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Improving quality of life through the routine use of the Patient Concerns Inventory for head and neck cancer patients: a cluster preference randomized controlled trial --Manuscript Draft--

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Full Title:	Improving quality of life through the routine use of the Patient Concerns Inventory for head and neck cancer patients: a cluster preference randomized controlled trial	
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Abstract:	<p>Background: The consequences of treatment for Head and Neck cancer (HNC) patients has profound detrimental impacts such as impaired QOL, emotional distress, delayed recovery and frequent use of healthcare. The aim of this trial is to determine if the routine use of the Patients Concerns Inventory (PCI) package in review clinics during the first year following treatment can improve overall quality of life, reduce the social-emotional impact of cancer and reduce levels of distress. Furthermore, we aim to describe the economic costs and benefits of using the PCI.</p> <p>Methods: This will be a cluster preference randomised control trial with consultants either 'using' or 'not using' the PCI package at clinic. It will involve two centres Leeds and Liverpool. 416 eligible patients from at least 10 consultant clusters are required to show a clinically meaningful difference in the primary outcome. The primary outcome is the percentage of participants with less than good overall quality of life at the final one-year clinic as measured by the University of Washington QOL questionnaire version 4 (UWQOLv4). Secondary outcomes at one-year are the mean social-emotional subscale (UWQOLv4) score, Distress Thermometer (DT) score ≥ 4, and key health economic measures (QALY-EQ-5D-5L; CSRI).</p> <p>Discussion: This trial will provide knowledge on the effectiveness of a consultation intervention package based around the PCI used at routine follow-up clinics following treatment of head and neck cancer with curative intent. If this intervention is (cost) effective for patients, the next step will be to promote wider use of this approach as standard care in clinical practice.</p> <p>Trial registration: 32382. Clinical Trials Identifier NCT03086629 Protocol: Version 3.0, 1st July 2017</p>	
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Response to Reviewers:	<p>Thank you for the Editor comments which we have followed.</p> <ol style="list-style-type: none"> 1.Trial registration number added at end of abstract as requested. 2.Declarations section created between discussion and references. The eight subsections have all been completed. 3.The two figures are now in two separate files and a separate heading of figure legends has been added after the references. 4.The titles have been removed from within the figure files. 5.Author initials have been rechecked and are in author contribution section. 6.Uploaded manuscript is final clean version.

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4 Study Protocol

5 **Improving quality of life through the routine use of the Patient Concerns**
6 **Inventory for head and neck cancer patients: a cluster preference randomized**
7 **controlled trial**
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45
46 **Abstract**
47

48 **Background:** The consequences of treatment for Head and Neck cancer (HNC) patients
49 has profound detrimental impacts such as impaired QOL, emotional distress, delayed
50 recovery and frequent use of healthcare. The aim of this trial is to determine if the
51 routine use of the Patients Concerns Inventory (PCI) package in review clinics during
52 the first year following treatment can improve overall quality of life, reduce the social-
53 emotional impact of cancer and reduce levels of distress. Furthermore, we aim to
54 describe the economic costs and benefits of using the PCI.
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56

57 **Methods:** This will be a cluster preference randomised control trial with consultants
58 either 'using' or 'not using' the PCI package at clinic. It will involve two centres Leeds
59 and Liverpool. 416 eligible patients from at least 10 consultant clusters are required to
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1 show a clinically meaningful difference in the primary outcome. The primary outcome is
2 the percentage of participants with less than good overall quality of life at the final one-
3 year clinic as measured by the University of Washington QOL questionnaire version 4
4 (UWQOLv4). Secondary outcomes at one-year are the mean social-emotional subscale
5 (UWQOLv4) score, Distress Thermometer (DT) score ≥ 4 , and key health economic
6 measures (QALY-EQ-5D-5L; CSRI).

