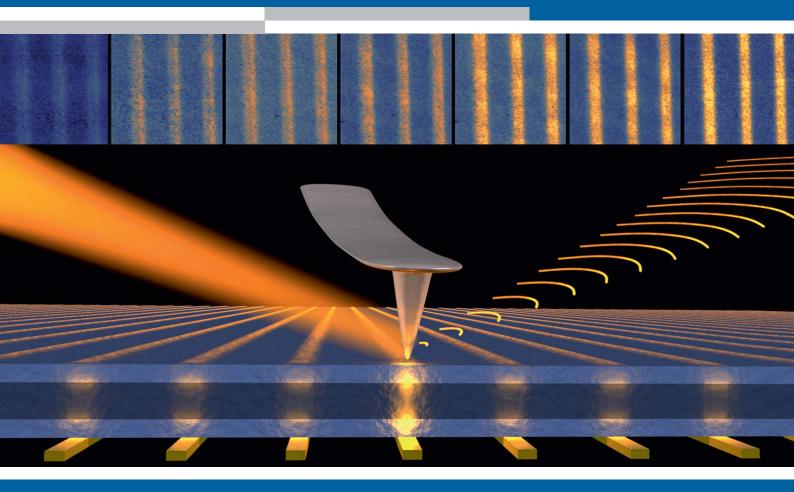
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ANNUAL REPORT 2015

INSTITUTE OF ION BEAM PHYSICS AND MATERIALS RESEARCH



HELMHOLTZ ZENTRUM DRESDEN ROSSENDORF

Wissenschaftlich-Technische Berichte HZDR-069

Annual Report 2015

Institute of Ion Beam Physics and Materials Research

Editors

J. Fassbender, V. Heera, M. Helm, P. Zahn



HELMHOLTZ ZENTRUM DRESDEN ROSSENDORF

Cover Picture

The cover picture illustrates scanning near-field infrared microscopy (SNIM) through a so-called superlens. The superlens is based on a doped GaAs layer, which exhibits a negative permittivity below the plasma frequency. The top row shows images of $36x36 \ \mu\text{m}^2$ of a buried nanostructure formed by gold stripes taken at different infrared wavelengths. In a narrow spectral region around a wavelength of 20 micrometers the image exhibits the best visibility and the highest spatial resolution, far below the diffraction limit. Advantageously, the spectral position of the resonance can be tailored by adjusting the doping level of the GaAs layer. Image: HZDR / M. Fehrenbacher

For further information see: M. Fehrenbacher et al., Nano Letters **15**, 1057 (2015), reprinted at pp. 11–15 of this Annual Report.

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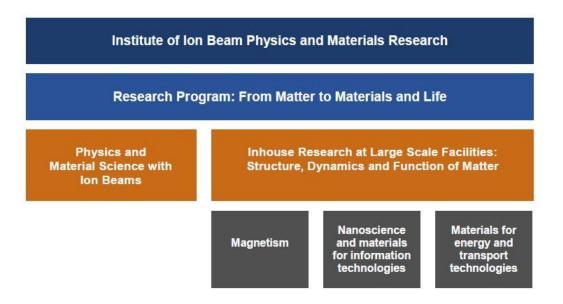
Institute of Ion Beam Physics and Materials Research Bautzner Landstraße 400 01328 Dresden Germany

DirectorsProf. Dr. M. HelmProf. Dr. J. FaßbenderPhone+ 49 (351) 260 2260+ 49 (351) 260 3096Fax+ 49 (351) 260 3285+ 49 (351) 260 3285Emailm.helm@hzdr.dej.fassbender@hzdr.de

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Preface by the directors

After the successful evaluation in 2015 we started research and further development of our largescale facilities, in particular the Ion Beam Center (IBC), in the framework of Helmholtz's Programmeoriented Funding scheme (POF) which coordinates scientific cooperation on a national and international scale. Most of our activities are assigned to the Helmholtz program "**From Matter to Materials and Life**" within the research area "Matter", in cooperation with several other German Helmholtz Centers. Our in-house research is performed in three so-called research themes, as depicted in the schematic below. What is missing there for simplicity is a minor part of our activities in the program "Nuclear Waste Management and Safety" within the research area "Energy".



A few highlights which have been published in 2015 are reprinted in this annual report in order to show the variety of the research being performed at the Institute, ranging from self-organized pattern formation during ion erosion or DNA origami patterning, over ferromagnetism in SiC and TiO₂ to plasmonics and THz-spectroscopy of III-V semiconductors. A technological highlight published recently is the demonstration of nanometer scale elemental analysis in a Helium ion microscope, making use of a time-of-flight detector that has been developed at the IBC. In addition to these inhouse research highlights, also users of the IBC, in particular of the accelerator mass spectrometry (AMS), succeeded in publishing their research on geomorphology in Nepal in the high-impact journal Science (W. Schwanghart et al., Science **351**, 147 (2015)), which demonstrates impressively the added value of transdisciplinary research at the IBC.

In order to further develop the IBC, we have started in 2015 the design and construction of our new low energy ion nanoengineering platform which was highly recommended by the POF evaluators. It will consist of two-dimensional materials synthesis and modification, high-resolution ion beam analysis and high-resolution electron beam analysis and will come into full operation in 2019.

With respect to personnel the Institute was able to attract Dr. Arkady Krasheninnikov and Dr. Denys Makarov within the high-potential program established by the HZDR. Dr. Krasheninnikov became group leader for atomistic simulations of irradiation-induced phenomena within the IBC; Dr. Denys Makarov is group leader for intelligent materials and devices within the magnetism division. Both have established their groups already, hired several PhD students and postdocs, and started to interact with the other groups and divisions of the Institute. In addition, the HGF Young Investigator

Group "Ion beam processed functional materials for spintronics and photovoltaics" led by Dr. S. Zhou was positively evaluated and is now a permanently established group within the semiconductor materials division.

We are very pleased that the research on magnetic domain walls as reconfigurable spin-wave nanochannels by Dr. Helmut Schultheiß, Andreas Henschke, Dr. Thomas Sebastian and Kai Wagner was honored by the HZDR-Forschungspreis 2015, which demonstrates nicely that focused work is already recognized within a short term.

Another great success in 2015 was the approval of the EU-ICT project "lon-Irradiation-Induced Si Nanodot Self-Assembly for Hybrid SET-CMOS Technology" (IONS4SET) coordinated by Dr. J. von Borany and Dr. K.-H. Heinig from our institute. This collaborative project funded by the European Union with 4 million Euro brings together scientists from HZDR, CEA-LETI Grenoble, France, CSIC Madrid, Spain, the Fraunhofer Institute IISB in Erlangen, the Institute for Microelectronics and Microsystems IMM at the CNR in Italy and the University of Helsinki in Finland.

Last but not least, we organized in 2015 the first "German THz Conference" held in the "Dreikönigskirche" with over 120 participants, among them some prominent international invited speakers. Remarkably, 13 companies sponsored this very successful event, which from now on will be held every two years.

Finally, we would like to cordially thank all partners, friends, and organizations who supported our progress in 2015. Special thanks are due to the Executive Board of the Helmholtz-Zentrum Dresden-Rossendorf, the Minister of Science and Arts of the Free State of Saxony, and the Minister of Education and Research of the Federal Government of Germany. Numerous partners from universities, industry and research institutes all around the world contributed essentially, and play a crucial role for the further development of the institute. Last but not least, the directors would like to thank again all IIM staff for their efforts and excellent contributions in 2015.

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Prof. Manfred Helm

Prof. Jürgen Fassbender

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Ou, X.; Heinig, K.-H.; Hübner, R.; Grenzer, J.; Wang, X.; Helm, M.; Fassbender, J.; Facsko, S. **Faceted nanostructure arrays with extreme regularity controlled vacancy by vacancy** Nanoscale, Vol. 7, pp. 18928 – 18935 © The Royal Society of Chemistry 2015 DOI: 10.1039/c5nr04297f

Wang, Y.; Liu, Y.; Wang, G.; Anwand, W.; Jenkins, C.; Arenholz, E.; Munnik, F.; Gordan, O.; Salvan, G.; Zahn, D. R. T.; Chen, X.; Gemming, S.; Helm, M.; Zhou, S.
Carbon *p* Electron Ferromagnetism in Silicon Carbide
Scientific Reports, Vol. 5, 8999-1 – 8999-5
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DOI: 10.1038/srep08999

Teschome, B.; Facsko, S.; Gothelf, K.V.; Keller, A. **Alignment of Gold Nanoparticle-Decorated DNA Origami Nanotubes: Substrate Prepatterning versus Molecular Combing** Langmuir, Vol. 31, pp. 12823 – 12829 © 2015 American Chemical Society DOI: 10.1021/acs.langmuir.5b02569

Yildirim, O.; Cornelius, S.; Butterling, M.; Anwand, W.; Wagner, A.; Smekhova, A.; Fiedler, J.; Böttger, R.; Bähtz, C.; Potzger, K. **From a non-magnet to a ferromagnet: Mn⁺ implantation into different TiO₂ structures** Applied Physics Letters, Vol. 107, pp. 242405-1 – 242405-4 © 2015 AIP Publishing LLC DOI: 10.1063/1.4938069

Eßer, F.; Drachenko, O.; Patanè, A.; Ozerov, M.; Winnerl, S.; Schneider, H.; Helm, M. **Direct determination of the electron effective mass of GaAsN by terahertz cyclotron resonance spectroscopy** Applied Physics Letters, Vol. 107, pp. 062103-1 – 062103-4 © 2015 AIP Publishing LLC DOI: 10.1063/1.4928623

Klingner, N.; Heller, R.; Hlawacek, G.; von Borany, J.; Notte, J.; Huang, J.; Facsko, S. Nanometer scale elemental analysis in the helium ion microscope using time of flight spectrometry

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NANO LETTERS

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Plasmonic Superlensing in Doped GaAs

Markus Fehrenbacher,^{*,†,‡} Stephan Winnerl,^{*,†} Harald Schneider,[†] Jonathan Döring,[‡] Susanne C. Kehr,[‡] Lukas M. Eng,[‡] Yongheng Huo,[§] Oliver G. Schmidt,[§] Kan Yao,^{||} Yongmin Liu,^{||} and Manfred Helm^{†,‡}

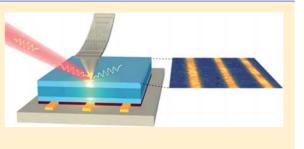
[†]Helmholtz-Zentrum Dresden-Rossendorf, Institute of Ion Beam Physics and Materials Research, Bautzner Landstraße 400, 01328 Dresden, Germany

[‡]Institut für Angewandte Physik, TU Dresden, 01062 Dresden, Germany

[§]Institute for Integrative Nanosciences, IFW Dresden, Helmholtzstrasse 20, 01069 Dresden, Germany

Department of Electrical and Computer Engineering, Northeastern University, Boston, Massachusetts 02115, United States

ABSTRACT: We demonstrate a semiconductor based broadband near-field superlens in the mid-infrared regime. Here, the Drude response of a highly doped n-GaAs layer induces a resonant enhancement of evanescent waves accompanied by a significantly improved spatial resolution at radiation wavelengths around $\lambda = 20 \mu m$, adjustable by changing the doping concentration. In our experiments, gold stripes below the GaAs superlens are imaged with a $\lambda/6$ subwavelength resolution by an apertureless near-field optical microscope utilizing infrared radiation from a free-electron laser. The resonant behavior of the observed superlensing effect is in



excellent agreement with simulations based on the Drude–Lorentz model. Our results demonstrate a rather simple superlens implementation for infrared nanospectroscopy.

KEYWORDS: Superlens, diffraction limit, surface plasmons, near-field microscopy, semiconductor

 \prod n classical optical microscopy, spatial resolution is constrained by the wavelength of the applied radiation, limited by diffraction. In 2000, Pendry proposed that a negative refractive-index material¹ could act as a perfect lens,² which not only focuses propagating waves but in addition reconstructs information contained in the evanescent fields of an object, thereby creating an image with a resolution beyond the diffraction limit. Pendry also showed that in the near-field regime, negative permittivity alone is sufficient to realize a superlens to recover details on a subwavelength scale, which initiated a number of related experiments. While thin planar silver sheets have been demonstrated to be promising candidates for superlens-based UV nanophotolithography,³ near-field investigations of SiC,⁶ perovskites^{7,8} and graphene⁹ reveal imaging capabilities beyond the diffraction limit at infrared wavelengths. Depending on the material, the spectral position and bandwidth of superlensing is determined by its plasma frequency $^{3-5,9}$ and phonon resonances. $^{6-8}$ Consequently, accessible wavelengths are restricted by the limited availability of suitable materials. Various approaches have been proposed to overcome these restrictions. On the one hand, multilayered systems with different phonon resonances¹⁰ and the concept of an "unmatched superlens"¹¹ promise to broaden the operation wavelength range of a superlens. On the other hand, the superlensing wavelength can be tailored by manipulating the electronic properties and, thus, the permittivity of a material. Correspondingly it has been suggested to use doped graphene¹² or metal-dielectric composites¹³ as frequency-adjustable subdiffractive imaging

systems, continuously covering the visible and infrared range by controlling the respective plasma frequencies. Especially relevant to this work, semiconductors have been suggested to be exploited as plasmonic devices^{14–17} where the operational spectral range can be adapted by changing the doping level. However, a superlens consisting of doped semiconductor has not been demonstrated yet.

Our approach to realize a spectrally adjustable plasmonic superlens in the mid- and far-infrared is to use Si-doped GaAs, taking advantage of precisely controllable charge-carrier concentration by standard semiconductor fabrication techniques. Here, the electron density determines the plasma frequency of a conductive layer which in turn determines the superlensing wavelength. In this article, we evidence the performance of such a device by imaging gold stripes below the superlens with a scattering-type scanning near-field optical microscope (s-SNOM)¹⁸ combined with a free-electron laser (FEL).^{7,8,19–21} The observed enhancement of both the near-field signal and the spatial resolution is consistent with theoretical considerations based on the Drude–Lorentz model, taking into account free electrons and optical phonons.²²

Superlensing for evanescent electric fields is related to the excitation of strongly localized surface polariton modes²³ at the interface between two media, A and B, with permittivities of

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same value and opposite sign,²⁴ that is, $\operatorname{Re}(\varepsilon_A) = -\operatorname{Re}(\varepsilon_B)$. In our case, subwavelength resolved images arise due to coupled surface plasmon polariton modes confined at the interfaces between a doped semiconductor layer (B) and its neighboring intrinsic layers (A), enhancing the transfer of evanescent waves through the multilayer slab. This superlens structure (cf. Figure 1) consists of a highly n-doped (Si) GaAs film of thickness *d*

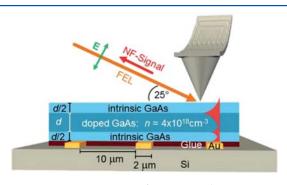


Figure 1. s-SNOM investigation of a GaAs superlens: an AFM tip is illuminated by p-polarized FEL radiation, the scattered light yielding information about the local near-field (NF) of the sample. Gold stripes (width 2 μ m, spacing 10 μ m) are imaged through the three-layered system. For the investigated samples, the layer thickness *d* equals 200 and 400 nm, respectively. The red feature in the sample indicates the distribution of the evanescent field along the surface normal in a similar manner as in ref 6.

sandwiched between two undoped layers of thickness d/2. Two superlens samples were prepared with a total thickness 2d of 400 and 800 nm, respectively. Additionally, a reference sample consisting of an intrinsic 400 nm thick GaAs layer was investigated. The object to be imaged is a periodic arrangement of gold stripes of 2 μ m width at a spacing of 10 μ m. All samples were prepared in the following way: At first, a 100 nm thick Al_{0.9}Ga_{0.1}As etch-stop layer was grown by molecular beam epitaxy (MBE) on a GaAs substrate, followed by the three GaAs layers (A-B-A) of the superlens. On top of the last intrinsic layer, the gold stripes were patterned by optical lithography, gold deposition, and lift-off processing. Subsequently, the entire system was glued top-down by instant adhesive onto high-resistive silicon for the ease of handling. Then the GaAs substrate was etched away by citric acid down to the Al_{0.9}Ga_{0.1}As etch stop, which was finally removed by concentrated HCl.

In order to deduce the dielectric function of the superlens layers, Fourier transform infrared spectroscopy (FTIR) was performed in reflection geometry on the MBE grown samples prior to the lithography step (i.e., on the layer sequence GaAs/ n-GaAs/GaAs/AlGaAs on GaAs substrate). Within a large part of the infrared electromagnetic spectrum, the relative permittivity ε can be described well by the Drude–Lorentz model²²

$$\varepsilon(\omega) = \varepsilon_{\text{optic}} - \frac{(\varepsilon_{\text{static}} - \varepsilon_{\text{optic}})\omega_{\text{TO}}^2}{\omega^2 - \omega_{\text{TO}}^2 + i\omega\gamma_{\text{ph}}} - \frac{\varepsilon_{\text{optic}}\omega_{\text{p}}^2}{\omega^2 + i\omega\gamma_{\text{el}}}$$

where $\varepsilon_{\text{static}}$ and $\varepsilon_{\text{optic}}$ are the static and high-frequency dielectric constants, respectively. While values for the transverse optical phonon frequency ω_{TO} and the phonon damping γ_{ph} are well known from the literature,²² the plasma frequency ω_{p} (and thus the charge carrier density *n*) and the electron damping γ_{el} of the

doped GaAs layer were determined by fitting a Drude–Lorentz based multilayer reflectivity simulation to the FTIR data. This results in $n = 4 \times 10^{18}$ cm⁻³ and $\gamma_{el} = 100$ cm⁻¹. In addition, n was confirmed by a Hall measurement in van der Pauw geometry. Using these values the infrared permittivities ε_{n-GaAs} and ε_{GaAs} of both the conducting and intrinsic GaAs layers were calculated (cf. Figure 2), which govern the spectral response of

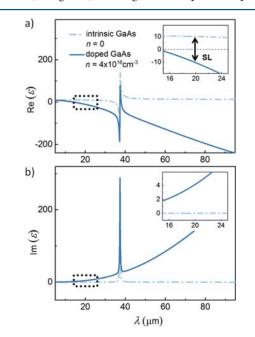


Figure 2. (a) Real part of the dielectric function Re(ε) of intrinsic and doped GaAs, as determined by FTIR measurements, fulfilling the superlensing condition Re(ε_{n-GaAs}) = $-\text{Re}(\varepsilon_{GaAs})$ at $\lambda \sim 20 \ \mu\text{m}$ (see inset). (b) Imaginary part of the dielectric function Im(ε). At the superlensing wavelength Im(ε_{GaAs}) ~ 0 and Im(ε_{n-GaAs}) ~ 4 . The value for phonon damping, $\gamma_{\text{ph}} = 2 \ \text{cm}^{-1}$, is taken from ref 22.

the superlens. Although the real part $\text{Re}(\varepsilon)$ of intrinsic GaAs, apart from the Reststrahlen band around $\lambda = 37 \ \mu\text{m}$, is fairly constant in the infrared, the introduction of electrons results in increasingly negative values for longer wavelengths due to freecarrier absorption (cf. Figure 2a). Superlensing is expected for $\text{Re}(\varepsilon_{\text{n-GaAs}}) = -\text{Re}(\varepsilon_{\text{GaAs}})$, which is the case for our sample at $\lambda \sim 20 \ \mu\text{m}$ (cf. Figure 2a, inset). At this wavelength, the imaginary part Im(ε) (cf. Figure 2b) of both intrinsic and doped GaAs is comparibly small corresponding to small dissipation, which is a critical parameter for the performance of a superlens. Please note that the precisely controllable doping level *n* of GaAs offers the potential to adjust the superlensing wavelength, which increases with decreasing free electron concentration.

The near-field signal of the gold stripes is recorded by s-SNOM (obtained via noninterferometric detection of the backscattered light), which allows imaging with a resolution far below the diffraction limit.¹⁸ Here, an oscillating atomic force microscope (AFM) tip acts as a scatterer for incoming radiation, where the scattering efficiency is modified by tip– sample interaction. Acting as a small antenna, the tip converts the local near-field of the sample into an accessible far-field signal. Scanning the sample in tapping mode, the oscillation of the tip allows us to separate the near-field signal from the farfield background by lock-in demodulation of the measured

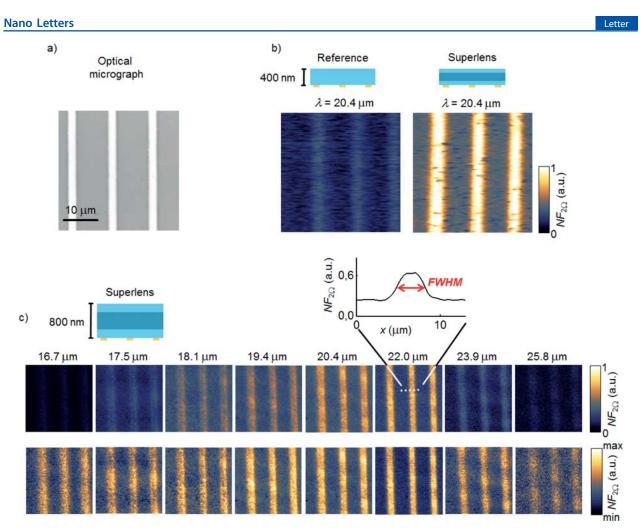


Figure 3. (a) Image of the stripes by optical microscopy for comparison, taken before the pattern was covered by the superlens. (b) Near-field images $(NF_{2\Omega})$ of gold stripes below 400 nm intrinsic GaAs as a reference (left) and below a superlens with the same total thickness, including 200 nm doped GaAs (right). (c) Upper row: near-field images of gold stripes below an 800 nm thick superlens (intrinsic GaAs: 2×200 nm, doped GaAs: 400 nm), recorded at radiation wavelengths from $\lambda = 16.7 \ \mu m$ to $\lambda = 25.8 \ \mu m$. For $\lambda = 22.0 \ \mu m$ a line profile with a full width at half-maximum (fwhm) of 3.4 μm is shown. Bottom row: same measurements but with a full-range color scale applied to each image.

signal at higher harmonics of the cantilever's oscillation frequency Ω .²⁵ The near-field images in this study were obtained by 2Ω demodulation, denoted as NF_{2Ω}. As a radiation source we employ the widely tunable FEL (radiation wavelength 4–250 μ m) at the Helmholtz–Zentrum Dresden–Rossendorf (HZDR). The scattered radiation is detected in backward direction by a mercury–cadmium–telluride (MCT) detector.

In the experiment, we first compare the near-field of the gold-stripe pattern underneath the 400 nm thick superlens and underneath the 400 nm thick intrinsic GaAs reference structure. The measurement was performed at a wavelength of $\lambda = 20.4 \mu$ m, which is close to the wavelength where superlensing is expected. As shown in Figure 3b, the stripes are barely visible in the image obtained from the reference sample. In this case, the 400 nm thick intrinsic GaAs layer reduces both the near-field signal and the spatial resolution of the near-field image²⁶ due to the increased distance between SNOM tip and object. For the doped sample, the signal is highly increased, which provides clear evidence for superlensing. If one did not consider the superlensing effect, one would expect even weaker near-field signals for the sample with the doped layer as compared to the

intrinsic reference sample because the doping results in freecarrier absorption that reduces the transmitted field. Nevertheless, absorption is one of the key factors that hinders the formation of a "perfect image". This applies to every superlens, as the requirement of negative permittivity is always accompanied by dissipation. In our case, the 2 μ m gold stripes are imaged with an apparent width of about 3 μ m, clearly beating the diffraction limit. We emphasize that both for the reference sample and for the sample with superlens, the spatial resolution is not as good as for imaging the objects directly. However, for buried structures, introducing a doped layer into the capping enables us to image with drastically increased contrast while maintaining subwavelength resolution.

Note that the image obtained via the 400 nm thick superlens is not purely induced by the superlensing effect, as can be seen by the nonvanishing near-field in case of the reference structure. In order to suppress this background we increased the thickness of the superlens for further investigations.

The spectral dependence of the superlensing effect was investigated with the superlens sample of doubled thickness (800 nm), as shown in Figure 3c. The upper row depicts nearfield signals normalized to laser power, detector response and

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lock-in sensitivity, providing quantitative comparability. The near-field signal is strongest at $\lambda = 22 \ \mu$ m, clearly decreasing to both sides of the spectrum. In addition, the image is sharpest in this case, while it becomes blurred at shorter and longer wavelengths (cf. Figure 3c, bottom row). A line plot across one stripe reveals a full width at half-maximum (*fwhm*) of 3.4 μ m at resonance. Since the width of the stripe itself (2 μ m) strongly contributes to the *fwhm*, the actual resolution must be better than 3.4 μ m, corresponding to a subwavelength resolution of at least $\lambda/6$. Note that the image of the 400 nm thick superlens (cf. Figure 3b) exhibits a higher near-field signal as compared to the 800 nm thick superlens (cf. Figure 3c) for the same wavelength $\lambda = 20.4 \ \mu$ m. This can be attributed to less absorption in the thinner layer.

The performance of a near-field superlens can be analyzed by calculating the transmittance T through a sequence of homogeneous dielectric films²⁷ at normal incidence. Depicted as a function of the tangential wavenumber k_t and radiation wavelength λ , this is often referred to as the transfer function. The transfer function of our GaAs superlens is shown in Figure 4a, assuming a superlens suspended in air. Accordingly, the

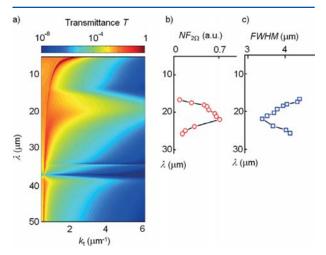


Figure 4. (a) Calculated transfer function *T* of the GaAs superlens (800 nm total thickness) in the spectral range of interest, plotted in logarithmic scale for better visibility. (b) Experimental result: superlens enhanced near-field signal $NF_{2\Omega}$ on gold stripes and (c) *fwhm* of a stripe's image as a function of radiation wavelength λ .

transmittance of large wavenumbers is resonantly enhanced around $\lambda = 20 \ \mu m$, illustrating the increase of imaging quality induced by the superlensing effect. This enhancement is consistent with the simple condition $\operatorname{Re}(\varepsilon_{n-\operatorname{GaAs}}) = -\operatorname{Re}(\varepsilon_{\operatorname{GaAs}})$, which was described above. To relate the transfer function to our experimental findings, we also plot both the near-field signal $NF_{2\Omega}$ at the position of a gold stripe (cf. Figure 4b) and the *fwhm* of the stripe's image versus wavelength λ (cf. Figure 4c). Both the strongest signal and the smallest *fwhm*, according to the best spatial resolution, are observed at $\lambda = 22 \ \mu m$, as mentioned above. As can be seen, the resonant behavior is in very good agreement with theory.

The characterization of the subwavelength resolution of a superlens naturally requires a technique that itself provides even higher spatial resolution. In our case, this technique is s-SNOM,¹⁸ featuring a wavelength-independent resolution determined by the radius of the AFM tip (<50 nm). This is much smaller than the wavelength of the infrared radiation and

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also smaller than the observed resolution of the investigated superlens. Note, however, that the spatial resolution of SNOM significantly degrades with increasing depth for buried objects.²⁶ In this context, the immediate application potential of our superlens lies in near-field imaging of structures for nanoelectronic applications which are necessarily covered by an intrinsic layer of a certain thickness, for example, to avoid depletion due to surface fields^{21,28} or imposed by the penetration depth of ion implantation.^{20,29} Here, the introduction of a doped layer turns the intrinsic layer into a superlens at a designed wavelength, enabling near-field studies of buried objects with enhanced sensitivity and better spatial resolution. For instance, nanoscopic imaging of buried quantum dots,²¹ doping profiles,²⁰ and charge carriers in a field-effect transistor³⁰ can be significantly improved by this concept. Here, the semiconductor-based superlens can enhance the contrast of otherwise blurred images. In many cases, for example, for GaAs based III-V semiconductor compounds, even monolithic integration of the nanoobject and the superlens is possible. For such applications, a broad operation bandwidth may be desirable in order to access as many wavelengths as possible with one and the same structure. Notably, the relative spectral width $\Delta \lambda / \lambda_{SL} \sim 25\%$ (λ_{SL} is the superlensing wavelength) of our plasmonic superlens is significantly larger as compared to previously investigated phonon based systems operating in the mid-infrared, where $\Delta\lambda/\lambda_{\rm SL}$ < 10% was observed.^{6–8} On the one hand, the large bandwidth can be attributed to a slow change of $\operatorname{Re}(\varepsilon(\lambda)/\operatorname{Re}(\varepsilon(\lambda_{SL})))$, determining how well the superlensing condition is fulfilled in the spectral proximity of the resonance. On the other hand, damping, which is comparatively large in our case, also broadens the peak in the transfer function. Performing the simulation with artificially reduced $Im(\varepsilon)$ indicates that the latter aspect is the predominant one (note that large values of $Im(\varepsilon)$ are accompanied by a decrease of imaging quality). For a deeper understanding, however, a more detailed analysis is required, which is beyond the scope of our study. As mentioned, the center wavelength can easily be tailored by changing the doping level of the intermediate layer. We chose a carrier concentration of $n = 4 \times 10^{18} \text{ cm}^{-3}$, which is very close to the upper limit for Si doping of GaAs.³¹ This results in a shortest possible superlensing wavelength of about 20 μ m. The choice of high *n* might be surprising at first sight because it leads to large freecarrier absorption, which appears to be undesirable as dissipation strongly impacts the imaging quality of a superlens. However, the critical parameter here is $Im(\varepsilon)$ at the superlensing *wavelength* λ_{SL} . An increase of *n* has two consequences: On the one hand, it results in larger values of $\mathrm{Im}(\varepsilon)$ at a given wavelength λ . On the other hand, λ_{SL} decreases accompanied by a decrease of Im(ε) (cf. Figure 2b). Altogether calculations (not shown) reveal that high n is preferable since the latter effect dominates. Nevertheless, $Im(\varepsilon)$ does not increase drastically when going to longer λ_{SL} (Im(ε) ~ 4, 8, and 12 at $\lambda_{\rm SL}$ = 20, 90, and 140 μ m, respectively). Therefore, we expect that superlensing in doped GaAs can be transferred well into the terahertz region by less doping. In order to explore shorter wavelengths, the concept of a frequency-adjustable plasmonic superlens can easily be realized with alternative systems providing higher plasma frequencies and, therefore, shorter superlensing wavelengths. In particular, it has recently been shown that the plasma wavelength of InAs can be controlled down to ~5 μ m, taking advantage of its small effective mass and the feasibility of extremely high doping.^{15,16} In addition, the

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spatial resolution of an InAs-based superlens should be better than for GaAs due to its high electron mobility. In the past few years, a lot of effort was put into the search for low-loss plasmonic materials at telecom or even optical wavelengths.^{14,17} In the near-infrared, transparent conducting oxides (TCOs) such as aluminum zinc oxide (AZO) or indium tin oxide (ITO) may be suitable as building blocks for spectrally adjustable superlenses, whereas in the optical regime, silver seems to be the best choice.

