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# Alternative scales in reliability models for a repairable system

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## Abstract

In an industry, the lifetime of a technical system is often assessed according to its accumulated throughput or usage. Performance of Blast Furnace, in a steel making factory, is assessed in terms of the accumulated quantity of its product (i.e. liquid iron); the lifetime of a vehicle in the transport industry, can be assessed in terms of the accumulated number of miles it has travelled or the accumulated amount of load it has transported. Most of these systems are repairable systems. The failure process of a system is conventionally measured in the time domain. Nevertheless, the lifetime of some repairable systems and their failures may be measured in terms of their throughput/usage. Therefore, it makes sense to quantify their failure processes in terms of accumulated throughput or usage. These accumulated usages may be better indicators than time, of system failure and reliability and hence can form better scales for quantifying the failure process of the system. Such scales, individually or in combination with time, may be used as alternative scales of measurement in modelling the failure process. This paper proposes alternative scales, considering usage along with time, to measure the failure process of a repairable system. A method is devised in the paper to

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22 identify better scales to model the failure process and the appropriate scale to assess reliability  
 23 identified. Industrial failure data are used to illustrate the proposed method.

24

25 **Key words:** System condition, time scale, alternative scale, imperfect repair, repairable system

26 **Notation table**

$t^Z$	Alternative scale for modelling the failure process of a repairable system
$Z(t)$	Usage scale for modelling the failure process of a repairable system
$\phi(t, Z(t))$	Alternative scale, which is a function of the primary measure $t$ and concomitant measure $Z(t)$
$t$	Global time scale for modelling the failure process of a repairable system
$x$	Local time scale for modelling the failure process of a repairable system
$m$	Global mileage scale for modelling the failure process of a repairable system
$w$	Local mileage scale for modelling the failure process of a repairable system
$u$	Local mileage rate for modelling the failure process of a repairable system
$\gamma$	Weightage parameter of the individual scales in a combined alternative scale
$N_{t^-}$	Number of failures before $t$
$T_{N_{t^-}}$	Time of the previous failure before $t$
$M_{N_{t^-}}$	Usage at the previous failure before $t$
$H_{t^-}$	History of the failure process prior to $t$ . Includes the number of failures, failure times and any other covariate information on the failure process
$R(t   H_{t^-})$	Reliability of the repairable system given the history of the failure process prior to time $t$

$\lambda(t   H_{t^-})$	Intensity of the failure process of the repairable system given the history of the failure process prior to time $t$
$G(t^Z)$	Function of the alternative scale $t^Z$
$W(t)$	Covariate information on the failure process
$\eta$	Weightage parameter of the covariate

27 **1. Introduction**

28 **1.1 Motivation**

29 In an industry, the lifetime of a technical system is often assessed according to its accumulated  
30 throughput or usage. For example, in a steel making factory, the performance of a blast furnace is  
31 assessed in terms of the accumulated quantity of its product (i.e. liquid iron); in the transport  
32 industry, the lifetime of a vehicle can be assessed in terms of the accumulated number of miles it has  
33 travelled or the accumulated amount of load it has transported. Most of these systems are repairable  
34 systems. The lifetime of these systems can be assessed in terms of their total throughput/usage, and  
35 maintenance policies can be formulated based on this. For example, a blast furnace is taken for a  
36 capital repair of categories 1, 2 or 3 based on the tonnage of iron it has produced, similarly  
37 maintenance on a transport vehicle can be performed based on mileages accrued.

38 In the reliability literature, mathematical models, which are used to depict the failure process of  
39 systems, are conventionally functions of *time* domain. That is, the reliability of a system is typically  
40 measured with respect to the *time domain*. Nevertheless, the lifetime of some repairable systems and  
41 their failures may be measured in terms of their throughput/usage. Therefore, it makes sense to  
42 quantify their failure processes in terms of accumulated throughput or usage. This leads to the  
43 development of alternative domains for quantifying the reliability and/or the failure intensity  
44 function of such systems. Such domains need not be uni-dimensional i.e., either time or usage. These  
45 can also be a combination of both time and usage to create bi/multi-dimensional domains.

46 The time domain of the reliability function and failure intensity of a repairable system is often  
47 termed as a time scale in the literature (Farewell and Cox (1979), Kordonsky and Gertsbakh (1995a,  
48 1995b, 1997), Duchesne and Lawless (2000), and Finkelstein (2004), to name a few). Since other  
49 domains/scales are being considered in this paper for modelling the reliability and failure intensity,  
50 all domains/scales have been termed as alternative scales, which can be time, usage or a combination  
51 of time and usage as a scale.

## 52 **1.2 Related work**

53 The use of alternative scales to quantify the failure process and reliability have been first  
54 investigated by Kordonsky and Gertsbakh (1995a, 1995b, 1997) in the context of airline industry.  
55 They proposed a linear / additive combination of a number of scales such as calendar time, flight  
56 time, the number of flights or landings and take offs for aircraft, to model the reliability of aircraft.  
57 Though these systems are repairable they treat the systems as non-repairable in their analysis. They  
58 use co-efficient of variation to distinguish between scales to identify the better scale for modelling  
59 their failure process and reliability. Their ideas of additive combination of scales and least variation  
60 with respect to failure times as the criteria for selection of better scale can be extended to develop  
61 similar concepts for a repairable system.

62 Duchesne and Lawless (2000) carried out an exhaustive study on alternative scales for non-  
63 repairable systems. They have stated that the qualities required for a good alternative scale are,  
64 *relevance* in scientific terms, which captures most of the variation in failure times under varying  
65 usage measures. These hold good in the context of repairable systems also and can be used define  
66 the criteria to select the better alternative scale to model the system's reliability and failure intensity.  
67 They also indicated that the effects of varying environmental conditions can also be considered while  
68 formulating the alternative scales. These can also be extended to the case of a repairable system.

69 Alternative scales were first proposed for repairable systems in the context of cars where two  
70 dimensional data, times and mileages at failure are available. Lawless et al. (1995) proposed a single

71 combined alternative scale consisting of a multiplicative combination of time and mileage to address  
72 the first failure times of multiple cars. Lawless et al. (2009) applied this alternative scale to model all  
73 the failure times of multiple cars in the context of automotive warranties, where multiple failure data  
74 is available at each failure time. They do not address the use of this scale for a single / individual car  
75 where the sparse data available makes the estimation of the parameters more difficult. Ahn et al.  
76 (1998) redressed this by using the alternative scale proposed by Lawless (1995) for six individual  
77 cars. However, they did not carry out simultaneous estimation of the parameters while using the  
78 method of maximum likelihood. The above papers have not considered an imperfect repair process  
79 which is the more general process for modelling repairable systems. Krivstov and Frankstein (2006)  
80 use the alternative scale developed by Lawless et al. (1995) and conclude that the most important  
81 criterion in deciding the alternative scale is the engineering relevance of the failure mode, random  
82 failures being reflected through time and wear out / deterioration being reflected through mileage.  
83 None of the above papers have provided a distinct methodology to identify the better scale for a car.

### 84 **1.3 Novelty and contribution**

85 In the existing literature, alternatives scales, other than the one proposed by Ahn et al. (1998),  
86 have not been proposed for an individual repairable system. No attempt has been made to develop  
87 and apply different alternative scales to the failure data of a repairable system. The proposed scales  
88 have not been used in modelling imperfect repair, which are more applicable to repairable systems.  
89 In the literature, little research has been found on how to identify and choose which alternative scale  
90 is a better scale in assessing the failure process of a repairable system.

91 These issues are dealt with in this paper. The paper proposes different alternative scales for an  
92 individual/single repairable system as opposed to multiple repairable systems. It then proposes a  
93 method to determine which alternative scale is a better one to model its failure process and  
94 reliability. The paper also extends the concepts associated with such alternative scales as proposed  
95 by Duchesne and Lawless (2000) to a repairable system. This methodology can in turn be easily

96 extended to the case of multiple repairable systems with or without heterogeneity and also for non-  
97 repairable systems.

