# STUDY OF THREE-DIMENSIONAL ON-LINE PATH PLANNING FOR UAV BASED ON PYTHAGOREAN HODOGRAPH CURVE 

ZHANG Yi, YANG Xiu-xia, ZHOU Wei-wei and ZHAO He-wei<br>Department of Control Engineering<br>Naval Aeronautical and Astronautical University<br>Yantai, China<br>Emails: yangxiuxia@126.com

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#### Abstract

For the demand of the UAV autonomous path planning in dynamic thread environment, the three-dimensional path planning algorithm on-line of UAV based on Pythagorean Hodograph (PH) curve is put forward. According to the UAV's current flight state such as location and speed, and the interrupt point or the target's state, a flyable path with continuous curvature is planed out online. The roles of the key parameters are analyzed and the range of the values are given. On this basis, the distribution estimation algorithm is used to optimize the selection of path parameters, which avoid the blindness iterative process and consider the kinematics constraints such as the curvature, torsion and climbing angle. The multiple UAVs' three dimensional path planning in dynamic environment are tested. Simulation results prove the validity and practicability of the algorithm.


Index terms: UAV, PH curve, three-dimensional path planning.

## I. INTRODUCTION

When UAV executes missions in the complex environment, it is required to be able to adapt to the environment and can automatically plan flyable path online under encountering obstacles. As the motion of the UAV's platform is high-speed in real time, the independent control system of platform must operate and compute in real time. As a result, lots of offline methods which are time-consuming and have large calculation quantity, such as some intelligent optimization algorithms[1], aren't applied directly.

Some scholars put forward that the curve can be applied to path planning directly, which is easy to trace, for example, Dubins curve is applied to multiple UAVs' path planning which Shanmugavel, M.et al[2] propose. Dubins path is composed by segmental arc and straight line, and from the perspective of the theory of differential geometry, in order to get a smooth flight path, the first two derivative of the path exists at least, that is, the curvature of the curve is continuous. Dubins path is the curve of $\mathrm{C}_{1}$ type, but not the curve of $\mathrm{C}_{2}$ type. Literature [3] gives the method of obtaining the path of continuous curvature by Clothoids curve. And the curvature changes linearly along the path, but the length of the path is not easy to generate closed solution. K.G. Jolly[4] applies the Bezier curve to the mobile robot's path planning. Literature [5] plans the parafoil terminal guidance path using the Bezier curve. Literature [6] plans the three dimensional flight path for UAV by using the Bezier curve of seven order, which considers climbing angle, curvature, torsion and so on system performance constraints. At present, Pythagorean Hodograph ( PH ) curve is widely used in aircrafts' on-line path planning[7-10], and the planning path is a path of $\mathrm{C}_{2}$ type which the curvature is continuous. In contrast to other curves, it has a lot of advantages. The overall curvature of the curve is small. The length, curvature and bending energy of the curve can be calculated in closed form. The position and direction of the start point and end point are directly used in boundary conditions. The length and curvature can be coordinated easily. The generation of PH curve can be based on the Bezier spline curve, so it also has the advantages of the Bezier curve. Among them, the quintic PH curve is the lowest order PH curve which contains inflection points. Inflection points make the flight path have better flexibility, and to plan flight path better.

The generation method of PH curve path is given in literature [7]. Considering the performance constraints, such as curvature constraint, torsion constraint and so on, the length of beginning and
ending tangent vector on the PH control points should increase when the generated flight path doesn't meet the requirements of constraints, and the length of flight path will increase at the same time. But the optimal coordination of the two parameters' selection isn't studied in literature, and the path planning only considers the slow-moving or static obstacles on the plane and doesn't consider in three-dimensional path planning. Literature [9] adopts RRT (RapidExtended Random Tree) technology to find the path points, and then the two-dimensional path of PH curve is generated which uses multiple Pythagorean Hodograph ( PH ) curves to connect spanning trees. Literature [8] uses the PH curve to conduct three-dimensional path planning under the conditions of maximum curvature, torsion and climbing angle. The method of control parameters' iteration, according to the climbing angle, is given in this literature, and it makes the path flyable by increasing the length of the tangent vector on control points for the curves that don't satisfy the performance indicators. Essentially, this method belongs to fixed step iteration method, and does not consider the mutual influence of the tangent vectors on the starting and ending points. Besides, the iteration step-size is too long and the method easily makes the planning path too long.

In this paper, considering the dynamic performance constraints of curvature, torsion and climbing angle at the time of flying, the quintic PH curve is adopted to conduct three-dimensional path planning and the continuous curvature path can be planned online based on the current flight states of UAV and the motion information of target point. The value ranges of parameters are given by analyzing the influence of important planning parameters on the path's generation and combining with literature [8]. On this basis, Estimation of Distribution Algorithms[11] is adopted to the optimization and selection of path's parameters that is suitable for solving the problem, which avoids the blindness of parameters' selection in literature. In the process of obstacle avoidance, if the relative movement trend between the UAVs and the objects can be considered, then better effects of collision avoidance can be got. There have been many attempts to apply kinematic methods to solve the problem of collision detection. In literature [12-16], the notion of velocity obstacle is introduced for collision detection and resolution. In literature [12], collision cones $(\mathrm{CC})$ is used for three-dimensional conflict resolution and the plane reduced-order[12] obstacle avoidance is adopted to conduct autonomous obstacle avoidance online based on the theory of speed-obstacle method. In this paper, the speed-obstacle method based on the
dimensional reduction is used to the obstacle avoidance, and the path can be re-planned by giving the insertion point of obstacle avoidance.

