# Pneumatic Long-Wave Generation of Tsunami-Length Waveforms and their Runup

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## Abstract

An experimental study is conducted using a pneumatic long-wave generator (also known as a Tsunami Generator). Scaled tsunami waveforms are produced with periods in the range of 5 to 230 seconds and wave amplitudes between 0.03 to 0.14 metres in water depths of 0.7 to 1.0 metres. Using Froude similitude in scaling, at scale 1:50, these laboratory waves are theoretically dynamically equivalent to prototype tsunami waveforms with periods between 1 to 27 minutes and positive wave amplitude between 1.5 to 7.0 metres in water depths of 50 m. The purpose of these tests is to demonstrate that the pneumatic method can generate long waves in relatively short flumes and to investigate their runup. Standard wave parameters, (free-surface, wave celerity and velocity profiles) are used to characterise the waveforms. It is shown that for the purpose of runup and onshore ingression, minimal interference from the re-reflected waves is observed.

By generating tsunami waveforms with periods greater than  $\approx 80$  s ( $\approx 9.5$  mins prototype scale) the available experimental data set is expanded and used to develop a new runup equation. Contrary to the shorter waves, shoaling of these longer waves is insignificant. For waveforms with periods greater  $\approx 100$  s the runup is best described by wave steepness not potential energy. When tested against available runup equations the results are mixed; most perform poorly for scaled tsunami length periods. A segmented regression analysis is performed on the data set and an empirical runup relationship is provided based on a new parameter termed the 'Relative Slope Length'.

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The tests show the definition of offshore wave amplitude is non-trivial and may greatly affect the predicted relative runup of a given wave. It is noted that this appears to be a general issue for all types of tsunami simulation in the laboratory. Together these observations and proposed runup model provide a framework for future numerical studies of the topic. Keywords: Experiments, Pneumatic Generation, Long Wave, Tsunami, Runup

### 1. Introduction

Tsunami waves are progressive gravity waves most commonly generated by under-sea 1 mega-thrust fault motion. Their periods range between  $\approx 90$  to 7000 s ( $\approx 1.5$  mins to 2) 2 hrs, see Brown 2013) and they have sufficient potential energy to present a significant threat 3 to coastal life and the built environment. The Indian Ocean tsunami in 2004 resulted in 4 over two hundred and fifty thousand dead or missing, \$9.9 billion in material damage losses 5 and 1.7 million displaced persons (Telford et al., 2006). Catalogues of past tsunami events 6 are available (NOAA 2017a, NOAA 2017b and Geist and Parsons 2011) and demonstrate 7 the destructive potential of tsunami waves. One of largest recent tsunami is the 2011 Japan 8 event, commonly known as the 2011 Tohoku earthquake and tsunami. The human death toll, 9 according to The National Police Agency of Japan (NPA, 2016) exceeds fifteen thousand. 10 The economic impact measured over the succeeding year from the event is shown by Kajitani 11 et al. (2013) to be over of 211 billion USD in direct damage. 12

One way of reducing human and economic losses from future tsunami events is through improved understanding of the inundation of tsunami on an coastline. Such improvements may lead to better engineering guidelines for coastal infrastructure that are at risk of large tsunami events. These are the main motivations of the presented research.

## 17 1.1. Characterisation of tsunami encroaching on land

One characterisation of the interaction of a tsunami with a coastline is its runup. Runup is 18 defined as the vertical height above static water level of the point of maximum inundation of 19 the tsunami inland. It is a commonly used parameter to describe tsunami-like waveforms in 20 the laboratory (for example Synolakis 1987, Tadepalli and Synolakis 1994, Briggs et al. 1995, 21 Liu et al. 1995, Hughes 2004a, Madsen and Schäffer 2010, Charvet et al. 2013, Saelevik et al. 22 2013, Sriram et al. 2016 and Drähne et al. 2016), and in the assessment of tsunami interaction 23 with a shoreline, particularly for risk analysis, planning and insurance (for example, Imamura 24 2009 and ASCE/SEI 2017). 25

More recently, tsuanmi inundation of the coastline and their over-land flow are also 26 characterised by parameters such as flow velocity and depth. The ASCE/SEI 2017 'Tsunami 27 Loads and Effects' design standard outlines the energy grade line method to analyse the 28 2-dimensional tsunami flow inundation depth and velocity at a specified point onshore. Its 29 use requires the maximum runup and inundation of a given wave and its offshore period and 30 amplitude as inputs. Taubenböck et al. (2013) present the application of the specific energy 31 head to assess the inundation of tsunami on a coastline incorporating the flow depth and 32 velocities. These parametrisations are important when consideration of the tsunami over-33 land flow and velocities is desired. However, relating runup to offshore tsunami parameters 34 remains important to improving mitigative engineering and planning of coastlines. This 35 paper focuses on runup as the parameter that describes tsunami interaction with a coastline. 36 Early laboratory work on tsunami runup is based on solitary wave theory (for example, 37 Synolakis 1987, Briggs et al. 1995, Liu et al. 1995, Chang et al. 2009 and Saelevik et al. 38 2013). A solitary wave centred at  $X_1$  and t = 0 has a free surface profile described by 39

$$\eta(X,0) = \frac{H}{d}\operatorname{sech}^2(K_s(X-X_1))$$
(1)

where *H* is wave height, *d* is the water depth and  $K_s = 1/d\sqrt{3H/4d}$ . However, the work by Madsen et al. (2008) shows that the distance over which an arbitrary waveform develops into a solitary wave is generally greater than the typical geophysical scales of the prototype. They conclude that the solitary wave is an inappropriate model analouge for a tsunami wave at prototype.

First proposed by Tadepalli and Synolakis (1994), tsunami are also modelled using the Nwave assumption, (E.g., Madsen and Schäffer 2010 and Sriram et al. 2016). When extended in duration this provides a more realistic representation of prototype tsunami waveforms by accounting for the leading trough of the wave, as well as its period T. Madsen and Schäffer (2010) pose theoretical trough-led N-wave forms as

$$\eta(X,0) = \alpha \frac{H}{d} (X - X_2) \operatorname{sech}^2(K_s(X - X_1))$$
(2)

where  $\alpha$  is a constant,  $X_1$  is the position the crest and  $X_2$  is the horizontal position of the zero-crossing point in the wave profile. Madsen and Schäffer (2010) use Equation (2) to derive new runup equations.

In line with the development of knowledge of the waveform, over the last ten years there

has been a drive to improve the generation techniques of tsunami waves in the laboratory. 54 Both solitary and trough-led waveforms have been used to measure the performance of 55 various novel tsunami simulation techniques. Goseberg et al. (2013) introduces a pump 56 technique to generate tsunami in a closed-circuit flume. The technique uses a Proportional 57 Integral Derivative (PID) controller to generate target waves and absorb reflections. Drähne 58 et al. (2016) use this pump methodology to investigate 'long wave' runup on a beach. While 59 no definition of 'long wave' is given, the waves tested include waves of tsunami length in 60 period if a notional scale of 1:100 is used. In theory the period and wave amplitude limitations 61 could be overcome by increasing the pump capacity and the reservoir volume. A disadvantage 62 of the method relates to spurious short period waves that are observed superimposed on the 63 target wave. Also termed as 'riding waves' these waves in some cases overtake the target 64 long wave being generated and directly interfere with the maximum runup of the long wave 65 (Drähne et al., 2016). Such spurious waves are reduced in Bremm et al. (2015) by (to the 66 current authors' understanding), bypassing the active PID control of the wave signal in real-67 time and inputting the smoothed form of the target wave signal. It is not immediately clear 68 how the smoothed signal is achieved, but it is presumed that the method is similar to the 69 iterative calibration of the target wave signal which is described later in the present work. 70

Schimmels et al. (2016) explore the use of a piston-paddle wave maker, however, the 71 experimental scale, depth and amplitude are limited due to the maximum stroke of the 72 wave maker. They report that '... the absolutely correct reproduction of the 'Mercator time 73 series' with a piston type wave maker seems really to be unfeasible as the required stroke, 74 although it only increases linearly with scale, becomes too large for very small water depth. 75 The 'Mercator' 2004 Indian Ocean Tsunami free-surface elevation time series is given in 76 Appendix A, along with selected time series from the 2011 Great Eastern Japan Earthquake 77 and Tsunami. The methodology is developed by Fernández et al. (2014) who use a Self-78 Correcting Method (SCM) to numerically optimize the control variable, before applying it to 79 a paddle to generate tsunami-length waveforms at 1:100 scale. This methodology adapts the 80 control signal iteratively in the frequency domain by adjusting wave phase and amplitude 81 to achieve the target  $\eta(X,t)$ . The method incorporates the absorption of re-reflections 82 within the corrected control variable (paddle motion), and removes spurious high frequency 83 components. After two correction steps the resulting long waveform shows good agreement 84 in overall target wave period, though there is still some deviation from the smoothness of 85 the target waveform time-series. This is particularly observable for actual tsunami time-86

series. Additionally, the amplitudes generated in this facility are significantly limited by the maximum stroke meaning the correct scaling of  $a^+$  and d requires an exceptionally large paddle stroke. Furthermore, the SCM requires that the target wave be described meaningfully in the frequency domain by a set of linear sine waves, which may not be the case for highly non-linear waves or solitary waves.

