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Link budget analysis for satellite-based narrowband IoT systems^{*}

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Abstract. Low-power wide-area networks (LPWAN) have been rapidly gaining ground in recent years, triggered by their capability to satisfy important market segments. Narrowband Internet of Things (NB-IoT) is one of the most appealing LPWAN technologies, foreseen to play an important role in the fifth generation mobile communication (5G) network. In order to guarantee a worldwide coverage to the low-cost devices distributed all over the globe, satellite connectivity is a key asset due to their large footprint on Earth, especially in remote areas where the investment towards a terrestrial infrastructure is not justified. However, such terrestrial networks aiming at deploying satellite systems either as an integrated part of it or a stand-alone solution, would require a careful and detailed analysis covering several aspects and all the layers of communication. In this paper, we demonstrate the link budgets of a satellite-based NB-IoT system under different parameters, providing some simulation results as a benchmark for further study. In addition, we analyze and discuss the impact that different power budgets would have in important features of the NB-IoT network, such as delay, capacity and device battery life.

Keywords: 5G · NB-IoT · Link Budget Analysis · Satellite Communication · Spectral Efficiency

1 Introduction

In the last years, the Internet of things (IoT) has drawn a great deal of research attention, both from academia and industry, due to the impact it is expected to have in the global economic processes and the quality of everyday life [9, 18, 22]. The number of IoT devices generating and exchanging information with each-other is estimated to be three times as high as the global population by 2020 [12]. In order to satisfy this tremendous market demand, the 3rd Generation Partnership Project (3GPP) introduced the narrowband Internet of things

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(NB-IoT) standard [5], which is foreseen to play an important role in the fifth generation mobile communication (5G) network. A crucial key performance indicator (KPI) of this technology is to guarantee a worldwide connectivity to the low-cost IoT devices distributed all over the globe. However, in many cases the terrestrial infrastructure does not exist and it has a very high deployment cost. For this reason, the satellite connectivity is considered to be a very attractive solution in such areas in order to complement and extend the coverage of the terrestrial network. Several contributions have studied such systems, showing the fundamental features and the role of the satellites in the 5G IoT communications [11] [10][21]. Moreover, in our previous works we studied an NB-IoT over a LEO satellite system, providing a solution to reduce the high differential Doppler shift [15][16].

Together with other technical challenges and considerations, link budget is an important aspect worth analyzing for satellite-based NB-IoT networks, motivated by the following reasons. On the one hand, even though the link budget is already well-studied for terrestrial NB-IoT through several contributions [17][19], a new analysis is needed since the constraints in a satellite system are different with respect to a terrestrial one. More specifically, because of the presence of the satellite, a power constraint will be present both in the downlink (forward link) and uplink (return link) case. Indeed, one of the main challenges in a satellite communication system is where to get the power from, which in a terrestrial system this is not an issue. Solar power is the most likely source of energy to be used in space, imposing a significant limitation in closing the communication link, due to the difficulty of generating large power quantities onboard the satellite. On the other hand, 3GPP recently completed a study item in 5G air interface to support non-terrestrial networks (NTN)[4], where the link budgets for different satellite altitudes and frequency bands were shown. However a specific analysis targeting only the NB-IoT is necessary, due to the particular technical peculiarities of this technology. In the literature, some research works already exist, studying the coverage extension of NB-IoT through LEO satellite [14][13]. Nevertheless, due to the recent development of the NB-IoT standard with improved capabilities and the new 3GPP agreements for the satellite link design in NTN 5G air interface, an updated and more detailed link budget analysis is of utmost importance.

As a result, in this paper, we analyze the link budget for a satellite-based NB-IoT network, having as a baseline the latest 3GPP specifications regarding the system level parameters. Additionally, we provide some simulation results as a benchmark for further study and discuss the impact that different power budget levels at the receiver would have in the overall system design.

The remainder of the paper is structured as follows. In the next section, we give a brief overview of the NB-IoT technology. Section 3 is devoted to the link budget analysis. Section 4 presents the impact of the link budget in the overall system design and the concluding remarks are given in Section 5.