In summary, we have demonstrated a GaAs superlens, employing a sequence of intrinsic and doped layers to create subwavelength images in a broad mid-infrared spectral range. Best resolution, about one-sixth of the applied wavelength λ , of imaged gold stripes was observed at $\lambda = 22 \ \mu m$, which is in very good agreement with the calculated transfer function based on electronic properties of the doped layer extracted from FTIR reflection measurements. This plasmonic superlens with an adaptable operation wavelength is a versatile device to enhance signal and spatial resolution in near-field imaging of buried structures. Furthermore, it may find application in mid-infrared and terahertz devices that exploit plasmonic coupling of the radiation field to small structures.

AUTHOR INFORMATION

Corresponding Authors

*E-mail: m.fehrenbacher@hzdr.de. Phone: +49 351 260 3593. Fax: +49 351 260 3285.

*E-mail: s.winnerl@hzdr.de.

Notes

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Introduction 1.

High-throughput mass production of nanostructure arrays with extreme regularity by self-assembly and self-organization has been a topic of intense research in the last few years. The interest in large-areas of crystalline structures is manifold, from building blocks for electronics¹ and opto-electronics² to catalytic surfaces with increased efficiency.³ Prominent examples of self-assembled nanostructures are found in molecular beam epitaxy (MBE),⁴⁻⁶ where the growth of 3D nanostructures proceeds by deposition of atoms onto the surface (Fig. 1a). In homoepitaxy these nanostructures are formed due to kinetic restrictions of the diffusing ad-atoms. To cross a terrace step downwards an additional barrier, the Ehrlich-Schwoebel (ES) barrier has to be overcome leading to reflection of ad-atoms and thus to an effective uphill diffusion current on a vicinal surface. This so-called Villain instability has been identified as the main process for 3D growth of structures during MBE.⁶

^aHelmholtz-Zentrum Dresden-Rossendorf, Institute of Ion Beam Physics and Materials Research, Bautzner Landstr. 400, 01328 Dresden, Germany. E-mail: s.facsko@hzdr.de

^bState Key Laboratory of Functional Material for Informatics, Shanghai Institute of Microsystem and Information Technology, Chinese Academy of Sciences, Shanghai 200050, China. E-mail: ouxin@mail.sim.ac.cn

^cTechnische Universität Dresden. 01062 Dresden. Germanv



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Faceted nanostructure arrays with extreme regularity by self-assembly of vacancies

Xin Ou, *^{a,b} Karl-Heinz Heinig,^a René Hübner,^a Jörg Grenzer,^a Xi Wang,^b Manfred Helm,^{a,c} Jürgen Fassbender^{a,c} and Stefan Facsko*^a

Semiconductor quantum dots and wires are important building blocks for future electronic and optoelectronic devices. The common way of producing semiconductor nanostructures is by molecular beam epitaxy (MBE). In this additive growth process atoms are deposited onto crystalline surfaces and selfassemble into 3D structures. Here we present a subtractive process, in which surface vacancies are created by ion impacts. On terraces of crystalline surfaces their nucleation forms depressions which coarsen and finally lead to a self-organized 3D morphology. It is shown that this kind of spontaneous pattern formation is inherent to the ion induced erosion process on crystalline surfaces and is analogous to 3D growth by MBE. However, novel facets are found due to slightly different energetics and kinetics of ad-atoms and surface vacancies, especially at Ehrlich-Schwoebel step-edge barriers. Depending on the crystal orientation, three-fold, four-fold, six-fold symmetry, as well as extremely regular periodic nanogrooves can be produced on different orientations of group IV (Si, Ge) and III-V (GaAs, InAs) semiconductors.

> Ion irradiation, on the other hand, is frequently used to etch materials by sputtering⁷ and can be easily scaled up to 300 mm wafer size. It can also be employed for nanopatterning surfaces by a self-organized, bottom-up approach.⁸ The resulting patterns are periodic ripple structures with periodicities down to 15 nm9 and can achieve quite high order as in the case of Si and Ge irradiated with 26 keV Au⁻ ions.¹⁰ Such ripple patterns can be applied as templates for growing nanostructured thin films with strong anisotropic magnetic or optical properties.¹¹ Therefore, a broad interest exists for this simple, high-throughput and inexpensive technology for nanopatterning surfaces. The main drawback of this method is that semiconductors are amorphized when ion irradiation is performed at room temperature.¹² At elevated temperature, however, ion induced interstitials and vacancies are mobile enough to recombine or to reach the surface before a new ion hits the same region. Thus ion irradiation performed at temperatures higher than the recrystallization temperature prevents amorphization and leads to an additional surface instability due to the ES barrier for surface vacancies.¹³⁻²⁰ Accordingly, in analogy to the case under growth conditions, this kind of surface instability leads to the formation of 3D nanostructures (Fig. 1a). Vacancies which are created by the ion impacts during irradiation, nucleate and coarsen to form pits that grow inside the surface.¹³ The formation of such structures has firstly been observed on metal surfaces, which remain

a

b

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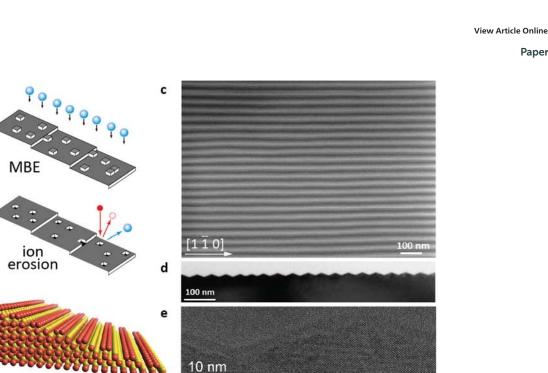


Fig. 1 Regular nanowire patterns on GaAs(001) produced by low-energy ion irradiation. (a) Schematic illustration of the symmetry between growth by ad-atom deposition in MBE and ion erosion by vacancy creation. Due to the step-edge barrier for ad-atoms (ad-vacancies) nucleation and growth of islands (depression) lead to 3D morphologies. (b) A (115) surface of the GaAs with Ga dimer rows along the [110] direction, which is the direction of the grooves. (c) SEM images of highly-ordered nanogroove patterns formed on GaAs (001) surfaces aligned along the [110] direction after 1 keV Ar⁺ irradiation at normal incidence for 130 min at 410 °C. (d) and (e) Cross-sectional TEM images of nanogroove pattern on GaAs(001).

crystalline even at room temperature, due to their high diffusion and non-covalent bonding.14-16

Recently, we have observed regular, dense patterns of inverse pyramids on Ge(001) surfaces irradiated by high fluence 1 keV Ar⁺ at temperature above 250 °C.²¹ These patterns exhibit {105} facets and strongly resemble mound patterns grown by MBE if they are reversed. Here, we present the formation of nanogrooves on the (001) surface of group III-V semiconductors (GaAs, InAs) with a zinc-blende structure. Although the symmetry of the surface is four-fold, like the (001) surface of Si and Ge, a symmetry-breaking due to the stacking of alternating planes of Ga (In) and As leads to an almost perfect two-fold symmetry of the resulting patterns even under normal incidence. In combination with enhanced ion-induced surface diffusion this symmetry-breaking driving force leads to faceted periodic nanogroove patterns oriented along the [110] direction with the highest degree of regularity observed so far. Similar ripple patterns have been observed recently by irradiation of GaAs with hyperthermal ion beams (30 eV Ar⁺), however with much lower regularity.²² Furthermore, on the (111) surfaces of Si and Ge with diamond structure irradiated with high fluence we found peculiar {123} and {356} facets that have not been observed so far. All of these ion induced patterns can be described by a universal generalized continuum equation^{21,23} by defining a non-equilibrium surface free energy with the required symmetry, giving the formation dynamics with the correct roughening and coarsening behavior.

2. Results

For the erosion process an ion source producing a broad beam of low-energy ions is used to irradiate the surface of single crystalline semiconductors. After ion irradiation with fluences of 10¹⁷–10¹⁹ cm⁻² performed above the recrystallization temperature ordered patterns appear on the surface. Arrays of nanogroove structures with a periodicity of 46 nm are fabricated on GaAs (001) surfaces (Fig. 1c). The orientation of the grooves is always along the [110] direction on GaAs and InAs, i.e. the atomic structure of the facets is similar to Fig. 1b. The degree of order of these nanogroove structures is increasing with the irradiation fluence. Cross-sectional transmission electron microscopy (TEM) images perpendicular to the grooves (Fig. 1d and e) also show clearly the faceting and the high degree of ordering of these structures.

Fig. 2a-f present typical patterns on different semiconductor surfaces after ion irradiation performed at normal incidence above the recrystallization temperature. Faceted nanostructures with different orientations and shapes develop, which are, with respect to the surface, reversed to similar structures found by MBE.²⁴ The periodicity and the shape of the faceted structures can be tuned by the irradiation conditions (temperature and fluence) as well as by using different orientations of the crystalline surfaces, i.e. low-index crystal planes or different miscut angles from these planes. The formation of facets can be also concluded from the corresponding two-dimensional (2D) angle distributions²⁵ (left) and

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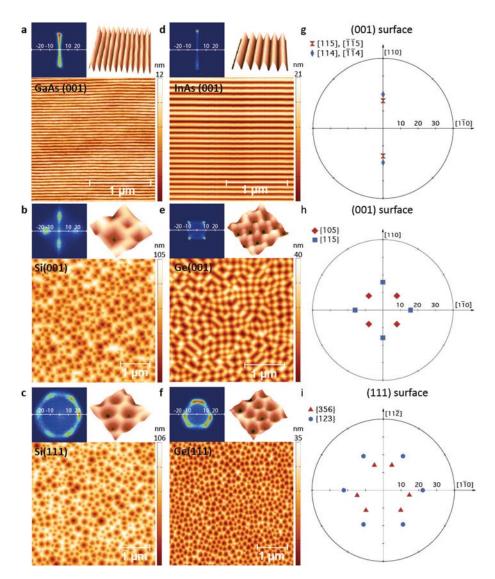


Fig. 2 Atomic force microscopy images of nano-patterns fabricated by ion irradiation on the surfaces of different semiconductors. (a) Nanogrooves on GaAs(001), (b) inverse squared pyramids on Si(001), (c) inverse hexagonal pyramids on Si(111), (d) nanogrooves on InAs(001), (e) inverse squared pyramids on Ge(001), and (f) inverse pyramids on Ge(111), respectively. The two-dimensional angle distributions (left) covering an area of $\pm 30^{\circ}$ and the three-dimensional AFM images (right) with scanning size of 500 × 500 nm are shown above the AFM images. The horizontal direction of the AFM images is along the [110] direction. (g–i) Polar plots of the geometrical projections of planes of the diamond lattice with respect to the polar (001) surface (GaAs and InAs), to the (001) surface, and to the (111) surface of the diamond lattice (Si, Ge), respectively. The ion irradiation energy and fluence are 1 keV, 1 × 10¹⁹ cm⁻² Ar⁺ for (a), (d), (f), 1 keV, 3 × 10¹⁸ cm⁻² for (e), and 500 eV, 3 × 10¹⁸ cm⁻² Xe⁺ for (b) and (c), respectively. The irradiation temperatures are 410 °C for GaAs (a), 320 °C for InAs (d), 530 °C for Si (b, c) and 300 °C for Ge (e, f).

three-dimensional (3D) zoom-in images (right) shown above the AFM images. The symmetry of the patterns reflects the crystal symmetry of the surfaces. For the (001) surface of GaAs (Fig. 2a) and InAs (Fig. 2d) the evolving patterns are periodic, faceted grooves oriented along the [110] direction. This is quite surprising, as the irradiation is performed at normal incidence, thus no asymmetry is imposed by the ion beam. As shown in Fig. 1b, the direction, where dimer rows of Ga (In) are formed due to a (2×1) surface reconstruction parallel to the steps,²⁶ is energetically preferred over the formation of As dimer rows. Furthermore, the [110] direction is also the easy diffusion direction of the Ga (In) atoms.²⁷ The 2D angle distribution shows two peaks at $\pm 16^{\circ}$ and $\pm 19^{\circ}$, respectively. These polar angles can be identified with the {115} (15.79°) and {114} (19.47°) crystal planes, respectively (Fig. 2g).

For Si(001) and Ge(001) a 4-fold symmetry can be identified in Fig. 2b and e. For single-element semiconductors the dimer rows in the [110] and [110] directions consist of the same element, *i.e.* there is no longer a difference in their surface energy, and, consequently, the symmetry breaking disappears. The angle distribution exhibits peaks at 12° for Ge and 16° for Si, which are close to the {105} (11.31°) and {115} (15.79°) planes of the diamond lattice, respectively. The polar plot of the orientation of these facets with respect to the (001) surface

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is shown in Fig. 2h. The azimuthal orientation of the structures is different for Si(001) and Ge(001). On Si(001) the structures are oriented along the [110] direction, whereas on Ge (001) the structures align along the [100] direction. This is quite peculiar, because Si and Ge have the same crystal structure and exhibit usually the same (2×1) surface reconstruction of the (001) surface. The same facets and the rotation of the pattern by 45° between Si and Ge appear in homoepitaxial growth of Si and Ge as well.^{28–31} The emergence of these edges is attributed to an additional barrier at kink sites, similar to the barrier at step edges. However, the origin of the different orientation of the Si and Ge mound facets has not yet been conclusively clarified.

The patterns on the (111) surface of Si (Fig. 2c) and Ge (Fig. 2f) exhibit a different symmetry. On the Ge(111) surface, inverse pyramidal structures with an isotropic distribution are formed. The corresponding 2D angle distribution reveals a three-fold symmetry in the formation of the facets with a polar angle θ of 13°–16°. Noticeable is the development of a double peak structure in the 2D angle distribution separated by an azimuthal angle of ~38°. Inspection of the geometrical projection of the possible facets of the diamond lattice with respect to the (111) surface reveals that the measured angle distribution coincides with the {356} facets. As can be seen in the polar plot of the crystal planes in Fig. 2i, six of these facets have the right polar angle of 15°. They appear as three pairs of two poles with a difference in the azimuthal angle of 38.2° and rotated by 120° to each other, in perfect agreement with the experimentally determined facet angles. These facets are typically not observed in homoepitaxial or heteroepitaxial growth of self-assembled Ge nanostructures. Thus, we can conclude that they are ion induced non-equilibrium stable crystal facets.

On the Si(111) surface inverse hexagonal pyramids are formed during ion irradiation. The structure size of these patterns exhibits a much broader distribution than for the patterns on Ge(111). Thus for Si(111) the wavelength selection at the beginning of the pattern formation is much weaker than for Ge(111). Furthermore, the 2D angle distribution shows six peaks with a polar angle of $21^{\circ}-23^{\circ}$ with a hexagonal symmetry. The facets, which correspond to these values are the {123} crystal planes (22.21°) of the diamond lattice, as can be seen in the geometrical projection of the planes with respect to the (111) surface in Fig. 2i.

Due to the fact that the facets are crystal planes, asymmetric facets can also be formed on surfaces with predefined miscut angles. Fig. 3 shows nanogroove patterns on vicinal GaAs(001) surfaces with miscut angles of 6° and 10° in the [110] direction, respectively. The wavelength of the formed nanogrooves increases from 46 nm on GaAs(001) surfaces without miscut (Fig. 1c) to 65 nm (Fig. 3a) and 90 nm (Fig. 3b) for the surface with 6° and 10° miscut angles, respectively. Fig. 3c and d show the cross-sectional TEM of GaAs(001) with a 10° miscut angle. The shape of these nanogrooves becomes asymmetrical with absolute facet angles to the (100) planes of 20° and 21° , respectively. To keep the same angle of the {114} facet with View Article Online

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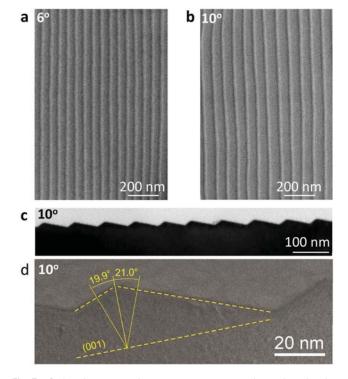


Fig. 3 Ordered, asymmetric nanogroove patterns formed on ion irradiated GaAs(001) surfaces with a miscut angle. (a) SEM image of nanogroove structures formed on GaAs(001) with a miscut angle of 6° and (b) with a miscut angle of 10° towards the [110] direction, respectively. (c, d) Cross-sectional TEM images of the nanogroove patterns. The facet angles with respect to the GaAs(001) plane are indicated.

respect to the (001) surface on both sides one sidewall of the groove is elongated, thus increasing the periodicity of the pattern.³² These kinds of structures are particularly interesting to be applied as optical grating devices for ultraviolet light.³³

The formation of 3D nanostructures on ion irradiated surfaces at temperatures above the recrystallization temperature can be regarded as the reverse mechanisms to 3D growth in homoepitaxy.16,21,24,34,35 The continuous ion irradiation creates a surface vacancy density, which is much higher than the vacancy density under equilibrium conditions, thus driving additional non-equilibrium surface currents. Due to the step edge barriers, the vacancy diffusion currents are "downhill", leading to a surface instability.6,23,36 The orientation of the patterns along the crystalline directions and the selection of specific facets on the ion irradiated surfaces indicate that the diffusion currents are dominant and sputtering induced effects, like slope/curvature dependent erosion rates and mass redistribution, can be neglected. Thus the continuum equations, which are typically used to describe the morphology evolution on amorphized surfaces under ion irradiation, do not account for the crystal structure and cannot be used here. On binary materials an additional instability is expected³⁷ due to preferential sputtering of one element changing the stoichiometry of the surface. The coupling of this altered surface layer and the topography can lead to the

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formation of periodic patterns as well. However, the crystalline GaAs (InAs) are Ga (In) terminated and no preferential sputtering or segregation of one element has been observed.³⁸ Furthermore, even at temperatures below the recrystallization temperature we did not observe any pattern formation on GaAs or InAs, thus on these amorphized surfaces ion induced smoothing dominates at normal incidence.

3. Discussion

In order to describe the formation of patterns with the special symmetries on the different surface orientations we generalized and extended the continuum equation used for the Ge (001) case.²¹ The evolution of the surface height $h(\mathbf{x}, \mathbf{t})$ can be described in a coarse-grain approach by a partial differential equation:

$$\frac{\partial h(x,t)}{\partial t} = -\nabla j + \eta(x,t) = -\nabla (j_{HM} + j_{KPZ} + j_{NE}) + \eta(x,t). \quad (1)$$

The surface current *j* includes three contributions: $\mathbf{j}_{\text{HM}} = \kappa \nabla (\nabla^2 h)$ is the Herring–Mullins surface diffusion, $\mathbf{j}_{\text{KPZ}} = \sigma \nabla (\nabla h)^2$ is a nonlinear current (conserved Kardar–Parisi–Zhang term) that breaks the up–down symmetry of the resulting surface, and \mathbf{j}_{NE} is the non-equilibrium surface current that includes the instability due to the ES step edge barrier and the formation of facets. Furthermore, a white noise term $\eta(\mathbf{x}, t)$ is included to account for the stochastic nature of the vacancy formation.

Similar to the chemical potential in equilibrium, which determines the vacancy and ad-atom concentrations in thermal equilibrium, a non-equilibrium potential $U_{\rm NE}$ can be defined corresponding to the non-thermal ion induced concentrations of vacancies and ad-atoms. $U_{NE}(m)$ is proportional to the surface density of atoms and is a function of the local slope $m = \nabla h$. The minimization of the non-equilibrium potential with respect to the surface slope induces a non-equilibrium surface current $j_{\mathrm{NE}}(m)=-rac{\partial U_{\mathrm{NE}}(m)}{\partial m}.$ Due to the high ion induced density of vacancies and their restricted interlayer transport due to the ES barrier the original surface becomes unstable, *i.e.* $U_{\rm NE}(m)$ has a local maximum at zero slope m =(0,0). The interplay of this instability and the surface diffusion leads to a wavelength selection at the beginning of ion irradiation. At the minima of $U_{\rm NE}(m)$ the surface current $j_{\rm NE}$ vanishes leading to a selection of the preferred slopes (facets) at later times.

The different surface patterns in Fig. 4 result from appropriate choices of the non-equilibrium potential $U_{\text{NE}}(\boldsymbol{m})$, reflecting the symmetry of the crystalline surface and possessing minima at the respective, experimentally observed facet angles. In Fig. 4 the chosen non-equilibrium potential and results of the numerical integration of the continuum equation with the corresponding 2D angle distributions are presented. The good agreement between the experimentally observed pattern in Fig. 2 and results of the continuum equation in Fig. 4 indicates that the facet formation is strongly linked to surface currents driven by the minimization of the non-equilibrium effective free energy. In analogy to the determination of the chemical potential by observing the shape of crystals grown under equilibrium conditions, we can thus assess the shape and the first minima of the non-equilibrium potential $U_{\rm NE}(m)$ in the vicinity of the original surface exposed to ion irradiation. It is known that under continuous vacancy creation crystal facets can become unstable and non-equilibrium steady state facets of different orientation can be formed.³⁹

4. Methods

Sample preparation

10 mm \times 10 mm samples are cut from *epi*-ready Si(001), Ge(001), Ge(111), InAs(001) and GaAs(001) wafers. The ion irradiation is performed in a vacuum chamber with a base pressure of 10^{-8} mbar. The inert gas ions (Ar⁺ and Xe⁺) are generated by using a Kaufman-type ion source with a single graphite grid extraction of 50 mm in diameter. During irradiation the chamber is backfilled with Ar or Xe gas at 10^{-4} mbar through the ion source which is not differentially pumped. The samples are pasted on a Si plate of 15 mm × 15 mm to avoid metal contamination from the sample holder. The samples are heated by a boron nitride heater behind the sample holder. The surface temperature of the irradiating sample is monitored by a pyrometer operated in the wavelength range of 2-2.8 µm, which was pre-calibrated by using a thermocouple. The optimized irradiation conditions for different semiconductor surfaces are listed in Table 1. Two ion fluences of 3×10^{18} cm⁻² and 1×10^{19} cm⁻² are applied in the irradiation process, which corresponds to the irradiation duration of 38 min and 130 min, respectively. The topography of irradiation induced nanopatterns is characterized by atomic force microscopy (AFM) in tapping and non-contact mode and by scanning electron microscopy (SEM). The crystal quality of the nanostructures is investigated by cross-sectional transmission electron microscopy (TEM).

Numerical integration

The numerical integration of the continuum equation (1) for reverse epitaxy is performed on a grid of 500×500 points with a spacing of $\Delta x = 1$ and $\Delta t = 0.01$ by a 4th order Runge–Kutta method. The non-equilibrium potentials $U_{\text{NE}}(\boldsymbol{m})$ in Fig. 3 are polynomials up to 8th order in m_x and m_y chosen to have the desired symmetry and minima at slopes corresponding to the observed facets. The non-equilibrium surface currents $\boldsymbol{j}_{\text{NE}}(\boldsymbol{m})$ are calculated analytically from $U_{\text{NE}}(\boldsymbol{m})$ and subsequently used in a Matlab® program to integrate numerically the following continuum equation:

$$rac{\partial h(x,t)}{\partial t} = -arepsilon
abla j_{NE} - \kappa
abla^2 (
abla^2 h) - \sigma
abla^2 (
abla h)^2 + \eta(x,t).$$

In every integration step white noise with an amplitude of 0.05 has been added. The coefficients used for the numerical integrations for the different cases in Fig. 4 are as follows:

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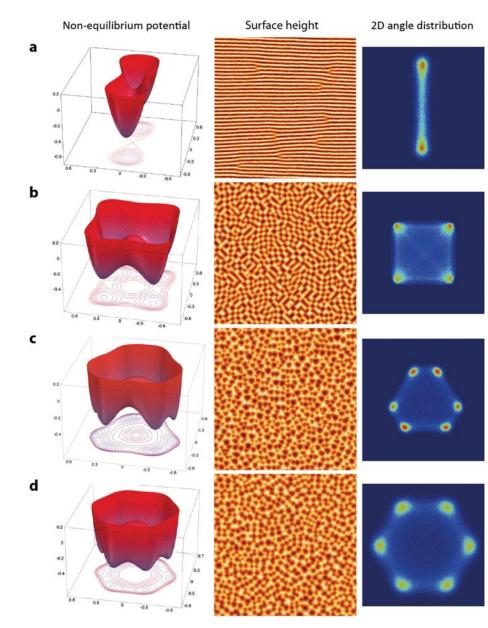


Fig. 4 Non-equilibrium potential, surface height, and 2D angle distributions from numerical integrations of the continuum equation for reverse epitaxy. Non-equilibrium potentials have been chosen to have minima at slopes (m_x, m_y) corresponding to the facet angles and symmetries with (a) two-fold (GaAs(001)), (b) four-fold (Ge(001)), (c) three-fold (Ge(111)), and (d) six-fold (Si(111)) symmetry, respectively.

 Table 1
 Irradiation parameters for the fabrication of crystalline patterns for different semiconductor surfaces

produce patterns with a structure density similar to the AFM images in Fig. 2.

| Materials | Ion species | Ion energy (eV) | Temperature window (°C) | | |
|-----------|-----------------------|--------------------|----------------------------|--|--|
| Si(100) | Xe ⁺ | 500 | 480-580 | | |
| Ge(100) | Ar^+ | 1000 | 250-430 | | |
| Ge(111) | Ar^+ | 1000 | 250-380 | | |
| InÀs(100) | Ar^+ | 1000 | 180-430 | | |
| GaAs(100) | Ar^+ | 1000 | 200-480 | | |

(a) $\varepsilon = 1$, $\kappa = 1$, $\sigma = -1$, (b) $\varepsilon = 1$, $\kappa = 4$, $\sigma = -1$, (c) $\varepsilon = 2$, $\kappa = 4$, $\sigma = -2$, and (d) $\varepsilon = 2$, $\kappa = 4$, $\sigma = -2$. The coefficients for the numerical integration have been chosen solely by the intention to

5. Conclusion

In conclusion, we have shown that low-energy ion irradiation of semiconductors above the dynamic recrystallization temperature leads to the spontaneous formation of 3D crystalline structures. To a large extent the here reported erosive pattern formation is analogous to the well-known pattern formation during homoepitaxy and the formation mechanism of these patterns of crystalline structures is universal and can be extended to different crystalline surfaces. These self-assembled

structures form on group III-V semiconductors extremely regular patterns of grooves and on elemental semiconductors patterns of inverse pyramids with specific facets. Depending on the crystal surface orientation different symmetries are found: two-fold in the case of GaAs and InAs due to an additional symmetry-breaking mechanism, three-fold for Ge(111), four-fold for Ge(001) and Si(001) surfaces, and sixfold on Si(111). The present technique of self-organized nanogroove formation on group III-V semiconductors may actually be the one yielding the highest degree of regularity available today without pre-patterning. Due to the parallel formation of the nanopatterns by broad ion beam irradiation, which can be scaled up to 300 mm wafer size, this technique is much faster than serial lithography techniques like e-beam or proton writing techniques. We envision that such highly-ordered nanogroove patterns have a great potential for many applications, e.g. as templates for thin film deposition, as catalytic surfaces, and as gratings for UV light.

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and above the recrystallization temperature, respectively. In contrast to the low temperature case, where Ga (In) accumulation is seen, no accumulation of Ga (In) is observed at high temperature irradiation.

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Alberi et al.¹⁵ found a decrease of the electron effective

mass for N contents above 0.2%, Masia et al.¹⁴ observed a

non-monotonic compositional dependence at high N consist-

ent with the linear combination of isolated nitrogen states (LCINS) model.⁶ The effective mass of GaAsN/GaAs QWs

with high nitrogen contents (1.2% and 2%) was determined

by optically detected cyclotron resonance.¹⁶ A steep increase

of m^* with N content was found with this method, which

detects microwave-induced photoluminescence changes ver-

sus magnetic field. The measured values lie above the BAC

model and in the range of the LCINS predictions. There

have also been reports on a decrease of the electron effective mass^{17,18} with increasing nitrogen content, measured by

clotron resonance (CR) absorption spectroscopy, a direct

method for effective mass determination, to a series of Si-

doped bulk GaAsN alloys with low nitrogen content. Our

measurements reveal a slight increase of the CR electron

effective mass and nonparabolicity with increasing nitrogen

content in good agreement with calculations based on the

BAC model.⁴ Furthermore, we find a pronounced CR mobil-

ity drop of about 65%, which explains the challenging aspect

of a direct CR investigation in this highly mismatched alloy.

insulating GaAs substrate at a temperature of 500 °C by mo-

lecular beam epitaxy with a thickness of $1 \,\mu m$ on top of a

20 nm GaAs buffer layer grown at 580 °C. The n-doping is

nominally $1 \times 10^{17} \text{ cm}^{-3}$. The nitrogen content was deter-

mined to be 0%, 0.1%, and 0.2%, respectively, by x-ray diffraction. For the CR spectroscopy investigation, we used the combination of the free-electron laser (FEL) FELBE and the

Dresden High Magnetic Field Laboratory (HLD),¹⁹ both

located at the Helmholtz-Zentrum Dresden-Rossendorf.