Between 2008 and 2015, collaboration between University College London and HR Walling-92 ford, U.K. developed and improved the design of a Pneumatic Long-Wave Generator (PLWG). 93 The first generation PLWG is described in Rossetto et al. (2011) who introduce the concept 94 and apply it to flume with a propagation region of constant depth of 15.2 m. Waves are 95 generated in an open-loop process between the control variable (the PLWG water head) and 96 the output wave time-series. That is, the control variable time series is pre-calibrated for 97 each wave. Sine waves up to 200 s in period are produced with the purpose of observing 98 the response of the PLWG-flume system and the ability of the PLWG to reproduce simple 99 periodic signals. Crest-led and trough-led waveforms are also produced with a maximum 100 period of  $\approx 18$  s in order to check the repeatability of the PLWG and record wave runup for 101 comparison with past experiments. The authors do not discuss wave absorption, and suggest 102 future research with the PLWG method ought to include a longer constant-depth region in 103 the flume (i.e., a longer flume) in order to increase the wavelength of the waves that can be 104 generated. 105

Using the 1st generation PLWG and flume as described in Rossetto et al. (2011), Charvet 106 et al. (2013) record the runup of crest-led 'elevated' and trough-led N-waves. Elevated waves 107 are waves of translation characterised by a single positive elevation above the mean water 108 level. They are nominally similar to a solitary wave but do not conform to its mathematical 109 description, Equation (1), being generally much longer in length and therefore less steep 110 than a solitary of equivalent amplitude. Charvet et al. (2013) compare elevated wave runup 111 with solitary wave data of equivalent amplitude from Synolakis (1987) and find that elevated 112 waves give a higher runup, suggesting measures other than amplitude such as wave energy 113 might be important in the runup process. 114

They also provide evidence that the runup of 'very long waves' (defined as model period  $T > \sim 11$  s) is different to that of 'long waves' ( $T < \sim 11$  s) and present runup relationships for N- and elevated waves. The terms 'very long' and 'long' as described by (Charvet et al., 2013), are defined as waves of  $T/T_b > 1$  and  $T/T_b < 1$  respectively, where  $T_b$  (Equation 3) is the time it takes for a given wave to travel the length of the beach  $l_{beach}$ . For the vast majority of tests, however, wave period did not exceed 10 s, with only 4 waves exceeding 10 mins at 1/50 scale. The maximum period is 1214 s at 1/50 for an N-wave. The study highlights the potential influence of wave period, shape and steepness on tsunami runup and the need for further study of tsunami-like waves to understand their inundation characteristics.

$$T_b = \int_0^{l_{beach}} \frac{dX}{\sqrt{gd(1 - \frac{X}{l_{beach}})}} = \frac{2l_{beach}}{\sqrt{gd}}$$
(3)

where g is acceleration due to gravity and X is the horizontal coordinate (1a).

The next steps in the development of the PLWG method is to apply it to a longer flume to investigate tsunami-length wave generation, absorption and reflection as well as extend the runup data of Charvet et al. (2013) to periods of tsunami-length. To this end, the development and commissioning of a 2nd generation PLWG came in 2015. A summary of the two facilities is given in Table 1.

Туре	${ m length}  imes { m V} { m height}  imes { m width} ({ m m})$	Volume (m <sup>3</sup> )	${f Flume}\ length\ (m)$	$\begin{array}{l} \text{length} \\ \text{of sloping} \\ \text{bathymetry} \\ l_{bathy} \ (\text{m}) \end{array}$	slope angle $\beta$ (°).	d (m)	$T_{max}$ (s)	$a_{max}^{+}$ (m)
$1 { m st}$ $2 { m nd}$	$4.8 \times 1.8 \times 1.15$ $4 \times 3.5 \times 1.8$	$\begin{array}{c} 9.94 \\ 21.6 \end{array}$	19 90	$\begin{array}{c} 13.8\\ 20\end{array}$	$\begin{array}{c} 2.86\\ 2.86\end{array}$	0.45 - 0.69 0.4 - 1.0	$\frac{18}{230}$	$\begin{array}{c} 0.12 \\ 0.24 \end{array}$

Table 1: Comparison of the 1st and 2nd Generation PLWG

The 2nd generation PLWG, whose set-up and operation is described in § 2, is able to reproduce both trough and crest led tsunami-length waves in a 100 m long flume. Additionally, it is successfully able to recreate the full 'Mercator' 2004 Indian Ocean tsunami profile at correct 1/50 scaled water depth (Allsop et al., 2014). This, to the authors' knowledge has not yet been reproduced at correct scaled water depth in other flumes. Its set up and commissioning is described in Chandler et al. (2016) respectively, and the development process between the 1st and 2nd generations is described in Allsop et al. (2014).

This paper presents the experimental results from the first testing programme to be carried out using the 2nd generation PLWG. The aims of this paper are (1), to demonstrate that it is possible to generate a Froude-scaled tsunami-length wave in a flume that is significantly shorter than the incident wavelength and (2), to explore the runup and behaviour of waves that are of tsunami length. Aim (1) is the natural progression of the PLWG from the work of Rossetto et al. (2011) and Charvet et al. (2013). It directly addresses the effects of the lack of absorption at the generator and the open-loop generation method, as well as build on those works by increasing the period tested to tsunami-lengths and providing data repeatability at this period. Aim (2) builds on the available published data sets of runup by going some way to addressing the apparent gap in runup data for tsunami-length waves.

The laboratory set-up and methodology is presented first. Next, the analysis of the scaled waveforms and reflections is described, and the significance of the experimental runup results is discussed. The performance of appropriate available runup equations is evaluated against the new data set. An analysis of the parameters influencing runup is then presented, from which a new empirical prediction formula is regressed. A discussion is then made as to the influence of how offshore amplitude is defined on the runup measurement. Finally, the conclusions to the study are presented along with the proposed future research needs.

## <sup>154</sup> 2. Laboratory Set-up and Experimental Programme

The PLWG is installed at the far end of the 100 m long 1.8 m wide flume at HR Walling-155 ford, U.K. The length of the flume over which the wave may propagate is 65.6 m, significantly 156 longer than the previous PLWG flume in Rossetto et al. (2011). The new PLWG and larger 157 flume set-up allows increased water depth ranges between  $\approx 0.4$  to 1.0 m for runup tests. 158 This improves upon Rossetto et al. (2011) and Charvet et al. (2013) in which water depths 159 range from 0.45 to a limit of 0.69 m. At the opposite end of the flume a 1:20 sloping 160 bathymetry and runup beach is installed. The PLWG is a 4 m long 3.5 m high and 1.8 m 161 wide machined steel box with a chamfered opening  $0.4 \text{ m} \times 1.8 \text{ m}$  at the base (total volume 162 21.6 m<sup>3</sup>, Figure 1). This increased volume with resepct to the first generation PLWG allows 163 for larger wave amplitudes to be generated for a given wavelength. Due to its larger size and 164 volume, two vacuum pumps (a Zepher RT-95330 and an RT-84086) are used to pump air out 165 of the PLWG via two 150 mm diameter pipes located on top of the steel box. The internal 166 PLWG air pressure is varied by changing the angle of a computer-controlled butterfly valve 167 in another pipe. This valve varies the net pressure and hence, the head of water within the 168 PLWG, which is the control variable of the system. The output variable is the spatial and 169 time-dependent free-surface elevation  $\eta(X,t)$ , where X is the horizontal coordinate and t 170 is time. A flow shaper is used to control the water flow exiting the PLWG. A rectilinear 171 coordinate system is used with X = 0 being at the leading tip of the flow shaper, Z being 172 the vertical coordinate (0 at the flume bed) and Y being the lateral coordinate (0 at the 173 flume centreline). 174



Figure 1: a) A schematic diagram of the flume. All distances are in metres (not to scale) with the onshore  $(l_{beach})$  [1], near-shore  $(l_{bathy})$  [2] and offshore (constant-depth) [3] regions shown. b) A computer rendered graphical representation of the PLWG showing the control valve [1], air pipes [2] and the flow shaper [3].

