1 Can air humidity and temperature regimes within cloud forest canopies be 2 predicted from bryophyte and lichen cover?

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16 Abstract

17 The use of bryophyte and lichen cover as a proxy for air relative humidity (RH) and 18 temperature in tropical forests has been widely proposed. Many studies that have 19 assessed the usefulness of such indicators have mostly focused on estimates from 20 ground observations. Here we identify the usefulness of bryophyte and lichen cover 21 to estimate RH and temperature along montane cloud forest canopies in Cusuco 22 National Park, Honduras. We used correlation analysis to identify the contribution of 23 height above ground level (i.e. canopy position) and elevation (asl.) on the cover of 24 bryophytes and lichens and in relation to temperature and RH measured over a 12-25 mo period. We found that maximum RH and mean temperature was best explained 26 by bryophyte cover when elevation was included in the model ($R^2 = 0.23$ and $R^2 =$ 27 0.82 respectively). Elevation explained the largest proportion of variance in that 28 model (22-82%). On the other hand, maximum RH and minimum temperature were best explained by lichen cover and elevation ($R^2 = 0.27-0.85$). RH and bryophyte 29 cover were positively correlated (best fit model: $R^2 = 0.11$) and RH and lichen cover 30 31 negatively correlated (best fit model: $R^2 = 0.12$). The correlation between 32 temperature and bryophyte cover was positive (best fit model: $R^2 = 0.03$) and the 33 correlation between temperature and lichen cover, with the exception of the lower canopy, was positive (best fit model: $R^2 = 0.09$). We conclude that estimates that use 34 bryophyte and lichen cover as a proxy for RH and temperature need to consider the 35 36 effects of differences in elevation between sites. Our results have also shown that 37 including canopy position in models, that predict microclimate data from bryophyte 38 and lichen cover, did not increase the explanatory power of such models. 39 40 Keyword: mosses, epiphyte, Honduras, microclimate, elevation 41

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45 **1.1 Introduction**

46 The use of non-vascular epiphytes such as bryophytes and lichens as indicators for 47 environmental conditions such changes and climates has frequently been proposed 48 (Zotz and Bader 2009, Boltersdorf et al. 2014, Santos et al. 2014) and several 49 studies have proposed the use of indicator taxa for that purpose (Holz and Gradstein 2005, Normann et al. 2010). In tropical cloud forests, lichens and bryophytes are 50 51 often very plentiful and they cover large surface areas of the vascular plant flora as 52 epiphytes and hyper-epiphytes (Gradstein and Pocs 1989). Humid montane forests in particular show increased species richness, abundance and biomass of non-53 54 vascular epiphytes, in comparison to lowland forests (Frahm 1990, Frahm and 55 Gradstein 1991, Wolf 1994, Wagner et al. 2014). Bryophytes and lichens show clear 56 zonations within forest canopies, but both groups show different patterns in their 57 distribution (Cornelissen and Steege 1989, León-Vargas et al. 2006, Cornelissen et 58 al. 2007). Their vertical and horizontal distribution is mostly attributed to microclimate 59 gradients within the canopy (Wolf 1993, Acebey et al. 2003, Wagner et al. 2014). The diffusion of sunlight through the canopy makes the air in the upper canopy 60 61 warmer and lighter, whereas the air in the lower canopy is often cooler and denser, resulting in a stable temperature stratification within the canopy (Szarzvnki and 62 Anhuf 2001). Relative air humidity (RH) is generally higher in the lower canopy and 63 64 temperature displays a reversed pattern (Batke and Kelly 2014). 65 Collecting data on the microclimate of a forest is often time-consuming and costly. Because the cover of bryophytes and lichens is closely coupled to the microclimatic 66 67 conditions within a forest canopy, it has been proposed that bryophyte cover [and to 68 some extent lichen cover and growth (Shukla et al. 2013)] can be used as a proxy for RH and temperature (Gradstein and Pocs 1989, Frahm and Gradstein 1991, Karger 69 70 et al. 2012). For example, the relationship between bryophyte cover and RH in 71 tropical forests was recently investigated by Karger et al. (2012). Their study 72 investigated 26 study sites in tropical forests in Costa Rica, Ecuador and the 73 Philippines and found that, across their study sites, bryophyte cover was only weakly 74 correlated with RH. However, after separating highland (1800-3500 m asl.) from 75 lowland sites (<1800 m asl.), RH showed a significant positive relationship with 76 bryophyte cover ($R^2 = 0.36-0.62$). In contrast, temperature was only correlated to 77 bryophyte cover in the lowlands ($R^2 = 0.36$). Karger et al. (2012) suggested that 78 these results can be used to make relatively good estimates of the RH in a given 79 study site when bryophyte cover is used as a proxy. The usefulness of lichen cover 80 as an indicator for RH and temperature in tropical forests on the other hand, has 81 been less well studied. Pearson (1969) found in Minnesota that trees that were 82 located further from the edge of the forest showed significantly lower RH and an 83 approximately 50% increase in lichen cover. His data suggested that increased light 84 and temperature levels and the lower RH outside the denser forest, provided more 85 optimal growing conditions for a number of lichen species. Although the lichen cover 86 on average was lower on trees in the interior of the forest, lichens were still abundant in the crowns of the trees. 87 88 Taller forests have a much stronger vertical gradient in microclimate regimes 89 compared with shorter forests (Sillett and Antoine 2004). It is therefore likely that the

