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# Comparisons of verbal fluency brain correlates between adults and adolescents suffering from schizophrenia spectrum disorders: A pilot study

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ABSTRACT – *Background and Objectives:* Prefrontal cortex (PFC) dysfunctions leading to cognitive deficits refer to a core feature of schizophrenia spectrum disorders (SSD). This exploratory study compares the effect of SSD on two stages of maturation of PFC.

*Methods:* Using functional magnetic resonance imaging (fMRI), we measured the brain correlates related to a verbal fluency task (a hallmark executive function test) in 12 patients with SSD: 6 adolescents (SSD-ado) and 6 adults (SSD-adu).

*Results*: SSD-ado showed greater activation in insula, thalamus and hIP1 whereas SSDadu recruited more intensively precentral gyrus and temporal pole to resolve the task. Thus, adolescents with SSD seem to adopt less frontal mediated strategic processes. In contrast, adults seem to be able to use PFC mediated strategy despite the well-known deleterious effect of SSD on the PFC.

*Conclusions:* This first exploratory study revealed that adults and adolescents with SSD seemed not to use the same strategy to resolve a verbal fluency task. Thus, despite the illness, which is known to have a deleterious influence on PFC, adult patients seem to be able to recruit these resources to perform an executive function task. Further studies are needed in order to confirm and extend these new and preliminary results.

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## Significant outcomes

- Adolescents with schizophrenia spectrum disorders used verbally mediated strategies to resolve a verbal fluency task.
- Adults with schizophrenia spectrum disorders used frontal mediated strategies to resolve a verbal fluency task.
- This is the first study exploring the effects of schizophrenia spectrum disorders on brain correlates of executive functions in two different stages of maturation of the prefrontal cortex.

### Limitations

- Small sample size which hampered a wide generalization of the results.
- Absence of control groups which prevent from comparison with the typical development of verbal networks.

### Introduction

Schizophrenia spectrum disorders (SSD) encompass a wide range of disorders such as schizophrenia and psychosis. Thus, SSD refers to severe mental disorders emerging from complex and multifactorial etiology, with a prevalence rate of 4.6/1000 people<sup>1</sup>. The core symptoms might broadly be categorized in (a) positive symptoms (e.g., hallucinations), (b) negative symptoms (e.g., social withdrawal), (c) affective symptoms (e.g., flattened affect), and (d) cognitive symptoms (e.g., executive function deficits)<sup>2</sup>. The first signs of SSD generally occur during adolescence<sup>3</sup>. In many cases, SSD refers to severe disabilitating disease with frequent relapse and chronic time-course.

More specifically, cognitive symptoms in SSD lead to impairments in everyday life and drastically reduce quality of life<sup>4</sup>. Cognitive deficits have been thought to be primary and core dysfunctions in SSD<sup>5,6</sup>. Indeed, cognitive deficits affect up to 80% of patients with SSD and are associated with frontal lobe abnormalities<sup>7</sup>. Furthermore, cognitive dysfunctions are stable and persist throughout the course of the illness, and are even present during remission phases<sup>5</sup>. More specifically, in adolescents with SSD, cognitive disabilities were found to be even more severe than in adults and to contribute to less independence, poorer school achievement, vocational failure and social relationships problems in adult life<sup>8,9</sup>.

In particular, numerous studies demonstrated an alteration of frontal lobe in SSD<sup>10,11</sup>. More specifically, patients with SSD were reported to present word generation alteration or initiation skills deficits<sup>12</sup>. Such deficits are present early in the time-course of SSD<sup>13</sup> and lead to severe impairments in everyday life<sup>4,14</sup>. Such abilities could be well measured with the verbal fluency task, an executive task within the most sensitive to assess frontal lobe deficits<sup>15</sup>.