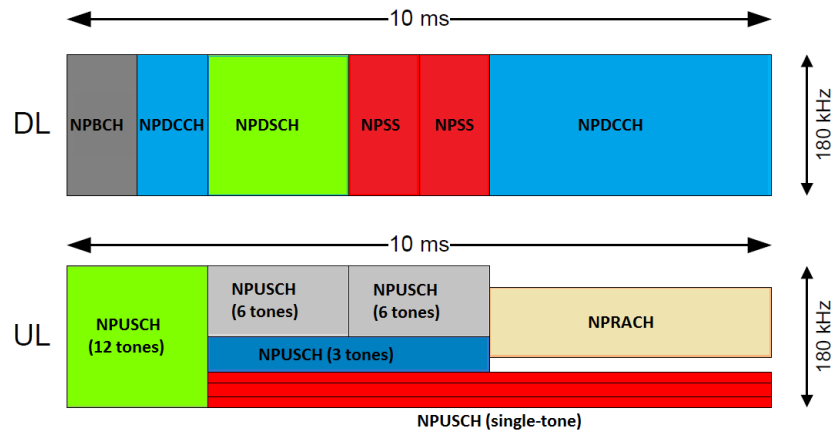


Fig. 1. NB-IoT Radio Frame Design [20]

2 NB-IoT overview

The aim of this section is to recall only some important information related to the NB-IoT technology, which will be useful for a better understanding of the other sections of the paper.

2.1 General Features

The following features have been introduced in LTE Release 13 for NB-IoT [8]: a) Support of massive number of low-throughput devices (around 52547) within a cell coverage; b) Ultra-low complexity and low-cost devices; c) Improved power consumption efficiency to allow battery life of more than ten years. The NB-IoT system requires a bandwidth of 180 kHz in order to operate. This also corresponds to a physical resource block (PRB) in LTE, since they are designed to co-exist. Based on where the NB-IoT carrier is placed within the LTE carrier there can be identified three operational modes: in-band, guard-band and stand-alone. The downlink transmission uses the conventional Orthogonal Frequency Division Multiple Access (OFDMA) with 15 kHz subcarrier spacing (SCS), whereas the uplink transmission uses the Single Carrier Frequency Division Multiple Access (SC-FDMA) with 3,75 SCS or 15 kHz SCS. For the uplink, both single-tone (ST) and multi-tone (MT) transmissions (i.e., 3, 6, and 12 subcarriers) are supported.

2.2 PHY channels and signals

There are three downlink physical channels in NB-IoT. The narrowband physical broadcast channel (NPBCH) sends the information related to the cell and network configuration. The narrowband physical downlink control channel (NPDCCH) sends all the control signals regarding important procedures such as paging, random access, and data transmission. The narrowband physical downlink

shared channel (NPDSCH) is responsible for sending the data and control information, acknowledgment (ACK) or negative ACK (NACK) of a Hybrid Automatic Repeat reQuest (HARQ) process, from the base station to the users.

Only two channels exist in the uplink. The narrowband physical uplink shared channel (NPUSCH) is used for sending user data transmission from the users to the base station or control information (ACK/NACK). Narrowband physical random access channel (NPRACH) is used by the users to access the network and synchronize for data transmission.

Figure 1 demonstrates how these channels can be scheduled in downlink and uplink in the time-frequency resources of the NB-IoT radio frame. It can be noted that in the uplink, since there exist different transmission modes, using less subcarriers in the frequency domain would result in a longer channel in the time domain. Besides, some resources in the uplink frame should be reserved for the NPRACH in order to allow other users to access the network and synchronize for uplink data transmission. Contrarily, in the downlink transmission, the channels are multiplexed in time, since one channel occupies all the available frequency resources of 180 kHz. An important aspect worth mentioning here is that the transmission can be configured with different modulation and coding schemes (MCS), causing this way different performance gain, device energy consumption, capacity and coverage levels. Last but not least, an important feature of NB-IoT is the use of the repetition code. This means that each channel can be repeated multiple times in time in order to improve the signal to noise ratio (SNR), thus extending the coverage. Together with the MCS selection, the number of repetition used would determine the overall system performance.

3 Satellite Link Budget Formula

In a telecommunication system, the link budget analysis is done to relate the power at the receiver with regard to the power at the transmitter, accounting for signal gains and losses in the propagation medium. By neglecting the interference, the link budget between a transmitter and a receiver in free space is given by the carrier power over noise density (C/N) as a function of other system and link parameters. The general formula of the link budget, accounting for all the gains and losses in the propagation medium from transmitter to receiver and neglecting the interference, is given as follows [3]:

$$\frac{C}{N}(dB) = EIRP(dBW) + \frac{G_r}{T}(dB/K) - FSPL(dB) - A_{loss}(dB) - Ad_{loss}(dB) - K\left(\frac{dBW/K}{Hz}\right) - 10 \cdot \log_{10}(BW) \quad (1)$$

Let us now clarify each of the above parameters one by one.