## 98 **1.4 Overview**

99 The remainder of this paper is structured as follows. Various alternative scales for modelling the  
100 failure process and reliability of a repairable system are proposed in section 2. Section 3 incorporates  
101 these alternative scales into the reliability and intensity functions of a repairable system. Section 4  
102 provides methods for parameter inference. Section 5 applies the developed models to the failure data  
103 of individual repairable systems to identify the better alternative scale. Section 6 concludes the paper.

## 104 **2. Alternative scales**

105 As discussed above, the reliability and failure intensity of a repairable system can be modelled in  
106 terms of a time scale, usage scale or their combination, which may form alternative scales in  
107 reliability models for a repairable system.

108 The usage measures chosen here are external to the system in which failure takes place and are  
109 dynamic i.e., they vary in time. Internal measures of system condition / deterioration are not  
110 considered here. These lead to joint distributions with failure times and the convolution of  
111 distributions and are to be dealt with separately.

112 The measures can be considered as global or local measures. A global measure is defined as the  
113 time or the cumulative usage since time zero (when the system is new). A local measure is defined as  
114 the time or the cumulative usage since its last failure. Time here is considered as working or  
115 operating and repair times are ignored (Lindqvist 2006).

116  $T_i$  is the time to the  $i$ th failure and  $X_i$  is the time duration between the  $(i - 1)$ th and the  $i$ th  
117 failures. Hence.  $T_i$  is a global scale and  $X_i$  is a local scale.

118 An alternative scale can thus be proposed in terms of a single measure, time or usage or their  
119 combination. When considered in terms of a single measure, the measure is a primary measure.

120 When considered in terms of two measures, one measure is treated as a primary measure and the  
121 second as a concomitant measure. This has an advantage when comparing the models for  
122 performance measures, as the models can be compared in terms of the primary measure. Usually  
123 time is taken as the primary measure.

124 An alternative scale can thus be represented in terms of two measures, time and usage as:

$$125 \quad t^Z = \phi(t, Z(t)), \quad (1)$$

126 where  $t^Z$  is the alternative scale, which is a measure of the system condition and is a function  $\phi$  of the  
127 primary measure  $t$  and concomitant measure  $Z(t)$ .

128 The function  $\phi(\cdot)$  may take different forms basic, combined additive form or combined  
129 multiplicative form, as shown below leading to different alternative scales.

130 A basic alternative scale is given by:

$$131 \quad t^Z = \phi_1(t) \quad (2)$$

132 or

$$133 \quad t^Z = \phi_2(Z(t)) \quad (3)$$

134 A combined additive alternative scale is given by:

$$135 \quad t^Z = \phi_3(\alpha t + \beta Z(t + \omega)), \quad (4)$$

136 where  $\alpha, \beta$ , and  $\omega$  are parameters.

137 A combined multiplicative alternative scale is given by:

$$138 \quad t^Z = \phi_4(t \times Z(t)). \quad (5)$$

## 139 **2.1 Basic alternative scales**

140 A basic alternative scale is proposed below, by considering time or usage as the primary scale  
141 forming a one dimensional scale.



142 Consider that the failures of a system take place at times  $t_i, i = 1, 2, 3, \dots, n$  or usage measure  
 143  $m_i, i = 1, 2, 3, \dots, n$ , given  $n$  failures

144 Four basic alternative scales based on global time  $t$ , local time  $(t - T_{N_i^-}) = x$ , global usage measure  
 145  $m$ , and local usage measure  $(m - M_{N_i^-}) = w$ , are proposed here respectively as:

146 
$$t^{Z_1} = t, \tag{6}$$

147 
$$t^{Z_2} = \sum_{i=1}^n x_i + x, \tag{7}$$

148 
$$t^{Z_3} = m, \tag{8}$$

149 and

150 
$$t^{Z_4} = \sum_{i=1}^n w_i + w. \tag{9}$$

151

152 **2.2 Combined alternative scales**

153 A combined alternative scale is proposed with time as the primary measure and usage / usage  
 154 rate as the concomitant measure i.e., forming a two/multi-dimensional scale by assigning a weightage  
 155 parameter  $\gamma$  to each of the scales. The concomitant measure, is considered as a collapsible measure,  
 156 which is described by its end value only and the path taken to reach this value is not considered. Thus  
 157 if usage is considered as a collapsible measure, the usage  $Z(t)$  is considered as the value of  $z$  at  $t$  only  
 158 i.e.,  $z_i$  at  $t_i$ . This will provide flexibility in the use of alternative scales, providing easy tractability  
 159 without affecting model properties.

### 160 2.2.1 Usage Rate as a concomitant measure

161 The usage rate instead of usage is considered as the concomitant measure for combined  
162 multiplicative alternative scales. This has an advantage when using the reliability models with these  
163 scales for prediction purposes.

164 When the collapsibility of the usage measure is considered, i.e., its values  $m_i$  at  $t_i$  and  $m_{i-1}$  at  $t_{i-1}$   
165 are considered, then the local usage rate  $u_i$  is given by:

$$166 \quad u_i = \frac{m_i - m_{i-1}}{t_i - t_{i-1}} = \frac{w_i}{x_i}. \quad (10)$$

167 This leads to averaging out of the fluctuations in the usage between failures and arriving at an  
168 average linear usage between failures, which is a reasonable assumption for repairable system  
169 failures.

170 The combined scale has two components time and usage rate. To obtain the expected time to next  
171 failure using a combined scale the value of usage rate i.e., the usage at this expected time to next  
172 failure is to be known a-priori which is not possible. To overcome this problem, we make use of the  
173 available information on usage / usage rate prior to this failure. If at any given time that has already  
174 elapsed prior to the future failure, the value of  $m$  at  $t$  is known, i.e., the usage at that time is known,  
175 the usage rate can be obtained from Eq. (10). It can be reasonably assumed that this value will be the  
176 same at the next failure and used to estimate the expected time to next failure.

### 177 2.2.2 Additive combinations of basic alternative scales

178 Two combined alternative scales, which are of additive forms, are proposed here. Usage is  
179 considered as the concomitant measure. An additive combination of time and usage form the scale  
180 with  $\gamma$  as the, weight of the age, parameter.

181 Considering global time  $t$  as the primary measure and global usage  $m$  as the concomitant  
182 measure, the combined alternative additive scale is proposed as:

183 
$$t^{Z_5} = \sum_{i=1}^n ((1-\gamma)(t_i - t_{i-1}) + \gamma m_i) + (1-\gamma)(t - t_n) + \gamma m, \quad (11)$$

184 Similarly, considering local time  $(t - T_{N_i^-}) = x$  as the primary measure and local usage  
 185  $(m - M_{N_i^-}) = w$  as the concomitant measure, the combined alternative additive scale is proposed as:

186 
$$t^{Z_6} = \sum_{i=1}^n ((1-\gamma)x_i + \gamma w_i) + (1-\gamma)x + \gamma w. \quad (12)$$

187 In Eqs. (11) and (12), if  $\gamma = 0$ ,  $t^Z$  reduces to a time scale. If  $\gamma = 1$ ,  $t^Z$  reduces to a usage scale. For  
 188 any other value of  $\gamma$ ,  $t^Z$  gives a combined alternative additive scale of time and usage.

189 These scales have an inherent disadvantage while they are used for a prediction purpose. This is  
 190 because the values of usage at the time to next failure will not be known a priori. Hence these need  
 191 to be extrapolated, considering the usage rate at the previous failure or at any elapsed time prior to  
 192 the next failure where the usage rate value can be obtained. It can be reasonably assumed that this  
 193 usage rate will prevail at the next failure and its mileage arrived at by multiplying this usage rate with  
 194 the time to next failure.