Additional experiments were performed with a quantum cas-

cade laser (QCL) with 17.7±0.5 meV using the setup

Epitaxial GaAsN:Si samples were grown on a semi-

To resolve this long-standing controversy, we apply cy-

electroreflectance and transport techniques.



Direct determination of the electron effective mass of GaAsN by terahertz cyclotron resonance spectroscopy

F. Eßer,^{1,2} O. Drachenko,^{1,a)} A. Patanè,³ M. Ozerov,^{4,b)} S. Winnerl,¹ H. Schneider,^{1,c)} and M. Helm^{1,2}

¹Helmholtz-Zentrum Dresden-Rossendorf, Institute of Ion Beam Physics and Materials Research, Bautzner Landstraße 400. 01328 Dresden, Germany

²Technische Universität Dresden, 01062 Dresden, Germany

³School of Physics and Astronomy, University of Nottingham, Nottingham NG7 2RD, United Kingdom

 4 Helmholtz-Zentrum Dresden-Rossendorf, Dresden High Magnetic Field Laboratory, Bautzner Landstraße 400, 01328 Dresden, Germany

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We use cyclotron resonance THz-spectroscopy in pulsed magnetic fields up to 63 T to measure the electron effective mass in Si-doped GaAsN semiconductor alloys with nitrogen content up to 0.2%. This technique directly probes the transport properties of the N-modified conduction band, particularly the electron effective mass, which has been discussed controversially in the experimental and theoretical literature. We report a slight increase of the electron effective mass and nonparabolicity with N-content for different photon energies in agreement with the two-level band anticrossing model calculations. Furthermore, we show a pronounced electron mobility drop with increasing N-content. © 2015 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4928623]

The tunability of the band gap energy of GaAs in the range of 1.4 eV - 0.9 eV upon incorporation of a small concentration of N-atoms ($\leq 5\%$)^{1,2} makes the GaAsN alloy an attractive candidate for optoelectronic applications. The differences in size and electronegativity between the N- and As-atoms cause unusual effects including a huge band-gap bowing,² a drop in the electron mobility,³ and a reduced pressure dependence.⁴ Therefore, the simple virtual crystal approximation, conventionally used to describe semiconductor alloys, cannot be applied to GaAsN. Shan et al.⁴ introduced the band anticrossing (BAC) model in 1999, which accurately explains the band-gap reduction and its pressure dependence. This model predicts an increase of the electron effective mass m^* with N content. Shtinkov *et al.*⁵ presented an empirical tight-binding (TB) model for the electronic structure and showed a similar behavior for the electron effective mass up to 1.5% of nitrogen as in the BAC. A modified $k \cdot p$ calculation was proposed⁶ one year later, which predicted a strong increase of the electron effective mass, followed by a non-monotonic composition dependence due to N-clustering. Pseudopotential,^{7–9} first-principles^{10–12} and several other calculations were applied, resulting in detailed models for the electronic structure of dilute nitrides, but without information on the electron effective mass. A smooth increase of the electron effective mass was determined by magneto-photoluminescence (PL) on GaAsN/ GaAs quantum well (QW) samples with a high N content (0.9% - 4%).¹³ Using the same method, a steep increase of m^* was observed by Masia *et al.*¹⁴ and Alberi *et al.*¹⁵ on GaAsN films for low N contents up to 0.2%. Also, while

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^{a)}Present address: Laboratoire National des Champs Magnétiques Intenses, CNRS-UJF-UPS-INSA, 143 avenue de Rangueil, 31400 Toulouse, France.

^{b)}Present address: Radboud University Nijmegen, FELIX Laboratory, Toernooiveld 7c, 6525 ED Nijmegen, The Netherlands.

c)Electronic mail: h.schneider@hzdr.de

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described in Ref. 20. The FEL wavelength was determined by a Fourier transform spectrometer. The CR transmission was measured in Faraday geometry with a Ge:Ga detector during a pulse cycle of 150 ms and a field maximum of 63 T.

The experiments were performed at 100 K to avoid carrier freeze-out. That effect would result in so called impurityshifted cyclotron resonance (ICR)^{21,22} which appears at lower resonant magnetic fields $B_{\rm res}$ than the CR of free carriers, as shown for comparison in Fig. 1(a). The impurities are ionized at 100 K such that CR relates to free carriers and we can obtain the CR electron effective mass using

$$m^* = \frac{e}{\omega_{\rm FEL}} B_{\rm res}.$$
 (1)

The CR absorption coefficient α of the GaAsN:Si layer can be expressed classically²³ by the real part of the conductivity

$$\Re(\sigma_{xx}) = \sigma_0 \frac{1 + (\omega\tau)^2 + (\omega_c \tau)^2}{\left[1 - (\omega^2 - \omega_c^2)\tau^2\right]^2 + 4(\omega\tau)^2} = \varepsilon_0 c n_1 \alpha \quad (2)$$

for linearly polarized FEL or QCL radiation, and is defined by the DC conductivity $\sigma_0 = ne^2 \tau/m^*$, the carrier density *n*, the relaxation time τ , and the cyclotron frequency

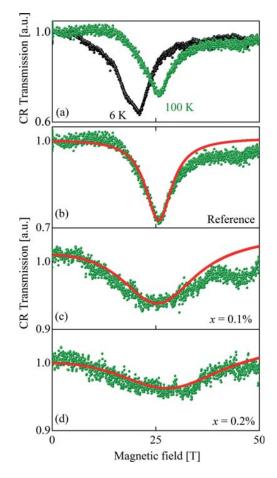


FIG. 1. Transmission spectra with a FEL photon energy of 41.4 ± 0.5 meV: (a) CR (green) and ICR (black) of our reference sample taken at 6 K and 100 K, respectively. (b) – (d) CR transmission of GaAs_{1-x}N_x samples with x = 0% - 0.2% at 100 K. Green bullets represent the experimental results and the red line is a classical CR absorption fit to the data using Eq. (3). The minimum at ≈ 45 T in (c) is an artifact that originates from mechanical noise.

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 $\omega_c = eB/m^*$. We can then express the transmitted THz radiation *T* with the Beer-Lambert law

$$\frac{T}{T_0} = (1-R)^2 e^{-\alpha z} = (1-R)^2 \exp\left(-\frac{\Re(\sigma_{xx})}{\varepsilon_0 c n_1} z\right), \quad (3)$$

which allows us to deduce the carrier density n, the scattering time τ , the cyclotron frequency ω_c , and thus the electron effective mass m^* , if we use Eq. (3) as a fitting function to our experimental data. Here, T_0 denotes the intensity of the incident THz radiation, R is the reflectivity that we assume to be constant, n_1 is the refractive index, and ε_0 is the vacuum permittivity.

Figures 1(b)–1(d) show CR transmission spectra of GaAsN samples with different N contents taken with the FEL photon energy 41.4±0.5 meV. The comparison of the CR lines indicates that the electron effective mass is not significantly affected by nitrogen content up to x = 0.2% because of the remarkably small shift of $B_{\rm res}$ between (b) and (d). Due to the energy dependence of m^* , we performed CR spectroscopy experiments with different photon energies below and above the limiting Reststrahlen band of GaAs. The results are summarized in Table I. Figs. 2(a)–2(c) suggest a small increase of m^* with nitrogen content from 0% to 0.2% in the investigated energy range. Furthermore, we calculated the energy dependence of the momentum effective mass^{13,24}

$$m^{*}(k) = \hbar^{2} k \left| \frac{dE_{-}(k)}{dk} \right|^{-1}$$
(4)

and use the BAC $model^4$ for the description of the lowest conduction band

$$E_{-}(k) = \frac{1}{2} \left(E_{N} + E_{M}(k) - \sqrt{\left(E_{N} - E_{M}(k)\right)^{2} + 4V_{MN}^{2}x} \right)$$
(5)

of GaAsN. $E_M(k)$ and $E_N = E_M(0) + 0.23 \text{ eV} = 1.732 \text{ eV}$ are the energies of the GaAs conduction band and of the N level relative to the top of the valence band for 100 K, respectively. $V_{MN} = 2.7$ eV describes the interaction between those two types of states.²⁵ $E_M(k)$ was calculated in two different ways. We ignored the small nonparabolicity of $E_M(k)$ in the first treatment and described a perfectly parabolic band (solid line). In the second approach, we included the nonparabolicity by a simple two-band $k \cdot p$ approximation²⁶ (dashed line). The experimental masses of the reference (a) can be perfectly described by the calculated values of the second method. In case of the nitrogen containing samples (b) and (c), we observe an increase of both the CR effective mass and nonparabolicity with increasing N content, which is in good agreement with the energy behavior of the calculated BAC momentum masses. In general, the nonparabolicity is expected to be small at low energies but to increase significantly in proximity of the N-level (>100 meV above $E_M(0)$). Thus, we observe an almost perfectly linear behavior of B_{res} in the inset (d) of Fig. 2 in the energy range up to 40 meV in agreement with calculations based on the BAC model⁴ (dashed line).

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TABLE I. Results of our CR study for different photon energies ($17.7\pm0.5 \text{ meV}$, $26.7\pm0.5 \text{ meV}$ and $41.4\pm0.5 \text{ meV}$). The CR electron effective masses m^* , relaxation times τ , and mobilities μ are results of our fit with Eq. (3).

| | $h\omega_{\text{QCL}} = 17.7 \pm 0.5 \text{meV}$ | | $h\omega_{\text{FEL}} = 26.7 \pm 0.5 \text{ meV}$ | | | $h\omega_{\text{FEL}} = 41.4 \pm 0.5 \text{meV}$ | | | |
|----------------------|--|-------------------|---|-------------------|-------------------|--|-------------------|-------------------|------------------|
| N content | 0% | 0.1% | 0.2% | 0% | 0.1% | 0.2% | 0% | 0.1% | 0.2% |
| $m^{*}(m_{0})$ | 0.067 ± 0.002 | 0.067 ± 0.003 | 0.069 ± 0.007 | 0.069 ± 0.001 | 0.069 ± 0.002 | 0.072 ± 0.004 | 0.071 ± 0.001 | 0.072 ± 0.003 | 0.08 ± 0.005 |
| $\tau(fs)$ | 100 ± 20 | 68 ± 11 | 43 ± 31 | 114 ± 10 | 59 ± 10 | 45 ± 20 | 110 ± 15 | 36 ± 11 | 36 ± 10 |
| $\mu({\rm cm^2/Vs})$ | 2800 ± 600 | 1500 ± 300 | 1100 ± 400 | 2900 ± 200 | 1500 ± 200 | 1100 ± 400 | 2800 ± 200 | 900 ± 100 | 600 ± 200 |

Another important observation from Fig. 1 is the significant broadening of the CR lines in (c) and (d). This signature is characteristic for the reduction of mobility and relaxation time τ of the nitrogen containing alloys, summarized in Table I. The CR mobilities can be determined by Eqs. (2) and (3) with $\mu = e\tau/m^*$ and are shown in Fig. 3(a) by red triangles. They lie slightly below the Hall mobilities,²⁷ which are shown with blue diamonds in the same figure. This reflects the weaker sensitivity of the Hall measurement to forwardscattering processes in comparison to CR.²³ TB calculations²⁸ and Anderson's many-impurity model calculations²⁹ propose much higher mobility values. Deviations might be explained by not having considered scattering by interstitial nitrogen atoms, ionized impurities (neglected by Ref. 28) or NN-

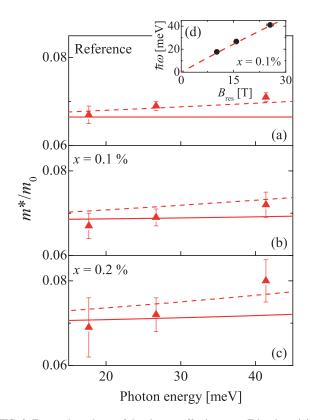


FIG. 2. Energy dependence of the electron effective mass. Triangles originate from CR spectroscopy investigations with different photon energies. Momentum masses (see Eq. (4)) were calculated using the BAC⁴ model and are shown for the parabolic approximation of the GaAs CB (solid lines) and under consideration of the CB nonparabolicity (dashed lines) for GaAs_{1-x}N_x with (a) x = 0%, (b) x = 0.1%, and (c) x = 0.2%. The inset (d) depicts $B_{\rm res}$ in dependence of the photon energy for x = 0.1%. Circles represent experimental values and the dashed line stands for a BAC fit.

clusters (neglected by Ref. 29). N related scattering can be recognized as the main scattering mechanism.

If we compare our CR effective masses with results in the literature, we find them in good agreement with values obtained from BAC model⁴ calculations, as mentioned before, and empirical TB calculations,⁵ as can be seen in Fig. 3(b). Magneto-PL, 14,15 on the other hand, yields much larger m^* . Since PL is very sensitive to carrier localization by alloy disorder and clusters, the results in Refs. 14 and 15 can be well described by the LCINS model,⁶ which shows that isolated N-impurities and N-clusters form strongly localized energy states in the conduction band. In contrast, CR spectroscopy is only sensitive to delocalized states and thus in agreement with Refs. 4 and 5. Thus, the origin of this difference is that CR probes delocalized states and measures the average mass at the Fermi energy, whereas magneto-PL is dominated by emission from localized states in regions with higher N. Hence, contradicting results in the literature on the electron effective mass determination arise from the use of techniques that are indirect and also very sensitive to disorder and carrier localization phenomena.

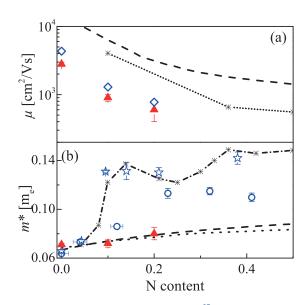


FIG. 3. (a) Mobility μ vs. N content. CR and Hall²⁷ mobilities are shown for 100 K with red triangles and blue diamonds, respectively. The dashed²⁹ and dotted²⁸ lines represent calculations for n-doped (10^{17} cm⁻³) GaAsN at room temperature. (b) Effective mass m^* vs. N content. CR effective masses (triangles) are compared with calculations based on the BAC model⁴ (dashed line), the empirical TB⁵ (dotted line), and the LCINS model⁶ calculations (dashed-dotted line). Magneto-PL results on m^* are shown with circles¹⁵ and stars,¹⁴ respectively. The underlying CR experiments were taken at 41.4 ± 0.5 meV and 100 K.

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In summary, we performed CR spectroscopy investigations on Si-doped GaAsN alloys with different nitrogen contents using high pulsed magnetic fields in combination with FEL/QCL THz radiation. We found a moderate increase of the CR electron effective mass and nonparabolicity in good agreement with calculations based on the two-level BAC model.⁴ Furthermore, we observed a pronounced CR mobility drop in the nitrogen containing samples. Although N related scattering is the main scattering mechanism, other scattering processes cannot be ignored. The discrepancy between CR and magneto-PL results concerning the electron effective mass can be explained by the different sensitivity of the two methods to carrier localization by N-induced localized states.

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microstructure on the resulting magnetic properties has been

investigated along with electric transport and defect

(100) single crystals by means of DC magnetron sputtering. A

high purity oxygen deficient ceramic TiO_{2-x} target was sput-

tered at 120 W in Ar/O₂ atmosphere at a pressure of 0.7 Pa

containing ~ 0.4 vol. % of O₂. These conditions were found to

yield near-stoichiometric films confirmed by the absence of

optical absorption below the bandgap of $3.2(\pm 0.1)$ eV, as

determined by spectral photometry (not shown). Amorphous

TiO₂ films were obtained by deposition on unheated sub-

strates. Polycrystalline anatase TiO₂ structure was achieved

by post-growth annealing of initially amorphous layers at

450 °C for 1 h in vacuum ($<2 \times 10^{-5}$ Pa). Epitaxial anatase

TiO₂, on the other hand, was obtained by direct deposition on

substrates heated to 500 °C. For a homogeneous depth distri-

bution of the Mn ions, as compared to the Gaussian-like depth

distribution for implantations at a single energy, five different

energy and ion fluence combinations, as determined by SRIM

simulations,⁹ have been applied¹⁰ (see Ref. 11 for a similar

ion implantation depth profile calculation). The maximum im-

plantation depth was calculated to be around 150 nm. By

keeping the maximum implantation depth around 150 nm, the

interfacial mixing between the film and substrate, as well as

doping of the substrate, is avoided. Moreover, having the im-

plantation profile somewhat thinner than the actual film thick-

ness also helps to avoid the formation of interfacial clusters.¹²

The crystalline structure of both as-grown and implanted TiO_2 films was controlled by means of X-ray diffraction (XRD) measurements using Ni-filtered Cu-K α radiation of a

PANalytical Empyrean diffractometer. The local atomic

TiO₂ films of 300 nm thickness were grown on SrTiO₃



From a non-magnet to a ferromagnet: Mn⁺ implantation into different TiO₂ structures

O. Yıldırım, ^{1,2,a)} S. Cornelius, ^{1,3} M. Butterling, ⁴ W. Anwand, ⁴ A. Wagner, ⁴ A. Smekhova, ^{5,6} J. Fiedler, ¹ R. Böttger, ¹ C. Bähtz, ^{1,7} and K. Potzger¹ ¹Helmholtz-Zentrum Dresden - Rossendorf, Institute of Ion Beam Physics and Materials Research, Bautzner Landstr. 400, 01328 Dresden, Germany ²Technische Universität Dresden, D-01062 Dresden, Germany ³Department of Chemical Engineering, Materials for Energy Conversion and Storage, Delft University of Technology, Julianalaan 136, NL-2628BL Delft, The Netherlands ⁴Helmholtz-Zentrum Dresden - Rossendorf, Institute of Radiation Physics, Bautzner Landstr. 400, 01328 Dresden, Germany ⁵Faculty of Physics, Lomonosov Moscow State University (MSU), 119991 Moscow, Russia ⁶Faculty of Physics and CENIDE, University of Duisburg-Essen, Lotharstr. 1, 47048 Duisburg, Germany ⁷Rossendorf Beamline, European Synchrotron Radiation Facility, F-38043 Grenoble, France

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We report effect of the initial structural order on the resulting magnetic properties of manganese implanted TiO₂ films. Different microstructures of as-grown TiO₂ films, namely, amorphous, polycrystalline anatase, and epitaxial anatase, have been implant-doped with Mn^+ up to a concentration of 5 at. %. We found that different initial structures lead to different defect and charge carrier concentrations and, as a result, strongly influence the magnetic properties upon implantation. Depending on the initial microstructure, paramagnetism, secondary phases related magnetic properties as well as ferromagnetism could be observed in the films. © 2015 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4938069]

properties.

Until the discovery of room temperature ferromagnetism (FM) in TiO₂:Co (anatase),¹ studies on dilute magnetic semiconductors (DMS) were mostly focused on II-VI and III-V compounds. The aforementioned report broadened the research area of DMS to oxides and it has stimulated numerous follow-up studies. The simple experimental concept of doping TiO₂ with a few atomic percent of 3d metal cations is expected to yield substitutional dopant sites, which are separated by large distances, yet ferromagnetically ordered.² Such a ferromagnetic order, covering long-range distances, is inexplicable in terms of common super-exchange and double exchange, which consider only the first or second nearest-neighbour cation interactions.³ Therefore, the discovery of dilute magnetic oxides (DMOs) also fueled a debate on the origin and the mechanism of the observed FM in such systems. The origin of the observed FM in DMOs can vary from magnetic contaminations,⁴ secondary phases⁵ to open-volume defects, $^{6-8}$ which are considered as extrinsic or unwanted sources of FM. On the other hand, if FM occurs due to coupling of dopant atoms residing on substitutional sites within the host lattice, it is considered to be an intrinsic effect. Consequently, identifying electronic and defect properties of the material along with the chemical environment of the dopant is of primary importance in order to understand the interplay between extrinsic and intrinsic origins of the magnetic properties. In this study, TiO₂ films with different defect properties have been prepared by adjusting their initial microstructure. Subsequently, Mn⁺ implantation was performed in order to dope the TiO₂ films, and the effect of the

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^{a)}Author to whom correspondence should be addressed. Electronic mail: o.yildirim@hzdr.de

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coordination of the implanted Mn dopant was investigated by X-ray absorption near-edge spectroscopy (XANES) at Mn K-edge. XANES measurements were performed in fluorescence mode using energy-resolved detector at the ROBL-MRH setup of the BM-20 beamline at the ESRF in Grenoble, France. All structural characterizations were performed at room temperature. The magnetic properties of the implanted films were investigated by a superconducting quantum interference device (SQUID, Quantum Design MPMS XL 7). Magnetometry was performed as function of temperature and magnetic field. For all samples, zero field cooled (ZFC) and field cooled (FC) thermomagnetic measurements have been carried out in an applied external magnetic field of 15 mT. The electrical transport properties of the films were characterized using a LakeShore HMS 9709 Hall measurement system in the temperature range of 20 K and 300 K and an applied external field range of 1 T with probe current of 1 mA. Ohmic contacts were employed by sputtering gold onto sample corners and attaching silver wires with silver glue on top of gold layers. The Hall effect measurements were carried out in van der Pauw geometry. Positron annihilation spectroscopy (PAS) was performed at the Slow Positron System of Rossendorf (SPONSOR).¹³ The positron beam emitted from a ²²Na radioactive source was accelerated up to 30 keV for depth resolved Doppler broadening spectroscopy. The S parameter, characteristic of open volume defect concentration within the film,¹⁴ is extracted from the Doppler broadening of the 511 keV photon peak originating from positron-electron annihilation in the sample.

XRD pattern analysis shows that all of the observed diffraction maxima of the polycrystalline and epitaxial films can be identified with the anatase phase of TiO₂ (not shown). Moreover, out-of-plane c-axis texture, i.e., $TiO_2(001)$ ||STO(100), for as-grown epitaxial film is confirmed by rocking curve measurements at the anatase (004) reflection (not shown). Upon Mn⁺ implantation, rutile formation is observed both in initially polycrystalline anatase and epitaxial anatase films. Similar XRD patterns along with a detailed analysis on the structural deformation by considering the formation of rutile phases upon ion implantation is given elsewhere.¹¹ However, given the weak contribution of rutile related peaks to the total XRD pattern, the initial anatase structure has been mostly preserved after implantation. Apart from the rutile phases, no other known Mn related secondary phases could be detected by XRD.

The Mn⁺-implanted polycrystalline and epitaxial TiO₂ films show n-type degenerate semiconducting behavior, i.e., the electron density is temperature independent with free electron concentrations of 1.54×10^{19} cm⁻³ and 6.21×10^{18} cm⁻³, for the Mn⁺-implanted polycrystalline and epitaxial films, respectively. The room temperature electron mobilities are $\sim 2 \text{ cm}^2/\text{V}^{-1}\text{s}^{-1}$ for polycrystalline and $\sim 15 \text{ cm}^2/\text{V}^{-1}\text{s}^{-1}$ for epitaxial TiO₂:Mn film, which are comparable to the reported values for Nb doped epitaxial anatase TiO₂ films.¹⁵ In the case of amorphous films, the contact resistance was above the measurement limit (>20 G\Omega cm). Additionally, no anomalous Hall effect (AHE) has been observed for any of the three Mn⁺-implanted samples within the investigated temperature range.

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Figure 1 depicts the S parameter dependence on the positron energy as obtained from the PAS measurements on as-grown (a) and Mn^+ -implanted (b) TiO₂ films. The data shown provide some depth information, because the positron energy defines the implantation depth of positrons into the sample, which is then followed by diffusion until annihilation takes place. Since the film surface acts as a positron sink, the S parameter is high at lowest positron energies (region I). Positrons implanted at higher energies remain in the bulk of the film, resulting in a plateau of the S parameter that provides information about defect concentration within the film (region II). Moreover, owing to the positive charge of the positron, PAS measurements are only sensitive to negatively charged or neutral vacancy defects. The PAS measurements on as-grown TiO2 films reveal that the concentration of these defects is highest in as-grown amorphous TiO₂ and decreases in polycrystalline and further in epitaxial TiO_2 (Fig. 1(a)). After the Mn⁺ implantation this trend is preserved (Fig. 1(b)). The more pronounced plateau of the S parameter depth profile observed in Mn⁺-implanted polycrystalline and epitaxial films as compared to their as-grown states may be attributed to an increased sub-surface defect concentration, leading to suppressed positron diffusion towards the surface.

Figure 2(a) shows the Mn K-edge XANES spectra of the Mn^+ -implanted TiO₂ films. Post-edge region of each spectrum was normalized to unity. The positions of the Mn K-edges for all three TiO₂:Mn structures coincide with those of MnO¹⁶ and MnTiO₃,¹⁷ confirming that most of the

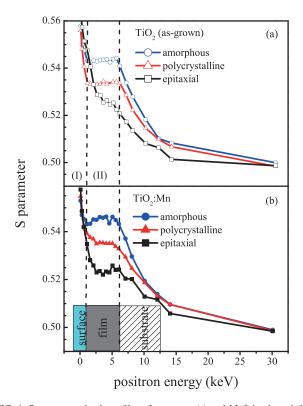


FIG. 1. S parameter depth profiles of as-grown (a) and Mn^+ -implanted (b) TiO₂ structures. Dashed lines are present to guide the eyes and the energy range between them roughly corresponds to film body. A schematic sketch of the positron energy-depth profile through a thin film sample is given at the bottom of the figure.

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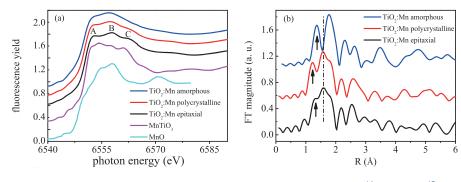


FIG. 2. (a) Mn K-edge XANES spectra of Mn^+ -implanted TiO₂ structures along with reference spectra of MnO^{16} and $MnTiO_3^{17}$ (reference spectra shifted vertically for clarity by considering the energy calibration of experimental setup). Data were obtained in grazing incidence geometry at 1° to enhance the Mn fluorescence signal. A, B, and C are given in order to guide the eyes and represent three distinct features, which show differences for each microstructure type. (b) Fourier transform of k^3 -weighted extended XANES spectra of the experimental data. (The FT are not corrected with a phase shift.) The dotted-dashed line as well as arrows is present to guide the eyes.

implanted Mn atoms are in (II) oxidation state. In spite of an octahedral oxide coordination of Mn in both MnO and MnTiO₃, the difference in the local Mn-O coordination depends on the distortion of the octahedron, which can also be probed and distinguished by XANES measurements.^{18,19} The XANES spectra of the three TiO₂:Mn films are dominated by three common features A, B, and C (Fig. 2(a)). These features are most pronounced in the case of the epitaxial film and resemble the MnTiO₃ spectrum. With increasing structural disorder from epitaxial to polycrystalline TiO₂, the features A-C tend to broaden. In particular, feature C, which appears as a weak shoulder in the polycrystalline film, vanishes in the case of the amorphous TiO₂:Mn, i.e., its presence reflects higher microstructural order. The Fourier transforms (FT) of the $k^{3}\chi(k)$ weighted Mn K-edge spectra of the Mn⁺implanted TiO₂ films are depicted in Fig. 2(b). The most intense FT peak of the epitaxial film was found at the same position for Ti in anatase structure.²⁰ Correspondingly, peak at around 1.60 Å (marked with a dashed-dotted line) is related to the Mn-O distance in the octahedron. For the amorphous film, this peak is shifted, which suggests a different Mn-O distance as compared to the epitaxial and the polycrystalline films. On the other hand, this peak contains a weak shoulder (shown by arrows) at around 1.3 Å for the epitaxial film. This shoulder becomes more pronounced for the polycrystalline film and eventually for the amorphous film it becomes almost an independent peak separated from the main peak. This situation seems to reflect different distortions of the octahedron.²¹ Backscattering amplitudes and sizes of Mn and Ti atoms are slightly different, so if both of these atoms occupy the equivalent positions in the same matrix, the corresponding EXAFS signal will be disturbed and the most intense line of the FT could broaden. As a consequence, for the amorphous film, Mn atoms show different coordination geometry as compared to the epitaxial film. The similarities between the spectra obtained from the epitaxial film and Mn environment in MnTiO₃ and Ti environment in the anatase TiO₂ imply that most of the implanted Mn atoms within the epitaxial film reside in Ti lattice sites. For the polycrystalline film, due to a pronounced shoulder at 1.3 Å as compared to the epitaxial film, the Mn atoms appear to have two types of oxide coordination geometries, i.e., only a partial incorporation of Mn by substitution of Ti must be assumed.

The temperature dependent magnetometry results for the Mn^+ -implanted TiO₂ structures are shown in Figure 3. It is worth to note that prior to implantation, all of the asgrown TiO₂ films showed only diamagnetic response. The Mn⁺-implanted amorphous film exhibits a splitting between ZFC and FC curves and an antiferromagnetic-like transition at around 110 K (Fig. 3). This value is in agreement with the Néel temperature of MnO,^{22,23} supporting the secondary phase-like coordination geometry of Mn in amorphous TiO2 found from XANES measurements. Mn⁺-implanted polycrystalline film shows a ferromagnetic behavior with a T_C of around 230 K. At low temperatures ($T \le 25$ K), the magnetization of the polycrystalline film shows a tendency to decrease (Fig. 3), suggesting the existence of antiferromagnetic interactions. The saturation magnetization of polycrystalline TiO₂:Mn was found to be around $0.85\mu_B/Mn$ at 20 K

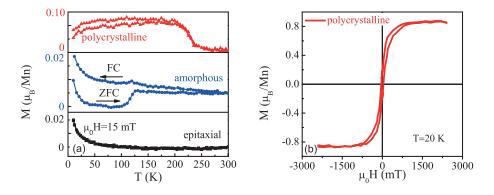


FIG. 3. (a) In-plane thermal scans of the magnetization of Mn^+ -implanted TiO₂ films with different as-grown microstructures. (b) In-plane magnetic field dependent magnetization curve of Mn^+ -implanted polycrystalline film at 20 K. For all of the measurements, diamagnetic contribution of the substrate was subtracted. Magnetic moment per Mn atom values were calculated by taking into account of total number of the implanted Mn atoms, independent of lattice-host incorporation. 242405-4 Yıldırım et al.