- *EIRP* is the effective isotropic radiated power of the transmitting antenna and can be calculated as:

$$EIRP = 10 \cdot \log_{10}(G_T P_T) \quad (2)$$

where P_T is the transmitting antenna power and G_T is the gain.

- G_r/T is the figure of merit at the receiver having antenna gain G_r and equivalent system temperature T derived by the following:

$$\frac{G_r}{T} = G_r(dBi) - NF(dB) - 10 \cdot \log_{10}(T_o + (T_a - T_o) \cdot 10^{-0.1 \cdot NF}) \quad (3)$$

where G_r is the gain of the receiving antenna, NF represents the noise figure, T_o is the ambient temperature and T_a is the antenna temperature.

- $FSPL$ is the free space path loss given by:

$$FSPL = 10 \cdot \log_{10}\left(\frac{4\pi D}{c/f}\right)^2 \quad (4)$$

with carrier frequency f , speed of light c and slant range D expressed as:

$$D = -R_E \cdot \sin(\alpha) + \sqrt{R_E^2 \cdot \sin(\alpha)^2 + h_s + 2 \cdot R_E \cdot h_s} \quad (5)$$

The slant range is the distance from the user device to the satellite and it can be noted from the formula that it is determined by the radius of Earth R_E , satellite elevation angle α and satellite altitude h_s .

- A_{loss} and Ad_{loss} represent the atmospheric losses due to gases, rain fades etc., and additional losses due to feeder link.
- BW is the communication bandwidth and K is the Boltzman constant.

3.1 Simulation Parameters and Results

The goal of radio link design is to guarantee a reliable communication between a transmitter and receiver. In the context of NB-IoT systems, link reliability is evaluated through the block error rate (BLER) associated with the specific MCS, which depends on the available SNR. By utilizing equation 1, it is possible to calculate the SNR (or written as C/N) at the receiver under specific system parameters, both in the downlink and uplink transmission. The user terminal parameters are the ones defined in the NB-IoT standard for 3GPP Class 3 devices [1], whereas the link parameters can be taken from the 3GPP specification for 5G over NTN [3], summarized in Table 1. Moreover, we leave on purpose undefined the satellite parameters (EIRP in downlink and G/T in uplink) because these are the ones that should be carefully designed before launching new satellites to support NB-IoT services or check whether the existing ones meet the power budget requirements. Changing these satellite parameters would directly affect the received SNR.

We use the link level performance results, shown in Appendix, to determine the required SNR values corresponding to a 10% BLER at the first HARQ transmission. Different MCS levels in NB-IoT can achieve different spectral efficiency

Table 1. Link Budget Parameters [3].

Link Parameters	Downlink	Uplink
Carrier Frequency (GHz)	2	2
Bandwidth (kHz)	180	3.75, 15, 45, 90, 180
Subcarrier Spacing (kHz)	15	3.75, 15
Satellite Altitude for LEO (km)	600	600
Satellite Altitude for GEO (km)	35786	35786
Minimum Elevation Angle (degree)	30	30
Atmospheric Loss LEO and GEO (dB)	0.5	0.5
Additional Loss LEO and GEO (dB)	1	1
Channel model	AWGN	AWGN
Terminal Parameters		
Terminal Type	3GPP Class 3	3GPP Class 3
Antenna Type	Omnidirectional	Omnidirectional
Receiver Antenna Gain (dBi)	0	-
Terminal Noise Figure (dB)	9	-
Terminal Ambient Temperature (K)	290	-
Terminal Antenna Temperature (K)	290	-
Terminal Transmit Power (dBm)	-	23
Terminal Transmit Antenna gain (dBi)	-	0

as shown in Table 3. Therefore, combining these results with the link budget formula in equation 1, it is possible to obtain the spectral efficiency as a function of satellite EIRP for downlink case and G/T for uplink case, as illustrated in Figure 2, 3 and 4. We have taken into account only Low Earth Orbit (LEO) and Geostationary (GEO) satellite, with the corresponding altitudes given in the Table 1, because these are the ones considered in the latest 3GPP studies.

It can be noted that, in the downlink case, in order to enable an NB-IoT system capable of achieving the highest possible spectral efficiency, it is needed a minimum EIRP of 25 dBW for a LEO satellite at 600 km altitude and 57 dBW for a GEO satellite at 35786 km altitude. Having a higher EIRP at the satellite does not give any further gain since these are the NB-IoT system limitations. On the other hand, in case these EIRP values are not guaranteed, still it is possible to close the link, but with lower spectral efficiency.