## II. DESCRIPTION OF 3-D ONLINE FLYABLE PATH PLANNING PROBLEM

a. Constraints and performance metrics of 3-D PH path planning

It is assumed that the starting position $p_{s}\left(x_{s}, y_{s}, z_{s}\right)$, starting angle $\left(\theta_{s}, \phi_{s}\right)$, ending position $p_{f}\left(x_{f}, y_{f}, z_{f}\right)$ and ending angle $\left(\theta_{f}, \phi_{f}\right)$ are known, that is, the positions and poses of starting and ending points are $\operatorname{pose}_{s}\left(x_{s}, y_{s}, z_{s}, \theta_{s}, \phi_{s}\right)$ and $\operatorname{pose}_{f}\left(x_{f}, y_{f}, z_{f}, \theta_{f}, \phi_{f}\right)$ respectively. Among them, $\theta$ is the climbing angle and $\phi$ is the head angle. The UAV path is defined as $r(t)=[x(t), y(t), z(t)]$, and its curvature and torsion are $\kappa$ and $\tau$ respectively. The formula of path planning can be expressed as: pose $_{s}\left(x_{s}, y_{s}, z_{s}, \theta_{s}, \phi_{s}\right) \xrightarrow{r(t)} \operatorname{pose}_{f}\left(x_{f}, y_{f}, z_{f}, \theta_{f}, \phi_{f}\right)$, the picture representation of this problem is shown in figure 1. When the UAV detects the obstacle, the path interruption point should be calculated, and the path will be re-planned between the interruption point and the ending point.


Figure 1. 3-D Path Planning
Planning path $r(t)$, the following constraints need to be satisfied,
(1) Curvature constraint

$$
\begin{equation*}
|\kappa(t)| \leq \kappa_{\max } \tag{1}
\end{equation*}
$$

$\kappa_{\max }$ is the biggest curvature constraint of planning path.

$$
\kappa(t)=\frac{\left|r^{\prime}(t) \times r^{\prime \prime}(t)\right|}{\left|r^{\prime}(t)\right|^{3}}
$$

(2) Torsion constraint

$$
\begin{equation*}
|\tau(t)| \leq \tau_{\max } \tag{2}
\end{equation*}
$$

$\tau_{\text {max }}$ is the biggest torsion constraint of planning path,
$\tau(t)=\frac{\left[r^{\prime}(t) \times r^{\prime \prime}(t)\right] \cdot r^{\prime \prime \prime}(t)}{\left|r^{\prime}(t) \times r^{\prime \prime}(t)\right|^{2}}$
(3) Climbing angle constraint

$$
\begin{equation*}
|\theta(t)| \leq \theta_{\max } \tag{3}
\end{equation*}
$$

Among them, $|\theta(t)|=\tan ^{-1}\left(\frac{z^{\prime}(t)}{\sqrt{x^{\prime}(t)^{2}+y^{\prime}(t)^{2}}}\right)$ and $\theta_{\max }$ is the biggest climbing angle constraint of UAV. Farouki[18] points out that the curvature changes smoothly which the curve has the minimum energy and the planning path can be tracked easily. The bending energy, length of path, curvature constraint, torsion constraint and climbing angle constraint of planning path can be considered at the time of planning online. The calculation formula of performance index is given below.
(1) Considering climbing angle, the bending energy of path can be expressed as

$$
\begin{equation*}
\omega=\sqrt{\left(\frac{k(t)}{k_{\max }}\right)^{2}+\left(\frac{\tau(t)}{\tau_{\max }}\right)^{2}+\left(\frac{\theta(t)}{\theta_{\max }}\right)^{2}} \tag{4}
\end{equation*}
$$

In order to simplify the calculation, the literature [9] simplifies equation (4) into the form of equation (5).

$$
\begin{equation*}
\omega=|\theta(t)| \tag{5}
\end{equation*}
$$

(2) Length of path

At the time of planning three-dimensional PH path, the appropriate polynomial $\sigma(t)$ is selected to satisfy $\sigma^{2}(t)=x^{\prime 2}(t)+y^{\prime 2}(t)+z^{\prime 2}(t)$, so the accurate length $s(t)$ of path can be calculated based on the integral of polynomial $\sigma(t)$.

$$
\begin{equation*}
s(t)=\int_{t_{0}}^{t_{1}}|\sigma(t)| d t \tag{6}
\end{equation*}
$$

Among them, the length of path that changes uniformly along the path can be obtained from the uniform variation of $t$.
(3) Performance indicator
$J=\min \left(\lambda_{1} \times S+\lambda_{2} \times \bar{\kappa}_{\text {max }}+\lambda_{3} \times \bar{\tau}_{\text {max }}+\lambda_{4} \times \bar{\theta}_{\text {max }}\right)$
The performance indicator $J$ consider the constraints of curvature, torsion, climbing angle and the length of path comprehensively, which can make re-planning path satisfy the requirements of performance indicator and can quickly reach the goal.
b. Generation of three-dimensional PH path

The spatial polynomial curve $r(t)=x(t) i+y(t) j+z(t) k$ can be expressed by the method of quaternion, and it is PH curve only when $r(t)$ meets the following form,

$$
\begin{equation*}
\frac{d r(t)}{d t}=A(t) i A^{*}(t) \tag{7}
\end{equation*}
$$

Among them, $A(t)$ is the polynomial of quaternion, that is $A(t)=u(t)+v(t) i+p(t) j+q(t) k$.
In order to define spatial PH curve, to consider quadratic Bezier polynomial

$$
\begin{equation*}
A(t)=A_{0}(1-t)^{2}+A_{1} \cdot 2(1-t) t+A_{2} t^{2} \tag{8}
\end{equation*}
$$

Its coefficients of quaternion are:
$A_{r}=u_{r}+v_{r} i+p_{r} j+q_{r} k$
Among them, $r=0,1,2$.
So the rate $\sigma(t)$ of planning path can be get,

$$
\begin{equation*}
\sigma(t)=u^{2}(t)+v^{2}(t)+p^{2}(t)+q^{2}(t) \tag{9}
\end{equation*}
$$

According to the equation (9), the length of path can be accurately calculated by using the formula (6).

