ORIGINAL ARTICLE

Management of mineral metabolism in hemodialysis patients: discrepancy between interventions and perceived causes of failure

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Abstract

Background Mineral and bone disorders (MBD) in patients undergoing hemodialysis (HD) are a major clinical complication. Current therapeutic strategies do not attain the expected results. The Italian audit on mineral metabolism was implemented to investigate MBD management through a "patient-oriented" approach.

Methods Clinical and laboratory data pertinent to MBD from 509 prevalent adult patients on chronic HD were recorded and examined (audit), after which individual strategies were elaborated to improve MBD control. Their effectiveness was evaluated 6 months after the audit (Post-6).

Results The audit disclosed poor MBD control in a high percentage of patients (56 %). Low compliance to treatment was the major determinant of failure (in 43.5 % of cases). Logistic regression showed a direct correlation

The members of the IAMM Group are listed in the "Appendix".

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Nephrology, Dialysis and Transplantation Unit, City of Health and Science of Turin, Regina Margherita Children's Hospital, Turin, Italy between high degree of compliance and the achievement of therapeutic targets, e.g. parathyroid hormone: odds ratio (OR) 2.48, p = 0.015. In contrast, a minority of the proposed interventions (14.7 %) included strategies to improve patient compliance. At Post-6, despite a significant increase in drug prescription (p < 0.05 vs. audit), the rate of successful MBD control was unchanged.

Conclusions Low compliance with treatment is a major, but still neglected, cause of failure in the achievement of MBD control in HD patients.

Keywords Clinical audit · Compliance · Hemodialysis · Mineral disorders · Quality improvement

Introduction

Disturbances of mineral and bone metabolism, classified as chronic kidney disease-mineral and bone disorders (CKD-MBD), are common in patients undergoing regular hemodialysis (HD) [1]. These disorders, characterized by altered calcium (Ca), phosphate (P) and parathyroid hormone (PTH) serum levels, are associated with a number of clinical symptoms and complications and have been considered an important risk factor for cardiovascular disease in HD patients [2, 3]. Because of their clinical relevance, control of CKD-MBD is a main target of dialytic strategy and is a thrust for development of new drugs, such as calcimimetics or vitamin D analogues [4]. However, neither tailoring of dialysis to attain strict calcium and phosphate balance nor the availability of novel drugs has significantly improved the overall rate of therapeutic success, so that uncontrolled MBD is increasingly perceived by care stakeholders as an unavoidable condition.

Clinical audits consist in measuring a clinical outcome or process against well-defined standards set on the principles of evidence-based medicine, in order to identify the changes needed to improve the quality of care [5]. While in HD patients clinical audit has already proven its utility in different clinical issues, in the context of MBD control the contribution of audits to improving the achievement of therapeutic targets has never been investigated [6–8]. Therefore an Italian audit on mineral metabolism (IAMM) was planned to identify the barriers to therapeutic success and to guide implementation of quality improvement strategies.

Subjects and methods

Patients and personnel involved

The IAMM project was undertaken in 36 public and private hemodialysis centers located in Italy and was supported by the Italian Society of Nephrology and National Academy of Medicine (ANM). In each center, 20 % of patients were blindly selected by an independent statistician for case examination. Patients had to have been on regular HD for at least 3 months; patients acutely ill or with vascular access dysfunction (defined as failure to attain and maintain an extracorporeal blood flow of at least 200 ml/min) were excluded.

Patient data collection

We recorded the following data for each individual patient on an anonymous patient chart: demographic information, clinical history, dialysis parameters, biochemical and instrumental evaluations and data on pharmacological therapy. The study was conducted in accordance with the Helsinki Declaration, and all patients provided written informed consent to data collection and analysis.

Standard and compliance assessment

Concerning which standard to follow as a target, we decided to leave each dialysis center free to choose between the 2003 Kidney Disease Outcomes Quality Initiative (KDOQI) and the 2009 Kidney Disease: Improving Global Outcomes (KDIGO) guidelines [9, 10]. Each center had to declare the chosen target before the audit. Compliance with prescribed drug treatment was evaluated by administration to each patient of the Simplified Medication Adherence Questionnaire, a tool that has been previously validated in HD patients [11].