In the uplink transmission, the analysis is a bit more complex due to the existence of several transmission modes. For a 12-carriers transmission mode it is required a minimum G/T of -2 dB/K for a LEO satellite and 28 dB/K for a GEO satellite. In case of lower values of G/T, the link can still be closed by reaching the peak spectral efficiency, but by using the other transmission modes (e.g. 1,3 or 6 subcarriers) for the SC-FDMA signal. However, even though the peak spectral efficiency is guaranteed by means of different transmission modes, this will have an impact on the overall system design, as we will analyze in the following section.

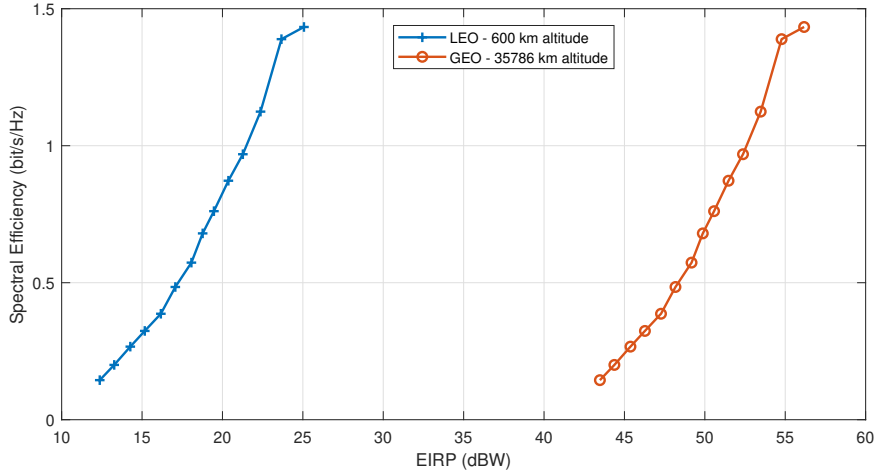


Fig. 2. Link budget result for downlink transmission.

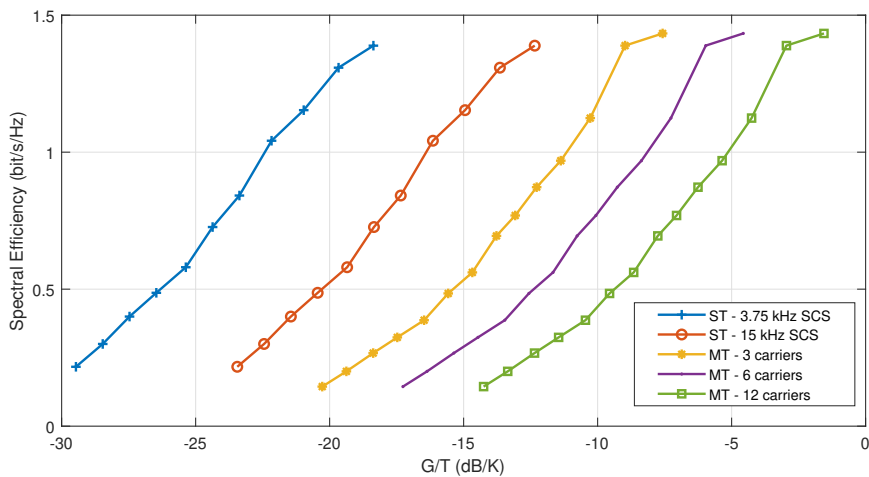


Fig. 3. Link budget result for uplink transmission, LEO satellite.

4 Link Budget Impact in System Design

Choosing one transmission mode or another, or sacrificing the spectral efficiency for the sake of closing the communication link, will directly impact the scheduling of the uplink and downlink channels. Consequently, the whole NB-IoT system will be affected, including important aspects such as delay, capacity and energy consumption. In this section, we will treat each of them separately, outlining

