

# Beyond structures of highly symmetric purified viral capsids by cryo-EM

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## Abstract

Cryogenic transmission electron microscopy (cryo-EM) is widely used to determine high-resolution structures of symmetric virus capsids. The method holds promise for extending studies beyond purified capsids and their symmetric protein shells. The non-symmetric genome component has been addressed in dsRNA cypoviruses and ssRNA bacteriophages Q $\beta$  and MS2. The structure of human herpes simplex virus type 1 capsids has been determined within intact virions to resolve capsid–tegument interactions. Electron tomography under cryogenic conditions (cryo-ET), has allowed resolving an early membrane fusion intermediate of Rift Valley fever virus. Antibody-affinity based sample grids allow capturing of virions directly from cell cultures or even clinical samples. These and other emerging methods will support studies to address viral entry, assembly and neutralization processes at increasingly high resolutions and native conditions.

## Highlights

- Single-particle averaging has evolved to determine high resolution structures of large icosahedral viruses and viral genomes.
- Sub-tomogram averaging continues to produce high resolution structures of viral surface proteins and nucleocapsids.
- Tomography of viruses has provided insights into dynamic viral entry processes.

## Introduction

Cryogenic transmission electron microscopy (cryo-EM) has matured in recent years into a versatile, high-resolution technique in structural biology. This is largely due to the development of direct detectors that now allow recording data with better quality than ever before. In structural virology, cryo-EM is becoming increasingly useful in determining structures of virus capsids with helical [1-4] and icosahedral [5-14] symmetry. Due to the ordered nature of these assemblies and their structural homogeneity it is possible to reach resolutions better than 3 Å by combining data from several hundreds, often thousands of capsids [5]. At this resolution it is possible to recognise density for some amino-acid side chains, allowing *de novo* structure determination of previously uncharacterized viruses [10]. Electron tomography of

1 vitrified specimens (cryo-ET) can determine the structures of heterogeneous viral  
2 particles with pleomorphic shapes [15-18]. Sub-tomogram averaging (averaging of  
3 repeating structures from tomographic reconstructions, or tomograms) can be further  
4 exploited to resolve higher resolution detail of any repeating structures, such as the  
5 viral surface glycoproteins [15,16,18] and internal nucleocapsids [18-21]. Different  
6 approaches to study virus structures by cryo-EM discussed in this review are  
7 illustrated in Figure 1. We will first exemplify recent high-resolution structures of  
8 large viral capsids with icosahedral symmetry as well as the first structures of viral  
9 genomes. We will then move on to review current examples of viral surface and  
10 nucleocapsid structures determined by sub-tomogram averaging. Finally, we will  
11 highlight studies that have started to move the structural virology field beyond  
12 structures of isolated purified virions, with the aim of deciphering the infection and  
13 assembly pathways within the cellular context.

## 15 Main text

### 16 High resolution structures of large viruses from single particle averaging

17 Viral capsids are often ideal targets for high-resolution structure determination due to  
18 their high symmetry, relatively large size compared to many other protein complexes,  
19 and structural rigidity. Currently the highest resolution structures of icosahedrally  
20 symmetric virus capsids determined by single particle averaging are for the capsids of  
21 rhinovirus (~32 nm in diameter, 2.3 Å resolution) [13] and Tulane virus (~40 nm in  
22 diameter; 2.6 Å resolution) [5]. The much larger size of many virus capsids, however,  
23 poses several challenges and limitations as well. The thickness of the sample limits  
24 the resolution in cryo-EM reconstructions, partially due to the defocus gradient across  
25 the specimen and the curvature of the Ewald sphere [22]. Another practical limitation  
26 is that only a few large virus particles will fit in each field of view, necessitating  
27 longer data collection sessions. Furthermore, large virus capsids may be more prone  
28 to deformations than small capsids. Finally, large reconstruction box sizes still pose a  
29 challenge for current image processing software tools and either modifications to  
30 these or computers with more memory are required to allow refinement and  
31 reconstruction of very large particles.

