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# Information accrual from the period preceding racket ball contact for tennis ground strokes: Inferences from stochastic masking

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31 Abstract

32 Previous research suggests the existence of an expert anticipatory advantage, whereby skilled 33 sportspeople are able to predict an upcoming action by utilising cues contained in their opponent's body kinematics. This ability is often inferred from "occlusion" experiments: Information is 34 35 systematically removed from first-person videos of an opponent, for example by stopping a tennis 36 video at the point of racket-ball contact, yet performance, such as discrimination of shot direction, 37 remains above chance. In this study, we assessed the expert anticipatory advantage for tennis 38 ground strokes via a modified approach, known as "bubbles", in which information is randomly 39 removed from videos at in each trial. The bubbles profile is then weighted by trial outcome (i.e. a 40 correct vs. incorrect discrimination) and combined across trials into a classification array, revealing 41 the potential cues informing the decision. In two experiments (both with N = 34 skilled tennis 42 players) we utilised either temporal or spatial bubbles, applying them to videos running from 0.8 s to 43 0 s before the point of racket-ball contact (cf. Jalali et al., 2018). Results from the spatial experiment 44 were somewhat suggestive of accrual from the torso region of the body, but were not compelling. 45 Results from the temporal experiment, on the other hand, were clear: information was accrued mainly during the period immediately prior to racket-ball contact. This result is broadly consistent 46 47 with prior work using non-stochastic approaches to video manipulation, and cannot be an artifact of 48 temporal smear from information accrued after racket-ball contact, because no such information 49 was present.

Elite athletes demonstrate extraordinary ability in their sport of choice. While their sporting acumen
may seem like a fundamentally physical attribute, it is in fact scaffolded by a range of cognitive skills
that span the sensorimotor pipeline, from perception to action execution (Yarrow, Brown, &
Krakauer, 2009). One such skill that has received considerable attention from experimental
psychologists is the expert anticipatory advantage.

56

57 The expert anticipatory advantage in sports describes a domain-specific benefit that sportspeople 58 exhibit when predicting what is about to happen based on their opponent's current bodily 59 kinematics (as opposed to their opponent's previous action history, which provides a separate cue 60 for predicting current behaviour; Mann, Schaefers, & Cañal-Bruland, 2014). This advantage has been 61 demonstrated in experiments simulating a variety of sports, most commonly via temporal and 62 spatial occlusion methodologies (e.g. Abernethy, 1988; Jones & Miles, 1978). Hence the advantage is 63 widely exhibited, although the extent to which it benefits actual competitive performance remains 64 uncertain (van Maarseveen, Mariëtte, Oudejans, Mann, & Savelsbergh, 2018).

65

A typical occlusion experiment runs as follows. A sporting scenario is selected, for example a football 66 67 (soccer) goalkeeper attempting to save penalties (e.g. Dicks, Button, & Davids, 2010; Smeeton & 68 Williams, 2012). Videos are shot from the sportsperson's (here the goalkeeper's) perspective, 69 capturing various instances of two or more categories of outcome (for example penalties struck to 70 the left or right of the goalkeeper). In the actual experiment, participants, often varying in sports 71 expertise (e.g. novice vs. expert goalkeepers) view these videos, attempting to discriminate which 72 outcome will occur on each trial. Critically, the videos are manipulated to exclude some of their 73 visual information. In temporal occlusion, the video is usually terminated early (for example at or 74 before ball contact) so that only particular sequences of body kinematics are available to guide the 75 response. In spatial occlusion, particular features at constrained spatial locations (for example the 76 striker's hips) are also removed from the video.

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The logic of these experiments is that participants will only be able to perform at above-chance
levels if there is information in the video to guide their decision, with performance declining towards
chance as this information is systematically removed. Certain sports, such as cricket, have been longrunning favourites in the occlusion literature (e.g. Abernethy, & Russell, 1984; Müller & Abernethy,
2006; Müller, Abernethy, & Farrow, 2006), but occlusion approaches have been applied to sports as
diverse as volleyball (e.g. Loffing, Hagemann, Schorer, & Baker, 2015) and karate (Mori, Ohtani, &
Imanaka, 2002).

85

86 Racket sports (e.g. badminton and squash; Abernethy, 1990; Abernethy, Bruce & Russell, 1987) have 87 been particularly well studied via occlusion techniques. The focus of the current study is the sport of 88 tennis. This sport was amongst the first to provide evidence of an expert anticipatory advantage, 89 with Jones and Miles (1978) showing that experts were above chance (and better than intermediate 90 or novice players) at guessing the landing position of a serve when the video was stopped 0.042 s 91 before ball contact. Subsequent work has found, for example, that experts extract information from 92 the time when the ball's toss is at its apex onwards when predicting spin (Goulet, Bard, & Fleury, 93 1989). The temporal occlusion method has also been adjusted slightly to present one of several 94 possible windows of visibility (0.3 seconds in duration) during service, with above-chance 95 performance for experts when viewing the video for only the 0.3 s immediately before ball contact 96 (Farrow, Abernethy, & Jackson, 2005). These temporal occlusion results are supplemented by spatial 97 occlusion studies, showing for example that experts can still discriminate the direction of tennis 98 serves at above-chance levels following removal of body regions such as the entire lower body, but 99 not when the ball's toss was occluded (Jackson & Mogan, 2007). Experts were also impaired (but to a 100 lesser extent) by removal of the arm and racket.