195 **2.2.3 Multiplicative combinations of basic alternative scales**

196 Four combined alternative scales, which are of multiplicative forms, are proposed here. In this  
 197 sub section, usage rate is considered as the concomitant measure. A multiplicative combination of  
 198 time and usage rate forms the scale with  $\gamma$  as the, weight of the age, parameter.

199 Considering global time  $t$  as the primary measure and local usage rate  $u$  as the concomitant  
 200 measure, two combined alternative multiplicative scales are proposed as:

201

202 
$$\begin{aligned} t^{Z_7} &= \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma + (t - t_n) u^\gamma \\ &= \sum_{i=1}^n (t_i - t_{i-1})^{1-\gamma} (m_i - m_{i-1})^\gamma + (t - t_n)^{1-\gamma} (m - m_n)^\gamma \end{aligned}, \quad (13)$$

203 and

$$\begin{aligned} 204 \quad t^{Z_8} &= \sum_{i=1}^n (t_i - t_{i-1}) (\exp(\gamma u_i)) + (t - t_n) (\exp(\gamma u)) \\ &= \sum_{i=1}^n (t_i - t_{i-1}) (\exp(u_i))^\gamma + (t - t_n) (\exp(u))^\gamma \end{aligned} \quad (14)$$

205 Similarly, if we consider local time  $(t - T_{N_r^-}) = x$  as the primary measure and the local usage rate

206  $u$  as the concomitant measure, two combined alternative multiplicative scale are proposed as:

207

$$208 \quad t^{Z_9} = \sum_{i=1}^n x_i u_i^\gamma + x u^\gamma = \sum_{i=1}^n x_i^{1-\gamma} w_i^\gamma + x^{1-\gamma} w^\gamma \quad (15)$$

209 and

$$210 \quad t^{Z_{10}} = \sum_{i=1}^n x_i (\exp(\gamma u_i)) + x (\exp(\gamma u)) = \sum_{i=1}^n x_i (\exp(u_i))^\gamma + x (\exp(u))^\gamma \quad (16)$$

211

212 The combined alternative multiplicative scales proposed in Eqs. (13) and (15), and Eqs. (14) and  
213 (16) are essentially the same scales. Eqs. (13) and (14) are formulated in terms of global times to  
214 facilitate the use of global time intensity and reliability functions. Eqs. (15) and (16) are formulated  
215 in terms of local times.

216 In addition to its usefulness for prediction purposes, the advantage of using usage rate in Eqs.  
217 (13) and (15) also includes: if  $\gamma = 0$ ,  $t^Z$  reduces to a time scale; if  $\gamma = 1$ ,  $t^Z$  reduces to a usage scale.  
218 For any other value of  $\gamma$ , it gives a combined alternative additive scale of time and usage together.

219 In Eqs. (14) and (16) exponential function values of the usage rate are considered as the  
220 concomitant measure. These will be useful when the usage measure values are very high compared  
221 to the time measure values. At the same time the values shall lie in the positive quadrant only.

222 For Eqs. (14) and (16) for a value of weight parameter  $\gamma = 0$  the combined alternative  
223 multiplicative scale collapses to a time scale and for any other value of  $\gamma$  it gives a combined  
224 alternative additive scale of time and usage together.

225 Combined alternative scales can be formulated with global usage rate also as a concomitant  
226 measure. Such alternative scales are not considered here.

### 227 3. Modelling of reliability and intensity functions with alternative scales

228 Having developed alternative scales for measuring the failures in a repairable system, the failure  
229 intensity function and reliability function of the repairable system is defined in terms of these scales  
230 in this section.

231 The reliability of a repairable system can be defined as a function of the alternative scales:

$$232 R(t | H_{t^-}) = G(t^Z). \quad (17)$$

233 As such, the conditional intensity process  $\lambda(t | H_{t^-})$  is given by:

$$234 \lambda(t | H_{t^-}) = -\frac{dt^Z}{dt} dG(t^Z). \quad (18)$$

235 Point processes are generally used for modelling the reliability of repairable systems. Ascher  
236 (2008) states that the most plausible first order model to deal with the reliability of repairable  
237 systems is the non-homogeneous Poisson process (NHPP). This process considers that repair has no  
238 effect on the failure intensity. The NHPP with a power law process is considered here for modelling  
239 the failure process of a repairable system.

240 This, however, is an extreme case. Repair has some effect on the failure intensity and this effect  
241 is captured by a factor known as maintenance effectiveness in general/imperfect repair models.  
242 Times between failures of a system with imperfect repair may be the virtual age model such as Kijima  
243 1 and 2 models of Kijima (1989), and times to failures of a system with imperfect repair can be  
244 Arithmetic Reduction of Intensity (ARI) models of Doyen and Gaudoin (2004). There are a large

245 number of other general/imperfect repair models which can also be considered for modelling these  
 246 alternative scales, for example, Syamsundar et al. (2011), Doyen et al. (2017), Wu and Scarf (2017),  
 247 Wu (2019), among others.

248 In all the above point process models, the time scale is replaced with alternative scales to form  
 249 failure intensity, reliability functions, and models for a repairable system with alternative scales.

250 In the following sub-sections, modelling of the failure process of a repairable system using the  
 251 above-proposed alternative scales is developed.

### 252 3.1 Minimal repair model with an alternative scale

253 Minimal repair, whose repair effectiveness is as bad as old (ABAO), restores a system under repair  
 254 to the same state or condition of the system, immediately before it failed. Minimal repair is modelled  
 255 by a non-homogeneous Poisson process (NHPP) with its conditional intensity function being a  
 256 function of the global time of the system given by  $\lambda_0(t)$ , Incorporating an alternative scale  $t^Z$  in the  
 257 place of the usual time scale, the conditional intensity function as per Eq. (18) of the minimal repair  
 258 model is given by:

$$259 \quad \lambda(t | H_{t^-}) = \lambda_0(t^Z) \frac{dt^Z}{dt}, \quad (19)$$

260 where the intensity failure function  $\lambda_0(t^Z)$  can be a power law process or a log-linear process.

261 The intensity function of the NHPP in Eq. (19), with the alternative scale

262  $t^{Z\gamma} = \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma + (t - t_n) u^\gamma$  as proposed in Eq. (13), and power law process, is given by:

$$263 \quad \lambda(t | t_i) = u_{i+1}^\gamma \alpha \beta \left( \sum_{k=1}^i u_k^\gamma (t_k - t_{k-1}) + u_{i+1}^\gamma (t - t_i) \right)^{\beta-1}, \quad (20)$$

264 and

265 
$$\lambda(t_{i+1} | t_i) = u_{i+1}^\gamma \alpha \beta \left( \sum_{k=1}^{i+1} u_k^\gamma (t_k - t_{k-1}) \right)^{\beta-1}$$

(21)

$= u_{i+1}^\gamma \alpha \beta (t_{i+1}^z)^{\beta-1}.$

266 The cumulative intensity function is given by:

267 
$$\Lambda(t_{i+1} | t_i) = u_{i+1}^\gamma \int_{t_i}^{t_{i+1}} \alpha \beta \left( \sum_{k=1}^i u_k^\gamma (t_k - t_{k-1}) + u_{i+1}^\gamma (t - t_i) \right)^{\beta-1} du$$

(22)

$= \alpha \left( \left( \sum_{k=1}^{i+1} u_k^\gamma (t_k - t_{k-1}) \right)^\beta - \left( \sum_{k=1}^i u_k^\gamma (t_k - t_{k-1}) \right)^\beta \right) = \alpha \left( (t_{i+1}^z)^\beta - (t_i^z)^\beta \right).$

268 The conditional failure density function is given by:

269 
$$f(t_{i+1} | t_i) = u_{i+1}^\gamma \alpha \beta (t_{i+1}^z)^{\beta-1} \exp\left(-\alpha \left( (t_{i+1}^z)^\beta - (t_i^z)^\beta \right)\right).$$

(23)

### 270 3.2 Models with alternative scales and covariates

271 Repairable systems are subjected to varying levels of environmental conditions in the form of,  
 272 stress, temperature, pressure or other factors related to their design, operation or maintenance, all  
 273 of which may affect the failure process of the systems. This additional information that affects the  
 274 failure process of the systems can be incorporated as covariates to the alternative scales of the  
 275 intensity function of the failure process of a repairable system. These covariates are deemed to act  
 276 multiplicatively on the system's failure intensity using a suitable link function.