90 cover estimates of bryophytes (and lichens) show much stronger vertical

dissimilarities in taller forests (McCune et al. 2000). Studies that estimate bryophyte

and lichen cover from the ground rely heavily on an open understory and the use of

93 binoculars (Gradstein et al. 2003). Estimates that are based on ground observations

94 are likely to be less accurate compared to estimates that use direct branch 95 observations, e.g. through rope-climbing methods (McCune and Lesica 1992). In this study, we investigated the correlations between temperature and RH and 96 97 bryophyte and lichen cover along the whole vertical length of a tall forest canopy in Honduras. We aimed to investigate whether bryophyte and lichen cover can be used 98 99 as a proxy for RH and temperature along the full vertical forest profile. It was 100 predicted that bryophyte and lichen cover on individual branches will change with 101 height in the canopy. Bryophytes grow frequently in conditions were moisture levels 102 are high and are in effect shade plants (León-Vargas et al. 2006). Their cover is 103 largely determined by the loss of water from exposure (e.g. sun light). As they 104 become light-saturated at relatively low levels, deeply shaded places such as the lower canopy are thus better for water conservation (Proctor 1990). Lichens on the 105 106 other hand grow more plentifully on more exposed sites in the canopy (Pearson 107 1969) where temperatures and light levels are higher. The upper branches in a tree 108 are also much younger, provide less favorable conditions to bryophytes and hence 109 reduce competition from bryophytes (Wolseley and Aguirre-Hudson 1997). 110 Our hypotheses were (i) that RH and bryophyte cover are positively correlated, both 111 being highest in the lower canopy and lowest in the upper canopy and (ii) that RH 112 and lichen cover are negatively correlated. (iii) The reverse patterns were expected 113 for the correlations with temperature.

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115 2.1 Materials and methods

116 2.1.1 Data collection

117 Climate data were collected over a 12-mo period within 20 large mature trees (ten 118 needle-leaved conifers and ten broadleaved angiosperms) in Cusuco National Park 119 (CNP), Honduras (15°32'31"N, 88°15'49"W). Ten trees including both life-forms (one 120 conifer and one broadleaved tree per plot) were located within five low elevation 121 cloud forest plots (<1450 m asl.) and ten trees in five high elevation cloud forest plots 122 (1800-2000 m asl.). We selected different host life-forms, as previous studies have 123 shown that the cover of non-vascular epiphytes can vary between different host life-124 forms and species, which is often a result of differences in bark properties and tree 125 height (Wolf 1994). Due to significant logging and farming activities at low elevation 126 sites (>1450 m asl.), the elevation gradient was relatively low. Minimum distance

127 between plots (150×150 m) was 50 m. Luscar EL-USB-2 data loggers (n = 70)