## 175 2.1. Instrumentation and Data Collection

The waveform  $\eta(X, t)$ , is recorded in the offshore (constant depth region X = 0 to 65.6 m), 176 the nearshore (above the sloping bathymetry X = 65.6 to 84.9 m) and onshore (beach X 177 = 84.9 to 89.9 m) regions of the flume using 16 resistance-type wave gauges (accuracy  $\pm$ 178 0.0005 m, manufactured by HR Wallingford). These consist of 0.9 m length gauges in the 179 offshore and nearshore regions, and 0.3 m length in the onshore regions. These gauges are 180 calibrated regularly and before each set of wave conditions. The calibration gradients, of 181 which an  $R^2$  of 0.9999 or better is demanded, are also recorded and compared throughout the 182 experimental campaign to confirm consistency in the calibration fits and  $R^2$  values across 183 all calibrations. The runup is calculated by converting the maximum position the wave 184 ingression up the beach slope to a vertical distance. A tape measure on the centreline of the 185 beach allows the measurement to be made with an accuracy of  $\pm 0.01$  m. All waves produced 186 a relatively straight front indicating influence of the side wall and glass wall, both of which 187 are very smooth, was limited. Comparisons of runup measured along the centreline and 188



Figure 2: Video image still of: top left) broken surge/collapsing/spilling breaker, top right) plunging breaker and bottom) unbroken surge.

measured along the glass wall side showed no consistent difference in the two measurements. 189 Therefore, the centreline reading is considered sufficient. Typical examples of the wave runup 190 front during the runup process are shown in Figure 2. Velocity profiles are collected at the 191 bathymetry toe using a Nortek Aquadopp 2 MHz High-Resolution Acoustic Doppler Current 192 Profiler (ADCP) which is accurate to within  $\pm 0.5$  cm/s. The velocity data is de-spiked using 193 the phasespace method of Goring and Nikora (2002) and is given as  $V = \sqrt{u^2 + v^2}$ . The 194 u and v components are measured along differently angled beams, however, this does not 195 affect the measurements as it will be shown in  $\S 3.2$  that the flow in the flume is strongly 196 two-dimensional and stream-wise. 197

## 198 2.2. Tested Waveforms

An extensive suite of elevated (herein referred to as crest-led) and trough-led waves with periods  $T \approx 10$  to 230 s are simulated (Table B.1) including 13 waveforms that are repeated four or more times (Table 2). Figure 3 presents the recorded and theoretical  $\eta(X, t)$  for each change in T in the repeated wave set. The waves differ from the mathematical description of solitary and N-waves. The theoretical trough of an N-wave is generally shorter in length and steeper than the recorded trough-led waves while the recorded crest-led waves are not as steep as an equivalent height solitary wave. However, studies have shown that real tsunami
waveforms do not follow idealised cases and are made up of a number of incident and reflected
waves (Grilli et al., 2013).

The calibration for the crest-led waves uses the solitary wave solution for the C25 wave 208 (T = 25s, Figure 3k) as the target, with which the measured wave fits well. To achieve larger 209 crest-led wave periods, the wave shape is elongated to the desired period while retaining 210 the largest amplitude possible given the finite volume capacity of the PLWG. This leads 211 to smaller amplitudes for increasing wave periods and a wave shape that departs from the 212 theoretical solitary wave profile but is reasonably closer to a real tsunami profile (for example, 213 Figure A.1b-d). For trough-led waves, the calibration fits the short period 40s wave to the 214 mathematical solution for an equivalent period N-wave as closely as possible (Figure 3a). 215 While the fit is reasonable, the measured time-series more closely follows a sine function 216 (where  $\eta(t) = a^+ \sin(2\pi f t)$ , Figure 3f). For longer trough-led waves, the waves are elongated 217 to produce the desired period with the maximum possible amplitude. The resulting fits with 218 Equation (2) are not as good, while the sine function shows a reasonable fit. The exception is 219 for TL80d, which represents the largest amplitude possible for a period which has relevance 220 to tsunami. This results in a reduction of the available volume in the PLWG to generate a 221 trough of symmetrical negative amplitude to the crest, as more volume is initially taken up 222 to produce the large crest. 223

The wave characteristics are defined at X = 65.6 m. For trough-led waves, T is calculated 224 from the difference between time at the start of the trough  $t_{\text{start}}$  and the end of the crest 225  $t_{\rm end}$  (Figure 4a).  $t_{\rm start}$  and  $t_{\rm end}$  are respectively defined as the times of the first and second 226 down-crossings of  $\eta(X,t)$  across the value corresponding to 1% of the maximum positive 227 amplitude  $a^+$ . The maximum negative  $\eta(X,t)$  defines the negative amplitude  $a^-$ . For 228 elevated waves  $t_{\text{start}}$  and  $t_{\text{end}}$  are defined as the times when  $\eta(X, t)$  first up-crosses and then 229 first down-crosses the value corresponding to 1% of  $a^+$  respectively (Figure 4b). Celerity 230  $C_{\rm exp}$ , is calculated from the temporal correlation of the beginning of the waveform between 231 the last offshore wave gauge (X = 47.0 m) and the bathymetry toe wave gauge (X = 65.6 m)232 m). The wavelength is defined as the product of celerity and period ( $\lambda = C_{exp}T$ ). There are 233 discrepancies between the recorded  $C_{exp}$  and theoretical  $C = \sqrt{gd}$  indicating non-linearity 234 in the generated waves (Table 2, the full range of wave conditions and variables are given in 235 Table B.1). Referring to the solution regions described in Hedges (1995), the waves tested 236 lie within the cnoidal theory demarcation, suggesting linear wave theory may not be fully 237

# 238 applicable to these waves.

Table 2: Characteristics of the wave conditions that are repeated four or more times defined at X = 65.6m where 'TL' and 'C' denote trough and crest led waves respectively. The full range of wave conditions tested is provided in Table B.1.

${ m trough}/{ m crest-led}$	T	λ	$a^+$	$a^-$	d	H/d	$C_{\mathrm{exp}}$	difference from
TL/C	(s)	(m)	(m)	(m)	(m)	(m)	(m/s)	$\sqrt{gd}$ (%)
TL230	230	560	0.038	-0.041	1	0.08	2.43	31
TL180	184	656	0.043	-0.042	1	0.09	3.58	-13
TL160	161	492	0.043	-0.040	1	0.08	3.06	1
TL110	108	403	0.055	-0.044	1	0.10	3.71	-15
TL80a	79	226	0.030	-0.030	1	0.06	2.85	12
TL80b	82	268	0.044	-0.040	1	0.08	3.25	-5
TL80c	81	283	0.060	-0.001	1	0.11	3.49	-11
$\mathrm{TL80d}$	81	245	0.080	-0.061	1	0.14	3.04	4
TL40	39	176	0.060	-0.045	1	0.11	4.50	-30
C25	24	69	0.083	N/A	1	0.08	3.56	-13
C45	44	113	0.064	N/A	1	0.06	3.46	-11
C80	83	193	0.069	N/A	1	0.07	2.58	20
C200	202	558	0.057	N/A	1	0.06	2.76	12



Figure 3: The recorded time-series  $\eta$  for runs of the TL40, TL80d, TL110, TL160, TL230, C25, C45, C80 and C200 waves, along with the mathematically described  $\eta(t)$  signal. The trough-led waves are compared with the *N*-wave Equation (2) (Figure 3a-e), the sine function  $\eta(t) = a^+ \sin(2\pi f t)$  (Figure 3f-j) and the crest-led waves with the solitary wave Equation (1) (Figure 3k-n).



Figure 4: Schematic of the definitions of a) trough-led and b), crest-led wave characteristics including period T, positive and negative amplitudes  $a^+$  and  $a^-$  respectively, and  $t_{start}$  and  $t_{end}$ .

#### 239 2.3. Repeatability

To ensure repeatability of the waveforms and the inferences made from the observations, the waves listed in Table 2 are repeated at least four times. The mean and standard deviation ( $\sigma$ ) of the  $a^+$ ,  $a^-$ ,  $C_{exp}$ ,  $\lambda$ , runup (R) and the potential energy ( $E_p$ , defined using Equation (4)) of each repeated waveform is also reported. Standard deviation is small for all parameters, and of the same order of magnitude as the error in the runup measurement, with the exception of T where the variation is slightly higher. This indicates that the experimental set-up and data is repeatable.

$$E_p = \int_0^t \frac{1}{2} \rho g \eta(t)^2 C_{\text{exp}} \mathrm{d}t \tag{4}$$

#### 247 2.4. Wave Bore Formation

The qualitative presence of wave breaking and consequent bore formation is determined from 248 analysis of video images of each wave (Figure 2a-c). Breaking of waves of  $T \approx < 20$ s is easy 249 to record due to the observation of white water. The presence of breaking for waves of  $T \approx 20$ 250 - 40s is less easy to define and no comment can be made on the transition of periods between 251 a collapsing breaker and an unbroken surge. The primary focus of this paper is on periods 252 much greater than 40 s (Table 2), where breaking does not occur (as observed visually) in 253 the waves tested. Discussions of breaking in this paper are purely qualitative; future research 254 will need to corroborate with quantitative and repeatable analysis of breaking. 255

#### 256 2.5. Scale Considerations

For engineers to have confidence in their use, it is important that physical test facilities 257 produce prototype-scalable wave characteristics. When modelling free-surface phenomena 258 such as waves, Froude scaling is often preferred as gravity is the main restoring force both in 259 the model and prototype (Hughes, 1995). Froude similitude in scaling requires the Froude 260 number  $Fr = U/\sqrt{gd}$  (where U is a characteristic velocity), is the same in the model and 261 prototype. However, it is important to address the effect of the chosen similitude in the 262 Froude number over the Reynolds and Weber numbers. The Reynolds number  $Re = Cd/\nu$ 263 (where  $\nu$  is the kinematic viscosity), describes the importance of viscous effects. The Weber 264 number,  $We = \rho \nu^2 l / \sigma_s$  (where  $\rho$  is density of water, l is a characteristic length, and  $\sigma_s$  is the 265 surface tension), describes the importance of surface tension effects. In these tests (Table 266 B.1) the minimum and maximum values of Re are  $1.7 \times 10^6$  and  $4.5 \times 10^6$  respectively, both 267 which describe fully turbulent conditions (Hughes, 1995). The minimum and maximum We268 numbers for these experiments (using C and d as the characteristic velocity and length, Table 269 B.1) are  $2.76 \times 10^4$  and  $5.62 \times 10^4$  respectively. This indicates that in the constant depth 270 region of the flume the scale effects from Re and We are negligible, and that Fr similitude 271 is appropriate. 272