277 An alternative scale with other covariates can be represented as:

278 
$$t^Z = \phi(t, (Z(t), W(t))),$$

(24)

279 where  $W(t)$  is a function of the covariates influencing the failure process of the system.

280 The conditional intensity function with covariates using a multiplicative exponential link function  
 281 can then be given by:

282 
$$\lambda(t | H_{t^-}) = \frac{dt^Z}{dt} \lambda(t^Z) \exp(\eta W(t)),$$

(25)

283 where  $\eta$  is a weight parameter of the covariates.

284 Such models are not considered further in this paper and would be the subject of future work in  
 285 this area.

#### 286 4. Parameter Inference

287 The most common and widely used method of inferring the parameters of the failure process of a  
 288 repairable system is the method of the maximum likelihood estimation, see Lindqvist (2006), for  
 289 example.

290 The likelihood function of a minimal repair model with alternative scale

291  $t^{Z_\gamma} = \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma + (t - t_n) u^\gamma$  as proposed in Eq. (13) for a failure truncated process, and power

292 law process is given by:

$$L(\theta | data) = \alpha^n \beta^n u_1^\gamma (t_1^z)^{\beta-1} \prod_{i=1}^{n-1} \left( u_{i+1}^\gamma (t_{i+1}^z)^{\beta-1} \right) \exp(-\alpha (t_1^z)^\beta) \exp\left(-\alpha \sum_{i=1}^{n-1} \left( (t_{i+1}^z)^\beta - (t_i^z)^\beta \right)\right). \quad (26)$$

294 The likelihood function of an Arithmetic Reduction of Intensity model with memory 1 (ARI<sub>1</sub>)

295 model with the alternative scale  $t^{Z_\gamma} = \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma + (t - t_n) u^\gamma$  as proposed in Eq. (13) for a failure

296 truncated process, and the power law process is given by:

$$L(\theta | data) = \alpha^n \beta^n u_1^\gamma (t_1^z)^{\beta-1} \prod_{i=1}^{n-1} u_{i+1}^\gamma \left( (t_{i+1}^z)^{\beta-1} - \rho (t_i^z)^{\beta-1} \right) \exp(-\alpha (t_1^z)^\beta) \exp\left(-\alpha \sum_{i=1}^{n-1} \left( (t_{i+1}^z)^\beta - (t_i^z)^\beta \right) - \beta \rho (t_i^z)^{\beta-1} (t_{i+1}^z - t_i^z) \right). \quad (27)$$

298 The likelihood functions of other models can be developed in a similar way.

299 Through maximizing  $L(\theta|data)$  in Eq. (27), one can obtain the parameters.



300 The usual criteria for checking the model with the better fit to the failure data is to look at the log  
301 likelihood values. The model with the maximum log likelihood estimate is considered as the model  
302 with the better fit.

303 A better check for models will be the Akaike likelihood criterion (AIC), which penalises the log  
304 likelihood of a model with more parameters in the model. This is done to avoid adjusting for the  
305 problem of better fit when the model has more parameters and thus provides a better criterion for  
306 comparison of the models. The criterion (Akaike, 1973) is given by;

$$307 \quad AIC(k) = -2 \ln L + 2k, \quad (28)$$

308 where  $k$  is the number of parameters of the model

309 The model with the minimum AIC estimate is considered as the model with the better fit.

310 This methodology cannot be considered for comparing models using two different measures or  
311 more for the scale of the failure process of a system. Here a check can be made based on the scale  
312 which captures most of the variation in the failure times. For this a check for fit is made by looking at  
313 the variation between failure numbers and the estimated cumulative intensity, by comparing the sum  
314 of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values for all the models. The model with the least variation as  
315 indicated by a lower value of the sum of squared distance is deemed to be the model with the better  
316 fit.

317 A check for a better fit can also be obtained graphically by plotting the estimated cumulative  
318 intensity of the model along with failure numbers versus alternative scale. The model giving the  
319 closest fit to the failure numbers versus alternative scale provides the better fit.

320 Another graphical check for fit can be obtained by plotting the normalised alternative scales vs  
321 number of failures. The global alternative scales are normalised as given below:

$$322 \quad t_{i[N]}^N = t_i / t_n, \quad (29)$$

$$323 \quad m_{i[N]}^N = m_i / m_n, \quad (30)$$

324 and

$$325 \quad t_{i[N]}^Z = t_i^Z / t_n^Z, \quad (31)$$

326 where  $t_{i[N]}^N$ ,  $m_{i[N]}^N$ , and  $t_{i[N]}^Z$  are the normalised alternative scales.

327 This will indicate whether there is a variation between the primary and concomitant scale or not.

328 Apart from this, it will indicate whether the combined alternative scale is closer to the primary or the

329 concomitant scale.

## 330 **5. Applications of the proposed alternative scales**

331 Failure data of single repairable systems are studied to determine which alternative scale, time or

332 usage or a combined alternative scale incorporating both these measures, is a better one to assess

333 the reliability of these systems. Those failure data include Excavator Engines from Yang et al. (2016)

334 in calendar time and working time, AMC Ambassador Cars from Ahn et al. (1998) in time and mileage,

335 and Trucks from Fuqing et al. (2017) in time and loading x distance.

### 336 **5.1 Analysis of the failure data of Excavator Engines**

337 Yang et al. (2016) provide data on the times between failures and working hours at failure for

338 three Excavator Engines. Excavator Engine 1 has long working time, Engine 2 has medium working

339 time and Engine 3 has short working time. Times between failures are in calendar time and may

340 include maintenance or idle times. Usually when one applies models to the failure times, the

341 maintenance times are ignored and the working or operational time is considered as the time scale.

342 It is proposed to study this data set of failure times of the Excavator Engines using the ten

343 alternative scales given in Eqs, (6)-(9), and (11)-(16) with the usage rate as considered in Eq. (10).

344 The best fit model in each of the alternative scales shown in Eqs. (6)-(9) are fitted to the Excavator

345 Engines failure data with log likelihood, AIC and the sum of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values

346 are shown in Table 1. Based on this, it can be seen that calendar time provides a better fit to the failure

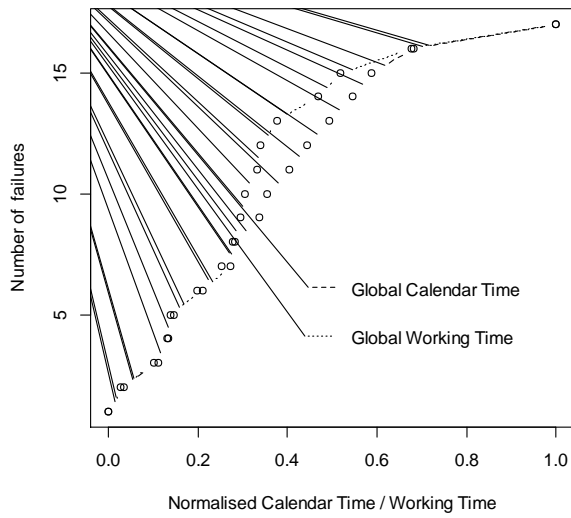
347 data for Excavator Engines 1 and 3 and either scale can provide a better fit to the failure data of  
 348 Excavator Engine 2. This goes against the conventional wisdom that working or operational time is  
 349 the best alternative scale for all systems.

350 Table 1 – Values of log likelihood, AIC for models with different alternative scales fitted to the  
 351 excavator engine failure times data.