102 While the tennis serve is the most straightforward scenario to investigate, ground strokes have also 103 been probed via occlusion methods. With temporal occlusion at ball contact, experts were above 104 chance to discriminate between left/right lobs and passing shots when shutter goggles were used to 105 block vision in situ on a tennis court (Shim, Carlton, Chow, & Chae, 2005). More traditional video-106 based studies have shown that unlike novices, experts could already predict shot direction above 107 chance at -0.12 s relative to ball contact, with further improvements for occlusion occurring at -0.08 108 and -0.04 s (Rowe, Horswill, Kronvall-Parkinson, Poulter, & McKenna, 2009). Spatial occlusion work 109 suggests that the arm/racket regions are critical when predicting ground-shot direction (Shim, 110 Carlton, & Kwon, 2006).

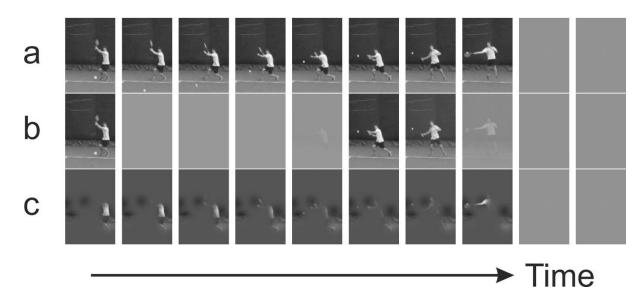
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112 Video-based occlusion methods are not perfect, and our knowledge about the expert anticipatory 113 advantage has been supplemented by a variety of techniques. Such techniques include eye tracking 114 to provide information about where sportspeople attend, and animating/manipulating the opponent 115 (e.g. Cañal-Bruland, van Ginneken, van der Meer, Bart, & Williams, 2011; Ida, Fukuhara, Ishii, & 116 Inoue, 2013) including via virtual reality (Vignais, Kulpa, Brault, Presse, & Bideau, 2015). For example, 117 Ida et al. (2013) manipulated the arm/racket angles of computer-generated opponents to 118 successfully influence experts' analogue estimates of the direction, speed, and spin of a tennis serve. 119 In another study, swapping the arm/racket of stick-man representations of an opponent to that of a 120 different shot confused experts trying to predict the direction of ground strokes (Cañal-Bruland et 121 al., 2011). However, here we stay closer to the traditional occlusion approach, but attempt to 122 remedy a possible weakness of the method: Its dependency on experimenter decisions regarding 123 exactly what to occlude.

124

To this end, we utilise a stochastic method of video occlusion borrowed from the psychophysical
literature (Ahumada Jr & Lovell, 1971), specifically a form of classification-image analysis (sometimes
called reverse correlation) known as bubbles (Gosselin & Schyns, 2001). Bubbles are Gaussian-

128 profiled windows of visibility that reveal the information from an otherwise masked (e.g. uniform 129 grey) display. In the temporal domain, they are rather like the occlusion approach of Farrow et al. 130 (2005) who displayed only a 0.3 second window of information from a video at a time. However, unlike in that study, which utilised a discrete set of non-overlapping windows as separate conditions, 131 132 in a bubbles experiment several bubbles typically appear on each trial and the midpoint of each 133 bubble is chosen at random. Furthermore, their Gaussian profiles remove transients and give the 134 impression of the underlying display being smoothly revealed and subsequently re-masked (see 135 Figure 1 for illustration). At the analysis stage, the random bubbles profiles from the different trials 136 are binned by correctness of response and combined to produce a classification sequence. This 137 classification can then be used to highlight the regions from which information must have been 138 utilised to generate correct discriminations.



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- 141

Figure 1. Example stimuli, shown as snapshots from video every 100 ms. A. Video occluded at point of
racket-ball contact but with no bubbles manipulation (equivalent to pre-test trials here). B. Temporal
bubbles permit viewing of entire image, but only at certain times. C. Spatial bubbles permit viewing
of only certain regions of the image, but across all (pre-contact) frames.

146 Although bubbles are typically applied to sparse, tightly controlled psychophysical stimuli, their 147 applicability to a complex real-word scenario like tennis anticipation has been demonstrated 148 recently (Jalali, Martin, Murphy, Solomon, & Yarrow, 2018). In that study, we had both novice and 149 competent tennis players view opponents in both service and forehand-groundstroke scenarios. We 150 did not stop the video at racket-ball contact, but the structure of the experiment encouraged 151 participants to respond as fast as possible while maintaining an acceptable level of accuracy. The 152 bubbles technique proved effective in both the temporal and spatial domains but it suggested that 153 our participants were primarily utilising information from the beginning of the ball's trajectory off 154 the racket face rather than their opponent's pre-contact kinematics. However, the temporal 155 classification sequence did imply possible information accrual just prior to racket-ball contact as 156 well, but this interpretation remained speculative. The reason is that the bubbles technique yields a 157 classification sequence in which very discrete information sources can become smeared (i.e. 158 exaggerated in extent), such that an information source at or just after racket-ball contact might 159 spread back to appear significant in the immediately preceding frames.