The relationship of 6 control points $P_{0} \sim P_{5}$, which the generation of three-dimensional PH curve needs, is given in literature [8].

$$
\begin{gather*}
P_{1}=P_{0}+\frac{1}{5} A_{0} i A_{0}^{*}  \tag{10}\\
P_{2}=P_{1}+\frac{1}{10}\left(A_{0} i A_{1}^{*}+A_{1} i A_{0}^{*}\right)  \tag{11}\\
P_{3}=P_{2}+\frac{1}{30}\left(A_{0} i A_{2}^{*}+4 A_{1} i A_{1}^{*}+A_{2} i A_{0}^{*}\right)  \tag{12}\\
P_{4}=P_{3}+\frac{1}{10}\left(A_{1} i A_{2}^{*}+A_{2}^{*} i A_{0}^{*}\right) \tag{13}
\end{gather*}
$$

$$
\begin{equation*}
P_{5}=P_{4}+\frac{1}{5} A_{2} i A_{2}^{*} \tag{14}
\end{equation*}
$$

Among them, $P_{0}=p_{s}=\left(x_{s}, y_{s}, z_{s}\right)$ is the starting point and $P_{5}=p_{f}=\left(x_{f}, x_{f}, z_{f}\right)$ is the ending point. The parameters in the formula (7) can be solved by solving Hermit interpolating problem. As long as getting the values of $A_{0}, A_{1}$ and $A_{2}$, the control points of quintic PH curve can be obtained from equation (10) - (14).

As the initial point's coordinates $P_{0}=p_{s}=\left(x_{s}, y_{s}, z_{s}\right)$ and direction cosine $\left(\lambda_{s}, \mu_{s}, v_{s}\right)$ of tangential direction $d p_{s}$ are known, the free variables $A_{0}$ and $\zeta_{0}$ can be solved according to the formula (15).

$$
\begin{equation*}
A_{0}=\sqrt{\left.\frac{1}{2}\left(1+\lambda_{s}\right) \right\rvert\, d p_{s}} \cdot\left(-\sin \zeta_{0}+\cos \zeta_{0} i+\frac{\mu_{s} \cos \zeta_{0}+v_{s} \sin \zeta_{0}}{1+\lambda_{s}} j+\frac{v_{s} \cos \zeta_{0}-\mu_{s} \sin \zeta_{0}}{1+\lambda_{s}} k\right)(1 \tag{15}
\end{equation*}
$$

As the ending point's coordinates $P_{5}=p_{f}=\left(x_{f}, x_{f}, z_{f}\right)$ and direction $\operatorname{cosine}\left(\lambda_{f}, \mu_{f}, v_{f}\right)$ of tangential direction $d_{p_{f}}$ are known, the free variables $A_{2}$ and $\zeta_{2}$ can be solved according to the formula (16).

$$
A_{2}=\sqrt{\frac{1}{2}\left(1+\lambda_{f}\right)\left|d p_{f}\right|} \cdot\left(-\sin \zeta_{2}+\cos \zeta_{2} i+\frac{\mu_{f} \cos \zeta_{0}+v_{f} \sin \zeta_{2}}{1+\lambda_{f}} j+\frac{v_{f} \cos \zeta_{2}-\mu_{f} \sin \zeta_{2}}{1+\lambda_{f}}\right)(16)
$$

According to the formula (17), $A_{1}$ can be solved.

$$
\begin{equation*}
A_{1}=-\sum_{4}^{0}()_{4 \mathrm{~V} 2}^{1+\lambda)|c|} \cdot\left(-\sin \zeta_{1}+\cos \zeta_{1} i+\frac{u \cos \zeta_{1}+v \sin \zeta_{1}}{1+\lambda} j+\frac{v \cos \zeta_{1}-u \sin \zeta_{1}}{1+\lambda} k\right) \tag{17}
\end{equation*}
$$

Among them, the parameters $(\lambda, \mu, v)$ is the direction cosine of $c$, and $\zeta_{1}$ is the free variable. $c$ can be obtained from formulas (18)and(19).
$\left(3 A_{0}+4 A_{1}+3 A_{2}\right) i\left(3 A_{0}+4 A_{1}+3 A_{2}\right)^{*}=$

$$
\begin{align*}
& 120\left(p_{f}-p_{s}\right)-15\left(d_{s}+d_{f}\right)+5 \sqrt{\left(1+\lambda_{s}\right)\left|d p_{s}\right|\left(1+\lambda_{f}\right)\left|d p_{f}\right|} \\
& {\left[\begin{array}{l}
{\left[\cos \left(\zeta_{2}-\zeta_{0}\right)-\frac{\left(\mu_{s} \mu_{f}-v_{s} v_{f}\right) \cos \left(\zeta_{2}-\zeta_{0}\right)+\left(\mu_{s} v_{f}-\mu_{s} v_{f}\right) \sin \left(\zeta_{2}-\zeta_{0}\right)}{\left(1+\lambda_{s}\right)\left(1+\lambda_{f}\right)}\right] i} \\
+\left[\frac{\mu_{s} \cos \left(\zeta_{2}-\zeta_{0}\right)-v_{s} \sin \left(\zeta_{2}-\zeta_{0}\right)}{\left(1+\lambda_{s}\right)}+\frac{\mu_{f} \cos \left(\zeta_{2}-\zeta_{0}\right)+v_{f} \sin \left(\zeta_{2}-\zeta_{0}\right)}{\left(1+\lambda_{f}\right)}\right] j \\
+\left[\frac{v_{s} \cos \left(\zeta_{2}-\zeta_{0}\right)+u_{s} \sin \left(\zeta_{2}-\zeta_{0}\right)}{\left(1+\lambda_{s}\right)}+\frac{v_{f} \cos \left(\zeta_{2}-\zeta_{0}\right)-u_{f} \sin \left(\zeta_{2}-\zeta_{0}\right)}{\left(1+\lambda_{f}\right)}\right] k
\end{array}\right]} \tag{18}
\end{align*}
$$

