

**Deformation of a sandstone-mudstone particle mixture induced by periodic saturation**

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Keywords:	deformation, periodic saturation, sandstone-mudstone particle mixture, axial strain, settlement

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Table 1. Testing Scheme

Type of triaxial test	Confining pressure (kPa)	Number of periodic saturation ( <i>N</i> )	Estimated stress level for periodic saturation ( <i>L</i> )
Without periodic saturation	100, 200, 300, 400	/	/
With periodic saturation	100, 200, 300, 400	1, 5, 10, 20	0.25, 0.5, 0.75

For Peer Review Only

Fig. 1. Curves of deviator stress against axial strain from triaxial tests without periodic saturation

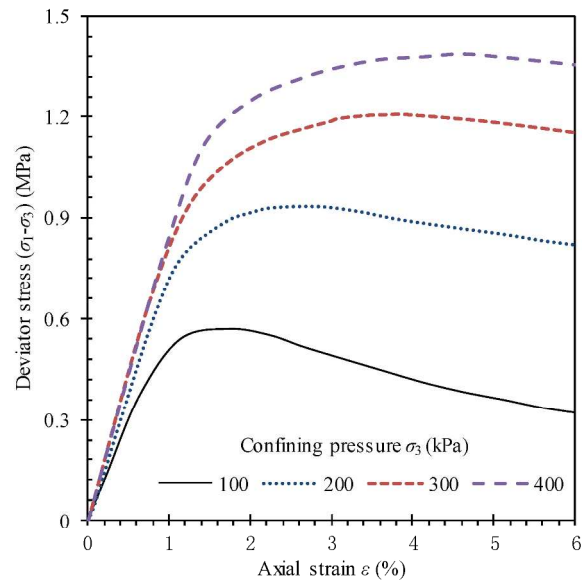


Fig. 1. Curves of deviator stress against axial strain from triaxial tests without periodic saturation

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Fig. 2. Typical curves of deviator stress against axial strain from triaxial tests with different numbers of periodic saturation at stress level about 0.5  
(a) Confining pressure  $\sigma_3=100$  kPa

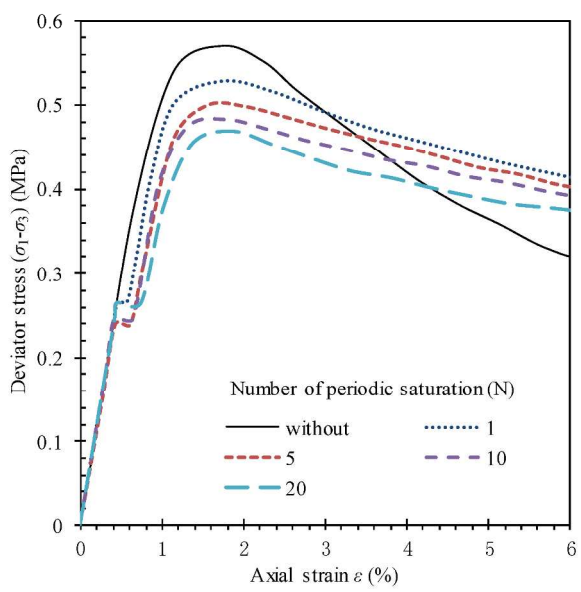


Fig. 2. Typical curves of deviator stress against axial strain from triaxial tests with different numbers of periodic saturation at stress level about 0.5  
(a) Confining pressure  $\sigma_3=100$  kPa

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Fig. 2. Typical curves of deviator stress against axial strain from triaxial tests with different numbers of periodic saturation at stress level about 0.5  
(b) Confining pressure  $\sigma_3=300$  kPa

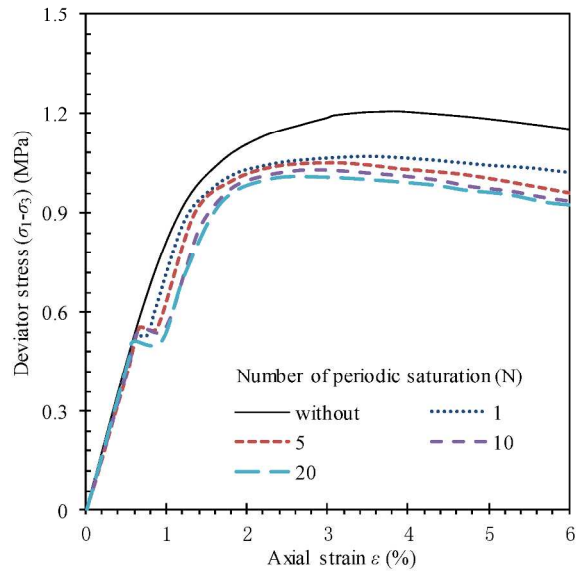


Fig. 2. Typical curves of deviator stress against axial strain from triaxial tests with different numbers of periodic saturation at stress level about 0.5  
(b) Confining pressure  $\sigma_3=300$  kPa

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Fig. 3. Typical curves of deviator stress against axial strain from triaxial tests with periodic saturation for  $N=5$  at different stress levels  
(a) Confining pressure  $\sigma_3=100$  kPa

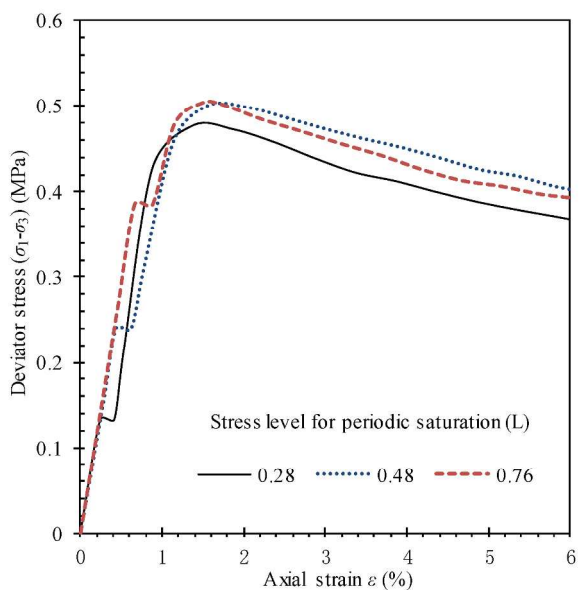


Fig. 3. Typical curves of deviator stress against axial strain from triaxial tests with periodic saturation for  $N=5$  at different stress levels  
(a) Confining pressure  $\sigma_3=100$  kPa

210x297mm (300 x 300 DPI)

Fig. 3. Typical curves of deviator stress against axial strain from triaxial tests with periodic saturation for  $N=5$  at different stress levels  
(b) Confining pressure  $\sigma_3=300$  kPa