7
8 **Discussion:** This trial will provide knowledge on the effectiveness of a consultation
9 intervention package based around the PCI used at routine follow-up clinics following
10 treatment of head and neck cancer with curative intent. If this intervention is (cost)
11 effective for patients, the next step will be to promote wider use of this approach as
12 standard care in clinical practice.
13

14 **Trial registration:** 32382. Clinical Trials Identifier, NCT03086629
15 Protocol: **Version 3.0, 1st July 2017.**
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19 **Keywords:** Head and Neck Cancer, Patient Concerns Inventory, Quality of Life, Patient
20 Reported Outcomes, Intervention.
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Background

The incidence of Head and neck cancer (HNC) is increasing, the three main sites being oral cavity (mouth), oropharynx (throat) and larynx (voice box) with about 11,000 new cancers in the UK each year (1). Treatments such as surgery and chemo-radiotherapy have a detrimental effect on basic functions including speech, swallowing and appearance. These in turn can have a profound negative influence on emotional well-being and social integration (2). Patients often do not raise issues of concern in their follow-up consultations and it can be a challenge for clinicians to facilitate this in a busy clinic (3). Questionnaire prompt lists (QPL) are a means to allow patients to raise their agenda and help focus consultations (4,5,6,7). The Patient Concerns Inventory (PCI-HN) is an item prompt list specific to head and neck cancer (8,9), and differs from many QPLs, which are more general cancer tools (10). The PCI-HN was designed for routine clinic consultations within the context of NHS financial constraints. It is freely available (9) and is in the early phases of development for other cancers and chronic conditions. The PCI consists of 56 clinical items, which patients select from before their appointment, to help guide the outpatient consultation through the symptoms and problems that they may experience following their treatment for HNC. It helps to focus the consultation, aid doctor-patient communication, and can assist in signposting patients to other professional for advice and support.

The PCI supports several national initiatives and is set in the context of the national debate about how to bring about more person-centred care (11, 12) and the National Cancer Survivorship Initiative (13) which 'aims to ensure that those living with and beyond cancer get the care and support they need to lead as healthy and active a life as possible, for as long as possible'. In a survey of the British Association of Head and Neck Oncology Nurses (BAHNON), the PCI at that time, was the preferred assessment and the majority (60%) felt, as a head and neck specific tool, it was 'most appropriate' (14).

Oncology review clinics are busy and barriers such as time constraints, a medical focus of the consultation, and lack of level 1 evidence of patient benefit from the use of the PCI, prevents its wider implementation. Although pilot work has shown that patients completing the PCI would like to continue to use it in clinic and that it is feasible, (15,16) clinicians tend to focus on traditional medical aspects. There is evidence that consultations can be improved through clinicians developing skills in detecting and responding to patient distress, thereby improving their patients' emotional functioning and reducing psychological distress (17,18). Preliminary findings around the PCI suggest that its use in clinic allows emotional issues to be discussed more openly - notably fears of recurrence, anxiety and depression (19,20). Hence the PCI could help clinician communication with patients in these important areas and consequently impact on how consultations are constructed.

The PCI provides a process by which the patient has repeated opportunities to raise issues they feel are important and that they want to discuss. It can be argued that the routine repeated use of the PCI in follow-up clinics will benefit patients wanting support to speak more openly about problems or concerns e.g. psychosocial causes of symptoms; need for psychosocial help; to seek explanation and reassurance for more physical explanations about their cancer and about the side-effects of treatment. It is postulated that this will have a positive impact on quality of life and emotional distress and be demonstrable by one year following HNC treatment (21). Thus far, the majority of evidence related to the PCI-HN has been derived from one clinic setting. By conducting a randomised controlled trial (RCT) across multiple consultants, it will be possible to rigorously evaluate if the repeated inclusion of the PCI-HN in routine post-treatment

1 consultations does make a significant and clinically meaningful difference in patient
2 reported quality of life and distress.
3

4 **Methods/Design**

5 This is a preference cluster randomised control trial with consultants either 'using or
6 'not using' the PCI at clinic. 416 HNC eligible patients from at least 10 consultant clusters
7 are required to show a clinically meaningful difference in the primary outcome, that is
8 having less than good overall QOL at the final one-year clinic as measured by the
9 relevant question on the UWQOL-v4 (22).
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12 Before treatment, eligible patients will be asked to consent to participation in the
13 'research cohort'. Patients agree to their clinical data being used (Table 1) and to
14 completing research questionnaires before each post-treatment consultation, some of
15 which might be used in their consultation. Completion of all pre-consultation
16 questionnaires including the PCI items will be by computer (desktop, tablet, IPAD).
17 Quality Assurance is by initial training and later booster sessions for consultants and a
18 post consultation survey of those in the PCI arm. Also, in the first six months of the study
19 a random selection of clinic consultations will be taped in order to check how
20 consultants do or do not use the PCI package.
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24 A Steering Group is guiding the research and a joint-site Management group will manage
25 it. Each site will have regular Project Team meetings to review progress. Day to day
26 management issues will be addressed with each unit Lead Researcher. A data manager
27 (based at Aintree R&D) will have overall responsibility for ensuring data quality and
28 integrity. The study will last three years comprising of set-up and piloting, 12 months of
29 recruitment, 15 months of follow-up and analysis, and then write-up and initial
30 dissemination.
31