(Fig. 3). These two contributions to magnetic properties of the polycrystalline film support the presence of two different local environments of Mn, as indicated by XANES. By comparison to the amorphous film, the antiferromagnetic-like negative contribution is attributed to a Mn related secondary phase, whereas the FM is due to the presence of Mn_{Ti} substitutional sites. On the other hand, although most of the Mn atoms in the epitaxial film substitutes for Ti, this sample shows no signs of FM but, a well defined weak paramagnetic behavior. Recently, it was reported that the FM in transition metal doped anatase TiO₂ appears above a certain carrier concentration $(\sim 1.8 \times 10^{19} \text{ cm}^{-3})$.²⁴ This is comparable to the value measured in polycrystalline TiO₂:Mn, whereas the carrier concentration in the epitaxial sample is below. This may explain the absence of ferromagnetic interactions in the epitaxial TiO₂:Mn sample investigated in the present work. On the other hand, absence of AHE in polycrystalline anatase TiO₂:Mn is attributed to low carrier concentration.²⁵ Furthermore, compared to a previous study on 5 at. % Mn⁺implanted rutile TiO₂ single crystals,²⁶ the ferromagnetic behavior found for the polycrystalline film shows differences in saturation and thermal magnetization behavior. The extrapolated Curie temperature in Ref. 26 was found to be around room temperature, and the highest saturation magnetization is reported to be around $0.4\mu_B$. These differences must be attributed to the use of rutile TiO₂ in contrast to anatase TiO₂ in the present study. Our results also differ significantly from pure defect-induced FM in two main points. First, it is observed that the polycrystalline TiO₂:Mn is ferromagnetic with a Curie temperature at around 230 K, while almost all of the studies on the defect-induced FM report that ferromagnetic order exist above room temperature.²⁷ In addition, we find that the observed FM is correlated to the dopant type. In a recent study, we have investigated 5 at. % Co⁺ implantation into the same type of polycrystalline anatase TiO₂ films under equivalent conditions.¹¹ In contrast to Mn⁺ implantation, Co⁺ implantation leads to paramagnetism independent of the crystalline structure of the as-grown films.

In summary, we have prepared TiO₂:Mn (5 at. %) with amorphous, polycrystalline anatase and epitaxial anatase structure. The initial microstructure, characterized by openvolume defect concentration and crystallographic order, strongly affects the magnetic properties upon Mn⁺ implantation. The FM, observed in the Mn⁺-implanted polycrystalline film, is attributed to the presence of a high carrier concentration together with a sufficient amount of defects, which facilitate ferromagnetic coupling. On the other hand, paramagnetic behavior has been observed in the case of the epitaxial TiO₂:Mn film, which showed the lowest defect concentration and lower carrier density as compared to the polycrystalline film. The magnetic properties of the amorphous film are attributed to the secondary phase formation. The observed dopant type, carrier, and defect concentration dependent ferromagnetic behavior points towards an intrinsic mechanism for ferromagnetic order in Mn⁺-implanted polycrystalline anatase TiO₂ film, and it is generally consistent with a bound magnetic polaron description.28,29 Consequently, our results suggest that polycrystalline anatase TiO₂ is an excellent candidate structure for DMO applications.

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Correspondence and requests for materials should be addressed to Y.L. (y.liu@hzdr.de) or S.Z. (s.zhou@hzdr.de)

Carbon *p* Electron Ferromagnetism in Silicon Carbide

Yutian Wang^{1,5}, Yu Liu^{1,2}, Gang Wang², Wolfgang Anwand³, Catherine A. Jenkins⁴, Elke Arenholz⁴, Frans Munnik¹, Ovidiu D. Gordan⁶, Georgeta Salvan⁶, Dietrich R. T. Zahn⁶, Xiaolong Chen², Sibylle Gemming^{1,6}, Manfred Helm^{1,5} & Shengqiang Zhou¹

¹Helmholtz-Zentrum Dresden-Rossendorf, Institute of Ion Beam Physics and Materials Research, Bautzner Landstr. 400, 01328 Dresden, Germany, ²Research & Development Center for Functional Crystals, Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China, ³Institute of Radiation Physics, Helmholtz-Zentrum Dresden-Rossendorf, Bautzner Landstr. 400, 01328 Dresden, Germany, ⁴Advanced Light Source, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA, ⁵Technische Universität Dresden, 01062 Dresden, Germany, ⁶Institute of Physics, Technische Universität Chemnitz, 09107 Chemnitz, Germany.

Ferromagnetism can occur in wide-band gap semiconductors as well as in carbon-based materials when specific defects are introduced. It is thus desirable to establish a direct relation between the defects and the resulting ferromagnetism. Here, we contribute to revealing the origin of defect-induced ferromagnetism using SiC as a prototypical example. We show that the long-range ferromagnetic coupling can be attributed to the *p* electrons of the nearest-neighbor carbon atoms around the $V_{Si}V_C$ divacancies. Thus, the ferromagnetism is traced down to its microscopic electronic origin.

In provide the provided and the ferromagnetism found in graphite originates from carbon π -states and hydrogen-mediated electronic states. Ugeda *et al.*¹⁵ explained the formagnetism was found in SiC^{8,16}. Thus a question arises whether it is possible to establish a one-to-one correlation between the local moments and the specific orbitals/ electrons in SiC.

On the other hand, SiC has been well known as a kind of economical and practical abrasive and a semiconductor for its application in high-temperature and high-voltage semiconductor electronics. As to our work, the good crystalline quality and the low concentration of impurities (please compare the relevant data in Refs. 8,19,20) can remove the concerns whether the observed ferromagnetism originates from extrinsic factors (*e.g.* magnetic contamination, see Refs. 21,22). Moreover, the dynamics of defects and their charge states in SiC upon ion irradiation can be obtained by *ab initio* molecular dynamics simulations²³, rendering SiC an ideal testbed for the investigation of defect-induced ferromagnetism. Recent studies reveal that SiC could be a material with potential for applications in quantum optics and quantum information^{24–27}. Therefore, direct experimental evidence for defect-induced ferromagnetism in SiC will have significant impact on other scientific areas related to defects.

In this paper, 6H-SiC single crystals irradiated with xenon ions are investigated to reveal the origin of its ferromagnetism. We present the results of X-ray absorption near-edge structure (XANES) and X-ray magnetic circular dichroism (XMCD) experiments at both the silicon and carbon K-edges in conjunction with sensitive magnetization measurements and first-principles calculations. These results show that the *p* electrons of the nearest-neighbor carbon atoms of $V_{si}V_C$ are mainly responsible for the long-range ferromagnetic coupling. Our results provide important evidence for the origin of defect-induced ferromagnetism in SiC.

Results

Magnetization measurements and sample selection. As a prerequisite step, the pristine SiC wafer was checked for trace elements by using particle induced X-ray emission. The amount of transition metal impurities (Fe, Co and Ni) proves to be below the detection limit of around 1 µg/g (result shown in Fig. S1 in the supplementary material). Figure 1(a) exhibits the hysteresis loops of all implanted samples after subtraction of the diamagnetic background. The inset of Fig. 1(a) shows magnetization vs. field for sample 5E12 and the pristine SiC measured at 5 K. The pristine SiC is primarily diamagnetic with a weak paramagnetic contribution (see Figs. S2-S4 in the supplementary material for details). As shown in Fig. 1(a), SiC becomes ferromagnetic upon Xe ion irradiation. The strongest magnetization occurs for the sample 5E12, which is the sample subjected to the lowest fluence and with the least damage to the crystallinity (refer to Fig. S5). With rising fluence, the saturation magnetization (Ms) decreases from 0.72 μ_B /vacancy to around 0.02 $\mu_{B}/vacancy.$ The decrease of Ms at large defect concentrations has also been observed in proton irradiated graphite^{2,28}. This is very probably due to damage to the crystalline order or due to the unfavorable spin-polarization when the defects are too close to each other²⁹. The hysteresis loops measured for the sample 5E12 at 5 K and 300 K after subtracting the magnetic background from the pristine sample are shown in Fig. 1(b), indicating Ms at 300 K is still around half of Ms at 5 K and the transition temperature is higher than 300 K. Therefore, we focus on the sample 5E12 in the following investigation.

Direct evidence for the origin of magnetism. XMCD spectroscopy as an element-specific technique has been used to measure the magnetic contribution from different elements with partially occupied 3d or 4f subshells^{30,31}. Ohldag *et al.*²⁸ successfully applied this technique to investigate the magnetism at the carbon K-edge in proton irradiated HOPG. As it is possible to investigate the bonding

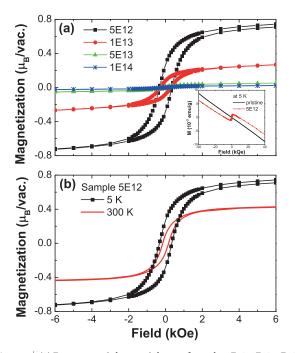


Figure 1 | (a) Ferromagnetic hysteresis loops of samples 5E12, 1E13, 5E13, 1E14 at 5 K after subtracting the magnetic background from the pristine sample. The inset shows the as-measured magnetization vs. field of the sample 5E12 and the pristine sample at 5 K. (b) Hysteresis loops of the sample 5E12 at 5 K and 300 K.

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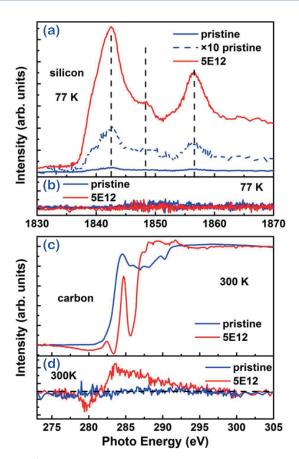


Figure 2 | X-ray absorption spectra measured in EY (electron yield) mode for the sample 5E12 and the pristine sample: (a) XANES of the silicon K-edge at 77 K, (b) XMCD at the silicon K-edge at 77 K, (c) XANES of the carbon K-edge at 300 K. (d) XMCD at the carbon K-edge at 300 K.

state in SiC single crystals using XANES spectroscopy^{32,33}, it is also possible to explore the magnetic contribution in defect-induced ferromagnetism in SiC with soft X-ray spectroscopy. Figure 2(a) shows the XANES spectra of the silicon K-edge for selected samples to investigate the source of the observed ferromagnetism. Comparing with the pristine sample, the peak positions of samples after implantation are not changed, but the relative strength of the peak at 1848 eV decreases, which suggests an increase of defect density³⁴. As shown in Fig. 2(b), the strength of the XMCD signal at the silicon K-edge is below the detection noise level in both the pristine sample and the sample 5E12. We may conclude that no spinpolarized states of 3p electrons occur at silicon atoms, and thus silicon centers do not contribute to the ferromagnetism observed in the sample 5E12. Figure 2(c) shows the XANES spectra at the carbon K-edge of the sample 5E12 and the pristine sample measured at 300 K. Resonances around 285 eV and 290 eV correspond to the transition of carbon 1s core-level electrons to π^* and σ^* bands, respectively^{14,28}. The resonance at 285 eV of the sample 5E12 is sharper than that of the pristine sample, indicating that the orbital hybridization at carbon is modified from the diamond-like sp3-type carbon in pure SiC towards a more planar, graphitic sp^2 -type carbon center, which leaves the orthogonal p_z orbital unchanged and gives rise to the peak of π^* bands³². This reflects a change of the local coordination from the tetrahedrally coordinated carbon atom in pristine SiC to the three-fold bound carbon site. In sharp contrast to the silicon K-edge, a clear XMCD signal appears at the carbon K-edge as shown in Fig. 2(d). Therefore,

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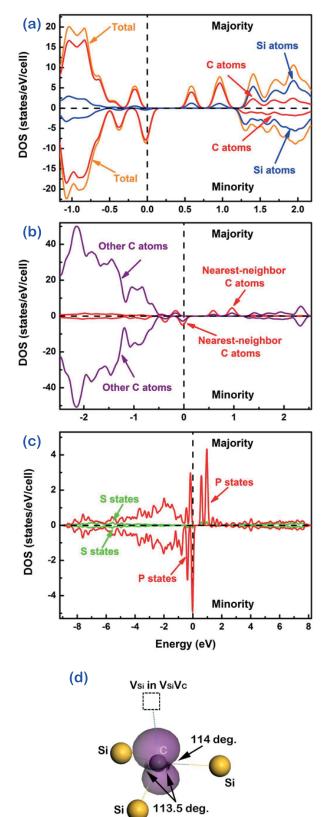


Figure 3 | The electronic structure of Si₉₅(V_S); $O_{95}(V_C)$: (a) The total spin-resolved DOS and the partial spin-resolved DOS of silicon atoms and carbon atoms, respectively. (b) Comparison of partial spin-resolved DOS

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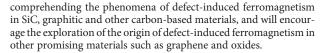
of nearest-neighbor carbon atoms and others. (c) Comparison of the partial spin-resolved DOS of *s* and *p* electrons of nearest-neighbor carbon atoms of V_{Si}V_C. (d) The structure and spin density isosurface (0.08 e/Å³, in purple) around one of the nearest-neighbor carbon atoms. The carbon atom is in grey in the middle and silicon atoms are in yellow. The arrows indicate the bond angles of Si-C-Si. The dashed line and square indicate the direction and the location of the adjacent silicon vacancy part (V_{Si}) within V_{Si}V_C, respectively.

the defect-induced ferromagnetism originates from a spin-polarized partial occupancy of the p_z orbitals at carbon atoms close to defect sites in SiC. It is worth noting that an XMCD peak at around 280 eV Fig. 2(d) appears well below the onset of the π^* resonance. This peak was also observed in graphite¹⁴. This intriguing feature is not yet fully understood.

Discussion

According to the results provided by positron annihilation spectroscopy (see Figure S6 in the supplementary material), divacancies V_{Si}V_C are the dominating defect type in our samples. Note that the nearest-neighbor atoms of $V_{Si}V_{\rm C}$ include three carbon atoms as well as three silicon atoms. Why is the magnetic signal observed only at the carbon sites? To answer this question, first-principles calculations were employed. As shown in Fig. 3(a), 90% of the spin polarization with a total moment of 2 μ_B due to one divacancy $V_{Si}V_C$ is contributed by the valence states of the carbon atoms. This explains why XMCD is only observable at the carbon K-edge. Furthermore, when comparing the partial spin-resolved DOS of nearest-neighbor carbon atoms with that of other carbon atoms, it is visible [see Figure 3(b)] that 85% of the magnetic moments originate from the three nearest-neighbor carbon atoms. In the Si-C system, as carbon has higher electronegativity than silicon, unpaired electrons around carbon atoms should be more localized than those around silicon. A Mulliken population analysis indicates that in the unperturbed SiC bulk the Si-C bonds are already polar in accordance with the respective electronegativities: Partial charges of -0.32 e on carbon atoms and of +0.32 e on silicon atoms are calculated for the pristine bulk at the Mulliken level. In the vicinity of the divacancy carbon atoms show a trend towards larger partial charges (-0.38 e), whereas the silicon partial charges close to the divacancy are nearly unchanged. Spin polarization thus mainly appears at those carbon atoms that are located around the divacancies. According to Fig. 3(c), our calculation indicates that most of the magnetic moments (90%) originate from the p states of nearest-neighbor carbon atoms of $V_{Si}V_{C}$. Due to the attraction of the remaining adjacent silicon atoms, the nearestneighbor carbon atoms will slightly move away from the V_{Si}V_C. This structure change from the unperturbed four-fold bulk coordination to a more planar three-fold bound state is connected with s-prehybridization at the C atoms in the close vicinity of V_{Si}V_C. Concomitantly, this distortion will modify the electronic structure locally towards a higher degree of sp² bonding orbitals and a singly occupied p-type lone pair at the C atoms. Thus those outermost orbitals will acquire significant π character and the magnetic moments are mainly contributed by p electrons, as shown in Fig. 3(d). This analysis corroborates our interpretation of the XMCD experiment: the XMCD signal of SiC after irradiation is thus assigned to *p* electrons.

In conclusion, in this work we investigated the magnetic properties of 6H-SiC after xenon irradiation. X-ray absorption spectroscopy at both the silicon and carbon K-edges combined with sensitive magnetization measurements and first-principles calculations are used to understand the origin of defect-induced ferromagnetism. The results give strong evidence that the *p* electrons of the nearest-neighbor carbon atoms of $V_{Si}V_C$ are mainly responsible for the observed ferromagnetism. These results provide valuable insight into



Methods

Sample preparation. A commercial one-side-polished semi-insulating 6H-SiC (0001) single crystal wafer was cut into pieces for ion irradiation. The concentrations of transition metal impurities (Fe, Co and Ni) prove to be below the detection limit of particle induced X-ray emission (see Fig. S1 in the supplementary material). Four SiC pieces were implanted by xenon ions with fluence values of 5×10^{12} , 1×10^{13} , $5 \times$ 10^{13} , 1×10^{14} cm⁻² at an energy of 500 keV at room temperature, which were subsequently labelled as 5E12, 1E13, 5E13, and 1E14, respectively. During implantation, the samples were tilted by 7 degrees to reduce the channelling effect. The corresponding displacements per atom (DPA) values have been calculated by Stopping and Range of Ions in Matter (SRIM)35 to be 0.023, 0.047, 0.23, and 0.47 respectively. The distribution of irradiation-induced damage predicted by SRIM for xenon ions is more uniform and closer to the surface than that produced by 140 keV neon ions17.

Measurements. All samples were measured with a superconducting quantum interference device (SQUID-MPMS or SQUID-VSM, Quantum Design). The magnetization is determined according to the total vacancies calculated using SRIM³⁵. Both XANES and XMCD spectroscopies at the silicon and carbon K-edges were obtained at the Advanced Light Source (Berkeley Lab). The spectra of the silicon K-edge were measured at BL6.3.1 under a magnetic field of -2 and 2 T at 77 K, while the carbon K-edge spectra were measured at BL4.0.2 with the possibility of using a X-ray photon energy as low as 100 eV (note that the carbon K-edge is around 285 eV) and applying an external field of -0.5 and 0.5 T at 300 K. The typical spectral resolution for both beamlines is $E/\Delta E \sim 5000$ (see Ref. 14). In the measurements, total electron yield (EY) mode is chosen, which usually collects the signal from the topmost 5-10 nm of the sample36

Calculation parameters. First-principles calculations were performed using the Cambridge Serial Total Energy Package37. Spin-polarized electronic structure calculations were performed using the Perdew-Burke-Ernzerhof functional³⁸ for the exchange-correlation potential based on the generalized gradient approximation. The core-valence interaction was described by ultrasoft pseudopotentials39, and to represent the self-consistently treated valence electrons the cutoff energy of the planewave basis was set to 310 eV. We calculated the total spin-resolved density of states (DOS) and the partial spin-resolved DOS of silicon atoms and carbon atoms in a 4×4 \times 1 6H-SiC supercell containing one axial V_{Si}V_C [Si₉₅(V_{Si})C₉₅(V_C)]. The calculation presented in this paper is for neutral V_{Si}V_C. With the minimum distance between adjacent $V_{Si}V_C$ larger than 12 Å, this structure allows long-range ferromagnetic coupling8. The content of the spin polarization contribution is determined by comparing the integrated DOS below the Fermi level.

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Author contributions

S.Z. conceived the experiment. Y.W. prepared the samples and performed the measurements for magnetic properties. Y.L. and G.W. made the calculation. W.A. carried out the PAS experiment. F.M. did the PIXE measurement. C.J. and E.A. assistant the XAS experiment. O.G., G.S. and D.Z. performed the Raman measurements. S.G., X.C. and M.H. supervised the work. All authors have participated the manuscript preparation and discussion

Additional information

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Alignment of Gold Nanoparticle-Decorated DNA Origami Nanotubes: Substrate Prepatterning versus Molecular Combing

Bezu Teschome, $*^{,\dagger,\ddagger}$ Stefan Facsko,[†] Kurt V. Gothelf,[§] and Adrian Keller^{||}

[†]Institute of Ion Beam Physics and Materials Research, Helmholtz-Zentrum Dresden-Rossendorf, 01328 Dresden, Germany

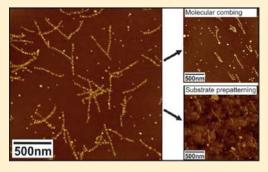
[‡]Technische Universität Dresden, Mommsenstraße 13, 01069 Dresden, Germany

[§]Danish National Research Foundation: Centre for DNA Nanotechnology (CDNA) at Interdisciplinary Nanoscience Center (iNANO) and Department of Chemistry, Aarhus University, 8000 Aarhus C, Denmark

^{||}Technical and Macromolecular Chemistry, University of Paderborn, Warburger Str. 100, 33098 Paderborn, Germany

S Supporting Information

ABSTRACT: DNA origami has become an established technique for designing well-defined nanostructures with any desired shape and for the controlled arrangement of functional nanostructures with few nanometer resolution. These unique features make DNA origami nanostructures promising candidates for use as scaffolds in nanoelectronics and nanophotonics device fabrication. Consequently, a number of studies have shown the precise organization of metallic nanoparticles on various DNA origami shapes. In this work, we fabricated large arrays of aligned DNA origami decorated with a high density of gold nanoparticles (AuNPs). To this end, we first demonstrate the high-yield assembly of high-density AuNP arrangements on DNA origami adsorbed to Si surfaces with few unbound background nanoparticles by carefully



controlling the concentrations of $MgCl_2$ and AuNPs in the hybridization buffer and the hybridization time. Then, we evaluate two methods, i.e., hybridization to prealigned DNA origami and molecular combing in a receding meniscus, with respect to their potential to yield large arrays of aligned AuNP-decorated DNA origami nanotubes. Because of the comparatively low $MgCl_2$ concentration required for the efficient immobilization of the AuNPs, the prealigned DNA origami become mobile and displaced from their original positions, thereby decreasing the alignment yield. This increased mobility, on the other hand, makes the adsorbed origami susceptible to molecular combing, and a total alignment yield of 86% is obtained in this way.

INTRODUCTION

The controlled arrangement of metallic nanoparticles on conventional surfaces has received a great deal of attention due to their potential applications in nanoelectronics, plasmonics, and sensor devices.¹ In the past, various routes for the organization of nanoparticles on technologically relevant surfaces have been explored.^{2–5} Among several methods, DNAdirected self-assembly, and particularly DNA origami,⁶ has proven to be an effective method and has been extensively employed for the precise positioning of noble metal nanoparticles with few nanometer resolution.^{7–16} DNA origami is a method developed by Rothemund to create well-defined nanostructures with any desired shape by folding a long single-stranded DNA scaffold using multiple short synthetic staple strands.⁶ A particular advantage of DNA origami is the possibility to modify selectively some of the staple strands to enable site-specific attachment of functional nanostructures. Hence, this method has become a key technique to assemble nanoparticles into 1D, 2D, and 3D geometries.

Recently, a number of studies have shown the precise organization of gold nanoparticles (AuNPs) on various shapes of DNA origami. In most experiments either $2D^{7-9,11,13,17}$

DNA origami such as rectangular and triangular shapes or quasi-one-dimensional DNA origami nanotubes^{12,15,16,18–20} have been employed as templates to assemble AuNPs. Similarly, also the controlled placement of various DNA origami nanostructures on technologically relevant surfaces has been investigated in depth.^{21–29} Yet, only few studies have attempted to control the orientation of nanoparticle-decorated DNA origami nanostructures on conventional surfaces.^{9,21} Nevertheless, controlling the arrangement of nanoparticle functionalized DNA origami over large areas is indispensible in order to integrate such DNA-based materials into nanoelectronic and plasmonic devices.

Although few researchers have reported the positioning of ordered arrays of AuNP-functionalized DNA origami on surfaces,^{9,21} virtually all of these approaches rely on electronbeam lithography which seriously limits sample throughput, especially when large homogeneous surface areas are required. Besides, only small numbers of AuNPs have been assembled on

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the DNA origami while high AuNP densities are required for instance to fabricate plasmonic waveguides¹⁹ or as seeds for further DNA origami metallization.³⁰ However, decorating DNA origami nanostructures with large AuNP numbers will alter the solution shape of the DNA origami–AuNP conjugates,^{31,32} which may hinder their controlled deposition on the patterned surfaces. This problem can be overcome by performing nanoparticle assembly on immobilized and prealigned DNA origami. However, one of the major experimental difficulties of assembling high-density nanoparticle arrays on the surface is removing the unbound, randomly adsorbed background nanoparticles. In solutionbased assemblies, the unbound nanoparticles can be removed by gel extraction, gel filtration, magnetic beads, etc.³ Therefore, in this work, we first demonstrate the high-yield assembly of high-density AuNP arrays on DNA origami adsorbed to Si surfaces with few unbound background nanoparticles by carefully controlling the concentrations of MgCl₂ and AuNPs in the hybridization buffer and the hybridization time. Then, we evaluate two methods, i.e., hybridization to prealigned DNA origami and molecular combing, with respect to their potential to yield large arrays of aligned AuNP-decorated DNA origami nanotubes. Molecular combing is a well-known technique used to align and to stretch double-stranded DNA along a certain substrate direction by applying a receding meniscus moving across the substrate surface.³⁴ Using this technique, we achieve a total alignment yield of 86% for DNA origami nanotubes decorated with 14 AuNPs, thus creating a promising route toward the large-area integration of DNA-based materials into nanoelectronic and plasmonic devices.

EXPERIMENTAL SECTION

In this work, we used DNA origami nanotubes (six-helix bundles), adopting the design of Bui et al.³⁵ The self-assembly of the DNA origami nanotubes was performed as previously described using the M13mp18 viral DNA scaffold (New England Biolabs) and 170 staple strands.²⁸ To enable the binding of 14 DNA-coated AuNPs, 28 staple strands, i.e., two strands per nanoparticle, were modified by elongating each strand with a capture sequence $S'-(AAT)_8T_4$ -3' on their 5' end (see Supporting Information for the full sequences of the modified strands).

Commercially available, citrate-stabilized 5 nm AuNPs (BBI) were coated with 3' disulfide-modified oligonucleotides of the sequence 5'-(ATT)₃T₄-3' as described previously.¹³ Under our experimental conditions, these oligonucleotides have a melting temperature above 25 °C, so that efficient hybridization can be carried out at room temperature. All staple strands and the disulfide-modified oligonucleotides were purchased from Metabion. UV/vis spectroscopy was used to estimate the final AuNP concentration of the purified solution from the optical absorbance at 520 nm.

All nanoparticle assemblies were performed on commercially available epi-polished p-Si(100) wafers with native surface oxide at room temperature. Nanoscale ripple patterns with 30 nm periodicity were fabricated on the Si substrates by off-normal low-energy Ar⁺ irradiation as previously reported.³⁶ Prior to DNA origami adsorption, the Si substrate was treated in an oxygen plasma for 3 min and subsequently rinsed with ethanol and Milli-Q water followed by drying in a stream of N₂. The DNA origami solution (concentration ~1 nM) was then incubated for 1 h in 10 × TAE buffer containing 200 mM MgCl₂ in a humidity chamber. After adsorption, the substrate was dipped in a 1:1 mixture of ethanol and Milli-Q water for 30 s to remove residual salt from the surface and dehydrate the DNA origami. After drying in a N₂ stream oriented ~45° with respect to the edge of the substrate, the adsorbed DNA origami was imaged and characterized by tapping mode atomic force microscopy (AFM) in

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air using a Bruker MultiMode 8 scanning probe microscope and PPP-NCLR cantilevers from Nanosensors (nominal force constant 48 N m^{-1} , tip radius <10 nm).

Hybridization of AuNPs to the immobilized DNA origami was performed by incubating 30 or 50 nM DNA-coated AuNPs on the substrate surface for 10 or 20 min at room temperature in 1 × TAE buffer containing 20 or 50 mM $MgCl_{2}.$ After incubation, the substrate was first dipped in Milli-Q water for 10 s to remove the unbound DNA-coated AuNPs, then dipped again in a 1:1 mixture of ethanol and Milli-Q water for 30 s, and subsequently dried in a stream of N₂. Because of the higher surface tension of pure water compared to the ethanol/water mixture, this first dip was found to be more efficient in removing unbound background AuNPs. The AuNP-decorated DNA origami were again imaged and characterized by AFM. Analysis of the number of attached AuNPs per DNA origami and the orientation of the AuNP-functionalized DNA origami on the different substrates have been determined from 5 to 10 AFM images taken at different positions on the surface of each substrate. Values represent averages over four replicates with standard deviations given as errors. For each value, up to 220 DNA origami have been analyzed. The orientation of the AuNP-decorated DNA origami nanotubes was determined by measuring the angle between the nanotube and their anticipated direction of alignment as described previously.²⁸ All the topographic AFM images have 15 nm height scale and were analyzed using Gwyddion open source software.³

RESULTS AND DISCUSSION

For our experiments, we chose DNA origami six-helix bundle nanotubes with a length of 412 nm and diameter of 6 nm due to their promising application as scaffolds for nanoelectronic and plasmonic device fabrication.¹⁹ For the attachment of 5 nm AuNPs, 28 staple strands at specific positions along the DNA origami nanotube axis were extended by adding a capture sequence on their 5' end to create 14 binding sites, where each binding site consists of two capture strands of identical sequence that protrude from two neighboring helices. To avoid binding of individual AuNPs to two adjacent binding sites, a distance between binding sites of 30 nm was chosen. Figure 1 shows a schematic representation of the DNA-coated AuNPs and the DNA origami nanotube before and after AuNP hybridization.