In particular, prefrontal cortex (PFC) functioning which sustained word generation and initiation skills, were assessed in adults with SSD. Indeed, functional Magnetic Resonance Imaging (fMRI) studies demonstrated that adults with SSD, compared to healthy participants, showed reduced recruitment of the inferior prefrontal regions, the anterior cingulate and the middle frontal cortices in order to resolve a verbal fluency task (see metaanalysis<sup>16</sup>). Nevertheless, to the best of our knowledge, no study explored the brain correlates underlying verbal fluency in adolescents with SSD, despite the fact that the PFC has a protracted maturation until young adulthood, up to 20 years<sup>17</sup>.

### Aims of the study

In this perspective, the current study aimed to examine the impact of SSD on PFC in two different stage of maturation of the PFC, namely when fully developed (young adulthood) or in development (adolescence). Such a study was never undertaken before and it could give important information about the moderation impact of development of SSD on PFC. Therefore, the present exploratory pilot study examined using fMRI, the brain correlates sustaining verbal fluency performance (i.e., executive function) which was measured in 6 young adults with SSD and in 6 adolescents with SSD (comparable in terms of duration of illness, DOI).

### Material and methods

### Participants

All patients were (1) right-handed; (2) fulfilled the criteria for schizophrenia or psychotic disorders (e.g., schizophrenia, psychotic disorders NOS, schizophreniform disorders, schizoaffective disorders) according to the Diagnostic and Statistical Manual, 4<sup>th</sup> ed. and (3) received atypical antipsychotic

Table 1	
Socio-demographic and clinical	measures

medication. Patients with histories of traumatic brain injury, epilepsy, alcohol and substance abuse, or other diagnosed neurological conditions were excluded from the study. All patients were clinically stabilized (i.e., stable medication, no recent relapse, stable way of life). Participants provided informed written consent and the protocol was approved by the ethical committee of the medical faculty of Lausanne University.

The 12 patients with SSD enrolled in the study were split into two groups (matched for gender and DOI) (1) 6 young adults patients (SSD-adu) and (2) 6 adolescents (SSD-ado).

#### Measures

#### Clinical measures

The clinical status of patients were assessed with the Positive and Negative Symptom Scale<sup>18</sup>. This scale is composed of three subscales: positive symptoms (seven items), negative symptoms (seven items), and general psychopathology (sixteen items). The two groups did not show any significant differences on the three subscales. Table 1 summarizes the socio-demographic as well as the clinical measures of the sample.

	Adolescents	Adults	P-value <sup>a</sup>
Gender (F/M)	1/5	1/5	ns
Age (years)	16.5 (1.05)	21.5 (1.9)	0.002
DOI (months)	38 (42)	34 (17)	ns
PANSS Positive	12.5 (2.73)	14.5 (4.85)	ns
PANSS Negative	21.5 (5.00)	15.67 (4.68)	ns
PANSS Disorganized	35.33 (8.21)	36.17 (7.41)	ns

<sup>a</sup> P-value from Mann-Whitney tests or Chi-square tests as appropriate.

Note: PANSS: Positive and Negative Symptom Scale.

#### Covert verbal fluency task

The verbal fluency task<sup>19</sup> was given within an fMRI block design, with an alternation of four active and four rest blocks. Each of the 8 blocks lasted 25 seconds. During the active condition, participants were instructed to silently (in order to avoid excessive motions, see<sup>20</sup>) produce as many words as possible beginning with a letter (i.e., P, A, T, M) visually presented during the 25 seconds period (one letter per active block). After the task, a debriefing was done with the participants to ensure that they realize the task. During the rest condition, patients saw a black screen and were instructed to relax. Each block consisted of 5 volumes. Presentation of stimuli was controlled by the E-Prime software package (Psychology Software Tools, Pittsburg, USA).