Drähne et al. (2016) discuss scale effects on long wave runup in detail, and much of 273 their analysis applies to the current test set up. During the runup process, Re is defined by 274 the local water depth and flow velocity, both of which eventually approach zero as runup 275 approaches its maxima. Re becomes small in the nearshore regions and at the leading front 276 of the wave, particularly near the maximum runup (this is particularly apparent in the 277 unbroken leading wave front in Figure 2 bottom). This may increase the influence of viscous 278 effects in the model against the prototype. Drähne et al. (2016) suggest a critical threshold 279 of  $Re_{crit} = 10^3$  is likely suitable for long wave runup experiments. Thus, here as in their 280 experiments, Re is sometimes less than  $Re_{crit}$  meaning viscous forces may be larger in the 281 model than the prototype. 282

Weber number dissimilitude also has potential to add error in that the surface tension may become overly influential in the model. Peakall and Warburton (1996), who review the influence of *We* in small scale models recommend a threshold between 2.5 to 160. In this range the flow depth becomes so small that surface tension becomes important. This may occur at the wave front as discussed above for viscous effects. The counteraction of surface tension effects against the inertial forces that drive runup at the wave front may cause an underestimation of runup in the model.

The conclusion is, as also discussed by Drähne et al. (2016), that while the model may 290 contain bias from increased viscous and surface tension effects, these are likely negligible 291 against other inaccuracies and assumptions such as slope topography and wave idealisations. 292 Heller (2011) reviews scale effects in physical modelling and shows that for long wave mod-293 elling an accepted maximum model scale to measure the dynamic and kinematic parameters 294 is around 1:50. For tsunami T ( $\approx$  90 to 7000 s), a 1:50 scaled wavelength is in the order 295 of hundreds of metres. Therefore, to generate such wavelengths either very long flumes are 296 required or an understanding of wave re-reflection is required (as discussed in  $\S$  3.1). 297

#### 298 3. Analysis of the Generated Waveforms

The first aim of this paper is to demonstrate the successful generation of scaled tsunamilength waves in the flume. This section focuses on four trough-led waveforms; the TL80d, TL110, TL160 and T180 (Table 2), in order to highlight features of the wave generation and propagation in the flume. The following analysis is carried out; 1), demonstration of the evolution of the waveform with time and discussion of the re-reflections, 2) analysis of the waveform as it propagates up the bathymetry and 3) analysis of the waveform velocity profiles.

#### 306 3.1. PLWG Waveform Propagation and Reflection

As the wavelengths of the four waveforms are in the range of 2.7 to 6.2 times the length of 307 the flume (90m, Table 2), it is not possible to generate and propagate the entire waveform in 308 the flume. To visualise the wave propagation in the flume Figure 5 shows the variation in  $\eta$ 309 as a function of time (x-axis) and distance along the flume length (y-axis). The figure shows 310 a clear decrease in  $\eta$  at the PLWG (X = 0) and the propagation of this draw-down towards 311 the beach. Once the draw-down is complete the wave crest can be seen at the PLWG and 312 propagating down the flume with time. These results show that the wave running up the 313 bathymetry is made up primarily of the incident wave. For the purpose of this study only 314 the initial part of the wave is of interest, after which evidence of a standing wave pattern 315 can be observed, particularly in Figure 5a-b. 316

Wave reflection interference can be clearly observed in the central portions (Figure 5a, t  $\approx$  70 s and X  $\approx$  30 m) and beginning of the flume (Figure 5b, t  $\approx$  50 s and X = 0 m) and after the main event has occurred. They manifest as destructive and/or constructive

interference on the incoming wave. Interference is the net effect on the free surface of the 320 superposition of the reflected and incident wave. The reflection occurs as the incoming 321 wave reflects off the sloping bathymetry (see e.g., Hughes 1995). This natural reflection 322 propagates back until (conservatively), it hits the leading edge of the PLWG flow shaper 323 and will re-reflect back into the flume. The re-reflections are a source of error and require 324 minimisation. In the present situation destructive interference causing a net decrease in 325  $\eta(X,t)$  occurs when the re-reflected trough interacts with the incoming wave crest. The 326 opposite is true of constructive interference, where the re-reflected wave is above the still 327 water level resulting in a net increase in  $\eta(X,t)$ . By calculating the time of the re-reflection 328 from  $C_{exp}$ , the appearance of interference on  $\eta(X, t)$  can be determined (McGovern et al., 329 2016). In this flume at the bathymetry toe the interference caused by the natural reflection 330 is generally constructive only. 331

Figure 6 shows the waveforms  $\eta$  normalised by the positive amplitude  $a^+$  of the wave at 332  $X = 65.6 \text{ m} (a^+(X_{toe}))$  at the different positions on the sloping bathymetry ( $X \approx 65$  to 84 333 m) as a function of t/T, (where t = instantaneous time from the start of the waveform). The 334 waveforms have been shifted to enhance the visualisation and comparison of the free surface 335 profiles. The results show that the waveform is generally preserved over the propagation 336 distance ( $\approx 20$  m). There are more pronounced changes for the longer waveforms (Figure 337 6d) where the position of the superimposed short period waves evolves. This has an impact 338 on determining the correct amplitude of the wave. However, these small oscillations are a 339 magnitude smaller than the incident wave and despite this shortcoming the results appear 340 reasonable. In addition the results show that the amplitudes of the crest  $(|a^+|)$  and trough 341  $(|a^{-}|)$  increase as the wave moves up the bathymetry, (see Figure 7). This shoaling effect 342 appears linear apart from Figure 7d where the crest amplitude is effected by the secondary 343 superimposed waves. This is less of an issue for the trough. The linear increase in amplitude 344 demonstrates that the destruction from the re-reflection of the wave trough is negligible. 345

For these very long waves, the interference of reflection on the waveform at earlier positions in the flume has important implications on the definition of wave amplitude used in various runup prediction methods, and will be further discussed later (§ 4.3). The bathymetry toe is chosen as it delineates a definitive change in the bathymetry slope that could be easier to relate to prototype than an arbitrary position offshore over a constant depth of arbitrary length. Due to the long wavelength of the waves being considered, they are composites of both the incident and reflected components at any point in the flume. Therefore, by choosing



Figure 5: Hodograph plot of the evolution of the waveform in the flume in increments of 5 s for a) TL80d, b) TL110, c) TL160 and d) TL180. Evolution of  $\eta$  as a function of time (x-axis) and distance (y-axis). Colour bar scale in [m].

to define at the bathymetry toe the waveform may be defined with the immediate effects 353 of reflections from the slope of given length and angle in front of it accounted for. The 354 alternative being a wavelength dependent reflection that may be constructive or destructive 355 to varying degrees depending on both the incident wavelength and the position of definition 356 away from the reflecting slope. Further, the offshore region of the flume acts as a buffer zone 357 for the wave to stabilise and for short frequency non-linear effects of the outlet to dissipate, 358 leaving a smooth waveform. This length is not long enough for the  $T \approx 200$  s wave to fully 359 stabilise. However, the small amplitude superimposed wave, whose period  $\sim 22$  s, may also 360 be in part attributed to an excitation of the 2nd harmonic of the flume's resonant frequency, 361 estimated at 44 - 49 s, (Chandler et al., 2016). Its growth in amplitude with increasing X362 may be due to a combination of energy transfer between the long wave and the short waves 363 and/or shoaling. 364

The negligible presence of destructive and constructive re-reflections as discussed in this section demonstrates the absorption of the re-reflection by the PLWG. This occurs through adjustments of the control variable (the valve angle as a function of time,  $\theta(t)$ ) in an effective



Figure 6: Non-dimensional waveform, T = a) TL80d b) TL110, c) TL160 and d) TL180, on the bathymetry (X =- 65.6 m, -70.6 m, -. 73.6 m, : 76.6 m, -( $\circ$ ) 81.6 and -( $\circ$ ) 83.6 m) where  $a^-$  is marked with  $\diamond$  and  $a^+$  as  $\Box$ .



Figure 7: Increase in magnitude of the trough amplitude  $|a^-|$  and crest amplitude  $|a^+|$  shown as  $\diamond$  and  $\Box$  respectively for a), the TL80d, b) the TL110, c) the TL160 and d) the TL180.

open-loop absorption method to attain the desired  $\eta(X,t)$  while minimising second order wave reflections. Such adjustments are carried out iteratively over the calibration process for each target waveform. This appears a similar solution to that of Bremm et al. (2015), in which the input signal for the control variable is pre-calibrated. For the PLWG, the calibration process may take several hours depending on the desired waveform.