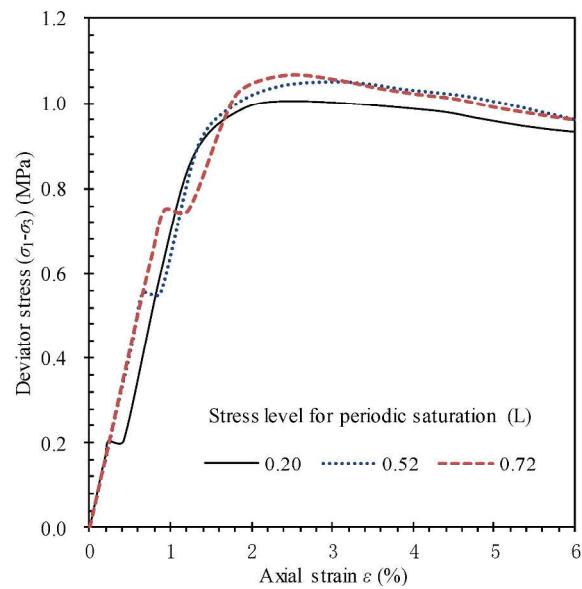


Fig. 3. Typical curves of deviator stress against axial strain from triaxial tests with periodic saturation for  $N=5$  at different stress levels  
(b) Confining pressure  $\sigma_3=300$  kPa

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Fig. 4. Definition of axial strain induced by periodic saturation ( $\Delta\varepsilon$ )

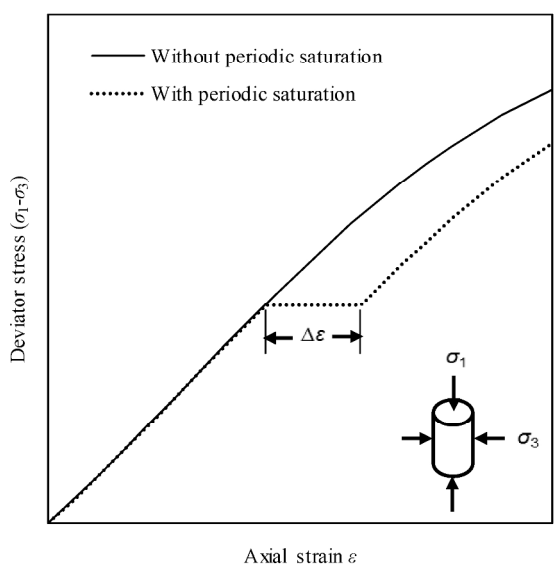


Fig. 4. Definition of axial strain induced by periodic saturation ( $\Delta\varepsilon$ )

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Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(a) Confining pressure  $\sigma_3=100$  kPa

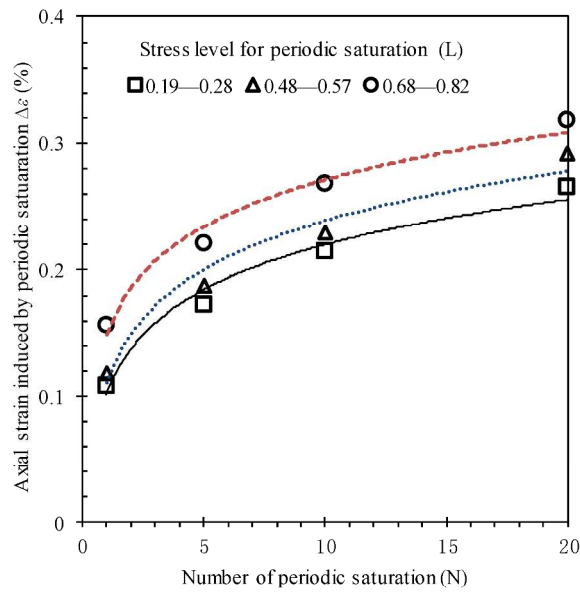


Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(a) Confining pressure  $\sigma_3=100$  kPa

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Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(b) Confining pressure  $\sigma_3=200$  kPa

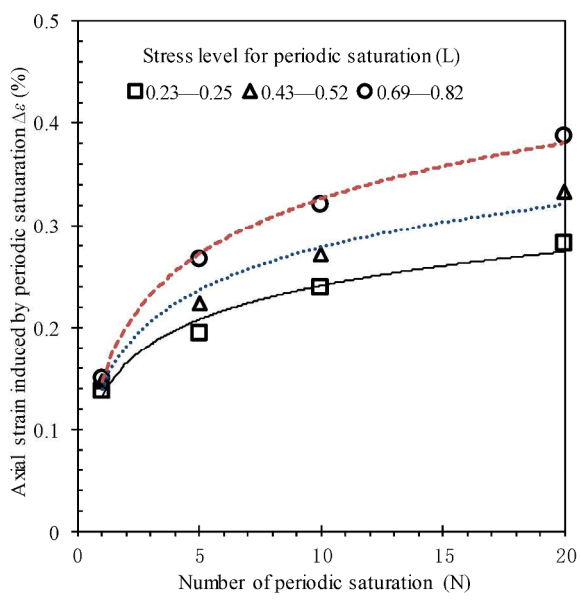


Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(b) Confining pressure  $\sigma_3=200$  kPa

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Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(c) Confining pressure  $\sigma_3=300$  kPa

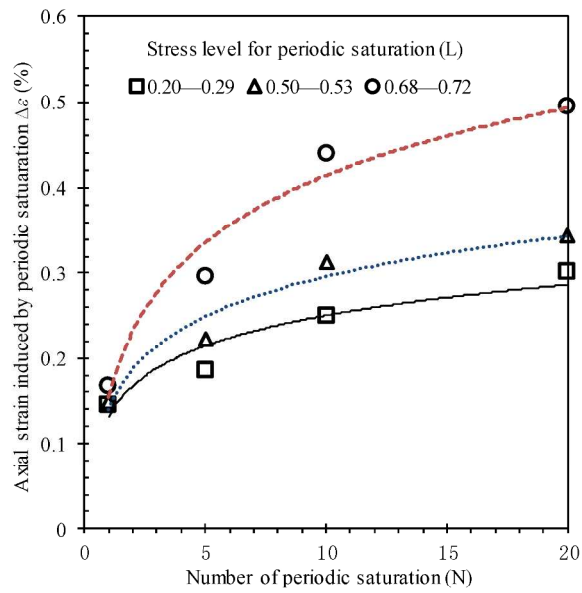


Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(c) Confining pressure  $\sigma_3=300$  kPa

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Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(d) Confining pressure  $\sigma_3=400$  kPa

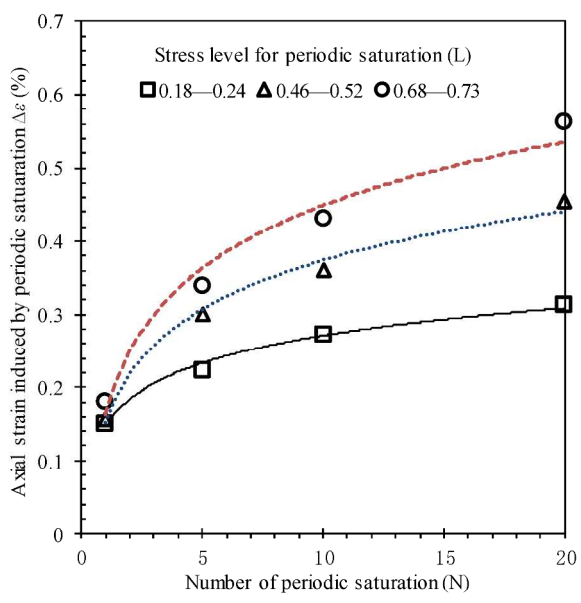


Fig. 5. Effects of number of cycles on increment of axial strain induced by periodic saturation  
(d) Confining pressure  $\sigma_3=400$  kPa

