

1 A Preliminary Study Investigating Functional Movement Screen Test Scores in Female 2 Collegiate Age Horse-riders

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8 9 Abstract

10 *The functional movement screen (FMS) is an easily administered and non-invasive tool to identify areas*
11 *of weakness and asymmetry during specific exercises. FMS is a common method of athlete screening in*
12 *many sports and is used to ascertain injury risk, but has to be used within an equestrian population. The*
13 *aim of this study was establish FMS scores for female collegiate age (18-26yrs) riders, to inform a*
14 *normative data set of FMS scores in horse riders in the future.*

15 *Thirteen female collegiate horse riders (mean \pm s.d.; age 21.5 \pm 1.4 years, height 167.2 \pm 5.76 cm, mass*
16 *60.69 \pm 5.3 kg) and 13 female collegiate non-riders (mean \pm s.d.; age 22.5 \pm 2.1 years, height 166.5 \pm 5.7*
17 *cm, mass 61.5 \pm 4.9kg) were assessed based on their performance on a 7-point FMS (deep squat, hurdle*
18 *step, in-line lunge, shoulder mobility, active straight leg raise, trunk stability and rotary stability). The*
19 *mean composite FMS scores (\pm s.d.) for the rider group was 14.15 \pm 1.9 and for the non-riders was*
20 *13.15 \pm 1.77. There was no statistical significant difference in median FMS composite scores between*
21 *the rider and non-rider groups (Mann-Whitney U test, $z = -1.249$, $p = 0.223$). However, 46% of riders*
22 *and 69% of non-riders scored ≤ 14 , indicating that a non-rider is 1.5 times (O.R.) more likely to be at*
23 *increased risk of injury compared to riders.*

24 *Collegiate female riders scored higher than the non-rider population, but lower than seen in other sports*
25 *suggesting some riders may be at risk of injury. Riders' FMS scores demonstrated asymmetric movement*
26 *patterns potentially limiting left lateral movement. Asymmetry has a potential impact on equestrian*
27 *performance, limiting riders' ability to apply the correct cues to the horse. The findings of such*
28 *screening could inform the development of axillary training programmes to correct asymmetry pattern*
29 *and target injury prevention.*

30 **Keywords:** Horse riding, equestrian, functional movement screen, injury, asymmetry

31

32 Introduction

33 Horse riding involves establishing a relationship between horse and rider, and is described as a
34 hazardous sport (Ball *et al.*, 2007). The relationship requires clear communication that is reliant on the
35 rider maintaining balance and posture in order to be able to administer predictable cues (aids). The rider
36 aims to maintain a straight line through the ear-shoulder-hip-heel, with the pelvis in the neutral position
37 and a controlled upright trunk position adapting to the movement of the horse (Guire *et al.*, 2017; Hobbs
38 *et al.*, 2014; Nevison *et al.*, 2013; Douglas *et al.*, 2012; Lovett *et al.*, 2005). If the rider is unable to
39 maintain this desirable position then they are less likely to be able to control their body movements,
40 administer repeatable predictable cues to the horse and are increased risk of losing their balance or
41 causing undesirable behaviours in the horse.

42
43 Research concludes that riders are at risk of acute injuries whilst handling horses, as a result of falling
44 off the horse when riding (Whitlock, 1999; Sorli, 2000; Moss *et al.*, 2002) and as a result of overuse
45 injuries (Kraft *et al.*, 2007; Lewis, 2017; Lewis *et al.*, 2018). Overuse injuries can be caused by the
46 repetitive movement patterns experienced during riding and the repetitive nature of tasks required to
47 care for horses e.g. mucking out. Horse-riders have been reported as frequently having an asymmetric
48 posture linked to years spent riding horses and influenced by their competitive level (Symes and Ellis,
49 2009; Hobbs *et al.*, 2014). As such they are at risk of spinal instability, contributing to overuse injury
50 and inevitably leading to back pain (Al-Eisa *et al.*, 2006; Symes and Ellis, 2009; Lewis, 2017; Lewis *et*
51 *al.*, 2018).