128 were used to measure RH and temperature at 10-min (n = 8) or hourly (n = 62)129 intervals between June 2012 and June 2013. The 10-min interval measurements for 130 eight of the data loggers were averaged to hourly measurements for the analysis. 131 The loggers were suspended at three different heights within the canopy namely the 132 lower, middle and upper third of the canopy. As described in Batke and Kelly (2014), 133 the height of each logger depended on the total tree height and each loggers was at 134 the same horizontal distance from the bole of the tree (i.e. the inner canopy). Some 135 of the data loggers were paired, in order to assess recording precision. Branches 136 that were located between two logger-levels were assigned a canopy position based 137 on their distance to the nearest data logger. Mean \pm SD tree height was 40.4 m \pm 9.9 138 m [see Batke and Kelly (2014) for more details on the forest plots]. We used rope 139 climbing techniques to sample every branch along the whole tree for bryophyte and 140 lichen cover. The height of each branch was measured using a tape measure from 141 the center of each branch. Branches that grew vertically and branches that grew across different canopy zones were subdivided and treated separately. Bryophyte 142

143 and lichen cover were visually estimated for each branch (and bole), using a 0-100%

- 144 scale with 5% intervals.
- 145 146

147 2.1.2 Data analysis

148 From the data logger measurements (number of repeated measures = 386,469) we 149 calculated the mean, maximum and minimum temperature and RH for each canopy 150 position, viz. lower, middle and upper canopy. We used linear regression and Linear 151 Mixed Effect Models to identify the effects of canopy position and elevation on the 152 cover of bryophytes and lichens and their relationships to temperature and RH. RH 153 and temperature were treated as dependent variables, whereas canopy position, 154 elevation, bryophyte and lichen cover were treated as independent variables. We 155 analyzed mean, minimum and maximum microclimate variables separately. Tree 156 identity was treated as a random variable but was not included in any further analysis as the contribution of tree identity to the models was low (0.2% of variance 157 158 explained). 159 To identify the model that best explained humidity and temperature, the models were

- 160 tested using ANOVA comparisons and the model with the lowest Akaike Information
- 161 Criterion (AIC) was retained. Elevation was included as a continuous variable and
- 162 canopy position as a categorical variable. The correlations between RH/temperature 163 and height in the canopy were demonstrated in previous work (Batke and Kelly
- 164 2014). All calculations were done in 'R' (R Developing Core Team 2011).
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166 3.1 Results

167 Elevation explained 22% of the data when modeled for maximum RH and 80% when 168 modeled for mean temperature (Table 1). Canopy position showed much weaker 169 correlations, with the best-fit models explaining 10% of minimum RH and 7% of 170 maximum temperature (Table 1). RH (mean RH = 6%) and temperature (minimum 171 temperature = 2%) were poorly predicted from bryophyte cover alone (Table 1). 172 However, when elevation was included in the model, the overall model fits for RH 173 and temperature were improved by 21% (maximum RH) and 80% (mean 174 temperature) respectively. Although canopy position did contribute to the model 175 performance, the contributions were small when modeled together with bryophyte 176 cover (mean RH = 11%; minimum temperature = 3%; Table 1). The only statistically 177 significant correlations of RH to bryophyte cover at the different canopy positions 178 were to mean and minimum RH and maximum and minimum temperature in the 179 upper canopy (Table 2 and Figure 1). Bryophyte cover increased with mean RH and 180 minimum temperature (Figure 1). In summary, mean temperature explained most of 181 the cover of bryophytes when elevation was included (overall model fit = 82%). 182 Similarly, maximum RH explained most of the cover of bryophytes when elevation 183 was included (overall mode fit = 23%; Table 1). Canopy position did not contribute

- 184 much to the model performance but the correlations varied between different canopy
- 185 positions (Figure 1).
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187 RH (maximum RH = 12%) and temperature (mean temperature = 17%) were poorly 188 predicted from lichen cover alone (Table 1). However, as with bryophyte cover, 189 when elevation was included in the model, the overall model fits for RH and 190 temperature with lichen cover were improved by 15% (maximum RH) and 68% 191 (mean temperature) respectively. Including canopy position in the models did not