Fig. 1 Study design and timetable of the IAMM project

Study design and intervention strategies

The audit started on July 2010 and consisted of four distinct steps (Fig. 1). As a preliminary, we distributed to all personnel participating in the audit a proposal for intervention strategy based on the KDOQI, Canadian Society of Nephrology, and Renal Association guidelines, asking for feedback comments and suggestions. A general consent was obtained on the following general intervention strategy [9, 12, 13]:

- 1. Assessment of individualized diet. Organization of individual meeting to deliver information and advice on dietary phosphate management. Involvement of family and whenever possible support by specialist renal dieticians.
- 2. Modification of dialysis prescription (e.g. calcium dialysate and dialysis dose).
- 3. Optimization of drug therapy, including tactics to improve compliance.

The recommended interventions were formalized in a memorandum and made available for consultation within a week after the audit on a password-protected website (http://sinaudit.accmed.org). Attending physicians were responsible for their applications.

Clinical and laboratory data were collected at the time of the audit meeting (Audit), from July to December 2010, and after 6 months (Post-6).

Statistical analysis

Quantitative variables were represented by mean \pm standard deviation or median and interquartile range (IQR) if they were not normally distributed; qualitative ones by number and percentage. Collected data were compared by means of Chi-square test or Fisher's exact test, as appropriate, for categorical variables or by Student's *t* test or nonparametric Mann–Whitney test in the case of quantitative variables. Comparisons between Audit and Post-6 were done by means of Friedman's or McNemar's test, Wilcoxon test or *t* test for paired samples. All tests were two-sided. Associations among biochemistry MBD parameters, clinical factors and dialysis parameters were assessed fitting logistic regression models. A p value <0.05 was considered statistically significant. Data analysis was performed with STATA statistical package (vers:11; Stata Corporation, College Station, 2010, Texas, USA).

Results

Center and patient data

Of the 36 centers involved in the IAMM project, 19 (53 %) adopted the 2009 KDIGO guidelines, and 17 adopted the 2003 KDOQI guidelines. A total of 509 patient cases were audited, but 72 (14 %) did not complete the 6-month observation (27 were transplanted, 29 died and 16 were transferred to other dialysis units). Patient characteristics are summarized in Table 1.

Status of MBD control

As shown in Table 2, the mean P and Ca levels at time of Audit were 4.6 ± 1.4 and 8.8 ± 1.5 mg/dl, achieving therapeutic targets in 52.8 and 74 % of cases, respectively.

 Table 1
 Basal characteristics of the HD patients involved in the IAMM project

n	509
Male/female	337/172
Age, years	66.1 ± 14.3
Dialytic age, months, median (IQR)	44 (21–77.5)
Diabetic patients, n (%)	99 (19.4)
Dialysis modality	
HD, n (%)	351 (69)
HDF, n (%)	96 (19)
AFB, n (%)	40 (8)
Other, n (%)	22 (4)
Dialysis length (h/week)	11.1 ± 2.3
Dialysate calcium content (mmol/l)	
1.25, n (%)	67 (13.2)
1.5, n (%)	425 (83.5)
1.75, n (%)	10 (2.0)
2, n (%)	7 (1.3)
spKT/V	1.35 ± 0.27

HD hemodialysis, *HDF* hemodiafiltration, *AFB* acetate-free biofiltration, *spKT/V* single-pool KT/V

The mean intact PTH (iPTH) levels were 299 ± 286 pg/ml. In centers adopting the KDOQI guidelines, 38.4 % of patients achieved the iPTH target, while 15.5 % achieved simultaneously Ca, P and iPTH targets. These percentages were higher in centers adopting the KDIGO guidelines, respectively 67.2 and 19.8 %.

Sixty-four patients (12.5 %) presented a history of fractures, while vascular calcifications were recognized by instrumental evaluations [Doppler ultrasonography or computed tomography (CT)-angiography] in 268 (52 %). Table 3 shows the results of logistic regression analysis that highlighted the crucial role of compliance with treatment in the achievement of the therapeutic targets. In particular, compliance with drug treatment was directly associated to the achievement of P and iPTH targets [odds ratio (OR) 2.58, p = 0.005, confidence interval (CI) 1.32-5.04; OR 2.48, p = 0.015, CI 1.19-5.14, respectively]. No significant correlations were found among biochemical parameters and dialysis-related factors, except for Ca serum levels that were higher in patients treated with dialysate containing a lower concentration of calcium-1.25 mmol/l—with respect to those treated with 1.5 mmol/l $(9.4 \pm 0.9 \text{ vs. } 8.7 \pm 1.5 \text{ mg/dl}, \text{ p} < 0.05)$. Patients treated

