

**Mutationsanalysen in Genen  
des Acetylcholin-Rezeptor-Pathways  
in Patienten mit Fetal Akinesia Deformation Sequence  
(FADS)**

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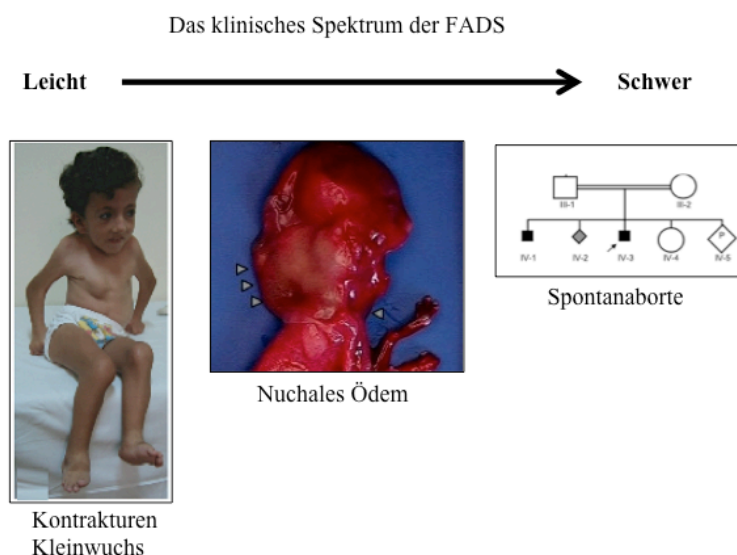
## Inhaltsverzeichnis

1. Einführung in das Thema .....	2
1.1. Prävalenz und Relevanz fetaler Bewegungsstörungen und angeborener Kontrakturen ....	2
1.2. Das klinische Bild .....	3
1.3. Ursachen verminderter fetaler Bewegung .....	4
1.4. Der nicotinerge Acetylcholinrezeptor .....	6
1.5. Mutationssuche in den Genen der $\alpha$ 1-, $\beta$ 1- und $\delta$ -Untereinheit ( <i>CHRNA1</i> , <i>CHRN1</i> und <i>CHRND</i> ) sowie in dem Rezeptor assoziierten <i>RAPSN</i> -Gen .....	7
2. Publikation .....	8
3. Zusammenfassung der Arbeit .....	22
Literaturverzeichnis .....	26
Anlagen .....	32
Darstellung des eigenen wissenschaftlichen Beitrags .....	38
Erklärung über die eigenständige Abfassung der Arbeit .....	39
Lebenslauf .....	40
Danksagung .....	41

## 1. Einführung in das Thema

### 1.1. Prävalenz und Relevanz fetaler Bewegungsstörungen und angeborener Kontrakturen

Fetale Bewegungsstörungen betreffen 15 % aller Schwangerschaften (McCarthy *et al.* 2016). Davon sind die meisten geringgradig ausgeprägt (fetale Hypokinesie). Bei einigen Schwangerschaften liegen jedoch schwerwiegende Bewegungsstörungen (fetale Akinesie) vor. Bewegung ist ein Charakteristikum aller gesunden Lebewesen und beginnt beim Homo sapiens bereits in der achten Schwangerschaftswoche. Fehlende bzw. verminderte Bewegung ist daher ein Alarmzeichen für eine mögliche Schädigung (Hall *et al.* 2014). Unter dem Konzept, dass Funktion ein essentieller Bestandteil für die normale Entwicklung von Strukturen ist, und jede einzelne wiederum einen Teil eines sorgfältig geplanten und ganzheitlichen Systems darstellt, ist die intrauterine Bewegung notwendig für eine normale Entwicklung des werdenden Lebens (Hall *et al.* 1986). Die fetale Hypo-/Akinesie ist damit Ausgangspunkt einer Sequenz, die verantwortlich ist für einen Phänotyp, der unter anderem Arthrogyposis, Pterygien, Muskelschwäche, Lungenhypoplasie, respiratorische Insuffizienz, intrauterine Wachstumsretardierung und kraniofaziale Dysmorphien unterschiedlichen Ausmaßes umfasst. In schweren Fällen resultieren prä- bzw. perinatal letale Verläufe.



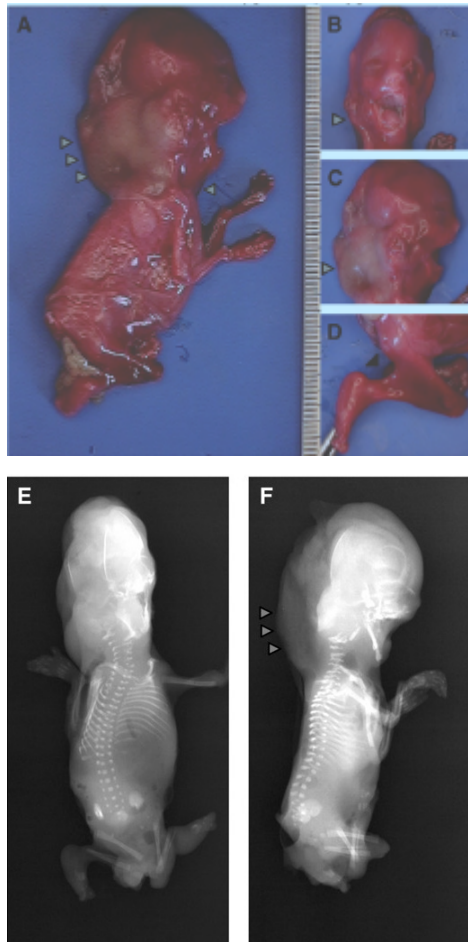
**Abb.1 Die Fetal Akinesia Deformation Sequence (FADS) umfasst ein weites klinisches Spektrum.** Es reicht von isolierten Kontrakturen, Wachstumsretardierung, fetaler Hypo-/Akinesie bis hin zur Lungenhypoplasie, Hydrops fetalis und rezidivierenden Spontanaborten (Hoffmann *et al.* 2006 / Michalk *et al.* 2008).

## 1.2. Das klinische Bild einer FADS

Nahezu immer geht die fetale Akinesie mit Kontrakturen der Gelenke einher. Bei einem Teil der Feten treten in diesem Zusammenhang Kollagenosen und eine Atrophie der gelenknahen Muskeln auf. Die verminderten Kindesbewegungen werden häufig bereits im ersten Trimenon der Schwangerschaft bemerkt und sind fast regelhaft von einer intrauterinen Wachstumsretardierung begleitet. Je früher sich das Krankheitsbild manifestiert, desto gravierender ist das Ausmaß der resultierenden Fehlbildungen (*Hall et al. 2014*). Betroffene zeigen typische, aber nicht spezifische kraniofaziale Auffälligkeiten. Dazu gehören unter anderem eine Mikrognathie, eine Gaumenspalte, ein okulärer Hypertelorismus und tiefsitzende retrovertierte Ohren (*Hammond et al. 1995*). Weiterhin charakteristisch sind Pterygien, die auch sonographisch intrauterin detektiert werden können. Insbesondere bei den letalen Verlaufsformen der FADS werden extramuskuläre Manifestationen wie ein Polyhydramnion, ein Hydrops fetalis und eine pulmonale Hypoplasie beschrieben. Die Familienanamnese umfasst nicht selten Spontanaborte, Tod- und Fehlgeburten sowie weitere Betroffene.



**Abb.2 Das klinische Erscheinungsbild der FADS.** A Kontrakturen der Hände. B Sogenannte „Rocker-bottom-feet“. C Pterygien der Ellenbogen und eine muskuläre Atrophie. D Ausgeprägte Skoliose. D+E Symmetrische Kontrakturen, Pterygien und eine Wachstumsretardierung. Typische, aber nicht spezifische kraniofaziale Dysmorphien sind ein Hypertelorismus, Mikrostomie, Mikrognathie und die tiefsitzenden retrovertierten Ohren. Die mentale Entwicklung kann unbeeinträchtigt sein (*Hoffmann et al. 2006*).

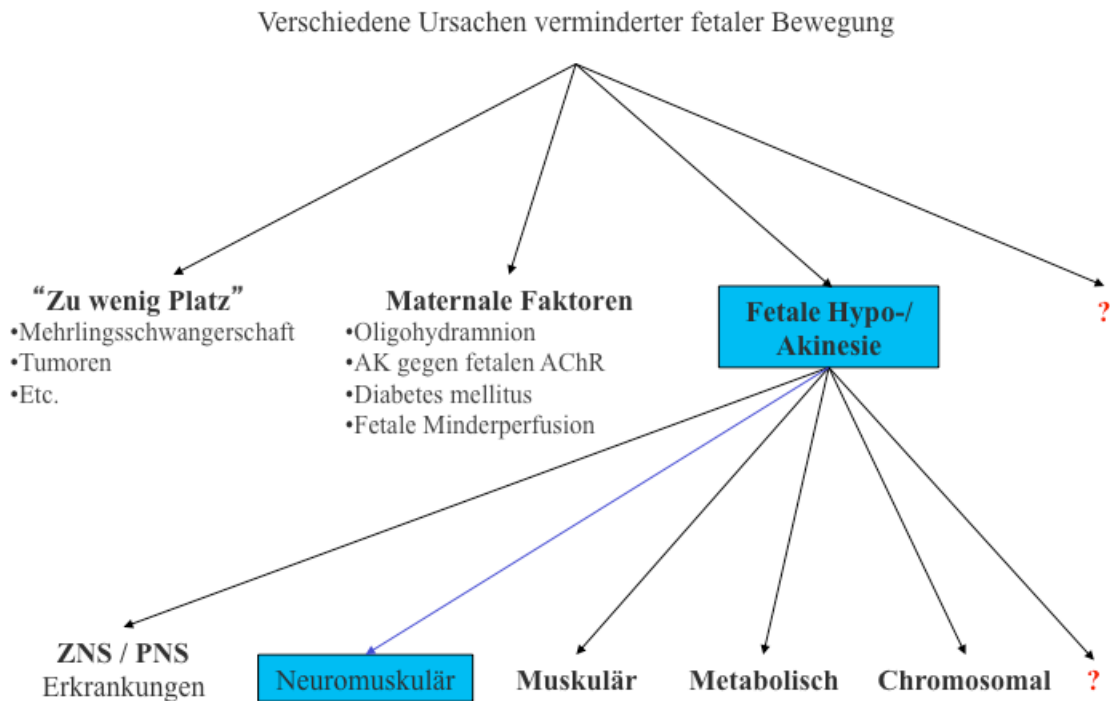


**Abb.3 Der Phänotyp von Individuen mit Mutationsnachweis im *CHRNA1* und *CHRND*.** A-D Die letalen Verläufe gehen typischerweise mit nuchalem Ödem, Pterygien, Kontrakturen und einem Hydrops fetalis einher. Je ausgeprägter eine intrauterine Bewegungseinschränkung ist, desto wahrscheinlicher sind rezidivierende Aborte in einer Familie. E+F Das Fetogramm zeigt eine Skoliose, Schädeldeflektbildungen und ein nuchales Hygroma (Michalk et al.2008).

### 1.3. Ursachen verminderter fetaler Bewegung

Die Ätiologie der FADS ist sehr heterogen. Ursächlich für verminderte fetale Bewegungen kann zum Beispiel ein intrauteriner Platzmangel, wie eine Zwillingsschwangerschaft oder ein Oligohydramnion sein. Platzmangel erhöht die Anfälligkeit für angeborene Kontrakturen. Mütterliche Faktoren, wie Antikörper gegen den fetalen AChR, eine plazentare Minderperfusion oder ein schlecht eingestellter Diabetes mellitus können Auslöser sein. Auf fetaler Seite können Amyoplasien (Hall et al. 1983), kongenitale Neuropathien, Synaptopathien, Myopathien, Vaskulopathien, chromosomale Aberrationen oder metabolische Erkrankungen für die klinischen Auffälligkeiten verantwortlich sein (Hoffmann et al. 2006).

Bei der Hälfte der Patienten kann die Ursache aber bis heute nicht geklärt werden. Eine eindeutige klinische und/oder molekulargenetische Diagnose ist jedoch für die Abschätzung der Prognose wichtig. Ebenso können sich aus einer molekulargenetischen Diagnose weit reichende therapeutische Konsequenzen ergeben.

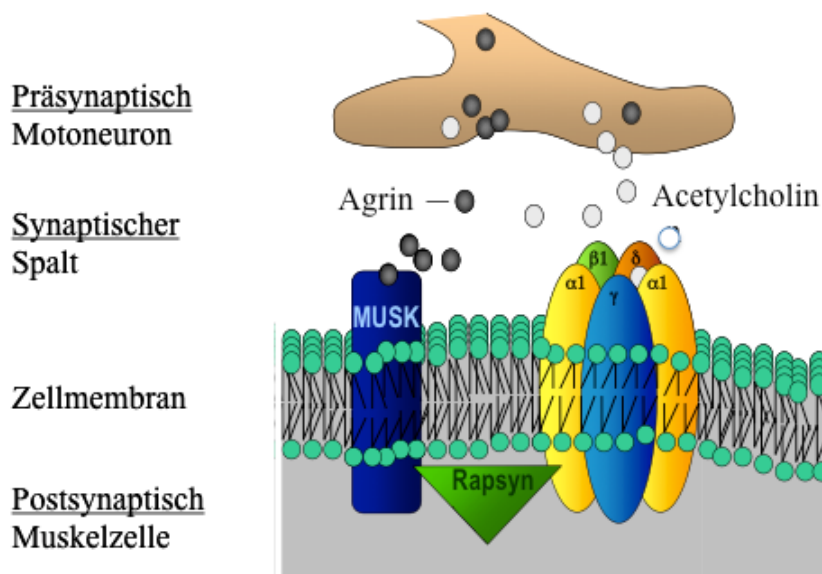


**Abb.4 Schematische Darstellung möglicher Ursachen einer fetalen Hypokinesie.** Blau markierte Areale verdeutlichen den Fokus der vorgelegten Promotion. Gleichzeitig sind bisher unklare Komponenten mit Fragezeichen versehen.