192 increase model performance (Table 1). However, compared to bryophyte cover, RH 193 and temperature were more strongly correlated to lichen cover at the different 194 canopy positions (Table 2 and Figure 1). Mean and maximum RH were statistically 195 correlated to lichen cover at the middle and upper canopy. Also, minimum RH was 196 statistically correlated to lichen cover at the lower canopy (Table 2 and Figure 1). 197 Temperature showed a similar pattern with the only difference being the correlation between minimum temperature and lichen cover in the upper canopy (Table 2 and 198 199 Figure 1). Lichen cover decreased with maximum RH, and, with the exception of the 200 lower canopy, increased with maximum temperature (Figure 1). In summary, 201 maximum temperature explained most of the cover of lichens, when elevation was 202 included (overall model fit = 85%). Similarly, maximum RH explained most of the 203 cover of lichens when elevation was included (overall mode fit = 27%; Table 1). Finally, compared to bryophyte cover, canopy position was more important when 204 205 lichen cover was correlated to climate variables (Table 2 and Figure 1). 206

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- 209 **Table 1.** Mixed effects linear models correlating air RH and temperature to visually
- estimated bryophyte and lichen cover at different heights within the canopy (i.e.
- 211 Position) and between high and low elevation sites (i.e. Elevation asl.). The models
- that present most of the variation in the data and had the lowest AIC scores are
- highlighted in bold and their significance level are marked by asterisks (***p < 0.01 and *p < 0.05).

			Humidity			Temperature		
Grou p	Dependen t	Independent	AIC	R ²	р	AIC	R ²	р
	Max.	Elevation	1249. 6	0.22	<0.01 ^{**} *	2021. 1	0.19	<0.01 ^{**} *
	Max.	Position	1350. 4	0.00 1	0.33	2079. 0	0.07	<0.01 ^{**} *
	Mean	Elevation	1890. 5	0.20	<0.01** *	1000. 7	0.80	<0.01 ^{**} *
	Mean	Position	1961. 7	0.05	<0.01** *	1691. 3	0.001	0.48
	Min.	Elevation	3008. 4	0.04	<0.01 ^{**} *	1530. 4	0.57	<0.01 ^{**} *
	Min.	Position	2980. 7	0.10	<0.01 ^{**} *	1861. 3	0.01	0.02*
Bryophyte								
	Max.	Bryophyte	1348. 8	0.00 2	0.18	2099. 9	0.02	<0.01** *
	Max.	Bryophyte:Position	1353. 8	0.00 1	0.44	2076. 1	0.08	<0.01** *
	Max.	Bryophyte:Elevation	1247. 0	0.23	<0.01 ^{**} *	2016. 3	0.21	<0.01 ^{**} *
	Max.	Bryophyte:Position:Elevatio n	1258. 4	0.22	<0.01 ^{**} *	1970. 3	0.30	<0.01** *
	Mean	Bryophyte	1957. 0	0.06	<0.01 ^{**} *	1690. 0	0.000 4	0.36
	Mean	Bryophyte:Position	1940. 6	0.11	<0.01 ^{**} *	1696. 1	0.01	0.74

	Mean	Bryophyte:Elevation	1863. 4	0.26	<0.01 ^{**} *	995.7	0.82	<0.01 ^{**} *
	Mean Bryophyte:Position:Elevatio		1828. 9	0.33	<0.01 ^{**} *	1002. 7	0.82	<0.01 ^{**} *
	Min.	Bryophyte	2987. 4	0.09	<0.01 ^{**} *	1857. 2	0.02	<0.01 ^{**} *
	Min. Bryophyte:Position		2947. 7	0.18	<0.01 ^{**} *	1858. 2	0.03	<0.01 ^{**} *
	Min.	Bryophyte:Elevation	2969. 1	0.13	<0.01 ^{**} *	1505. 9	0.59	<0.01 ^{**} *
	Min.	Bryophyte:Position:Elevatio	2923. 2	0.24	<0.01 ^{**} *	1502. 4	0.60	<0.01 ^{**} *
Lichen								
	Max.	Lichen	1299. 2	0.12	<0.01 ^{**} *	2092. 3	0.04	<0.01 ^{**} *
	Max.	Lichen:Position	1301. 4	0.12	<0.01 ^{**} *	2071. 2	0.09	<0.01 ^{**} *
	Max.	Lichen:Elevation	1226. 2	0.27	<0.01 ^{**} *	2011. 1	0.22	<0.01 ^{**} *
	Max.	Lichen:Position:Elevation	1227. 4	0.28	<0.01 ^{**} *	1966. 4	0.31	<0.01 ^{**} *
	Mean	Lichen	1945. 3	0.09	<0.01 ^{**} *	1613. 5	0.17	<0.01 ^{**} *
	Mean	Lichen:Position	1933. 6	0.12	<0.01 ^{**} *	1617. 3	0.17	<0.01 ^{**} *
	Mean	Lichen:Elevation	1880. 5	0.23	<0.01 ^{**} *	937.4	0.85	<0.01 ^{**} *
	Mean	Lichen:Position:Elevation	1846. 2	0.30	<0.01 ^{**} *	938.7	0.85	<0.01 ^{**} *
	Min.	Lichen	3021. 0	0.01	<0.05*	1813. 3	0.12	<0.01 ^{**} *
	Min.	Lichen:Position	2977. 6	0.12	<0.01 ^{**} *	1807. 6	0.14	<0.01 ^{**} *
	Min.	Lichen:Elevation	2999. 6	0.06	<0.01 ^{**} *	1498. 8	0.60	<0.01 ^{**} *
	Min.	Lichen:Position:Elevation	2954. 0	0.18	<0.01 ^{**} *	1500. 2	0.61	<0.01 ^{**} *