32  
33 Recently, the very large capsid structures (~130 nm in diameter) of human  
34 cytomegalovirus (HCMV) [23], Kaposi's sarcoma-associated herpesvirus (KSHV)  
35 [14], herpes simplex virus type 1 (HSV-1) [24] and herpes simplex virus type 2  
36 (HSV-2) [25] have been determined to resolutions of 3.9 Å, 4.2 Å, 4.2 Å, and 3.1 Å,  
37 respectively. In the KSHV and HSV-1 studies, the capsid structure was resolved from  
38 images of intact virions (~200 nm in diameter). This further increased the sample  
39 thickness, but in the case of HSV-1 allowed solving the capsid-associated tegument  
40 complexes (Figure 2A) [24]. A relatively low magnification and the super-resolution  
41 capability of a direct-electron detector were used to acquire ~10,000 movies to yield a  
42 sufficient number of particles (~40,000) required to reach a high enough resolution  
43 for atomic model building. To facilitate the reconstruction of these large viruses in a  
44 box with a size of up to 1,440×1,440×1,440 voxels, the software was modified to  
45 further optimize memory usage [14]. For both HSV-1 and HSV-2, a sub-particle  
46 based approach was employed to account for the local defocus and structural  
47 deformation of capsid subunits leading to resolution improvements of 3.9 Å to 3.5 Å,  
48 and ~4 Å to 3.1 Å, respectively [24-26]. We expect similar studies to become more

1 common due to increased accessibility to high-end microscopes allowing automated  
2 data acquisition over several days, new detectors with a larger field of view that will  
3 allow capturing more particles in one image, and improvements in reconstruction  
4 algorithms.

#### 5 **Asymmetric reconstruction of viral genomes**

6 The genomes of viruses with icosahedrally symmetric capsids remain challenging  
7 targets for cryo-EM structure determination. As the nucleic acid is asymmetric and  
8 possibly flexible, its structure gets incorrectly averaged when icosahedral symmetry is  
9 applied. Recent studies on the genomes of both single-stranded and double-stranded  
10 RNA viruses have overcome this limitation by asymmetric reconstruction and novel  
11 data analysis strategies. Aided by their relatively rigid genome and by the presence of  
12 a single maturation protein copy to break the icosahedral symmetry of the capsid, the  
13 structures of the ssRNA bacteriophages Q $\beta$  [27] and MS2 [28-30] have been  
14 determined. A graph-theoretical analysis of MS2 cryo-ET data allowed proposing a  
15 model for the asymmetric organization of a ssRNA viral genome [28]. For both Q $\beta$   
16 and MS2, standard asymmetric refinements of single particle data were also  
17 performed recently [27,29,30]. The latest study on MS2 was based on a dataset of  
18 more than 300,000 particles, revealed multiple conformations with highly localized  
19 differences, and allowed building of atomic models for more than 80% of the entire  
20 genome sequence (Figure 2B) [30].

21  
22 In the case of dsRNA viral genomes, only the genome structure of cypovirus, in both  
23 transcribing and non-transcribing states, has been determined [31,32]. Both studies  
24 relied on subtracting capsid contributions from the images followed by either a  
25 standard asymmetric refinement with manual selection of particles to be included in  
26 the final map [31] or a refinement based on relaxing symmetry [32]. These studies  
27 revealed a non-spoiled organization of the genome following pseudo-D3 symmetry.  
28 Ten RNA-dependent RNA polymerases (RdRPs) occupy specific locations, leaving  
29 two out of the twelve possible positions vacant. Notably, the RdRPs were resolved up  
30 to 3.3-Å resolution and were shown to be in different conformations in the  
31 transcribing and non-transcribing states. The individual RNA strands were readily  
32 discernible and overall adopted an almost identical conformation in the two states,  
33 with differences only in the regions directly interacting with the RdRPs.

#### 34 ***In situ* structures of viral envelope glycoproteins and nucleocapsids**

35 Many enveloped virions are pleomorphic which prevents structural determination by  
36 cryo-EM and conventional single particle averaging. By using tomography and sub-  
37 tomogram averaging, the surface glycoprotein spikes have recently been mapped in  
38 Lassa fever virus [16], Tula hantavirus [15], rubella virus [18], and a prototypic  
39 foamy virus [33]. In the case of rubella virus, the spikes were found to be organised in  
40 a helical arrangement and the structures of the individual subunits enabled fitting of  
41 atomic models. In the latter example, single particle and sub-tomogram averaging  
42 were combined in an unconventional way: a locally ordered patch of foamy virus  
43 surface glycoprotein trimers, first derived by standard sub-tomogram averaging, was  
44 used as a reference in a subsequent template-based particle picking and single particle  
45 refinement to obtain a resolution of  $\sim 9$  Å [33]. Different variations of this  
46 combination are expected to be beneficial in analysing locally ordered lattices of  
47 glycoproteins on the surface of enveloped viruses.