#### 373 3.2. Velocity Profiles

To ascertain whether the PLWG generates waves with expected water flow characteristics, 374 velocity profiles are measured at the bathymetry to using the ADCP. Very long shallow 375 water waves should manifest highly elliptical (nominally horizontal) fluid particle motions 376 over the full water depth. The position from the PLWG at which the velocity profiles are 377 recorded (X = 65.6 m) is expected to be beyond that of which evanescent wave modes that 378 are attached to the PLWG are present. This is demonstrated in Figure 8 where the regular 379 8a-d) and logarithmic velocity profiles (which are zoomed in on the lowest 0.2 m of the water 380 column 8e-h) for each wave are given. The gap between Z = 0 and the first data point is 381 due to the down-looking instruments blanking distance. The instrument cell size, number 382 and range is changed to suit each wave condition separately, leading to different profile sizes 383 and lengths on Figure 8. Additionally in Figure 8i-l the  $\eta(X,t)$  at X = 65.6 m is given as a 384 function of t/T. The negative values of V denote flow direction towards the PLWG. 385

The profiles for all waves are generally constant with Z except near the bed where bound-386 ary layer effects are observed. The boundary layer profiles do not always fit the log-law 387 profile, particularly at low velocities. Those that do are generally for larger velocities. The 388 direction of flow corresponds to the propagation of the wave. Starting at  $t/T \approx 0$  flow be-389 comes negative (towards the PLWG) until the base of the trough. As flow returns from the 390 PLWG it becomes positive until after the crest when negative flow returns and flow recedes 391 back towards the PLWG. Peak velocities are out of phase with the trough and crests (occur-392 ing before them) suggesting that linear wave theory does not describe the generated wave 393 particle motions well. As seen above in regards to wave celerity (Section  $\S 2.2$ ), the solution 394 regions described in Hedges (1995) suggest that these waves may lie within the Cnoidal the-395 ory. (ASCE/SEI, 2017) suggest the overland peak flow velocity to occur before the maximum 396 flow depth, matching this observation from the offshore region. Further examination of this 397 will be attempted in future work. 398



Figure 8: a-h Regular and logarithmic (lowest 0.2 m of water column only) velocity profiles showing V(Z, t) for TL80d (a,e), TL110 (b,f), (c,g) TL160 and TL180 s (d,h) with the respective  $\eta(X, t)$  at X = 65.6 m (i-l). The symbols of each data point on each profile correspond to the symbols on the respective  $\eta(t)$  plot indicating the value of  $\eta(X, t)$  the velocity profile corresponds to.

A weakness of the first generation PLWG was that the abruptness of the tank outlet 399 flow generated significant energy losses and eddying. Schimmels et al. (2016) argue that due 400 to these weaknesses 1) there remains uncertainty in the total hydrodynamics of the whole 401 waveform in the flume at any given time and 2), lack of well-defined boundary condition 402 renders the validation of numerical models with PLWG data difficult. Non-linearities at the 403 tank outlet are corrected in the design of the 2nd generation PLWG through the use of a flow 404 shaping device (Figure 1 and Allsop et al. 2014). Its effectiveness is shown in Figure 9, which 405 shows the maximum negative and positive V(Z,t) for six runs of the TL80d wave as recorded 406 near the PLWG outlet at X = 5.85 m and Y = 0, 0.3, and 0.6 m. The two-dimensionality 407 and repeatability of the profiles demonstrate a smooth flow at the outlet is present and for 408 these waves the flow at both the outlet and at the toe is well-defined. 409



Figure 9: Velocity profiles showing the approximate maximum V(Z,t) for repeats of TL80d recorded at X = 5.85 m and Y = 0 ( $\Box$ ), 0.3 (×) and 0.6 (o) m. Positive and negative values denote flow direction away and towards PLWG respectively.

In summary,  $\S$  3 analyses the generation and propagation of tsunami-length waves by 410 the PLWG. The discussion of the waveform propagation and reflection in § 3.1 shows that 411 the presence of re-reflections are negligible in the near-shore region. The analysis of the 412 velocity profiles in § 3.2 confirms the inherent two-dimensionality of the laboratory set-up 413 and that flow of water corresponds to the waveform generated. Three reasons are discussed 414 for selecting the definition point for the wave at the bathymetry toe. First, the bathymetry 415 toe delineates a definitive change in slope and is more readily definable geographically at full 416 scale than an arbitrary position offshore over a constant depth of arbitrary length. Second, 417 it allows the wave to be considered with the consistent reflection caused by the adjacent 418 slope, rather than a wavelength dependent reflection that may be constructive or destructive 419

to varying degrees depending on both the incident wavelength and the position of definition
away from the reflecting slope. Finally the definition of the wave at the bathymetry toe also
reduces possible PLWG outlet flow non-linearities caused by turbulence generated at the
PLWG-flume interface manifesting on the defined wave by allowing the wave to propagate
and settle first.

#### 425 4. The Runup Behaviour of Waveforms with Periods between 5 - 230 s

Figure 10 shows the recorded  $R/a^+$  (where  $a^+ = a^+(X_{toe})$ , the positive amplitude defined at the bathymetry toe) for all the waves tested (as given in Table B.1) as a function of T. From  $T \approx 100$  and greater,  $R/a^+$  tends to unity. At shorter periods,  $R/a^+$  increases to a maximum of  $\approx 5$ . The results are now compared with available predictor equations.



Figure 10:  $R/a^+$  as a function of T for all waves tested.

#### 430 4.1. Comparison with available runup predictor equations

<sup>431</sup> A comparison with available equations in the literature is now made. In some cases this <sup>432</sup> leads to the reported waves, which are composites of the incident and reflected wave, being <sup>433</sup> compared with prediction equations that are based on inccident waves only. These cases will <sup>434</sup> be defined. Figure 11a-b presents R normalised with the predicted runup  $(R_p)$  versus T for <sup>435</sup> the trough and crest-led waves calculated using the 'long N-wave' (Equation 5) and 'long elevated wave' (Equation 6) equations proposed by Charvet et al. (2013). In the current experiments d varies from a minimum of 0.46 to a maximum of 1.024 m, and  $l_{beach}$  varies from 15.11 m to 3.83 m respectively. From Equation (3) this gives  $T_b = 14.22$  s and 2.4 s respectively.  $T/T_b$  is > 1 for all waves suggesting using  $T/T_b$  may not be an appropriate delineation. Though it is not stated in Charvet et al. (2013), Equations 5 and 6 are likely based on incident-only wave forms, (approximately T < 10 s at scale) wave data.

$$\frac{R}{d} = 5.75 \left(\frac{E_p^+}{\rho g a^+ \lambda d^2}\right)^{0.4} \tag{5}$$

442

$$\frac{R}{d} = 10.18 \left(\frac{\rho g(a^+)^3}{E_p}\right)^{0.89} \tag{6}$$

where  $E_p^+$  is the potential energy of the wave crest (Equation (4)), in which  $\eta$  is replaced by  $\eta^+$ , the positive elevation above SWL corresponding to the wave crest. The non-breaking solitary wave equation proposed by Synolakis (1987) is also compared (Equation (7)). Note that the elevated waves generated by the PLWG are not mathematically defined as solitary waves, (see § 1.1) and are composites of the incident and reflected waves.

$$\frac{R}{d} = 2.831 (\cot\beta)^{\frac{1}{2}} \left(\frac{a^+}{d}\right)^{\frac{5}{4}}$$
(7)

448

Hughes (2004a) develops a method for estimating wave runup using a dimensionless wave parameter representing the maximum depth-integrated momentum flux  $M_f$ . In the case of non-breaking solitary waves, Hughes (2004a) finds an empirical fit to the runup data of Synolakis (1987) for  $\cot \beta = 2.08$  and Hall and Watts (1953) for  $\cot \beta = 1.0$ , 2.14 and 3.73 given by Equation (8).

$$\frac{R}{d} = 1.82(\cot\beta)^{\frac{1}{5}} \left(\frac{M_f}{\rho g d^2}\right)_{max}$$
(8)

454

where the subscript 'max' denotes the maximum value. An empirical equation for estimating the momentum flux of a solitary wave is given in Hughes (2004b) as

$$\left(\frac{M_f}{\rho g d^2}\right)_{max} = \frac{1}{2} \left[ \left(\frac{H}{d}\right)^2 + 2\left(\frac{H}{d}\right) \right] + \frac{N^2}{2M} \left(\frac{H}{d} + 1\right) \\
\left\{ \tan\left[\frac{M}{2} \left(\frac{H}{d} + 1\right)\right] + \frac{1}{3} \tan^3\left[\frac{M}{2} \left(\frac{H}{d} + 1\right)\right] \right\}$$
(9)

457 Where M is given as

$$M = 0.98 \left\{ \tanh\left[2.24\left(\frac{H}{d}\right)\right] \right\}^{0.44} \tag{10}$$