52
53 Equestrian sports, unlike many others, offer the potential for an extended career, with riders often
54 starting to ride as young as three years old and still competing at the Olympics at sixty years old
55 (Dumbell *et al.*, 2018). As such, equestrian sports are categorised according to Long Term Athlete
56 Development (LTAD) models to be an ‘early start-late specialisation’ sport (Balyi *et al.*, 2013). With
57 the potential of an extended career, the equestrian specific Long Term Participant Development (LTPD)
58 model focusses on the components of physical literacy that will maintain and develop elite performance
59 for an extended period of time (De Haan, 2017; BEF, 2018). This extended career increases the risk of
60 overuse injuries and that pain, asymmetry and injury may affect not just the individual whilst riding but
61 also off the horse during everyday life. LTPD is a model that defines the most appropriate environment
62 and activities for a given athlete as they develop, and applies to recreational and competitive riders alike
63 (BEF, 2018). The LTPD model considers each individual athlete throughout their equestrian career and
64 offers an insight into optimal training and recovery programmes to ensure athletes reach their potential.
65 The British Equestrian Federation considers off horse training for riders to be important, with a clear
66 focus on functional symmetry, stability, mobility and balance training (BEF, 2018). The LTPD model
67 suggests that riders’ body alignment and functional stability patterns should be regularly tested, yet a
68 standardised, quantitative and valid measure has yet to be investigated within this population.

69
70 The Functional Movement Screen (FMS) is a simple measure to identify asymmetry in a person’s basic
71 functional movements. It was originally designed to assess muscle flexibility, strength, imbalances and
72 general movement proficiency using a range of performance tests. It also identifies deficits related to
73 proprioception, mobilisation, stabilisation and pain within the prescribed movement patterns (Cook *et*
74 *al.*, 2006). It is a screening process growing in popularity due to it being a rapid, non-invasive measure
75 to identify potential injury risk (Cook *et al.*, 2006). The screen consists of seven different functional
76 movements that assess trunk and core strength and stability, neuromuscular coordination, asymmetry in
77 movement, flexibility, acceleration, deceleration, and dynamic flexibility (Peate *et al.*, 2007). The FMS
78 measures the quality of the movement based on specific criteria that allow the evaluator to use
79 quantitative values for the movement on a scale of 0–3. The FMS focusses on the efficiency of
80 movement patterns rather than the quantity of repetitions performed. It has been used as a tool for injury
81 prevention (Kiesel *et al.*, 2007; Kiesel *et al.*, 2011) and has proven to be a valid indicator of injury risk
82 among elite athletes. Research also indicates that the FMS demonstrates moderate-to-excellent inter-
83 and intra-rater agreement for most of the assessment protocols (Leeder *et al.*, 2013; Shiltz *et al.*, 2013).

84

85 Despite the growing interest in the use of functional movement screen (or similar screening protocols)
86 within athletic development programmes, no published reports have explored the use of FMS testing in
87 horse-riders. This would potentially be a useful non-invasive and quantitative measure that could be
88 implemented with the physical preparation of a horse rider as indicated necessary in the LTPD
89 documentation. Therefore, the assessment of movement proficiency should be viewed as an essential
90 factor in a rider's developmental physical preparation programmes. Consequently, the aim of this
91 research was to establish FMS scores for regular female collegiate age horse riders, to inform a
92 normative data set of FMS scores in horse riders in the future.

93

94 **Methods**

95 *Participants*

96 Two groups of female participants took part in this study, who were all collegiate age (between 18 and
97 26 years old). Thirteen female riders who rode at least three times per week (mean \pm SD age 21.5 ± 1.4
98 years; height 167.2 ± 5.8 cm; mass 60.69 ± 5.3 kg) formed the rider group. Thirteen non-active collegiate
99 non-riders (who completed no purposeful training regimen) (mean \pm SD age 22.5 ± 2.1 years; height
100 166.6 ± 5.7 cm; mass 61.6 ± 4.9 kg) formed the non-rider group. Participants were a convenience sample
101 of volunteers that met the inclusion criteria. Inclusion criteria required all participants to be at least
102 eighteen years of age, injury free and not experiencing pain at the start of the protocol. The experimental
103 protocols received Institutional Ethics Committee Approval and informed written consent was obtained
104 from all participants.