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33 In analysis, the two patient groups will be compared after adjusting for relevant case-
34 mix and for effects of patients being within consultant clusters. A summary flowchart of
35 the key features of this trial is shown as Figure 1.
36

37 **Purpose of the study and hypotheses**

38 The main purpose of this three-year research project is to investigate whether
39 incorporating the PCI into routine head and neck cancer (HNC) follow-up consultations
40 improves the overall QOL of patients. The Null hypothesis is that there is no difference
41 between trial groups in the percentage of patients with less than good overall QOL at
42 one year following the first baseline routine clinic post-treatment.
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45 **Participant eligibility**

46 Eligible patients will have a first occurrence of HNC, and be treated curatively (all sites,
47 stage of disease, treatments). To ensure participation of patients with little or no written
48 or spoken English, translation services will be provided as necessary.
49

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51 Patients treated with palliative intent and patients with a history of previous HNC or
52 recurrence will be excluded from the study. Although the PCI could benefit these
53 patients the primary endpoint of this study is QOL at one year. For reasons of
54 engagement and ethics, patients with a history of cognitive impairment, psychoses or
55 dementia are excluded, as discussed and identified at the staging/treatment decision-
56 making Multi-Professional Team meeting (MDT). Patients who initially are included and
57 treated curatively but who later start receiving treatment with palliative intent will no
58 longer be asked to continue their participation in the research.
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Method of randomisation

Problems of consultant contamination (from switching back and forth from using to not using the PCI package as would be required with conventional randomisation) indicate this should be a cluster RCT, in that consultants are randomised to 'using or 'not using' the PCI at all their trial clinics. The steering group approved a randomisation process incorporating consultant preference; a method reported previously (23). The aim is to limit the chance occurrence of PCI-sceptic consultants dominating the PCI group and PCI-enthusiastic consultants the non-PCI group. Those with a strong preference are offered their preferred group and those with no preference are randomised. The allocation process was overseen by the medical statistician involved, before any patient recruitment occurred. At Leeds, three of six consultants preferred to be in the PCI group, while the other three consultants had no preference as to group and were all allocated to the non-PCI control group. At Liverpool, three of eight consultants preferred to be in the non-PCI control group. One of the other five consultants was randomly allocated to the non-PCI control group, leaving four to be in the PCI group. Thus, at the two sites, seven consultants were in each arm of the trial.

Study intervention

Patient completion of the PCI and its inclusion into the regular review clinic consultation within a summary paper output is the 'intervention' and is compared to standard out-patient follow-up. The pre-consultation questionnaires and PCI will be used from the first post-treatment clinic (baseline) onwards for one year. The trial will only apply for routine out-patient follow-ups. Completion of all pre-consultation questionnaires and the PCI is by computer (desktop, tablet, IPAD). Assistance (from trained volunteers) will be available to patients as required. Patients of intervention consultants complete the PCI throughout the trial while patients of control consultants do not complete the PCI at all. All study patients will see their consultant surgeon at 6-8 weekly intervals for planned out-patient review. This might be as joint consultation with the oncologist depending on the configuration of the clinic.

While waiting for each consultation the Intervention group patients complete the following:

- Health related QOL (UW-QOLv4)
- EQ-5D-5L
- Distress Thermometer (DT)
- PCI

Intervention patients then take a summary paper output of their data into the clinic consultation (Figure 2). Post-consultation they will be asked to complete:

- Post-Consultation Patient Feedback about the use of the PCI.
- Client Service Receipt Inventory (CSRI) at 6 and 12 months.

The post consultation data collection will involve self-completion in clinic but either research assisted completion or telephone completion is possible if the patient prefers.

Control patients will complete exactly the same information as intervention patients apart from the PCI and the post consultation feedback on the PCI. They do not take any summary output with them into the consultation. The summary output is a product of the raw inputted data from the patient being run through a software programme that indicates (1) all the items selected from the PCI that the patient wants to discuss (2) those domains from the UWQOL questionnaire for which the patient responses suggest a significant problem or dysfunction (using software algorithms derived from earlier work with the UWQOL (24), (3) the patient's overall QOL and (4) the Distress

1 Thermometer score. The presence of this summary output during the consultation is
2 the difference in reality between the intervention and control groups as far as the
3 interaction between consultant and patient is concerned.