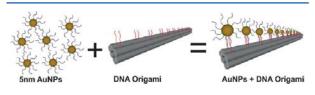


Figure 1. Schematic representation of the DNA-coated AuNPs and the modified DNA origami nanotubes before and after hybridization. To enable the attachment of the DNA-coated AuNPs, some of the staple strands used for DNA origami assembly were elongated with the capturing sequences.

Figure 2a shows an AFM height image of DNA origami nanotubes adsorbed on a flat Si/SiO₂ surface. In order to achieve moderately dispersed origami on the surface, the concentrations of DNA origami required for 1 h incubation in 10 × TAE buffer containing 200 mM MgCl₂ was first optimized. Hence, for all the following experiments, 20 μ L of a 1 nM DNA origami solution were used for a 10 × 10 mm² Si/ SiO₂ substrate. Since the high Mg²⁺ concentration of the adsorption buffer induces the aggregation of the AuNPs, the DNA origami were first adsorbed to the Si/SiO₂ surface, after which excess salt was removed with ethanol and Milli-Q water.

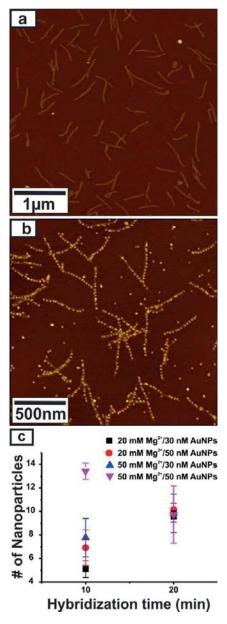


Figure 2. AFM height images and attachment yield of AuNPs for various conditions. (a) Immobilized DNA origami nanotubes on a flat Si surface. (b) AuNPs attached along the immobilized DNA origami nanotubes using 50 mM $Mg^{2+}/50$ nM AuNPs and 10 min incubation. (c) AuNPs attachment yield for different Mg^{2+} concentrations, AuNP concentrations, and hybridization times. The data were obtained by counting the AuNPs bound to the individual DNA origami.

Then AuNP hybridization was performed on the dried sample in $1\times$ TAE buffer having a lower Mg^{2+} concentration.

For optimizing the attachment of 5 nm AuNPs, the effects of Mg^{2+} concentration (20 and 50 mM in 1 × TAE), AuNP concentrations (30 and 50 nM), and hybridization time (10 and 20 min) have been evaluated. The results of the statistical analysis are presented in Figure 2c. The highest attachment yield of >96% (corresponding to 13–14 AuNPs) with few unbound background nanoparticles is obtained for 10 min incubation of 50 nM DNA-coated AuNPs in buffer containing 50 mM Mg²⁺. A corresponding AFM image is shown in Figure

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2b. At lower AuNP and Mg²⁺ concentrations, drastically lower attachment yields are observed. Increasing the hybridization time to 20 min leads to a significant increase of the attachment yield for lower Mg²⁺ and AuNP concentrations. Nevertheless, binding yields for 20 min hybridization do not exceed 70%. In addition, the density of unbound background nanoparticles also increases with hybridization time. This phenomenon is clearly visible in the AFM images shown in Figure 3 for three different

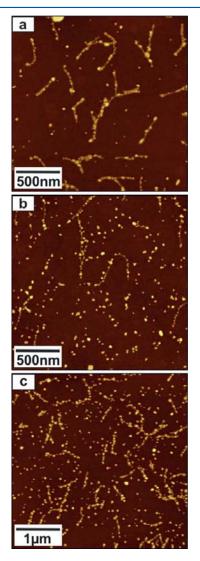


Figure 3. AFM images for 20 min hybridization time and different Mg^{2+} and AuNP concentrations: (a) 20 mM Mg^{2+} , 30 nM AuNPs; (b) 20 mM Mg^{2+} , 50 nM AuNPs; (c) 50 mM Mg^{2+} , 50 nM AuNPs.

buffer and AuNP concentrations and a hybridization time of 20 min. Especially concentrations of 50 nM AuNPs and 50 mM Mg^{2+} result in a very large density of unbound background AuNPs (Figure 3c). Besides, some of the AuNPs bound to the DNA origami form clusters and appear larger than the actual size of individual AuNPs. Those clusters have not been included in the statistical analysis which explains the observed reduction of the overall binding yield for 20 min hybridization in the case of the high Mg^{2+} and AuNP concentrations. By lowering the Mg^{2+} concentration to 20 mM and the AuNP concentration time

(Figure 3a), the density of unbound background AuNPs can be effectively reduced. Interestingly, for 20 min incubation, there is no pronounced effect of the Mg^{2+} and the AuNP concentrations on the attachment yield. Therefore, the delicate interplay of these three factors, i.e. Mg^{2+} concentration, AuNP concentration, and hybridization time, has to be taken into account not only in optimizing the attachment yield but also in avoiding unbound background nanoparticles on the Si/SiO₂ surface. This finding is consistent with recently published results.²⁰ The effect of temperature on the AuNPs attachment yield was found to be insignificant in the range from about 15 °C to about 25 °C. We however expect that much lower hybridization temperatures or temperatures too close to the melting temperature of the oligonucleotides will result in a reduction of the attachment yield.

In the next step, we applied the optimized protocol, i.e., 10 min hybridization of 50 nM AuNPs in 1 × TAE with 50 mM Mg²⁺, for AuNP attachment to aligned DNA origami nanotubes on prepatterned surfaces. Recently, we demonstrated the selfalignment of DNA origami nanotubes on silicon surfaces exhibiting self-organized nanoscale ripple patterns produced by ion irradiation.²⁸ By optimizing the nanopattern dimensions and the ionic strength of the adsorption buffer, we were able to produce arrays of aligned DNA origami nanotubes due to surface topography and electrostatic interactions between the DNA origami and the patterned surface. In particular, for a ripple pattern with 30 nm periodicity we could achieve an alignment yield >70% for the same type of DNA origami nanotube as used in the present work. We therefore prepared and characterized nanopatterned surfaces with 30 nm periodicity for this experiment (Figure 4a). Then, the DNA origami were adsorbed on the nanorippled surface. Figure 4b shows an AFM phase image of the adsorbed DNA origami on the nanopatterned surface where most of the DNA origami nanotubes are located in the ripple valleys and follow the pattern direction. For a better visualization, four nanotubes are highlighted and shown as zooms below the phase image.

After the DNA origami adsorption on the nanorippled surfaces, the substrates were dipped and dried as described above while taking care not to displace the aligned DNA origami nanotubes.²⁸ Immediately after drying, AuNP hybridization was performed using the optimized protocol. The result is shown in Figure 5a,b. Surprisingly, the AuNP attachment is found to displace the DNA origami nanotubes and dislocates them from their original positions in the ripple valleys. This phenomenon is also reflected in the angular distribution of the AuNP-decorated DNA origami nanotubes shown in Figure 5c. Less than 50% of the 116 AuNP-functionalized DNA origami nanotubes analyzed are aligned within $\pm 10^{\circ}$ with respect to the ripple direction. Prior to AuNP attachment, alignment yields of 70% are routinely obtained.²⁸ We attribute this observation to the fact that the buffer for AuNPs assembly contains only 50 mM MgCl₂ instead of the 200 mM used for the DNA origami adsorption. Therefore, some of the adsorbed Mg²⁺ ions responsible for the charge inversion of the negatively charged Si/SiO₂ surface may be released from the surface which will decrease the electrostatic attraction between surface and DNA origami, thereby increasing the mobility of the adsorbed DNA origami.³⁸ In addition, since the dimensions of the AuNPfunctionalized DNA origami are larger than those of the unmodified DNA origami, the shallow ripple pattern may not provide sufficient lateral confinement to keep the structures inside the ripple valleys.²⁸

Selected Publications

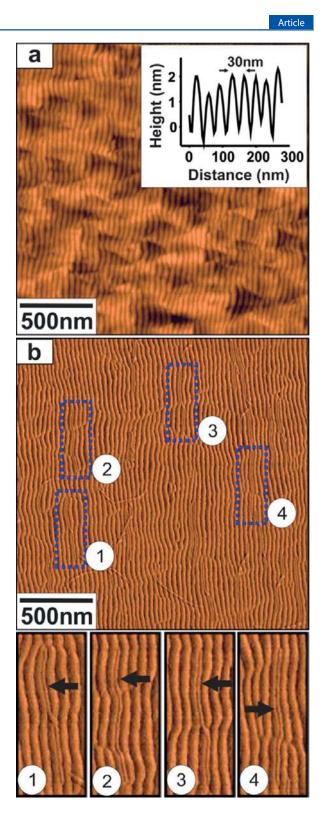


Figure 4. AFM height image (a) with corresponding line scan (inset) of a nanopatterned Si surface with 30 nm periodicity and AFM phase image (b) of immobilized DNA origami nanotubes on the nanopatterned Si surface. Four nanotubes are highlighted and shown as zooms below the phase image. The black arrows indicate the location of individual DNA origami nanotubes.

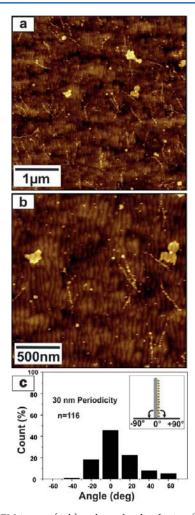
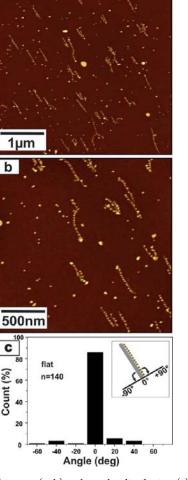


Figure 5. AFM images (a, b) and angular distribution (c) of AuNPdecorated DNA origami nanotubes adsorbed to nanopatterned Si surfaces. The angular distribution has been analyzed by measuring the angle of each AuNP-functionalized DNA origami nanotube with respect to the ripple direction (inset). The ripple direction is defined as a 0° angle.

However, since the low-magnesium buffer used for AuNP attachment seemed to enhance the mobility of the AuNPdecorated DNA origami nanotubes, we speculated that this effect might make them more susceptible to molecular combing. For DNA origami nanotubes adsorbed to Si/SiO2 surfaces with the aid of high Mg²⁺ concentrations, molecular combing did not result in any alignment.²⁸ Enhancing their mobility on the surface by a reduction of the Mg²⁺ concentration after the initial adsorption might enable the flow-induced alignment of the DNA origami nanotubes. In our approach, after the AuNP hybridization, the substrate was first dipped in Milli-Q water for 10 s and dipped again in a 1:1 mixture of ethanol and Milli-Q water for 30 s. In order to evaluate the effect of molecular combing, a flat Si/SiO₂ surface with immobilized AuNP-decorated DNA origami was withdrawn both from the Milli-Q water and the ethanol-water mixture in the same direction. Furthermore, also the stream of N2 used for drying the sample was applied along this very direction. Figure 6a,b shows AFM height images of the AuNPfunctionalized DNA origami nanotubes after molecular



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Figure 6. AFM images (a, b) and angular distribution (c) of AuNPdecorated DNA origami nanotubes adsorbed to flat Si surfaces. The angular distribution has been analyzed by measuring the angle of each AuNP-functionalized DNA origami nanotube with respect to the anticipated alignment direction (inset). The direction of movement of the receding meniscus is assigned to an angle of 0° .

combing. Preferential alignment along the withdrawal direction is clearly evident. The histogram shown in Figure 6c gives the angular distribution of the combed AuNP-decorated DNA origami nanotubes. The observed distribution reveals a total alignment yield of ~86% from 140 AuNP-functionalized DNA origami, thus demonstrating the applicability of molecular combing for aligning AuNP-decorated DNA origami nanotubes. However, as can be seen in the AFM images in Figure 6a,b, some of the AuNP-decorated DNA origami nanotubes seem to be pinned to the surface at random positions along the tube axis, presumably by the attachment of AuNP aggregates of higher mass. This pinning then results in a deformation of the DNA origami nanotube during molecular combing, so that the nanotube is kinked at the immobile pinning site with the mobile nanotube segments being aligned in the flow. About 14% of all AuNP-DNA origami nanotubes exhibit such a deformed U shape. Therefore, we find that in total 86% of all DNA origami nanotubes are aligned, but only 72% remain structurally intact while 14% are aligned but kinked or bent.

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Using more rigid DNA origami nanotubes such as 12-helix bundles may however reduce the fraction of kinked nanotubes.

The described molecular combing method has been applied also to nanorippled surfaces, with the receding meniscus moving along the direction of the ripples. However, no significant enhancement of the alignment yield compared to Figure 6c was observed. This can be attributed to the shallow depth of only \sim 2 nm of the ripple pattern which therefore does not provide sufficient lateral confinement in order to guide the AuNP-decorated DNA origami during molecular combing. The combination of molecular combing with deeper, groove-like patterns might however result in a further increase of the alignment yield.

CONCLUSIONS

In summary, we have demonstrated the high-yield synthesis of high-density AuNP arrangements on DNA origami nanotubes with few unbound background nanoparticles by carefully controlling the concentration of MgCl₂ in the hybridization buffer, the concentration of AuNPs, and the hybridization time. We have then applied the developed protocol for AuNP attachment to prealigned DNA origami nanotubes on nanorippled Si/SiO₂ surfaces. AuNP attachment was found to result in a significant decrease of the alignment yield, which we attribute to the reduced Mg2+ concentration in the hybridization buffer as compared to the high concentration used for DNA origami adsorption. This reduced Mg²⁺ concentration, however, increased the mobility of the AuNP-decorated DNA origami nanotubes and rendered them susceptible toward molecular combing. Using this approach, a total alignment yield of 86% was achieved. Although molecular combing does not provide the same versatility as topography-controlled selfalignment, its simplicity in combination with the high alignment yields makes it a very attractive method. Especially applications that do not require complex arrangements of the AuNPs but rather rely on arrays of parallel nanoparticle chains or nanowires over large surface areas, e.g., the fabrication of dichroic filters³⁹ or transparent conductive electrodes,⁴⁰ may benefit from this technique. In addition, the occurrence of deformed U-shaped AuNP-decorated DNA origami nanotubes may be minimized in future experiments by using more rigid DNA origami nanotubes.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.lang-muir.5b02569.

The full sequences of the 28 modified staple strands (PDF)

AUTHOR INFORMATION

Corresponding Author

*E-mail b.teschome@hzdr.de (B.T.).

Notes

The authors declare no competing financial interest.

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Nanometer scale elemental analysis in the helium ion microscope using time of flight spectrometry

N. Klingner^{a,b,*}, R. Heller^a, G. Hlawacek^a, J. von Borany^a, J. Notte^c, J. Huang^c, S. Facsko^a

^aHelmholtz–Zentrum Dresden–Rossendorf e.V., Bautzner Landstr. 400, 01328 Dresden, Germany ^bTechnical University Dresden, 01062 Dresden, Germany

^cIon Microscopy Innovation Center at Carl Zeiss Microscopy LLC, One Corporation Way, Peabody, Massachusetts 01960 USA

Mo Abstract

Time of flight backscattering spectrometry (ToF–BS) was successfully implemented in a helium ion microscope (HIM). Its integration introduces the ability to perform laterally resolved elemental analysis as well as elemental depth profiling on the nm scale. A lateral resolution of ≤ 54 nm and a time resolution of $\Delta t \leq 17$ ns ($\Delta t/t \leq 5.4\%$) are achieved. By using the energy of the backscattered particles for contrast generation, we introduce a new imaging method to the HIM allowing direct elemental mapping as well as local spectrometry. In addition laterally resolved time of flight secondary ion mass spectrometry (ToF–SIMS) can be performed with the same setup. Time of flight is implemented by pulsing the primary ion beam. This is achieved in a cost effective and minimal invasive way that does not influence the high resolution capabilities of the microscope when operating in standard secondary electron (SE) imaging mode. This technique can thus be easily adapted to existing devices. The particular implementation of ToF–BS and ToF–SIMS techniques are described, results are presented and advantages, difficulties and limitations of this new techniques are discussed.

Keywords: helium ion microscope, time of flight, elemental analysis, backscattering spectrometry, neutral impact–collision ion scattering spectrometry, secondary ion mass spectrometry

1. Introduction

In the recent past helium ion microscopy [1] has become a mature technique that is best known for its high resolution imaging capabilities. The latest version of these devices, the Zeiss helium ion microscope (model *Orion NanoFab*) (used in this work) is able to operate with He as well as with Ne ions and provides high resolution nano–engineering capabilities [2– 4], that so far are unmatched by any other technique. Using neon in the gas field ion source (GFIS) nano–structuring with 2 nm lateral resolution is possible without any metal (Ga) contamination [5, 6]. Although exceptional nano machining and imaging results on insulating and biological samples have been achieved, so far no analytical elemental information can be obtained in the HIM.

Several attempts have been made in the past to obtain analytical information utilizing the nano-sized ion beam available in GFIS microscopes. Early attempts to perform backscattering spectrometry (BS) in a HIM utilized a cooled, windowless silicon drift detector [7]. However, Si particle detectors provide an energy resolution with a low $\Delta E/E$ ratio of just 1:10 or worse. The so obtained BS spectra have been useful only in a limited number of specialized cases. Further, from this attempt it became clear that monolayer sensitivity should in principle be possible [8]. Analyzing the energy distribution of the emitted secondary electrons for elemental analysis has not matured

*Corresponding author

Email address: n.klingner@hzdr.de (N. Klingner)

so far [9]. Here, matrix effects and non–linearities in the SE– yield hinder the quantification of the obtained SE energy spectra [10, 11]. Recently impressive progress has been made in the development of a dedicated SIMS add–on for the HIM [12, 13]. The approach followed by Wirtz *et al.* [14] will allow high resolution SIMS spectra and mass filtered images with sub-20 nm lateral resolution.

For the elemental analysis by BS several different approaches could be used. For conventional primary ion energies in the range from 100 keV to some MeV various approaches of backscattering energy measurement have been established in the past. Semiconductor detectors are most commonly used and can deliver an energy resolution down to 5.1 keV for 2.25 MeV protons [15] using an in-vacuum preamplifier. Using additional detector cooling an energy resolution of 1.8 keV was reported for 600 keV deuterons [16] and 7 keV for 3.2 MeV He [17]. For low energies such as the ones used in HIM (typically 10 keV to 40 keV) energy resolution of 4.5 keV for 25 keV He particles have been reported. These results have been achieved by using a Peltier cooled silicon drift detector [7, 8]. Other approaches make use of magnetic [18] or electrostatic energy analyzers which have an excellent energy resolution down to $\Delta E/E \leq 0.001$ but are only sensitive to charged particles [19, 20] and acquire spectra in a sequential manner.

The fraction of charged, backscattered projectiles for energies below 10 keV decreases rapidly with increasing depth and is below one percent for scattering from depths as low as one nm [21–24]. For energies above 30 keV the charge fraction stays below ten percent [25].

Consequently, for backscattered particle detectors that are sensitive only to charged particles, the overall usefulness is reduced due to the increased sample damage and longer analysis time. The attempt of performing BS in a HIM is connected to a very small beam size and low primary ion energies. It thus is clear that in order to prevent sample damage (by sputtering and/or bubble formation [26–29]) backscattered particle detection has to be sensitive to both backscattered ions as well as neutrals.

Micro calorimeters would provide the necessary energy resolution [30] and are sensitive to ions and neutrals but their implementation into the microscope and the decoupling from the heat reservoir of the chamber would require a considerable amount of investigation and engineering work.

The most convenient approach is the application of ToF spectrometry. Performing ToF spectrometry by triggering the start signal from secondary electrons from the sample surface are currently under development for classical Ga focused ion beams [31] as well HIM [32, 33]. However, the high number of emitted SEs compared to the rather low cross sections for backscattering lead to a very low coincidence rate and subsequently a poor signal to noise ratio and therefore long measuring times.

Different to previous approaches, here time of flight spectrometry is enabled by pulsing the primary ion beam. We present first analytical results obtained with a combined time of flight backscattering spectrometry and secondary ion mass spectrometry setup. Both techniques utilize the same cost efficient approach, which requires minimal modifications of the system to ensure that the high resolution imaging capabilities are maintained when no analytical information is required. Switching between ToF–BS and standard SE imaging can be performed electronically and requires no mechanical adjustments on the instrument hardware.

2. Experimental

The helium ion microscope delivers primary ion energies from 5 keV to 35 keV, typical ion currents of a few pA and a beam focus below 0.5 nm. Higher currents of up to 150 pA are possible, however only with a larger beam spot and consequently a lower lateral resolution. A scheme showing the major components of the device is presented in fig. 1.

The start signal for the ToF measurement is created by pulsing the primary ion beam. To retain the excellent imaging capabilities of the microscope no changes have been made to the ion beam column. A newly designed fast pulsing electronics has been added to the column-mounted electronics of the beam blanking unit ((7) in fig. 1). The new electronics generates fast voltage pulses on both blanking plates that unblank the ion beam from the Faraday cup ((8) in fig. 1) for a few nanoseconds towards the sample. It is triggered by a standard TTL pulse from a pulse generator with a typical repetition rate of up to 500 kHz. An oscillograph of the voltages on both blanking plates is shown in fig. 2(a). A rise/fall time of 8 ns equally for both blanking plates was achieved.

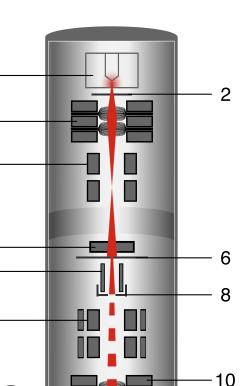


Figure 1: Simplified scheme of the HIM (not to scale): 1 Source and gas chamber, 2 Extractor, 3 Einzel lens I, 4 Quadrupole, 5 Column isolation valve, 6 Aperture, 7 Blanking unit, 8 Faraday cup, 9 Octopole, 10 Einzel lens II, 11 Micro channel plate, 12 Sample. The beam path is indicated in red.

The stop signal for our ToF measurements is obtained by detecting the backscattered particles on a micro channel plate (MCP) referred to as the stop detector in the following ((11))in fig. 1). It is a chevron stack MCP (model AF2225-A41D, type F1217-01 Hamamatsu Photonics) operated at an amplification voltage of 1800 V. The stop detector is mounted under a backscattering angle of 126° to the primary ion beam and in a distance of 358 mm to the target surface with a solid angle of 10.8 msr. For an increased relative time resolution a second MCP is mounted in a distance of 1023 mm which results in a smaller solid angle of 1.3 msr. The stop signal is amplified by a pre-amplifier (model TA2000B-2, FAST ComTec), the edge detection is done by a constant fraction discriminator (model 2128, FAST ComTec) and the time of flight is measured with a time to amplitude converter (model 2145, Canberra) and digitised by an analog to digital converter (model 7072T, FAST ComTec). Standard spectroscopic equipment (pulse height analysis via a multi channel analyzer) finally reveals the ToF spectrum.

The performance of the ToF setup has been evaluated by direct measurement of the time profile of the pulsed ion beam

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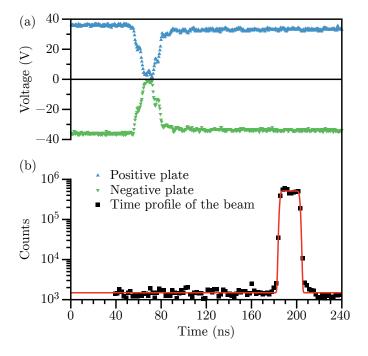


Figure 2: Voltage pulses on both blanking plates (blue and blue triangles (a)) and time profile of a pulsed 30 keV He^+ ion beam (black squares in (b)), both triggered by a TTL pulse at 0 ns. The beam pulse fits a box profile with a FWHM of 17 ns and a rise/fall time of 1.7 ns (red line). It starts at 120 ns after unblanking the ion beam which approximately corresponds to the flight time from the blanker to the sample.

using a channeltron mounted on the sample stage. The time profile of a 30 keV pulsed He ion beam has been integrated over 2×10^7 pulses and is shown in fig. 2(b). It can be described by a double error function with a width of 17 ns and a rise/fall time of 1.7 ns.

3. Results and discussion

3.1. Time of flight backscattering spectrometry

A typical ToF He backscattering spectrum of a 2 nm HfO₂ layer on top of Si is shown in fig. 3. For this measurement the pulsed beam was continuously scanned across a sample area of $200\,\mu\text{m}^2$. The peak at $320\,\text{ns}$ corresponds to backscattering from Hf which is separated from the signal of the silicon bulk material starting at 380 ns. Since the HfO₂ layer is very thin, its full width half maximum (FWHM) corresponds to the time resolution of the ToF setup. The measured $\Delta t = 17.3$ ns equals a relative time resolution of $\Delta t/t \leq 5.4$ %. This value fits to the ratio between the length of the blanking plates and the distance between sample surface and stop detector (5.8%). Obviously, increased energy straggling inside the sample with increasing depths [34] has to be taken into account and conventional single collision analysis can be assumed only for near-surface scattering. ToF spectrometers also allow even better energy resolution by increasing the flight path at cost of decreased solid angle and counting statistics. Using the same setup but with a flight path of 1023 mm and a flight time of 900 ns, a relative time resolution of $\leq 2.7\%$ has been achieved.

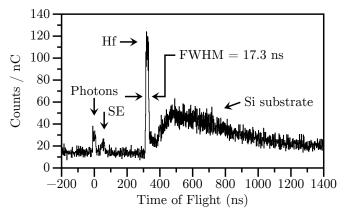


Figure 3: Time of flight spectrum of 30 keV He^+ backscattered from a 2 nm HfO₂ layer on top of Si measured with 17.3 ns time resolution. The time scale of the spectra was calibrated by helium induced photons. The total charge collected for this spectrum was 1.9 nC.

The layer structure of a sample and the elemental composition of the particular layers can be determined from the measured spectra by simulation and comparison of the simulation result to the data in an interactive way. Conventional backscattering spectrometry is typically performed with primary energies above 1 MeV and well known as Rutherford backscattering spectrometry (RBS). Analytical simulation software packages like RUMP [35], WINDF [36] or SIMNRA [37] are commonly used and known to deliver quite accurate results. All of them have in common that they assume a single or at most two main collision (besides simple models to correct the effect of multiple scattering) leading to a change of the direction and the energy of the primary particle. However, in the low energy range below 100 keV the majority of backscattered particles are suffering multiple large angle collisions with the target atoms. Thus these programs fail to recover the measured spectra. In contrast Monte Carlo simulation software like SRIM [38], TRI-DYN [39], CORTEO [40] or TRBS [41] use a binary collision approximation and deliver results taking into account multiple scattering.

The comparison of spectra from ToF measurements with simulated spectra requires a conversion of the time of flight into an energy or vice versa. A precise knowledge of the offset of the time axis is therefore essential. Since the start signal is triggered by blanking the beam and the stop signal by the backscattered particle hitting the stop MCP, the measured time of flight has to be reduced by the electronic delay and the flight time of the primary ions from the blanker to the sample surface. The latter one depends on the ions mass, its energy, and the distance from the blanking plates to the sample and can be embedded in the analysis routine. However, the first part is more difficult. Therefore the total time offset is calibrated by making use of photons emitted during the interaction of the primary beam with the sample. The lifetime of the excited states of ≤ 10 ns [42, 43] and the ToF below 2 ns make them suitable for the calibration. Although the production rate for photons in this ion energy range is rather small it is sufficient to collect a usable signal in reasonable time (minutes).

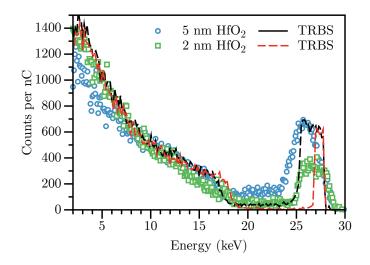


Figure 4: ToF spectra of 5 nm and 2 nm HfO₂ layer on top of Si converted into energy space (dots and squares) and corresponding TRBS [41] simulations (black and red lines). The collected charge has been adapted to fit the Si bulk signal to the TRBS simulation.

The converted spectrum of the ToF measurement presented in fig. 3 (30 keV He on 2 nm HfO₂ layer on Si) is plotted in fig. 4 together with a spectrum of a 5 nm HfO₂ layer on Si. The dots and squares present measured spectra while the black and red lines are results from Monte Carlo simulations using TRBS. The energy resolution of our ToF setup translates to a sufficient depth resolution to clearly distinguish between the different thicknesses of the HfO₂ layers. In each simulation the trajectories of 5×10^7 He ions were evaluated. Simulated particles backscattered towards the detector were recorded and sorted into a pulse height spectrum according to their energy. The resulting spectrum was scaled to the solid angle and plotted as counts per nC.

The collected charge in both measurements has been adapted to fit the Si bulk signal to the TRBS simulation. The charge adaption had to be done because the charge measurement in the HIM is designed to measure DC ion currents instead of a pulsed beam. For both measurements two different scaling factors had to be applied since they were recorded with different primary ion beam currents. For both samples the gap between Hf-peak and Si substrate reveals a non-zero offset that is not predicted by the simulations. A similar observation can be found for focused ion beam based ToF–BS [31, 44]. The origin of this effect stays unclear so far. The larger height and the smaller width of the simulated peaks originate from neglecting any detector resolution which is present in the experimental data only.

3.2. Elemental mapping with backscattering spectrometry

To obtain laterally resolved element maps we made use of a self-made micro controller based external scan electronic. This external scan controller provides analog signals to the input of the microscope steering the scanning of the beam. It further records the time of flight for each event from the analog to digital converter together with the current scan position. These events are stored in a list mode file for further evaluation. Thus one can post-select particular regions of interest within the scan field and extract local energy spectra. Scan parameters like field of view, number of pixels or dwell time (pixel time) are configurable in the data acquisition software.