105 *Testing Procedures*

106 Riders were familiarized with the test protocols using verbal guidelines and visual demonstrations,
107 which allowed for some cueing and ensured riders were aware of the requirements of each movement
108 task. All participants were advised to report for testing rested (i.e. having performed no strenuous
109 exercise in the preceding 24 hours), euhydrated and at least 3 hours following the consumption of a light
110 carbohydrate based meal (Winter *et al.*, 2007). Participants were required to perform the procedures
111 with no prior warm up or physical activity, to increase the validity of the results.

112

113

114 *Functional Movement Screen*

115 Participants were screened using the seven point functional movement screening protocol described by
116 Cook *et al.* (2006) and Kiesel *et al.* (2007). Each participant performed 7 different functional
117 movements:

118

119 '1) the deep squat which assesses bilateral, symmetrical, and functional mobility of the hips, knees and
120 ankles, 2) the hurdle step which examines the body's stride mechanics during the asymmetrical pattern
121 of a stepping motion, 3) the in-line lunge which assesses hip and trunk mobility and stability,
122 quadriceps flexibility, and ankle and knee stability, 4) shoulder mobility which assesses bilateral
123 shoulder range of motion, scapular mobility, and thoracic spine extension 5) the active straight leg
124 raise which determines active hamstring and gastroc-soleus flexibility while maintaining a stable
125 pelvis, 6) the trunk stability push-up which examines trunk stability while a symmetrical upper-
126 extremity motion is performed, and 7) the rotary stability test which assesses multi-plane trunk
127 stability while the upper and lower extremities are in combined motion' (Kiesel *et al.* 2007, p.148).

128

129 After each movement, a score was given to the movement based on specific FMS criteria by a qualified
130 sports therapist. A score of 3 indicated that the movement was completed both pain-free and without
131 compensation. A score of 2 indicated that the movement was completed pain-free but with some level
132 of compensation or aid, and a score of 1 indicated that the participant could not perform the movement.
133 A score of 0 was assigned to a movement that induced self-reported pain. When a FMS is performed, 5
134 of the 7 tests (hurdle step, shoulder mobility, active straight leg raise, in-line lunge, and rotary stability)

135 tests are scored independently on the right and left sides of the body, whilst the other two the deep squat
136 and the trunk stability push up test are symmetrical tests. Participants were given three trials of each
137 movement pattern, with each trial being scored by the same researcher real time on a 0-3 point scale.
138 Based upon the relationship between neuromuscular asymmetry and injury risk, the FMS scoring system
139 highlights asymmetry and takes the lowest score of the three as the overall score for that movement
140 (Beckham, 2010). After the 7 different movements were evaluated, a cumulative score out of 21 was
141 recorded, as per the method described by Cooke *et al.* (2006) where 0 is very low and 21 is the highest
142 score possible .