4 **Data collection and outcome measures**

5 Unit Clinical Trials Nurses who recruit eligible patients will keep recruitment and clinic
6 attendance logs. The dedicated funded Unit researchers will collect baseline
7 clinical/demographic data either via a baseline clinic questionnaire, with demographic
8 questions chosen as far as possible to match those included in the head and neck 5000
9 project (25), or by extraction from baseline clinical records. Baseline data will include
10 cancer site, disease severity, HPV status, treatment details, gender, age, deprivation
11 [IMD from post code], smoking, alcohol, and ACE-27 comorbidity. All clinical outcome
12 data will be collected automatically via IPAD at each consultation. A data manager
13 (based at Aintree) will have overall responsibility for ensuring data quality and
14 integrity.
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17 **Primary outcome measure**

18 The primary outcome measure is overall QOL, specifically the percentage with less than
19 good overall QOL at the final one-year clinic as measured by the single UWQOL-v4
20 question (22). The anticipated result in the control group is 30%. The UW-QOLv4 is a
21 commonly used HNC specific HRQOL questionnaire (26, 27) and has been used with
22 HNC patients at the Aintree Regional Head and Neck Unit since 1995. Over 1000
23 patients have completed over 5000 UW-QOL questionnaires giving the research team
24 considerable experience in analysing and reporting this QOL measure.
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27 **Secondary outcome measures**

28 1. Mean social-emotional subscale score of UW-QOL

29 The Aintree Research team was involved in developing the UW-QOL subscales, and the
30 social-emotional subscale (24) is the mean of 6 domain scores (each 0-100) - anxiety,
31 mood, pain, activity, recreation and shoulder function. The anticipated result in the
32 control group is a mean score of 75.
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35 2. Distress Thermometer (DT) score of 4 or more (range 0 to 10). The anticipated result
36 in the control group is 34%. The Distress Thermometer (DT) is a single item self-report
37 measure and has been used to screen for distress in various cancers (28-31). A score of
38 four and above denotes significant distress as this correlates with optimal sensitivity
39 and specificity to the Hospital Anxiety Depression Scale (29, 32).
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41

42 **Cost-effectiveness**

43 1. Quality-adjusted life year (QALY)

44 Quality-adjusted life year (QALY) is used as a summary measure of health benefit for
45 economic evaluation, using the EQ-5D-5L health index to adjust for patient QOL (33, 34).
46 QALY is used as a common unit to allow comparisons across different interventions or
47 disease areas (35). EQ-5D-5L is a validated generic, health-related, preference-based
48 measure comprising mobility, self-care, usual activities, pain and discomfort, anxiety
49 and depression. These are complemented by a visual analogue scale (VAS) (36), on
50 which patients are asked to indicate their current health from 0 (worst imaginable
51 health) to 100 (best imaginable health) (37).
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54 2. Health service use and costs

55 Health service use by participating patients will be collected using a Client Service
56 Receipt Inventory (CSRI). CSRI is a form that is usually administered in an interview
57 setting or by self-completion via postal surveys or at clinics – asking them to recall
58 retrospectively the type and frequency of their contacts with primary and secondary
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1 care NHS services. A CSRI form adapted to the study was developed using existing CSRI,
2 available from the DIRUM open access database (38), as a reference and guidance with
3 input from study researchers. For this trial, services such as physiotherapist,
4 occupational therapist, social worker and others are included. To translate the service
5 use into costs, unit costs from published sources will be applied to the patients' self-
6 reported service use data and the mean total cost of care per patient over 12 months
7 will be calculated in each group. CSRI is the most common means of collecting service
8 use data, usually with a short recall period of up to 6 months (39, 40), in health
9 economics studies that require data across a range of health care settings. CSRI's were
10 developed first in the field of mental health economics (41), and a review of their use is
11 published by Ridyard and Hughes (42).
12

13 6. Cost of the PCI intervention

14 Resources and materials use for the delivery of the PCI intervention will be recorded
15 and costed and the total cost of the PCI intervention will be calculated.
16