160

Here, we again use bubbles to attempt to find evidence of an expert anticipatory advantage in 161 162 tennis. Our aim is to quantify the extent of the temporal and spatial regions, prior to ball contact, 163 from which skilled tennis players are able to extract useful information about shot direction, but 164 using a stochastic masking technique (i.e. bubbles). The implementation of the bubbles method does 165 not require any intuitions about information sources which need to be designed as separate 166 conditions, but rather allows any region of information to emerge in a bottom-up manner. As such, 167 we believe it provides a useful form of methodological triangulation relative to traditional occlusion 168 approaches. However, we made an important change relative to our previous study: We stopped the 169 video at racket-ball contact, with bubbles appearing at random up to that point but no information 170 ever provided afterwards. This change guarantees that any information sources we identify, even if 171 near the point of racket-ball contact, are not the result of the aforementioned temporal smear

173 presage our results, we find unequivocal evidence for the utilisation of kinematic information by

174 competent tennis players, but only for the period immediately prior to ball contact.

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176 Methods

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178 Participants

179 We utilised a smorgasbord<sup>1</sup> sampling method, attempting to recruit participants with experience 180 playing competitive tennis by various means. Where possible, we recorded their years of experience, 181 current competitive tennis matches per year, and International Tennis Number (ITN), which is an index 182 of their standard of play and ranges from ITN 1 (a player with extensive professional tournament 183 experience and who currently holds or is capable of holding an ATP/WTA ranking) to ITN 10 (a player 184 that is just starting to play competitively). Eleven participants (8 male, 3 female, mean age 30, mean years of tennis experience 13, mean matches per year 48, mean ITN 2.8) were recruited via adverts at 185 London tennis clubs and by word of mouth, and travelled to City, University of London to participate. 186 All completed both temporal and spatial bubbles sessions (see design, below).<sup>2</sup> We also took the 187 188 opportunistic step of developing a portable setup and taking it to the National UK University 189 championships, where we recruited participants in their down time between matches (or after they 190 had been eliminated). We tested 22 such participants in total, with 13 completing a spatial bubbles 191 session (8 male, 5 female, mean age 22, mean years' experience 11, mean matches per year 37, mean 192 ITN 2.1) and 13 completing a temporal bubbles session (8 male, 5 female, mean age 22, mean years'

<sup>&</sup>lt;sup>1</sup> This is our own dubious terminology. We originally intended to recruit several separate samples and address additional questions, but recruitment proved more challenging than expected, leading us to form a composite sample.

<sup>&</sup>lt;sup>2</sup> Most of these participants also completed sessions in which they attempted to guess the direction of serves, but our service stimuli proved extremely difficult to discriminate, thus yielding no conclusive results, and are omitted from our report for concision.

experience 10, mean matches per year 44, mean ITN 2.1).<sup>3</sup> We subsequently took our portable setup 193 194 to a second lab (at Technische Universität Kaiserslautern) in order to exploit its proximity to an elite 195 school for sport (Heinrich Heine Gymnasium) attended by promising young tennis players and their 196 coaches. We tested 10 such participants (8 male, 2 female, median age 16) who completed both spatial and temporal bubbles sessions.<sup>4</sup> For the German participants we recorded their 197 198 "Leistungsklassen" or performance class abbreviated as LK. According to the German Tennis 199 Federation (DTB) the lowest class is LK23 and the highest LK1 consisting of top ranked players in 200 Germany. The German pool had three LK1 players, one LK23 and average of LK 10 (std 8.5). They 201 averaged 7.7 years of experience and 26 competitive matches per year. Finally, from the resulting 202 complete samples of 34 (temporal bubbles) / 34 (spatial bubbles) participants, we rejected 203 participants who were unable to perform the task significantly above chance during bubbles blocks 204 (<55%, yielding binomial p > 0.05 that they were simply guessing), but only for our mean classification-205 array analysis (one of several analyses we ran; see below). We did this because an inability to perform 206 the task makes it impossible for the bubbles technique to retrieve meaningful sources of information. 207 This left final samples of 24 (spatial) and 27 (temporal) participants for mean classification-array 208 analysis. Informed consent was obtained from all participants, who were paid £10 per hour (London) 209 and €10 per hour (Germany) for their time. Ethical approval was granted by the relevant local Ethics 210 Committees at City, University of London, and Technische Universität Kaiserslautern.

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## 212 Apparatus & Stimuli

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We used the ground-stroke subset of video stimuli from those previously described by Jalali

et al. (2018). They were recorded at a tennis club using a tripod-mounted camera (frame rate 120 Hz,

<sup>&</sup>lt;sup>3</sup> Nine from each group completed just a single block, and four competed both. Some participants failed to report some measures of experience, particularly ITN, so the means are based only on those who responded. Three participants from this group also completed a block using service stimuli, not reported here (see footnote 2).

<sup>&</sup>lt;sup>4</sup> These participants completed two further blocks with a modified presentation sequence (a fixed rather than random ordering of opponents, to see if experiencing the same opponent repeatedly made them easier to predict) but this change did not generate any clear trend, and these blocks are not analysed here.

frame size 1280x720 pixels). Four club coaches/hitters of a good but not elite standard acted as models and were instructed to "hit winners" without attempting explicit deception. They were situated near the baseline and recorded against a largely uniform blue backdrop. They were recorded playing forehand ground strokes (running rightwards from a central position to return near the singles side line), directing their shots towards an imaginary receiver's forehand or backhand. To increase image resolution, the camera was positioned at the net, on a line projecting from the filmed player to the imaginary receiver at the opposite baseline (height = 1.6 m, left of centre line by 1.25 m).

