, accurate evaluation of facial asymmetry and  
23 predictable treatment planning are more important in modern orthodontics.

1           In the present case, we applied a 3D morphometric apparatus for three  
2 intended purposes through orthodontic treatment of a patient with mandibular  
3 deviation. We should focus on lower face line that mandibular deviation was  
4 clearly reflected. In the initial examination, it was used for quantification of facial  
5 asymmetry in a frontal view. The patients are taken the images in a natural head  
6 position, eye open and relaxed facial musculature. By image analyzing software,  
7 a mirror-image analysis is performed to objectively quantify the degree of facial  
8 asymmetry. As the result, the color map could graphically show the differences  
9 of antero-posterior chin protrusion between deviation side and non-deviation side.  
10 The color maps allow precise visualization of condition and are very convenient  
11 for clinicians for analysis of facial asymmetry and its explanation for the patient.

12           Secondary, it was applied for quantitative comparison of soft tissue profile  
13 before and after a splint therapy. A diagnostic splint therapy was performed to  
14 confirm the proper mandibular position without occlusal interference by posterior  
15 crossbite. As the result of 3D image comparison before and after the procedure,  
16 we could get quantitative data for diagnosis and evidentially predict the results  
17 after removal of occlusal interferences. Mandibular protrusion was definitely  
18 decreased and the mandibular dental midline was coincident with the maxillary  
19 dental midline and facial midline. According to these results, we decided to treat  
20 this patient without orthognathic surgery. In severe mandibular deviation cases,  
21 these detailed analyses of facial asymmetry may not mean a great deal; however,  
22 it must be much important in mild to moderate cases.

23           Finally, treatment results were reassessed after active orthodontic  
24 treatment. In the frontal cephalogram, the mandibular deviation to the left was

1 improved, but it was difficult to evaluate quantitatively. The mirror image at  
2 posttreatment showed significant decrease of chin protrusion on the deviation  
3 side. Superimposition of 3D images at pre- and posttreatment also showed  
4 significant improvement of facial asymmetry with decrease of the volume at left  
5 buccal region and increase of chin protrusion on the right side. These quantitative  
6 assessments are also useful to verify the treatment results for further  
7 advancement of orthodontic treatment.

8         In conclusion, a 3D morphometric apparatus could provide quantitative data  
9 of facial asymmetry and chin protrusion and contributed decision making process  
10 of treatment planning in a patient with facial asymmetry.

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1 **Conflict of Interest Statement**

2 The authors declare that there is no conflict of interest.

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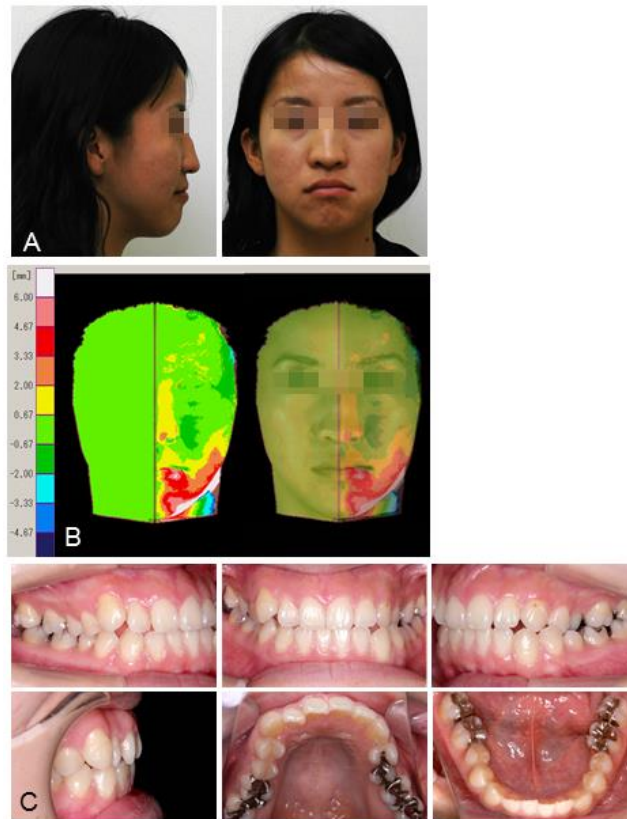
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1 **FIGURE LEGENDS**



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3 Fig. 1 Pretreatment: A, facial photographs; B, 3D color mapping image showed  
4 remarkable chin protrusion on the left side (light red areas indicated 4.67 to 6.0  
5 mm protrusion); C, intraoral photographs.