Abbreviated to:

$$
\begin{equation*}
\left(3 A_{0}+4 A_{1}+3 A_{2}\right) i\left(3 A_{0}+4 A_{1}+3 A_{2}\right)^{*}=c_{x} i+c_{y} j+c_{z} k \tag{19}
\end{equation*}
$$

$A_{0}, A_{1}$ and $A_{2}$ can be obtained from the starting point $P_{0}$ and ending point $P_{5}$. The values of $P_{1}, P_{2}, P_{3}$ and $P_{4}$ can be calculated according to the formulas (10) - (14), and then put them into the formula:

$$
r(t)=\left[1 t t^{2} t^{3} t^{4} t^{5}\right]\left[\begin{array}{cccccc}
1 & 0 & 0 & 0 & 0 & 0  \tag{20}\\
-5 & 5 & 0 & 0 & 0 & 0 \\
10 & -20 & 10 & 0 & 0 & 0 \\
-10 & 30 & -30 & 10 & 0 & 0 \\
5 & -20 & 30 & -20 & 5 & 0 \\
-1 & 5 & -10 & 10 & -5 & 1
\end{array}\right]\left[\begin{array}{c}
P_{0} \\
P_{1} \\
P_{2} \\
P_{3} \\
P_{4} \\
P_{5}
\end{array}\right]
$$

That is, the PH curve can be solved.
c. Analysis of 3-d PH path planning

It can be seen, from the generating process of PH curve, that the generating path is determined by the coordinates of the starting and ending points $\left(P_{s}, \mathrm{P}_{f}\right)$,the tangents' direction $\operatorname{angles}\left(\phi_{s}, \theta_{\mathrm{s}}, \phi_{\mathrm{f}}, \theta_{\mathrm{f}}\right)$, the tangents' length $\left(\varepsilon_{s}, \varepsilon_{f}\right)$ and three free angles $\left(\xi_{0}, \xi_{1}, \xi_{2}\right)$.
$d x_{s}=\varepsilon_{s} \cos \theta_{s} \cos \phi_{s}$
$d y_{s}=\varepsilon_{s} \cos \theta_{s} \sin \phi_{s}$
$d z_{s}=\varepsilon_{s} \sin \theta_{s}$

$$
\begin{equation*}
\varepsilon_{s}=\sqrt{d x_{s}^{2}+d y_{s}^{2}+d z_{s}^{2}} \tag{21}
\end{equation*}
$$

$d x_{s}=x_{1}-x_{0}$
$d y_{s}=y_{1}-y_{0}$
$d z_{s}=z_{1}-z_{0}$
$d x_{f}=\varepsilon_{f} \cos \theta_{f} \cos \phi_{f}$
$d y_{f}=\varepsilon_{f} \cos \theta_{f} \sin \phi_{f}$
$d z_{f}=\varepsilon_{f} \sin \theta_{f}$

$$
\begin{equation*}
\varepsilon_{f}=\sqrt{d x_{f}^{2}+d y_{f}^{2}+d z_{f}^{2}} \tag{22}
\end{equation*}
$$

$d x_{f}=x_{5}-x_{4}$
$d y_{f}=y_{5}-y_{4}$
$d z_{f}=z_{5}-z_{4}$

Among them, $\left(x_{0}, y_{0}, z_{0}\right),\left(x_{1}, y_{1}, z_{1}\right),\left(x_{4}, y_{4}, z_{4}\right)$ and $\left(x_{5}, y_{5}, z_{5}\right)$ are the coordinates of control points of quintic PH curve respectively.

Through trial and error, it can obtain that the values of curvature, torsion and other performance index are smaller when $\xi_{1}$ takes smaller value, such as $\xi_{1}=\frac{\pi}{30}$, and $\xi_{0}, \xi_{2}$ differ quite a bit, such as taking $\xi_{0}=-\frac{\pi}{10}, \xi_{2}=\frac{\pi}{10}$. In order to meet the requirements of UAV's curvature, torsion and climbing angle and so on performances, the start-stop tangents' length $\left(\varepsilon_{s}, \varepsilon_{f}\right)$ should be comprehensively considered with the location of starting and ending points, the start-end tangents' direction angles and so on.

In complex battlefield environment equipped with dynamic threats and so on, if the path needs to be re-planned according to sensors' information, so the insertion point and speed direction of a new path can be given, and then the optimizing generated method of path in this paper can be used for re-planning path quickly under the conditions of new path's start-end points.
(1) Influence of the values of $\varepsilon_{s}, \varepsilon_{f}$ on curvature, torsion

The starting point $p_{s}$ and ending point $p_{f}$ of UAV are set as $(0,0,0)$ and (50,20,50), The starting angle and ending angle are set as $\left(\phi_{s}, \quad<\quad{ }_{l}\right.$ i and $\left(\phi_{f}, \quad L_{L}\right.$, , and the biggest constraints of path's curvature and torsion are $k_{\max }= \pm \frac{1}{3}$ and $\tau_{\max }= \pm \frac{1}{3}$.