Videos were first transformed to eight-bit greyscale. Two authors picked a subset of videos that were unambiguous (regarding the direction of the shot – line/cross), relatively homogeneous in terms of the position of the players at the time of ball contact, and lacking in artefactual cues that might allow the videos to be easily remembered for future classification (e.g. an unusual delivery trajectory). In each video, the frame corresponding to ball contact and the position at which the ball struck the racket head on this frame were manually identified for use in the subsequent presentation and analysis (see below).

229 The experiment was controlled by computers running scripts written in Matlab® (The 230 Mathworks, Natick, U.S.A.) using the Psychophysics Toolbox (Brainard, 1997; Kleiner et al., 2007; 231 Pelli, 1997). Video stimuli were presented via either a CRT monitor (for sessions at City, University of 232 London), a short-throw gaming projector (Optoma® GT760; for sessions at Kaiserslautern and 233 temporal sessions at UK university championships), or a MacBook® Pro (spatial sessions at UK 234 university championships). The former two displays had a vertical refresh rate of 120 Hz, while the 235 latter refreshed at 60 Hz, playing a down-sampled video. Only a central 600 x 400 pixel region of 236 each video that excluded irrelevant peripheral information was presented. Displays were presented 237 at around eye level and viewed at an appropriate distance in order to present the opposing tennis 238 player with a height subtending ~4° visual angle (approximating their size as seen from the baseline 239 during actual play). Participants responded by either stepping rightward or leftward, thus lifting the 240 corresponding foot from one of two digital pedals, monitored at 100,000 Hz via a 16 bit A/D card

241 (National Instruments X-series PCIe-6323; for sessions at City) or by pressing an appropriate arrow
242 key on a computer keyboard (all other sessions).

243

244 Design & Procedure

245 There were two types of session incorporating either temporal or spatial bubbles blocks with 246 participants completing one or both of these sessions, and in some cases up to two additional sessions 247 not reported here (see footnotes 2-4). Each session took around an hour, and consisted of three 248 blocks: One practice, one pre-test, and one bubbles block (in that order). During practice, participants 249 viewed a small number of videos (between 10 and 24 depending on the experimental location; 50% 250 to forehand, 50% to backhand) containing any of four players (8 possible videos per player) but with 251 a preponderance of videos (70%) from one player and fewer videos (10% each) from the remaining 252 three players, who were saved mainly for the experimental trials (see below). Videos were randomised 253 with replacement.

Videos presentations began at -0.8 s relative to racket-ball contact. The practice block constituted a warm-up in which trials terminated at +0.2 s relative to racket-ball contact to provide clear information about the trajectory of the ball off the racket head. By contrast, in pre-test and bubbles blocks, videos terminated at racket-ball contact (replaced with a uniform grey screen) or at the time of response if earlier than this.

259 For these pre-test and bubbles blocks, 24 new videos (8 per player, 50% to forehand and 50% 260 to backhand) were selected from the three players seen less often during practice. For the pre-test, 261 the videos were presented between one and four times each in a random order, yielding a block of 262 either 24 trials (City and Kaiserslautern) or 96 trials (UK university championships). These differences 263 reflected the fact that City and Kaiserslautern participants typically performed multiple sessions, so 264 could have their pre-test data combined across them. For the critical bubbles block, these videos were 265 presented a further 16 times each in a random order, yielding a block of 384 trials. Participants 266 responded without any deadline. Trials with presentation glitches, i.e. where one or more frames were

267 dropped after the -0.2 s time point, were re-randomised and repeated at the end of the block.
268 Feedback about correctness was provided after every trial.

269 Importantly, during bubbles trials only, the videos were subjected to random masking via 270 the application of bubbles (see Figure 1; for videos showing examples of temporal and spatial 271 bubbles, see videos 1 and 2 respectively from Jalali et al. (2018), available at 272 https://www.frontiersin.org/articles/10.3389/fpsyg.2018.02229/full#supplementary-material). 273 Individual bubbles were combined to generate bubbles profiles in one (temporal) or two (spatial) 274 dimensions. The number of bubbles presented began at 8 or 20 for temporal and spatial sessions 275 respectively. In principle, this (maximum) number could then be adjusted downwards via a QUEST 276 staircase (Watson & Pelli, 1983) varying the number of bubbles in order to try and maintain 277 participants' performance at around 75% correct (i.e. lowering the number of bubbles if the task was 278 too easy). However, as discussed further below, this was never required as the task was very hard 279 even in the absence of any masking. The profile of each individual bubble was that of a 1, or 2-280 dimensional Gaussian density function, scaled to have unit height. In the temporal sessions its width ( $\sigma$ ) was 3 frames; in the spatial sessions its width was 12 pixels (vertically and horizontally).<sup>5</sup> 281 282 283 Bubble mean positions were selected at random within a domain extending throughout the relevant space of the video. Bubbles profiles were determined by combining the individual bubbles 284 285 together. This was achieved by first reflecting bubble magnitudes around 0.5, then multiplying them 286 together, and finally re-reflecting: 287 (1) Bubbles =  $1 - \prod_{b=1}^{B} (1 - \text{bubble}_b)$ 288

289

290 Pixel intensities were then calculated for display as the mean pixel intensity plus the difference
291 between original and mean intensities multiplied by the Bubbles profile at each point. Expressed in

<sup>&</sup>lt;sup>5</sup> To speed calculations, each bubble was rounded to zero beyond 4 (temporal) or 3 (spatial)  $\sigma$  from its centre.

terms of Weber contrasts, pixels were displayed at their original Weber contrasts multiplied by theBubbles profile.