48

1 Many pleomorphic viruses contain internal structures that are also suitable for cryo-  
2 EM structure determination. Sub-tomogram averaging has been used to determine the  
3 structure of the helical nucleocapsids within Ebola (Figure 2C) and Marburg virus  
4 virions [21]. The same approach has been used to determine the structure of the  
5 mature HIV-1 cone shaped capsid revealing its assembly mechanism from pentamers  
6 and hexamers [20]. The structure of the immature HIV-1 capsid from virus-like  
7 particles in the presence of a maturation inhibitor has been determined to 3.9-Å  
8 resolution from a large dataset consisting of ~130,000 sub-tomograms [19]. This  
9 resolution, previously attainable only by single-particle averaging, was reached by  
10 applying frame-based motion filtering and exposure filtering to account for sample  
11 movements at each tilt and for the large electron dose of tomographic data collection,  
12 respectively. This structure suggested that maturation inhibitors work by stabilising  
13 the immature lattice, preventing proteolytic cleavage. The resolution of this structure  
14 was later improved to 3.4-Å resolution by using 3D contrast transfer function  
15 correction during reconstruction of the tomographic volume [34].

### 16 **Tomography of virus entry intermediates**

17 Cryo-ET can be a powerful method for examining dynamic viral processes such as the  
18 entry of enveloped viruses into cells. This process involves fusion of the viral  
19 membrane with the host cell membrane, a process catalyzed by viral surface  
20 glycoproteins called fusion proteins grouped in classes I–III. A number of  
21 publications have studied membrane fusion of influenza A virus (class I) to liposomes  
22 [35-39]. In one such study, tomograms calculated over a time course of 30 minutes  
23 revealed multiple stages of the fusion process, including membrane contact, dimple  
24 formation, hemifusion and pore formation [36]. A different study examined the  
25 formation of the hemifusion state by employing a Volta phase plate to improve the  
26 signal-to-noise ratio of the tomograms [37]. Two independent mechanisms were  
27 proposed for fusion pore formation: a rupture-insertion pathway and a hemifusion-  
28 stalk pathway, with the latter suggested to be more relevant for virus infection. Sub-  
29 tomogram averaging has also been used to study the orientation of full-length HSV-1  
30 fusion protein gB (class III) in pre-fusion and post-fusion conformation on vesicles  
31 [40,41]. These two studies supported two alternative orientations of gB, the former  
32 placing the fusion loops membrane distal and the latter placing them membrane  
33 proximal. Finally, the fusion process has been studied in Rift Valley fever virus, a  
34 large enveloped virus with a class II fusion protein [42]. Virus–liposome contact sites  
35 resolved 30 seconds after low pH treatment by tomography and sub-tomogram  
36 averaging revealed a putative early fusion intermediate, in which the viral  
37 glycoprotein spikes were inserted into the liposome membrane.

### 38 **Towards more native structural virology**

39 The structural biologist's perspective of the virosphere is biased by those viruses that  
40 can be isolated and purified in large quantities for structural studies. In addition,  
41 purification and cell culture conditions themselves may perturb the virion structure.  
42 For example, respiratory syncytial virus particles have been observed to convert from  
43 filamentous to noninfectious spherical particles over time at ambient or cell culture  
44 temperatures [43]. Novel cryo-EM grids based on antibody-affinity capture may  
45 provide means for gently purifying many types of virions directly from cell culture  
46 supernatants or even clinical and environmental samples. Such grids have already  
47 been used to analyse the structures of both enveloped [44] and non-enveloped viruses  
48 [5] by cryo-EM. In the latter case, a 2.6-Å resolution single particle reconstruction of  
49 Tulane virus was achieved from 3 µL of sample at concentration as low as ~2 µg/mL.