458 and N as

$$N = 0.69 \tanh\left[2.38\left(\frac{H}{d}\right)\right] \tag{11}$$

459

For the trough-led wave data, the 'long N-wave' Equation (5) gives the best performance 460 with a favourably conservative overestimation of R for most trough-led waves of  $T \sim < 65$ 461 s (Figure 11a). The equation performs poorly for  $T \sim > 65$  s, over predicting R by a factor 462 of  $\sim 2$  - 5. The poor fit might be expected considering the limited period of the trough-led 463 wave data set the equation is based on (T = 6.5 - 8.8 s at model scale), and though it 464 performs reasonably for  $T \sim \leq 65$  s, these periods are significantly shorter than a prototype 465 tsunami. For the crest-led data, Figure 11b demonstrates Equation (6) under-predicts R. 466 The 'very long N-wave', 'general N-wave' and 'general elevated wave' equations of Charvet 467 et al. (2013) perform very poorly giving large over predictions of R and are not plotted here. 468 These equations, and Equation (6) are based on wave data of shorter periods than the current 469 data. Charvet et al. (2013) tested 11 waves with  $T/T_b > 1$ , and the maximum T (at model 470 scale) were 171 s and 92 s for the trough and crest-led waves respectively. The limited data 471 set of  $T/T_b > 1$  suggests the validity of the equations for so-called 'very long' elevated and 472 N-waves as defined by the parameter  $T/T_b$  is unclear. Equation (7) over predicts by a factor 473 of up to 3 for  $T \sim \leq 50$  s beyond which the over-prediction increases to  $\geq 4$ . Equation (8) 474 performs generally well for  $T \sim \leq 50$  s, giving values of  $R_p/R \ 0.63 \approx 2$ . At greater periods 475 it over predicts  $\approx 2.5$  for most waves. 476

The sharp change in performance of the equations compared in Figure 11a-b occurs around a shorter period ( $T \approx 65$  s) than the approximate range of period in which  $R/a^+$ converges to 1 ( $T \approx 100$ , Figure 10). This suggests that T may not be the only causal factor in the runup behaviour of the waves.



Figure 11: a) Plot of the predicted runup  $R_p$  using Charvet et al. (2013) Equation (5) normalised with recorded trough-led wave R versus T ('.' symbols). b)  $R_p$  predicted using Charvet et al. (2013) Equation (6) ('×' symbols), Synolakis (1987) non-breaking solitary wave runup Equation (7) ('.' symbols) and Hughes (2004a) non-breaking solitary wave runup equation based on momentum flux Equation (8) ('o' symbols) normalised with recorded crest-led wave R versus T

<sup>481</sup> Coastal engineers often characterise R of periodic and transient waves using the Iribarren <sup>482</sup> number  $\xi$ , (also known as the surf similarity parameter, where  $\xi = \tan(\beta)/\sqrt{2a^+/\lambda}$ ), which <sup>483</sup> is a function of the slope of the bathymetry and the wave steepness. Numerous relationships <sup>484</sup> have been derived, including Battjes (1974), Mase (1989), Losada and Giménez-Curto (1981) <sup>485</sup> and in the case of tsunami Madsen and Schäffer (2010). Equations (12a) and (12b), are <sup>486</sup> proposed in the ASCE/SEI (2017) 'Tsunami Loads and Effects' design standard as a means <sup>487</sup> to calculate  $R/a^+$  in the absence of numerical or field data.

$$\frac{R}{a^+} = 1.5 \text{ for } \xi_{100} \le 0.6 \tag{12a}$$

488

$$\frac{R}{a^+} = 2.50[\log_{10}(\xi_{100})] + 2.05 \text{ for } \xi_{100} > 0.6 \text{ and } \le 6$$
(12b)

where  $\xi_{100}$  = the Iribarren number defined at the 100 m offshore depth contour (Equation (13)).

$$\xi_{100} = \frac{T}{\cot(\Phi)} \sqrt{\frac{g}{2\pi a^+}} \tag{13}$$

where  $\Phi$  is the average slope angle from the 100 m depth contour to the mean high water level along the topographic transect for the site in question.

<sup>493</sup> Madsen and Schäffer (2010) proposed analytical solutions to the non-linear shallow water <sup>494</sup> equations for the runup and rundown of sinusoidal, single waves and isosceles *N*-waves (a <sup>495</sup> symmetrical leading depression *N*-wave). These solutions importantly do not exhibit a tie <sup>496</sup> in between wave amplitude and the horizontal length scale. The solution for an (incident -<sup>497</sup> not composite) *N*-wave in terms of  $\xi$  is given by Madsen and Schäffer (2010) as

$$\frac{R}{a^{+}} = X_{elev} \pi^{\frac{1}{4}} \left(\frac{a^{+}}{d}\right)^{\frac{1}{4}} \xi^{-\frac{1}{2}}$$
(14)

498

where  $X_{elev}$  = the maximum/minimum shoreline elevation. Values of  $X_{elev}$  for N-waves as a function of  $\mu$  (where  $\mu$  is the amplitude ratio  $a^+/a^-$ ) are given in Madsen and Schäffer (2010) (Figure 5 therein) in the range of  $\mu = 0$ ,  $X_{elev} = 3$  to  $\mu = 1$ ,  $X_{elev} = 4.243$  (a perfectly isosceles N-wave). For a sinusoidal wave,  $X_{elev}$  is given as  $\pm 3.5449$ .

Figure 12 presents  $R/a^+$  as a function of  $\xi$  for the current data set along with Charvet 503 et al.'s (Charvet et al., 2013) and Synolakis' (Synolakis, 1987) data sets. At  $\xi > 2, R/a^+$  of 504 Synolakis' solitary wave data deviates from the current data, rising to  $\approx 3.5$ .  $R/a^+$  for the 505 current data decreases to unity at approximately  $\xi > 2.6$ . The curve predicted by Equations 506 (12a) and (12b) is plotted for comparative purposes; it is noted that the current data is 507 scaled to a prototype water depth of 50m, as opposed to the 100m specified by Equations 508 (12a) and (12b). The curve matches Synolakis' data set well but performs very poorly with 509 Charvet et al.'s data set and the current study. 510

Additionally, Equation (14) is plotted. Using an  $X_{elev}$  value of 1.2 and 1.5 the curves 511 predicted from Equation (14) are given for a non-linearity ( $\epsilon = a^+/d$ ) value of 0.056, corre-512 sponding to the mean value for the waves in Table B.1. The fit is reasonable, and changing 513  $X_{elev}$  to larger and smaller values improves the fit at smaller and larger values of  $R/a^+$  respec-514 tively. The larger values predicted by Equation (14) may be partially explained by bottom 515 friction effects in the current data, which are not accounted for in the analytical solution of 516 Madsen and Schäffer (2010). Additionally, for the very long waves the bathymetry slope is 517 effectively seen by the wave as a vertical wall. As the crest of the incident wave moves over 518 the bathymetry toe the (constructive) reflection will approach 100% of the incoming wave, 519 thereby approaching a doubling the amplitude. It might, therefore, be expected that the use 520

of  $R/a^+$  where  $a^+ =$  composite positive amplitude will lead to an overestimation of R by Equation (14) of up to a factor of 2.

<sup>523</sup> Drähne et al. (2016) (sinusoidal waves of T < 100 s) and Goseberg (2013) (sinusoidal <sup>524</sup> waves of T 60 s) also fit seemingly composite waves to Equation 14, finding a reasonably <sup>525</sup> good match to their data. However, the fit does not match across the whole range of  $\xi$  for <sup>526</sup> the current and Charvet et al.'s data.

Though breaking is defined qualitatively in these tests, the different breaking regimes described by the  $\xi$  parameter are demonstrated in the current data. Surging waves ( $\xi > 2.6$ ) result in  $R/a^+ \approx 1$ , and plunging breakers ( $\xi = 0.4 - 2.6$ ) result in the larger  $R/a^+$ .



Figure 12:  $R/a^+$  as a function of  $\xi$  for the trough-led ( $\circ$ ) and crest-led ( $\Box$ ) waves tested along with the data from Synolakis (1987) ( $\cdot$ ), Charvet et al. (2013) *N*-waves ( $\times$ ), the  $\xi$  prediction curves from Madsen and Schäffer (2010) for values of  $\epsilon = 0.056$  and  $X_{elev} = 1.2$  (- -) and  $X_{elev} = 1.5$  (-) and ASCE/SEI (2017) (-.).