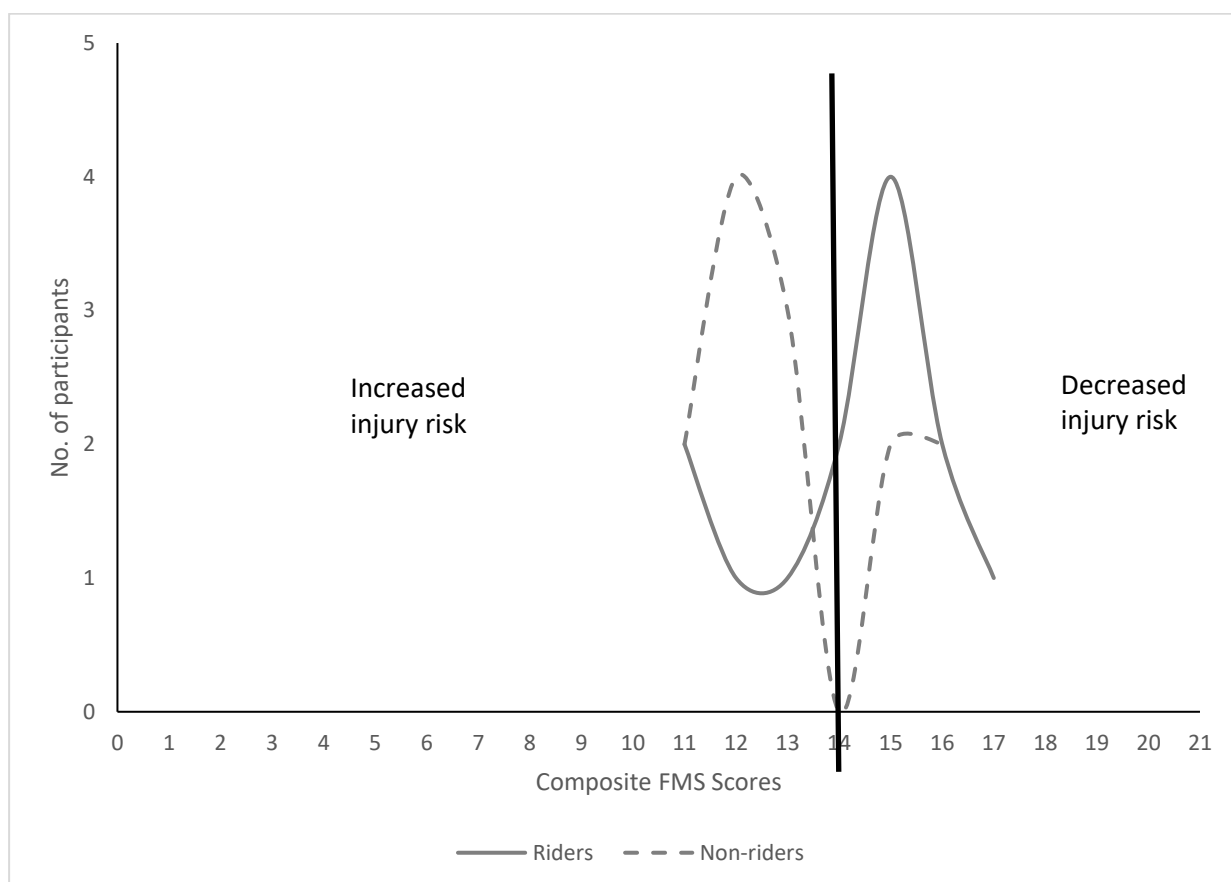
143
144 *Statistical Analyses*

145 Descriptive statistics were used to report scores and percentages within data. Odds ratios were utilized
146 to assess risk of injury based on mean composite FMS scores. Due to the ordinal FMS scoring system a
147 non-parametric Mann Whitney- U statistic was used to test for difference between rider and non-rider
148 groups. An alpha value was set at $p < 0.05$ (confidence interval 95%) throughout unless otherwise stated.
149 Data were analysed using SPSS for Windows version 24.

150
151 **Results**

152 The mean composite FMS scores (\pm SD) for the rider group was 14.2 ± 1.9 ; and for the non-rider group
153 was 13.2 ± 1.77 (Figure 1). There was no statistical significant difference for FMS composite scores
154 between the rider (14.2 ± 1.9) and non-rider (13 ± 1.8) groups (Mann-Whitney U test, $z = -1.249$, $p = 0.223$).
155 However, 46 % of riders and 69 % of non-riders scored ≤ 14 , indicating a risk of injury (Table 1) with
156 an odds ratio of 0.67:1 in riders: non-riders. A non-rider is a 1.5 times more likely to be at risk of an
157 injury based on their composite FMS score.

158



159

160 Figure 1. Distribution of composite FMS scores demonstrating decrease in injury risk seen in the group
 161 of female collegiate horse riders.