352

Excavator Engine	Time/Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
1	Calendar Time	ARI $_{\infty}$ with PLP baseline	$t^{Z_1} = t$	-109.45	224.90	<b>24.80</b>
	Working Time	ARI $_{\infty}$ with PLP baseline	$t^{Z_3} = m$	-89.6	185.20	39.20
2	Calendar Time	Kijima II with PLP baseline in local time	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-88.70	183.40	<b>45.06</b>
	Working Time	Kijima II with PLP baseline in local time	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-70.31	146.62	<b>45.47</b>
3	Calendar Time	Kijima II with PLP baseline in local time	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-60.86	127.72	<b>13.04</b>
	Working Time	Kijima II with PLP baseline in local time	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-45.27	96.54	14.63

353



354

355 Fig. 1 – Plot of Normalised Alternative scales–  
 356 Global Calendar Time / Global Working Time vs  
 357 Number of Failures for Excavator Engine 1.

358

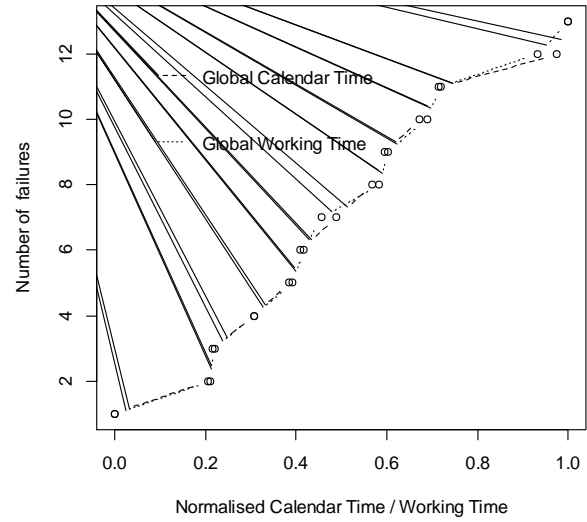
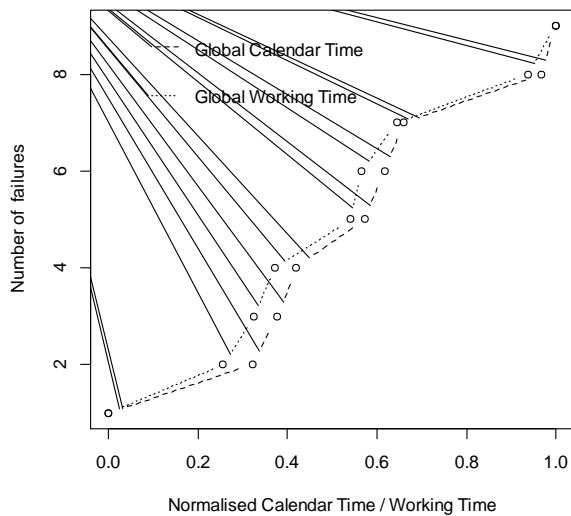


Fig. 2 – Plot of Normalised Alternative scales–  
 Global PLP Calendar Time / Global Working  
 Time vs Number of Failures for Excavator  
 Engine 2.



359

360 Fig. 3 – Plot of Normalised Alternative scales–  
 361 Global Calendar Time / Global Working Time vs

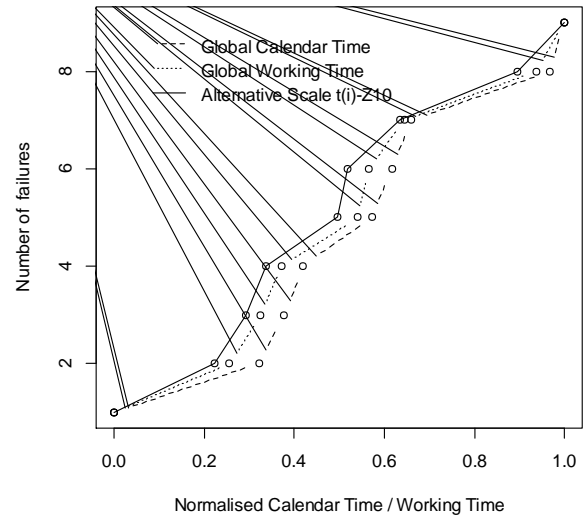


Fig. 4 – Plot of Normalised Alternative scales–  
 Global PLP Calendar Time / Global Working

362 Number of Failures for Excavator Engine 3. Time / Alternative scale  $t_i^{Z_{10}}$  vs Number

363 Failures for Excavator Engine 3.

364

365 Normalised alternative scales given in Eqs. (29)-(31) for the Excavator Engine failure data are  
366 plotted vs number of failures in Figs. 1 to 4. For Excavator Engine 1, there is a small variation between  
367 both the scales after the sixth failure. This is reflected in the difference between the sum of squares  
368 values as can be seen from Table 1. The calendar time alternative scale provides the better fit having  
369 the lower sum of squares value.

370 For Excavator Engine 2 it can be seen that both the scales are identical. This is also reflected in the  
371 sum of squares values as seen in Table 1. In this case either scale can provide a better fit to the failure  
372 data. For Excavator Engine 3 there is variation between both the scales however they are close to  
373 each other. This is reflected in the small difference between the sum of squares values as can be seen  
374 from Table 1. The calendar time alternative scale provides the better fit having the lower sum of  
375 squares value.

376 Now the alternative scales given in Eqs. (11)-(16) with usage rate as considered in Eq. (10) are  
377 fitted to the failure data of all the Excavator Engines. Based on AIC, it can be seen that in the case of  
378 Excavator Engine 3, a combined model comes close to providing a good fit to the failure data with  
379 calendar time as the primary scale and local usage i.e., local working time as the concomitant scale.  
380 These values are shown in Table 2. This indicates that there is a possibility that both calendar time  
381 and working or operational time together can form a combined scale in case of failure time data for  
382 repairable systems. In this case as there are only eight failures, being a very small number is probably  
383 the reason a combined alternative scale does not provide a better fit.

384 Table 2 – Values of log likelihood, AIC for models with different alternative scales fitted to

385 Excavator Engine 3 Failure Times Data.

Excavator Engine	Time/Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
3	Calendar Time	Kijima II with PLP baseline in local time	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-60.86	127.72	<b>13.04</b>
	Working Time	Kijima II with PLP baseline in local time	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-45.27	96.54	14.63
	Combined	Kijima II with PLP baseline in local time	$t^{Z_{10}} = \sum_{i=1}^n x_i (\exp(u_i))^\gamma + x(\exp(u))^\gamma$	-59.90	127.80	17.31

387

388 The analysis of Excavator Engine failure data indicates that it is not necessary that working or  
389 operational time is the best alternative scale for modelling the failure process. Calendar Time or even  
390 a combined alternative scale that uses both calendar time and working time, with one as primary and  
391 the other concomitant can provide a better alternative scale for modelling the failure process.

## 392 5.2 Analysis of the failure data of AMC Ambassador Cars

393 Ahn et al. (1998) provide data on times between failures and the mileages accumulated by AMC  
394 Ambassador Cars at each of the failure times. They stated that these form two measures of the time  
395 index, dependent on each other, but with the stochastic relation between them possibly having  
396 considerable variation. To incorporate this, they suggested a functional form of synthesising mileage  
397 and failure times into a single time index as:

$$398 \quad t_i^Z = m_i^\gamma t_i^{1-\gamma} \quad (32)$$

399 where  $m_i$  and  $t_i$ ,  $i = 1, 2, \dots, n$  are the  $i$ th failure mileage and  $i$ th failure time respectively. In this case  
 400 if  $\gamma = 0$  then the model is a failure time only model and  $\gamma = 1$ , then the model is a mileage only  
 401 model.