The starting climb-angle $\theta_{s}$ changes in step $10^{\circ}$ within its scope $\left[-\frac{\pi}{2}{ }^{\prime}{ }^{-7}\right.$, and the other three angles remain unchanged. In case that the tangent vector's length $\varepsilon_{f}$ of ending position is 20,40 respectively, $\varepsilon_{s}$ changes in step 20 within its scope $(20,100)$, the maximum curvature and torsion of each path are shown in figure 2, figure 3. The initial tangent vector's length $\mathcal{E}_{s}$ is taken as 20 , 40 respectively, $\varepsilon_{f}$ changes in step 20 within its scope $(20,100)$, the simulation results are shown in figure 4 , figure 5 , and the curves in figure are conducted the truncation processing if curvature and torsion are greater than 1 . The increasing of $\varepsilon_{s}, \varepsilon_{f}$ makes the path more easily meet the requirements of curvature and torsion.


Figure 2. Path Maximum Curvature and Torsion Curve Varying with $\theta_{s}$ when $\varepsilon_{f}=20, \varepsilon_{s}$ Change at the Step 20


Figure 3 Path Maximum Curvature and Torsion Curve Varying with $\theta_{s}$ when $\varepsilon_{f}=40, \varepsilon_{s}$ Change at the Step 20


Figure 4 Path Maximum Curvature and Torsion Curve Varying with $\theta_{s}$ when $\varepsilon_{s}=20, \varepsilon_{f}$
Change at the Step 20


Figure 5 Path Maximum Curvature and Torsion Curve Varying with $\theta_{s}$ when $\varepsilon_{s}=40, \varepsilon_{f}$
Change at the Step 20
(2) Influence of the values of $\varepsilon_{s}, \varepsilon_{f}$ on climbing angle

Under determining initial planning conditions, the relationship between climbing angle $\theta$ and $\varepsilon_{s}, \varepsilon_{f}$ of planning path is given in Figure 5 to figure 8, among them, the horizontal coordinate is the path's length $s$. The tangent vector's length $\varepsilon_{f}$ of ending position is $100, \varepsilon_{s}$ changes in step 100 within its scope $(400,1000)$, the biggest climbing angles of each path are shown in figure 6 . The initial tangent vector's length $\varepsilon_{s}$ is taken as $900, \varepsilon_{f}$ changes in step 50 within its scope $(50,350)$, the simulation results are shown in figure 7. From the figures, it can be seen that the increasing of $\varepsilon_{s}, \varepsilon_{f}$ reduces the path's climbing angle, but the length of path will be increased.


Figure 6. Path Climb Angle Curve Varying with $\theta_{s}$ when $\varepsilon_{f}=100, \varepsilon_{s}$ Change at the Step 100


Figure 7. Path Climb Angle $\theta$ Curve when $\varepsilon_{s}=900, \varepsilon_{f}$ Change at the Step 50
Based on the above analysis, it can be seen that the flyable path, which satisfies the constraints of curvature, torsion and climbing angle, can be generated by increasing the values of $\varepsilon_{s}, \varepsilon_{f}$, but the length of planning path increases with the increasing of $\varepsilon_{s}, \varepsilon_{f}$. At present, the iteration method and try method are widely used for satisfying the requirements of the system's performance in literatures[7, 8]. At the same time, the way that the values of $\varepsilon_{s}, \varepsilon_{f}$ increase at the same time[9] ignores the coordinating role between them.

In order to solve the above problem, the ranges of parameters' values that satisfy system's performance constraints are given below, and the method of quick path planning that meets the requirements of performance indexes is obtained by using Estimation of Distribution Algorithms.

## III. OPTIMIZATION AND GENERATION OF PATH

Estimation of Distribution Algorithms establishes mathematical model for the whole group and adopts the probability estimation model to describe the evolution trend of the entire group directly. It establishes mathematical model of biological evolution in the "macro" level, which has good global search ability, and it doesn't necessarily use crossover operation of genetic algorithm and so on. So it evolves rapidly and it is suitable for the optimization problems which
have less number of variables. Besides, it can adopt the way of interval selection, and it is especially suitable for the situation that the feasible value ranges of $\varepsilon_{s}, \varepsilon_{f}$, which meet the dynamic performance constraints, firstly need to be found out in this paper, and then do optimization. So the algorithm is proposed that Estimation of Distribution Algorithms is used to conduct optimization of path's parameters.
a. Determination on genes' value scopes

Based on the values of $\varepsilon_{s}, \varepsilon_{f}$, the individual genes in Estimation of Distribution Algorithms can be encoded. In order to speed up the optimization, the reasonable value ranges of each gene are given.
(1)Determination on value scopes of $\varepsilon_{s}, \varepsilon_{f}$ that meet the constraints of torsion and curvature

Considering different initial angles and terminational angles and not considering climbing angle firstly, to analysis the maximum values of curvature and torsion under the condition that tangent vectors' length $\varepsilon_{s}, \varepsilon_{f}$ take different values.

The shortest Dubins path's distance from the initial point to termination point can be written as $\left|p_{s} p_{f}\right|$. The tangent vectors' length are set as:

$$
\begin{align*}
& \varepsilon_{f}=\frac{1}{3}\left|p_{s} p_{f}\right|  \tag{23}\\
& \varepsilon_{s}=\frac{1}{3}\left|p_{s} p_{f}\right| \tag{24}
\end{align*}
$$

Through simulations, it found that the values of tangent vectors' angle take over the whole scope, but the curvature and torsion still can not meet the conditions $(-1 / 3 \leq \kappa \leq 1 / 3,-1 / 3 \leq \tau \leq 1 / 3)$, the calculation results are shown in table 1.