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Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation

(a) Confining pressure  $\sigma_3=100$  kPa

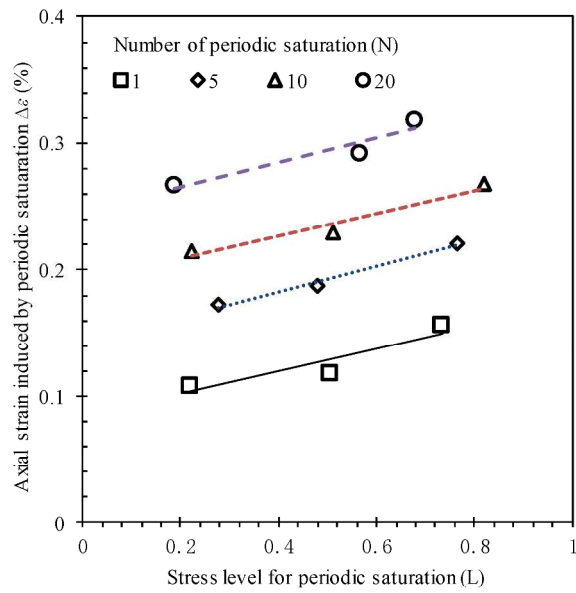


Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(a) Confining pressure  $\sigma_3=100$  kPa

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Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(b) Confining pressure  $\sigma_3=200$  kPa

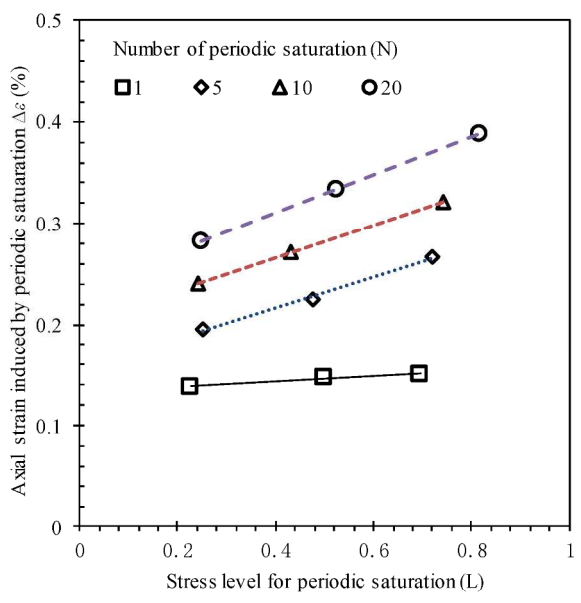


Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(b) Confining pressure  $\sigma_3=200$  kPa

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Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(c) Confining pressure  $\sigma_3=300$  kPa

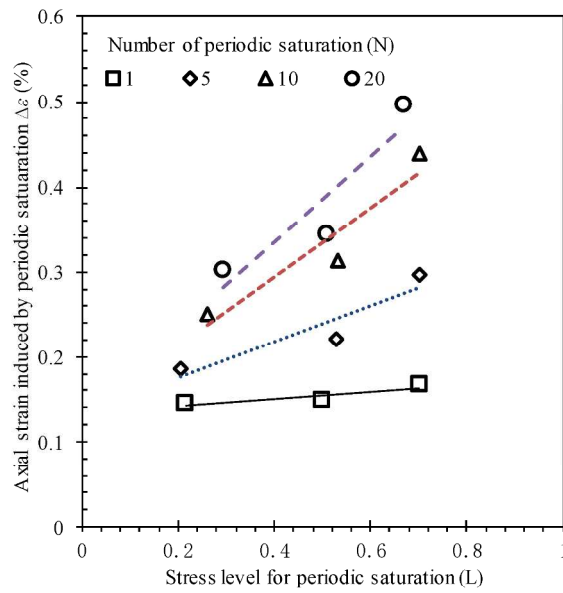


Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(c) Confining pressure  $\sigma_3=300$  kPa

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Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(d) Confining pressure  $\sigma_3=400$  kPa

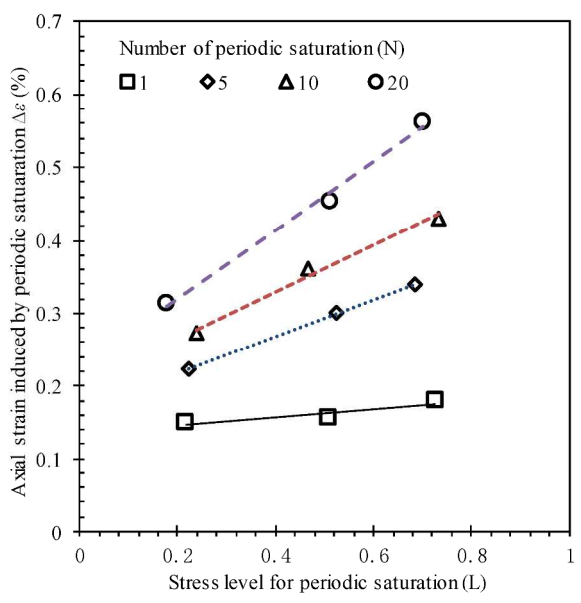


Fig. 6. Effects of stress level on increment of axial strain induced by periodic saturation  
(d) Confining pressure  $\sigma_3=400$  kPa

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Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(a) Stress level  $L=0.18$  to  $0.28$  (mean  $0.23$ )

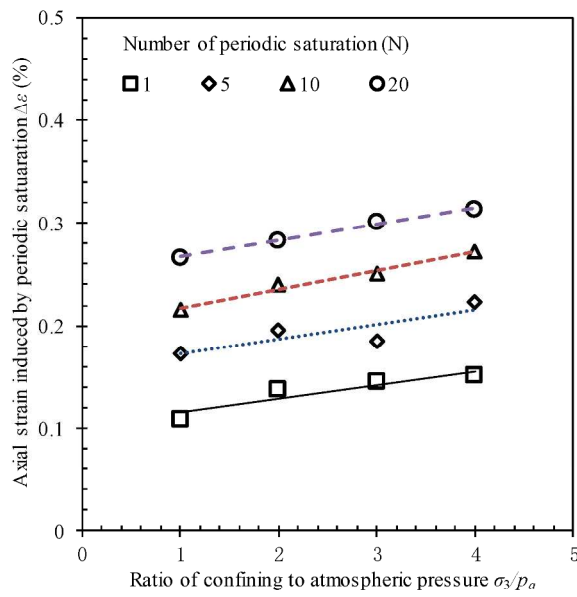


Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(a) Stress level  $L=0.18$  to  $0.28$  (mean  $0.23$ )

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Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(b) Stress level  $L=0.43$  to  $0.57$  (mean  $0.50$ )