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Table 2. Bryophyte and lichen cover coefficients of determination (R^2) for mean, maximum and minimum RH and temperature for each canopy position. The R^2 values that were highly significant (p < 0.01) are marked by ***.

		RH			Temperature				
Туре		Mean	Max.	Min.	Mean	Max.	Min.		
Bryophyte									
	Lower	0.06	0.04	0.13	0.05	0.001	0.02		
	Middle	0.01	0.01	0.03	0.01	0.004	0.01		
	Upper	0.07***	0.002	0.11***	0.002	0.03***	0.08***		
Lichen									
	Lower	0.02	0.07	0.03***	0.02	0.01	0.04		
	Middle	0.08***	0.07***	0.002	0.19***	0.02***	0.004		
	Upper	0.12***	0.15***	0.004	0.19***	0.11***	0.03***		



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Figure 1. Relationships of bryophyte and lichen cover on canopy branches at different canopy positions per plot with RH and temperature. Following the best fit models, the mean RH and minimum temperature correlations for bryophyte cover are presented and the maximum RH and maximum temperature correlations for lichen cover. The solid lines represent the linear fit for the lower canopy, the dotted lines represent the linear fit for the middle canopy and the dashed lines represent the linear fit for the upper canopy (***p < 0.01).

235 **4.1 Discussion**

236 Our results showed that most of the variability in the climate data was best explained 237 by the difference in elevation between sites. The position of the data loggers along 238 the vertical canopy profile accounted for only a small proportion of the data variability 239 and RH and temperature were only poorly predicted from bryophyte and lichen cover 240 when they were modeled as the only independent variable in the correlation. The 241 best-fit models were: maximum RH correlated to bryophyte cover and elevation ($R^2 =$ 242 0.23), mean temperature correlated to bryophyte cover and elevation ($R^2 = 0.82$), 243 maximum RH correlated to lichen cover and elevation ($R^2 = 0.27$) and mean 244 temperature correlated to lichen cover and elevation ($R^2 = 0.85$). The importance of 245 elevation in explaining the cover of bryophytes was previously demonstrated by 246 Karger et al. (2012). They demonstrated that elevation explained much of the 247 variability in bryophyte cover between sites. In their study high elevation sites had a 248 better fit for RH ($R^2 = 0.62$) compared to low elevation sites ($R^2 = 0.36$). However, 249 the fit was only better for low elevation sites when bryophyte cover was correlated to 250 temperature (low: $R^2 = 0.36$; high: $R^2 = 0.01$). In the present study elevation alone 251 improved the model fit by 17%-80% when modeled with bryophyte cover and 15%-252 68% when modeled with lichen cover. In particular, the models of mean temperature 253 and elevation showed strong correlations when modeled for bryophyte and lichen 254 cover (Table 1). Our hypotheses that (i) RH and bryophyte cover are positively and 255 (ii) RH and lichen cover are negatively correlated were confirmed. However, the 256 strength of the correlations was weak and differed between canopy positions; the 257 strongest correlations were observed in the upper canopy (Table 2 and Figure 1). 258 The hypothesis that (iii) temperature and bryophyte cover are negatively correlated 259 was not confirmed. Likewise, the predicted positive correlations between 260 temperature and lichen cover was not confirmed for the lower canopy (Figure 1). 261