402 They then used an NHPP with the power law model for all the six cars to obtain estimates of the  
 403 parameters. They use two procedures for estimating the parameters. First they use a log likelihood  
 404 procedure to estimate the parameters of the NHPP for various assumed values of  $\gamma$  and obtained the  
 405 parameter set for a better fit model. Then they used a least squares procedure for fitting the mean  
 406 value function of the NHPP to obtain the estimates of the parameters for the better fit model. They  
 407 found that for Cars 1, 2 and 4, the failure time model forms the better fit. For other cars the combined  
 408 mileage – failure time model forms a better fit.

409 They however, ignored the  $dt^z / dt$  term in the conditional intensity of the NHPP model given by  
 410  $\lambda(t | H_{t^-}) = \lambda_0 (t^z) \frac{dt^z}{dt}$ . This has resulted in a non-identifiability problem for estimating all the  
 411 parameters together using the MLE procedure directly. Both the procedures used for estimation are  
 412 not very efficient and the use of only NHPP as a model may not have provided the desired results.

413 Table 3 – Values of log likelihood, AIC for models with different alternative scales fitted to AMC  
 414 Ambassador Cars Failure Times Data.

415

Car	Time/Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
1	Time	ARI $_{\infty}$ with PLP baseline	$t^{z_1} = t$	-86.63	179.26	<b>44.20</b>
	Mileage	ARI $_1$ with PLP baseline	$t^{z_3} = m$	-133.31	272.62	105.48

2	Time	Kijima I with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-69.91	145.82	<b>24.27</b>
	Mileage	NHPP-PLP Global Time	$t^{Z_3} = m$	-116.03	236.06	30.93
3	Time	ARI $_{\infty}$ with PLP baseline	$t^{Z_1} = t$	-96.78	199.56	138.62
	Mileage	ARI $_{\infty}$ with PLP baseline	$t^{Z_3} = m$	-183.35	372.70	<b>26.68</b>
4	Time	ARI $_{\infty}$ with PLP baseline	$t^{Z_1} = t$	-90.90	187.80	<b>13.18</b>
	Mileage	NHPP-PLP Local Time	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-161.56	327.12	24.08
5	Time	Kijima I with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-80.47	166.94	<b>18.90</b>
	Mileage	NHPP-PLP Global Time	$t^{Z_3} = m$	-127.90	259.80	51.49
6	Time	Kijima II with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-83.01	172.02	43.66
	Mileage	Kijima II with PLP baseline	$t^{Z_4} = \sum_{i=1}^n w_i + w$	- 151.80	309.60	<b>8.59</b>

416

417 In place of the alternative scale considered by Ahn et al. (1998) the ten alternative scales at Eqs.  
418 (6)-(9) and Eqs. (11)-(16) are considered with usage rate as considered at Eq. (10).

419 The best model in each of the alternative scales defined in Eqs. (6)-(9) fitted to the Ambassador  
420 Cars failure data with log likelihood, AIC and the sum of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values are  
421 shown in Table 3. It can be seen from the table that time provides a better fit to the failure data of  
422 cars 1, 2, 4, and 5 and mileage better fit for cars 3, and 6.

423 Now the alternative scales defined in Eqs. (11)-(16) with usage rate as considered in Eq. (10) are  
424 fitted to the failure data of all the cars. The log likelihood, the AIC and the sum of squared distances



425  $\sum (\hat{\Lambda}(t) - i)^2$  values are shown in Table 4. Based on the AIC values, it can be seen that in the case of  
 426 Cars 2 and 6, a combined model is seen to provide a better fit to the failure data with time as the  
 427 primary scale and local usage as the concomitant scale.

428 These are then compared using the sum of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values. It can be seen

429 that the combined alternative scale  $t^{Z_9} = \sum_{i=1}^n x_i u_i^\gamma + x u^\gamma = \sum_{i=1}^n x_i u_i^{-0.093} + x u^{-0.093}$  forms a better

430 scale for failure data of Car 2 and local failure mileage scale  $t^{Z_4} = \sum_{i=1}^n w_i + w$  for failure data of Car 6.

431 For Car 2, the alternative scale  $t^{Z_9} = \sum_{i=1}^n x_i u_i^{-0.093} + x u^{-0.093} = \sum_{i=1}^n x_i^{1.093} w_i^{-0.093} + x^{1.093} w^{-0.093}$  is closer to

432 the time scale, as also evidenced by the sum of squares value.

433 Table 4 – Values of log likelihood, AIC for models with different alternative scales fitted to AMC  
 434 Ambassador Cars Failure Times Data.

435

Car	Time/Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
2	Time	Kijima I with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-69.91	145.82	24.27
	Mileage	NHPP-PLP Global Time	$t^{Z_3} = m$	-116.03	236.06	30.93
	Combined	Kijima I with PLP baseline	$t^{Z_9} = \sum_{i=1}^n x_i u_i^\gamma + x u^\gamma$	- 62.71	131.42	<b>7.91</b>
6	Time	Kijima II with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-83.01	172.02	43.66

	Mileage	Kijima II with PLP baseline	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-151.8	309.60	<b>8.59</b>
	Combined	Kijima II with PLP baseline	$t^{Z_{10}} = \sum_{i=1}^n x_i (\exp(u_i))^\gamma$ $+ x(\exp(u))^\gamma$	-80.90	169.80	32.31

436

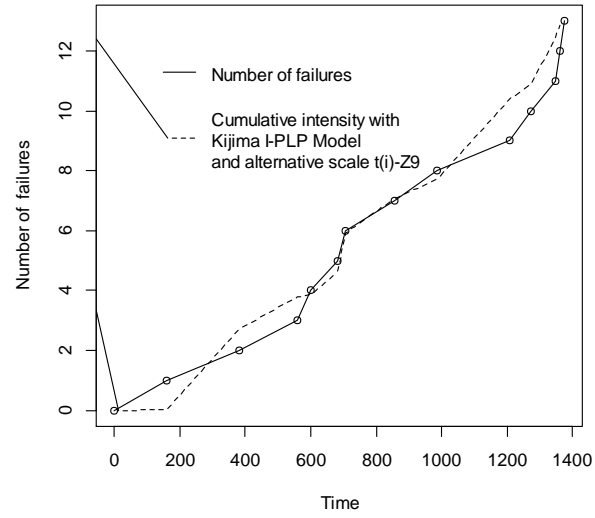
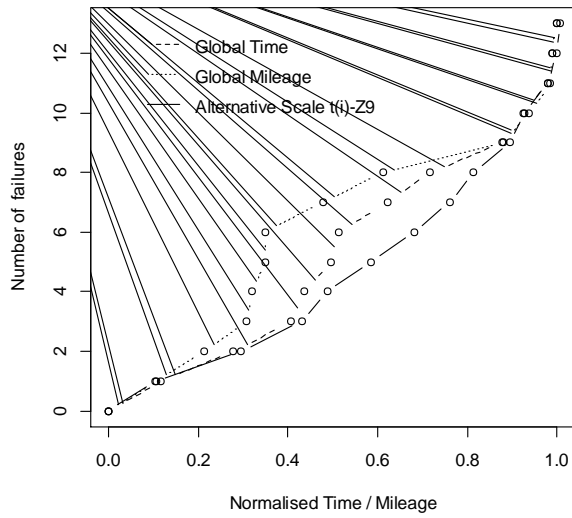
437 Estimated values of the parameters for failure data of Car 2 with alternative scale  $t_i^{Z_9}$  are provided  
 438 in Table 5.

439 Table 5 – Estimated values of the parameters of the alternative scale model Kijima I with PLP  
 440 baseline and alternative scale  $t_i^{Z_9}$  used for AMC Ambassador Car 2 failure data.

441

Parameter	Value
$\hat{\alpha}$	9.19e-09
$\hat{\beta}$	6.97
$\hat{\rho}$	1.54e-01
$\hat{\gamma}$	-9.29e-01
ln L	- 62.71
AIC	131.42
$\sum (\hat{\Lambda}(t) - i)^2$	7.91

442



443

444 Fig. 5 – Plot of Normalised Alternative scales– Global  
 445 Time / Global Mileage / Scale  $t_i^{Z_9}$  vs Number of  
 446 Failures for Car 2.