Table 1: The Path Maximum Curvature and Torsion at Different Initial Angle with $\varepsilon_{s}$, equal to $\frac{1}{3}\left|p_{s} p_{f}\right|$

| Rerformance index $\left(\phi_{s}, \quad, \quad\right)$ | $\|\bar{\kappa}(t)\|_{\text {max }}$ | $\|\bar{\tau}(t)\|_{\text {max }}$ |
| :---: | :---: | :---: |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 2 & \prime \\ \hline \end{array}\right)$ | 0.679 | 0.727 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll}\pi & \pi \\ j & \prime\end{array}\right)$ | 0.716 | 0.671 |


| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 0 & \prime 2 \end{array}\right)$ | 0.664 | 0.628 |
| :---: | :---: | :---: |
| $\left(-\frac{\pi}{2}, \begin{array}{l} \pi \\ 2 \end{array}\right)$ | 0.558 | 0.586 |
| $\left(-\frac{\pi}{2} \begin{array}{lll}  & \pi & \pi \\ 0 & 2 \end{array}\right)$ | 0.421 | 0.547 |
| $\left(-\frac{\pi}{2} \begin{array}{ll} \pi & \pi \\ & 3 \\ 2 \end{array}\right)$ | 0.332 | 0.522 |
| $\left(-\frac{\pi}{2} \begin{array}{lll} \pi & \pi & \pi \\ \hline & 2 \end{array}\right)$ | 0.255 | 0.522 |

Under the condition that the tangent vectors' length are equal to $\frac{1}{2}\left|p_{s} p_{f}\right|$, the calculation results of the path' maximum curvature $|\bar{\kappa}(t)|_{\text {max }}$ and torsion $|\bar{\tau}(t)|_{\text {max }}$ are shown in table 2. At this time, the path that does not meet the constraints still has a lot.

Table 2: The Path Maximum Curvature and Torsion at Different Initial Angle with $\varepsilon_{s}$, equal to $\frac{1}{2}\left|p_{s} p_{f}\right|$

| Performance index $\left(\phi_{s}, \quad, \quad\right)$ | $\|\bar{\kappa}(t)\|_{\text {max }}$ | $\|\bar{\tau}(t)\|_{\text {max }}$ |
| :---: | :---: | :---: |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 2 & \prime \\ \hline \end{array}\right)$ | 0.375 | 0.331 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 3 & \prime 2 \end{array}\right)$ | 0.395 | 0.303 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 0 & \prime 2 \end{array}\right)$ | 0.365 | 0.297 |
| $\left(-\frac{\pi}{2}, \begin{array}{l} \pi \\ 2 \end{array}\right)$ | 0.304 | 0.292 |
| $\left(-\frac{\pi}{2} \begin{array}{lll} \pi & \pi & \\ \prime & 2 \end{array}\right)$ | 0.224 | 0.283 |
| $\left(-\frac{\pi}{2} \begin{array}{ll} \pi & \pi \\ & 3 \\ 2 \end{array}\right)$ | 0.171 | 0.274 |
| $\left(-\frac{\pi}{2} \begin{array}{lll} \pi & \pi & \\ \hline & 2 \end{array}\right)$ | 0.375 | 0.331 |

The tangent vectors' length are equal to $\frac{2}{3}\left|p_{s} p_{f}\right|$, the calculation results of the path' maximum curvature $|\bar{\kappa}(t)|_{\text {max }}$ and torsion $|\bar{\tau}(t)|_{\text {max }}$ are shown in table 3. At this time, the planning path can satisfy the constraints in most cases.

Table 3: The Path Maximum Curvature and Torsion at Different Initial Angle with $\varepsilon_{s}$, equal to

$$
\frac{2}{3}\left|p_{s} p_{f}\right|
$$

| Performance index $\left(\phi_{s}, \quad, \quad\right)$ | $\|\bar{\kappa}(t)\|_{\text {max }}$ | $\|\bar{\tau}(t)\|_{\text {max }}$ |
| :---: | :---: | :---: |
| $\left(-\frac{\pi}{2}, \begin{array}{lll}\pi & \pi \\ < & , \\ \hline\end{array}\right)$ | 0.264 | 0.146 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 3 & \prime 2 \end{array}\right)$ | 0.267 | 0.141 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ 0 & \prime 2 \end{array}\right)$ | 0.241 | 0.153 |
| $\left(-\frac{\pi}{2}, \begin{array}{l} \pi \\ 2 \end{array}\right)$ | 0.199 | 0.166 |
| $\left(-\frac{\pi}{2} \begin{array}{lll} \pi & \pi & \\ 0 & 2 \end{array}\right)$ | 0.144 | 0.173 |
| $\left(-\frac{\pi}{2} \begin{array}{lll} \pi & \pi & \pi \\ 3 & 2 \end{array}\right)$ | 0.105 | 0.172 |
| $\left(-\frac{\pi}{2}, \begin{array}{ll} \pi & \pi \\ \hline & L \end{array}\right)$ | 0.0927 | 0.174 |

From the above analysis, the floor of $\varepsilon_{s}, \varepsilon_{f}$ can be taken as $\frac{1}{3}\left|p_{s} p_{f}\right|$.
(2) Determination on value scopes of $\varepsilon_{s}, \varepsilon_{f}$ that meet the constraints of climbing angle

In literature [9], the formula (5) is used to calculate the bending energy and formulas (25), (26) are adopted to do iteration of $\varepsilon_{s}, \varepsilon_{f}$, which make the planning path meet the constraint of climbing angle.