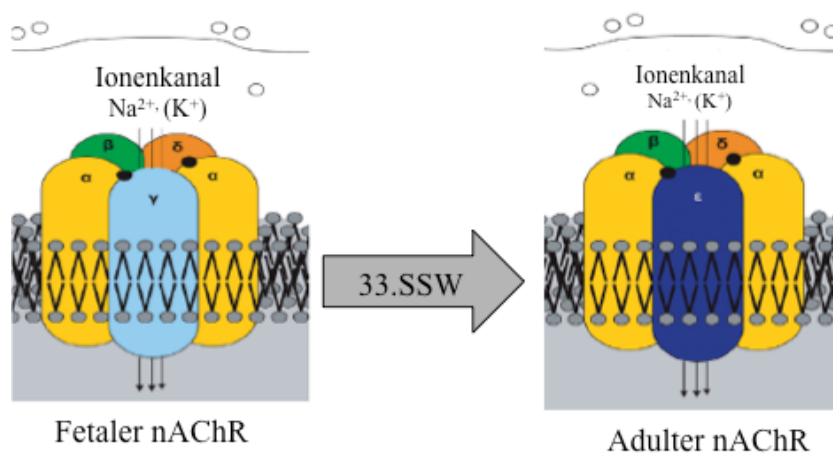
Aus diesem Grund beschäftigte sich unsere Arbeitsgruppe mit dem Escobar Syndrome (MPS, MIM 265000) und dem letalen Multiple Pterygium Syndrome (LMPS, MIM 253290). Beide Syndrome gehen neben den Kontrakturen vor allem mit Pterygien einher und gelten als Sonderform der Arthrogryposis multiplex congenita. Kopplungsanalysen in den Familien mit Betroffenen führten zur Identifikation von acht Mutationen in der  $\gamma$ -Untereinheit (*CHRNA3*, MIM 100730) des nicotineren AChR (Hoffmann et al. 2006).

### 1.4. Der nicotinerge Acetylcholinrezeptor

Der nicotinerge AChR besitzt fünf Untereinheiten. Davon sind zwei  $\alpha 1$ -, eine  $\beta 1$ -, eine  $\delta$ -Untereinheit sowohl im fetalen als auch im adulten AChR präsent (*CHRNA1*, MIM 100690, *CHRN1*, MIM 100710, *CHRND*, MIM 100720). Die fetale  $\gamma$ -Untereinheit wird während der 33. Schwangerschaftswoche durch eine adulte  $\epsilon$ -Untereinheit ersetzt (*CHRNG*, MIM 100730, *CHRNE*, MIM 100725) (Moerman et al. 1990).



**Abb.5** Die schematische Darstellung der neuromuskulären Endplatte mit dem fetalen nicotineren Acetylcholinrezeptor (nAChR) und ausgewählten Signalweg-Proteinen. Beim nAChR handelt es sich um ein postsynaptisches Membranprotein, bestehend aus fünf transmembranösen Untereinheiten. Rapsyn, ein Protein des Zytoskelettes stabilisiert einerseits die nAChR-Cluster in der postsynaptischen Membran, übermittelt andererseits Signale vom Agrin-aktivierten MUSK (Michalk et al. 2008).



**Abb.6** Der Aufbau des fetalen und adulten nicotineren Acetylcholinrezeptors (nAChR). Man beachtet den Wechsel der fetalen  $\gamma$ -Untereinheit gegen die adulte  $\epsilon$ -Untereinheit um die 33. Schwangerschaftswoche (Hoffmann et al. 2006).

Beide, fetaler und adulter Rezeptor, sind essentiell für die neuromuskuläre Signaltransduktion. Der fetale Rezeptor spielt weiterhin eine wichtige Rolle in der Organogenese, beim Aufeinandertreffen von Axon und Muskel (*Hoffmann et al. 2006*).

Heterozygote Missense- oder Nonsense-Mutationen in den permanenten AChR-Untereinheiten  $\alpha 1$ ,  $\beta 1$ ,  $\delta$  und Rapsyn verursachen kongenitale Myasthenie-Syndrome (CMS, MIM 608931) (*Engel et al. 2005*). Diese präsentieren sich klinisch mit variablem Krankheitsbeginn und Schweregrad. Die Betroffenen leiden infolge einer vorzeitigen Ermüdbarkeit der Skelettmuskulatur zum Beispiel an einer Ptosis, Doppelbildern, Schluckstörungen, Atemschwäche und einer verzögerten motorischen Entwicklung.

Klinisch charakteristisch für Mutationen in der fetalen  $\gamma$ -Untereinheit ist das Fehlen myasthener Symptome postnatal. Das ist der große Unterschied zu den Mutationen in der  $\alpha 1$ -,  $\beta 1$ - oder  $\delta$ - (*CHRNA1*, *CHRNBI*, *CHRND*)-Untereinheit des adulten Rezeptors.

Es wurde damals vermutet, dass homozygote Missense- oder Nonsense-Mutationen in den permanenten Untereinheiten des nicotinergeren AChR letal verlaufen (*Palace et al. 2007*).

### **1.5. Mutationssuche in den Genen der $\alpha 1$ -, $\beta 1$ - und $\delta$ - Untereinheit**

**(*CHRNA1*, *CHRNBI* und *CHRND*) sowie in dem**

**Rezeptor assoziierten *RAPSN*-Gen bei Patienten mit der FADS**

Im Rahmen der vorgelegten Dissertation wurden 63 Patienten mit dem klinischen Bild des Escobar Syndroms, dem letalen Multiple Pterygium Syndrome und anderen Formen der FADS untersucht. Zuerst erfolgte eine Standard-DNA-Sequenzierung der kodierenden Regionen des *CHRNG*-Gens. In drei der Familien fand ich bei Betroffenen krankheitsursächliche Mutationen im *CHRNG*-Gen (nicht Inhalt der vorgelegten Publikation).

In den restlichen 60 Familien vermuteten wir aufgrund des ähnlichen klinischen Bildes und der Familienanamnese Mutationen in anderen Komponenten des Acetylcholinrezeptor-Pathways. Ich sequenzierte die kodierenden Regionen der Gene *CHRNA1*, *CHRNBI*, *CHRND* und *RAPSN*.



## 2. Publikation

Die vorliegende Arbeit bezieht sich auf einen wissenschaftlichen Artikel, der im Folgenden abgedruckt ist.

**Autoren:** Anne Michalk, Sigmar Stricker et al.

**Titel:** Acetylcholine Receptor Pathway Mutations Explain Various Fetal Akinesia Deformation Sequence Disorders.

**Bibliographie:** Am J Hum Genet 82: 464-476 (2008)

## ARTICLE

## Acetylcholine Receptor Pathway Mutations Explain Various Fetal Akinesia Deformation Sequence Disorders

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Impaired fetal movement causes malformations, summarized as fetal akinesia deformation sequence (FADS), and is triggered by environmental and genetic factors. Acetylcholine receptor (AChR) components are suspects because mutations in the fetally expressed  $\gamma$  subunit (*CHRG*) of AChR were found in two FADS disorders, lethal multiple pterygium syndrome (LMPS) and Escobar syndrome. Other AChR subunits  $\alpha 1$ ,  $\beta 1$ , and  $\delta$  (*CHRNA1*, *CHRNB1*, *CHRND*) as well as receptor-associated protein of the synapse (*RAPSN*) previously revealed missense or compound nonsense-missense mutations in viable congenital myasthenic syndrome; lethality of homozygous null mutations was predicted but never shown. We provide the first report to our knowledge of homozygous nonsense mutations in *CHRNA1* and *CHRND* and show that they were lethal, whereas novel recessive missense mutations in *RAPSN* caused a severe but not necessarily lethal phenotype. To elucidate disease-associated malformations such as frequent abortions, fetal edema, cystic hygroma, or cardiac defects, we studied *Chma1*, *Chmb1*, *Chrd*, *Chmg*, and *Rapsn* in mouse embryos and found expression in skeletal muscles but also in early somite development. This indicates that early developmental defects might be due to somite expression in addition to solely muscle-specific effects. We conclude that complete or severe functional disruption of fetal AChR causes lethal multiple pterygium syndrome whereas milder alterations result in fetal hypokinesia with inborn contractures or a myasthenic syndrome later in life.

### Introduction

Fetal movement is a precondition for normal fetal development and growth. Depending on severity, intrauterine movement restriction causes growth retardation, fetal hydrops, polyhydramnios, pulmonary hypoplasia, multiple joint contractures with or without webbing (pterygia), and other features, giving rise to the term fetal akinesia deformation sequence (FADS [MIM 208150]).<sup>1</sup> Fetal akinesia occurs in many genetic and acquired disorders. Examples of environmental causes are curare exposure and circulating maternal antibodies against the fetal acetylcholine receptor.<sup>2–4</sup> Restricted intrauterine space in twin pregnancies or oligohydramnios also increases susceptibility. Genetic entities include trisomy 18, myotonic dystrophies, central core myopathy, and synaptopathies.<sup>5–9</sup>

Synaptopathies are diseases of the neuromuscular junction. Key molecules necessary for neuromuscular signal transduction include presynaptic acetylcholine transferase (CAT), acetylcholine esterase (AChE) in the synapse, and postsynaptic muscular nicotinic acetylcholine receptor

(AChR). During fetal development, AChR consists of two  $\alpha 1$ , one  $\beta 1$ , one  $\delta$ , and one  $\gamma$  subunit, whereas after 33 weeks gestation, the  $\gamma$  subunit is replaced by an  $\epsilon$  subunit (*CHRNA1* [MIM 100690], *CHRNB1* [MIM 100710], *CHRND* [MIM 100720], *CHRG* [MIM 100730], *CHRNE* [MIM 100725]).<sup>10</sup> For neuromuscular signal transduction, all subunits must be functional. In addition, the AChR must be correctly assembled, clustered, anchored, activated, and linked. This complex process requires many contributing proteins such as agrin (*AGRN* [MIM 103320]), muscle skeletal tyrosine kinase (*MUSK* [MIM 601296]), the muscle-intrinsic activator of *MUSK* named *DOK7* (downstream of tyrosine kinase 7 *DOK7* [MIM 610285]), and the receptor-associated protein, *rapsyn* (*RAPSN* [MIM 601592]), that is involved in AChR assembly and localization to the cell membrane (Figure 1).<sup>11</sup>

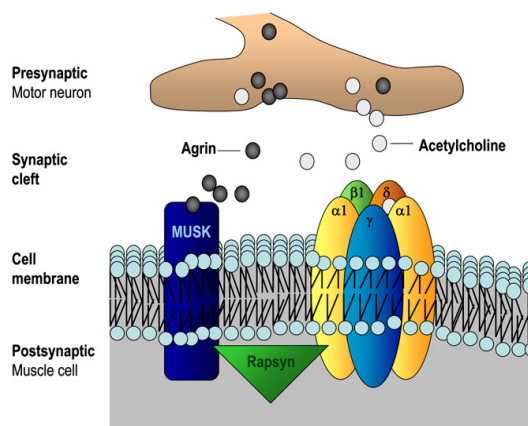
Genetic disorders of the postsynaptic complex present as recessively or dominantly inherited myasthenic syndromes with variable age of onset and clinical severity.<sup>8,9,16</sup> Mutations in the acetylcholine receptor  $\gamma$  subunit cause two prenatal myasthenic syndromes belonging to the

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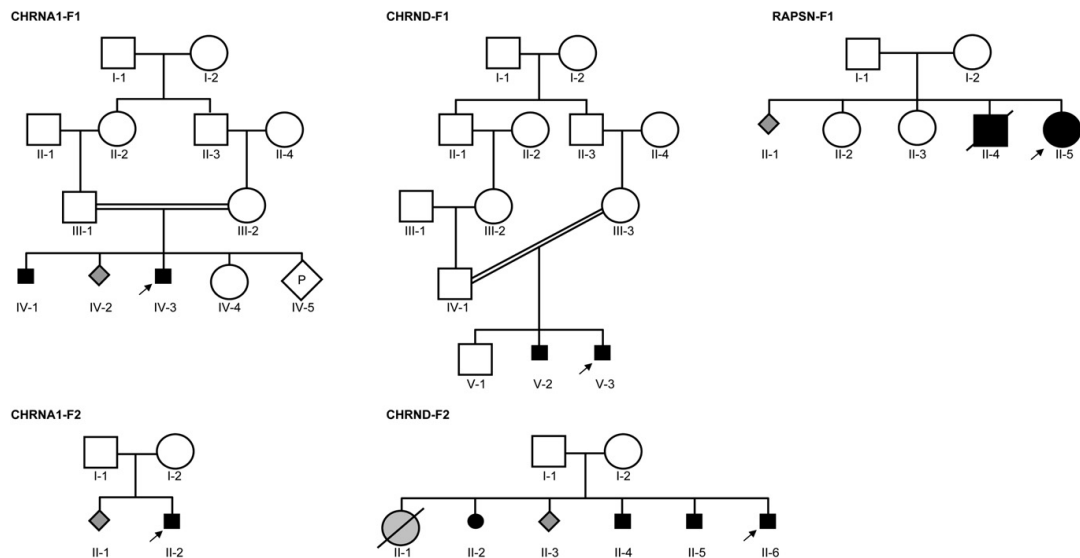


**Figure 1. Schematic View of AChR Complex**

AChR at the postsynaptic membrane in muscle cells consist of 5 subunits.<sup>11</sup> Two  $\alpha 1$ , one  $\beta 1$ , one  $\delta$  subunit are always present. The fifth subunit is the fetally expressed  $\gamma$  subunit. By around 33 weeks of gestation in humans,  $\gamma$  subunit expression is stopped switching to  $\epsilon$ , thereby replacing fetal-type AChR by adult-type AChR.<sup>10</sup> Rapsyn is a cytoskeletal membrane protein colocalizing with AChR. Functionally, rapsyn is considered to transduce signals from the agrin-activated MUSK. Not shown here, rapsyn connects the receptor with the cytoskeletal dystrophin-glycoprotein-complex (DGC) and stabilizes AChR clusters by interaction with calpain.<sup>12-15</sup>

FADS spectrum, lethal multiple pterygium syndrome (LMPS [MIM 253290]) and Escobar syndrome, a severe condition with inborn contractures, pterygia, and respiratory distress (MIM 265000).<sup>8,9</sup> The AChR  $\gamma$  subunit is expressed in fetal development and is replaced by an  $\epsilon$  subunit in late gestation.<sup>10</sup> The fetal *CHRNA1* expression explains the severe intrauterine phenotype with contractures, pterygia, and increased intrauterine lethality. The physiological substitution of the  $\gamma$  by the  $\epsilon$  subunit explains the absence of myasthenic symptoms after birth. This  $\gamma$ - $\epsilon$  switch is exceptional because the other AChR subunits  $\alpha 1$ ,  $\beta 1$ , and  $\delta$  do not have a substitute and remain throughout fetal and adult life. Mutations in *CHRNA1*, *CHRNA1*, *CHRNA1*, *CHRNA1*, *CHRNA1*, *CHRNA1*, *CHRNA1*, and *RAPSN* cause congenital myasthenic syndromes with muscular hypotonia or increased fatigue later in life.<sup>16</sup> The previously published patients either had dominant myasthenic syndrome, recessive missense alterations, or compound genotypes of nonsense-missense mutations in *CHRNA1*, *CHRNA1*, or *CHRNA1*.<sup>16</sup> Lethality of homozygous null mutations in nonsubstituted AChR subunits was predicted but never proven.<sup>16</sup>

We analyzed another 63 patients with lethal multiple pterygium syndrome, Escobar syndrome, or other severe forms of FADS but found *CHRNA1* mutations in only three of them. In the remaining 60 families, we suspected mutations in other AChR pathway genes. We therefore sequenced the genes for rapsyn and for the other fetally expressed AChR subunits  $\alpha 1$ ,  $\beta 1$ , and  $\delta$ .