447

448

449 The best fit alternative scale values of Car 2 are normalised as given at Eqs. (29)-(31) and are  
 450 plotted vs number of failures in Fig. 5. As can be seen from the figure, the combined alternative scale  
 451 with the failure time as the primary scale and the local usage as the concomitant scale provides a  
 452 clear indicator of a deteriorating system with respect to the failure data of car 2, compared to the two  
 453 original scales time and mileage.

454 Plots of the cumulative intensity and the number of failures versus better fit alternative scales are  
 455 given in Fig. 6 for failure data of Car 2. Though it does not provide a very close fit, it provides a better  
 456 fit to the failure data than any other scale.

Fig. 6 – Cumulative intensity of the NHPP  
 PLP model used for AMC Ambassador Car  
 2 failure data with Kijima I-PLP model and  
 Alternative scale  $t_i^{Z_9}$  as the alternative scale.

457 **5.3 Analysis of the failure data of Trucks**

458 Fuqing et al. (2017) provide failure data in terms of times between failures and loading as tons x  
 459 kilometres accumulated at each of the failure times for two trucks. Here the usage itself is a two  
 460 dimensional scale formed by multiplying load with distance. These trucks were used to move ore  
 461 rock and waste rock from Jajaram open-pit Bauxite mine to allocated deposition places.

462 This data set of failure times of Trucks are studied using the ten alternative scales at Eqs. (6)-(9)  
 463 and Eqs. (11)-(16) with the usage rate defined by Eq. (10).

464 The best fit model in each of the alternative scales Eqs. (6)-(9) fitted to the Trucks failure data  
 465 with the log likelihood, the AIC and the sum of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values are shown in  
 466 Table 6, based on which it can be seen that the alternative scale with load provides a better fit to the  
 467 failure data of both the trucks.

468 Table 6 – Values of log likelihood, AIC for models with different alternative scales fitted to  
 469 Trucks Failure Times Data.

470

Truck	Time/ Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
1	Time	NHPP-PLP Global Time	$t^{Z_1} = t$	253.78	511.56	264.93
	Tons x Kms	NHPP-PLP Global Time	$t^{Z_3} = m$	497.67	999.34	<b>258.49</b>
2	Time	Kijima II with PLP baseline	$t^{Z_2} = \sum_{i=1}^n x_i + x$	177.99	361.98	62.77
	Tons x Kms	Kijima II with PLP baseline	$t^{Z_4} = \sum_{i=1}^n w_i + w$	335.19	676.38	<b>59.96</b>

471

472 Now the alternative scales in Eqs. (11)-(16) with the usage rate defined in Eq. (10) are fitted to  
 473 the failure data of all the trucks. The log likelihood, the AIC and the sum of squared distances  
 474  $\sum (\hat{\Lambda}(t) - i)^2$  values are shown in Table 7. Based on AIC values, it can be seen that in the case of both  
 475 the trucks a combined model is seen to provide a better fit to the failure data with time as the primary  
 476 scale and local usage as the concomitant scale.

477 Based on the sum of squared distances  $\sum (\hat{\Lambda}(t) - i)^2$  values the alternative scale

$$478 \quad t^{Z_7} = \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma + (t - t_n) u^\gamma = \sum_{i=1}^n (t_i - t_{i-1}) u_i^{0.092} + (t - t_n) u^{0.092} = \sum_{i=1}^n x_i^{0.08} w_i^{0.92} + x^{0.08} w^{0.092}$$

479 forms a better scale for truck 1 failure data. This is closer to the loading alternative scale as is also  
 480 evidenced by the closer sum of squares values.

481 The timescale

$$482 \quad t^{Z_{10}} = \sum_{i=1}^n x_i \exp(\gamma u_i) + x \exp(\gamma u) = \sum_{i=1}^n x_i \exp(0.00553 u_i) + x \exp(0.00553 u)$$

$$= \sum_{i=1}^n x_i (\exp(u_i))^{0.00553} + x (\exp(u))^{0.00553}$$

483 forms a better scale for truck 2 failure data. This is closer to the loading alternative scale as is  
 484 evidenced by the closer sum of squares values.

485

486 Table 7 – Values of log likelihood, AIC for models with different alternative scales fitted to  
 487 Trucks Failure Times Data.

488

Truck	Time/ Usage	Model	Alternative Time Scale	ln L	AIC	$\sum (\hat{\Lambda}(t) - i)^2$
-------	----------------	-------	---------------------------	------	-----	---------------------------------

1	Time	NHPP-PLP Global Time	$t^{Z_1} = t$	-253.78	511.56	264.93
	Tons x Kms	NHPP-PLP Global Time	$t^{Z_3} = m$	-497.67	999.34	258.49
	Combined	NHPP-PLP Global Time	$t^{Z_7} = \sum_{i=1}^n (t_i - t_{i-1}) u_i^\gamma$ $+ (t - t_n) u^\gamma$	-251.02	<b>508.04</b>	<b>256.06</b>
2	Time	Kijima II with PLP baseline in local time	$t^{Z_2} = \sum_{i=1}^n x_i + x$	-177.99	361.98	62.77
	Tons x Kms	Kijima II with PLP baseline in local time	$t^{Z_4} = \sum_{i=1}^n w_i + w$	-335.19	676.38	59.96
	Combined	Kijima II with PLP baseline in local time	$t^{Z_{10}} = \sum_{i=1}^n x_i (\exp(u_i))^\gamma$ $+ x (\exp(u))^\gamma$	-176.89	361.78	<b>51.40</b>

489

490 Estimated values of the parameters for the trucks with better fit alternative scales are provided  
491 in Table 8.

492 The best fit alternative scale values of truck 1 are normalised as given at Eqs. (29)-(31) and are  
493 plotted vs number of failures in Fig. 7. As can be seen from the Fig. 7, the combined alternative scale  
494 with failure time as the primary scale and local usage as the concomitant scale provides a clear  
495 indicator of an improving system with respect to the failure data of truck 1 as compared to the two  
496 original scales time and mileage.

497 The plot of the cumulative intensity and the number of failures versus the better fit alternative  
498 scale is given in Fig. 8 for failure data of truck 1. It shows a good fit to the failure data and from Fig. 7.

499 The best fit alternative scale values of truck 2 are normalised as given at Eqs. (29)-(31) and are  
 500 plotted vs number of failures in Fig. 9. As can be seen from the figure, the combined alternative scale  
 501 with failure time as the primary scale and local usage as the concomitant scale provides a better fit  
 502 to the failure data of truck 2 as compared to the two original scales time and loading.

503 Plot of cumulative intensity and number of failures versus better fit alternative scale is presented  
 504 in Fig. 10 for failure data of truck 2. It shows a good fit to the failure data. From Fig. 9, it can be seen  
 505 that this is closer to the usage scale as is also evidenced by the sum of square values.