Table 2Laboratory and treatment parameters collected at basalevaluation (Audit) and after 6 months (Post-6) in the course of theIAMM project

	Audit	Post-6
Number	509	437
Calcium serum levels (mg/dl)	8.8 ± 1.5	8.6 ± 1.5
Patients on calcium target, n (%)	377 (74)	325 (74.3)
Phosphorus serum levels (mg/dl)	4.6 ± 1.4	4.7 ± 1.3
Patients on phosphorus target, n (%)	269 (52.8)	251 (57.4)
iPTH serum levels (pg/ml)	299.7 ± 286.9	305.6 ± 232.5
Patients on iPTH target, n (%)	243 (47.7)	216 (49.4)
Alkaline phosphatase (IU/l)	151.3 ± 121.8	145.3 ± 112.4
Patients undergoing MBD-related pharmacological therapies, n (%)	481 (94.4)	405 (92.8)
Phosphate binders, n (%)	336 (66.0)	374 (85.5)*
Calcitriol (per os), n (%)	164 (32.2)	126 (28.8)
Paricalcitol, n (%)	165 (32.4)	233 (53.3)*
Cinacalcet, n (%)	81 (15.9)	145 (33.2)*
Compliance with medications, n (%)	
Nonadherent	221 (43.3)	193 (44.2)
Adherent	288 (56.7)	244 (55.8)
Specific diet prescribed, n (%)	63 (12.3)	65 (14.8)

Percentages of patients achieving P, Ca and iPTH targets were defined on the basis of the guidelines chosen by each dialysis unit before beginning the audit process

iPTH intact parathyroid hormone

* p < 0.05 vs. Audit

Table 3 Logistic regression analysis of the variables		Variables		OR	95 % CI	р
involved in the achievement of therapeutic targets at the basal evaluation	Calcium on target	Compliance with drugs	No	1.0		
			Yes	0.92	0.47-1.8	0.8
		Use of calcimimetics	No	1.0		
			Yes	0.55	0.34-0.89	0.015
		Dialysate calcium concentration	1.25	1.00		
			more	1.71	1.17-2.51	0.005
	Phosphorus on target	Compliance with drugs	No	1.0		
			Yes	2.58	1.32-5.04	0.005
		Use of phosphate binders	No	1.0		
			Yes	1.05	0.72-1.53	0.8
		Hours/week of dialysis	1 h increase	0.94	0.85-1.03	0.2
		Specific diet prescriptions	No	1.0		
			Yes	0.93	0.54-1.59	0.8
	PTH on target	Compliance with drugs	No	1.0		
			Yes	2.48	1.19–5.14	0.0015
		Use of calcimimetics	No	1.0		
			Yes	1.07	0.64-1.79	0.78
OR odds ratio, CI confidence		Use of paricalcitol	No	1.0		
interval, <i>PTH</i> parathyroid hormone			Yes	1.47	0.98-2.2	0.058

with a dialysate calcium concentration of 1.5 mmol/l or higher also had a significantly higher probability of reaching an adequate calcium control compared to those treated with a dialysate calcium concentration of 1.25 mmol/l (OR 1.71, p = 0.005, CI 1.17–2.5).

Notably, among the drugs used to control hyperparathyroidism only paricalcitol was associated to an increased probability of achieving PTH target, even if this correlation was not statistically significant (OR 1.47, p = 0.058, CI 0.98–2.2).

Intervention strategies

Based on blood levels of indicators, clinical presentation and the presence of calcifications, MBD was considered uncontrolled in 285 (56 %) out of the 509 audited patients. The main causes of poor MBD control were: (1) low compliance with drug treatment (43.5 % of cases), (2) insufficient or excessive drug therapy (30.8 %), (3) inadequate dialysis prescription (15.7 %), and (4) severe comorbidity (10 %).