**Figure 2. Pedigrees of Described Families with *CHRNA1*, *CHRND*, or *RAPSN* Mutations Indicate Recessive Inheritance and Increased Intrauterine Lethality**

Two families with *CHRNA1* mutations, two families with *CHRND* mutations causing lethal multiple pterygium syndrome, and one family with recessive *RAPSN* mutations resulting in fetal akinesia syndrome with inborn contractures are shown. Arrow: index patient. Small symbols represent abortions, intrauterine death, or termination of pregnancy because of severe affection.

**Table 1. Clinical Features in Patients with Severe Fetal Akinesia Sequence**

	CHRND-F1		CHRND-F2		CHRND-F1		CHRND-F2		RAPSND-F1		
	CHRND-F1	yes (IV-2)	CHRND-F2	yes (II-3)	CHRND-F1	yes (II-3)	CHRND-F2	yes (II-3)	RAPSND-F1	yes (II-1)	
<b>Patients, General Data</b>											
Patient ID	CHRND-F1	CHRND-F2	CHRND-F1	CHRND-F2	CHRND-F1	CHRND-F2	CHRND-F1	CHRND-F2	CHRND-F1	CHRND-F2	
Gender	F1; IV-1 M	F1; IV-3 M	F2; II-2 M	F1; V-2 M	F1; V-3 M	F2; II-1 F	F2; II-2 F	F2; II-5 M	F2; II-6 M	F1; II-4 M	RAPSND-F1 F1; II-5 F
Sample for mutation analysis	+	+	+	+	+	-	-	+	+	+	+
Duration of pregnancy	24 gw	20 gw	28 gw	17 gw	15 gw	15 gw	19 gw	12 gw	13 gw	36 gw+6	38 gw+3
Current age	stillbirth	TP	stillbirth	TP	TP	TP	TP	TP	TP	died 10 m	10 m
<b>Intrauterine Problems</b>											
Growth retardation	+	+	NA	+	+	+	NA	NA	+	+	-
Edema/cystic hygroma	+/+	+/+	NA	+/+ (7 gw)	+/+	+/+	NA/+	NA/+	NA/+	+/+	-/-
Decreased movements	+	+	NA	+	+	+	NA	+	+	+	+
Joint contractures	+	+	NA	+	+	+	NA	+	+	+	+
Poly/oligohydramnion	-	-	NA	-	-	-	NA	NA	NA	NA	-
<b>Postnatal or Autopsy Findings</b>											
Faciocranial dysmorphism	+	+	NA	+	NA	NA	+	NA	NA	+	+
High arched palate	NA	NA	NA	-	NA	NA	NA	NA	NA	NA	+
Cleft palate	+	+	NA	-	NA	NA	NA	NA	NA	NA	+
Downslanting palpebral fissures	NA	NA	NA	+	NA	NA	NA	NA	NA	+	+
Hypertelorism	NA	NA	NA	NA	NA	NA	+	NA	NA	+	+
Depressed nasal bridge	NA	NA	NA	+	NA	+	+	NA	NA	-	-
Micrognathia	+	+	NA	+	NA	+	+	NA	NA	+	+
Low-set ears	NA	NA	NA	+	NA	+	+	NA	NA	+	+
Reduced muscle bulk/hypoplasia	+	+	NA	NA	NA	+	NA	NA	NA	+	+
Scoliosis	+	+	NA	-	NA	+	NA	NA	NA	+	+
Contractures	+	+	NA	+	NA	+	+	+	+	+	+
Pterygia	+	+	NA	+	NA	+	+	+	+	+	-
<b>Other features</b>											
rocker bottom feet	+	+	infarction of placenta	+	pectus excavatum; broad ribs, clavicularae and Os metatarsale I	+	big atrial septal defect; lung hypoplasia; hydrothorax; ascites	rocker bottom feet; lung hypoplasia; hydrothorax; pericardial effusion; shortened ribs	respiratory problems; feeding problems; cryptorchism	+	respiratory problems; feeding problems; weak cry

Included are lethal multiple pterygium, Escobar-like syndrome, and fetal akinesia with inborn arthrogryposis. Data on prenatal ultrasound are available from some patients and consistently report growth retardation and contractures, detected as early as 12 weeks of gestation. Severe fetal akinesia, pterygia, generalized hydrops, and nuchal hygroma were found in patients from families CHRNA1-F1/F2 and CHRND-F1/F2, but not in family RAPSIN-F1. Increased nuchal translucency or fetal hydrops were early diagnostic but unspecific sonographic signs toward the end of the first trimester. In second trimester, frank fetal hypo-/akinesia and multiple contractures were detectable. Early abortions and stillbirths were noted in families CHRNA1-F1/F2 and CHRND-F1/F2, indicating intrauterine lethality (Figure 2). Subsequent pregnancies with severely affected fetuses in these families were terminated. Micrognathia and low-set ears occurred in all patients. Cleft palate was reported in families CHRNA1-F1/F2 as well as in family RAPSIN-F1 (submucous type); no information was available for families CHRND-F1/F2. Hypertelorism, downslanting palpebral fissures, and scoliosis were reported in various combinations, but it has not been consistently possible (especially in the prenatally deceased patients) to ascertain the presence or absence of these features. Autopsy and X-ray examination when performed did not reveal any vertebral anomalies. None of the affected fetuses from families CHRNA1-F1/F2 and CHRND-F1/F2 reached birth at term. Newborn II-5 from family RAPSIN-F1 presented with respiratory distress, arthrogryposis multiplex congenita (AMC), proximal muscular hypotonia, and distal muscular hypertonia. The neonate did not grimace nor cry and required breathing assistance by constant positive airway pressure (CPAP). Because of persisting feeding difficulties and pronounced gastroesophageal reflux, percutaneous endoscopic gastrostomy (PEG) feeding was started at the age of 7 months. Her affected brother II-4 (RAPSIN-F1) had similar symptoms and died by 10 months resulting from respiratory insufficiency. None of the parents presented symptoms indicative for neuromuscular disease. Expert baseline neurological examinations were normal for parents from families CHRNA1-F1/F2, CHRND-F1, and RAPSIN-F1 (not available for family CHRND-F2). However, an up to 60% decrement of the muscle action potential after repetitive stimulation with 5 Hz revealed subclinical myasthenia in the father of family RAPSIN-F1 who is heterozygous for the novel *RAPSIN* mutation c.416C→T. Abbreviations: F, female; M, male; NA, not available; gw, gestational week; m, month; d, day; TP, termination of pregnancy; indicates severely affected fetus.

We report the first homozygous nonsense mutations in the two nonsubstituted subunits  $\alpha$ 1 and  $\delta$  that were indeed lethal. This shows that first trimester fetal akinesia with hydrops, contractures, pterygia, and a fatal prognosis can be due to recessive null mutations in *CHRNA1* and *CHRND* whereas novel recessive missense mutations in *RAPSIN* caused isolated fetal hypokinesia and contractures without pterygia. We suggest that a spectrum of FADS phenotypes ranging from recurrent spontaneous abortions and lethal multiple pterygium syndrome (severe) to arthrogryposis multiplex congenita (mild) are prenatal myasthenic syndromes that warrant genetic and functional diagnostic investigations of the AChR pathway.

## Material and Methods

We studied 63 families referred for molecular genetic analysis of FADS. Our ethics committee approved the study. Written, informed consent was obtained from all participants or their legal guardians.

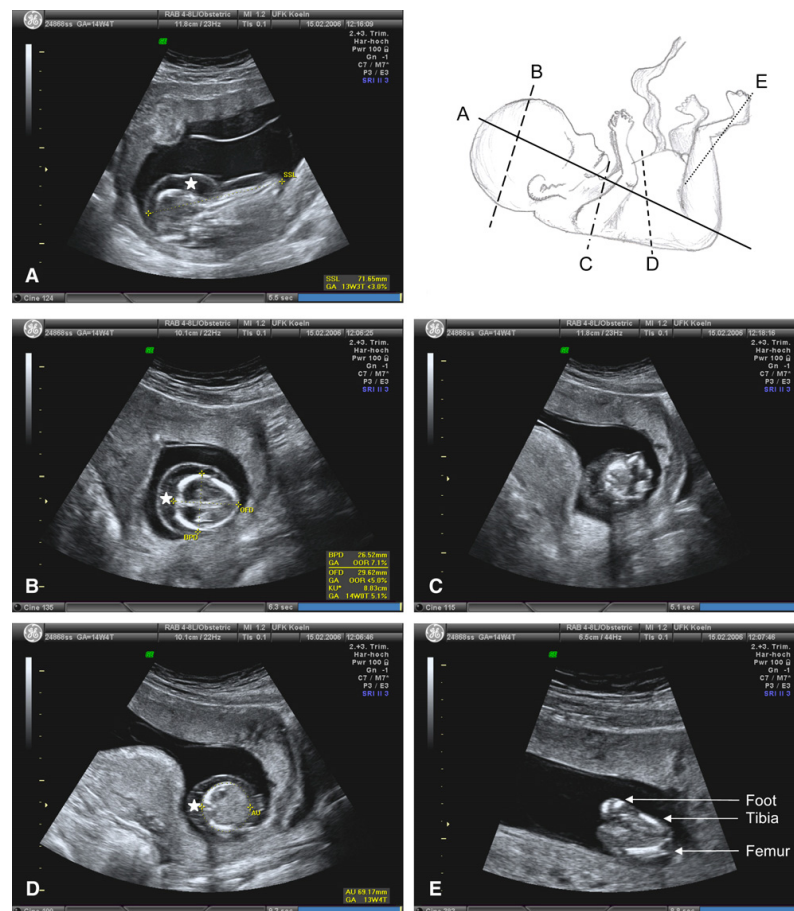
We performed standard DNA sequencing.<sup>17</sup> We sequenced coding regions of *CHRNG* initially and found mutations in three of 63 families (data not shown). In the 60 remaining families, we analyzed coding regions of functional candidate genes *CHRNA1*, *CHRN1*, *CHRND*, and *RAPSIN*. Primer sequences are available on request. All newly identified mutations were tested for correct segregation in the patient's families and in 300 control chromosomes to exclude a previously undescribed polymorphism. Standard sequencing procedures do not exclude disease-contributing mutations in promoter regions, other regulatory elements, and exon spanning deletions or inversions of the sequenced genes *CHRNA1*, *CHRN1*, *CHRND*, *CHRNG*, and *RAPSIN*. However, the phenotypic severity at least of the lethal phenotypes suggests more pronounced effects than noncoding mutations would exercise by modifying gene expression.

## In Situ Hybridization

We generated probes for the  $\alpha$ 1,  $\beta$ 1, and  $\delta$  subunits and for rapsin by RT-PCR from mouse E14.5 whole cDNA and received two other probes for  $\alpha$ 1 and  $\delta$  from Bernhard Hermann and Heiner Schrewe (MPI for Molecular Genetics, Berlin). Primer sequences are available on request. Antisense riboprobes were transcribed with SP6 or T7 polymerase with the Roche Dig-RNA labeling kit according to the manufacturer's instructions. Probes for AChR subunits  $\gamma$  and  $\epsilon$  as well as protocols for whole-mount in situ hybridizations and in situ hybridizations on paraffin sections were previously described.<sup>9,18,19</sup>

## Results

Pedigrees of five families with positive mutation analysis indicated recessive inheritance (Figure 2). Clinical characteristics of these patients with new mutations are summarized in Table 1. Prenatal ultrasound showed hypokinesia and growth retardation. Frequent features were joint contractures, cleft palate, and facial dysmorphism with low-set ears, hypertelorism, and micrognathia. All affected individuals had reduced muscle mass compared to normally



**Figure 3. Prenatal Ultrasound Revealed Fetal Hydrops and Contractures in Family CHRND-F1**

Extensive generalized edema and flexion contractures of upper and lower limbs in individual V-3 from family CHRND-F1 were identified on prenatal ultrasound at gestational week 13+4 days. Edema separating skin from underlying structures extended from head and neck to trunk and is marked by an asterisk.