17 **Sample size calculation**

18 We have used nearly 20 years of accumulated experience with the UW-QOL to estimate
19 a sample size that is pragmatic enough for a trial to be doable, yet able to detect
20 meaningful differences if they exist. In regard to all UWQOL records collected the
21 percentages of patients reporting less than good overall quality of life were relatively
22 similar over different time periods from diagnosis and the expectation for the trial
23 control group was taken as 30% after about 12 months. Cluster randomized trials
24 require larger sample sizes than the individually randomised design because
25 observations on individuals in the same cluster tend to be correlated, thereby reducing
26 the effective sample size. The degree of correlation within consultant clusters, as
27 estimated by the intra-class correlation (ICC) was estimated as barely above zero (6.7e-
28 05) for consultants at Aintree. Assuming a likely control group outcome of 30%, an ICC
29 value of 0.01 for the trial and not wishing to miss a halving in outcome rate, then a total
30 of 312 patients from at least 10 consultants were required. After factoring a likely loss of
31 15% through patient mortality during the follow-up period and a possible maximum
32 loss of 10% from initial non-consent, this then implied a total of 416 eligible patients
33 needing to be approached for participation to the research. This number would also
34 detect a moderate-sized clinical difference of 10 units (75 Vs. 85) in the mean composite
35 social-emotional subscale score, for which an ICC estimate of 0.025 was obtained for
36 consultants within Aintree. Data from an MD project with 325 HNC patients at Aintree
37 gave an estimated 34% with a Distress Thermometer score ≥ 4 , and the trial numbers
38 would be sufficient to detect a halving in this outcome.
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45 **Statistical analysis**

46 As inference will target the individual patient level, analyses will need to adjust for
47 potential clustering in the data. We will report results for each group (PCI, non-PCI) and
48 the estimated effect size from the use of PCI and its precision (95% confidence interval).
49 For the primary outcome, we will report the intra-cluster correlation coefficient to
50 assess the amount of clustering. In reporting results, we will follow the CONSORT
51 statement extension applicable to cluster RCTs. We will use random effects (multi-level)
52 logistic regression methods and will estimate the effect of PCI after making adjustment
53 for relevant case-mix and for clustering effects of patients being within consultant
54 clusters. Only baseline patient factors will be considered as case-mix adjusters and these
55 include age, gender, treatment, overall clinical stage, tumour site and baseline clinic
56 assessment of whether overall UWQOL was less than good (Y/N). A P value ≤ 0.05 is
57 considered statistically significant. Secondary clinical outcomes will be analysed as per
58 protocol.
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1 We will fully cost the delivery of the PCI intervention and associated costs such as
2 training and other materials used. We will use published national average NHS
3 reference consultant costs, accounting for overheads. From an NHS perspective, we will
4 undertake a primary cost-effectiveness analysis of the PCI approach, using the change in
5 % of patients with 'less than good' overall QOL between baseline and one-year as the
6 outcome effect, and a subsequent cost-utility analysis using QALY as the outcome effect
7 measured using the EQ-5D-5L questionnaire. Costs of service use and QALY data will be
8 derived from the CSRI and EQ-5D-5L questionnaires collected at baseline, 3, 6, 9 and 12
9 months. The area under the curve method will be used to calculate QALYs, weighting
10 survival by QOL weights obtained from the EQ-5D-5L. We will compare our findings
11 with unofficial NICE thresholds (ceilings) of £20,000 to £30,000 per QALY. Discounting
12 is unnecessary given the time period. We will account for patient clustering, producing
13 cost-effectiveness planes and acceptability curves (CEACs) to convey to policy makers
14 the probability that PCI approach is cost-effective at different payer thresholds. We will
15 undertake 5,000 bootstrapped replications to generate confidence intervals around
16 point estimates. The CSRI also allows us to account for the impact on healthcare service
17 use from intervention participation, important when further rolling out the PCI
18 approach.
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22 **Quality Assurance (QA)**

23 Quality Assurance will be ensured by initial training and booster sessions for
24 consultants, together with post consultation patient feedback and audio taping of a
25 number of consultations.
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28 **Training**

29 There will be a short training programme for staff using the PCI before any patient
30 recruitment. A brief manual/instruction booklet is used to talk through how the PCI
31 should be used in consultations. There will also be two refresher sessions at 4 and 8
32 months into the trial recruitment phase.
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35 Patients completing the PCI will be asked to complete a post-consultation feedback on
36 paper identified by unique study number and date of clinic; they will be asked to leave
37 this in clinic with the research team; telephone completion of this will also be available.
38 The question is: Did the doctor make reference to the PCI prompt list during the
39 consultation? Response options are 'Not at all', 'A little', 'Somewhat', 'A great deal'.
40 Any 'Not at all' response will be followed through with the relevant consultant with a
41 view to resolving the issue for future clinics conducted.
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44 **Fidelity**