294

295 Data Analysis

296 The saved Bubbles profiles from each trial formed the starting point in generating 297 classification sequences (temporal conditions) or images (spatial conditions), which reveal the regions 298 from which information supporting a correct response has been extracted. We calculated these 299 classification arrays as per our previous report (Jalali et al., 2018). First, for the spatial condition only, 300 Bubbles were re-centred so that the profile (saved in video coordinates) was translated to a new 301 coordinate frame, centred on the ball at the time of racket-ball contact. Next, for each participant, a 302 weighted sum of (re-centred) Bubbles profiles yielded the raw classification array. The sum weights 303 profiles from correct trials positively and profiles from incorrect trials negatively:

304

(2) RCA = 
$$\sum_{c=1}^{C}$$
 Bubbles<sub>c</sub> -  $\sum_{i=1}^{I}$  Bubbles<sub>i</sub>

306

However, in order to provide more intuitive values for visualising and combining data across participants, raw classification arrays were normalised to a z-like format. This was achieved via a permutation approach. For each of 2000 iterations, correct/incorrect labels were randomly reassigned (without replacement) to individual trials. The means and standard deviations at each point (i.e. each frame and/or pixel) calculated over these 2000 permutations were used to z-score the classification array. This yielded an array varying around zero with positive values indicating regions of possible information accrual.

In order to draw statistical inferences across large arrays while controlling familywise type 1 error appropriately, data from all participants who were able to perform the task at significantly abovechance levels during bubbles blocks were combined and assessed via both cluster and t<sub>max</sub> (also known as pixel or single-threshold) corrected permutation tests. These methods, derived from the neuroimaging literature (Blair & Karniski, 1993; Nichols & Holmes, 2002) are standard approaches for
solving the multiple comparison problem with large sets of potentially correlated and non-normal
data. Our particular implementation is more fully described in Jalali et al. (2018).

321 We also addressed a prediction particular to the data collected in these experiments, which, unlike 322 typical bubbles experiments, were derived from participants who rarely achieved 75% correct in a 323 two-choice discrimination. We reasoned that the variability in performance across participants might 324 be utilised in statistical inference. Bubbles are most efficient with 75% correct performance (Gosselin 325 & Schyns, 2001) and would be expected to become less efficient, and thus produce classification arrays 326 more dominated by random noise, with lower levels of discrimination performance. We would 327 therefore expect that for an information-carrying region, there should be a positive correlation across 328 participants between the magnitude of the classification array at that point and discrimination 329 performance. We tested this prediction in a manner exactly analogous to the cluster / t<sub>max</sub> approach, 330 but using Pearson's r-statistic in place of Student's t-statistic in order to formulate cluster and rmax 331 corrected permutation correlations. Where t-based tests reveal significant regions of information, r-332 based tests reveal regions more successfully exploited by better participants. All reported p values are two-tailed, unless otherwise noted. 333