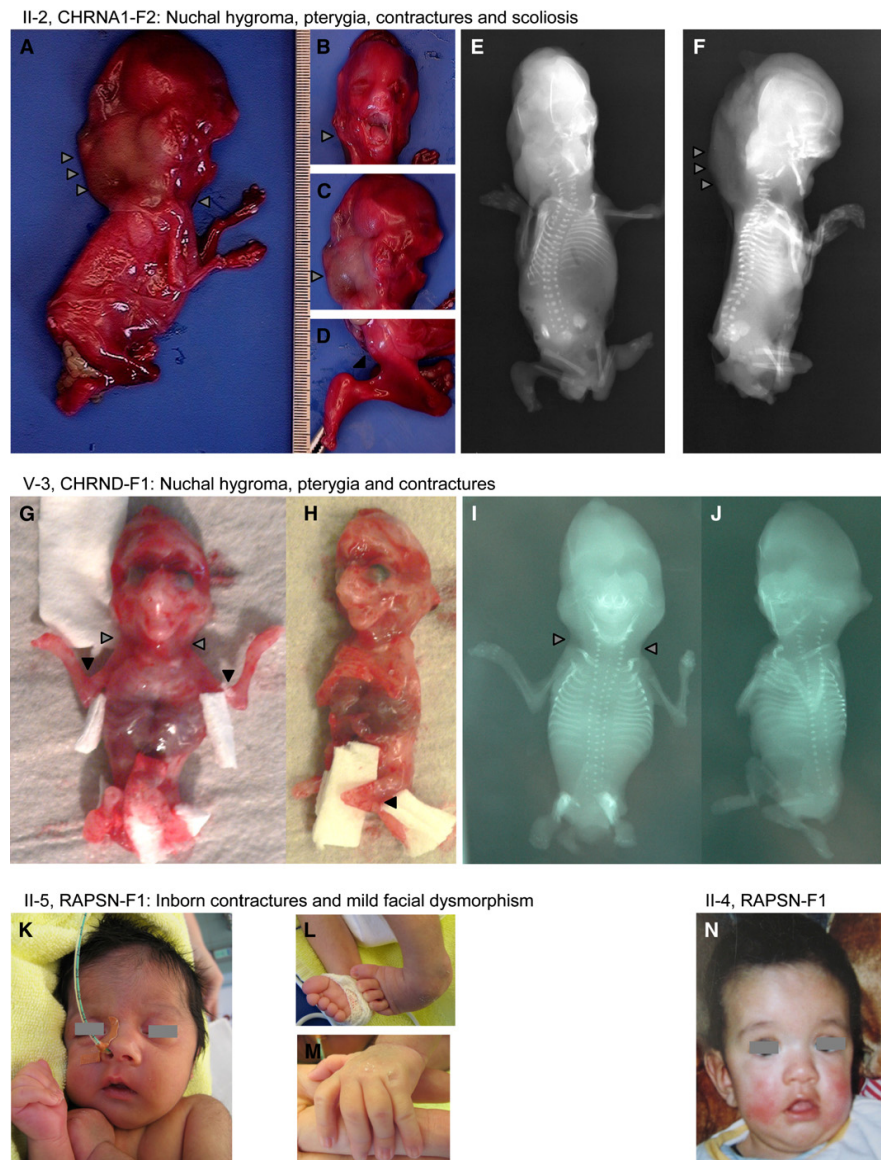
- (A) Pathologic nuchal edema extending down the back.
- (B) Planum frontooccipitale with noticeable hygroma.
- (C) Cross section through shoulder region reveals flexion contractures with adducted forearms and hands.
- (D) Upper abdominal cross section shows extensive edema.
- (E) Flexion contracture of lower limb with pes equinus deformity.

developed fetuses. Affected subjects in families CHRND-F1/F2 and CHRND-F1/F2 had edema and pterygia (Figures 3 and 4). Joint contractures and pterygia affected upper and lower extremities, variably, but predominantly shoulders, elbows, wrists, hips, knees, and ankles were involved (Figure 4). Occasionally, webbing between fingers was detected. Most affected fetuses did not reach term because of spontaneous abortion, early intrauterine death, or pregnancy termination. If born alive, neonatal respiratory distress was observed (preterm patient II-1 in family CHRND-F2 and both patients from family RAPSND-F1). Though restricted by tissue maceration, pathological ex-

amination revealed additional features such as hygroma (CHRND-F1/F2, CHRND-F1/F2; Table 1 and Figure 4), generalized hydrops (CHRND-F1/F2, CHRND-F1/F2; Figures 3 and 4), pulmonary hypoplasia (CHRND-F2, RAPSND-F1), and atrial septal defect (CHRND-F2).

We sequenced functional candidate genes *CHRND1*, *CHRND2*, *CHRND3*, and *RAPSND1* in 60 families without *CHRND* mutations. We identified seven recessive mutations in five families (Table 2). All mutations segregated with disease and were not found in 300 control chromosomes. Family CHRND-F1 revealed homozygosity for the missense mutation *CHRND1* c.761G→T predicted to cause





**Figure 4. *CHRNA1* and *CHRND* Mutation-Positive Fetuses Presented with Massive Hydrops, Pterygia, and Contractures on Post-mortem Examination whereas Novel Recessive Missense *RAPSN* Mutations Caused Congenital Arthrogryposis and Life-Threatening Respiratory Distress**

(A–F) Individual II-2 from family *CHRNA1*-F2 shows generalized edema most extreme at neck and head (gray arrowheads in [A] and [B]), pterygia at elbows and knees (black arrowheads in [A] and [D]), and severe joint contractures (A, D–F) after induced abortion at 17 gestational weeks. X-ray reveals scoliosis, malformed head, and soft tissue swelling by nuchal hygroma (E, F).

(G–J) Individual V-3 from family *CHRND*-F1 is the same patient as in ultrasound Figure 3 and shown after induced abortion at gestational age 14+6. Generalized edema (gray arrowheads in [G] and [I]), pterygia at elbows and knees (black arrowheads in [G] and [H]), and severe joint contractures (G–J) were detected on postmortem inspection. The fetogram (I, J) showed relatively broad clavicles, ribs, and left metatarsal bone I, but did not reveal any severe skeletal anomalies.

(K–N) In contrast, patients with *RAPSN* mutations were born at term (family *RAPSN*-F1). Patient II-5 (K–M) was born with severe respiratory problems and inborn contractures (L, M). Her affected brother II-4 (N) had similar clinical manifestations and deceased because of respiratory insufficiency at the age of 10 months. Both patients had down-slanting palpebral fissures, mild hypertelorism, a wide nasal bridge, low-set ears, micrognathia, and small mouth with tented lips (K, N).

### A CHRNA1 R234L

Alignment of human AChR subunits	Subunit	Species	R234L	Aligned residue Mature (Prepeptide)	Accession number
	CHRNA1	Human	PYLDITYHFVVC	R234 (R254)	gi 87567783 ref NP_001034612.1
	CHRNA2	Human	-YPDVTVAFVIR	R237 (R263)	gi 2492619 sp P32297 ACHA2_HUMAN
	CHRNA3	Human	-YPDVTVAFVIR	R208 (R237)	gi 2506125 sp P32297 ACHA3_HUMAN
	CHRNA1	Human	QRQEVIFYLIIIR	R220 (R243)	gi 23272123 gb AAH23553.1
	CHRNA1	Human	SRQDITFYLIIR	R217 (R239)	gi 4557461 ref NP_000742.1
	CHRNA1	Human	GHQKVVVFYLLIC	R223 (R244)	gi 109731626 gb AAH11803.1
	CHRNA1	Human	GETDVVYSLIIR	R218 (R238)	gi 4557463 ref NP_000071.1
Interspecies comparison of $\alpha$ 1 subunit homologs	CHRNA1	Human	PYLDITYHFVVC		gi 87567783 ref NP_001034612.1
	CHRNA1	Chimpanzee (predicted)	PYLDITYHFVVC		gi 114581836 ref XP_001149859.1
	CHRNA1	Macaque (predicted)	PYLDITYHFVVC		gi 109100146 ref XP_001091366.1
	CHRNA1	Rat	PYLDITYHFVVC		gi 13324700 ref NP_077811.1
	CHRNA1	Mouse	PYLDITYHFVVC		gi 31542391 ref NP_031415.2
	CHRNA1	Dog	PYLDITYHFVVC		gi 50978866 ref NP_001003144.1
	CHRNA1	Opossum (predicted)	PYLDITYHFVVC		gi 126326626 ref XP_001376662.1
	Prepeptide	Platyopus (predicted)	PYLDITYHFVVC		gi 149639695 ref XP_001514882.1
	CHRNA1	Chicken	PYLDITYHFVVC		gi 45382233 ref NP_990147.1
	CHRNA1	African clawed frog	PYLDITYHFVVC		gi 1130515 sp P22456 ACHAA_XENLA
	CHRNA1	Numbray	PYLDITYHFVVC		gi 39653651 gb AAR29364.1
	CHRNA1	Fugu	PYLDITYHFVVC		gi 94482841 gb ABF22456.1
	CHRNA1	Narcine	PYLDITYHFVVC		gi 39653659 gb AAR29368.1
	CHRNA1	Torpedo	PYLDITYHFVVC		gi 113076 sp P02710 ACHA_TORCA
	CHRNA1	Zebrafish	PYLDITYHFVVC		gi 18858417 ref NP_571520.1

### B CHRND F74L

Alignment of human AChR subunits	Subunit	Species	F74L	Aligned residue Mature (Prepeptide)	Accession number
	CHRND	Human	WVDYRLKWNPD	Y97 (Y117)	gi 87567783 ref NP_001034612.1
	CHRND	Human	WTDYRLKWDPAE	H72 (H95)	gi 15030222 gb AAH11371.1
	CHRND	Human	WTDNRLKWNPAE	F74 (F95)	gi 62740043 gb AAH93925.1
	CHRND	Human	WCDYRLKWDPRD	Y72 (Y94)	gi 113098 sp P07510 ACHG_HUMAN
	CHRND	Human	WQDYRLNYSKDD	F72 (F92)	gi 4557463 ref NP_000071.1
Interspecies comparison of $\delta$ subunit homologs	CHRND	Human	WTDNRLKWNPAE		gi 62740043 gb AAH93925.1
	CHRND	Chimpanzee (predicted)	WTDNRLKWNPAE		gi 114583896 ref XP_001146467.1
	CHRND	Macaque (predicted)	WTDNRLKWNPAE		gi 109101476 ref XP_001114108.1
	CHRND	Rat	WIDSRLQWNAE		gi 95306487 ref NP_062171.1
	CHRND	Mouse	WIDSRLQWNAE		gi 110225338 ref NP_067611.2
	CHRND	Opossum (predicted)	WIDSRLQWNAE		gi 126314845 ref XP_001374235.1
	CHRND	Dog (predicted)	WIDSRLQWNAE		gi 73994148 ref XP_543288.2
	CHRND	Cow	WIDSRLQWNAE		gi 757751 emb CAA26309.1
	CHRND	Zebrafish	WDRHRLTWNESE		gi 60649746 gb AAH90405.1
	CHRND	Fugu	WTDYRLKWNPAE		gi 31096342 gb AAP43507.1
	CHRND	Torpedo	WDRHRLTWNESE		gi 113090 sp P02718 ACHD_TORCA
	CHRND	Xenopus	WYDKRLAWDMET		gi 64517 emb CAA30105.1

### C Rapsyn F139S

Interspecies comparison of rapsyn homologs	Protein	Species	F139S	Position refers to	Accession number
	Rapsyn	Human	LSMGNAYFLGSLV	Isoform CRA_a	gi 15619013 ref NP_005046.2
	Rapsyn	Rat (predicted)	LSMGNAYFLGSLV	Isoform CRA_a	gi 62645390 ref XP_215773.3
	Rapsyn	Mouse	LSMGNAYFLGSLV	Isoform CRA_a	gi 200653 gb AAA40030.1
	Rapsyn	Dog (predicted)	LSMGNAYFLGSLV	Isoform 1	gi 73982390 ref XP_850095.1
	Rapsyn	Opossum (predicted)	LSMGNAYFLGSLV	Isoform 1	gi 126332648 ref XP_001364126.1
	Rapsyn	Platyopus (predicted)	LSMGNAYFLGSLV	Isoform 1	gi 149632709 ref XP_001509235.1
	Rapsyn	Chicken	LSMGNAYFLGSLV		gi 45384150 ref NP_990428.1
	Rapsyn	African clawed frog	LSMGNAYFLGSLV		gi 147906334 ref NP_001083821.1
	Rapsyn	Torpedo	LSMGNAYFLGSLV		gi 131129 sp P09108 RAPS_N_TORCA
	Rapsyn	Zebrafish	LSMGNAYFLGSLV		gi 30231258 ref NP_840090.1
	Rapsyn	Sea urchin (predicted)	LALAAASLGFSS		gi 115959965 ref XP_001182150.1
	Rapsyn	C. elegans	LTIALAHLGMSQ		gi 17532013 ref NP_495365.1

### D Rapsyn A189V

Interspecies comparison of rapsyn homologs	Protein	Species	A189V	Position refers to	Accession number
	Rapsyn	Human	KDYKALFFPCK	Isoform CRA_a	gi 15619013 ref NP_005046.2
	Rapsyn	Rat (predicted)	KDYKALFFPCK	Isoform CRA_a	gi 62645390 ref XP_215773.3
	Rapsyn	Mouse	KDYKALFFPCK	Isoform CRA_a	gi 200653 gb AAA40030.1
	Rapsyn	Dog (predicted)	KDYKALFFPCK	Isoform 1	gi 73982390 ref XP_850095.1
	Rapsyn	Opossum (predicted)	KDYKALFFPCK	Isoform 1	gi 126332648 ref XP_001364126.1
	Rapsyn	Platyopus (predicted)	KDYKALFFPCK	Isoform 1	gi 149632709 ref XP_001509235.1
	Rapsyn	Chicken	KDYKALFFPCK		gi 45384150 ref NP_990428.1
	Rapsyn	African clawed frog	KDYKALFFPCK		gi 147906334 ref NP_001083821.1
	Rapsyn	Torpedo	KDYKALFFPCK		gi 131129 sp P09108 RAPS_N_TORCA
	Rapsyn	Zebrafish	KDYKALFFPCK		gi 30231258 ref NP_840090.1
	Rapsyn	Sea urchin (predicted)	KDYDRALKFYVR		gi 115959965 ref XP_001182150.1
	Rapsyn	C. elegans	RDITKALIFLRN		gi 17532013 ref NP_495365.1

**Figure 5. Evolutionary Conservation of CHRNA1, CHRND, and RAPSIN Missense Mutations**

For all missense mutations, interspecies comparison reveals conservation in homolog positions in all mammals tested. CHRNA1 mutation R234L (A) and both novel *RAPSIN* missense mutations (C, D) affect residues that show evolutionary conservation even beyond mammals. In addition, residue of CHRNA1 mutation R234L (A) is also conserved in other human AChR subunits  $\beta$ 1,  $\delta$ ,  $\gamma$ , and  $\epsilon$  as well as other  $\alpha$ -type subunits  $\alpha$ 2 and  $\alpha$ 3 in nonmuscular AChR.