The most frequent intervention operated by attending physicians was a change in prescribed drug therapy (193 patients, i.e. 67.7 % of the 285 patients that were poorly controlled at the basal evaluation), followed by initiatives aimed to improve dialysis efficacy, e.g. by increasing dialytic dose or modulating dialysate calcium content (50 patients, 17.6 %). Forty-two patients (14.7 %) were referred to nutritional and/or psychological counselling for an

individualized dietary prescription or to improve compliance with drug treatment.

Effects of audit on MBD control

As shown in Table 2, no significant changes occurred after the audit in the achievement of any laboratory target. In contrast, 6 months after the audit there was a significant increase in the phosphate binders, paricalcitol and calcimimetics prescription (p < 0.05 vs. Audit) (Fig. 2). Of note, the number of patients receiving specific nutritional and psychological counselling, as well as the degree of compliance with drug therapy was unchanged throughout the audit process. Similarly, in spite of auditor recommendation, also dialysis prescriptions were not appreciably modified.

In order to determine the factors related to the achievement of therapeutic targets, we repeated the logistic regression analysis with the data collected at Post-6 (Table 4). Interestingly, the results of the regressions were similar to those found when analyzing the basal values. In particular, compliance with treatment confirmed to be the most important factor related to the achievement of therapeutic targets, being directly associated with the achievement of P and iPTH targets (OR 10.5, p < 0.001, CI 4.7–23.4; OR 5.41, p < 0.001, CI 2.5–11.6, respectively), while drug administration continued to have no significant effect.



Fig. 2 Number of HD patients achieving therapeutic targets (**a**) and pharmacological therapy administrated (**b**) during the IAMM project, expressed as percentages. Six months (Post-6) after the basal evaluation (Audit) there was a significant increase in the prescription

of all drug categories (except calcitriol), which did not correspond to an improved control of mineral bone disorders (MBD). *p < 0.05 vs. Audit

Discussion

Although MBD in HD patients is the object of intense research activity that has increased our understanding of the disease, its prevention and treatment still remain unsatisfactory [14, 15]. The present report highlights the obstacles that hamper a successful control of MBD as detected by a straightforward "patient-oriented" approach, i.e. by collecting information from a number of audit procedures structured and designed for the purpose.

First of all, we confirmed the data regarding the difficulty to achieve therapeutic targets, showing that only 15–20 % of the evaluated patients presented Ca, P and PTH values simultaneously controlled [16, 17]. Different reasons might explain the high rate of treatment failure, including the heterogeneity of diagnostic evaluations and therapeutic options adopted in clinical practice. In order to standardize the quality of care, several international guidelines have been released, including the widely credited KDOQI 2003 and KDIGO 2009 guidelines [9, 10].

However, in spite of their popularity, these guidelines give recommendations that are based on weak evidence and their implementation in clinical practice has been shown to be limited [18, 19]. Our audit carried out in 2010–2011 confirms the dominant position of the KDIGO guidelines, but at the same time underlines that in daily clinical practice there is not a generalized consensus on the therapeutic targets (in particular for PTH), as demonstrated

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Table 4 Logistic regression analysis of the variables		Variables		OR	95 % CI	р
involved in the achievement of therapeutic targets at the Post-6 evaluation	Calcium on target	Compliance with drugs	No	1.0		
			Yes	1.24	0.66-2.33	0.5
		Use of calcimimetics	No	1.0		
			Yes	0.85	0.51-1.42	0.5
		Dialysate calcium concentration	1.25	1.00		
			more	6.4	0.37-11	0.2
	Phosphorus on target	Compliance with drugs	No	1.0		
			Yes	10.5	4.7-23.4	< 0.001
		Use of phosphate binders	No	1.0		
			Yes	1.07	0.70-1.63	0.8
		Hours/week of dialysis	1 h increase	0.98	0.91-1.05	0.6
		Specific diet prescriptions	No	1.0		
			Yes	0.69	0.40-1.20	0.2
	PTH on target	Compliance with drugs	No	1.0		
			Yes	5.41	2.51-11.6	< 0.001
		Use of calcimimetics	No	1.0		
			Yes	0.82	0.50-1.35	0.4
OR odds ratio, CI confidence		Use of paricalcitol	No	1.0		
interval, <i>PTH</i> parathyroid hormone			Yes	1.12	0.75-1.66	0.6

by the high percentage of centers still adopting the KDOQI 2003 guidelines. Since the choice of different targets is an important factor in the determination of therapeutic success and clinical management, our data clearly indicate that standardization of care still remains an unsolved problem.