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336 Results

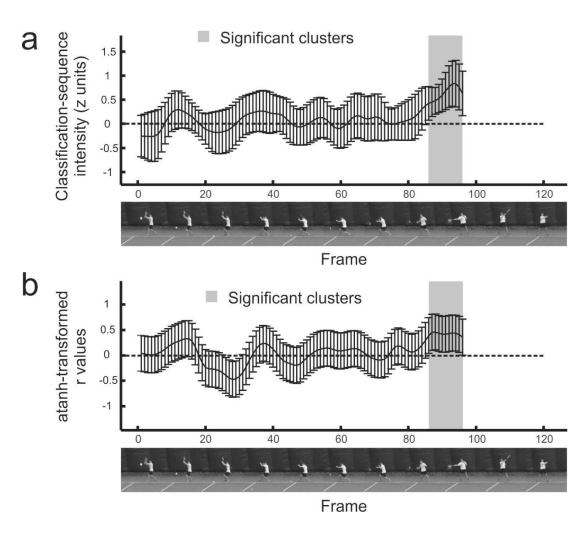
337

338 Pre-tests

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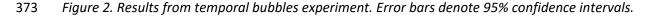
In pre-test trials, participants saw the videos without degradation, but terminating at the point of racket-ball contact. Pre-tests were identical in spatial and temporal sessions, and our samples were not fully overlapping between these experiments, so data were collated across all 43 unique participants. Participants showed some ability to discriminate the direction of tennis ground strokes 344 in the absence of information about the ball's trajectory off the racket head (mean proportion 345 correct = 0.632, SD = 0.093) and they did so on average at a level significantly above chance: 346 Modelling these binomial data in the most appropriate way (i.e. with a general linear mixed model 347 (GLMM) with logistic link function, incorporating a random term for the intercept) revealed a fixed 348 intercept term of 0.55, which differed significantly from zero, i.e. the null hypothesis of scoring 50% correct ( $t_{[42]}$  = 9.25, p < 10<sup>-10</sup>). For the subsets of U.K. participants reporting ITNs (N = 18), years of 349 350 playing experience (N = 31), or matches per year (N = 27), these variables were each entered as lone 351 predictors in separate GLMMs but failed to significantly correlate with discrimination performance 352 (all p > 0.29). However, matches per year did become a significant positive predictor of performance 353 (odds ratio = 1.011, 95% Cl 1.004-1.18,  $t_{[24]}$  = 3.28, p = 0.003) when an outlying participant (claiming 354 150 competitive matches per year) was excluded. 355 356 **Temporal Bubbles** 357 358 In temporal bubbles trials, videos ran to the point of racket-ball contact, but only those periods 359 revealed by randomly placed temporal bubbles were visible (Figure 1b). The Bubbles profiles from 360 each trial were combined with accuracy data to create classification sequences for each participant. 361 The mean z-scored classification sequence across participants is shown in Figure 2a, with positive 362 values denoting regions from which information may have been extracted. No frames were 363 significant after  $t_{max}$  correction, but a subset of frames (from 86 onwards, i.e. from around 0.083s 364 before racket-ball contact) contribute to a significant cluster (p = 0.013). Cluster-based testing corrects for familywise error on the overall inference that the classification image differs reliably 365 366 from zero, but does not imply that every point within the cluster is significant (Groppe, Urbach, & 367 Kutas, 2011), particularly in combination with the smoothing effects of bubbles (see Jalali et al., 368 2018, for further discussion). However, it is clear that some information was successfully extracted 369 from the moment just before racket-ball contact.





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374 Shaded regions denote significant clusters. **A.** Mean z-scored classification sequence. **B.** Correlations

- 375 *between classification sequences and classification performance across participants.*
- 376

Figure 2b shows additional results from a second statistical analysis. Here, instead of assessing the mean classification sequence for just those participants who were still able to perform above chance even during bubbles blocks, we assessed the correlation (for the entire sample of participants) between individual classification sequences and discrimination success. The raw r values have been transformed to permit the creation of a constant confidence interval which clarifies where possible clusters emerge. This happens wherever the confidence interval does not include zero, i.e. for r 383 values that are significant without any familywise correction. However, these transformed r values 384 retain their basic meaning, in the sense that positive values represent frames where more successful 385 participants (in terms of their ability to do the task) showed more positive classification sequence 386 magnitudes. Our participants varied considerably in their ability to perform the task (between 50 387 and 75% correct). Because bubbles should be most effective (revealing pronounced peaks at points 388 where useful information is extracted) for participants who approach 75% performance, and much 389 less effective (reflecting mainly noise) for participants who are just guessing, these correlations are 390 informative. Interestingly, the correlation analysis reveals a cluster with the exact same temporal 391 extent as that found in the mean classification image (p = 0.029). Of course, these two analyses 392 cannot be considered as independent tests. However, we believe they can sometimes be 393 complementary to one another, as will become clearer in our spatial results.

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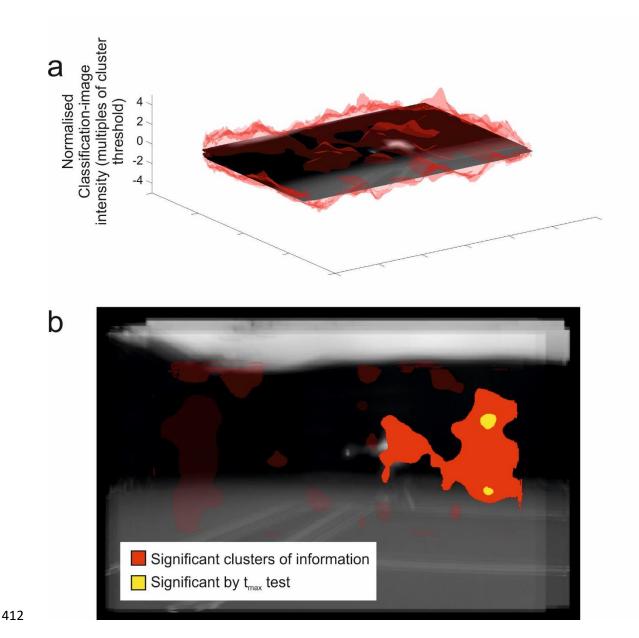
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395 Spatial Bubbles
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397 In the spatial bubbles task, only particular areas of the video image were visible at random on each 398 trial (Figure 1b). Data from our spatial bubbles experiment are shown in Figures 3 and 4. Figure 3 399 shows the mean classification image, along with associated statistical inferences, for participants 400 able to perform the bubbles task above chance. The top part of the figure shows the classification 401 image itself, while in the bottom part of the figure statistical thresholding has been applied to reveal 402 a single large significant cluster (p = 0.0005). This cluster also incorporates two smaller regions that 403 additionally survive t<sub>max</sub> correction. This contrast *should* illustrate spatial areas from which visual 404 information was accrued. However, the result is unconvincing. Although the cluster does include a 405 region over the position of the opposing player's body at the time of ball contact, this region only 406 appears within the cluster by virtue of a slim connection to a larger and more pronounced region. 407 The larger region might, at best, be considered to have overlaid parts of the opponent's body at the 408 beginning of the video, when they started their run to intercept the ball. However, this larger region

- 409 would be inconsistent with the results of the temporal experiment, which suggested that useful
- 410 information guiding the decision was not extracted until near the time of racket-ball contact.