**Table 2. AChR Pathway Mutations in Families with Severe Fetal Akinesia Sequence**

Family ID	CHRNA1-F1	CHRNA1-F2	CHRND-F1	CHRND-F2	RAPSN-F1
Mutation in gene	<i>CHRNA1</i>	<i>CHRNA1</i>	<i>CHRND</i>	<i>CHRND</i>	<i>RAPSN</i>
Location	exon 6	exon 2	exon 3	exon 4/exon 12	exon 2/exon 3
Position cDNA	c.761G→T	c.117-133 dup17	c.234G→A	c.283T→C/c.1390C→T	c.416T→C/c.566C→T
Residue in mature protein (residue before cleavage of signal peptide)	R234L (R254L in precursor)	H25RfsX19 (H45RfsX19 in precursor)	W57X (W78X in precursor)	F74L/R443X (F95L/R464X in precursor)	F139S/A189V
Origin	Pakistani	African	Turkish	German	Pakistani
Consanguinity	+	–	+	–	–

Included are lethal multiple pterygium, Escobar-like syndrome, and fetal akinesia with inborn arthrogyposis.

amino acid substitution  $\alpha$ 1.R234L. Supporting the functional relevance of position  $\alpha$ 1.R234L, this residue is completely conserved across species as well as in the homologous positions of the human  $\beta$ 1,  $\delta$ ,  $\gamma$ ,  $\epsilon$ ,  $\alpha$ 2, and  $\alpha$ 3 subunits (Figure 5A). In family CHRNA1-F2, the affected fetus carried a homozygous duplication of 17 base pairs c.117-133 dup17 in *CHRNA1*, resulting in a frameshift mutation with a subsequent premature stop codon ( $\alpha$ 1.H25RfsX19). A homozygous nonsense mutation *CHRND* c.234G→A in family CHRND-F1 introduced a premature stop ( $\delta$ .W57X). Family CHRND-F2 is compound heterozygous for *CHRND* mutations c.1390C→T and c.283T→C. The former encode the nonsense mutation  $\delta$ .R443X and the missense mutation  $\delta$ .F74L. Residue  $\delta$ .F74L is conserved among mammals (Figure 5B). Both patients from family RAPSN-F1 were compound heterozygous for the *RAPSN* missense mutations c.416T→C and c.566C→T, which encode F139S and A189V, respectively. Both residues are conserved across species, indicating functional relevance (Figures 5C and 5D).

Patients with lethal multiple pterygium syndrome because of *CHRNG* mutations not only had severe prenatal myasthenic symptoms, but also showed associated extramuscular findings such as generalized edema, nuchal hygroma, situs inversus, or cardiac defects.<sup>8,9</sup> Extramuscular manifestations could indicate disruptive effects in late embryonic and early fetal development and also occurred in individuals with the new *CHRNA1*, *CHRND*, and *RAPSN* mutations described above. Therefore, we wanted to know whether or not AChR subunit genes could contribute to earlier developmental processes. To study this question, we analyzed the expression of *Chrna1*, *Chmb1*, *Chrd*, *Chrng*, and *Rapsn* in mouse embryos before (E10.5, E11.5) and during (E12.5, E14.5) muscle development as well as in limb sections with advanced muscle development (E15.5) (Figure 6). Interestingly, all studied subunits and rapsyn are expressed in early somites as early as E10.5. At E11.5, expression of *Chrna1*, *Chmb1*, *Chrd*, *Chrng*, and *Rapsn* begins in the upper developing limb and seems to

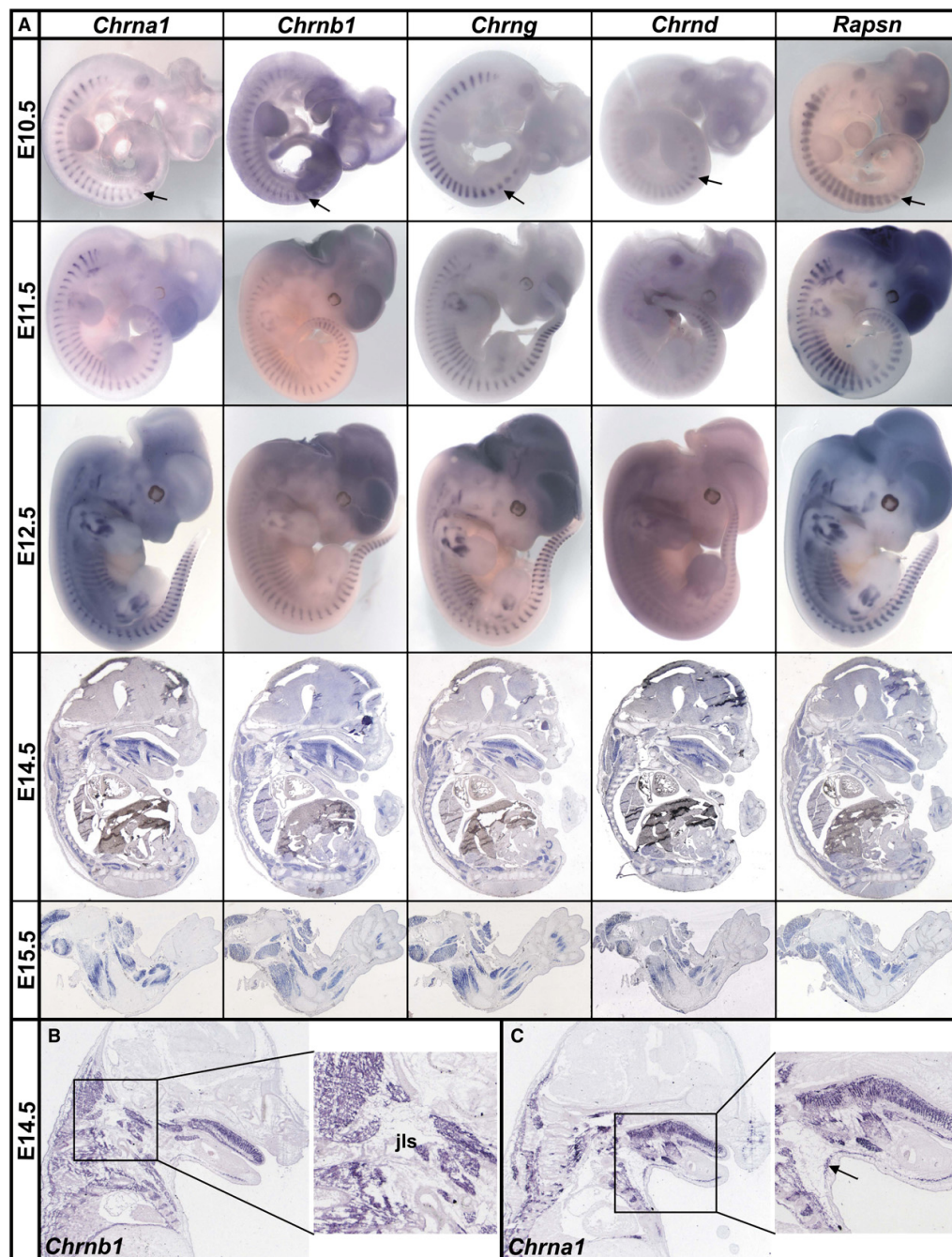
proceed proximal further into the developing muscle bulks at E12.5. At E14.5, expression corresponds to the muscle anlagen in the trunk, neck, limbs, and diaphragm, confirming earlier studies.<sup>9,20</sup> We also noticed strong expression of all analyzed subunit genes in the nuchal musculature, including those in close proximity to the jugular lymphatic sac as well as in subcutaneous muscle layers (Figures 6B and 6C).

### Discussion

We identified the first (to our knowledge) recessive null mutations in the nonsubstituted AChR subunits  $\alpha$ 1 and  $\delta$ . The reported *CHRNA1* and *CHRND* mutations are null mutations or affect evolutionary conserved amino acids and caused lethal multiple pterygium syndrome. The novel *RAPSN* missense mutations were clinically associated with inborn contractures and respiratory distress that was lethal in one of the affected sibs. We found that *Chrna1*, *Chmb1*, *Chrd*, *Chrng*, and *Rapsn* are expressed in somites in early murine embryonic development and later also in skeletal muscle. These findings support their pathogenic relevance to FADS.

All patients with *CHRNA1*, *CHRND*, and *RAPSN* mutations presented here had fetal akinesia, intrauterine growth retardation, micrognathia, and contractures consistent with fetal akinesia deformation sequence.<sup>1,5</sup> We wondered what distinguishes AChR pathway mutations causing a relatively mild phenotype from other mutations with prenatal death and what factors contribute to the presence or absence of pterygia and the occurrence of additional findings such as cardiac defects and pulmonary hypoplasia. *CHRNG* mutations cause prenatal myasthenia that improves in late pregnancy and does not progress or persist in surviving patients after birth.<sup>8,9</sup> This is due to the physiological  $\gamma$  to  $\epsilon$  switch around 33 weeks gestation in humans, when  $\epsilon$  begins to function.<sup>10</sup> Mutations in genes encoding other components of the postsynaptic AChR pathway should lead to

- (A) CHRNA1 R234L.
- (B) CHRND F74L.
- (C) RAPSN F139S.
- (D) RAPSN A189V.



**Figure 6. In Situ Hybridization in Mouse Embryos Reveals Early Expression of AChR Subunit Genes and *Rapsn* in Somites and Later in Skeletal Muscle as well as in Hygroma-Relevant Regions**

(A) Expression of *Chrna1*, *Chrbn1*, *Chrnd*, *Chrng*, and *Rapsn* in mouse development. Probes and embryonic stages are as indicated. E10.5, E11.5, and E12.5 are shown as whole-mount in situ hybridization (ISH), E14.5 and E15.5 are shown as section-ISH. *Chrna1*, *Chrbn1*, *Chrnd*, *Chrng*, and *Rapsn* are distinctly expressed in early somites as early as E10.5 (arrows), corresponding to human developmental age of 32 days (46 gestational day, Carnegie stage 14). At E11.5, expression of *Chrna1*, *Chrbn1*, *Chrnd*, *Chrng*, and *Rapsn* starts in upper developing

a phenotype that is more pronounced or even lethal because of lack of a substituting subunit for all other AChR subunits. Our data confirm this view.

Accordingly, the homozygous frameshift mutation  $\alpha 1$ .H25RfsX19 in *CHRNA1* in family CHRNA1-F2 predicts a complete shutdown of fetal AChR function and was associated with intrauterine death. This finding is, to our knowledge, the first homozygous nonsense mutation reported in this subunit. Severe edema was detected as early as the 7<sup>th</sup> week of pregnancy and persisted to the 14<sup>th</sup> gestational week when the pregnancy was terminated. Severe fetal hydrops, extensive nuchal hygroma, and extreme webbing were found. A homozygous missense mutation  $\alpha 1$ .R234L caused a similar phenotype in family CHRNA1-F1, indicating that a change of the evolutionary conserved residue  $\alpha 1$ .R234 has the same lethal functional effect as the nonsense mutation  $\alpha 1$ .H25RfsX19. In comparison, previously reported missense mutations in *CHRNA1* cause viable slow or fast channel congenital myasthenic syndrome (CMS [e.g., MIM 60893, 601462, 254210]).<sup>21</sup> A severely affected female with fast channel myasthenic syndrome and newborn respiratory distress harbored compound heterozygosity for a truncating and a missense mutation (*CHRNA1*.381 delC/ $\alpha 1$ .V132L).<sup>22</sup> This patient might represent an intermediate type between milder myasthenic syndrome of postnatal onset and the severe prenatal effects we observed in lethal multiple pterygium syndrome.

In the  $\delta$  subunit, our mutation  $\delta$ .W57X is, to our knowledge, the first reported homozygous truncating mutation and causes a severe intrauterine phenotype with massive edema, contractures, and pterygia in family CHRND-F1. Family CHRND-F2 presented with an equally severe phenotype with spontaneous abortion, massive hydrops, pter-

ygia, and pulmonary hypoplasia. The affected individuals were compound heterozygous for nonsense mutation  $\delta$ .R443X and missense mutation  $\delta$ .F74L. The mutations in the  $\delta$  subunit reported here represent the severe end of the spectrum. Other investigators have reported compound heterozygosity for a missense and nonsense mutation ( $\delta$ .E59K/ $\delta$ .756ins2) in a viable patient with inborn contractures at an intermediate level, and a myasthenic syndrome of postnatal onset with two other missense mutations.<sup>23–25</sup> Their patients likely represent the milder end of the disease spectrum.

Our findings indicate that homozygosity for functional null mutations of AChR subunits  $\alpha 1$  and  $\delta$ , which are not substituted in fetal development, causes a severe phenotype with intrauterine hydrops, pterygia, and a high likelihood for intrauterine death. Our data confirm the hypothesis that complete functional loss of nonsubstituted subunits would be lethal.<sup>16</sup> Although we did not find *CHRN1* mutations in our patient sample, we postulate that this situation also applies for recessive functional null mutations in the  $\beta 1$  subunit that has a similar functional impact.