However, in addition to physician- and organizationrelated factors, also patient behavior may influence the quality and the success of care [20]. Toussaint et al. administered to HD patients, nephrologists and dialysis nurses questionnaires evaluating knowledge and awareness of CKD-MBD. Interestingly, both physicians and dialysis nurses considered the low grade of compliance with drug therapy and dietary restrictions as the main determinants of treatment failure [21]. Similarly, our evaluations demonstrated that low adherence to treatments influenced therapeutic success, as also confirmed by logistic regression analysis performed both at basal and Post-6 evaluations, that revealed a significant relationship between the achievement of therapeutic targets and the extent of compliance.

Our audit methodology required that, after identification of the individual factors related to poor CKD-MBD control, the audit teams should elaborate personalized, feasible strategies for each patient, according to a structured intervention algorithm [22]. Such an apparently rational approach, however, was frustrated by an unexpected discrepancy between the analysis of factors accounting for therapeutic failure and the interventions planned. In fact, while low compliance was recognized as the main cause of therapeutic failure, only a minority of patients were provided with interventions specifically addressed at improving the compliance, e.g. by delivering nutritional and psychological counselling or educational initiatives. Rather, most of the interventions were focused on pharmacological therapy.

Consequently, 6 months after the audit we found that, while the degree of drug adherence was unaffected by the interventions, there was a significant increase in the amount of drugs prescribed (mainly paricalcitol and calcimimetics).

This approach was unsuccessful, since the control of MBD parameters did not improve, suggesting that optimization of the pharmacological therapy was more a matter of 'wishful thinking' than a bullet that reaches the target, and structured interventions on compliance were ranked as a top priority to improve MBD. Low adherence to treatment remains an important barrier in the daily clinical practice, not only for MBD but also for many other chronic diseases, such as hypertension and diabetes [23].

Validated tools to evaluate compliance are unavailable and often the personnel involved in the patient care does not have the opportunity or educational skills to carefully evaluate the degree of adherence to the treatment. In fact, while numerous studies show the positive effects on MBD management of educational interventions involving dieticians, dialysis nurses and patients [24-27], it is also manifest that this kind of approach can be time-consuming and could be unsuitable in daily clinical practice.

On the other hand, increased use (in dosage and number) of drugs might appear as a valid way to improve the achievement of clinical target. In recent years, newer treatment options have been introduced into clinical practice (e.g. paricalcitol, calcimimetics), which may affect the management of MBD. The FARO study was a prospective survey performed in an Italian population of HD patients aimed to determine the impact of the newer drugs on achieving K/DOQI targets [28]. The authors evaluated 2,637 patients during an 18-month observational periodfrom April 2006 to October 2007-collecting data on pharmacological treatments and laboratory parameters. They found that during the surveillance period there was a significant increase in the use of paricalcitol and calcimimetics, which was associated to a better control of iPTH and calcium levels and an increased amount of patients reaching therapeutic targets. However, at the end of the study, two-thirds of the patients did not achieve iPTH target levels, while only 11.5 % presented Ca, P and iPTH values simultaneously controlled. Therefore, also these data confirm that increased drug administration, regardless of the awareness regarding compliance to the therapy, although it may be partially effective in some cases, is insufficient to obtain an overall satisfactory rate of therapeutic success [29, 30].

In the design of this study, we were aware of some methodological problems. First of all, adequate therapeutic targets are not so clear in the Nephrology community [31]. Further, since our aim was to investigate the effects of a clinical audit in daily practice we decided to use simplified medical interviews to assess patient compliance, which might be a limitation of our study; but, on the other hand, a sound scientific method to evaluate compliance is still not available [32]. Nevertheless, despite the limitations, we believe that our results could be of help in defining a correct clinical approach to MBD management in HD, indicating that future therapeutic strategies, beyond the development of new drugs, should include the implementation of feasible educational programs addressed to both healthcare personnel and patients.

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Conflict of interest The authors state that this manuscript has not been published previously and is not currently being assessed for publication by any other journal. At the same time, on behalf of all authors, the corresponding author states that there is no conflict of interest.

Appendix: members of the IAMM group

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