### Magnetic resonance imaging

#### Image acquisition and processing

Participants underwent a MRI session in a 3T-Trio scanner (Siemens Medical System). The protocol included a high resolution T1 weighted acquisition and an fMRI session performed with a single-shot echo-planar gradi*ent-echo-pulse sequence* (EPI, TR = 5000 ms, TE = 30 ms, flip angle =  $90^{\circ}$ , field of view = 256 mm). The acquired volume included 28 axial slices (matrix size 128\*128 with 4 mm slice thickness), was aligned with the anteriorposterior commissure line and covered the whole brain. Images were preprocessed with SPM5 (http://www.fil.ion.ucl.ac.uk/spm). Functional images were corrected for motions by rigid body transformation that optimized the realignment of each volume with the first of the session. Notice that the estimated movement parameters were then included as nuisance variables in statistics at single subject-level, in order to exclude possible residual spurious activation due to head movement beyond the correction done by realignment. Then, functional images were coregistered with the high resolution T1weighted acquisition. The anatomical images were normalized to the MNI T1 template by affine transformation and the estimated normalization parameters were applied to the functional images, which were finally smoothed with an 8-mm Gaussian kernel in order to increase signal-to-noise ratio.

#### Data analyses

Statistical analyses were performed for each subject according to the General Linear Model and contrasts of interest were computed for each individual (activity condition versus rest condition). Then, contrasts of interest (i.e.  $\beta$  estimates for the specified contrasts) were sort as basis for group results based on random effect analyses. First, the networks recruited when completing the fluency task was assessed for each group separately (SSD-adu and SSD-ado) by means of one-sample T-tests. These analyses were performed in order to (1) observe the networks recruited in SSD-ado as it was never tested before and (2) assure that the patients performed the fluency task. Second, in order to assess group differences, the same contrasts (verbal fluency versus rest) were compared between groups, by computing two sample t-tests. In accordance to the exploratory nature of this pilot study, the statistical maps were thresholded at a level of significance of  $p_{\text{uncorrected}} < .001$ and cluster size (k) > 10 contiguous voxels.

### Results

The two groups recruited a comparable wide network mainly located in frontal, parietal and temporal regions (see Table 2 for details).

Table 2		
Summarize	of the	results

Analyses	Areas	Side	Coordinates (MNI)	T-value	Cluster size
SSD-ado	Prefrontal cluster:				761
	- IFG, p. Opercularis	L	-52 10 8	9.21	
	– IFG, p. Orbitalis	L	-48 20 -8	8.99	
	– IFG, p. Triangularis	L	-52 36 8	5.19	
	– Insula	L	-36 16 0	8.09	
	– Putamen	L	-30 6 4	7.22	
	SMA	L	-4 4 60	7.15	93
	Middle Cingulate Cortex	L	0 16 38	7.22	89
	Postcentral Gyrus	L	-46 -12 34	7.98	182
	Insula Lobe	R	38 24 4	10.83	35
	Superior Parietal Lobule	L	-30 -68 50	9.15	93
	Inferior Parietal Lobule	L	-46 -42 42	7.3	79
	Inferior Temporal Gyrus	L	-44 -48 -14	5.14	13
	Inferior Occipital Gyrus	R	38 -72 -10	5.83	71
	Inferior Occipital Gyrus	L	-44 -72 -16	5.56	44
	Cerebellum	R	40 -60 -19	5.32	11
SSD-Adu	Prefrontal cluster:				1282
	- Precentral Gyrus	L	-40 0 30	12.09	
	- IFG, p. Opercularis	L	-54 12 6	10.23	
	– IFG, p. Orbitalis	L	-48 22 -8	10.02	
	– IFG, p. Triangularis	L	-42 26 24	8.79	
	– Insula	L	-28 28 6	8.84	
	SMA / Middle cingulate	L/R	0 -6 70	9.53	715
	Insula Lobe	R	48 14 -4	14.96	161
	Precentral Gyrus	L	-26 -16 54	9.66	147
	Superior Parietal Lobule	L	-34 -62 56	7.35	80
	Inferior Temporal Gyrus	R	48 -62 -10	7.15	26
	Middle Temporal Gyrus	L	-54 -40 2	6.73	13
	Inferior Occipital Gyrus	L	-46 -68 -16	7.26	176
	Cerebellum	R	34 -58 -24	5.96	23
	CaudLate Nucleus	R	20 20 4	6.07	26

Note: Analyses done assessing random effect at  $p_{uncorrected} < 0.001$ , k > 10. SSD-adu: adults schizophrenia spectrum disorders; SSD-ado: adolescents with schizophrenia spectrum disorders. L: Left, R: Right. IFG: Inferior Frontal Gyrus.