In comparison, the *RAPSN* missense mutations F139S and A189V were associated with a milder phenotype with inborn contractures and life-threatening respiratory distress. Previously, only one patient with a homozygous missense mutation other than N88K, namely R164C in exon 2 of the *RAPSN* gene, presented with contractures, muscular hypotonia, feeding, and respiratory problems.<sup>26</sup> Thus, our mutations extend the spectrum of *RAPSN* missense mutations associated with congenital contractures. Commonly, recessive *RAPSN* missense mutations cause congenital myasthenic syndrome with associated AChR deficiency whereas compound heterozygosity of a missense

limb and seems to further proceed from proximal into the developing muscle bulks at E12.5 days. At E14.5, expression corresponds to muscle anlagen at trunk, neck, limbs, and diaphragm. Whereas *Chrna1*, *Chmb1*, *Chmg*, and *Rapsn* are stably expressed throughout the embryonic stages analyzed, *Chrnd* exhibits a more dynamic expression pattern. *Chrnd* shows strong expression in the posterior (newly formed) somites at E10.5 and E11.5. Expression apparently decreases around E12.5, to barely detectable levels in whole-mount and section in situ hybridization in somites (not shown). At E14.5, the muscles still show relatively weak expression. However, robust expression is reappearing in differentiated muscles at E15.5.

Comparative developmental stages adapted from Wessels and Markwald.

Mouse E10.5  $\approx$  Carnegie stage 14  $\approx$  human developmental age of 32 days (post conception)  $\approx$  46 days gestation (post menstruation)  
 Mouse E11.5  $\approx$  Carnegie stage 16  $\approx$  human developmental age of 37 days (post conception)  $\approx$  51 days gestation (post menstruation)  
 Mouse E12.5  $\approx$  Carnegie stage 18  $\approx$  human developmental age of 44 days (post conception)  $\approx$  58 days gestation (post menstruation)  
 Mouse E14.5  $\approx$  Carnegie stage 23  $\approx$  human developmental age of 54–56 days (post conception)  $\approx$  68–70 days gestation (post menstruation)

(B and C) Prenatal expression of AChR subunit genes and *Rapsn* in hygroma-relevant regions. Expression of  $\alpha 1$  at E14.5 is representative also for  $\beta 1$ ,  $\delta$ , and  $\gamma$  (data not shown). Note strong expression in nuchal area, close proximity to jugular lymphatic sac (marked as "jls" in higher magnification in [B]), and subcutaneous muscle layers (marked by an arrow in [C]). Mutations might contribute to edema by, for example, decreased or absent muscle contractures and subsequently impaired transport of lymphatic fluid. The lymphatic system develops from two structures. Deep parts of the jugular lymphatic sacs derive from tissue around jugular veins. Superficial parts of the jugular lymphatic sacs and peripheral lymphatic vessels develop from local lymphangioblasts originating from mesodermal anlagen.<sup>31–34</sup> As long as no connection is made between the jugular lymphatic sacs and the jugular veins, transient nuchal edema is physiological. If the lympho-jugular junction connection is delayed and/or volume increases, abnormal nuchal edema or cystic hygroma occurs. A possible AChR-related pathomechanism for fetal edema is that defective neuromuscular signal transduction causes lack of muscle contractions and thus impairs lymphatic fluid movement. Other potential mechanisms include altered muscle development affecting subsequent development and differentiation of lymphatic vessels. Finally, both muscle and lymphatic anlagen may depend on similar AChR-related signals or components. AChR and rapsyn expression in premuscular and muscular tissues and close anatomical proximity to lymphatic structures both in embryonic development and fetal differentiation is consistent with this view.

and a truncating defect were associated with contractures.<sup>16,26–29</sup>

We were curious what differentiates mutations presenting with pterygia from those that only reduce intrauterine movements and cause contractures, because impaired fetal movement seems to trigger both contractures and pterygia. In our families, hypokinesia and contractures were first detected by ultrasound at 11–14 weeks of gestation. At that time, pterygia in patients with *CHRNA1* or *CHRND* mutations were already visible at postmortem examination. The onset of pterygia is therefore likely to occur earlier. In family CHRNA1-F2, fetal hydrops and cystic nuchal hygroma appeared on ultrasound in the 7<sup>th</sup> gestational week; the fetus later presented with severe webbing (Figure 4). In this case, fetal edema could have predisposed to subsequent webbing. A pathogenic association of pterygia with previous fetal edema has long been suspected.<sup>30</sup> This notion is supported by observations in our families.

Mutations in AChR subunit genes are also associated with developmental anomalies in other tissues that are not primarily associated with neuromuscular junctions.<sup>8,9</sup> Cardiac defects, renal malformation, and intestinal malrotation are features of the lethal multiple pterygium syndrome.<sup>1,5</sup> We observed edema, cardiac defects, and pulmonary hypoplasia in our patients. This association indicates that the mutations might interfere with normal embryogenesis. Our in situ data show expression not only in later developmental stages when muscle differentiation is evident, but also in early developmental stages as demonstrated in mouse E10.5. Mouse developmental stage E10.5 corresponds to human developmental day 32, at which time *Chrna1*, *Chrb1*, *Chrnd*, *Chrng*, and *Rapsn* are expressed in early somites. Somites are segmental regions where mesodermal structures develop in the trunk. This indicates that mutations in the AChR subunit genes may have an effect on early mesodermal structures such as muscle anlagen. Also, peripheral lymphangioblasts are the organizers for lymphatic vessels in extremities and develop from these regions in the somites.<sup>31–35</sup> Thus, very early prenatal findings, such as frequent abortions or generalized edema, could be attributed to a defect of early AChR subunit expression in somites in addition to muscle-specific effects alone. However, at this point the evidence is speculative, because so far no role for AChR expression has been demonstrated in early embryonic development or mesodermal differentiation. In later developmental stages, the classical function of AChR in establishing, maintenance, and signal transduction of neuromuscular junctions might contribute to hypokinesia and growth retardation.

Accordingly, other genes encoding AChR pathway components may harbor mutations in FADS patients without mutations in AChR subunits or RAPSN. AChR-related candidates are Agrin, MUSK, ARIA, the postsynaptic cytoskeletal components dystroglycan and actin, as well as a growing number of more or less direct contributors such as recently reported for CDK5, calpain, DOK7, and ACF7.<sup>11,15,36–41</sup>

Awareness of the variable clinical presentation of AChR pathway mutations might be life-saving, as in our family RAPSN-F1. At birth, both affected children had arthrogryposis but were not diagnosed for neuromuscular transmission defects. After identification of RAPSN mutations, the surviving child was started on AChE inhibitors (4 × 1 mg/kg/d Mestinone). Her motor function and hypotonia improved significantly. However, feeding problems have been persisting; a percutaneous endoscopic gastrostomy (PEG) tube is still required. The positive therapeutic effect was even more obvious when the patient had to be admitted to the ICU because of an infection-triggered myasthenic crisis with a decrease of capillary O<sub>2</sub> saturation to 60% and respiratory acidosis. Remarkably, only 1 day after increasing the dose of Mestinone to 6 mg/kg/d, her symptoms and respiratory parameters improved to an extent that she could leave the ICU. After her infection and the subsequent stop of the antibiotic treatment, the dose of Mestinone was reduced back to the initial 4 mg/kg/d without any side effects. This underlines the therapeutic relevance of early clinical and genetic consideration of AChR pathway mutations.

We conclude that AChR pathway mutations are relevant for a wide FADS disease spectrum. Our results confirm the idea that fetal akinesia predisposes to the development of contractures. Additional first trimester fetal edema seems to predispose to pterygia development. Consequently, the AChR pathway contributes to a broad spectrum of intrauterine phenotypes and should be examined functionally and genetically in patients with recurrent spontaneous abortions, fetal akinesia, hydrops, pterygia, or inborn contractures.

#### Supplemental Data

One supplemental figure showing sequence traces and segregation within patient's families can be found with this article online at <http://www.ajhg.org/>.

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### Web Resources

The URL for data presented herein is as follows:

Online Mendelian Inheritance in Man (OMIM), <http://www.ncbi.nlm.nih.gov/Omim/>

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### 3. Zusammenfassung der Arbeit

Dissertation zur Erlangung des akademischen Grades Dr.med.

#### **Mutationsanalysen in Genen des Acetylcholin-Rezeptor-Pathways in Patienten mit Fetal Akinesia Deformation Sequence (FADS)**

eingereicht von:

Anne Michalk

angefertigt an der:

Universitätsklinik und Poliklinik für Endokrinologie und Nephrologie der medizinischen Fakultät der Universität Leipzig

betreut von:

Prof. Dr. med. Tom H. Lindner

Prof. Dr. med. Katrin Hoffmann

eingereicht am: 29.Juni 2017

Mit der vorgelegten Publikationspromotion konnte die Hypothese, dass homozygote Missense- oder Nonsense-Mutationen in den permanenten Untereinheiten des nicotinergen AChR letal verlaufen bestätigt, und das Spektrum an Mutationen im *RAPSN*-Gen erweitert werden.

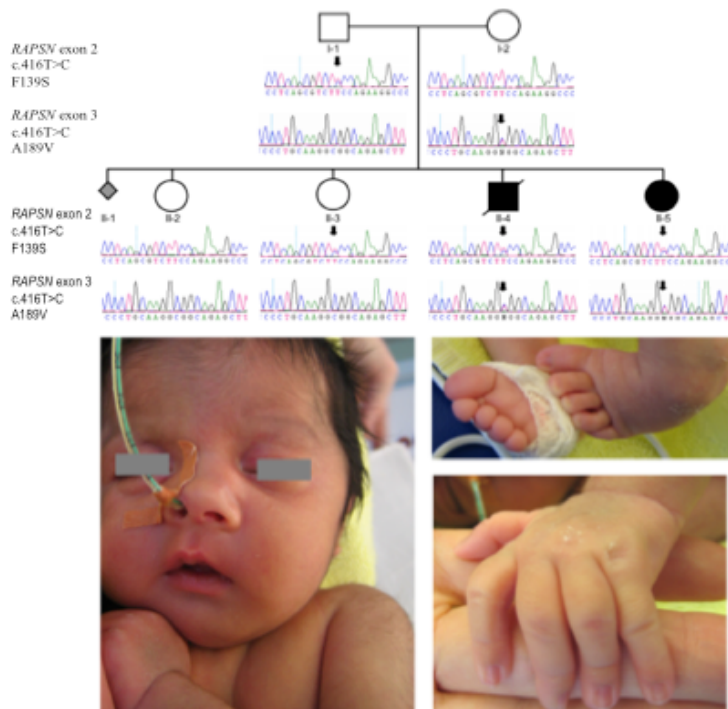
In der  $\alpha$ 1-Untereinheit (*CHRNA1*) fanden wir bei Betroffenen die homozygote Missense-Mutation c.761G->T (p.R234L) und die homozygote Frameshift-Mutation c.117-133dup17 (p.H25RfsX19). Weiterhin konnten wir in der  $\delta$ -Untereinheit (*CHRND*) eine homozygote Nonsense-Mutation c.234G->A (p.W57X) und die compound-heterozygote Missense-Nonsense-Mutation c.283T->C (p.F74L) / c.1390C->T (p.R433X) in der  $\delta$ -Untereinheit (*CHRND*) detektieren.

Die Ergebnisse zeigen, dass eine fetale Akinesie, ein Hydrops fetalis, Kontrakturen und Pterygien durch homozygote und compound-heterozygote funktionelle Nullmutationen im *CHRNA1*- und *CHRND*-Gen verursacht werden. Im Rahmen dieser Arbeit fanden wir

keine Mutation im *CHRNBI*-Gen. Wir vermuten aber, dass homozygote Nullmutationen in der permanenten  $\beta 1$ -Untereinheit ebenfalls letal verlaufen.

Bei einem weiteren Patienten mit isolierter fetaler Hypokinesie und Kontrakturen ohne Pterygien wurde in dem Rezeptor-assoziierten *RAPSN*-Gen die bisher unbekannte compound-heterozygote Missense-Mutation c.416T>C (p.F139S) / c.566C->T (p.A189V) nachgewiesen. Diese unterstreicht eindrücklich die Tragweite der Identifizierung einer krankheitsverursachenden Mutation. Der ältere Bruder (II-4) mit gleichem Phänotyp verstarb im Alter von sechs Monaten an den Folgen einer respiratorischen Insuffizienz und konnte nicht von dem Wissen um die Mutation profitieren. Beim zweiten betroffenen Neugeborenen (II-5) konnte nun eine medikamentöse Therapie mit dem Acetylcholinesteraseinhibitor Mestinon® eingeleitet werden. Diese trug nicht nur zu einer neuromuskulären Verbesserung bei, sondern war im Rahmen einer akuten Infektion mit respiratorischer Globalinsuffizienz für das Kind lebensrettend.

Aufgrund dieser klinischen Erfahrungen und der therapeutischen Konsequenzen ist die genetische und funktionelle Diagnostik des Acetylcholinrezeptor-Pathways bei betroffenen Familien mit FADS indiziert.



**Abb. 7** Identifizierung der Mutation im *RAPSN*-Gen in einer Familie mit einem zuvor verstorbenen männlichen Neugeborenen und einer Totgeburt (II-1). Die Patientin (II-5) bot eine isolierte fetale Hypokinesie und Kontrakturen *ohne* Pterygien. Unter Mestinon®-Therapie kam es zu einer dauerhaften Besserung ihrer muskulären Hypotonie. Während einer Infektions-assoziierten respiratorischen Dekompensation zeigte sie ein sofortiges Ansprechen auf eine Dosiserhöhung des AChE-Inhibitor (Aus Michalk et al 2008, mit freundlicher Genehmigung von Prof. Heller und Prof. Wieczorek ).