413

Figure 3. Classification image results from the spatial bubbles experiment. Results are overlaid on an image of the mean of all presented videos for the frames capturing racket-ball contact, centred on the point of racket-ball contact (hence constituent images do not perfectly align). However, the results of the spatial analysis are not specific to any one time point. **A.** Transparent red peaks denote mean classification-image intensity normalized to the cluster threshold value used in permutation testing (i.e., values more extreme than ±1 formed potential clusters). **B.** Solid coloured regions were
significant in cluster/t<sub>max</sub> permutation testing, suggesting information might have been extracted
from this part of the video. Transparent red regions denote non-significant clusters.

423 Our complementary correlation-based analysis is shown in Figure 4, which in this case appears 424 somewhat instructive. The format is the same as for the mean classification image shown in Figure 3 425 with the raw correlations shown at the top, and statistical thresholding applied at the bottom. 426 However, in this case it is normalised correlation (r) values that are being illustrated and assessed for 427 cluster or r<sub>max</sub> based significance. No significant clusters were observed, but there is one non-428 significant cluster worthy of mention (one-tailed p = 0.096; all other clusters one-tailed p > 0.36) 429 which sits over the position of the opponent's body at the time of ball contact. This suggests a trend 430 for those participants better able to discriminate shot duration during spatial bubbles sessions to 431 have classification images that show stronger peaks in this region. In combination with the data from 432 our analysis of the mean classification image (Figure 3), this result suggests that much (or all) of the 433 cluster revealed there may represent a false positive, as it was no more likely to emerge in 434 participants for whom bubbles had a good chance of actually working than it was for participants for 435 whom bubbles could reveal only noise.

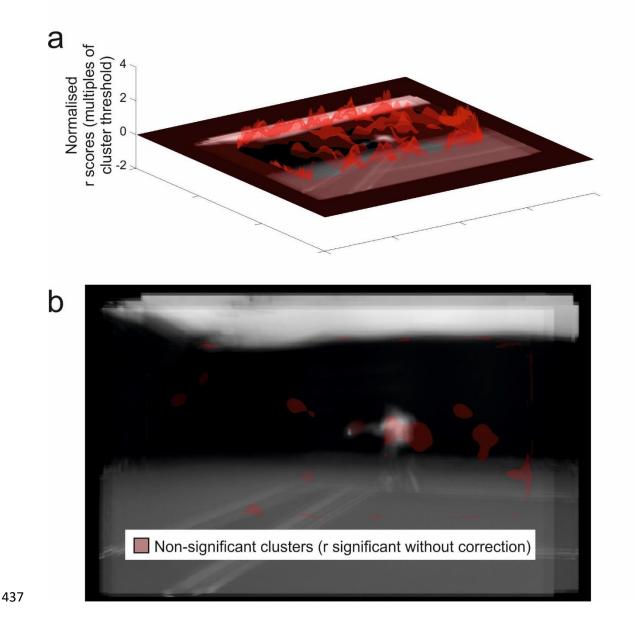




Figure 4. Correlation results from the spatial bubbles experiment. Results are overlaid on an image of the mean of all presented videos for the frames capturing racket-ball contact, centred on the point of racket-ball contact (hence constituent images do not perfectly align). However, the results of the spatial analysis are not specific to any one time point. **A.** Transparent red peaks denote correlations between classification-image intensities and discrimination performance, normalized to the cluster threshold value used in permutation testing (i.e., values more extreme than ±1 formed potential clusters). **B.** Transparent red regions denote points where the cluster threshold (representing a significant correlation in the absence of familywise correction) was exceeded, but resulted in only
non-significant clusters.

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450 Discussion

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452 In our experiments, competent but non-elite tennis players first attempted to discriminate the 453 direction of upcoming forehand ground strokes from videos of a tennis opponent, based only on 454 information available prior to the point of racket-ball contact. On average, they were able to do so, 455 in line with previous reports (Rowe et al., 2009; Shim et al., 2006). Unlike previous reports, we went 456 on to remove additional information using a stochastic approach to video manipulation, by 457 introducing bubbles rather than by applying systematic masking or image manipulation in a 458 particular set of planned conditions. Our main finding was that participants used information from 459 the period immediately before racket-ball contact, specifically within a window reaching back 460 approximately 0.083s, to perform the direction-discrimination task. Because this information source precedes racket-ball contact, it cannot include the trajectory of the ball off the racket head. 461 462 463 Our temporal results seem fairly consistent with previous reports. For example, Rowe et al. (2009) 464 had tennis experts (broadly comparable to ours in competence, with ITNs of 2-4) judge forehand and 465 backhand ground strokes (going to either the right or left) from videos which could be occluded at 466 between -0.12 and +0.04s relative to racket-ball contact. They found that experts could predict 467 undisguised shot direction at approaching 75% correct when the video stopped at racket-ball 468 contact, falling to around 60% when models were attempting disguise (c.f. 63% mean performance 469 during pre-test here; note that our models were instructed only to "hit winners", but were 470 presented to participants with smaller spatial extents than those of Rowe et al., to be more 471 consistent with typical match viewing). Rowe et al. (2009) also found that experts could still

discriminate the direction of ground strokes significantly above chance when the video stopped at either 0.12 or 0.08s before racket-ball contact, but performed better with occlusion at 0 s. These results imply some accrual from roughly the temporal window we obtained here (in order to show improvement) but also some additional accrual from earlier frames (in order to still be performing above chance). Indeed, a similar study utilising stick-man graphics in place of videos even found above-chance performance with occlusion at -0.24s, although performance actually then trended worse with occlusion at -0.16, -0.08 or 0 ms (Cañal-Bruland et al., 2011).