1  
2 Cryo-ET allows studying virus–host cell interactions, such as entry and budding, in  
3 the native cellular context. By using this approach it has been shown that influenza A  
4 virus buds from its host cells in filamentous form [45]. This form has been suggested  
5 to be clinically more relevant than the spherical form, produced by some laboratory-  
6 adapted strains. In another example, murine leukemia virus-like particles were imaged  
7 interacting with a host cell plasma membrane, and the envelope protein was suggested  
8 to undergo a large-scale conformational change upon binding to a cellular receptor  
9 [17]. A tomography study of cyanophage P-SSP7 entry into its host marine  
10 cyanobacterium *Prochlorococcus* demonstrated how the phages first adsorb to the  
11 surface with their tails held away from the cell and later “stand up” with their tail  
12 perpendicular to the surface ready for genome injection (Figure 2D) [46]. Regarding  
13 intracellular events, vesicle-coated transport of an adenovirus along a microtubule has  
14 been visualized in human epithelial cells recently [47]. This study was restricted to  
15 imaging viruses at the cell periphery where the sample is thin enough for electrons to  
16 penetrate. Thinning of cells by focused ion beam milling is expected to enable  
17 imaging of viruses throughout the whole cell. This approach has recently been used to  
18 image egress of herpesvirus capsids through the nuclear membrane [48]. These  
19 examples give glimpses of the future potential of *in situ* structural virology. Use of  
20 other emerging technologies, such as the Volta phase plate [37], are expected to  
21 contribute to this success.

## 22 **Conclusions**

23 The remaining challenges in the structural biology of large icosahedral symmetric  
24 viruses lie in accounting for the defocus gradient across the specimen, correcting for  
25 global deviations from perfect spherical shape, sorting out local variations in the  
26 subunit conformations and correctly dealing with deviations from the icosahedral  
27 symmetry. Efficient solutions to each of these are required in order to resolve all of  
28 the ordered components of the virus, including the viral genome. On the sample  
29 preparation side, there is a need for addressing structures under conditions as native as  
30 possible, for instance by using on-the-grid purification based on antibodies. Focused  
31 ion beam milling is an emerging method in cellular structural biology that is also  
32 expected to have a profound impact in structural virology, as the method will allow  
33 following viral entry and assembly intermediates *in cellula*. Taken together, these  
34 improvements will allow descriptions of virus–host cell interactions during viral  
35 infection with improved structural preservation and resolution.

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## 41 **Annotated References**

42 \* [14] This paper on Kaposi’s sarcoma-associated herpesvirus reports many  
43 technological advances that were required to reconstruct the capsid of this large virus  
44 to 4.2-Å resolution. This structure allowed identifying structural motifs that stabilize  
45 the capsid and that that could be targeted by antivirals.  
46

1 \* [17] This study is one of the few examples where sub-tomogram averaging has been  
2 applied on viruses binding to the host cell. This approach suggested a large-scale  
3 structural rearrangements in the retroviral Env protein upon receptor binding.  
4

5 \*\* [19] This study on HIV-1 capsid demonstrated that sub-tomogram averaging can  
6 reach the same resolution as current single particle averaging methods. The structure  
7 suggested that maturation inhibitors work by stabilising the immature Gag lattice and  
8 thus blocking proteolytic cleavage.  
9

10 \* [21] Structures of the recombinant nucleocapsids as well as nucleocapsids from  
11 intact Ebolavirus virions were reconstructed by sub-tomogram averaging. Models  
12 created by flexible fitting of crystallographic structures suggested a mechanism for  
13 RNA encapsidation.  
14

15 \*\* [25] The highest resolution structure of a HSV-2 capsid, determined here at 3.1-Å  
16 resolution, was made possible by computationally compensating for flexibility in the  
17 viral capsid in addition to correcting for the defocus gradient across it. This study  
18 provides the most accurate description of protein–protein interactions in herpesviruses  
19 to date.  
20

21 \*\* [30] These authors were able to trace the ssRNA genome backbone and to build  
22 atomic models for sixteen RNA stem-loops in an asymmetric virus structure for the  
23 first time. The mapped interactions of the genome with the maturation and capsid  
24 proteins sheds light on the assembly and genome delivery mechanism.  
25

26 \* [33] The Env surface glycoprotein structure from a prototypic foamy virus, a  
27 member of the *Retroviridae* family, was reconstructed to 9-Å resolution by a  
28 combination of sub-tomogram and single particle averaging. This paper is one of the  
29 first examples of such a combination of methods used to address the structure of viral  
30 surface proteins.  
31

32 \* [34] This paper reports the highest resolution structure determined by sub-  
33 tomogram averaging to date. Resolution of 3.4 Å was reached by introducing 3D  
34 contrast transfer correction in tomographic reconstruction.  
35