## 530 4.2. Empirical Model for the Runup of Tsunami

To determine an improved fit to the new long wave data set, the following analysis identifies the explanatory variables that best predict  $R/a^+$ . Correlation plots of R as a function of potentially influencing variables are plotted in Figure 13. A correlation is observed in T,  $a^+$  and  $\lambda$ . No correlation is observed with d, in agreement with Charvet et al. (2013) and interestingly  $E_p$ , contrary to Charvet et al. (2013). Further, while R is not seen to increase when  $E_p$  increases beyond ~ 1000 J/m, R constantly increases with  $a^+$ . There

may be a limiting threshold  $a^+$ , perhaps related to breaking, but this is not relevant to 537 offshore earthquake-generated tsunami amplitudes whose steepness is generally extremely 538 low in deep water. In the near-shore the steepness of a tsunami may become larger than the 539 PLWG capacity can generate and this cannot be fully explored using the current PLWG. The 540 strong correlation of R with wave steepness  $(\lambda/a^+)$  reveals the importance of the distribution 541 (as well as magnitude) of energy over the waveform.  $a^-$  and thus total wave height H (not 542 shown) correlates poorly with R. Normalised runup  $R/a^+$  is plotted against  $\lambda/a^+$  in Figure 543 12i. At  $\lambda/a^+ \approx 2000$  to 6000,  $R/a^+$  asymptotically approaches unity. Unity of  $R/a^+$  for 544  $\lambda/a^+ > 6000$  is apparently due to the insignificant shoaling of very long and shallow waves 545 over the relatively short  $l_{bathy}$ . For  $\lambda/a^+ < 6000$ ,  $R/a^+$  increases with  $\lambda/a^+$  due to shoaling 546 becoming more significant. This indicates that while the  $E_p$  of waves increases with  $\lambda/a^+$ 547 (as the waveforms become larger), the energy is distributed over the larger waveform with a 548 shallower steepness, leading to a lower runup. The implication is that in the case of waves 549 scalable to tsunami-length,  $\lambda/a^+$  is a more useful variable in describing R, whilst at much 550 shorter periods, it is a less useful parameter than  $a^+$  and  $E_p$ . 551



Figure 13: R as a function of a) T, b)  $a^+$ , c)  $a^-$ , d)  $\lambda$ , e)  $E_p$ , f)  $C_{exp}$ , g) d, h)  $\lambda/a^+$  and i)  $R/a^+$  as a function of  $\lambda/a^+$  for all waves tested.

An empirical fit to the data is now sought for the composite wave data presented. To increase the size of the data set the data of Charvet et al. (2013) is included. The two databases consist of 75 unique and independent test conditions. 16 are replicated tests, where PWLG control variable is identical to generate constant T,  $a^+$  and  $a^-$ . These data, whose standard deviation ( $\sigma$ ) is low (Section § 2.3) are not considered independent; the aggregated mean of their measured response variables is considered in the regression analysis.

The response variable  $R/a^+$  may be considered to be a function of T,  $\lambda$ , d,  $C_{exp}$ ,  $E_p$ 558 and  $\xi$ . The 'relative slope length'  $\lambda \sin(\beta)/d$  is also postulated as a main controlling variable 559 on  $R/a^+$  and is proposed as a new parameter. As wave steepness has an apparently strong 560 influence on  $R/a^+$  and noting  $a^+$  is the normaliser on Figure 13i this can be isolated to  $\lambda$ 561 alone.  $\sin(\beta)/d$  includes information on the wetted length  $(l_{wet})$  of the sloping bathymetry the 562 wave travels over by its reciprocal  $(l_{wet} = d/\sin(\beta), \text{ which gives } l_{bathy} \text{ simply as } \sqrt{l_{wet}^2 - d^2}).$ 563 As  $\lambda = TC_{exp}$ , and in order to obtain a physically meaningful dimensionless parameter,  $\lambda$  is 564 used as the numerator to give the relative slope length parameter  $\lambda \sin(\beta)/d$ , which describes 565 the ratio of the length of the wave to the wetted length of slope it travels over. Additionally, 566 the product of Iribarren number and relative slope length  $\xi(\lambda \sin(\beta)/d)$  is regressed as it 567 includes  $a^+$ , which has a strong correlation to  $R/a^+$ . 568

There is a sharp transition to unity in  $R/a^+$  for the correlated variables (see correlation plots, Figure C.1a-h). A segmented analysis is used (Hinkley, 1971) as this accounts for sharp changes in the trend of the response variable around an estimated breakpoint. The shape of the statistical model fits the normal distribution of  $R/a^+$  better than the lognormal or gamma distributions, (Figures 14 and C.2).  $R/a^+$  is, therefore, considered to follow a normal distribution related to the explanatory variables in Equations (15a) and (15b).

$$\frac{R}{a^+} \approx (a1x + b1) \text{ for } x \ge \text{Breakpoint}$$
(15a)

575

$$\frac{R}{a^+} \approx (a2x + b2) \text{ for } x < \text{Breakpoint}$$
 (15b)

576

where a1, a2, b1 and b2 are coefficients of the fit and x represents the explanatory variable.



Figure 14: Comparison of the empirical data ( $\circ$ ) with the normal (-), lognormal (-) and gamma (-.) cumulative distribution function (CDF) fits to  $R/a^+$ .

It should be noted that  $E_p$  data is missing for the Charvet et al. (2013) data set, therefore the 578 influencing variables for both the current and the combined data sets are provided separately. 579 The regression models are fitted to the current dataset to assess the importance of  $E_p$ . Both 580 datasets are then used in combination to investigate any change in the results. The Akaike 581 Information Criterion (AIC, Akaike 1974) is used to identify which influencing variable is 582 most capable at describing  $R/a^+$ . A lower value of AIC suggests the variable has a greater 583 influence. The 'segmented' package in the software R (Muggeo, 2008) is used to estimate the 584 parameters of the segmented model, the breakpoint, AIC value and standard error. Table 585 3 gives the results of the segmented regressions and  $R^2$  values. The  $R^2$  is over 0.70 for 586 most cases indicating that most explanatory variables are able to depict a clear trend in the 587 response data. The notable exception is the model which uses  $E_p$ . It can also be noted that 588 the use of data from two databases has a negligible impact on the  $\mathbb{R}^2$ . The question then is 589 which of the used explanatory variables fits the data best, which can be addressed by the use 590 of the AIC. Relative slope length is the most significant explanatory variable for both the 591 current and combined data sets, hence the final formulations of Equations (15a) and (15b) 592 are given by Equations (16a) and (16b) respectively. 593

$$\frac{R}{a^+} = -0.0364 \left(\frac{\lambda \sin(\beta)}{d}\right) + 4.553, \quad \text{for} \quad \frac{\lambda \sin(\beta)}{d} < 79 \tag{16a}$$

594

Influencing Variable	Response Variable	$\operatorname{Breakpoint}$	Statistically Significant?	AIC	$\mathbf{R}^2$						
Current Data Set											
T	$R/a^+$	81.28	Yes	112.7	0.77						
$\lambda$		255.8	Yes	113.8	0.77						
ξ		0.655  and  3.521	Yes	127.1	0.72						
$E_p$		1,871	Yes	156.4	0.49						
$\lambda \sin(eta)/d$		68.76	Yes	106.5	0.79						
$\xi\lambda\sin(eta)/d$		171.8	Yes	110.7	0.78						
Current Data Set and Charvet et al. (2013) Combined											
Т	$R/a^+$	108.5	Yes	145.6	0.75						
$\lambda$		267.6	Yes	148.1	0.74						
ξ		1.278  and  2.972	Yes	156.9	0.73						
$\lambda \sin(eta)/d$		79.05	Yes	141.1	0.77						
$\xi\lambda\sin(eta)/d$		223.9	Yes	141.7	0.77						

Table 3: Results of Segmented Regression

$$\frac{R}{a^+} = -0.0059 \left(\frac{\lambda \sin(\beta)}{d}\right) + 2.146, \quad \text{for} \quad \frac{\lambda \sin(\beta)}{d} \ge 79 \tag{16b}$$

595

#### 596 4.3. Discussion

The analysis in § 4.2 shows that the breakpoint at which the transition between  $R > a^+$ 597 and  $R \approx a^+$  appears to be dependent on  $\lambda \sin(\beta)/d$ . This accounts for the apparent lack of 598 shoaling in long waves by including information on the slope and the wavelength. It is not 599 physically convincing that there is a defined breakpoint between shoaling and non-shoaling 600 long waves. It is more reasonable that this breakpoint is diffuse, and depends on the values 601 of d and  $\beta$ . If verified, the relative slope length might be used to predict runup for a given 602 wavelength and amplitude at a given depth of definition over a given slope. However, due 603 to its empirical nature, recourse to expanded data sets that vary  $\beta$  and d is required to gain 604 confidence in the ability of relative slope length to predict  $R/a^+$ , as well as define the physical 605 reasonableness of a defined breakpoint. This is the aim of ongoing numerical modelling work. 606 The presented data set poses an interesting question regarding the definition of amplitude. 607 While the shorter waves shoal the longer non-shoaling waves are effectively 'pre-shoaled' in 608

the water depth that they are generated. In greater water depths, these waves would be generated with smaller amplitudes, corresponding to a longer slope over which they will propagate. In the latter cases the value  $R/a^+$  would proportionately increase, implying that

normalising with d, while appropriate for solitary waves (due to the tie between depth and 612 non-linearity), is not appropriate for tsunami-length trough or crest-led waves. Normalising 613 these waveforms with depth appears arbitrary, which suggests that the depth at which the 614 amplitude of the wave is defined may have significant consequences on the final prediction 615 of the runup. The Iribarren number is used in classical wind wave runup equations with an 616 assumption that deep water conditions apply. Theoretically, a tsunami wave will always feel 617 the ocean bottom throughout its propagation, violating this assumption. This influenced 618 the use of the relative slope length parameter to describe their runup. 619