Aus humangenetischer Sicht ist es ein wesentliches Ziel, die Diagnose zum frühestmöglichen Zeitpunkt zu stellen und die Prognose möglichst korrekt einzuschätzen. (Heller et al. 2013). Das erfordert ein strukturiertes Vorgehen. Unserer Meinung nach ist bei nicht-kritisch kranken Kindern eine Sequenzierung im *CHRNA1*-, *CHRN1*-, *CHRND*-, *RAPSN*-, *CHRNA1*-, *CHRN1*-, *CHRND*-, *CHRNE*-Gen und weiterer Pathway-Gene sinnvoll, wenn eine Anamnese mit rezidivierenden Aborten, Hydrops fetalis, Wachstumsretardierung und Arthrogryposis vorliegt. Auch die Suche nach maternalen Antikörpern gegen den fetalen Typ des AChR ist in so einem Fall indiziert. Treten postnatal myasthene Symptome auf, sollte gezielt nach Missense-Mutationen im *CHRNA1*-, *CHRN1*-, *CHRND*-, *CHRNE*-Gen und in dem Pathway-Gen *RAPSN* gesucht werden.

Die DNA-Sequenzierung mittels diagnostischer Genpanel oder die molekulare Chromosomenanalyse mittels Mikroarray-basierter komparativer genomischer Hybridisierung (CGH) zur genomweiten Detektion von Mikrodeletionen oder Mikroduplikationen sind mittlerweile im klinischen Alltag etabliert (Kingsmore et al. 2011 / Heller et al. 2013).

Bis zum heutigen Tag sind mehr als 400 verschiedene Krankheitsbilder mit Arthrogryposis beschrieben. Um zu veranschaulichen, in welche biologischen Prozesse die bisher bekannten 320 krankheitsursächlichen Gene involviert sind, wurde mit Unterstützung der Bioinformatik eine ontologische Analyse durchgeführt (Hall et al. 2016). Die Strukturierung der Daten soll dazu beitragen, die in die Entstehung fetaler Bewegungsstörungen involvierten Mechanismen besser zu verstehen. Genauere Erkenntnisse darüber können uns neue Therapieoptionen eröffnen und präzisere prognostische Aussagen ermöglichen.

Durch die Möglichkeit der Exomsequenzierung entwickelt sich ein heute neues Verständnis für das genetisch sehr heterogene Krankheitsbild der Arthrogryposis. In einem Patientenkollektiv mit Arthrogryposis konnte in 58,3% der Familien eine molekulargenetische Ursache mit Hilfe der Exomsequenzierung ermittelt werden (Bayram et al. 2016). Bei unseren Patienten gelang dies mit der Standard-DNA-Sequenzierung nur in circa 8-10% der Patienten. Neben bereits bekannten arthrogryposis-assoziierten Genen konnten so zusätzlich weitere Genloci identifiziert werden. Diese können eine Erklärung für die fehlende Genotyp-Phänotyp-Korrelation und die große inter- und intrafamiliäre Variabilität der Erkrankung sein. Desweiteren detektiert man neue krankheitsverursachende Gene. Die Exom- bzw. Genomsequenzierung als diagnostische Methode bietet uns daher die Möglichkeit, die Genetik und die molekulargenetischen

Mechanismen besser zu verstehen und somit ein tieferes Verständnis für die vielseitige Ätiologie der Arthrogryposis zu bekommen.

In den kommenden Jahren könnte sich bei kranken Neugeborenen neben dem etablierten Neugeborenencreening eine Exom- bzw. Genomsequenzierung durchsetzen (*Kingsmore et al. 2015 /Smith et al. 2017/ Berg et al. 2017*). Bisher durchlaufen Neugeborene mit angeborenen Fehlbildungen oder syndromalen Erscheinungsbildern einen aufwendigen und oft langwierigen diagnostischen Prozess, der nicht selten ohne endgültige Diagnose endet. Gerade in Akutsituationen auf einer neonatologischen Intensivstation kann eine definitive Diagnose ein gezieltes Vorgehen ermöglichen. Bestenfalls wie im Falle unserer Familie, bei der nach Detektion der krankheitsverursachenden Mutation im *RAPSN*-Gen eine effektive medikamentöse Therapiemöglichkeit resultierte. Jede neue Erkenntnis erweitert unser Verständnis um dieses heterogene Krankheitsbild und eröffnet eine gezielte, interdisziplinäre medizinische Begleitung der betroffenen Familien. Im Falle einer schnellen genetischen Diagnose kann man bei letaler Diagnose gezielt palliative Maßnahmen einleiten und Familien in ihrem Trauerprozess unterstützen.

Die zeitnahe Diagnose einer seltenen monogenen Erkrankung bei schwerstkranken Neugeborenen ermöglicht mitunter eine gezielte perinatale Behandlung, eine bessere Prognosebeurteilung oder eben auch eine palliative Betreuung (*Kingsmore et al. 2011*).

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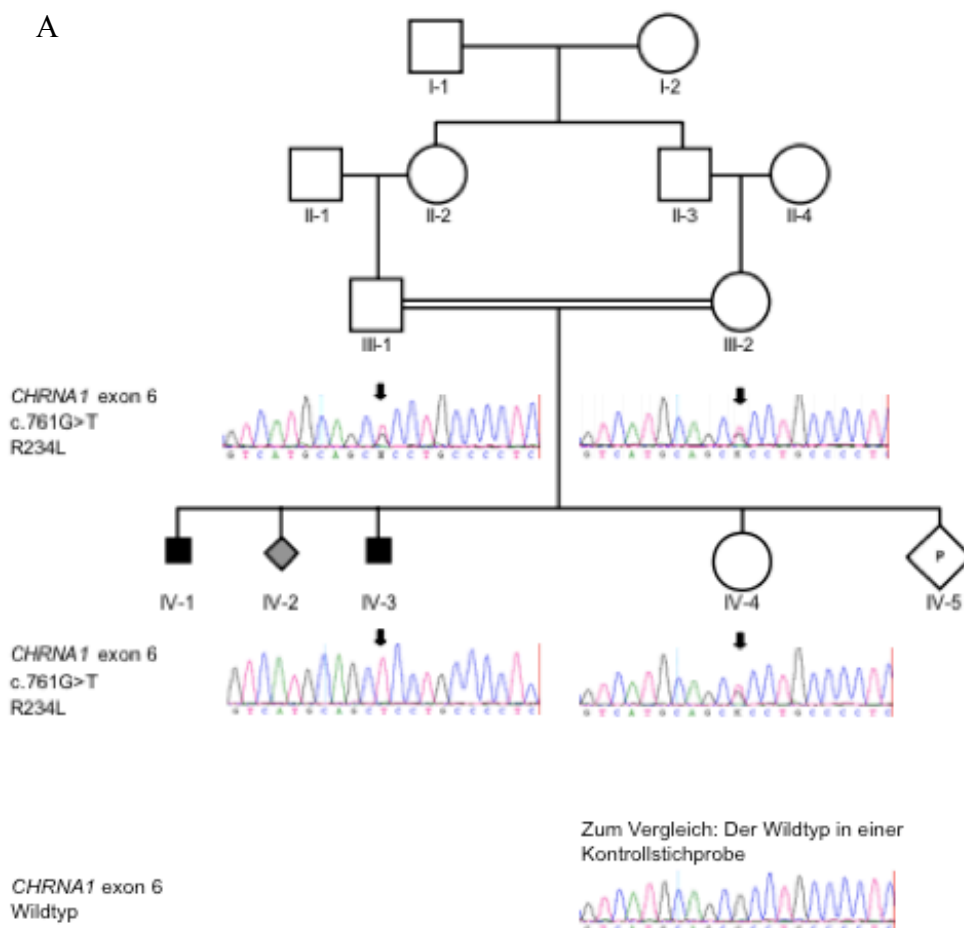
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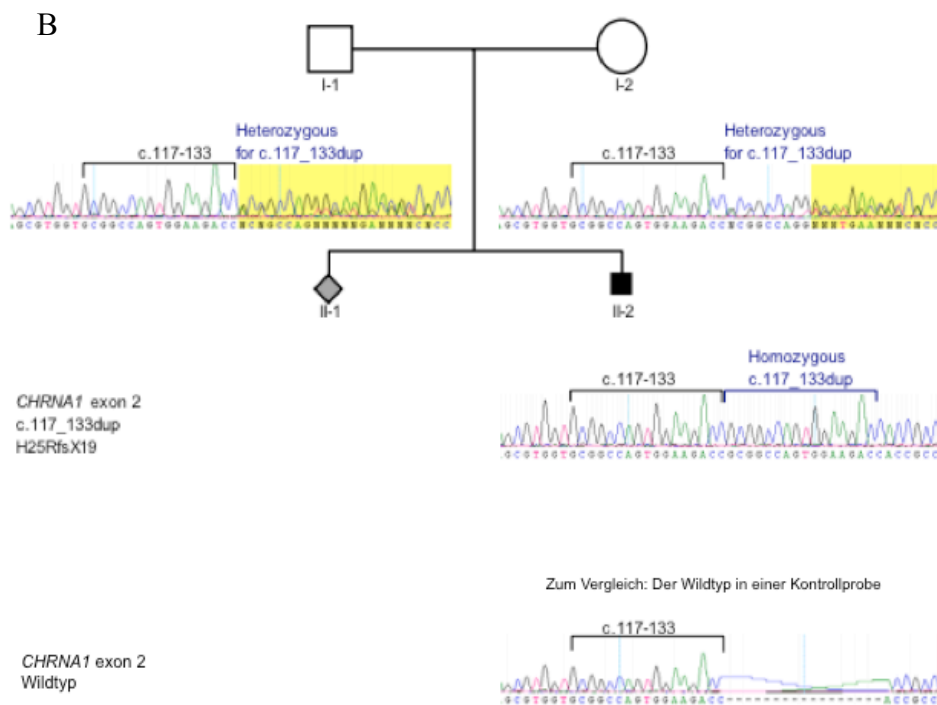


## Anlagen

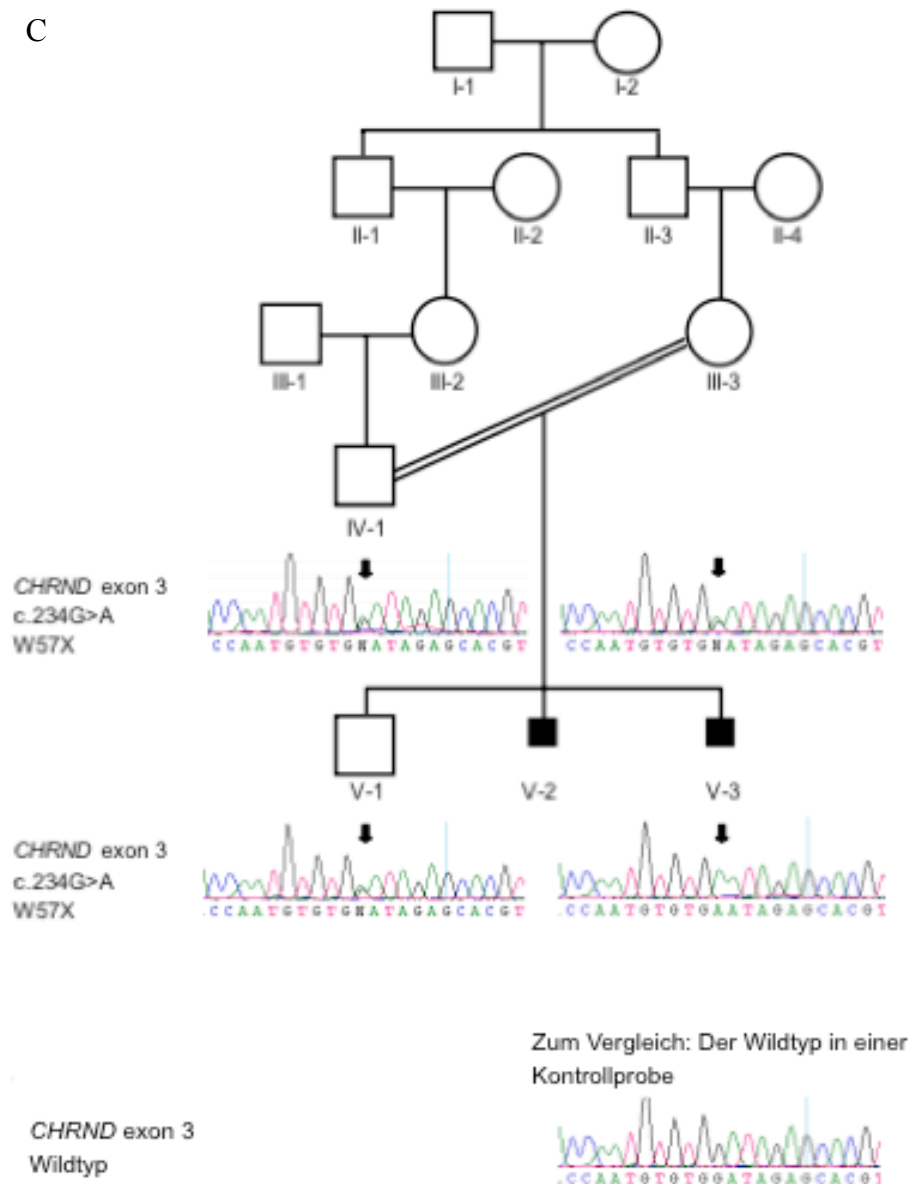
### Mutationsanalysen in Genen des Acetylcholin-Rezeptor-Pathways in Patienten mit Fetal Akinesia Deformation Sequence (FADS)