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163 Table 1. A comparison of Functional Movement Screening composite scores for a group of female
 164 collegiate horse riders compared to a group of female collegiate non-horse riders

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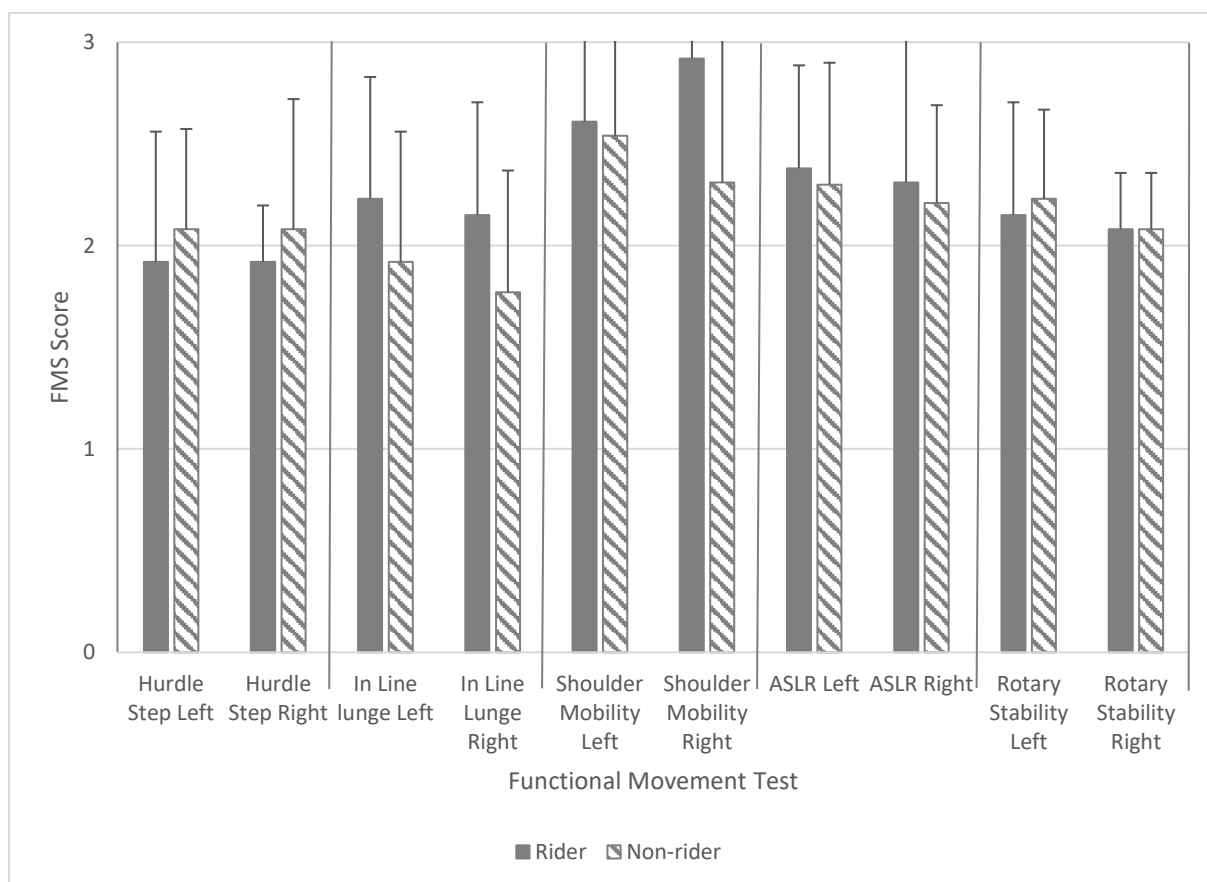
	Number of Participants (n)	Mean composite score	Standard deviation (±SD)	Range of scores	Number of scores ≤ 14	Number of scores >14	Odds ratio
Rider	13	14.15	1.9	11-17	6 (46%)	7 (54%)	Rider: Non-rider 0.67 : 1
Non-rider	13	13.15	1.8	11-16	9 (69%)	4 (31%)	

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167

168 FMS for individual exercises (Figure 2) showed no significant difference between the two groups but
 169 did show high variability especially in riders' trunk stability. No significant difference was seen in
 170 absolute asymmetry between riders and non-riders (Mann-Whitney U test, n=23, all p>0.05).