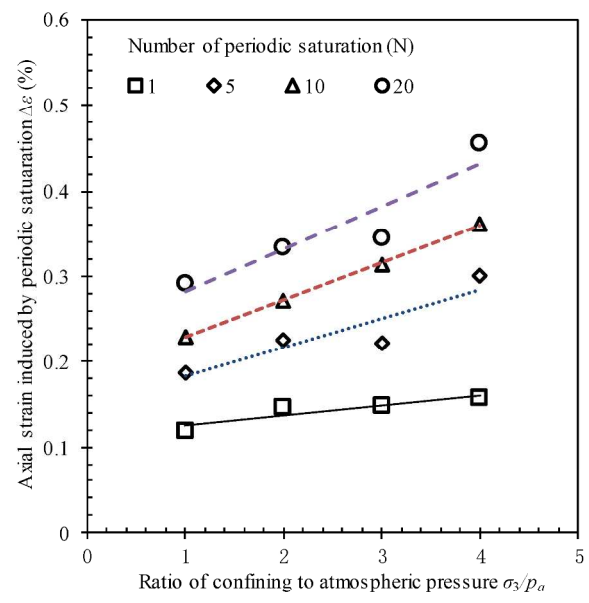


Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(b) Stress level  $L=0.43$  to  $0.57$  (mean  $0.50$ )

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Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(c) Stress level  $L=0.68$  to  $0.82$  (mean  $0.72$ )

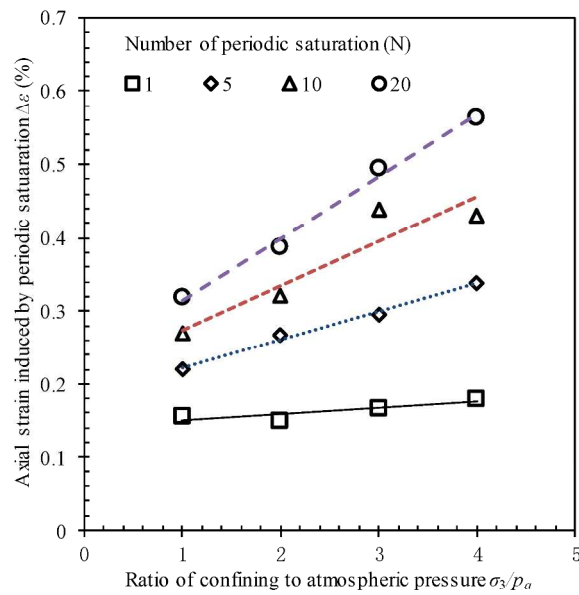


Fig. 7. Effects of confining pressure on increment of axial strain induced by periodic saturation  
(c) Stress level  $L=0.68$  to  $0.82$  (mean  $0.72$ )

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Fig. 8. A large-area foundation filled by sandstone-mudstone particle mixture subjected to periodic saturation

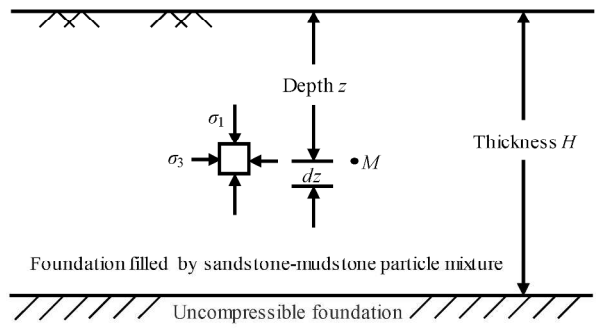


Fig. 8. A large-area foundation filled by sandstone-mudstone particle mixture subjected to periodic saturation

210x297mm (300 x 300 DPI)

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10 Jun-Jie WANG <sup>a\*</sup>, Zhen-Feng QIU <sup>b</sup>, Jiping BAI <sup>c</sup>, Ming-Wei LIU <sup>d</sup>  
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4 21 **Abstract:** This study focuses on the evaluation on deformation of a  
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6 22 sandstone-mudstone particle mixture induced by periodic saturation.  
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8 23 Two-type triaxial tests, without and with periodic saturation, were carried  
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11 24 out. The strain-stress relationships from the two-type tests indicate that  
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13 25 the periodic saturation may induce an increment of axial strain ( $\Delta\varepsilon$ ), and  
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15 26 the  $\Delta\varepsilon$  values are related to the ratio of confining to atmospheric pressure  
16  
17 27 ( $\sigma_3/p_a$ ), stress level for periodic saturation ( $L$ ) and number of periodic  
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19 28 saturation or cycle ( $N$ ). The values of  $\Delta\varepsilon$  are increasing along logarithmic  
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21 29 curves with increment of  $N$  value from 1 to 20, and increase along  
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23 30 straight lines with increase  $L$  value from 0.18 to 0.82 or  $\sigma_3/p_a$  value from  
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25 31 1 to 4. Based on analyses of experimental data, a logarithmic fitting  
26  
27 32 equation, which is a function of  $N$ ,  $L$  and  $\sigma_3/p_a$ , was suggested to predict  
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29 33  $\Delta\varepsilon$  value. And based on the fitting equation and simple analyses on stress  
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31 34 state, another equation, which might be used to estimate the induced  
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33 35 settlement by periodic saturation of a large-area foundation, was also  
34  
35 36 suggested.

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37 37 **Key Words:** deformation; periodic saturation; sandstone-mudstone  
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39 38 particle mixture; axial strain; settlement  
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## 39 1. Introduction

40 Mixture of crushed sandstone and mudstone particles, as a common  
41 engineering fill in the Yangtze River Basin, especially in Chongqing,  
42 China, is widely used to construct earth-rock fill dams, slopes, highway  
43 embankments and airports, because of its good engineering characteristics  
44 (Wang et al. 2016a). The maximum thickness of filled foundation using  
45 the mixture has been greater than 150 m in several constructions of  
46 airports. And the maximum height of earth-rock fill dams constructed  
47 using the mixture as a main fill has also been higher than 100 m. The  
48 evaluation on post-construction settlement of the filled  
49 sandstone-mudstone particle mixture is very important. The settlement of  
50 the filled mixture is affected by many factors such as compaction effort  
51 (Wang et al. 2014b), particle size (Wang et al. 2015), content of mudstone  
52 particles (Wang et al. 2013; Wang et al. 2016b), and compression  
53 characteristics (Wang et al. 2016c).