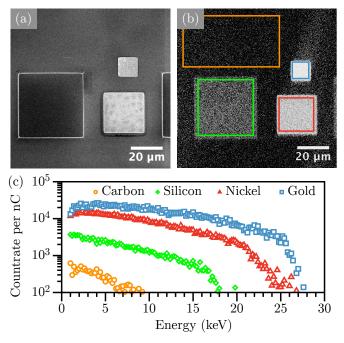


Figure 5: Images of a Au/Ni/Si patterned test sample (as described in the text) acquired in SE mode (a) and in ToF–BS mode (b). (c) shows energy spectra of backscattered He within different regions of interest in (b). The color of each spectrum in (c) corresponds to the colors of the rectangles marking the corresponding region of interest in (b).

To test the imaging capabilities of the HIM in ToF–BS mode we used a glassy carbon sample coated with rectangular patches of Si, Ni and Au. The patches have different dimensions of $40 \,\mu\text{m} \times 40 \,\mu\text{m} \times 300 \,\text{nm}$ (Si), $25 \,\mu\text{m} \times 25 \,\mu\text{m} \times 110 \,\text{nm}$ (Ni) and $12 \,\mu\text{m} \times 12 \,\mu\text{m} \times 85 \,\text{nm}$ (Au), respectively. An image of the test sample in standard SE mode is shown in fig. 5(a) and the ToF–BS image from the same surface region is presented in fig. 5(b). For this image only the highest backscattering energy in each pixel is taken for contrast generation. This leads to an enhanced elemental contrast. In fig. 5(c), the BS spectra obtained from different regions within the image presented in fig. 5(b) are shown. This allows local quantitative element analysis which is currently not possible in standard SE imaging.

Partially blanked ions lead to non axial trajectories and a spatial offset. The flight time of a 30 keV He ion through the blanking plates is approximately 17 ns. The ion will pass the blanker in an undisturbed manner if the plates are grounded during its transition. However, if the blanker changes state during the transition of the ion, it will be deflected from the aligned path through the column. This leads to a reduced lateral resolution in pulsed beam operation. The lateral resolution parallel to the deflection direction is most influenced by this effect.

The edge resolution in pulsed mode was evaluated using a Ni patch on our test sample. The results are shown in fig. 6. Images of the Ni patch without pulsing the ion beam in SE mode (fig. 6(a)) and in ToF–BS mode (fig. 6(b)) as well as the corresponding line profiles across the edges (fig. 6(c)) are presented. Line profiles of several neighboring (vertical) lines were averaged (indicated by the rectangles in fig. 6(a,b)) leading to a better signal to noise ratio. The blanking direction in this measurement was 52° with respect to the Ni edge. The edge resolution (80%-20%) is 10.9 nm in SE mode without pulsing the beam and 53.7 nm in ToF–BS mode using beam pulses with a

The reduced lateral resolution in ToF–BS mode is attributed to the partial blanking of the beam as discussed above. The larger sampling volume of the backscattered particles and the sample drift due to longer acquisition time contribute further to the reduced lateral resolution.

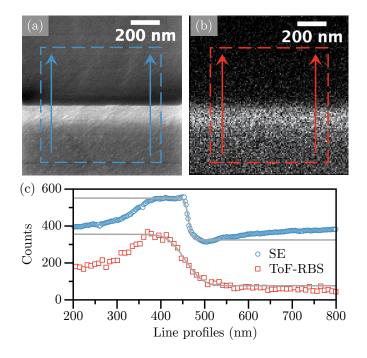


Figure 6: SE image without pulsing the ion beam (a) and ToF–BS image (b) of a Ni patch on the test sample described in the text and derived line profiles of the Ni edge (c). Line profiles are measured and averaged across the Ni edge according to the rectangles plotted in (a) and (b) and error functions fitted at the edges. The edge resolutions (80%-20%) was determined to 10.9 nm in SE mode and 53.7 nm in ToF–BS mode using 55 ns beam pulses.

It should be mentioned that the pulse length influences lateral resolution, energy resolution and signal to noise ratio simultaneously. By adjusting pulse length and duty cycle one can vary between optimum lateral and highest energy resolution. Both have to be adapted according to the particular demands of the measurement task.

3.3. Time of flight secondary ion mass spectrometry

In addition to the possibility of the measurement of ToF–BS spectra our approach of pulsing the primary ion beam allows time of flight secondary ion mass spectrometry (ToF–SIMS). For this purpose the sputtered ions (with energies of few to few tens eV [45]) have to be accelerated to higher kinetic energies

and guided towards the MCP. The time of flight directly scales with the secondary ion mass in this mode of operation.

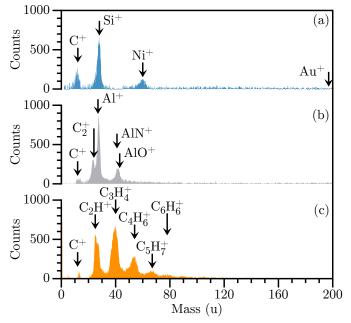


Figure 7: ToF-SIMS spectra of the Au/Ni/Si/C test sample describe in the text (a), an aluminium sample (b) and copper tape (c) measured in the HIM with 25 keV Ne ions and 250 ns pulse length.

In our experiments we applied an acceleration voltage of 500 V leading to an additional energy of 500 eV for single charged and 1000 eV for doubly charged particles, respectively (both can be identified in the mass spectra). To minimize the time spread caused by different starting energies, acceleration has to be applied as close as possible to the surface. Therefore we biased the sample holder to 500 V and mounted a grounded TEM grid on top of the sample in a distance of less than one millimeter. The sample was additionally tilted to face towards the MCP. Therefore the sputtered ions can pass through the chamber to the MCP without the need of a flight tube. Due to the sample bias, the primary ion beam is decelerated from 30 keV to 29.5 keV before reaching the sample. This setup enables SIMS measurements with moderate efforts, but with inferior ion collection efficiency compared to dedicated SIMS machines were ions are extracted by more complex extraction optics and guided towards the detector. Due to the finite mesh size of the TEM grid inhomogeneities of the electric field may occur leading to a broadening of the beam focus. It has been shown by Dowsett et al. [45] that an advanced extraction system would improve the efficiency of the SIMS setup in the HIM while keeping the lateral resolution below 10 nm. Since the majority of emitted secondary electrons have energies less than 500 eV [9, 10, 46] standard SE imaging is not available during ToF-SIMS measurements. Because of higher sputter yields the use of neon is preferred for SIMS experiments.

The ToF–SIMS spectrum of the test sample described above is shown in fig. 7(a). Mass peaks from carbon, silicon and nickel are found well separated from each other. The gold peak cannot be distinguished from background noise because

length of 55 ns.

the secondary Au⁺ yield is several orders of magnitudes smaller compared to carbon, silicon and nickel [12]. In fig. 7(b,c) further ToF–SIMS spectra of a pure aluminum sample and a piece of copper tape are presented. For the latter the ToF–SIMS spectrum actually reveals the constituents of the organic glue on top of the copper which is much thicker than the origin of the sputtered particles.

Since the flight times of the accelerated sputtered ions (E = 500 eV) are higher than those of backscattered He in ToF–BS mode ($E \le 30 \text{ keV}$) ToF–SIMS spectra can be acquired by using larger puls lengths. We used 250 ns pulses corresponding to $t/\Delta t \approx 40$ and measured a FWHM mass resolving power of $M/\Delta M \approx 12$ (Al peak). The initial energy distribution [45] of sputtered particles leads to variations in the time of flight from the sample surface to the acceleration grid. This effect can be reduced by applying higher acceleration voltages. However, compared to the short pulses for ToF–BS measurements, higher pulse lengths lead to a better lateral resolution (see text above). The need for ion extraction by biasing the sample also contributes to a lateral spread (see also [45]).

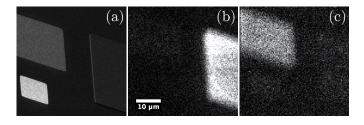


Figure 8: Images of the carbon test sample described in the text acquired in SE mode (a) and in ToF–SIMS mode (b,c) using 25 keV neon ion beam with 250 ns pulses. Different mass filters were applied for generating maps of silicon (b) and nickel (c) distribution out of the common list mode file.

The SE image of the carbon test sample is shown in fig. 8(a) and ToF-SIMS images obtained from the same location are presented in fig. 8(b,c). For generating the particular ToF-SIMS images from the corresponding list mode file different mass (flight time) filters were applied. In fig. 8(b) only silicon is shown whereas in fig. 8(c) the nickel counts are presented. Since in ToF–SIMS mode the sample has to face towards the MCP detector (see text above) the rectangular patches appear as parallelogram shapes in the images. Although mass filters are applied in fig. 8(b,c) one can identify faintly visible structures at the position of the remaining patches. These originate from neutrals (mainly backscattered neon) which could be suppressed by a reflectron flight tube in a future design. However, characterization and optimization of the ToF-SIMS mode with respect to mass and lateral resolution as well as signal-to-noise ratio are subject of future investigations. As is evident from the presented data ToF-SIMS in the HIM is perfectly capable of delivering an excellent elemental contrast for imaging purposes. However, quantification of elements in mixed layers can not be done from pure SIMS measurements without comparison to standards. This drawback of SIMS is partly overcome here as our setup is capable to also measure ToF-BS spectra. These deliver the needed quantitative information on the layer composition. Thus ToF-BS and ToF-SIMS performed in-situ

complement each other and therefore deliver a maximum of compositional information on the sample.

4. Summary

We demonstrated that time of flight backscattering spectrometry as well as secondary ion mass spectrometry can be performed in a helium ion microscope to obtain information on the elemental composition of a sample. This information is not accessible in standard SE imaging mode. Data acquisition in list mode enables post-processing of measured data to obtain BS spectra on specific regions of interest and elemental mapping at the nanometer scale. A lateral resolution of 54 nm for ToF-BS imaging was demonstrated. Spatial resolved BS was so far only possible down to 300 nm² [47, 48] using ion micro probe experiments requiring big (MeV) ion accelerators. Our experimental approach requires a minimum of changes to the existing HIM hardware and thus may be easily retrofitted on existing devices significantly enhancing their capabilities. The setup additionally allows ToF-SIMS measurements in the HIM delivering excellent elemental contrast. In summary we present a minimal invasive and cost effective way to extract a maximum of information from the sample in a correlative approach. The ability to obtain SE, ToF-BS and TOF-SIMS images in-situ, enables the user to correlate these data and in this way obtain elemental and topographical information at the nanometer scale.

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Statistics

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- 150. You, Q.; Cai, H.; Hu, Z.; Liang, P.; Prucnal, S.; Zhou, S.; Sun, J.; Xu, N.; Wu, J. Blue shift in absorption edge and widening of band gap of ZnO by AI doping and AI–N codoping Journal of Alloys and Compounds 644, 528 (2015)
- 151. Zatsepin, A. F.; Buntov, E. A.-; Mikhailovich, A. P.; Slesarev, A. I.; Schmidt, B.; von Czarnowski, A.; Fitting, H. J.
 Relaxation of excited surface states of thin Ge-implanted silica films probed by OSEE spectroscopy
 Journal of Luminescence 169, 143 (2015)
- 152. Zatsepin, A. F.; Zatsepin, D. A.; Zhidkov, I. S.; Kurmaev, E. Z.; Fitting, H. J.; Schmidt, B.; Mikhailovich, A. P.; Lawniczak-Jablonska, K. Formation of Ge⁰ and GeO_x nanoclusters in Ge⁺-implanted SiO₂/Si thin-film heterostructures under rapid thermal annealing Applied Surface Science 349, 780 (2015)

Patents

- Bürger, D.; Du, N.; Schmidt, H.; Skorupa, I.; You, T. P1505 - Komplementärer Widerstandsschalter EP15166520.5 - offengelegt am 04.11.2015
- Facsko, S.; Ou, X.
 P1404 Strukturierungsverfahren DE102014107458.0 - offengelegt am 03.12.2015; Nachanmeldung: CN
- Schmidt, H.; Selvaraj, L.; Bogusz, A.; Bürger, D.; Prucnal, S.; Skorupa, I.
 P1403 Kapazitätsdiode, Verfahren zum Herstellen einer Kapazitätsdiode, sowie Speicher und Detektor mit einer solchen Kapazitätsdiode DE102014105639 - Erteilung 13.01.2015; Nachanmeldung: WO

Concluded scientific degrees

PhD theses

- Banholzer, A. Magnetische Charakterisierung von Vortex-Dreifachlagen mittels Röntgentransmissionsmikroskopie, Magnetowiderstand und ferromagnetischer Resonanz *TU Dresden, 14.12.2015* Endler, R. Ionenstrahlgestützte Fasertexturierung und Kornwachstum in polykristallinem Dünnschichtsilizium *TU Dresden, 13.03.2015* Germer, S. Design and analysis of integrated optical waveguide structures and their coupling to silicon based light emitters *TU Dresden, 26.06.2015*
- Osten, J.
 Auswirkung lokaler lonenimplantation auf Magnetowiderstand, Anisotropie und Magnetisierung *TU Dresden, 17.12.2015*
- Pavetich, S.
 Determination of non-routine radionuclides by medium-energy accelerator mass spectrometry *TU Dresden, 06.10.2015*
- Pelic, B. Nanoscale surface engineering for improved corrosion resistance of CuZn, PbSn and TiAl alloys TU Chemnitz, 27.11.2015
- Reichel, D.
 Ripple pyrometry during millisecond annealing on shallow boron-doped silicon wafers TU BA Freiberg, 06.02.2015
- 8. Sendler, T. Leitwertkontrolle einzelner elektrisch kontaktierter Moleküle *TU Dresden, 02.10.2015*
- 9. Wang, Y. Defect-induced ferromagnetism in SiC TU Dresden, 30.01.2015
- 10. Wündisch, C. Das Diffusions- und Aktivierungsverhalten von Arsen und Phosphor in Germanium *TU Dresden, 19.11.2015*
- Zybell, S. Relaxation dynamics in photoexcited semiconductor quantum wells studied by timeresolved photoluminescence TU Dresden, 28.08.2015

Master/Diploma theses

- 1. Andric, S. Investigation of Ge nanolayers for low-dimensional electronics TU Dresden/KU Leuven, 31.08.2015
- Hähnel, M.
 Optimierung eines zeitaufgelösten THz-Spektroskopieaufbaus zur Untersuchung von Halbleiternanostrukturen TU Dresden, 03.07.2015
- Hentschel, H.
 Ferromagnetic GaMnP: Co-doping with shallow acceptors TU Dresden, 20.08.2015
- Katkoju, N. K. Electrical characterisation of single organic molecules via mechanically controllable break junction *TU Dresden, 05.08.2015*
- 5. Manjunath, K. Investigation of faceted structures induced by ion irradiation *TU Dresden, 21.07.2015*

Appointments and honors

Appointments

1. Schultheiß, Helmut

Leader of the **Emmy Noether Junior Research Group** "Magnonics: Spin waves bridging Spintronics and Photonics" was appointed as **TU Dresden Young Investigator** to the Faculty of Science of the TU Dresden.

Awards and honors

1. Bakaev, Alexander

PostDoc in the division "Structural Materials" won the **First Price** of the 2015 Young Generation Scientific Contest of the Belgian Nuclear Society (BNS), March 26, 2015, Brussels, for his PhD thesis 'Radiation effects in structural steels for nuclear applications: an atomistic study' defended 2014.

2. Deb, Dipjyoti

PhD student in the division "Scaling Phenomena" and fellow of the International Research School NanoNet was invited to the "**Falling Walls 2015**"-conference by the Alexander von Humboldt foundation in Berlin on November 08 – 09, 2015.

The **1st Prize** at the Science Slam of the 70th United Nations Day celebration in Dresden, Germany (October 23, 2015) was awarded to him for his presentation "Communication". He won a **Cfaed Inspire Grant** by the Center for Advancing Electronics Dresden (cfaed) to collaborate with Prof. Justin Holmes and Dr. Yordan M. Georgiev at Tyndall National Institute, Cork, Ireland on the fabrication of sub-20 nm silicon nanowires in May 2015.

He received the **2nd Poster Prize** for his contribution "Top-down fabrication and characterization of silicon nanowire FETs" at the IHRS NanoNet Annual Workshop 2015, Berghotel Bastei, Germany, September 30 – October 02, 2015.

He was awarded the **3rd Prize** at the Science Slam of the Graduate Academy at TU Dresden for his presentation "Transport phenomena simplified" on July 08, 2015.

In addition, he received a **DAAD-RISE Grant** to host Ms. Nikol Lambeva, MSc student in physics at the University Birmingham, U.K. in June 2015.

3. Götze, Tom

Master student in the division "Scaling Phenomena" and at TU Chemnitz successfully applied for **DAAD Funding** to attend the DAAD-organized Go East Summer School in Jekaterinburg, Russian Federation, to strengthen the cooperation with Dr. Andrey N. Enyashin, Russian Academy of Sciences, Ural Branch, on electronic properties of nanostructures.

4. Günther, Florian

PhD student in the division "Scaling Phenomena", fellow of the Center for Advancing Electronics Dresden (cfaed), and member of the International Research School NanoNet acquired an **Cfaed Inspire Grant** by the Center for Advancing Electronics Dresden (cfaed) to collaborate with the group of Prof. Ubirajara Rodrigues Filho at the University Sao Paolo, Campus Sao Carlos, Brazil on molecular dielectrics in October/November 2015.

5. Kelling, Jeffrey

PhD student in the division "Scaling Phenomena" and member of the International Research School NanoNet won an **Erasmus+ Fellowship** to visit the group of Dr. Martin Weigel at the University Coventry, U.K. for cooperative work on the efficient implementation of GPU-based codes from November 2015 to January 2016.

6. Khalid, Muhammad

PostDoc in the Helmholtz Young Investigator Group "Functional Materials" of the division "Semiconductor Materials" received the **Best Poster Prize** for his contribution "Dilute ferromagnetic InMnP" at the 20th International Conference on Magnetism, Barcelona, Spain, July 05 – 10, 2015.

7. Lokamani

PhD student in the division "Scaling Phenomena" and fellow of the International Research School NanoNet successfully applied for a **3-month Travel Grant** by the Graduate Academy of the TU Dresden to cover expenses related to his research stay at the Virtual Institute MEMRIOX partner site ETH Zürich with Prof. N. A. Spaldin from October to December 2015.

8. Schultheiß, Helmut; Wagner, Kai; Henschke, Andreas; Sebastian, Thomas

Members of the Emmy Noether Junior Research Group "Magnonics: Spin waves bridging Spintronics and Photonics" received the **HZDR Research Award 2015** for their investigations of generating and detecting reconfigurable spin wave channels.

9. Schultheiß, Helmut

Head of of the Emmy Noether Junior Research Group "Magnonics: Spin waves bridging Spintronics and Photonics" was elected as **Treasurer of the German Chapter** and as member of the **Technical Committee** of the **IEEE Magnetics Society**.

10. Sebastian, Thomas; Schultheiß, Helmut

Members of the Emmy Noether Junior Research Group "Magnonics: Spin waves bridging Spintronics and Photonics" received a **Helmholtz Enterprise Grant** from the Initiative and Networking Fund of the Helmholtz Association for the development of the spin-off company 'GridLab'.

11. Teschome, Bezu

PhD student in the division "Ion beam Center" and fellow of the International Research School NanoNet won the **3rd Poster Prize** for his contribution "Arrangement and characterization of functional DNA origami nanostructures for nanoelectronics" at the IHRS NanoNet Annual Workshop 2015, Berghotel Bastei, Germany, September 30 – October 02, 2015.

12. Wagner, Kai

Member of the Emmy Noether Junior Research Group "Magnonics: Spin waves bridging Spintronics and Photonics" obtained the **Ken Hass Outstanding Student-Paper Award** (Runner-up) in recognition of his outstanding research "Magnetic domain walls as reconfigurable spin-wave nano-channels" presented by an invited talk at the American Physical Society (APS) March Meeting 2016 in Baltimore, USA.

In addition, he obtained an **IEEE Travel Grant** for the IEEE Magnetics Society Summer School at Tohoku University, Sendai, Japan in July 2015.

13. Yuan, Ye

PhD candidate in the Helmholtz Young Investigator Group "Functional Materials" of the division "Semiconductor Materials" received the **Best Poster Prize**: "Spin-glass behavior of Fe doped InAs prepared by ion implantation and pulsed laser annealing" at the 20th International Conference on Magnetism, Barcelona, Spain, July 05 – 10, 2015.

14. Yildirim, Oguz

PostDoc in the Helmholtz Young Investigator Group "Spintronics" received the **LOT-Quantum Design User Award on Magnetometry** from LOT-QuantumDesign GmbH, Darmstadt, Gertmany on the DPG Spring Meeting 2015, Berlin, Germany.

Invited conference contributions, colloquia, lectures and talks

Invited conference talks

- Bali, R.; Wintz, S.; Meutzner, F.; Hübner, R.; Boucher, R.; Ünal, A. A.; Valencia, S.; Neudert, A.; Potzger, K.; Bauch, J.; Kronast, F.; Facsko, S.; Lindner, J.; Fassbender, J.
 Ion induced magnetic patterning using chemical disordered induced ferromagnetism International Conference on Nanostructuring by Ion Beams, 23.-25.11.2015, Agra, India
- Berencen, Y.; Braun, M.; Garrido, B.; Hiller, D.; Liu, B.; Ramirez, J. R.; Sun, J.; Wutzler, R.; Helm, M.; Skorupa, W.
 Strong electroluminescence from terbium-doped metal-insulator-semiconductor structures Freiberg Silicon Days 2015, 18.-19.06.2015, Freiberg, Germany
- Bischoff, L.; Böttger, R.; Heinig, K.-H. Surface Modification with heavy Mono- and Polyatomic Ions The 22nd International Conference on Ion-Surface Interactions (ISI–2015), 20.-24.08.2015, Moscow, Russia
- 4. Deac, A. M.

Spin-based nanoelectronic devices for mobile Information-Communication Technology SEMICON Europa 2015, 06.-08.10.2015, Dresden, Germany

5. Deac, A. M.

Spin-Torque Devices Based on MgO-Based Magnetic Tunnel Junctions Spin Dynamics in Nanostructures- Nanoscale Spintronics with Magnons, Phonons, and Photons Gordon Research Conference (GRC), 26.-31.07.2015, The Hong Kong University of Science and Technology, China

6. Deac, A. M.

International research environment and career development

Spin Dynamics in Nanostructures- Interplay of Spin, Charge and Lattice Dynamics Gordon Research Seminar (GRS), 25.-26.07.2015, The Hong Kong University of Science and Technology, China

- Deac, A. M. Spin-based nanoelectronic devices for mobile Information-Communication Technology The 12th Japanese-German Frontiers of Science (JGFoS) Symposium, 01.-04.10.2015, Kyoto, Japan
- Engler, M.; Michely, T.; Facsko, S. Reverse Epitaxy on Elemental Semiconductors International Conference on Nanostructuring with Ion Beams (ICNIB2015), 23.-25.11.2015, Agra, India
- Facsko, S.; Ou, X.; Hübner, R.; Grenzer, J.; Heinig, K.-H. Reverse Epitaxy on Semiconductor Surfaces 8th International Workshop on Nanoscale Pattern Formation at Surfaces, 12.-16.07.2015, Krakow, Poland
- Facsko, S.; Ou, X.; Wang, X.; Hübner, R.; Grenzer, J.
 Spontaneous pattern formation on ion irradiated semiconductor surfaces 22nd International Conference on Ion-Surface Interactions, 19.-22.08.2015, Moskow, Russia
- 11. Facsko, S.; Wilhelm, R. A.; Gruber, E.; Ritter, R.; Heller, R.; Aumayr, F. Interaction of Highly Charged Ions with Surfaces and Nanomembranes MRS Spring Meeting, 06.-10.04.2015, San Francisco, USA

| 12. | Fassbender, J. Nanomagnets - created and tailored by ions Eigth International Meeting on Recent Developments in the Study of Radiation Effects in Matter, 2023.09.2015, Kerteminde, Denmark |
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| 13. | Fassbender, J. Ion beam technology High resolution diagnostics and ion beam technology, 0102.10.2015, Bratislava, Slovakia |
| 14. | Fassbender, J. European Ion Beam Infrastructures for Materials and Transdisciplinary Research INARIE - Integrating Access to Pan-European Research Infrastructures in Central and Eastern Europe, 30.1102.12.2015, Debrecen, Hungary |
| 15. | Heller, R. Modern Approaches in Ion Beam Analysis - Challenges and ongoing Developments 50 th Zakopane School of Physics, 1823.05.2015, Zakopane, Poland |
| 16. | Hlawacek, G. Exploiting channelling in Helium Ion Microscopy EBSD 2015, 3031.03.2015, Glasgow, United Kingdom |
| 17. | Hlawacek, G. Helium Ion Microscopy mmc2015, 29.0602.07.2015, Manchester, United Kingdom |
| 18. | Hlawacek, G. High resolution surface patterning with the Helium Ion Microscope XXII International Conference on Ion-Surface Interactions, 2024.08.2015, Moscow, Russia |
| 19. | Hlawacek, G. Materials analysis using channeling and ionoluminescence in a helium ion microscope IBA2015, 1419.06.2015, Opatija, Croatia |
| 20. | Hlawacek, G. Applications of Helium Ion Microscopy 49 th Annual Meeting of the Israel Society for Microscopy, 1718.05.2015, Bar Ilan, Israel |
| 21. | Hlawacek, G. He-ion Microscopy Physics boat 2015, 08.06.2015, Helsinki, Finland |
| 22. | Hlawacek, G.; Veligura, V.; Jankowski, M.; van Gastel, R.; Wormeester, R.; Zandvliet, H. J. W.; Poelsema, B. Application of Helium Ion Microscopy to surface science problems 1 st International Conference on Applied Surface Science, 2730.07.2015, Shanghai, China |
| 23. | Hübner, R. Morphology and Microstructure of Si-SiO₂ Nanocomposite Layers EFDS-Workshop Morphologie und Mikrostruktur dünner Schichten und deren Beeinflussung, 12.03.2015, Dresden, Germany |
| 24. | Kákay, A.; Hertel, R. Spin Waves going 3D - Chiral Effects in Curved Magnetic Nanowires International Workshop on Magnetic Nanowires and Nanotubes, 1720.05.2015, Meersburg/Lake Constance, Germany |
| 25. | Kelling, J. C++11/14 features relevant in GPGPU APIs GPU Day 2015 - The Future of Many-Core Computing in Science, 2021.05.2015, Budapest, Hungary |
| 26. | Kelling, J.; Ódor, G.; Heinig, KH.; Gemming, S. Efficient Large Scale Simulation of Stochastic Lattice Models on GPUs GPU Day 2015 - The Future of Many-Core Computing in Science, 2021.05.2015, Budapest, Hungary |

- Kowalska, E.; Sluka, V.; Fowley, C.; Kákay, A.; Aleksandrov, Y.; Lindner, J.; Fassbender, J.; 27. Deac. A. M. Zero-field precession and suppression of the output power due to the bias dependence of the TMR in MgO-based spin-torque oscillators 20th International Conference on Magnetism, 06.-10.07.2015, Barcelona, Spain 28. Posselt, M.; Murali, D. First-principles calculation of defect free energies: General aspects illustrated in the case of bcc-Fe MRS 2015 Fall Meeting, Symposium YY: Advanced Atomistic Algorithms in Materials Science, 29.11.-04.12.2015, Boston, USA 29. Potzger, K. Tuning physical properties by introducing defects: Applications in magnetic data storage and sustainable energy materials 50th Zakopane School of Physics Breaking Frontiers: Submicron Structures in Physics and Biology, 18.-23.05.2015, Zakopane, Poland 30. Prucnal, S. Millisecond range liquid phase processing of nanowire structures NATO Advanced Workshop Functional Nanomaterials and Devices for Electronics, Sensors, Energy Harvesting, 13.-16.04.2015, Lviv, Ukraine 31. Redondo-Cubero, A.; Palomares, F. J.; Lorenz, K.; Mücklich, A.; Hübner, R.; Vázquez, L. Nanoripple patterning under medium energy implantation using metal foreign atoms 2015 MRS Spring Meeting, 06.-10.04.2015, San Francisco, USA 32. Schmidt, B.; Wetzig, K. Ionenstrahlanalyseverfahren in der Materialforschung 18. Tagung Festkörperanalytik, 06.07.2015, Vienna, Austria 33. Schneider, H. Terahertz spectroscopy at HZDR International consortium on terahertz photonics and optoelectronics conference, 16.-17.12.2015, Moscow, Russia 34. Semisalova, A. S.; Rylkov, V. V.; Nikolaev, S. N.; Tugushev, V. V.; Zhou, S.; Potzger, K.; Smekhova, A.; Perov, N.; Granovsky, A. Making SiMn and TiO₂ ferromagnetic at room temperature 9th International Conference on Magnetic and Superconducting Materials, 01.-03.05.2015, Antalva, Turkev 35. Skorupa, W. Subsecond thermal processing for nanostructured semiconductors 28th International Conference on Defects in Semiconductors (ICDS 2015), 27.-31.07.2015, Aalto, Finland 36. Skorupa, W. Thermal processing within milliseconds: semiconductors and beyond Gettering and Defect Engineering in Semiconductor Technology 2015 - 30 Years of GADEST, 20.-25.09.2015, Erlangen, Germany Skorupa, W. 37. Subsecond thermal processing for nanomaterials and beyond NATO Advanced Workshop Functional Nanomaterials and Devices for Electronics, Sensors, Energy Harvesting, 13.-16.04.2015, Lviv, Ukraine 38. Wilhelm, R. A.; Gruber, E.; Kozubek, R.; Smejkal, V.; Schleberger, M.; Facsko, S.; Aumayr, F. Charge Exchange and Energy Loss of Slow Highly Charged lons in Graphene International Workshop on Inelastic Ion-Surface Collisions (IISC), 18.-23.10.2015, San Sebastian, Spain
- Winnerl, S.
 Einblick in die Relaxationsdynamik von Graphen mittels Infrarot-Kurzzeitspektroskopie: Überraschende Coulomb-Streueffekte
 26. Edgar Lüscher Seminar 2015, 07.-10.02.2015, Klosters, Switzerland