45 In the first months of the study a random selection of clinic consultations will be taped.
46 The additional burden of taping is an argument for focusing on the set-up period in
47 order to check how consultants do or do not use the PCI. The tapes will allow a check on
48 if and how the PCI print out is being used and it will allow for a check for contamination
49 in the non-PCI group. It would be expected that between 3-6 months into the study, two
50 clinics from each consultant would be taped.
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53 **Management and Governance**

54 The trial will be guided by the Steering Group, meeting during the set-up and six-
55 monthly thereafter to ensure progress towards reaching the study's purpose and to give
56 oversight regarding research governance. Its' membership includes an independent
57 chairman, at least two other independent members, the two Unit Lead Investigators,
58 Trial Coordinator (Full Time), Research Practitioner (Part-Time), Medical Statistician,
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1 Health Economist, IT/data management representation and a patient representative
2 from each Unit.

3 There will be joint-Unit management group meetings every three months, membership
4 comprising the two Unit Lead Investigators, dedicated funded researchers, IT/data
5 management representation and a patient representative from each Unit. Statistical and
6 Economic representation as required. Within Unit, there will be monthly Project Team
7 meetings, membership comprising Unit Lead investigator, dedicated funded
8 researchers, Clinical Trials Nurse(s), and patient representative. Day to day
9 management issues will be addressed by Unit Researchers and escalate to the Unit Lead
10 Investigator.
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13 **Discussion**

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17 There is growing evidence that enhanced symptom monitoring during routine cancer
18 care using patient-reported outcomes benefits patients in respect to HRQOL and
19 survival (43). The premise of this trial is that the PCI can be integrated into routine
20 clinical consultations with minimal cost implication as the doctor-patient interaction
21 will be more time efficient and facilitate appropriate and targeted multi-professional
22 referrals. The item prompt list approach of the PCI should have direct benefit for the
23 participants. A key issue limiting successful implementation of patient reported
24 outcomes in clinical practice is clinicians' lack of knowledge on how to effectively utilise
25 PROs data in their clinical encounters (44). Hence, for this trial there is an educational
26 component and training around the use of the PCI. Also, the patient feedback and
27 analysis of taped consultations will help underpin the evidence related to use of the PCI
28 in the consultation. From this material, it would be possible in the future to develop a
29 more robust training package, informed from the lessons learnt from this trial. In
30 addition, the need for clear system guidelines built into how to most effectively use the
31 PCI for the clinician, the patient and other members of the multi-professional team is
32 recognised (45). The findings from this trial will inform the development of a PCI
33 manual both for patients and professionals.
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38 The collection of the data in both arms of the trial by touch screen computer-assisted
39 technology (IPAD) has distinct advantages in terms of data capture. With advances in
40 digital health it could be expected that this approach would become regularly employed.
41 Touch screen health-related QOL data collection can be used for scientific
42 documentation as well as in clinical settings (46). For the purpose of the trial the
43 computer system has been transferred from Aintree to the other sites. This has not been
44 as straightforward as expected. This has caused delays in the use of the IPADs in the
45 other clinics. After completion of this trial, in order to support wider adoption of the PCI
46 approach to patient care, progress is being made in respect to a cloud based platform
47 which should be more readily accessible and easier to use than the current system.
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51 The use of the PCI is a form of intervention in clinic by the consultant. There are other
52 intervention trials that focus to improve function and wellbeing in patients with head
53 and neck cancer. Hansson and colleagues (47) compare a person-centred care
54 intervention in terms of health-related quality of life, disease-specific symptoms or
55 problems, with traditional care as a control group for patients with head and neck
56 cancer. Another trial by van der Hout and co-workers (48) is testing the efficacy, cost-
57 utility and reach of an eHealth self-management application 'Oncokompas' to obtain
58 optimal supportive care. Both trials explore different tools in a different context to the
59 PCI in this trial. There are many different ways to help enable patients to recover from
60 head and neck cancer, and the possibility of having several evidence based interventions
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1 can only help to improve patient's outcomes and allow centres to select the most
2 appropriate intervention with their healthcare environment. This study has QOL as the
3 primary outcome. This reflects the importance QOL has in terms of outcome following
4 HNC. Also, given the inherent difficulties in QOL evaluation, such as adaptation, response
5 shift, limitations in questionnaire wording, scaling and scoring, it demonstrates the
6 potential power of the PCI to impact positively in patient care. A positive finding from
7 this research will not only serve to promote wider use of the PCI in HNC, but also
8 accelerate the development, piloting and introduction of the PCI in other cancers and
9 chronic conditions. Level 1 evidence as to the benefits of the PCI in HNC care will help
10 drive up standards of care. This research will add substantially to the evidence
11 supporting the use of question prompt lists in NHS practice.
12