The SSD-adu when contrasted to SSD-ado recruited more intensively the precentral gyrus and the temporal pole to resolve the fluency task. The SSD-ado when contrasted to the SSD-adu showed greater activation in the insula, the thalamus and the hIP1 (see Figure 1).

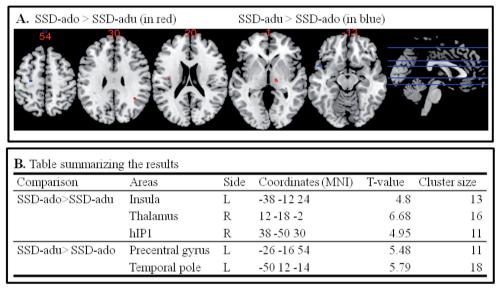


Figure 1. Results of the random effect assessment ( $p_{uncorrected} < 0.001$ , k > 10).

Note: Panel A: Differences between adults with schizophrenia spectrum disorders (SSD-adu) and the adolescent schizophrenia spectrum disorders (SSD-ado). SSD-adu > SSD-ado in blue: precentral gyrus and temporal pole; SSD-ado > SSD-adu in red: insula, thalamus and hIP1.

### Discussion

We explored, in patients with SSD, the brain activity related to a hallmark test of PFC functions (i.e., verbal fluency task) in two different stages of maturation of the PFC.

First, consistently with previous studies<sup>21</sup>, the verbal fluency task recruits a wide frontoparieto-temporal networks to be solved. These networks are analogous for adolescents and adults suffering from SSD. It is noteworthy that it is the first time that a study explored the brain activity related to verbal fluency in a sample of adolescents with SSD.

Second, results indicated that adolescents with SSD recruited more intensively the insula and the thalamus which were related to language and memory processes. More specifically, the thalamus was reported to maintain verbal information in memory process<sup>22</sup> and the left insula to prearticulatory function and programming vocal gestures<sup>23</sup>. In addition, the hIP1 was shown to be strongly linked to the left insula<sup>24</sup> and related to semantic knowledge<sup>25</sup>. Taken together these results with the fact the PFC showed a protracted maturation until adulthood<sup>17</sup>, we might hypothesize that in order to perform the fluency task, adolescents with SSD adopted strategy mediated by semantic and language processes in contrast to strategies mediated by executive functions which are sustained by PFC.

However, adults with SSD compared to adolescents with SSD activated more intensively the precentral area which was reported to be involved in the verbal fluency performance<sup>26</sup> and the temporal pole which was related to executive function deficits at early stage of dementia<sup>27</sup>. Thus, despite the illness, which is known to have a deleterious influence on  $PFC^{28}$ , adult patients seem to be able to recruit these resources to perform an executive function task. These preliminary findings suggest a possible recruitment of frontal areas despite the course of the illness at least, when the PFC was fully developed before the apparition of the pathology. In this perspective, further studies including healthy control participants and longitudinal design are warranted in order to better understand the evolution of the PFC underlying the performance in such a type of task within SSD time-course.

Some limitations of the present study may be stressed. This study had an exploratory purpose regarding the lack of data in the literature. In this perspective, the study was conducted on a small sample which may get the generalization of the results difficult. An intra-subject longitudinal design may have been a better design rather than this cross-sectional design introducing between-subjects variability. Furthermore, the use of covert verbal fluency task did not allow the evaluation of the performances. Thus, we were not able to highlight the possible link between the brain activity and behavioral aspects. An additional aspect, that should be explored in further studies, is the comparison between the typical development of verbal networks and the possible alteration induced by SSD.

Despites its limitations, this pilot study opened new perspectives in the examination of PFC development during the SSD time course. Indeed, it is, to the best of our knowledge, the first study which explored the effects of SSD on brain correlates of verbal fluency in two different stages of maturation of the PFC. The intriguing results found in the current study are to be further explored.

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### Statement of interest

None.

### Ethical standards

The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008.

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