36 \*\* [36] This study on influenza virus fusion to liposomes is a great example of how  
37 cryo-ET can be used to shed light on dynamic processes involving membranes.  
38

39 \*\* [37] In another study two different types of membrane influenza virus fusion  
40 pathways could be imaged by using Volta phase plate technology.  
41

42 \*\* [42] These authors used localized reconstruction to compensate for flexibility in  
43 large, enveloped Rift Valley fever virus particles and sub-tomogram averaging to  
44 resolve the interaction between its class II fusion protein and liposomes. This study is  
45 the first to report the structure of a viral fusion protein in an intermediate state.  
46

47 \* [46] The adsorption of a marine cyanophage to its host cell was imaged by cryo-EM  
48 and tomography. Subtomogram averaging and classification led to insights on the role  
49 of the phage tail fibres in this process.



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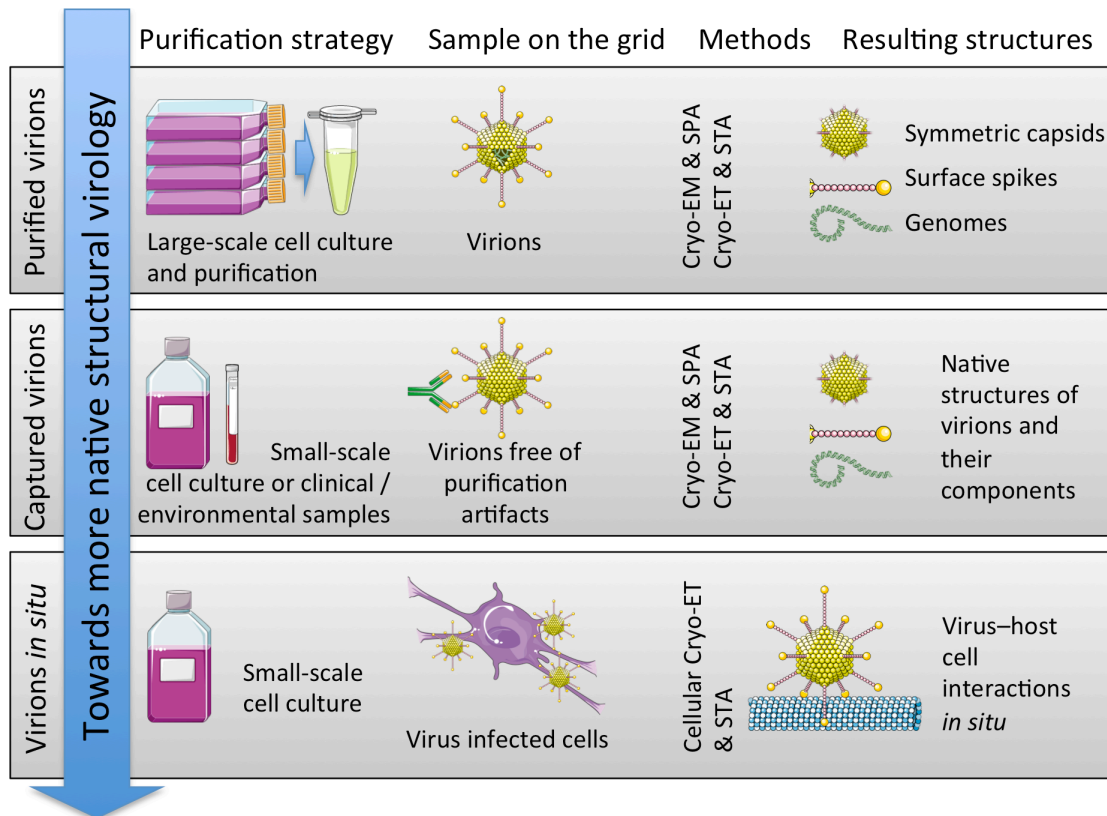