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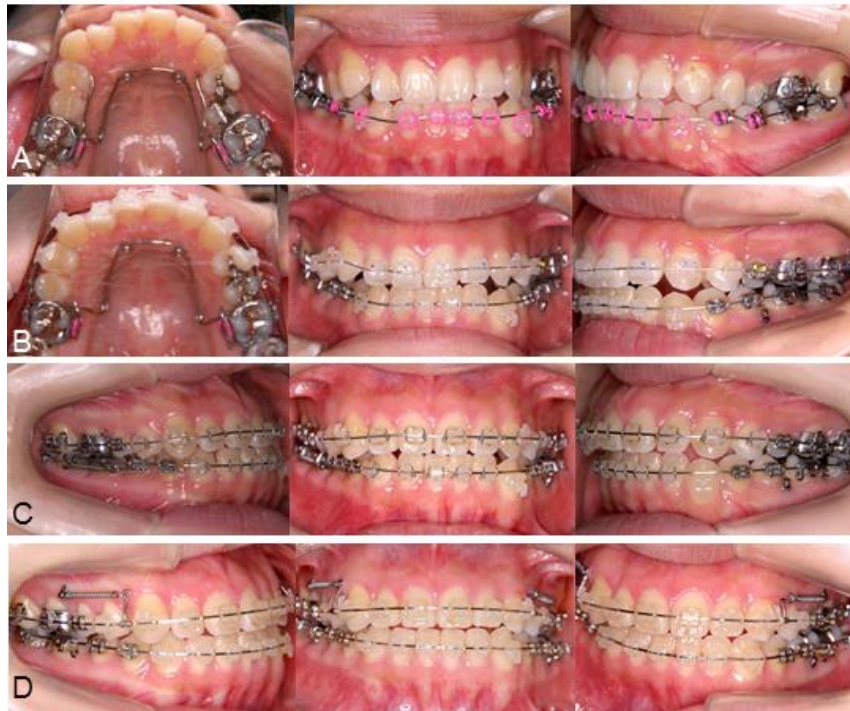


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8 Fig. 2 Diagnostic splint therapy: A, intraoral photographs; B, 3D color mapping  
9 image after the therapy; Superimposition of images at pretreatment and post-

1 splint therapy demonstrated significant reduction of chin protrusion on the left  
2 side (yellow areas indicated 0.67 to 2.0 mm protrusion, which meant  
3 approximately 4 mm setback of the mandible compared to pretreatment).

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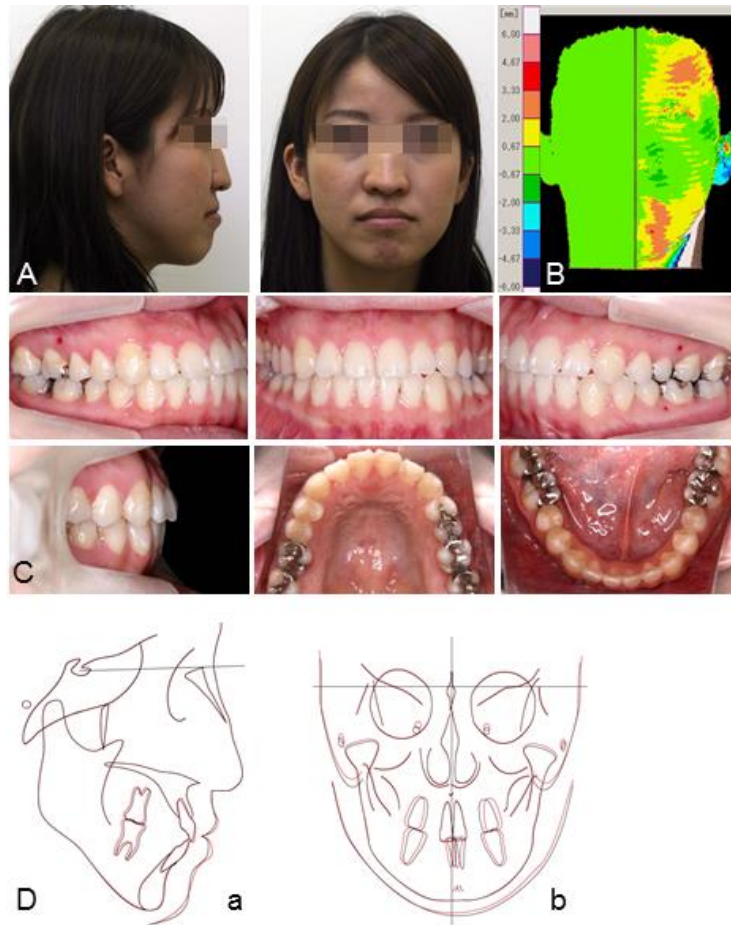
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6 Fig. 3 Treatment progress: A, 1 month after the start of treatment; leveling and  
7 alignment were initiated in the mandible and a quad-helix was placed for the  
8 maxillary lateral expansion; B, 4 months later, after the improvement of posterior  
9 crossbite, leveling was started in the maxilla; C, 8 months later, miniscrews were  
10 implanted in the mandible, and the molar distalization was started with 200 g  
11 nickel-titanium closing coil springs; D, 15 months later, miniscrews were inserted  
12 in the maxilla and group distalization of the maxillary dentition was started.

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Fig. 4 Posttreatment: A, facial photographs; B, 3D color mapping image showed remarkable decrease of chin protrusion on the left side compared to pretreatment (orange areas indicated 2.0 to 3.3 mm protrusion); C, intraoral photographs; D, Cephalometric tracing at pretreatment (black line), posttreatment (red line) superimposed on (a) sella-nasion plane at sella, (b) latero-orbitale line at Crista galli.