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174 Figure 2. Mean left and right scores for functional movement screen.

175

176 **Discussion**

177 The purpose of this study was to determine FMS scores in a sub-population of female horse-riders based
178 upon reports of a high prevalence of pain, (Kraft, 2007; Lewis, 2017), and asymmetry (Symes and Ellis,
179 2009; Hobbs *et al.*, 2014) within horse riders.

180 As an activity, horse riding has previously been identified as having high risk of injury, with it being
181 regarded as more dangerous than rugby, American football and motor sports (Norwood *et al.*, 2000;
182 Sorli, 2000). Most riding injuries occur from falling off the horse resulting in traumatic injuries such as
183 fractures, contusions and concussions (Ball *et al.*, 2007; Mayberry *et al.*, 2007). Overuse injuries and
184 chronic pain, particularly back pain in riders have also been well documented (Kraft, 2007; Lewis, 2017;
185 Lewis *et al.*, 2018). Injury or pain associated with an injury can result in poor performance, time off,
186 retirement and severe injuries often have life changing consequences (Lewis *et al.*, 2018). Many injuries
187 are likely to be the result of physiological fatigue or weakness but this link has not fully been established
188 in horse-riding activities, although well documented in other sports. It is important to be able to identify
189 riders at risk of injury through screening mechanisms so that preventative measures such as strength and
190 conditioning programmes, ergonomics, and training practices can be designed and adopted.

191 According to Kiesel *et al.* (2007) and O'Connor *et al.* (2011), a composite FMS score of 14 and lower,
192 is a primary indicator of risk of injury. Compared to the inactive non-rider group, the rider population
193 demonstrated a significantly reduced risk of gaining an at risk score of 14 and lower, as seen with an
194 odds ratio of 0.67. A non-rider is a 1.5 times more likely to be at risk of an injury based on their
195 composite FMS score. This suggests that horse riding is beneficial to functional movement patterns
196 despite the degree of difference between the groups being small (albeit riders positively shifted
197 compared to the critical score of 14) and the mean FMS scores not being statistically significantly
198 different. Whilst suggesting regular recreational horse riding (more than 3 times per week) could reduce
199 an individual's chance of injury these results do not indicate that it significantly improves functional
200 movement. Recreational horse riding is considered moderate intensity, however physiological responds
201 increase in competitive equestrian sports, with cross-country and jumping considered high intensity
202 (Douglas, 2012). Further research is therefore needed to test FMS in horse riders regularly competing
203 in these disciplines.

204 FMS test results have been described in many other populations, including distance runners (Loudon *et*
205 *al.*, 2014), professional football players (Kiesel, 2011), young and active populations (Schneiders *et al.*,
206 2011), and military personnel (Lisman *et al.* 2013). It is pertinent to establish FMS patterns specific to
207 individual groups of athletes to understand how sports specific demands may influence movement
208 patterns. In this study composite scores for a female collegiate population of horse-riders was $14.15 \pm$
209 1.9 . This is lower than what has been established for long distance runners (Loudon 2015), professional
210 footballers (McCall *et al.*, 2014), normative values for young females (Schneiders *et al.*, 2013) and for
211 an active population (Perry, 2013). Whilst the differential FMS score of 14 indicates a general
212 predisposition to increase injury risk, it would be interesting to identify whether there was a clear
213 relationship between FMS score and injury during different equestrian activities.