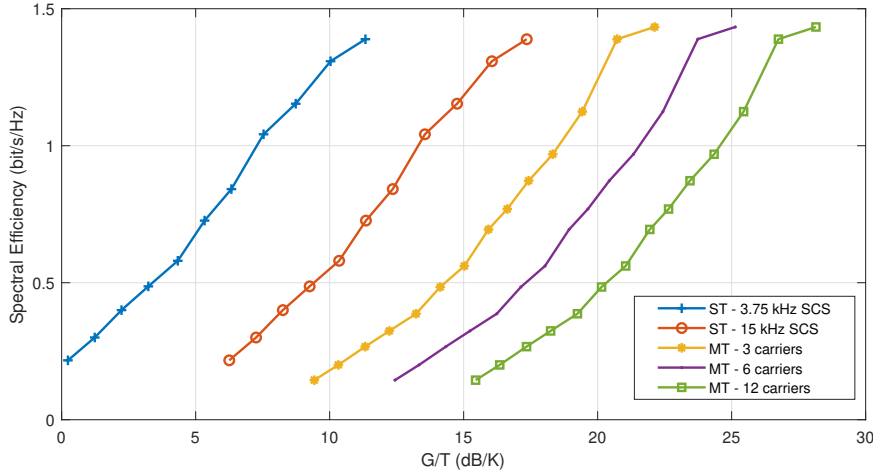


Fig. 4. Link budget result for uplink transmission, GEO satellite.

some system design trade-offs that should be considered when designing a non-terrestrial NB-IoT network.

4.1 Delay

In the downlink case, a lower spectral efficiency means that less useful data can be sent through NPDCCH, which is responsible for user scheduling. As a consequence, since we can send less useful information through this channel, the users have to wait for a longer time until they get all the necessary information to schedule their uplink transmission. As a matter of fact, this would cause a delay in the overall system. In the uplink transmission, being constraint of using less tones due to a lower G/T, would result in longer channels in time. Thus, the base station is forced to wait more time to receive a certain data packet by the user device.

4.2 Capacity

The capacity of the NB-IoT system has to do with the number of user devices that can access the network and be satisfied with service. The more frequent the NPRACH is sent in the uplink frame, the larger the probability that more devices can access the network. However, if we are constraint to use less resources for transmission in the frequency domain (less subcarriers) because of a low satellite G/T, less frequent the NPRACH can be sent since the radio frame would be occupied by the long NPUSCH in time of other users. This would significantly limit the number of devices that can access the network.

4.3 Energy Consumption

As we already emphasized in the introduction, the extended battery life is a very important feature of the NB-IoT technology. For this reason, the more often the devices fall into deep sleep mode, the more battery can be saved. However, this would require very short transmissions in time, which in our NB-IoT over satellite scenario can be impossible due to satellite power limitations. Again like already emphasized, closing the link by using less frequency resources or a lower MCS (lower spectral efficiency) is possible in such situations. However, this would translate in a longer transmission time interval (TTI) and less frequent deep sleep modes by the user device, thus more battery will be consumed.

4.4 Other Considerations

It is worth reminding here that the above-shown link budget results are for the BLER target of 10%. By using the HARQ operation the link reliability would be improved because the same packet would be retransmitted if a NACK is received by the user or base station. Due to the presence of the satellite channel, the HARQ operation would cause a significant delay, which is much larger than the one experienced in a terrestrial network. Therefore, it has recently been discussed in the 3GPP the idea of deactivating the HARQ operation for NTN [2]. Doing this would require a BLER target adjustment (e.g. 1% BLER), thus more EIRP and G/T at the satellite for being able to close the communication link. Again, all the above-mentioned trade-offs should be considered in the system design.

5 Conclusions

In this paper, we studied the radio link budgets in order to support a reliable communication of IoT user devices with the corresponding base station in an NB-IoT over satellite system. The link and device parameters were chosen in accordance with the latest 3GPP specifications, while the satellite parameters were left open for design. The achievable spectral efficiency as a function of satellite antenna EIRP and G/T were shown through numerical simulations for both, LEO and GEO satellite, and under different transmission modes. It was shown that, in the downlink case, to enable an NB-IoT system capable of achieving the highest possible spectral efficiency, it is needed a minimum EIRP of 25 dBW for a LEO satellite at 600 km altitude and 57 dBW for a GEO satellite at 35786 km altitude. In the uplink, for a 12-carrier transmission mode it is required a minimum G/T of -2 dB/K for a LEO satellite and 28 dB/K for a GEO satellite. In case of lower values of G/T, the link can still be closed by using the other transmission modes (e.g. 1,3 or 6 subcarriers) for the SC-FDMA signal or sacrificing in spectral efficiency. Last but not least, the impact that different power budget would have in important features of NB-IoT technology, such as delay, capacity and power consumption, was discussed and analyzed.