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480 Our method was in principal well-suited to find the locus of any such early periods of information 481 accrual, because bubbles could appear at any point back to 0.8s before ball contact. Several 482 possibilities should be considered regarding why we failed to find any such loci, reflecting the 483 various limitations of our approach. The first relates to statistical power. Bubbles is a trial-hungry 484 technique, with typical psychophysical applications using fairly simple stimuli and also very large 485 numbers of trials (Gosselin & Schyns, 2001). This limitation is exacerbated when performance is only 486 a little above chance even in the absence of any bubbles, as was the case here. Indeed, pre-test 487 performance suggests that our stimuli were very challenging to discriminate for most participants, so 488 perhaps our stimuli simply didn't contain usable information as early as the videos used in other 489 studies, or perhaps it was sufficiently subtle that bubbles could not reveal it.

490

A second possibility is that information must be integrated over a protracted period, or combined
from both of two temporally distinct epochs, during early shot preparation, in order to be usable.
Such temporally complex cues would still be present in standard temporal occlusion approaches
where videos run continuously until a single occlusion point. However, while classification arrays can
in principle reveal these kinds of features with enough trials, the bubbles approach is most efficient
when the temporal extent of a cue is approximately matched to the temporal extent of an individual
bubble (see for example the simulations presented by Jalali et al., 2018). Note that various

suggestions have been made within the bubbles literature to address this issue (Blais, Roy, Fiset,
Arguin, & Gosselin, 2012; Chauvin, Worsley, Schyns, Arguin, & Gosselin, 2005) and might be
considered in future research on sports.

501

502 Regardless of whether there were any earlier information sources that went undetected in our 503 experiment, we can at least assert with confidence that useful information was extracted from our 504 videos immediately prior to racket-ball contact (although, as noted in the methods, we cannot assert 505 that every individual frame highlighted by our cluster test was important). This ability may be learnt 506 through regular match play, generalizing immediately to the particular opponents encountered here. 507 It is also possible that the ability to anticipate was actually learnt entirely during the experiment, 508 given that each stimulus was encountered multiple times. The correlation between pre-test 509 performance and matches per year suggests that more regular players are at least quicker to learn 510 their new opponent's kinematic "gives" (or perhaps they are quicker to learn other spurious cues in 511 our videos, although we took steps to minimise these). However, this result must be considered 512 tentative, as it was both exploratory, and relied on the exclusion of an outlying participant. 513

514 Our results from spatial bubbles sessions were not compelling and can at best be considered 515 suggestive that our participants may have extracted some information from the torso region of their 516 opponents. This would presumably be during the temporal window revealed by the temporal 517 bubbles sessions, but the experiments are independent so this need not necessarily be the case. The 518 need to apply statistical control across a much larger 2D space, relative to our temporal 519 experiments, may have left our spatial experiment underpowered. We have previously shown that 520 spatial bubbles can be effective with a setup and sample size similar to this one (Jalali et al., 2018), 521 but in that case performance was nearer to 75% correct for all participants. Previous spatial 522 occlusion work with video stimuli has been more conclusive. Shim et al. (2006) used a four-choice 523 task (ground strokes or lobs to forehand or backhand), and found that removing the racket/arm

524 impaired discrimination of videos when viewing was stopped at racket-ball contact. This suggests 525 that these distal regions, which did not emerge in our analysis despite the fact that we centred our 526 co-ordinate frame (and thus maximised power) at the racket head, are in fact important. However, 527 they also observed performance which was still well above chance after these regions had been 528 occluded. Therefore, participants must also have extracted information from other parts of the 529 video, presumably proximal body segments, although the pattern of data was inconclusive in this 530 regard. Indeed, some results from more recent studies using computer graphics in place of real 531 videos suggest primacy for the proximal body: Fukuhara, Ida, Ogata, Ishii, and Higuchi (2017) found 532 that an opponent rendered with a realistic body (but only point-light information for their arm and 533 racket) was better predicted than one with a realistic arm and racket but only a point-light body.

534

535 In conclusion, we have replicated classic research showing that skilled tennis players can anticipate 536 upcoming shots based on their opponent's body kinematics. We also used a novel stochastic 537 masking approach in order to highlight the role of the period immediately preceding racket-ball 538 contact in supporting this ability. Although our bubbles approach could in principal have revealed a 539 wider range of information sources relative to traditional occlusion studies (where a limited set of 540 masking conditions must be selected in advance) in practice we have revealed, if anything, fewer 541 such loci. The approach may still have merit, but primarily as a means of methodological 542 triangulation, making an inference based on multiple complementary approaches, such as the 543 temporal result observed here, more secure. 544 545

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547

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