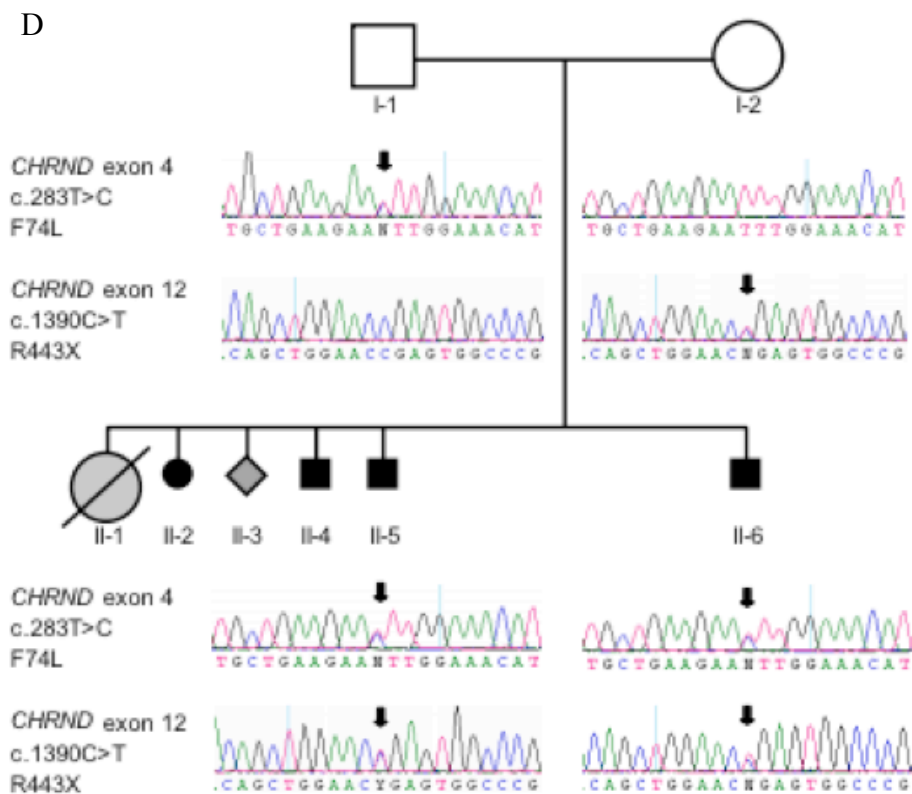
**Abb. A Sequenzanalysen und Stammbaum der Familie *CHRNA1*-F1.** Der Stammbaum dieser konsanguinen Familie zeigt Betroffene mit fetaler Akinesie und letalen Multiple Pterygium Syndrome. Die Sequenzierung offenbart die Missense-Mutation *CHRNA1* c.761G>T (R234L) im Exon 6 des Gens. Der betroffene Fetus IV-3 war homozygot für diese Mutation, wohingegen beide Eltern und ein gesundes Geschwisterkind heterozygote Träger der genetischen Alteration sind. Die Kontrollprobe zeigt den Wildtyp der Sequenz.



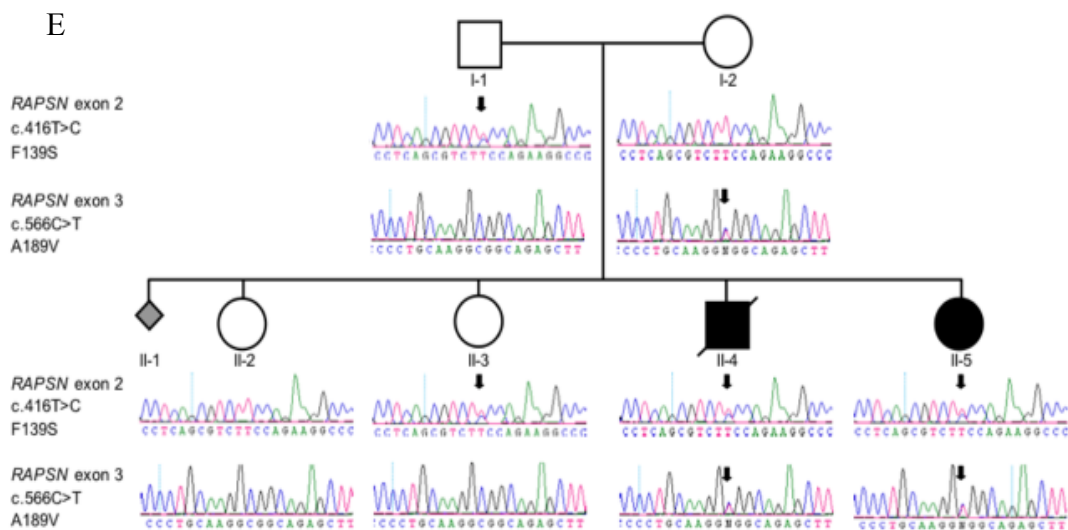
**Abb. B Sequenzanalysen und Stammbaum der Familie *CHRNA1*-F2.** Die Sequenzierung offenbart bei dem betroffenen Kind II-2 die homozygote Nonsense-Mutation *CHRNA1* c.117\_133dup (H25RfsX19) im Exon 2 des Gens. Es resultiert eine Framshift-Mutation mit vorzeitigem Stopcodon. Beide Eltern I-1 und I-2 sind heterozygote Träger der Mutation. Die Kontrollprobe zeigt den Wildtyp der Sequenz.



**Abb. C Sequenzanalysen und Stammbaum der Familie *CHRND*-F1.** Der Stammbaum dieser konsanguinen Familie zeigt zwei Betroffene mit dem letalen Multiple Pterygium Syndrome. Die Sequenzierung offenbart bei dem betroffenen Fetus V-3 die homozygote Nonsense-Mutation *CHRND* c.234G>A (W57X) im Exon 3 des Gens. Beide Eltern IV-1 und III-3 und ein gesundes Geschwisterkind V-1 sind hingegen heterozygote Träger der genetischen Alteration. Die Kontrollprobe zeigt den Wildtyp der Sequenz.



**Abb. D Sequenzanalysen und Stammbaum der Familie *CHRND*-F2.** Der Stammbaum dieser Familie zeigt zahlreiche Betroffene mit fetaler Akinesie und letalen Multiple Pterygium Syndrome. Die betroffenen Feten II-5 und II-6 sind compound heterozygot für die Missense-Mutation *CHRND* c.283T>C (F74L) in Exon 4 und die Nonsense-Mutation *CHRND* c.1390C>T (R443X) in Exon 12 des Gens. Beide Eltern I-1 und I-2 sind jeweils heterozygoter Träger einer dieser Mutationen.



**Abb. E Sequenzanalysen und Stammbaum der Familie *RAPSIN-F1*.** Der Stammbaum zeigt neben zwei gesunden Mädchen II-2 und II-3, einen Frühabort II-1 und zwei Betroffene II-4 und II-5 mit fetaler Akinesie. Diese weisen die compound heterozygote Missense-Mutation *RAPSIN* c.416T>C (F139S) im Exon 2 und *RAPSIN* c.566C>T (A189V) im Exon 3 des Gens auf. Die genetische Diagnosestellung ermöglichte eine Therapie des zweiten betroffenen Kindes II-5 der Familie, während das erste betroffene Kind II-4 an respiratorischer Insuffizienz verstarb. Beide Eltern I-1, I-2 und eines der gesunden Geschwister II-3 sind jeweils heterozygoter Träger dieser Mutationen. Ein gesundes Mädchen II-2 trägt keine der heterozygoten Veränderungen.

### Ergebnisse am Mausmodell (Arbeiten von S. Stricker)

Im Mausmodell wiesen wir die Expression dieser AChR-Pathway-Komponenten im Skelettmuskel aber auch in sehr frühen Entwicklungsstadien in Somiten nach. Das könnte wegweisend für die Frage nach der Genese der Frühaborte und der häufig assoziierten frühen Fehlbildungen wie Ödeme, Hydrops, Herzfehler und Nierenfehlbildungen bei Patienten mit fetalem Akinesie-Syndrom sein. Die Studien könnten dafür sprechen, dass die einzelnen Untereinheiten noch vor der Zusammenlagerung zum klassischen Acetylcholinrezeptor in einer anderen Funktion agieren.

**Übersichtstabelle der detektierten Mutationen im *CHRNA1*, *CHRND*, *RAPSN* und *CHRNA1*-Gen.**

	Gen	Exon	Veränderung auf Nukleotidebene	Veränderung auf Proteinebene (ohne Signalpeptid)
1.	<i>CHRNA1</i>	2	c.117_133dup	H25RfsX19
2.		6	c.761G>T	R234L
3.	<i>CHRND</i>	3	c.234G>A	W57X
4.		4+12	c.283T>C	F74L
5.			c.1390C>T	R433X
6.	<i>RAPSN</i>	2+3	c.416T>C	F139S
7.			c.566C>T	A189V
8.	<i>CHRNA1</i>	1	c.13C>T	p.Q-18X
9.		4+5	c.256C>T	p.R64C
10.			c.481G>A	p.W139X
11.		4+5	c.300dup(9)	78dup(3)
12.			c.1408C>T	R448X
13.		4+5	c.446G>A	A127T
14.		6	c.259G>A	E169E
15.		7	c.715C>T	R217C
16.		8	c.807insT	Δ248-274,275X
17.		10	c.1249G>C	Δ395-418,419X
18.		10	1242G>C	E414Q
19.		12	c.1408C>T	A448X
20.		12	c.1509C>T	P481S

**Tabelle 1 Übersichtstabelle der detektierten Mutationen.** Im Rahmen der Arbeit am Institut für medizinische Genetik wurden eine Vielzahl an krankheitsverursachenden Mutationen bei Patienten mit der fetalen Akinesia Deformation Sequence (FADS) detektiert. Diese sind hier tabellarisch zusammengetragen. Bisher nicht publizierte Mutationen sind blau hinterlegt.

## Darstellung des eigenen wissenschaftlichen Beitrags

American Journal of Human Genetic

### Acetylcholine Receptor Pathway Mutations Explain Various Fetal Akinesia Deformation Sequence Disorders

Anne Michalk\*, Sigmar Stricker\*, Jutta Becker, Rosemarie Rupps, Tapio Pantzar, Jan Miertus, Giovanni Botta, Valeria G. Naretto, Catrin Janetzki, Nausheen Yaqoob, Claus-Eric Ott, Dominik Seelow, Dagmar Wieczorek, Britta Fiebig, Brunhilde Wirth, Markus Hoopmann, Marisa Walther, Friederike Korber, Markus Blankenburg, Stefan Mundlos, Raoul Heller and Katrin Hoffmann.

*\*contributed equally*

Anne Michalk forschte während ihrer Promotionsarbeit auf dem Gebiet der fetalen Bewegungsstörungen. Ein Teil ihrer Ergebnisse erschien im American Journal of Human Genetic. Sie hat dazu folgende wissenschaftliche Beiträge geleistet:

1. Die genetische Feinkartierung und Haplotypisierung in den betroffenen Familien.
2. Die Sequenzierung positioneller und funktioneller Kandidatengene, namentlich *CHRNA1*, *CHRNA2*, *CHRNA3*, *CHRNA4*, *CHRNA5*, *CHRNA7*, *CHRNA9*, *CHRNB1*, *CHRNB2*, *CHRNB3*, *CHRNB4*, *CHRNB5*, *CHRND*, *CHRNE*, *CHRNA10*, *CHRNA11*, *CHRNA12*, *CHRNA13*, *CHRNA14*, *CHRNA15*, *CHRNA16*, *CHRNA17*, *CHRNA18*, *CHRNA19*, *CHRNA20*, *CHRNA21*, *CHRNA22*, *CHRNA23*, *CHRNA24*, *CHRNA25*, *CHRNA26*, *CHRNA27*, *CHRNA28*, *CHRNA29*, *CHRNA30*, *CHRNA31*, *CHRNA32*, *CHRNA33*, *CHRNA34*, *CHRNA35*, *CHRNA36*, *CHRNA37*, *CHRNA38*, *CHRNA39*, *CHRNA40*, *CHRNA41*, *CHRNA42*, *CHRNA43*, *CHRNA44*, *CHRNA45*, *CHRNA46*, *CHRNA47*, *CHRNA48*, *CHRNA49*, *CHRNA50*, *CHRNA51*, *CHRNA52*, *CHRNA53*, *CHRNA54*, *CHRNA55*, *CHRNA56*, *CHRNA57*, *CHRNA58*, *CHRNA59*, *CHRNA60*, *CHRNA61*, *CHRNA62*, *CHRNA63*, *CHRNA64*, *CHRNA65*, *CHRNA66*, *CHRNA67*, *CHRNA68*, *CHRNA69*, *CHRNA70*, *CHRNA71*, *CHRNA72*, *CHRNA73*, *CHRNA74*, *CHRNA75*, *CHRNA76*, *CHRNA77*, *CHRNA78*, *CHRNA79*, *CHRNA80*, 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*CHRNA1073*, *CHRNA1074*, *CHRNA1075*, *CHRNA1076*, *CHRNA1077</*

## Erklärung über die eigenständige Abfassung der Arbeit

Hiermit erkläre ich, dass ich die vorliegende Arbeit selbstständig und ohne unzulässige Hilfe oder Benutzung anderer als der angegebenen Hilfsmittel angefertigt habe. Ich versichere, dass Dritte von mir weder unmittelbar noch mittelbar eine Vergütung oder geldwerte Leistungen für Arbeiten erhalten haben, die im Zusammenhang mit dem Inhalt der vorgelegten Dissertation stehen, und dass die vorgelegte Arbeit weder im Inland noch im Ausland in gleicher oder ähnlicher Form einer anderen Prüfungsbehörde zum Zweck einer Promotion oder eines anderen Prüfungsverfahrens vorgelegt wurde. Alles aus anderen Quellen und von anderen Personen übernommene Material, das in der Arbeit verwendet wurde oder auf das direkt Bezug genommen wird, wurde als solches kenntlich gemacht. Insbesondere wurden alle Personen genannt, die direkt an der Entstehung der vorliegenden Arbeit beteiligt waren. Die aktuellen gesetzlichen Vorgaben in Bezug auf die Zulassung der klinischen Studien, die Bestimmungen des Tierschutzgesetzes, die Bestimmungen des Gentechnikgesetzes und die allgemeinen Datenschutzbestimmungen wurden eingehalten. Ich versichere, dass ich die Regelungen der Satzung der Universität Leipzig zur Sicherung guter wissenschaftlicher Praxis kenne und eingehalten habe.

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Datum

.....

Unterschrift



## Lebenslauf

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