13 **Declarations**

14 **Abbreviations**

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17 ACE-27: Adult Co-Morbidity Evaluation 27
18 AUH: Aintree University Hospital
19 BAHNON: British Association of Head and Neck Oncology Nurses
20 CHEME: Centre for Health Economics and Medicines Evaluation
21 CSRI: Client Service Receipt Inventory (CSRI)
22 CoHaBS: College of Health and Behavioural Sciences
23 CONSORT: Consolidated standards of reporting trials
24 CEAC: cost-effectiveness planes and acceptability curves
25 EHU: Edge Hill University
26 EPRC: Evidence based Practice Research Centre
27 EQ-5D-5L: EuroQol 5 dimension questionnaire
28 DIRUM: Database of Instruments for Resource Use Measurement
29 DT: Distress Thermometer
30 HNC: Head and Neck Cancer
31 HPV: Herpes Papilloma Virus
32 HRQOL: Health Related Quality of Life
33 ICC: Intra-Class Correlation
34 IMD: Index of Multiple Deprivation
35 MDT: Multi-Professional Team
36 NICE: National Institute for Health and Care Excellence
37 PCI: Patients Concerns Inventory
38 PCI-HN: Patient Concerns Inventory-Head Neck
39 PROs: Patient Reported Outcomes
40 RCT: Randomised Controlled Trial
41 R&D: Research and Development
42 QA: Quality Assurance
43 QALY: Quality-Adjusted Life Year
44 QPL: Questionnaire prompt lists
45 QOL: Quality of Life
46 UWQOL: University of Washington QOL questionnaire
47 UWQOLv4: University of Washington QOL questionnaire version 4
48 VAS: Visual Analogue Scale
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54 **Ethics approval and consent to participate**

55 The PCI trial has ethical approval from North West - Liverpool Central Research Ethics
56 Committee REC reference: IRAS **16/NW/0465**, Project ID: **189554**. It also has approval
57 from the Health Research Authority (HRA). The Research and Development Department
58 at Aintree University Hospital NHS Trust (AUH) is coordinating the trial and AUH is the
59 sponsor for the trial.
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1 **Consent for publication**

2 Not applicable

3
4 **Availability of data and material**

5 Not applicable

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7
8 **Competing interests**

9 The authors declare that they have no competing interests.

10
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13
14 **Authors' contributions**

15 SNR, DL, STY, CA, DM, GMH, RF, CS, AK are responsible for the research question, design
16 of the trial and contributed to the writing of the study protocol. DL is the trial
17 statistician. SNR is the Principle Investigator and corresponding author. CL is the trial
18 manager. CA, DM and RF are patient representatives. All authors sit on the trials
19 Steering group. SNR is responsible for the manuscript. All authors have read and
20 approved the final manuscript.

21
22
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28
29
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14 **Figure Legends**