54 While soils are filled in waterfront conditions, the water or wetting may  
55 affect the settlement because it affects the properties of the soils (Lim and  
56 Miller 2004; Park 2010; Thorel et al. 2011; Xu et al. 2007, 2011, 2016a  
57 and b) including the mixture of sandstone-mudstone particles (Wang et al.  
58 2014a; Qiu and Wang 2015; Wang and Qiu 2017). While the mixture is

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3 59 filled along bank of or in a lager reservoir such as China's Yangtze River  
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6 60 Three Gorges Project, it may be subjected to periodic saturation or cyclic  
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9 61 wetting and drying induced by cyclic rising and lowering of reservoir  
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11 62 water level. The Three Gorges Project is the largest hydroelectric project  
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13 63 on record, with 1000 km<sup>2</sup> in area, 600 km in length, 2 km in width at the  
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15 64 broadest section, 145 m in controlled level before flood, 175 m in normal  
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17 65 water level, and 3.9×10<sup>10</sup> m<sup>3</sup> in total storage. Its water level is therefore  
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19 66 kept at between 145 m and 175 m, depending on flood control needs. The  
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21 67 rising and lowering of water levels may affect the stability of slopes in  
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23 68 waterfront conditions (Jia et al. 2009; Yan et al. 2010; Wang et al. 2012;  
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25 69 Gao et al. 2013 and 2014). Periodic saturation induced by change of  
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27 70 reservoir water level may also affect the post-construction settlement of  
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29 71 the filled mixture. The investigation on the effects should be very  
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31 72 interesting, although related works are scarcely reported.  
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41 73 It is worth mentioning that the periodic saturation conditions, induced by  
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43 74 cyclic lowering and rising of reservoir water level, are different from the  
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45 75 cyclic wetting and drying, induced by climatic conditions. Climatic  
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47 76 conditions subject soils near the ground surface to cyclic drying and  
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49 77 wetting, which may affect the behaviors of the soils (Rajaram and Erbach  
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51 78 1999; Guan et al. 2010; Ng and Leung 2012; Goh et al. 2014; Gallage and  
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53 79 Uchimura 2016).  
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80 In this study, the effects of periodic saturation on deformation  
81 characteristics of the mixture were investigated by triaxial tests.

## 82 **2. Test Material and Sample Preparation**

83 An artificial mixture mixed by crushed sandstone and mudstone particles  
84 (PS and PM) with different diameters less than 20 mm was used as the  
85 test material in this study. Lightly weathered sandstone and mudstone  
86 blocks, excavated from a rocky mountain near the Yangtze River in  
87 Chongqing of China, were crushed to prepare the PS and PM. The  
88 uniaxial compressive strengths of the sandstone were tested, 60.0-72.2  
89 MPa (natural state) and 60.0-67.4 MPa (saturated state), respectively. And  
90 ones of the mudstone are 17.6-25.8 MPa (natural state) and 8.3-15.0 MPa  
91 (saturated state). After crushing the sandstone and mudstone blocks,  
92 respectively, into particles smaller than 20 mm in diameter, the  
93 preparation of the test material includes three steps. The first step is to  
94 respectively sieve the PS and PM into different fractions with different  
95 sizes in accordance with Trade Standard of P. R. China SL237-006 (1999).  
96 The second step is to respectively mix the PS and PM according to the  
97 percentage in weight of eight different grain-size fractions as follows:  
98 20-10 mm (18%), 10-5 mm (19%), 5-2 mm (19%), 2-1 mm (12%), 1-0.5  
99 mm (10%), 0.5-0.25 mm (7%), 0.25-0.075 mm (12%), and <0.075 mm

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3 100 (3%). And the last step is to mix the mixed PS and PM together according  
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6 101 to ratio in weight 8:2 of PS to PM. The content by weight of PM of the  
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9 102 test material is therefore about 20%. Based on the particle size  
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11 103 distribution in the second step, the test material may be described as  
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13 104 well-graded sand with gravel (SW) in accordance with the Unified Soil  
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16 105 Classification System (USCS; ASTM 2000).

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20 106 Cylindrical specimens with 101 mm in diameter and 200 mm in height  
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22 107 were used in triaxial tests in this study. Each specimen was compacted in  
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24 108 a trivalve split mold in five equal layers. The top surface of each layer  
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26 109 was scarified before the compaction of the successive layer for better  
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28 110 contact. The initial water content ( $w$ ) and dry density ( $\rho_d$ ) of each  
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30 111 specimen are the same 8% and 1.92 g/cm<sup>3</sup>, respectively.  
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### 37 112 **3. Testing Scheme**

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42 113 In this study, two-type triaxial tests were carried out, in order to  
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44 114 investigate the effects of periodic saturation on deformation of a crushed  
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46 115 sandstone-mudstone particle mixture. One is common triaxial test without  
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48 116 periodic saturation, and the other is the test with periodic saturation. In  
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50 117 the two-type triaxial tests, the properties of each cylindrical specimen are  
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52 118 the same, and they are 101 mm in diameter, 200 mm in height, 8% (or  
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54 119 degree of saturation  $S=55\%$ ) in initial water content and 1.92 g/cm<sup>3</sup> in  
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3 120 initial dry density. Four confining pressures, i.e. 100 kPa, 200kPa, 300  
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6 121 kPa and 400 kPa, are considered. The rate of vertical loading is 0.01  
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9 122 mm/min. And during the whole testing process, the specimen drains  
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11 123 freely. For the triaxial test with periodic saturation, four different numbers  
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13 124 of periodic saturation ( $N$ ), i.e. 1, 5, 10 and 20, are selected, and three  
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16 125 different stress levels ( $L$ ) for periodic saturation, about 0.25, 0.50 and  
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19 126 0.75, are considered. The testing schemes are summarized in Table 1.

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23 127 In this paper, the stress level ( $L$ ) for periodic saturation is defined as the  
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25 128 ratio of deviator stress ( $\sigma_1 - \sigma_3$ ), at which the specimen is subjected to  
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28 129 periodic saturation, to crest of deviator stress  $(\sigma_1 - \sigma_3)_f$ , where  $\sigma_1$  and  $\sigma_3$  are  
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30 130 axial stress and confining pressure, respectively. Because the value of  
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33 131  $(\sigma_1 - \sigma_3)_f$  can only be determined according to tested strain-stress  
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36 132 relationship curve, which can't be obtained until failure of specimen or  
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39 133 finish of test, the value of  $L$  for periodic saturation can only be estimated  
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41 134 firstly and is calculated after test according to experimental data.

#### 45 135 **4. Testing Procedure**

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49 136 The procedure of triaxial test with periodic saturation and one without  
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51 137 periodic saturation are identical besides added “saturating” and  
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54 138 “dewatering” steps in the former. After installation of specimen into  
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57 139 triaxial cell, the specimen is applied confining pressure  $\sigma_3$  from zero to  
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3 140 one of 100 kPa, 200 kPa, 300 kPa and 400 kPa in 30 min, and the  
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6 141 pressure is kept for 15 min. For the common triaxial test without periodic  
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8 142 saturation, axial stress  $\sigma_1$  is then applied with the rate of vertical loading  
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10 143 0.01 mm/min until failure of specimen or axial strain of 15%. But for the  
11  
12 144 triaxial test with periodic saturation, axial stress  $\sigma_1$  is applied to a  
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14 145 scheduled value in 60 min, with the rate of vertical loading 0.01 mm/min  
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16 146 and depending on estimated stress level for periodic saturation ( $L$ ), and  
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18 147 the stress state is kept for 30 min. Next, under the condition of keeping  
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20 148 stress state, the specimen is saturated to degree of saturation ( $S$ ) about  
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22 149 85% in 120 min by entering degassed water from its bottom, and this step  
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24 150 is called as “saturating” step. After the saturating step, the specimen is  
25  
26 151 dewatered to  $S$  about 55% (or  $w$  about initial 8%) in 120 min by entering  
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28 152 hot air from its top, the stress state of specimen is still kept, and this step  
29  
30 153 is called as “dewatering” step. Together steps “saturating” and  
31  
32 154 “dewatering” is called one cycle of periodic saturation (Qiu 2016). For  
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34 155 the tests with more than one cycle of periodic saturation, repeat of steps  
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36 156 “saturating” and “dewatering” is necessary, under the condition of  
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38 157 keeping the stress state of specimen. After periodic saturation, the  
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40 158 specimen is sheared to failure or axial strain of 15% by applying axial  
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42 159 stress  $\sigma_1$  with the rate of vertical loading 0.01 mm/min.  
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## 160 **5. Results and Analyses**