These observations have implications for numerical studies of runup and may require 620 consideration in guidance provided for how, and at what offshore depth tsunami waves 621 should be defined. For example, the ASCE/SEI (2017) standard states that the offshore 622 driving boundary condition for an N-wave tsunami waveform is defined at a contour depth 623 of 100 m (Eq. 6.7.1-1, therein). This depth contour is also used in Park et al. (2015) as 624 a reasonable offshore depth to define a crest-led tsunami waveform prior to wave breaking 625 closer to the shore, and far enough from the source to account for refractive and shoaling 626 effects. The assumption is of less uncertainty in the tsunami propagation from source to the 627 100 m contour. In the ASCE/SEI (2017) standard the propagation from the source to the 628 100 m depth contour is permitted to be made using linear shallow water wave equations. 629 Thereafter towards the shore the wave is propagated using non-linear shallow water wave 630 equations or equivalent modelling techniques to account for non-linear effects applicable to 631 the specific prototype being considered. The findings of the current PLWG tests show the 632 depth and distance from the reflecting region (in this case the sloping bathymetry) has 633 important effects on the waveform at any given X position. The presence of destructive 634 or constructive interference from the reflected trough or crest may require consideration 635 depending on the distance from the shore and wave celerity and wavelength. In Figure 5a-c 636 the destructive effects of the natural trough reflection are observed in the free-surface closer 637 to the PLWG. This has important implications for the input boundary condition amplitude 638 for any runup prediction and could lead to undesirable underestimations of runup for a given 639 tsunami wave if the input amplitude is lowered by the reflected trough. Equally overestimates 640 of R can result if the input amplitude fails to take into account the reflected trough. 641

This leads to the potentially problematic identification of a requisite baseline waveform in modelling tsunami and their runup. The issue is whether the wave as defined at a given depth and distance offshore is completely composed of the input wave only. The extremely <sup>645</sup> long length and period of these waves means that reflections may come into play in an <sup>646</sup> offshore definition scenario.

These questions and the verification of relative slope length as a suitable prediction tool for  $R/a^+$  are suggested for future research. This may include a numerical model to expand the current experimental data set to include variations in d and  $\beta$ , as well as efforts to propose a baseline waveform. Such a baseline may go some way into dealing with the uncertainties described above.

## **552** 5. Conclusions

Using a Pneumatic Long-Wave Generator (PLWG), an extensive set of trough and crest-led waves are generated with periods varying from 10 s to 230 s at model scale. It is shown that the PLWG can produce tsunami-length waves that are much longer than the 100 m long flume. These waves are stable along the sloping bathymetry and scalable to prototype tsunami length, amplitudes and water depths. Flow velocity profiles show well developed logarithmic profiles near the PLWG and at the bathymetry toe.

The runup of trough and crest-led waves of periods  $\approx 100$  - 230 s is approximately 659 equivalent to the offshore amplitude. This is postulated to be due to insignificant shoaling 660 resulting in these very long waves behaving similarly to a slosh. Waves of periods of less 661 than  $\approx 100$  s did shoal, presenting runup greater than offshore amplitude. Existing runup 662 equations, with the exception of (Madsen and Schäffer, 2010) perform poorly for tsunami-663 length waves, in one case over-estimating by a factor of up to approximately 5. Large 664 under predictions are observed for tsunami length elevated waves. The equation provided 665 by (Madsen and Schäffer, 2010) gave better results, but was unable to match the whole data 666 set. The correlation of wave variables with runup is investigated and wave steepness is found 667 to be strongly correlated with runup, indicating the distribution of energy over the waveform 668 appears more important than the total value of potential energy. This energy distribution 669 is better described by geometric variables, particularly the wave steepness measure. Using 670 a segmented regression, a new parameter called the 'Relative Slope Length' is found to fit 671 the data well. This includes information on the wavelength of the wave and the slope over 672 which it travels. 673

The discussion and analysis of the long wave data set presented implies the depth at which a tsunami wave is defined is a key variable in determining whether its amplitude is absolute (the actual amplitude of the generated incident tsunami) or relative (the amplitude recorded in a particular position, possibly altered by wave interference). Reflected components of the incident wave are shown to interfere with the rear portions of the wave. For trough-led waves the crest amplitude may be decreased by the reflected trough. This suggests that runup models need to take into account the wavelength, celerity and depth at which the tsunami wave is defined to consider the effect of reflections on the amplitude and its definition.

The tests show that the definition of offshore wave amplitude is non-trivial and may greatly affect the predicted relative runup of a given wave. This appears to be a general issue for all types of tsunami simulation in the laboratory. Together these observations and proposed runup model provide a framework for future numerical studies of the topic.

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## 810 Appendix A.

Example prototype tsunami time series showing variable trough-led and crest-led type waveforms from a) the Belgian Yacht 'Mercator' recorded during the Indian Ocean Tsunami event (Rabinovich and Thomson, 2007) and tide gauge data from Miyagi north, Iwate South
and Fukushima during the 2011 Great Eastern Japan Earthquake and Tsunami (Kawai et al.,
2013).



Figure A.1: prototype tsunami time series examples from a) 'Mercator' yacht, b) Iwate South, c) Miyagi North, and d) Fukushima tide gauges

## 816 Appendix B.

Table B.1 gives the test conditions and the standard deviations of the mean of repeated tests, where appropriate.

<u>ь</u>		124.95	203.52	434.91	289.6	74.1	86.46	221.45	193.69	89.45	119.087	142.21	260.545	512.497	
$E_p$	[J/m]	1935	2538	1914	2338	420	989	1855	2525	1206	971	981	1917	3424	
α		0.003	0.001	0.002	0.005	0.003	0.002	0.003	0.008	0.006	0.005	0.011	0.01	0.003	
В	[m]	0.045	0.047	0.047	0.058	0.042	0.059	0.074	0.121	0.207	0.271	0.173	0.092	0.081	-
		33.55	58	79.267	61.202	0.001	19.985	18.034	27.482	6.679	3.104	9.118	29.962	100.655	
<u>۲</u>	[m]	560	656	492	403	226	268	283	245	176	69	113	193	558	
ь		260.0	0.34	0.501	0.565	0.18	0.251	0.251	0.324	0.174	0.125	0.33	0.368	0.407	able
<u></u>	[m s <sup>-1</sup> ]	2.43	3.58	3.06	3.71	2.85	3.253	3.49	3.04	4.5	3.56	3.46	2.58	2.76	vari
σ		7.265	1.48	8.706	1.856	0.001	2.318	0.417	2.337	0.205	1.204	2.034	4.604	10.885	
T	[m]	230.2	183.5	160.6	108.4	79.2	82.3	81.1	80.7	39.1	24.1	44.5	82.9	201.6	
6		0.003	0.001	0.001	0.001	0.002	0.001	0.002	0.003	0.001	N/A	N/A	N/A	N/A	
	[m]	-0.041	-0.042	-0.04	-0.044	-0.03	-0.04	-0.051	-0.061	-0.045	N/A	N/A	N/A	N/A	
ь		0.002	0.001	0.002	0.002	0.001	0.002	0.001	0.002	0.002	0.003	0.002	0.002	0.001	
a+	[m]	0.038	0.043	0.043	0.055	0.03	0.044	0.06	0.08	0.06	0.083	0.064	0.069	0.057	
Approx depth d	[m]	1	1	1	1	1	1	1	1	1	1	1	1	1	≈0.46- 1
No. of re- peats		2	9	9	9	2 L	2	2	10	9	4	4	4	4	iable
$\underset{a^+}{\operatorname{amp-}}$	[ш]	0.05	0.05	0.05	0.05	0.03	0.05	0.07	0.09	0.05	0.05	0.05	0.05	0.05	vari
$\left  \begin{array}{c} \text{Period} \\ T \end{array} \right $	<u>s</u>	230	180	160	110	80	80	80	80	40	25	45	80	200	10-185
Wave type	trough / crest- led	TL	$\mathrm{TL}$	C	C	C	C	TL&C							

Table B.1: Test Conditions

## 819 Appendix C.

In § 4.2 a segmented regression analysis is given. In determining which influencing variables may depict  $R/a^+$ , the following correlation plots with the combined data sets (current and Charvet et al. 2013), including the aggregated repeat waves, is given in Figure C.1. The CDF plot in Figure 14, alongside the quantile-quantile and probability-probability plots in Figure C.2, show the normal distribution fits the  $R/a^+$  data better than the lognormal or gamma distribution counterparts. Correlations are apparent in  $\lambda \sin(\beta)/d$ ,  $\xi/d$ ,  $\xi(\lambda \sin(\beta)/d)$ , T,  $E_p$  and  $\lambda$ .



Figure C.1: Correlation plots of all potentially influencing variables as a function of  $R/a^+$  tested in the combined data sets of the current data and Charvet et al. (2013).



Figure C.2: Quantile-quantile (a) and probability-probability (b) comparison plots of the normal (×), log-normal ( $\circ$ ) and gamma ( $\Box$ ) cumulative distribution function (CDF) fits to  $R/a^+$