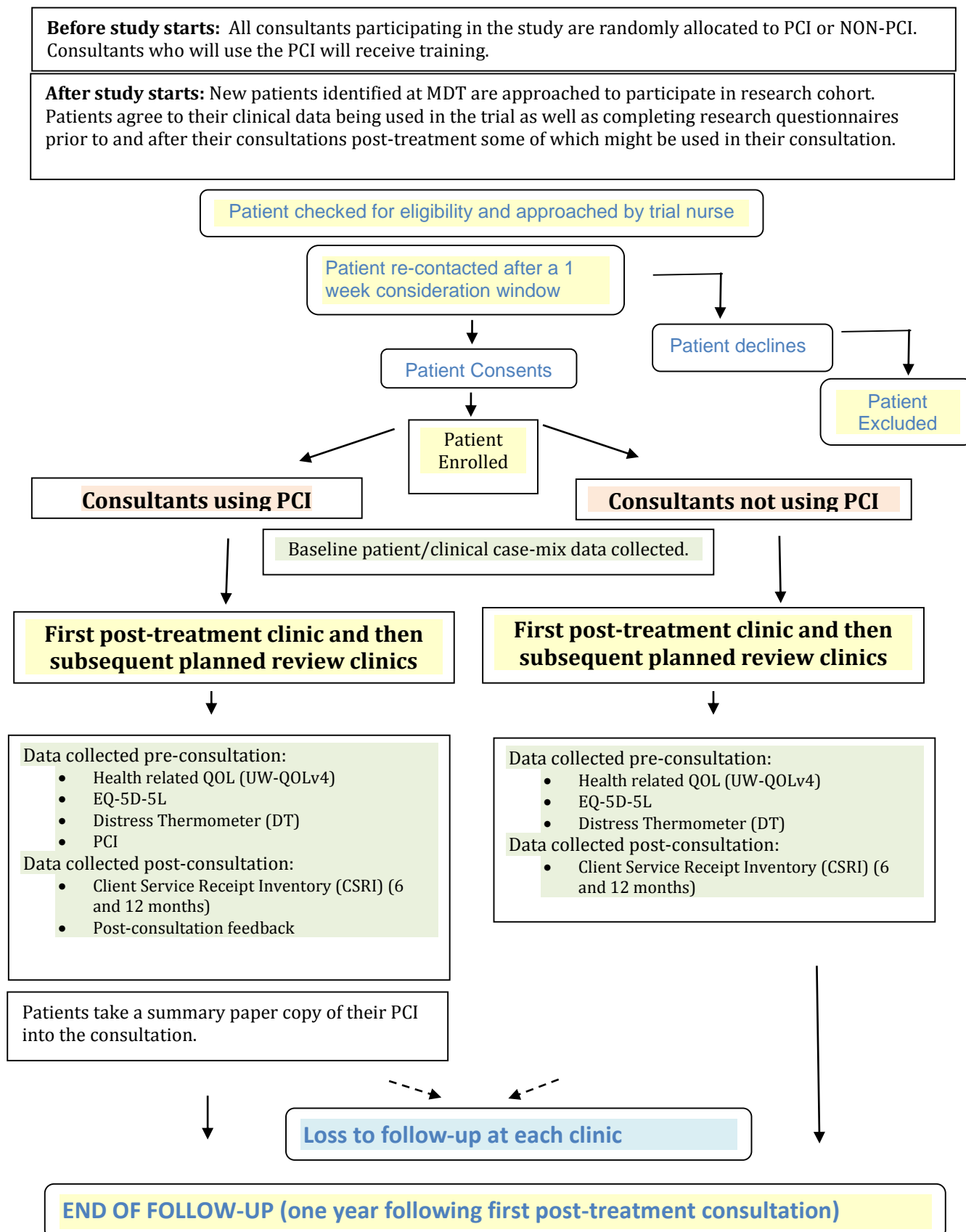
15 Figure 1: Patient Flow Diagram

16 Figure 2: Example of PCI printouts
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Table 1: Schedule for collecting Clinical and Demographic details

Timepoint	Enrolment	Trial Period	
		Baseline Clinic	Follow Up Clinics
Gender	X		
DOB	X		
IMD 2015	X		
Smoking and Drinking Details		X	X*
Living Situation		X	
Employment		X	
Income		X	
Primary Diagnosis (ICD code)	X		
Tumour Site	X		
Treatment Plan	X		
Ethnicity	X		
TNM Stage			
Cancer Staging	X		
Histology (SNOMED)	X		
HPV Status	X		
Co-Morbidity	X		
ACE 27	X		

* Completion at patient 6 and 12 month study visit



Thank you R0344199 for completing this questionnaire



Significant issues from the questionnaire

Anxiety Fear Mood Pain Saliva Shoulder Speech Swallowing Taste

Overall QOL: Fair Distress Thermometer: 9

What issues would you like to discuss during your consultation:


Bowel habit (diarrhoea or constipation) Mucus Pain in head and neck Shoulder Sore mouth

Speech / voice / being understood Swallowing Taste

People you may want to see:

Comments:

Thank you R0174117 for completing this questionnaire



Significant issues from the questionnaire

Anxiety Appearance Intimacy Mood Pain Recreation Speech Swallowing

Overall QOL: Very good Distress Thermometer: 3

What issues would you like to discuss during your consultation:

Anxiety Bowel habit (diarrhoea or constipation) Coughing Depression Mobility Mood Nausea

Recreation Swelling Weight Wound Healing

People you may want to see:

Clinical Psychologist General Practitioner Physiotherapist Speech and Language Therapist

Comments:



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Supplementary Material

Covering-resubmission-letter-March-2018.docx