## 161 5.1. Results

162 Figure 1 shows the strain-stress relationship curves of the crushed  
163 sandstone-mudstone particle mixture from the triaxial tests without  
164 periodic saturation. It is clear that the strain-stress relationship curves  
165 tend to soften, with an obvious peak point, and thus the crest of deviator  
166 stress,  $(\sigma_1 - \sigma_3)_f$ , can easily be determined. It is also clear from the plots that  
167 the value of  $(\sigma_1 - \sigma_3)_f$  is increasing with increment of confining pressure,  $\sigma_3$ ,  
168 and the value of axial strain ( $\varepsilon$ ) corresponding to the  $(\sigma_1 - \sigma_3)_f$  is also  
169 increasing with increase  $\sigma_3$ .

170 Figure 2 shows the typical curves of deviator stress against axial strain  
171 from triaxial tests with different numbers of periodic saturation at  
172 estimated stress level about 0.5. For purpose of comparison conveniently,  
173 the strain-stress relationship curves from triaxial tests without periodic  
174 saturation are also given in the plots. It is clear from the plots that the  
175 strain-stress relationship curves of the test material are affected by the  
176 periodic saturation. And with increment of number of periodic saturation,  
177  $N$ , the effects may be increasing.

178 Figure 3 shows the typical curves of strain-stress relationship of the test  
179 material from triaxial tests with periodic saturation for  $N=5$  at different  
180 stress levels. It is clear that the selected stress level for periodic saturation

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3 181 ( $L$ ) may affect the strain-stress relationship.  
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7 182 Analyses of the experimental results shown in Figs. 2 and 3 indicate that  
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10 183 the periodic saturation may affect the strain-stress relationship of the test  
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13 184 material from two aspects. One is that periodic saturation may induce an  
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15 185 increment of axial strain under the conditions of keeping the stress state  
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18 186 of specimen constant. The other is that the value of crest of deviator stress  
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20 187 obtained from the curve of deviator stress against axial strain is reduced  
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23 188 by periodic saturation. Owing to space constraints, this paper investigated  
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25 189 only the increment of axial strain ( $\Delta\varepsilon$ ) induced by periodic saturation  
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28 190 (Fig.4). The value of  $\Delta\varepsilon$  may be affected by the confining pressure ( $\sigma_3$ ),  
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31 191 stress level for periodic saturation ( $L$ ) and number of periodic saturation  
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34 192 or cycle ( $N$ ).  
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### 37 193 **5.2. Effects of $N$ on $\Delta\varepsilon$**

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41 194 Figure 5 shows the variation of increment of axial strain induced by  
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43 195 periodic saturation ( $\Delta\varepsilon$ ) (defined in Fig.4) with the number of periodic  
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45 196 saturation ( $N$ ) for different confining pressures ( $\sigma_3$ ). It is clear from the  
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48 197 plots that, for any of four values of  $\sigma_3$  100 kPa, 200 kPa, 300 kPa and 400  
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51 198 kPa, the values of  $\Delta\varepsilon$  are increasing along logarithmic curves with  
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54 199 increment of  $N$  value from 1 to 20. The relationship between the values of  
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57 200  $\Delta\varepsilon$  and  $N$  may be expressed by a logarithmic function as follows:  
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$$201 \quad \Delta\varepsilon = A_1 \ln N + B_1 \quad (1)$$

202 where  $\Delta\varepsilon$  is the increment of axial strain induced by periodic saturation  
203 (%);  $N$  is the number of periodic saturation, and  $N=1, 2, 3, \dots, 20$ ;  $A_1$  and  
204  $B_1$  are two coefficients, which may be determined by fitting test data  
205 shown in Fig.5 using Eq.(1).

206 From Fig.5a for the case with the confining pressure  $\sigma_3=100$  kPa, it is  
207 clear that, while increasing  $N$  value from 1 to 20, the  $\Delta\varepsilon$  values are  
208 increasing from 0.11% to 0.27% for  $L=0.19-0.28$ , 0.12% to 0.29% for  
209  $L=0.48-0.57$  and 0.16% to 0.32% for  $L=0.68-0.82$ . From Fig.5b for the  
210 case with  $\sigma_3=200$  kPa, the  $\Delta\varepsilon$  values are increasing from 0.14% to 0.28%  
211 for  $L=0.23-0.25$ , 0.15% to 0.33% for  $L=0.43-0.52$  and 0.15% to 0.39%  
212 for  $L=0.69-0.82$ . From Fig.5c for the case with  $\sigma_3=300$  kPa, the  $\Delta\varepsilon$  values  
213 are increasing from 0.15% to 0.30% for  $L=0.20-0.29$ , 0.15% to 0.34% for  
214  $L=0.50-0.53$  and 0.17% to 0.50% for  $L=0.68-0.72$ . And from Fig.5d for  
215 the case with  $\sigma_3=400$  kPa, the  $\Delta\varepsilon$  values are increasing from 0.15% to  
216 0.31% for  $L=0.18-0.24$ , 0.16% to 0.45% for  $L=0.46-0.52$  and 0.18% to  
217 0.56% for  $L=0.68-0.73$ .

### 218 **5.3. Effects of $L$ on $\Delta\varepsilon$**

219 Figure 6 shows the effects of stress level for periodic saturation ( $L$ ) on the

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3 220 increment of axial strain induced by periodic saturation ( $\Delta\varepsilon$ ) for four  
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6 221 cases with the confining pressures  $\sigma_3=100$  kPa, 200 kPa, 300 kPa and 400  
7  
8 222 kPa, respectively. It is clear from the plots that the values of  $\Delta\varepsilon$  are  
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10 223 increasing along straight lines with increment of  $L$  value. The relationship  
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12 224 between the values of  $\Delta\varepsilon$  and  $L$  may be expressed by:

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$$\Delta\varepsilon=A_2L+B_2 \quad (2)$$
  
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21  
22 226 where  $L$  is the stress level for periodic saturation, and  $L=0$  to 1;  $A_2$  and  $B_2$   
23  
24 227 are two coefficients, which may be determined by fitting test data shown  
25  
26 228 in Fig.6 using Eq.(2).