262 Wolf (1993) pointed out that the correlation between bryophyte cover and elevation 263 is most likely the result of increased RH and a decrease in temperature with 264 elevation. His as well as our results demonstrated the importance of including 265 elevation as a variable in any non-vascular epiphyte cover estimate that assess the 266 correlation between climate variables and their cover. Biomass assimilate of 267 bryophytes is optimal at low light intensities and at temperatures below 25 °C; conditions that are frequently observed at high elevation sites and in the lower 268 269 canopy. At low elevation sites and at greater height in the canopy biomass 270 assimilations are often lower, most likely due to higher temperatures and the 271 resulting higher nocturnal respiration rates (Frahm 1990, Frahm and Gradstein 1991, 272 Bader et al. 2013, Wagner et al. 2014). Additionally, long periods of high RH allow 273 for longer periods of photosynthetic activity by reducing the risk of damage from 274 desiccation (Vanderpoorten and Goffinet 2009). Poikilohydric canopy species in 275 particular are significantly more affected by the decrease in RH and increased 276 exposure to desiccation by wind in the upper canopy (Sillett and Antoine 2004). Lichens are less tolerant to water over-saturation and hence grow in more exposed 277 278 conditions such as the upper canopy (Gehrig-Downie et al. 2011). Moreover, lichen 279 cover in the middle and upper canopy is often much higher compared to the lower 280 canopy (Lang et al. 1980, Kelly et al. 2004, Batke 2012). This is most likely a result 281 of more suitable growing conditions (e.g. increased solar radiation in the upper 282 canopy) and possibly due to reduced competition from bryophytes on such sites. It 283 has also been suggested that lichen cover (and their distribution) is less affected by 284 microclimate variables at a stand level compared to a regional level (Giordani and

Incerti 2008). If this is the case, this would explain the low correlation of climatevariables to lichen cover in our study.

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288 The low contribution of canopy position to our models suggests that the height in the 289 canopy is not a strong contributing factor when correlating climate variables to bryophyte and lichen cover at a stand level. Thus, ground cover estimates in our 290 291 study site would have been sufficient to predict RH and temperature, once elevation 292 was included in the models. We confirmed the view (Sillett and Antoine 2004) that 293 bryophyte cover increased and lichen cover decreased with increases in RH. 294 However, we were unable to detect a negative correlation between temperature and 295 bryophyte cover, and we only found a positive correlation between temperature and 296 lichen cover in the middle and upper canopy (i.e. the best fit model). The weak 297 correlations between mircoenvironmental variables and bryophyte and lichen cover 298 could be because our data were collected at different resolutions. Bryophyte and 299 lichen cover data were collected on an individual branch level, whereas microclimate 300 measurements were not available for each individual branch; instead measurements 301 were taken from three canopy zones (i.e. lower, middle and upper canopy). 302 Branches that were located between individual data loggers could have experienced 303 different microclimate conditions to those branches that were located directly next to 304 a logger. Having one data logger per branch would have been desirable and would 305 have resulted in a more comprehensive sample design. However, this was not 306 feasible here.

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308 Finally, the logistical difficulties of ascending into the canopy make it desirable to use 309 estimates of lichen and bryophyte cover from the ground, to predict RH and 310 temperature regimes for the whole forest stand (Pardow et al. 2012). Our results 311 showed that in our study area only a small proportion of mircoenvironmental 312 variables were explained by bryophyte and lichen cover estimates. Most of the 313 variation in climate data was better explained by our models that included elevation 314 as an independent variable. We therefore do not think that RH and temperature can 315 be predicted entirely from bryophyte and lichen cover at CNP. Moreover, we did not 316 find much support that would have suggested that the inclusion of canopy position, in 317 bryophyte and lichen cover estimates, would have increased the predictive power of 318 our models.

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