214 Whilst individual mean composite scores showed a shift in distribution around the critical score of 14
215 there were no statistical significant differences between medium scores of the two groups, however it is
216 worth considering where this shift is occurring to inform future investigations. In particular shoulder
217 mobility and inline lunge demonstrate high variability, and individuals differed within the rider group
218 and when compared to the non-rider group. The rider participants in this study scored greater scores in
219 the right shoulder mobility test than non-riders. The shoulder mobility test examines shoulder range of
220 motion, scapular motion and thoracic spine mobility. This trend was also seen in the study of Schneiders
221 *et al.* (2013).

222 The in-line lunge assesses bilateral stability and mobility of the trunk, hips, knees and ankles. It
223 challenges the body's trunk and lower extremities to resist rotation and lateral flexion to ensure
224 appropriate alignment in all three planes. Alexander (2014) points out that trunk rotation to the right was
225 a common postural characteristic in riders and that trunk rotation asymmetry deviates pressure away
226 from the central position in the saddle producing uneven weight through the pelvis. Asymmetric
227 performance in the in-line lunge can be a result of many factors such as hip limitations of either legs,
228 adductor and abductor tightness or weakness or limitations in the thoracolumbar spine. It is important
229 to further investigate the cause in each individual client, but a trend for this movement scoring
230 asymmetric is apparent in riders. Increased iliac crest height to the right has been reported with time
231 spent riding in previous literature (Hobbs *et al.*, 2014) and authors had suggested that the causal factor
232 may be greater muscle stiffness and development on the right side would limit lateral bending to the
233 left. Symes and Ellis (2009) also report this right hip limitation and blocking of movement to the left
234 during actual riding. This might also explain the lower scores shown by riders in the rotary stability to
235 the left.

236 Asymmetry during riding is not just related to posture. Differences in rein tension between left and right
237 hands have also been reported (Kuhnke *et al.*, 2010). It appears this right side asymmetry may be
238 attributed to hand dominance and grip strength (Hobbs *et al.*, 2014) used during daily activities and
239 potentially exacerbated in this horse riding population due to the daily physical tasks associated with
240 owning and riding horses such as stable work. This further suggests that differential left-right muscle
241 recruitment pattern is being adopted, maybe a precursor for asymmetrical shoulder height (Hobbs *et al.*,
242 2014). This may account for enhanced right shoulder mobility within this population.

243 Knutson (2005) suggests leg length inequality (LLI) contributes to functional and anatomical asymmetry
244 as it can cause both pelvic and thoracic girdle rotation leading to axial rotation. The pelvic tilt imposed
245 by LLI may impose bilaterally unequal stresses in the hip and the knee joints, a plausible aetiological
246 factor in a variety of overuse injuries (McCaw, 1992) resulting in lower back and hip pain (Friberg,
247 1993; Sharpe, 1983; McCaw, 1992). A tilted pelvis shifts the line of action of the centre of gravity away
248 from the hip joint centre on the side of the long limb. The greater muscle activity necessary to
249 compensate for the shift could increase the magnitude of the internal joint force, which may explain
250 right hip limitation in the riding group. Interestingly between 53-75% of the overall human population
251 have a longer right leg, average magnitude of difference of LLI is reported between 2.4mm and 6.8mm,
252 with individual differences reported exceeding 30mm (Knutson, 2005).

253 It is likely that hip limitation also affects restriction in left lateral bending reported by Hobbs *et al.*,
254 (2014) and Symes and Ellis (2009). Limitation in the hurdle step test may have many causal factors,
255 including weak hip extensors (glutes), flexor and adductor/abductor tightness, weakness in left glutes
256 and tightness of left quads, which can result in poor thoracolumbar stability (Bishop *et al.*, 2015).
257 Asymmetrical movement patterns in this test were seen in both populations.

258 Hobbs *et al.*, (2014) concluded that axial rotation to the left and asymmetric shoulder height was
259 attributed to muscle development and stiffening on the right side of a rider's body and our data is
260 supportive of that supposition. This asymmetry will undoubtedly effect the rider's ability to control and
261 communicate with the horse. A balanced rider with aligned posture will be easier for the horse to support
262 (De Cocq *et al.*, 2009; Pelham *et al.*, 2010; Clayton *et al.*, 2017; Guire *et al.*, 2017) whereas a rider that
263 is asymmetric will find it difficult to apply and release appropriate aids (Alexander *et al.*, 2014). This
264 may lead to the horse becoming confused regarding the task and may display adverse behaviours that
265 are associated equine welfare issues (McGreevy and McLean, 2007; Goodwin *et al.*, 2009).