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31 229 It is clear from Fig.6a for the case with the confining pressure  $\sigma_3=100$  kPa  
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33 230 that, with increasing  $L$  value from 0.19 to 0.82, the  $\Delta\varepsilon$  values are  
34  
35 231 increasing from 0.11% to 0.16% for  $N=1$ , 0.17% to 0.22% for  $N=5$ ,  
36  
37 232 0.22% to 0.27% for  $N=10$  and 0.27% to 0.32% for  $N=20$ . From Fig.6b for  
38  
39 233 the case with  $\sigma_3=200$  kPa, with increasing  $L$  value from 0.23 to 0.82, the  
40  
41 234  $\Delta\varepsilon$  values are increasing from 0.14% to 0.15% for  $N=1$ , 0.20% to 0.27%  
42  
43 235 for  $N=5$ , 0.24% to 0.32% for  $N=10$  and 0.28% to 0.39% for  $N=20$ . From  
44  
45 236 Fig.6c for the case with  $\sigma_3=300$  kPa, with increasing  $L$  value from 0.20 to  
46  
47 237 0.72, the  $\Delta\varepsilon$  values are increasing from 0.14% to 0.17% for  $N=1$ , 0.19%  
48  
49 238 to 0.30% for  $N=5$ , 0.25% to 0.44% for  $N=10$  and 0.30% to 0.50% for  
50  
51 239  $N=20$ . And from Fig.6d for the case with  $\sigma_3=400$  kPa, with increasing  $L$



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3 240 value from 0.18 to 0.73, the  $\Delta\varepsilon$  values are increasing from 0.15% to  
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6 241 0.18% for  $N=1$ , 0.22% to 0.34% for  $N=5$ , 0.27% to 0.43% for  $N=10$  and  
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9 242 0.31% to 0.56% for  $N=20$ .

#### 12 243 **5.4. Effects of $\sigma_3$ on $\Delta\varepsilon$**

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16  
17 244 In order to display the effects of the confining pressure ( $\sigma_3$ ) on the  
18  
19  
20 245 increment of axial strain ( $\Delta\varepsilon$ ) induced by periodic saturation, Figure 7  
21  
22 246 shows the variation of  $\Delta\varepsilon$  values with the ratio of confining to  
23  
24  
25 247 atmospheric pressure ( $\sigma_3/p_a$ ), where the  $p_a$  value equals to 100 kPa. It is  
26  
27  
28 248 clear from the plots that the values of  $\Delta\varepsilon$  are increasing along straight  
29  
30 249 lines with increment of ratio  $\sigma_3/p_a$  value. The relationship between the  
31  
32  
33 250 values of  $\Delta\varepsilon$  and  $\sigma_3/p_a$  may be expressed by:

$$34  
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36  
37 251 \Delta\varepsilon = A_3 \frac{\sigma_3}{p_a} + B_3 \quad (3)$$

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41  
42 252 where  $\sigma_3/p_a$  is the ratio of confining to atmospheric pressure, and  $p_a=100$   
43  
44  
45 253 kPa and  $\sigma_3/p_a=1$  to 4;  $A_3$  and  $B_3$  are two coefficients, which may be  
46  
47  
48 254 determined by fitting test data shown in Fig.7 using Eq.(3).

49  
50  
51 255 It is clear from Fig.7a for the case with the stress level for periodic  
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53  
54 256 saturation  $L=0.18$  to 0.28 (mean 0.23) that, with increasing  $\sigma_3/p_a$  value  
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56  
57 257 from 1 to 4, the  $\Delta\varepsilon$  values are increasing from 0.11% to 0.15% for  $N=1$ ,

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3 258 0.17% to 0.22% for  $N=5$ , 0.22% to 0.27% for  $N=10$  and 0.27% to 0.31%  
4  
5  
6 259 for  $N=20$ . From Fig.7b for the case with the stress level  $L=0.43$  to 0.57  
7  
8 260 (mean 0.50), with increasing  $\sigma_3/p_a$  value from 1 to 4, the  $\Delta\varepsilon$  values are  
9  
10  
11 261 increasing from 0.12% to 0.16% for  $N=1$ , 0.19% to 0.30% for  $N=5$ ,  
12  
13 262 0.23% to 0.36% for  $N=10$  and 0.29% to 0.45% for  $N=20$ . And from  
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15  
16 263 Fig.7c for the case with  $L=0.68$  to 0.82 (mean 0.72), with increasing  $\sigma_3/p_a$   
17  
18  
19 264 value from 1 to 4, the  $\Delta\varepsilon$  values are increasing from 0.15% to 0.18% for  
20  
21 265  $N=1$ , 0.22% to 0.34% for  $N=5$ , 0.27% to 0.43% for  $N=10$  and 0.32% to  
22  
23  
24 266 0.56% for  $N=20$ .

### 267 **5.5. An equation to predict $\Delta\varepsilon$**

268 The variation of  $\Delta\varepsilon$  value with increment of  $N$  value may be expressed by  
269 a logarithmic function as shown in Eq.(1), one with increment of  $L$  value  
270 expressed by a linear function as shown in Eq.(2), and one with  
271 increasing  $\sigma_3/p_a$  value also expressed by a linear function as shown in  
272 Eq.(3), thus the value of  $\Delta\varepsilon$ , which is dependent on  $N$ ,  $L$  and  $\sigma_3/p_a$  values,  
273 may be predicted by a logarithmic function as follows:

$$274 \quad \Delta\varepsilon = \left[ \left( a_1 \frac{\sigma_3}{p_a} + b_1 \right) L + \left( c_1 \frac{\sigma_3}{p_a} + d_1 \right) \right] \ln N + \left[ \left( a_2 \frac{\sigma_3}{p_a} + b_2 \right) L + \left( c_2 \frac{\sigma_3}{p_a} + d_2 \right) \right] \quad (4)$$

275 where  $\Delta\varepsilon$  is the increment of axial strain induced by periodic saturation

276 (%) ;  $N$  is the number of periodic saturation, and  $N=1, 2, 3, \dots, 20$ ;  $L$  is the  
 277 stress level for period saturation, and  $L=0$  to  $1$ ;  $\sigma_3/p_a$  is the ratio of  
 278 confining to atmospheric pressure, and  $p_a=100$  kPa and  $\sigma_3/p_a=1$  to  $4$ ;  $a_1$ ,  
 279  $b_1$ ,  $c_1$ ,  $d_1$ ,  $a_2$ ,  $b_2$ ,  $c_2$  and  $d_2$  are eight coefficients, which may be determined  
 280 by fitting test data shown in Figs.5, 6 and 7 using Eq.(4).

281 Based on determined values of the eight coefficients  $a_1$ ,  $b_1$ ,  $c_1$ ,  $d_1$ ,  $a_2$ ,  $b_2$ ,  
 282  $c_2$  and  $d_2$ , Eq.(4) can be rewritten as follows:

$$283 \quad \Delta\varepsilon = \left[ \left( 0.048 \frac{\sigma_3}{p_a} - 0.036 \right) L + \left( -0.010 \frac{\sigma_3}{p_a} + 0.056 \right) \right] \ln N \quad (4a)$$

$$+ \left[ \left( -0.016 \frac{\sigma_3}{p_a} + 0.091 \right) L + \left( 0.018 \frac{\sigma_3}{p_a} + 0.069 \right) \right]$$

## 284 6. Settlement induced by periodic saturation

285 Periodic saturation may increase settlement of filled foundation because it  
 286 may induce an increment of axial strain ( $\Delta\varepsilon$ ). Evaluation on the settlement  
 287 induced by periodic saturation is very important for the foundation filled  
 288 in or along bank of a large reservoir such as China's Yangtze River Three  
 289 Gorges Reservoir. In order to estimate the settlement induced by periodic  
 290 saturation of a foundation filled by sandstone-mudstone particle mixture,  
 291 a computational example is analyzed in this section.