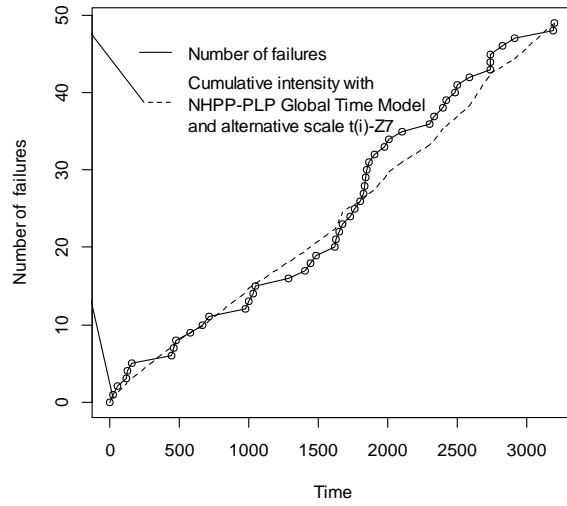
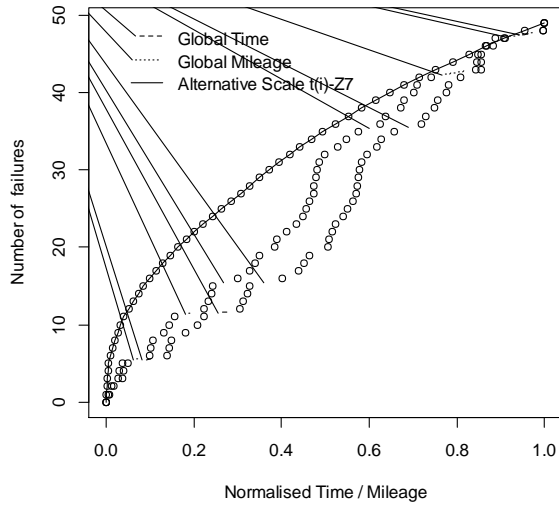
506

507 Table 8 – Estimated values of the parameters of the alternative scale model NHPP-PLP baseline and  
 508 scale  $t_i^{Z_7}$  used for Truck 1 and Kijima II with PLP baseline and scale  $t_i^{Z_{10}}$  used for Truck 2 failure data.

509

Trucks	1	2
Model	NHPP-PLP Global Time	Kijima II with PLP baseline in local time
Alternative scale	$t_i^{Z_7}$	$t_i^{Z_{10}}$
Parameter	Value	Value
$\hat{\alpha}$	1.12e-03	1.35e-07
$\hat{\beta}$	0.84	2.43
$\hat{\rho}$	---	7.52e-01
$\hat{\gamma}$	0.92	5.53e-03
ln L	-251.02	-176.89
AIC	508.04	361.78
$\sum (\hat{\Lambda}(t) - i)^2$	256.06	51.40

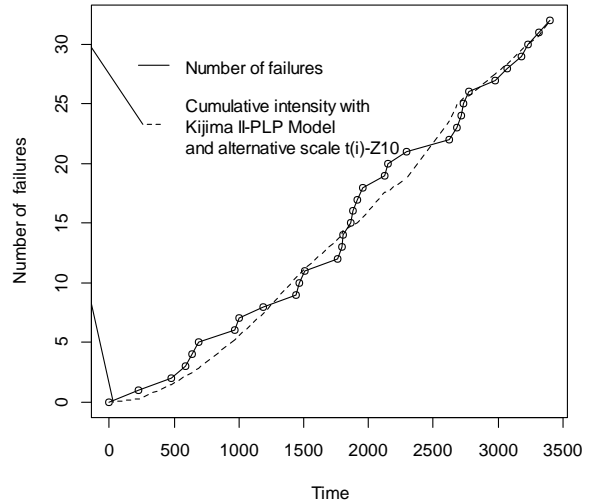
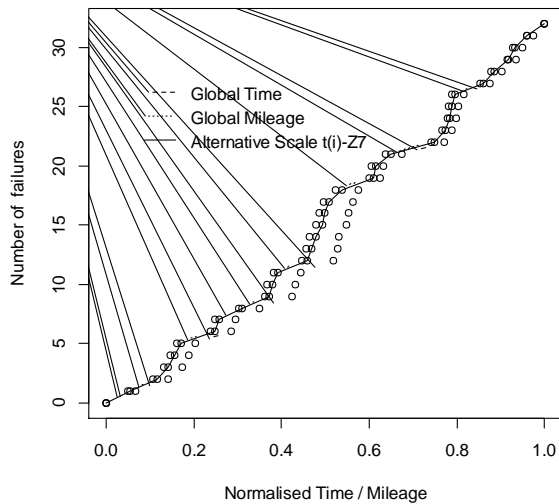
510



511

512 Fig. 7 – Plot of Normalised Alternative scales– Global  
 513 Time / Global Mileage / Scale  $t_i^{Z_7}$  vs Number of  
 514 Failures for truck 1.

515 Fig. 8 – Cumulative intensity of the NHPP  
 PLP model used for truck 1 failure data with  
 NHPP-PLP global time model and  
 alternative scale  $t_i^{Z_7}$  as the alternative scale.



516

517 Fig. 9 – Plot of Normalised Alternative scales– Global  
 518 Time / Global Mileage / Scale  $t_i^{Z_{10}}$  vs Number of

PLP model used for truck 2 failure data with  
 Kijima II-PLP Model and alternative scale  $t(i)-Z10$  as the alternative scale.



519 Failures for truck 2. Kijima II-PLP model and alternative time  
520 Scale  $t_i^{Z_{10}}$  as the alternative scale.

#### 521 **5.4 Findings**

522 The failure process of a repairable system is usually defined as a function of time in the reliability  
523 literature. For systems of the same type during the same time period, their usage can vary from  
524 system to system and their failure processes differ. To assess whether this really happens and how  
525 this affects the failure process of the system, alternative scales have been developed to take into  
526 consideration both usage rates and time. The failure process of a repairable system has been  
527 redefined as a function of the alternative scales. The method to choose better alternative scales to fit  
528 a given failure dataset is suggested.

529 It can be seen from the applications of the alternative scales that usage plays a role in the failure  
530 process. An analysis of the failure data of the excavator engines by Yang et al. (2016) shows that the  
531 calendar time rather than operational time offers a better fit to the data of engines 1 and 3. For engine  
532 2 both the scales provide the same result. This goes against the conventional wisdom that both the  
533 operating time and calendar time can be equated. An analysis of the failure data of ambassador cars  
534 from Ahn et al. (1998) shows that for Car 2 a combined time and mileage scale provides a better fit  
535 to the failure data as compared to either time or mileage based models. For cars 1, 4 and 5 time is a  
536 better indicator of the failure process while for cars 3 and 6, mileage is a better indicator of the failure  
537 process. This is in variance to the results obtained by Ahn et al. (1998) who have indicated that for  
538 cars 1, 2 and 4 time is a better indicator of the failure process and for cars 3, 5 and 6 a combined time  
539 and mileage scale is a better indicator of the failure process. This is probably because their estimation  
540 processes are not very robust and that they have not considered imperfect repair processes for  
541 modelling these scales. For the failure data of trucks from Fuqing et al. (2017), it can be seen that the  
542 combined model of time and load distance proves to be better scale for both the trucks.

543 The results indicate that this is probably due to different failure modes occurring on account of  
544 usage and time, as indicated in Kordonsky and Gertsbakh (1995a) and Krivstov and Frankstein  
545 (2006). For some systems the failures due to usage caused by more rapid deterioration dominate the  
546 failures solely on account of time. For such systems usage may be a better indicator of system  
547 condition and will form a better alternative scale to model the failure process and provide a better  
548 indicator of assessing its reliability. For some systems, multiplicative combinations of scales with  
549 time as the primary measure and usage as the concomitant measure provide better scales to model  
550 the failure process of a system where two different failure modes, both random failures and failures  
551 on account of deterioration, may take place.

552 It has been observed that the additive alternative scales at Eqs. (11) and (12) do not work with  
553 single repairable systems. They only provide a monotonic increase or decrease in log likelihood  
554 values as obtained with the time scale to that obtained with the usage scale and beyond. Also issues  
555 have been observed which hinder the convergence of the log likelihood function. Hence such scales  
556 may not be useful.

557 It has also been observed that the sum of squares values is comparable across models with either  
558 global time or models with local time separately / independently.

559

## 560 **6. Conclusion**

561 In this paper, alternative scales and the method for choice of better alternative scale for a given  
562 set of failure data were developed for a repairable system. It has been observed that the alternative  
563 scales based models proposed in this paper outperform the time scaled based models.

564 There is a large scope for future work in this area. For example, the asymptotic convergence of the  
565 parameters and the properties of the models need investigating. Models with global usage rates as a  
566 concomitant measure can also be considered.

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