$$
\begin{align*}
& \varepsilon_{s}=\varepsilon_{s}+\left(\frac{\varepsilon_{0}}{\varepsilon_{0}+\varepsilon_{5}}\right)\left(\rho_{\min }+\sigma_{\min }\right)  \tag{25}\\
& \varepsilon_{f}=\varepsilon_{f}+\left(\frac{\varepsilon_{5}}{\varepsilon_{0}+\varepsilon_{5}}\right)\left(\rho_{\min }+\sigma_{\min }\right) \tag{26}
\end{align*}
$$

Among them,
$\varepsilon_{0}=\int_{0}^{0.5} \omega(t)^{2}|r(t)| d t$
$\varepsilon_{5}=\int_{0.5}^{1} \omega(t)^{2}|r(t)| d t$

Algorithm can value that meets the constraints of system performance within finite steps, but large step causes that the length of planning path is longer than the other. Therefore, the iteration results of formulas (25) and (26) are regard as upper limit of $\varepsilon_{s}, \varepsilon_{f}$, which is regarded as heuristic information to reduce the number of iterations and improve planning performance in path's optimization and generation.
b. Establishment of interval probability estimation model

Estimation of Distribution Algorithms adopts probability estimation model to generate new species. The value ranges $\left[a_{j}, b_{j}\right]$ of each variable $\boldsymbol{x}_{j}(j=\{1,2, \ldots, M\}, M$ is the number of genes) in individual $X_{i}(i=\{1,2, \ldots, \quad)$ are divided into $n$ equidistant sections, it chooses elite individuals with probability $p_{e}$ in the generated species, and calculates the probability $p_{j k}(k=\{1,2, \ldots, n\})$ in the $k t h$ interval $\left[a_{j k}, b_{j k}\right]$ of the $j t h$ dimension locus. At the time of generating a new individual, a random number $p^{j}$ is randomly generated between $(0,1)$ for each gene, if $\sum_{k=1}^{s} p_{j k}<p^{j} \leq \sum_{k=1}^{s+1} p_{j k}(s=\{1,2, \ldots, n-1\})$, the values in $(s+1) t h$ interval $\left[a_{j s}, b_{j s}\right]$ are selected to randomly generate new genes. And if $p^{j} \leq p_{j 1}$, the values in interval $\left[a_{j 1}, b_{j 1}\right]$ are selected to randomly generate new genes.
The interval probability estimation model based on elite individuals is adopted to make the excellent genes improve quickly in the next generation and speed up the process of evolution.
c. The optimization and generation step of three dimensional path based on Estimation of Distribution Algorithms

1) To determine the parameter values' range of initial and terminate tangent vectors, to encode gene with real number and divide the interval, to initialize the new species' individual;
2) To calculate the adaptive value $J$ for the individuals that meets the constraints of dynamic performances, to choose the elite individuals with the probability $p_{e}$ in the generated population, and to reserve the best individual;
3) To calculate the interval probability estimation model of each gene in population, and to generate new population based on this model;
4) To optimize in the neighborhood for the best individual $X_{b}$; to stop evolving when the best individual's fitness value does not change in $g t h$ generation or reach the maximum evolution generation. Otherwise, to turn to step 2) and continue to evolve.

## IV. PATH RE-PLANNING UNDER THREATEN ENVIRONMENT

If the UAV detects obstacles in flight, the position of the insertion point and angle direction for obstacle avoidance can be calculated. The optimally flyable PH path can be generated between real-time position in which the obstacles are detected and insertion point, insertion point and termination point respectively, which are used to change the original path. In order to ensure continuity of path, the tangent direction of the path remains unchanged in detection point and insertion point.

## V. SIMULATION RESULTS AND ANALYSIS OF PATH PLANNING

a. The optimization and generation of PH path

The Estimation of Distribution Algorithms, which is proposed in this paper, is used to perform simulation experiment on the three-dimensional PH path planning problem. For this simulation, the initial and final positions of the UAV, the derivatives at these positions, the kinematic constraints are summarized in table 1.

Table 1. Simulation Conditions Setting of UAV

| Initial Pose |  |  | Final Pose |  |  | Detection distance (m) | Kinematic Constraints$\kappa_{\max } \tau_{\max }$ | Climbing angle Constrai nt |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Position | Derivatives |  | Position | Derivatives |  |  |  |  |
|  | Heaing angle | Climbin $g$ angle |  | Headin <br> g angle | $\begin{gathered} \hline \text { Climbi } \\ \text { ng } \\ \text { angle } \\ \hline \end{gathered}$ |  |  |  |
| $(0,0,0)$ | $-\frac{\pi}{2}$ | $\frac{\pi}{12}$ | $(50,20,50$ $)$ | $\frac{\pi}{2}$ | 0 | 100 | $\begin{aligned} & \pm 0.33 \\ & \pm 0.33 \end{aligned}$ | $\frac{\pi}{6}$ |

The selection probability of elite individual is taken as $p_{e}=\frac{1}{3}$ in Estimation of Distribution Algorithms. When the simulation contains climbing angle constraint, the minimum values of $\varepsilon_{s}$, $\varepsilon_{f}$ can be found by the iterative method in literature [9], which satisfy three constraints. The simulation results are shown from figure 8 to figure 10 . And it can get $\varepsilon_{s}=942.4907, \varepsilon_{f}=289.5093$, $|\bar{\kappa}|_{\max }=0.233,|\bar{\tau}|_{\max }=0.045, s=286.1$ and the number of iterations $i=206$.