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- Winnerl, S.
 Coulomb scattering in the vicinity of the Dirac point in graphene International workshop on many-body phenomena in graphene, 26.-27.10.2015, Gothenburg, Sweden
- Wintz, S.
 Topological Spin Textures in Magnetic Multilayers
 DPG Frühjahrstagung der Sektion Kondensierte Materie, 15.-20.03.2015, Berlin, Germany
- 42. Zhou, S. **Application of ion beams to fabricate and tune ferromagnetic semiconductors** 2015 E-MRS Fall Meeting, 14.-18.09.2015, Warsaw, Poland

Colloquia

- 43. Gemming, S.
 Microscopic processes in data and energy storage considerations based on multiscale modeling
 Friedrich Schiller University, 23.01.2015, Jena, Germany
- Facsko, S.; Wilhelm, R.; Gruber, E.; Heller, R.; Aumayr, F.
 Pre-equilibrium Dynamics of Highly Charged ions at Surfaces and Carbon Nanomembranes
 Colloquium, 12.11.2015, University Leipzig, Germany
- Fassbender, J.
 Nanomagnets created and tailored by ions Physics Colloquium, 13.10.2015, University Leipzig, Germany

Lectures and Talks

- Gemming, S.
 Dynamic processes in materials for energy conversion and storage International Colloquium on the Simulation of Energy Materials, 12.06.2015, Jülich, Germany
- Gemming, S.
 Materialien f
 ür morgen: Energieeffiziente Prozesse in der Informationstechnologie Kolloquium "20 Jahre Helmholtz", 25.06.2015, Berlin, Germany
- Helm, M.
 THz spectroscopy of solids using a free-electron laser Shanghai Institute for Applied Physics (SINAP), 10.03.2015, Shanghai, China
- Kelling, J.; Ódor, G.; Heinig, K.-H.; Gemming, S.
 Efficient Large Scale Simulation of Stochastic Lattice Models on GPUs Seminar Topical Problems TU Chemnitz, 06.05.2015, Chemnitz, Germany
- Kelling, J.; Ódor, G.; Heinig, K.-H.; Gemming, S.
 Efficient Large Scale Simulation of Stochastic Lattice Models on GPUs Seminar Coventry University, 18.11.2015, Coventry, United Kingdom
- 51. Krause, M. **New energy materials - concepts, structure formation and in situ analysis** Honory Colloquium for Prof. Hans Kuzmany, 10.09.2015, Vienna, Austria
- Liu, Y.
 Defect-induced magnetism in SiC: The new opportunity in spintronics Seminar, State University of New York at Buffalo, 13.10.2015, Buffalo, USA
- Schneider, H. Terahertz spectroscopy of 0D and 2D semiconductors with a free-electron laser Seminar, Xi'an University of Technology, 04.05.2015, Xi'an, China

- Schneider, H.
 Terahertz spectroscopy of 0D and 2D semiconductors with a free-electron laser Seminar, CAEP, 07.05.2015, Mianyang, China
- Schneider, H.
 High-field terahertz spectroscopy at HZDR Seminar, Institut Saint-Louis (ISL), 18.09.2015, Saint-Louis, France
- Schumann, E.
 Solarenergie und Photovoltaik Nanostrukturen f
 ür mehr Leistungsf
 ähigkeit Lehrerfortbildung 2015, 13.02.2015, Dresden, Germany
- 57. Teschome, B.; Facsko, S.; Keller, A.; Kerbusch, J. **Functional DNA origami nanostructures for nanoelectronics and Photonics** Aarhus University, 22.05.2015, Aarhus, Denmark
- 58. Wilhelm, R. A.; Gruber, E.; Ritter, R.; Heller, R.; Facsko, S.; Aumayr, F. Interaction of Slow Highly Charged Ions with Ultrathin Membranes Institut für Angewandte Physik der TU Wien, 20.02.2015, Vienna, Austria

59. Winnerl, S. Relaxation dynamics in graphene studied by THz radiation from the free-electron laser FELBE

Uni Manchester, 25.11.2015, Manchester, United Kingdom

Conferences, workshops, colloquia and seminars

Organization of conferences and workshops

- 1. Erbe, A.; Zahn, P. IHRS NanoNet Annual Workshop 2015 30.09. – 02.10.2015, Lohmen, Germany
- Helm, M.; Schneider, H.; Winnerl, S.; Pashkin, A. German THz Conference 08. – 10.06.2015, Dresden, Germany
- Krause, M.
 Workshop 'Craft meets research ECEMP meets craft' ('Handwerk trifft Forschung ECEMP trifft Handwerk')
 26.11.2015, Dresden, Germany
- Skorupa, W.
 Workshop 'nanoKlang' 09.10.2015, Dresden, Germany

Colloquia

- Bouzerar, Georges Institut Lumière Matière, CNRS & Université Lyon, France Theory of dilute magnetic semiconductors 03.12.2015
- 2. Esquinazi, Pablo D. Universität Leipzig Graphite and its hidden superconductivity 30.01.2015
- Kaindl, Robert Lawrence Berkeley National Laboratory, USA Tracking electronic dynamics and emergent correlations on ultrashort timescales 17.07.2015
- Kawano, Yukio Quantum Nanoelectronics Research Center, Department of Physical Electronics, Tokyo Institute of Technology, Japan Nanoscale Terahertz sensing and imaging with nanostructured semiconductor and carbon devices 02.02.2015
- Krasheninnikov, Arkady Aalto University School of Science, Department of Applied Physics, Aalto, Finland Native and irradiation-induced defects in two-dimensional materials 05.03.2015
- Makarov, Denys IFW Dresden
 3D curved architectures: From curvilinear magnetism to biomimetic microelectronics 04.06.2015
- Naaman, Ron Weizmann Institute of Science, Rehovot, Israel A new road to spintronics - The chiral induced spin selectivity (CISS) effect 26.11.2016

- Rushforth, Andrew University of Nottingham, UK Magnetic nanostructures under strain 03.09.2015
- Tajmar, Martin Institut für Luft- und Raumfahrttechnik, TU Dresden
 Space propulsion and spin-off applications with highly miniaturized ion sources at TU Dresden 30.04.2015
- Thomas, Andy IFW Dresden Atomic layer deposited HfO₂-based magnetic tunnel junctions 12.11.2015
- Wang, Yongqiang Los Alamos National Laboratory, USA Ion beam materials research in nuclear energy applications 25.06.2015

Seminars

- Amorim, Teresa Universidade de Lisboa, Portugal Science challenges and life 27.11.2015
- Arstila, Kai Department of Physics University of Jyväskylä, Finland Ion beam analysis with Iow-energy heavy ions 08.07.2015
- Bauer, Peter Johannes Kepler Universität Linz, Institut für Experimentalphysik, AOP, Linz, Austria Quantitative Low Energy Ion Scattering: achievements and challenges 24.11.2015
- Berencén, Yonder University Barcelona, Spain Rare earth- and Si nanostructure-based light emitting devices for integrated photonics 27.04.2015
- Berkov, Dmitry General Numerics Research Lab e.V., Jena Large-scale modern micromagnetic simulations: state of the art and applications to magnetic nanocomposites and spin transfer induced phenomena 03.02.2015
- Chen, Feng Shandong University, China
 2d materials: applications in ion-beam formed waveguides 20.08.2015
- Crandles, David Brock University, St. Catharines, Ontario, Canada A search for ferromagnetism in SrTiO₃ 07.05.2015
- Crandles, David Brock University, St. Catharines, Ontario, Canada Infrared spectroscopy of dilute magnetic semiconductors 20.05.2015

- Croy, Alexander MPI-PKS Dresden Nanomechanics and strain engineering in 2D materials 28.01.2015
- Deldar, Shayan Universität Kiel
 Simultaneous magneto-optical domain observation and magnetoelectric measurement of 2-2 ME composite sensors 04.05.2015
- Erb, Denise Deutsches Elektronen-Synchrotron DESY, Hamburg In-situ x-ray scattering reveals morphology and magnetism in self-assembling nanopatterns 25.02.2015
- Fröhlich, Katja Helmholtz-Zentrum Berlin für Materialien und Energie Direct writing of truly three-dimensional nanostructures for optical applications by focused electron beam induced deposition 16.09.2015
- Georgiev, Yordan Tyndall National Institute/University College Cork, Ireland
 Top-down fabrication and characterisation of ultrasmall nanostructures and nanodevices 11.02.2015
- Ghorbani Asl, Mahdi Department of Materials Science and Metallurgy, University of Cambridge, UK Electronic transport through two-dimensional transition-metal chalcogenides 02.11.2015
- 15. Giner, Ignacio Technical and Macromolecular Chemistry, University of Paderborn Fundamental understanding of the dissolution behavior of MgO single crystalline surfaces- an in-situ AFM study 23.07.2015
- Gradzka, Iwona Poznan University of Technology, Poland Thin layers of perylene derivatives for optolectronic applications 11.08.2015
- Haglund, Richard J. Vanderbilt University, Nashville/Tennessee, USA
 New wine in an old bottle: the insulator-to-metal transition in vanadium dioxide 25.11.2015
- He, Pan Institute of Physics and Chemistry of Materials of Strasbourg, CNRS, Strasbourg, France Tuning of spin-orbital coupling and its effect 05.03.2015
- Hosemann, Peter Department of Nuclear Engineering, UC Berkeley, USA Small scale mechanical testing on irradiated and He implanted materials for nuclear application 27.11.2015
- 20. Hovius, Niels GFZ Potsdam Erosion in the earth system 19.02.2015

| 21. | Johannes, Andreas Institut für Festkörperphysik, Friedrich-Schiller-Universität Jena High-fluence implantation into nanostructures 27.03.2015 |
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| 22. | Joshi, Purvee Institute for Plasma Research, Gandhinagar, India Interplay between energetic ion flux and re-deposition of sputtered atoms during sputter erosion at normal incidence 20.07.2015 |
| 23. | Kantorovich, Sofia Fakultät Physik, Universität Wien, Austria Magnetic colloids in theory and simulations - away from dipolar hard spheres <i>30.01.2015</i> |
| 24. | Khan, Saif A. Inter-University Accelerator Centre, Aruna Asaf Ali Marg, New Delhi, India Embedded nanostructures under energetic ion irradiation 13.08.2015 |
| 25. | Kolesar, Vladimir Nanocenter Slovakion, Trnava, Slovakia Magnetic microwires for MEMS applications 28.01.2015 |
| 26. | Li, Changhui MPI Halle Electron pair emission from surfaces upon He²⁺ impact 11.02.2015 |
| 27. | Lubk, Axel TU Dresden Pushing the limits electron tomography: Towards 3D mapping of electric, magnetic fields and chemical composition at atomic resolution 09.06.2015 |
| 28. | Lukac, Frantisek Charles University Prague, Czech Republic Point defects in Fe-Al alloys <i>06.03.2015</i> |
| 29. | Marchetto, Helder Elmitec GmbH und Fritz-Haber-Institut Berlin Introduction to Low Energy Electron Microscopy and surface science applications 22.05.2015 |
| 30. | Mertzig, Robert CERN, Geneva, Switzerland Optimization of the REXEBIS charge breeder towards a high compression electron-gun 26.02.2015 |
| 31. | Ney, Andreas Johannes Kepler Universität Linz, Abteilung für Festkörperphysik, Linz, Austria Space and time resolved x-ray detected ferromagnetic resonance 15.12.2015 |
| 32. | Ojanperä, Ari Aalto University, Finland Ehrenfest dynamics and its applications to ion stopping calculations 25.11.2015 |
| 33. | Queisser, Friedemann Universität Duisburg-Essen Giant magneto-photoelectric effect in graphene 30.11.2015 |

34. Primetzhofer, Daniel Department of Physics and Astronomy, Uppsala University, Sweden Time-of-flight medium energy ion scattering (ToF-MEIS) with light and heavy ions: fundamentals and some applications 07.08.2015 35. Raoux, Simone EMIL/Helmholtz-Zentrum Berlin für Materialien und Energie Energy Materials in-situ Laboratory (EMIL) 28.08.2015 Reinert, Tilo 36. Ion Beam Modification and Analysis Laboratory, Department of Physics, University of North Texas, Denton, USA High-throughput PIXE for high-definition elemental imaging 15.12.2015 Rode, Karsten 37. AMBER Centre, Trinity College Dublin, Ireland Site-specific magnetic properties of zero-moment Mn₂Ru_xGa 04.08.2015 38. Sawicki, Maciej Institute of Physics, Polish Academy of Sciences, Warsaw, Poland Interplay between ferromagnetism and localization in (Ga, Mn)As 17.12.2015 39. Scharf, Andreas Universität Erlangen Accelerator Mass Spectrometry with the Erlangen EN Tandem 29.05.2015 40. Scherz, Andreas European XFEL GmbH, Hamburg Revealing ultrafast spin dynamics on the nanoscale using X-FELs 11.11.2015 Schmidt, Marek 41. Japan Advanced Institute of Science and Technology (JAIST), Nomi, Japan Fabrication and imaging of graphene-based nanostructures by helium and nitrogen ion beam 18.08.2015 42. Selim, Farida Department of Physics and Astronomy, Bowling Green State University and Center for Photochemical Sciences, Bowling Green, Ohio, USA Hydrogen in semiconducting and insulating oxides 06.03.2015 43. Silva, Thomas National Institute of Standards and Technology (NIST), Physical Measurements Laboratory, Boulder, Colorado, USA Resolving the controversy of a possible relationship between perpendicular magnetic anisotropy and the magnetic damping parameter 17.04.2015 Skeren, Tomas 44 Czech Technical University Prague, Czech Republic Ion induced pattern formation on metals 27.02.2015 Srivastava, Sanieev Kumar 45. Department of Physics, Indian Institute of Technology Kharagpur, India Exploiting ion beams to synthesize nanostructures and to study microscopic properties of solids 26.11.2015

- Streubel, Robert Institute for Integrative Nanosciences, IFW Dresden Imaging spin textures on curved magnetic surfaces 18.06.2015
- 47. Velisan, Gihan CEA Montbéliard, France
 Patterning nanoprecipitate dispersion in model substrates by single and simultaneous dual beam ion implantation 28.05.2015
- Weigel, Martin Coventry University, UK Simulating spin models on GPU 13.04.2015
- Weiss, Christoph Joint Quantum Centre (JQC) Durham–Newcastle, Dept. of Physics, Durham University, UK Attractive Bose gases: From quantum reflection to decoherence-induced motion 21.12.2015
- 50. Woodcock, Thomas G. IFW Dresden The influence of atomic-scale microstructural features on the properties of permanent magnets 22.04.2015
- 51. Zandvliet, Harold University of Twente, The Netherlands
 1D and 2D systems studied by scanning tunneling microscopy 06.10.2015
- Zhurkin, Evgeny St. Petersburg Polytechnic University Peter the Great, Russia Atomistic Metropolis Monte Carlo techniques for modelling microchemistry of dislocation loops in ferritic alloys 18.08.2015

Exchange of researchers

FEL visitors

| 1. | Bordacs, S. Budapest University of Technology and Economics, Budapest, Hungary; 0813.02.2015, 11 16.03.2015 |
|-----|---|
| 2. | Bühler, J. Universität Konstanz, Konstanz, Germany; 28.0404.05.2015 |
| 3. | Butykai, A. Budapest University of Technology and Economics, Budapest, Hungary, 0813.02.2015 |
| 4. | Breitgoff, F. <i>Universität Stuttgart, Stuttgart, Germany; 0608.08.2015</i> |
| 5. | Cloves, S. University of Surrey, Guildford, U.K.; 31.0703.08.2015 |
| 6. | Deßmann, N. Aerospace Center DLR, Berlin, Germany; 2124.02.2015; 1518.10.2015 |
| 7. | Kovalevsky, K. Russian Academy of Sciences, Nizhny Novgorod, Russia; 21.0224.02.2015 |
| 8. | Li, J. University of Surrey, Guildford, U.K.; 2730.07.2015 |
| 9. | Mironov, O. University of Warwick, Coventry, U.K.; 22.0402.05.2015 |
| 10. | Morozov, S. Russian Academy of Sciences, Nizhny Novgorod, Russia; 2230.3.2015 |
| 11. | Murdin, B. University of Surrey, Guildford, U.K.; 1315.07.2015 |
| 12. | Ortolani, M. Sapienza Universita' di Roma, Rome, Italy; 0206.02.2015 |
| 13. | Pavlov, S. Aerospace Center DLR, Berlin, Germany; 2124.02.2015; 1618.10.2015 |
| 14. | Pietka, B. Uniwersytet Warszawski, Warszawa, Poland; 2602.05.2015 |
| 15. | Rava, C. University of Warwick, Coventry, U.K.; 22.0402.05.2015 |
| 16. | Rechkemmer, Y. <i>Universität Stuttgart, Stuttgart, Germany; 0608.08.2015</i> |
| 17. | Sabbagh, S. Università Roma TRE, Rome, Italy; 0206.02.2015 |
| 18. | Schmidt, C. <i>Universität Konstanz, Konstanz, Germany; 0104.05.</i> 2015 |
| 19. | Seletskiy, D. Universität Konstanz, Konstanz, Germany; 28.0404.05.15 |
| 20. | van Slageren, J. Universität Stuttgart, Stuttgart, Germany; 0608.08.2015 |
| 21. | Szczytko, J. Uniwersytet Warszawski, Warszawa, Poland; 2602.05.2015 |

- 22. Virgilio, M. Universita' di Pisa, Pisa, Italy ; 02.-06.02.15
- 23. Zhukavin, R. Russian Academy of Sciences, Nizhny Novgorod, Russia; 21.-24.02.2015; 15.-19.10.2015

Other guests

- 1. Arias, R. Universidad de Chile, Santiago, Chile; 19.07.-02.08.2015
- 2. Baghban Khojasteh Mohammadi, N. *Tabriz, Iran; 15.09.-31.12.2015*
- 3. Berencén, Y. University Barcelona, Spain; 19.04.-31.12.2015
- 4. Cai, H. Fudan University, Shanghai, China; 01.01.-08.04.2015
- 5. Canpolat, C. Ankara University, Ankara, Turkey; 06.07.-07.09.2015
- 6. Chatterjee, S. Indian Institute of Technology, Bhubaneswar, India; 06.06.-05.07.2015
- 7. Chen, F. Shandong University, Shandong, China; 18.-25.08.2015
- 8. Cheng, F. Beijing Normal University, Beijing, China; 30.08.-31.12.2015
- 9. Crandles, D. Brock University, St. Catharines, Canada; 04.-27.05.2015
- 10. Ek-in, S. Mahidol University, Bangkok, Thailand; 19.10.-20.12.2015
- 11. El-Said, A. Mansoura University, Mansoura, Egypt; 28.05.-15.07.2015
- 12. Gallardo, R. Universidad Tecnica Federico Santa Maria, Valparaiso, Chile; 12.07.-08.08.2015
- 13. Garcia Garcia, C. Universidad Tecnica Federico Santa Maria, Valparaiso, Chile; 19.-27.07.2015
- 14. Hilliard, D. Dublin Institute of Technology, Ireland; 01.02.-31.07.2015
- 15. Holybee, B. University of Illinois, Urbana, USA; 17.05.-17.07.2015
- 16. Jia, J. Shanghai Jiao Tong University, China; 16.10.-31.12.2015
- 17. Jia, Q. SIMIT Shanghai, China; 08.07.-08.09.2015
- 18. Kim, D. University Sejong, South Korea; 12.06.-11.07.2015
- 19. Landeros Silva, P. Universidad Tecnica Federico Santa Maria, Valparaiso, Chile; 12.-25.07.2015
- 20. Mesko, M. Nanocenter Slovakion, Trnava, Slovakia; 16.08.-04.09.2015
- 21. Mikhalevskiy, V. Institute on Laser and Information Technologies, Shatura, Russia; 14.-23.12.2015

| 22. | Purvee, J. Institute for Plasmaresearch, Gandhinagar, India; 01.0631.07.2015 |
|-----|--|
| 23. | Rhie, K. Universität Sejong, South Korea; 12.0611.07.2015 |
| 24. | Sorensen, N. US Air Force Academy, Colorado, USA; 04.0604.07.2015 |
| 25. | Wang, Y. Free University Berlin, Germany; 01.0131.12.2015 |
| 26. | Wu, C. University of Chengdu, China; 01.0128.02.2015 |
| 27. | You, T. TU Chemnitz, Germany; 0814.01.2015 |
| 28. | Yu, Y. Harbin Institute of Technology, China; 12.0931.12.2015 |
| 29. | Zhu, J. Shanghai Institute of Technical Physics, China; 01.1131.12.2015 |
| 30. | Zhurkin, E. St. Petersburg Polytechnic University, St. Petersburg, Russia; 0823.08.2015 |
| | |

Projects

The projects are listed by funding institution and project starting date. In addition, the institute has several bilateral service collaborations with industrial partners and research institutions. These activities are not included in the following overview.

European Projects

| 1. | 06/2012 – 05/2015 CALIPSO – Coordina Prof. M. Helm | European Union ated access to light sources Phone: 0351 260 2260 | m.helm@hzdr.de | EU |
|----|--|---|---|----|
| 2. | | European Union g postgraduate research <i>Phone: 0351 260 3378</i> | j.v.borany@hzdr.de | EU |
| 3. | 11/2013 – 10/2017 MatISSE – Materials' <i>Dr. E. Altstadt</i> | European Union Innovations for a Safe and Susta Phone: 0351 260 2276 | ainable nuclear in Europe e.altstadt@hzdr.de | EU |
| 4. | 01/2015 – 12/2018 FRIENDS2 – Enginee Prof. S. Gemming | European Union ering of New Durable Solar Surfac Phone: 0351 260 2470 | ces s.gemming@hzdr.de | EU |
| 5. | 06/2015 – 05/2016 SlovakION – Suppor Dr. J. v. Borany | European Union ting of Slovak Ion Beam Centre Phone: 0351 260 3378 | j.v.borany@hzdr.de | EU |
| 6. | 09/2015 – 08/2019 SOTERIA – Safe Lon <i>Dr. E. Altstadt</i> | European Union I g Term Operation of Light Water Phone: 0351 260 2276 | Reactors e.altstadt@hzdr.de | EU |
| 7. | 10/2015 – 12/2017 SMaRT (ERC Startin Dr. D. Makarov | European Union g Grant) – Shapeable Magnetoele <i>Phone: 0351 260 3273</i> | ectronics d.makarov@hzdr.de | EU |

Helmholtz Association Projects

| 1. | Functional Materials | Helmholtz–Gemeinschaft – Helmholtz Young Investigators Phone: 0351 260 2484 | Group s.zhou@hzdr.de | HGF |
|----|-----------------------------|--|--|-----|
| 2. | | Helmholtz–Gemeinschaft nal Helmholtz Research School o Phone: 0351 260 2366 | n Nanoelectronics a.erbe@hzdr.de | HGF |
| 3. | | Helmholtz–Gemeinschaft Istitute – Memory Effects in Resis Phone: 0351 260 3121 | stive Ion-beam Modified Oxides p.zahn@hzdr.de | HGF |
| 4. | | Helmholtz–Gemeinschaft R G–DETI.2 – Helmholtz Russia Jo <i>Phone: 0351 260 3244</i> | vint Research Group k.potzger@hzdr.de | HGF |
| 5. | W3-Professorship TL | Helmholtz–Gemeinschaft J Chemnitz <i>Phone: 0351 260 2470</i> | s.gemming@hzdr.de | HGF |
| 6. | | Helmholtz–Gemeinschaft Ditz Young Investigators Group Phone: 0351 260 3709 | a.deac@hzdr.de | HGF |

| 7. | | Helmholtz–Gemeinschaft 5 – HGF Postdoc Dr. Yu Liu | | HGF |
|----|---------------|---|----------------------|-----|
| | Prof. M. Helm | Phone: 0351 260 2260 | m.helm@hzdr.de | |
| 8. | | Helmholtz–Gemeinschaft ostdoc Dr. K. Schultheiß | | HGF |
| | • | Phone: 0351 260 3096 | j.fassbender@hzdr.de | |

German Science Foundation Projects

| 1. | 02/2010 – 06/2015 HybMagMat – Hybrid <i>Prof. J. Fassbender</i> | Deutsche Forschungsgemeinschaf e magnetic materials Phone: 0351 260 3096 | t j.fassbender@hzdr.de | DFG |
|-----|---|---|--|-----|
| 2. | | Deutsche Forschungsgemeinschaf z non–linear detection and quant n transitions in semiconductor qu Phone: 0351 260 2880 | um optical studies by | DFG |
| 3. | 11/2010 – 12/2016 Relaxation dynamics <i>Dr. S. Winnerl</i> | Deutsche Forschungsgemeinschaf in graphene Phone: 0351 260 3522 | t s.winnerl@hzdr.de | DFG |
| 4. | 04/2011 – 06/2015 FRUSTPART – Nucle Dr. A. Erbe | Deutsche Forschungsgemeinschaf ation of spin order in low-dimens Phone: 0351 260 2366 | | DFG |
| 5. | 09/2012 – 09/2015 ATOMIX – Atomic mi <i>Dr. M. Posselt</i> | Deutsche Forschungsgemeinschaf xing in semiconductor layers Phone: 0351 260 3279 | t m.posselt@hzdr.de | DFG |
| 6. | 01/2013 – 12/2015 MWN – Magnetization <i>Dr. J. Lindner</i> | Deutsche Forschungsgemeinschaf dynamics in nanostructures <i>Phone: 0351 260 3221</i> | t j.lindner@hzdr.de | DFG |
| 7. | 01/2013 – 10/2017 Cluster of Excellence Prof. M. Helm | Deutsche Forschungsgemeinschaf – Center for Advancing Electron Phone: 0351 260 2260 | | DFG |
| 8. | 02/2013 – 01/2016 Nanostructured therr Dr. P. Zahn | Deutsche Forschungsgemeinschaf noelectrics Phone: 0351 260 3121 | t p.zahn@hzdr.de | DFG |
| 9. | 04/2014 – 03/2016 Dynano – Spin wave <i>Dr. K. Lenz</i> | Deutsche Forschungsgemeinschaf excitations in periodic nanostruc Phone: 0351 260 2435 | | DFG |
| 10. | 05/2014 – 04/2019 Emmy Noether Junio Dr. H. Schultheiß | Deutsche Forschungsgemeinschaf r Research Group - Magnonics Phone: 0351 260 3243 | t h.schultheiss@hzdr.de | DFG |
| 11. | 07/2014 – 07/2015 Time-resolved spect <i>Dr. A. Pashkin</i> | Deutsche Forschungsgemeinschaf oscopy under high pressure Phone: 0351 260 3287 | t o.pashkin@hzdr.de | DFG |
| 12. | 11/2014 – 10/2017 Thermal spin-transfe <i>Dr. J. Lindner</i> <i>Dr. A.M. Deac</i> | Deutsche Forschungsgemeinschaf r torques Phone: 0351 260 3221 Phone: 0351 260 3709 | t j.lindner@hzdr.de a.deac@hzdr.de | DFG |
| 13. | 11/2014 – 10/2017 All Optical Switching Dr. H. Schultheiß | Deutsche Forschungsgemeinschaf Phone: 0351 260 3243 | t h.schultheiss@hzdr.de | DFG |
| 14. | 10/2015 – 09/2018 Ferromagnetic Silico <i>Dr. Shengqiang Zhou</i> | Deutsche Forschungsgemeinschaf | t s.zhou@hzdr.de | DFG |

Federally Funded Projects

| 1. | 02/2013 – 07/2015 CFD – Surface Coatin | AG Industrieller Forschungseinrichtungen (AiF) | | BMWi |
|----|--|--|---------------------------|------|
| | Prof. A. Kolitsch | Phone: 0351 260 3348 | a.kolitsch@hzdr.de | |
| 2. | 05/2013 – 04/2015 Analytical lon-Micros Dr. J. v. Borany | PT Jülich scope Phone: 0351 260 3378 | j.v.borany@hzdr.de | BMWi |
| 3. | 06/2013 – 05/2015 AIDA – Apparatus fo Dr. K. Potzger | PT Jülich r in-situ Defect Analysis <i>Phone: 0351 260 3244</i> | k.potzger@hzdr.de | BMWi |
| 4. | 01/2014 – 06/2017 In-situ TEM Prof. J. Fassbender | PT Jülich Phone: 0351 260 3096 | j.fassbender@hzdr.de | BMBF |
| 5. | 10/2014 – 09/2015 PolCarr – Electrically <i>Dr. K. Wiesenhütter</i> | PT Jülich Polarizable Materials <i>Phone: 0351 260 2065</i> | k.wiesenhuetter@hzdr.de | BMWi |
| 6. | 10/2014 – 09/2017 InTerFEL – High-Fiel Dr. H. Schneider | PT Jülich I d Spectroscopy in the THz Regin <i>Phone: 0351 260 2065</i> | ne h.schneider@hzdr.de | BMBF |

Personnel Exchange Projects and Society Chairs

| 1. | | Institute of Electrical and Electronics Engineers German Chapter Chair | | IEEE |
|----|--|---|----------------------|------|
| | - | Phone: 0351 260 3096 | j.fassbender@hzdr.de | |
| 2. | 02/2015 – 04/2015 Visit of Prof. Kawanc | Deutscher Akademischer Austaus | chdienst | DAAD |
| | Dr. S. Winnerl | Phone: 0351 260 3522 | s.winnerl@hzdr.de | |
| 3. | . 04/2015 – 03/2017 Deutscher Akademischer Austauschdienst Personnel exchange with Chile – ChileConMagnon | | chdienst | DAAD |
| | Dr. K. Lenz | Phone: 0351 260 2435 | k.lenz@hzdr.de | |
| 4. | . 11/2015 – 04/2017 Deutscher Akademischer Austauschdienst Visit of Dr. Zhu | | chdienst | DAAD |
| | Prof. M. Helm | Phone: 0351 260 2260 | m.helm@hzdr.de | |

Bilateral Projects

| 1. | 07/2012 – 06/2016 AR Framework Colla | Abengoa Research, Seville, Spain boration | | Industry |
|----|---|--|----------------------|-------------------------------|
| | Prof. S. Gemming | Phone: 0351 260 2470 | s.gemming@hzdr.de | |
| 2. | 10/2012 – 12/2016 Collaboration on ana <i>Dr. J. v. Borany</i> | Carl–Zeiss Microscopy I ytical ion microscopy <i>Phone: 0351 260 3378</i> | j.v.borany@hzdr.de | Industry |
| 3. | 10/2013 – 06/2015 Education and Traini Prof. A. Kolitsch | ng CAMBO Bratislava Phone: 0351 260 3348 | a.kolitsch@hzdr.de | TÜV Süd |
| 4. | 02/2015 – 12/2015 Innovation Voucher - Dr. K. Wiesenhütter | PolCarr <i>Phone: 0351 260 2065</i> | k.wiesenhuetter@hzdr | biosaxony e.V. . <i>de</i> |

| 5. | 06/2015 – 11/2015 Brittle Fracture Safet | TUD-Energietechnik | TU | D |
|----|---|----------------------|---------------------|----|
| | Dr. H.–W. Viehrig | Phone: 0351 260 3246 | h.w.viehrig@hzdr.de | |
| 6. | 07/2015 – 09/2015 Flash Lamp Annealin | - | Indust | ry |
| | Dr. W. Skorupa | Phone: 0351 260 3612 | w.skorupa@hzdr.de | |
| 7. | 11/2015 – 06/2017 ZETA Membrane | | TU Hamburg-Harbu | rg |
| | Dr. J. v. Borany | Phone: 0351 260 3378 | j.v.borany@hzdr.de | |
| 8. | 11/2015 – 01/2016 Ion Beam Analysis | | Indust | ry |
| | Dr. J. v. Borany | Phone: 0351 260 3378 | j.v.borany@hzdr.de | |

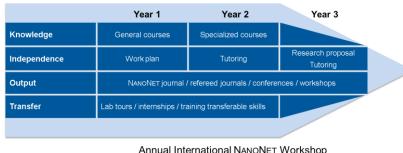
Doctoral training programme

International Helmholtz Research School NANONET

The Institute of Ion Beam Physics and Materials Research is coordinating the International Helmholtz Research School for Nanoelectronic Networks (IHRS NANONET) supported by the Initiative and Networking Fund of the Helmholtz Association. The project started in October 2012. The total funding is 1.2 Mio. € for a period of six years.