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1 **Figures**

2

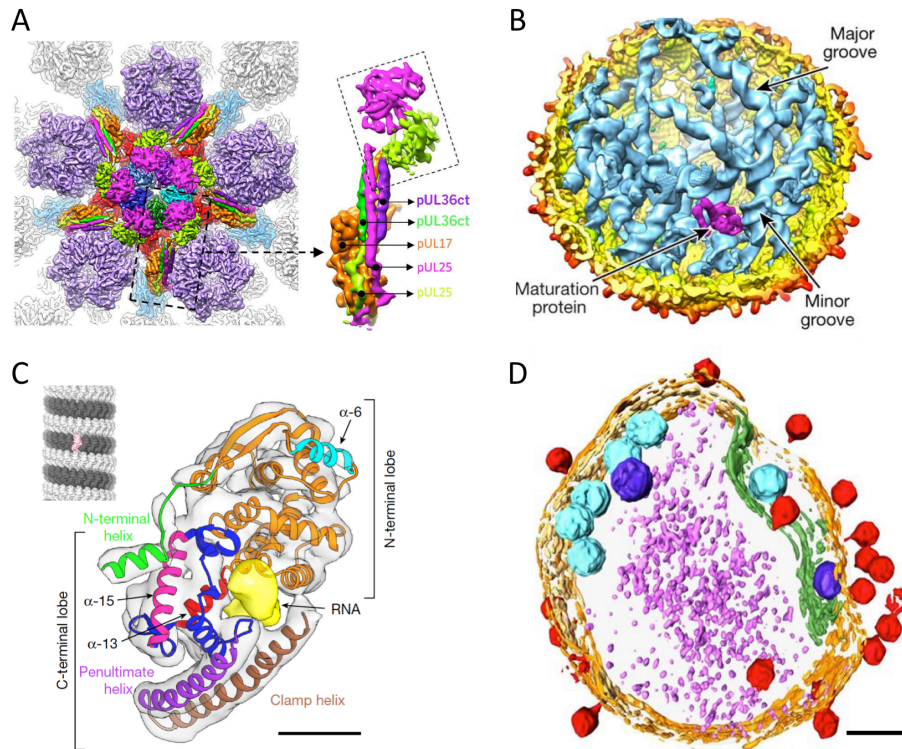


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5 **Figure 1. Different approaches to study virus structures by cryo-EM.** This schematic  
 6 figure illustrates three approaches for studying virus structures discussed in this review (gray  
 7 boxes). From left to right, the virus purification/isolation strategy, the type of sample on the  
 8 electron microscopy sample grid, methods applied (single-particle averaging, SPA; and sub-  
 9 tomogram averaging, STA) and the types of structures resulting from each approach are  
 10 illustrated. The trend towards more native structural virology is indicated (blue arrow).

11



1

2 **Figure 2. Recent examples of viral structures studied by cryo-EM and cryo-ET.** (A) A  
 3 close-up of the vertex region of herpes simplex virus 1 capsid, reconstructed by localized  
 4 reconstruction from single particle cryo-EM data. The inset shows one capsid-associated  
 5 tegument complex (CATC). Different components are colored and labelled. The bi-lobed  
 6 head region of the CATC is indicated with a box. Figure reproduced with permission from  
 7 [24]. (B) A cut-open view of MS2 bacteriophage density, reconstructed by standard  
 8 asymmetric refinement of single particle cryo-EM data. The viral capsid is radially depth  
 9 colored, the maturation protein is magenta and the single-stranded RNA genome is blue.  
 10 Examples of major and minor grooves resolved in in double-stranded regions of the genome  
 11 are indicated. Figure reproduced with permission from [30]. (C) The structure of Ebola virus  
 12 nucleoprotein solved by sub-tomogram averaging. N-terminal lobe in green, orange, and  
 13 cyan; C-terminal lobe in blue, pink, purple, red, and brown. Putative RNA density is in  
 14 yellow. The inset shows a model of the nucleocapsid, derived by placing the nucleoprotein  
 15 structure back in its original positions in a tomogram is shown in gray. One subunit is in pink.  
 16 Scale bar is 2 nm. Figure reproduced with permission from [21]. (D) A tomogram of  
 17 cyanophage P-SSP7 particles (red) adhering to its host marine cyanobacterium reconstructed  
 18 from cryo-ET data collected using a Volta phase plate. The cell wall is orange, the plasma  
 19 membrane is light yellow, the thylakoid membrane is green, carboxysomes are cyan, the  
 20 polyphosphate body is blue, and cytoplasmic granules (likely to correspond mainly to  
 21 ribosomes) are light purple. Scale bar is 200 nm. Figure reproduced from [46].  
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