Figure 8. Path Curve Using Iteration Considering Climb Angle


Figure 9. Curvature and Torsion Change with the Length of the Pathes in Figure 8


Figure 10. Climb Angle Change with the Length of the Path in Fig. 8 The values of $\varepsilon_{s}, \varepsilon_{f}$, which are obtained by iteration, are regard as the upper limit, and $\frac{1}{3}\left|p_{s} p_{f}\right|$ is taken as the floor at the same time. The size of population is set as $N=50$, and evolutional generation is $G=10$. The parameters that correspond to the optimal value are $\varepsilon_{s}=807.3519, \varepsilon_{f}=114.7029,|\bar{\kappa}|_{\max }=0.208,|\bar{\tau}|_{\max }=0.234, \theta_{\max }=29.2451^{\circ}, s=242.0$. The parameters are set as $\lambda_{1}=0.001, \lambda_{2}=1, \lambda_{3}=1, \lambda_{4}=0.01$ at the time of calculating the performance index $J$.

When $N=10, G=4$, The parameters that correspond to the optimal value are $\varepsilon_{s}=881.6855$, $\varepsilon_{f}=197.5181,|\bar{\kappa}|_{\max }=0.226,|\bar{\tau}|_{\max }=0.0407, \theta_{\max }=29.865^{\circ}, \boldsymbol{s}=245.2$. The generated path of last generation, which meets constraints, is shown in figure 11 , and its curvature, torsion and climbing angle as shown in figure 12 and figure 13. The evolution curve of optimal individual's adaptive value in each generation is given in figure 14. Compared with the simulation results of $N=50, G=10$, the algorithm has found a comparative optimal path, and its iteration number reduces a lot, which fully shows the rapidity of the algorithm. In comparison with the simulation results of figure 7-9, the method in this paper increase s few iterations compared with the paper [9], which can reduce the generated path's length a lot gives full consideration to the constraints of system performance.

The weight coefficients which contain the curvature, torsion, climbing angle and so on in performance index can be adjusted according to planning requirements. So when the path is replanned, the insertion point of new path can be given, which makes UAV generate flyable path as soon as possible. And then UAV reaches the target point along the re-planning path.


Figure 11. Pathes of the Last Generation Individual Considering Bounded Climb Angle


Figure 12. Curvature and Torsion Change with the Length of the Paths in Fig. 10


Figure 13. Climb Angle Change with the Length of the Pathes in Fig. 10


Figure 14. The Optimum Individual Fitness Evolution Curve
b. Obstacle avoidance path re-planning

For this simulation, the initial and final positions of the UAV, the derivatives at these positions, the kinematic constraints are summarized in table 2.

Table 2. Simulation Conditions Setting of UAV

| Initial Pose |  | Final Pose |  | Detection <br> distance <br> $(\mathrm{m})$ | Kinematic <br> Constraints | Velocity <br> $(\mathrm{m} / \mathrm{s})$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Position | Derivatives | Positio | Derivatives |  |  |  |


|  | Heaing <br> angle | Climbin <br> g angle | $n$ | Headin <br> g angle | Climbi <br> $n g$ <br> angle | $\kappa_{\max } \tau_{\max }$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,0)$ | $60^{\circ}$ | $15^{\circ}$ | $(500,2$ <br> 00,100 <br> $)$ | $0^{\circ}$ | $0^{\circ}$ | 100 | $\pm 0.33$ <br> $\pm 0.33$ | 60 |

Suppose the UAV detect two moving obstacles at the point $\boldsymbol{P}_{\boldsymbol{u}}(161.4,116.8,72.3)$, Obstacles position when UAV detect the obstacles, the velocity magnitude and direction of the obstacles, the radius of the obstacles are given in table 3 .

Table 3. Simulation Conditions Setting of Obstacles

| Obstacle | Obstacle position | Velocity magnitude and direction |  |  | Obstacle <br> Radius(s) |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\begin{gathered} \text { magnitu } \\ d e \end{gathered}$ | Heading angle | Climbing angle |  |
| Obstacle1 | $(252,159,100)$ | 32 | $120^{\circ}$ | $22^{\circ}$ | 30 |
| Obstacle2 | $(144,215,60)$ | 45 | $-30^{\circ}$ | $15^{\circ}$ | 20 |

UAV needs to do obstacle avoidance for obstacles $O_{1}, O_{2}$ by judgment. By calculation, the interruption point $\boldsymbol{Q}$ is $\left[\begin{array}{l}\hat{\boldsymbol{x}}_{u} \\ \hat{\boldsymbol{y}}_{u} \\ \hat{\boldsymbol{z}}_{u}\end{array}\right]=\left[\begin{array}{l}170.5 \\ 199.9 \\ 83.0\end{array}\right]$. The two direction angles at the interruption points are $\phi_{u}=40.27^{\circ}, \theta_{u}=29.73^{\circ}$. The adjustment time from the detection point to the interruption point is $\boldsymbol{t}_{s}=1.2$. After $\boldsymbol{t}_{s}$, the coordinates of $\boldsymbol{O}_{1}, \boldsymbol{O}_{2}$ are $\boldsymbol{O}_{1}^{\prime}(233,190,50), \boldsymbol{O}_{2}^{\prime}(191,187,75)$. The UAV rotated angle is $\alpha=3.4^{\circ}$. The obstacle avoidance result that takes the horizontal plane as the plane of obstacle avoidance is given in Fig. 15.


Figure 15. The Result of the obstacles Avoidance in horizontal plane
It can be seen from the simulation results that UAV successfully realize the obstacle avoidance. The horizontal or vertical plane can be selected as the plane of obstacle avoidance according to specific needs.

## VI. CONCLUSION

Considering the curvature, torsion and climbing angle constraint and so on dynamic performances during UAV flights, the quantic PH curve is used to generated three dimensional path, and based on the UAV's current coordinates, speed, flight state and target point's coordinates, speed and so on information, the flyable path that is curvature continuous can be real-timely planned, which provides a kind of good practical and feasible method that can realize autonomous planning and quickly generate flyable path in the real-time dynamic environment for UAV.

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