The IHRS NANONET is an international, interdisciplinary and thematically focused doctoral programme in the field of molecular electronics. The research school aims at attracting and promoting

excellence by educating promising doctoral candidates with backgrounds in physics, chemistry, materials science and electrical engineering. During a period of three years PhD candidates benefit from wellstructured, comprehensive training curricula and multiple mentorship, while performing cutting edge research projects within one of the 14 NANONET research groups. Under the supervision of outstanding scientists leading the field of nanoelectronics, the doctoral candidates have the unique opportunity to contribute to the advancement of molecular electronics by developing strategies for the integration of single nano-sized building blocks into large interconnected networks.



PhD seminar

The period of doctoral studies is crucial in the academic career of young scientists. Therefore, the IHRS NANONET fosters not only professional qualification but also personal development by equipping young graduates with competencies for successful careers in a wide

variety of positions in academia and industry. The training programme invests on professional competencies, such as the capability to work across disciplines and cultures by promoting networking and the exchange of ideas and knowledge with fellows, mentors and collaboration partners. The cooperation with international scientific and industrial partners complements and broadens the expertise of the IHRS NANONET by establishing a unique research and training network for its doctoral candidates.

The consortium

- Helmholtz-Zentrum Dresden-Rossendorf (HZDR)
- Technische Universität (TU) Dresden
- Leibniz Institute of Polymer Research (IPF) Dresden
- Fraunhofer Institute for Ceramic Technologies and
- Systems (IKTS) Dresden
- Nanoelectronic Materials Laboratory (NaMLab) gGmbH Dresden

For further information please contact the NANONET coordinator, Dr. Peter Zahn (<u>nanonet@hzdr.de</u>) or visit the IHRS NANONET website: <u>www.ihrs-nanonet.de</u>





Experimental equipment

Accelerators, ion implanters and ion-assisted-deposition

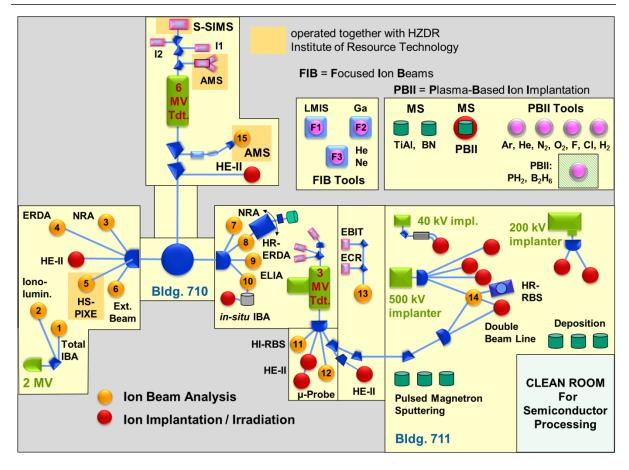
| TuR Dresden, DE |
|---------------------|
| HVEE, NL |
| HVEE, NL |
| Danfysik, DK |
| Danfysik, DK |
| HVEE, NL |
| BR, DE / Home-built |
| Orsay Physics, FR |
| Carl Zeiss, DE |
| Home-built |
| Melec, DE |
| Home-built |
| emer, DE; VG, USA |
| ər |

Ion beam analysis (IBA)

A wide variety of advanced IBA techniques are available at the MV accelerators (see figure).

| RBS | Rutherford Backscattering Spectrometry | (1), (10), (11), (12) | VdG, T1, T2, HIM |
|--------|---|---|------------------|
| RBS/C | RBS + Channelling | (1), (11), (12) | VdG, T1, T2 |
| HR-RBS | High-Resolution RBS | (10), (14) | Τ1 |
| ERDA | Elastic Recoil Detection Analysis | (1), (4) | VdG, T2 |
| PIXE | Particle-Induced X-ray Emission | (1), (5), (6), (12) | VdG, T1, T2 |
| PIGE | Particle-Induced γ Emission | (6), (12) | T1, T2 |
| NRA | Nuclear Reaction Analysis | (3), (7), (11), (12) | T1, T2 |
| NRRA | Nuclear Resonance Reaction Analysis | (3), (7), (11) | T1, T2 |
| NMP | Nuclear Microprobe | (12) | T1 |
| AMS | Accelerator Mass Spectrometry | (15) | T2 |
| | (focused to long-lived radionuclides: ¹⁰ Be, | ²⁶ AI, ³⁶ CI, ⁴¹ Ca, ¹²⁹ I) | |

Some stations are equipped with additional process facilities enabling *in-situ* IBA investigations during ion irradiation, sputtering, deposition, annealing etc.



Schematic overview of the HZDR Ion Beam Center

Other particle-based analytical techniques

| SEM | Scanning Electron Microscope (S4800 II) | 1 – 30 keV + EDX | Hitachi, JP |
|---------|---|---|---------------------------------|
| TEM | Transmission Electron Microscope (Titan 80-300 with Image Corrector) | 80 – 300 keV + EDX, +EELS | FEI, NL |
| | Transmission Electron Microscope (Talos F200X) | 20 – 200 keV + SuperX EDS | FEI, NL |
| SIM | Scanning Ion Microscope (ORION NanoFab with He, Ne ions) | 10 – 35 keV + GIS, Patterning | Zeiss Microscopy, DE |
| FIB/SEM | Focused Ion/Electron Cross Beam (NVision 40 with Elphy Plus Litho) | 0.5 – 30 keV + EDX, EBSD | Zeiss Microscopy, DE |
| AES | Auger Electron Spectroscopy | + XPS | Thermo Fisher Scientific, UK |
| CEMS | Mössbauer Spectroscopy | ⁵⁷ Fe source | Home-built |
| PAS | Positron Annihilation Spectroscopy | ²² Na source 30 eV – 36 keV | Home-built |

Photon-based analytical techniques

| XRD/XRR | X-Ray Diffractometer | Cu-Kα | |
|---------|--|--|--|
| | θ-θ Powder D8 | | Bruker, DE |
| | θ-2θ 4-Circle D5005 | | Siemens, DE |
| | θ-θ 4-Circle Empyrean | | PANalytical, NL |
| | θ-2θ 4+2-Circle SEIFERT XRD3003-HR | | General Electric, US |
| SE | Spectroscopic Ellipsometry | 250 – 1700 nm | Woollam, US |
| UV-Vis | Solid Spec 3700 DUV | 190 – 3300 nm | Shimadzu, JP |
| FTIR | Fourier-Transform Infrared Spectrometer | $600 - 7000 \text{ cm}^{-1}$ | Nicolet, US |
| FTIR | Fourier-Transform Infrared Spectrometer | 50 – 15000 cm ⁻¹ | Bruker, DE |
| | Ti:Sapphire Femtosecond Laser | 78 MHz | Spectra Physics, US |
| | Femtosecond Optical Parametric Osci. | | APE, DE |
| | Ti:Sapphire Femtosecond Amplifier | 1 kHz | Coherent, US |
| | Ti:Sapphire Femtosecond Amplifier | 250 kHz | Coherent, US |
| | Femtosecond Optical Parametric Amplifier | | Light Conversion, LT |
| THz-TDS | Terahertz Time-Domain Spectroscopy | 0.1 – 4 THz | Home-built |
| Raman | Raman Spectroscopy | > 45 cm ⁻¹ | Jobin-Yvon-Horiba, FR |
| | In-situ Raman Spectroscopy | > 100 cm ⁻¹ | Jobin-Yvon-Horiba, FR |
| PL | Photoluminescence (10-300 K) | 300 – 1600 nm | Jobin-Yvon-Horiba, FR |
| TRPL | Time-Resolved Photoluminescence | $\tau = 3 \text{ ps} - 2 \text{ ns}$ $\tau > 5 \text{ ns}$ | Hamamatsu Phot., JP Stanford Research, US |
| EL | Electroluminescence | 300 – 1600 nm | Jobin-Yvon-Horiba, FR |
| | Optical Split-Coil Supercond. Magnet | 7 T | Oxford Instr., UK |
| PR | Photomodulated Reflectivity | 300 – 1600 nm | Jobin-Yvon-Horiba, FR |
| PLE | Photoluminescence Excitation | 300 – 1600 nm | Jobin-Yvon-Horiba, FR |
| OES | Optical Emission Spectroscopy | 250 – 800 nm | Jobin-Yvon-Horiba, FR |
| | | | |

Magnetic thin film deposition and analysis

| PLD | Pulsed Laser Deposition | | SURFACE, DE |
|---------------|---|----------------|--------------------------|
| MFM | Magnetic Force Microscope | ~ 50 nm resol. | VEECO / DI, US |
| SQUID MPSM | Supercond. Quantum Interference Device | ± 7 T | Quantum Design, US |
| SQUID VSM | Vibrating Sample Magnetometer | ± 7 T | Quantum Design, US |
| MOKE | Magneto-Optic Kerr Effect (in-plane) | \pm 0.35 T | Home-built |
| MOKE | Magneto-Optic Kerr Effect (perpend.) | ± 2 T | Home-built |
| FR-MOKE | Frequency-resolved Magneto-Optic KE | ± 1.1 T | Home-built |
| SKM | Scanning Kerr Microscope | | Home-built |
| | Kerr Microscope | | Evico Magnetics, DE |
| TR-MOKE | Time-Resolved MOKE (Pump-Probe) | | Home-built |
| VNA-FMR | Vector Network Analyzer Ferromagnetic Resonance | | Agilent, DE / Home-built |
| ME | Magnetoellipsometer | | LOT, DE; AMAC, US |

Other analytical and measuring techniques

| STM/AFM | UHV Scanning Probe Microscope (variable | Omicron, DE | |
|---------|---|--------------------------------|------------------------|
| AFM | Atomic Force Microscope (Contact, Tapping | Bruker, US | |
| AFM | Atomic Force Microscope (with c-AFM, SCM | 1-Module) | Bruker, US |
| | Dektak Surface Profilometer | | Bruker, US |
| | Micro Indenter/Scratch Tester | | Shimatsu, JP |
| MS | Mass Spectrometers (EQP-300, HPR-30) | | HIDEN, DE & US |
| | Wear Tester (pin-on disc) | | Home-built |
| LP | Automated Langmuir Probe | | Impedans, IE |
| HE | Hall Effect Equipment | $2-400$ K, ≤ 9 T | LakeShore, US |
| RS | Sheet-Rho-Scanner | | AIT, South Korea |
| DLTS | Deep Level Transient Spectroscopy | + I-U/C-V 10 - 300 K, 1 MHz | PhysTech, DE |
| IV / CV | Photocapacitance (+I-V/G-V) | 250 – 2500 nm | Home-built |
| IV / CV | I-V and C-V Analyzer | | Keithley, US |
| IV / CV | I-V and C-V Semi-Automatic Prober | -60 – 300 °C | Süss, DE; Keithley, US |
| IV | I-V Prober | 4.2 – 600 K | LakeShore, Agilent, US |

Deposition and processing techniques

| Physical Deposition | Sputtering DC/RF, Evaporation | Nordiko, UK |
|------------------------------|---|--------------------------|
| | Electron Beam Evaporation System | Leybold Optics, DE |
| | Thermal Evaporation | Bal-Tec, Ll |
| Molecular Beam Epitaxy | III-V semiconductors | Riber, FR |
| Chemical Vapour Deposition | Plasma Enhanced CVD (for a-Si, a-Ge, SiO ₂ , SiON, Si ₃ N ₄) | Oxford Instr., UK |
| Atomic Layer Deposition | Al ₂ O ₃ , HfO ₂ , SiO ₂ | Ultratech, US |
| Dry Etching | ICP-RIE, CF ₄ , SF ₆ , C ₄ F ₈ | Sentech, DE |
| | $RIBE, \varnothing$ 6", Ar, CF_4 | Roth & Rau, DE |
| Etching/Cleaning | incl. Anisotropic Selective KOH Etching | |
| Photolithography | Mask-Aligner, 2 µm-level | Süss, DE |
| Electron Beam Lithography | Raith 150-TWO: \varnothing 6", 10 nm res. | Raith, DE |
| | e-Line Plus: \varnothing 4", 10 nm res. | Raith, DE |
| Thermal Treatment | Room Temperature – 2000°C | |
| Furnace | | InnoTherm, DE |
| Rapid Thermal Annealing | (JETFIRST100) | JIPELEC, FR |
| Flash-Lamp Units (0.5 – 2 | 0 ms) | Home-built; FHR, DTF, DE |
| RF Heating (Vacuum) | | JIPELEC, FR |
| Laser annealing (CW, 808 | nm, 450 W) | LIMO, DE |
| Laser annealing (30 ns pu | lse,10 Hz, 308 nm, 500 mJ) | COHERENT, USA |
| Bonding Techniques | Ultrasonic Wire Bonding | Kulicke & Soffa, US |
| Cutting, Grinding, Polishing | | Bühler, DE |
| TEM Sample Preparation | Plan View and Cross Section incl. Ion Milling Equipment | Gatan, US |

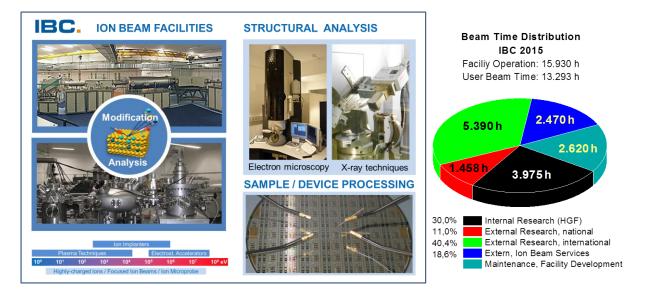
Hot cells laboratory

| Mechanical testing of neutron irradiated structural materials | max. total activity 5 TBq (Co-60), -150 +315 °C | |
|--|--|------------------------|
| Fracture mechanics testing | Max. load ±50 kN | MTS, US |
| Charpy impact testing | 300 J | WPM Leipzig, DE |
| Small punch test | 10 kN | Hegewald & Peschke, DE |
| Specimen preparation | Electrical discharge machining | AGIE, DE |
| Depth sensing nanoindentation/ AFM | UNAT, load range 1 – 500 mN | ASMEC, DE |

User facilities and services

Ion Beam Center (IBC)

The Ion Beam Center (IBC) at HZDR combines various machines (electrostatic accelerators, ion implanters, plasma-based equipment) into a unique facility primarily used for ion beam modification and ion beam analysis of materials. The available energy range spans from a few eV to almost 100 MeV with a respective interaction depth in solids between 0.1 nm to 10 µm. In addition to standard broad beams also focused (down to 1 nm) and highly-charged (charge state up to +45) ion beams are provided. In addition to these ion beam facilities, structural analysis (electron microscopy and spectroscopy, X-ray scattering techniques) and sample or device processing under clean-room conditions can be utilized at IBC to deliver a "complete" user service. A schematic overview of the IBC including the description of the main beam lines and experimental stations is given at page 92 of this Annual Report. In 2015 about 13.300 beam time hours were delivered to 480 users from 25 countries worldwide performing experiments at IBC or using the capabilities for ion beam services.



After HZDR joined the Helmholtz Association in 2011, IBC activities have been integrated efficiently into various Helmholtz programmes within the research field "Matter", but also in the Helmholtz crossprogramme activities "Mineral Resources", "Helmholtz Climate Initiative" and "Materials Research for Energy Technologies". From the beginning of 2015 the IBC has the status of a Helmholtz LK-II User Facility. Moreover, from 2013 the IBC has been recognized as a large-scale facility within the "BMBF Verbundforschung" programme promoting long-term collaborations with universities.

The IBC demonstrates its outstanding status in relation to other international ion beam facilities by the following features:

• The IBC has provided ion beam technology as a user and competence centre for ion beam applications for more than 30 years. With respect to user beam time hours the IBC is internationally leading and has been supported by numerous national and European grants and by industry.

• IBC activities cover both ion beam modification as well as ion beam analysis (IBA). Experienced staff is present to support IBC users and to enhance the experimental capabilities to their needs.

• Operation of IBC is accompanied by a strong in-house research at the affiliated host institute, both in experiment and theory. This allows in-depth research in targeted research areas as well as the exploration of new application fields for ion beam technology.

Following the rules of a European and national user facility, access for scientific experiments to IBC is provided on the basis of a proposal procedure (<u>www.hzdr.de/IBC</u>) via the common HZDR user facility portal **HZDR-GATE** (<u>gate.hzdr.de</u>). Due to the availability of multiple machines and versatile instrumentation, continuous submission of IBC proposals can be offered (no deadline). The scientific quality of the proposals is steadily evaluated and ranked by an external international User Selection Panel (USP). For successfully evaluated proposals, users get free access to IBC facilities for their experiments which can be often realized within three months after proposal submission. The use of the IBC facilities includes the scientific and technical support during planning, execution and subsequent evaluation of the experiments.

The IBC has a strong background in the commercial exploitation of ion beam technology with partners from industry which is essential for materials science applications. For ion beam services the HZDR Innovation GmbH (spin-off of the HZDR) – <u>www.hzdr-innovation.de</u> – provides a direct and fast access to the IBC facilities based on individual contracts. The close collaboration between IBC and HZDR Innovation GmbH is considered as a reference model aiming to develop innovative strategies for an effective contribution of Helmholtz large-scale facilities for economic innovation. Currently, about 20% of the total IBC beam time is used for commercial ion beam services.

The IBC pursues two strategic objectives for further development. On one hand, it is focused on the successful operation as an international user facility to sustain its position as a leading European ion beam center in the fields of materials and interdisciplinary research. This requires the continuous development and extension of ion beam technologies. Special emphasis is directed towards new R&D areas and communities which so far have not been aware of the advantages of ion technology. On the other hand, cutting edge scientific challenges, in particular for the modification and understanding of the function of materials at the nano-scale down to the atomic level, is addressed in order to achieve new functionalities and to design novel materials. These challenges comprise:

• the extended application of low-energy ions for modification and analysis of ultra-thin films, surfaces, 2D materials and molecular layers based on a new Low Energy Ion Nano-Engineering Facility (LEINEF) platform which is currently designed for first experiments in 2017,

• the investigation of material states and modifications far from equilibrium induced by high-energy density excitations with cluster and highly-charged ions,

 the exploration of new IBA approaches with unprecedented lateral resolution, ultimate detection limit and high sample throughput, e.g. for resource technology. In this context, a fruitful and close collaboration between the IBC and the Helmholtz Institute of Resource Technology (<u>www.hzdr.de/fwg</u>) has been developed during last years. With projects like "High-Speed-PIXE" and "Super-SIMS", the interdisciplinary work of IBC will be substantially extended towards the application of ion beam analysis methods in geosciences and resource technology.

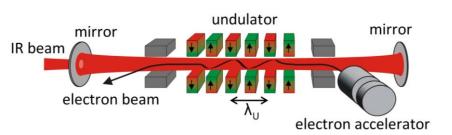
Recently, some new ion beam tools or end-stations have been commissioned which will attract new users by cutting-edge experimental instrumentation. In 2015, the routine operation of the ion microscope ORION NanoFab (He/Ne ions, 10 – 40 keV) has started enabling unique possibilities for surface imaging and nano-fabrication with feature sizes below 10 nm. Moreover, based on ion scattering technique, quantitative elemental analysis of surfaces with a spatial resolution of 50 nm became possible at ORION NanoFab. As another example, the nuclear reaction analysis end-station has been completed with a retarding field optics which allows fast hydrogen depth profiling measurements.

For more detailed information please contact Dr. Johannes von Borany (<u>j.v.borany@hzdr.de</u>) or Dr. Stefan Facsko (<u>s.facsko@hzdr.de</u>) and visit the IBC webpage: <u>www.hzdr.de/IBC</u>.

Free Electron Laser FELBE

ELBE is an acronym for the free-electron laser (FEL) at the Electron Linear accelerator with high Brilliance and low Emittance (ELBE) located at the Helmholtz-Zentrum Dresden-Rossendorf, Germany. The heart of ELBE is a superconducting linear accelerator operating in cw mode with a pulse repetition rate of 13 MHz. The electron beam (40 MeV, 1 mA max.) is guided to several laboratories where secondary beams (particle and electromagnetic) are generated. Two free-electron lasers (U27-FEL and U100-FEL) produce intense, coherent electromagnetic radiation in the mid and far infrared, which is

tunable over a wide wavelength range (4 – 250 µm) by changing the electron energy or the undulator magnetic field. Main parameters of the infrared radiation produced by FELBE are as follows:



| Wavelength λ | 4 – 22 μm 18 – 250 μm | FEL with undulator U27 FEL with undulator U100 |
|----------------------|--------------------------|--|
| Pulse energy | 0.01 – 2 µJ | depends on wavelength |
| Pulse length | 1 – 25 ps | depends on wavelength |
| Repetition rate | 13 MHz | 3 modes: • cw • macropulsed (> 100 μs, < 25 Hz) • single pulsed (Hz … kHz) |

The free electron laser is a user facility. Applications for beam time can be submitted twice a year, typically by April 15 and October 15. Users from EU countries have been able to receive support through the FP7 Integrated Infrastructure Initiative (I3) CALIPSO (Coordinated Access to LIghtsources to Promote Standards and Optimization), which ended in May 2015.



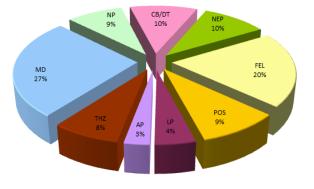
Typical applications are picosecond pumpprobe spectroscopy (also in combination with several other femtosecond lasers, which are synchronized to the FEL), near-field microscopy and nonlinear optics. The FELBE facility also serves as a far-infrared source for experiments at the High-Field Laboratory Dresden (HLD) involving pulsed magnetic fields up to 70 Tesla.

The statistics shows that the FEL used 1024 hours beam time of the ELBE accelerator. This corresponds to 20 % of total beam time, which is again distributed among internal and external users.

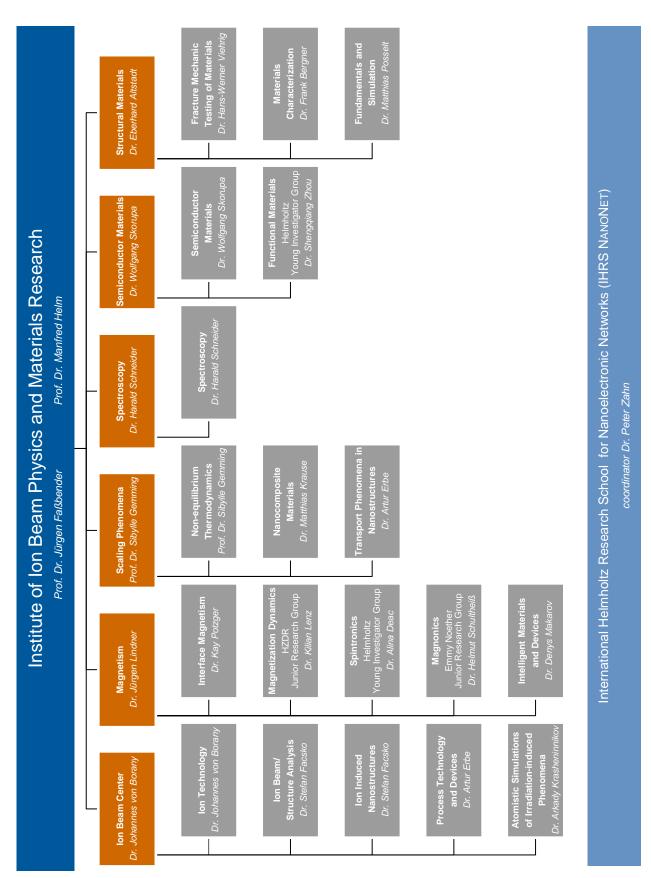
For further information please contact: Prof. Manfred Helm (<u>m.helm@hzdr.de</u>) or visit the FELBE webpage www.hzdr.de/FELBE.

Beamtime Distribution at ELBE

NP: Nuclear Physics
 CB/DT: Cell Biology/Detector Tests
 NP: Neutron Physics
 FEL: Free Electron Laser
 POS: Positron Physics
 LP: Laser Physics
 AP: Accelerator Physics
 THZ: Terahertz
 MD: Machine Development



Organization chart



List of personnel 2015

DIRECTORS

Prof. Dr. M. Helm, Prof. Dr. J. Faßbender

SCIENTIFIC STAFF

Permanent staff

Dr. C. Akhmadaliev Dr. E. Altstadt Dr. C. Bähtz Dr. F. Bergner Dr. L. Bischoff Dr. J. von Borany Dr. E. Dimakis Dr. A. Erbe Dr. S. Facsko Prof. Dr. S. Gemming Dr. J. Grenzer Dr. V. Heera Dr. R. Hübner Dr. M. Krause Dr. J. Lindner Dr. G. Müller Dr. F. Munnik Dr. C. Neelmeijer Dr. M. Posselt Dr. K. Potzger Dr. L. Rebohle Dr. H. Schneider Dr. W. Skorupa Dr. A. Ulbricht Dr. H.-W. Viehrig Dr. M. Voelskow Dr. M. Werner Dr. S. Winnerl Dr. P. Zahn Dr. S. Zhou

OFFICE

S. Gebel, S. Kirch

Non-permanent

Dr. A. Bakaev (P) Dr. R. Bali Dr. R. Böttger Dr. V. Cantelli Dr. S. Cornelius (P) Dr. A. Deac Dr. M. Devaraj (P) Dr. M. Engler Dr. J. Fiedler (P) Dr. C. Fowley Dr. Y. Georgiev Dr. K.-H. Heinig Dr. C. Heintze Dr. R. Heller Dr. G. Hlawacek (P) M. Houska (P) Dr. E. Josten Dr. A. Kákay Dr. J. Kerbusch (P) Dr. M. Khalid (P) Prof. Dr. A. Kolitsch (P) T. Kosub

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TECHNICAL STAFF

Permanent staff

(P) Projects

| PhD STUDENTS | | | | |
|---|-----------------|---------------|------------------|--|
| Y. Aleksandrov | J. Ehrler | J. König-Otto | D. Stephan | |
| H. Arora | F. Eßer | E. Kowalska | T. Tauchnitz | |
| A. W. Awan | M. Fehrenbacher | D. Lang | B. Teschome | |
| L. Balaghi | C. Franke | M. Langer | T. Voitsekhivska | |
| D. Blaschke | F. Fuchs | F. Liu | K. Wagner | |
| J. Braun | K. Gao | F. Lungwitz | M. Wang | |
| A. Bogusz | F. Günther | J. Osten | Y. Wang | |
| J. Buchriegler | A. Heidarian | B. Pelic | R. Wenisch | |
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| A. Das | D. Janke | E. Schumann | C. Xu | |
| D. Deb | J. Kelling | T. Sendler | O. Yildirim | |
| B. Duan | N. Klingner | G. Steinbach | Y. Yuan | |
| STUDENTS (diploma / MSc / BSc)J. AbdouH. HentschelM. BraunN.K. KatkojuM. HähnelM. B. Khan | | | | |

Non-permanent





Institute of Ion Beam Physics and Materials Research P.O. Box 51 01 19 · 01314 Dresden/Germany Phone +49 351 260-2345 Fax +49 351 260-3285 http://www.hzdr.de

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