266 Asymmetry has clinical relevance, as an increased prevalence of pain has been reported in riders with
267 asymmetrical postural development and as number of years riding and competitive level increases
268 (Hobbs *et al.*, 2014). Chronic pain in elite riders during competition was reported to be as high as 100%
269 in female riders (Lewis & Baldwin, 2017), and 76% of pain was reported to be lower back pain (Lewis
270 & Kennerley, 2017). Asymmetry is one aetiological factor that contributes to back pain (Nadler *et al.*,
271 2000). This asymmetry is altered by the distribution and magnitude of mechanical stress placed on the
272 body whilst riding which could result in pain. To date, there is no research that links FMS scores with

273 pain or injury in horse riders despite FMS successfully being used as a tool for predicting risk of injury
274 and development of pain in other sports (Cook *et al.*, 2006).

275 FMS is used in an attempt to gain a picture of movement quality that challenges mobility through the
276 key structures such as ankles, hips and thoracic spine (Bishop *et al.*, 2015). However, it has received
277 some criticism, as it does not assess dynamic movement performed at speed or movement quality under
278 load. Therefore does not fully predict physical performance measures such as acceleration, power or
279 agility (Bishop *et al.*, 2015; Bishop *et al.*, 2016). Whilst equestrian sport lacks the need to evaluate some
280 of these parameters, high demands are placed on the rider to be able to control their body in terms of
281 acceleration of body segments particularly during jumping, (Nankervis *et al.*, 2015). Patterson *et al.*,
282 (2010) highlighted the need for the rider to limit the acceleration or movement of their head on landing.
283 The rider is forced to maintain their balance through weight bearing via the legs only as opposed to the
284 pelvis and legs as seen in the dressage position, a closed hip and thigh angle and a forward trunk position
285 (Nankervis *et al.*, 2015; Douglas *et al.*, 2012; Patterson *et al.*, 2010). Nankervis *et al.* (2015) also
286 highlighted the repetitive nature of the jump position suggesting riders make changes to their upper body
287 position prior to take-off and require strong 'core' anatomy to enable the torso to return quickly to
288 equilibrium after perturbation upon landing. Thus the FMS with added load and/or speed may reflect
289 both movement capacity and injury risk in riders in a more accurate manner (Bishop *et al.*, 2016).

290 *Limitations*

291 The sample was convenience based and a small sample of thirteen female horse riders that attended an
292 equestrian college and were eligible to participate within this study recruited. Competitive level,
293 discipline, years spent riding and additional training load were not accounted for within this preliminary
294 study but could be considered in future studies. The current study has established and corroborated
295 reports that riders have asymmetric movement patterns, and future research should consider exploring
296 the role of the FMS as a screening tool in horse riders.

297 **Conclusion**

298 This study highlights that composite FMS scores found in a small purposeful sample of female collegiate
299 horse-riders indicate a lower risk of injury than in the non-rider population. However, the composite
300 FMS scores were lower than those reported in other sports, suggesting some riders may be at risk of
301 injury. The FMS scores showed that riders scored differently across the tests demonstrating asymmetric
302 movement patterns potentially limiting left lateral movement patterns. Limited left lateral movement
303 patterns have been observed in riders in other studies. Asymmetry has an impact on equestrian
304 performance and given the duration of a rider's career, which may span four decades, highlights the
305 importance of regular functional movement screening to the individual rider. Such findings can be used
306 to develop individual axillary training programmes (both on and off the horse), to improve functional
307 movement and targeted injury prevention. Further research to establish normative scores for the wider
308 horse riding population based on discipline, level and age could inform the development of future
309 training to minimise the risk of asymmetry and injury.

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