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3 292 Description of computational example (Fig.8): a large-area foundation  
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6 293 filled by crushed sandstone-mudstone particle mixture on a horizontal  
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9 294 uncompressible foundation, with  $H$  in thickness, is subjected to periodic  
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11 295 saturation.

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15 296 In order to estimate the stress state at any point ( $M$ ) with a depth  $z$  in the  
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17 297 filled foundation (Fig.8), assuming that the filled mixture is under normal  
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20 298 consolidation conditions and the coefficient of earth pressure at rest ( $K_0$ )  
21  
22 299 of the mixture can be estimated by (Jâky 1948):

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25  
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27 300  $K_0 = 1 - \sin \varphi$  (5)  
28  
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30  
31 301 where  $K_0$  is the coefficient of earth pressure at rest; And  $\varphi$  is the angle of  
32  
33 302 shearing resistance (deg), which is defined as the dip angle of a straight  
34  
35  
36 303 line tangent to Mohr's stress circle and through the origin, in the plot of  
37  
38  
39 304 shear stress against normal stress. The angle  $\varphi$  is also determined by the  
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42 305 equation as follows:

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46 306  $\varphi = \sin^{-1} \frac{(\sigma_1 - \sigma_3)_f}{(\sigma_1 + \sigma_3)_f}$  (6)  
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48  
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52 307 Based on Eq.(5), the stress state at the point  $M$  with the depth  $z$  in the  
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54  
55 308 foundation (Fig.8) is given by:

$$\left. \begin{aligned}
 309 \quad \sigma_1 &= \gamma z \\
 \sigma_3 &= (1 - \sin \varphi) \sigma_1
 \end{aligned} \right\} \quad (7)$$

310 where  $\gamma$  is the unit weight of the filled foundation ( $\text{kN/m}^3$ ); And  $z$  is the  
 311 depth of the point  $M$  in the foundation (m).

312 Based on Eqs.(6) and (7) and the definition of stress level ( $L$ ), the value  
 313 of  $L$  at the point  $M$  with the depth  $z$  in the foundation (Fig.8) is given by:

$$314 \quad L = \frac{\sigma_1 - \sigma_3}{(\sigma_1 - \sigma_3)_f} = 0.5 \quad (8)$$

315 Substitute  $L=0.5$  into Eq.(4a), and consider the definition of axial strain,  
 316 the derivative of settlement induced by periodic saturation ( $dS_p$ ) for the  
 317 derivative of depth  $z$  ( $dz$ ) may be calculated by:

$$318 \quad dS_p = \frac{1}{100} \left[ \left( \frac{0.014(1 - \sin \varphi) \gamma z}{p_a} + 0.038 \right) \ln N + \left( \frac{0.010(1 - \sin \varphi) \gamma z}{p_a} + 0.115 \right) \right] dz \quad (9)$$

319 where  $dS_p$  is the derivative of settlement induced by periodic saturation;

320 And  $dz$  is the derivative of depth  $z$ .

321 The settlement induced by periodic saturation ( $S_p$ ) can be obtained by

322 integrating the right of Eq.(9) from zero to  $H$ , and is given by:

$$\begin{aligned}
 S_p &= \int_0^H \frac{1}{100} \left\{ \left[ \frac{\gamma(1-\sin\varphi)(0.014\ln N + 0.010)}{p_a} \right] z + (0.038\ln N + 0.115) \right\} dz \\
 &= \frac{\gamma(1-\sin\varphi)(0.007\ln N + 0.005)}{100p_a} H^2 + \frac{0.038\ln N + 0.115}{100} H
 \end{aligned} \quad (10)$$

324 where  $S_p$  is the settlement induced by periodic saturation (m);  $H$  is the  
 325 thickness of filled foundation subjected to periodic saturation (m);  $\gamma$  is the  
 326 unit weight of the filled foundation ( $\text{kN/m}^3$ );  $\varphi$  is the angle of shearing  
 327 resistance (deg);  $p_a$  is the atmospheric pressure (kPa), and  $p_a=100$  kPa;  
 328 And  $N$  is the number of periodic saturation, and  $N=1, 2, 3, \dots, 20$ .

## 329 7. Conclusions

330 While a foundation, which was filled by a sandstone-mudstone particle  
 331 mixture, is in of along bank of a large reservoir, it may be subjected to  
 332 periodic saturation induced by cyclic rising and lowering of reservoir  
 333 water level. Periodic saturation may induce settlement of the foundation  
 334 because it may induce deformation of the mixture. In order to investigate  
 335 the deformation of the sandstone-mudstone particle mixture induced by  
 336 periodic saturation, two-type triaxial tests were carried out. One is  
 337 common triaxial test without periodic saturation, and the other is triaxial  
 338 test with periodic saturation. Comparison of the strain-stress relationship  
 339 curves from the two-type triaxial tests indicates that an increment of axial  
 340 strain ( $\Delta\varepsilon$ ) may be induced by periodic saturation. The value of  $\Delta\varepsilon$  may

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3 341 affected by the confining pressure ( $\sigma_3$ ), stress level for periodic saturation  
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6 342 ( $L$ ) and number of periodic saturation or cycle ( $N$ ). Based on analyses of  
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9 343 experimental data, the following conclusions can be drawn:

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12 344 (1) While only increasing  $N$  value from 1 to 20, the values of  $\Delta\varepsilon$  are  
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15 345 increasing along logarithmic curves. The minimum value of  $\Delta\varepsilon$  is about  
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18 346 0.11% for the case with  $N=1$ ,  $L=0.19$  and  $\sigma_3=100$  kPa, and the maximum  
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21 347 value about 0.56% for the case with  $N=20$ ,  $L=0.73$  and  $\sigma_3=400$  kPa,

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24 348 (2) Under the conditions of the same  $N$  value, the values of  $\Delta\varepsilon$  are  
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26  
27 349 increasing along straight lines with increment of stress level ( $L$ ) or ratio  
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29  
30 350 of confining to atmospheric pressure ( $\sigma_3/p_a$ ) values.

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34 351 (3) Variation of  $\Delta\varepsilon$  value with parameters  $N$ ,  $L$  and  $\sigma_3/p_a$  may be predicted  
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36  
37 352 by a logarithmic fitting equation.

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40 353 (4) Based on the fitting equation of  $\Delta\varepsilon$  and simple analyses on stress state  
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43 354 in a large-area foundation, which was filled by sandstone-mudstone  
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46 355 particle mixture on a horizontal uncompressible foundation and in normal  
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48  
49 356 consolidation conditions, the settlement induced by periodic saturation  
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51  
52 357 may be predicted easily.

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