Appendix: NB-IoT PHY layer simulation

To derive the required SNR value for each MCS level assuring BLER target of 10^{-1} , the NB-IoT PHY layer is implemented in Matlab and the performance in terms of BLER vs SNR is evaluated through numerical simulations. The baseband block diagram of the simulator is given in Figure 5 and the simulation parameters are summarized in Table 2. Overall, the following steps are performed for the BLER, SNR and spectral efficiency (SE) calculations:

- The bits are transmitted in block according to the transmission block size (TBS) given in the standard [7]. Changing the TBS would change the transmission code rate, hence enabling different performance gains for different MCS levels.
- The OFDM/SC-FDM baseband waveform generation follow the steps determined in the standard [6]. Please note that the N-point DFT/IDFT is applied only for SC-FDM waveform.
- The channel used is an additive white Gaussian noise (AWGN) channel.
- The receiver operations are performed and the erroneous TBS are counted. We run the simulations in order to guarantee at least 100 erroneous TBS for each SNR value.
- Obtaining the BLER-SNR curves, we find the minimum value of SNR that guarantees the BLER target of 10^{-1} for each MCS level. We repeat the simulation for downlink and uplink under different transmission modes.
- To calculate the spectral efficiency for each MCS level and transmission mode, the following formula is used:

$$SE = \frac{TBS/TTI}{BW} (bit/s/Hz) \quad (6)$$

where TTI is the transmission time interval corresponding to a certain TBS in each MCS level. Please note that in each MCS level we choose the TBS that gives the maximum throughput.

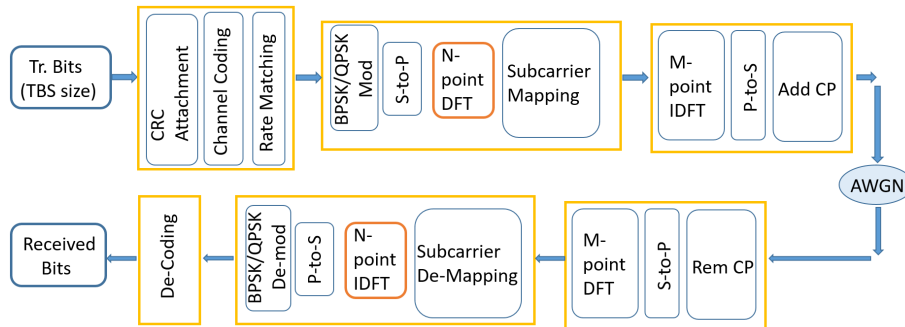


Fig. 5. Baseband Simulator Block Diagram

- Please note that the performance of downlink and multi-tone uplink are almost the same (only different for some MCS). This is because table 16.5.1.2-1 and 16.5.1.2-1 from [7], containing the TBS value for each MCS, are almost identical.

Table 2. Simulation Parameters.

Parameter	Uplink MT	Uplink ST	Downlink
N FFT	128	128	128
Bandwidth	(45, 90, 180) kHz	(3.75, 15) kHz	180 kHz
SCS (kHz)	15 kHz	(3.75, 15) kHz	15 kHz
Modulation Format	SC-FDM	SC-FDM	OFDM
Modulation Order	QPSK	BPSK, QPSK	QPSK
MCS Selection	Table 16.5.1.2-2[7]	Table 16.5.1.2-2[7]	Table 16.4.1.5.1-1[7]
Coding Scheme	Turbo Code	Turbo Code	Turbo Code
CRC Bits	24	24	24
MCS range	0-13	0-10	0-13
Channel	AWGN	AWGN	AWGN

Table 3. Simulation Results.

MCS	Uplink MT		Uplink ST		Downlink	
	$SNR(dB)$	SE (bit/s/Hz)	$SNR(dB)$	SE (bit/s/Hz)	$SNR(dB)$	SE (bit/s/Hz)
0	-5.8	0.1444	-4.2	0.2167	-5.8	0.1444
1	-4.9	0.2	-3.2	0.3	-4.9	0.2
2	-3.9	0.2667	-2.2	0.4	-3.9	0.2667
3	-3	0.324	-1.2	0.4867	-3	0.324
4	-2	0.3867	-0.1	0.58	-2	0.3867
5	-1.1	0.4844	0.9	0.7267	-1.1	0.4844
6	-0.2	0.5611	1.9	0.8417	-0.1	0.5733
7	0.7	0.6944	3.1	1.0417	0.6	0.68
8	1.4	0.7689	4.3	1.1533	1.3	0.7611
9	2.2	0.8722	5.6	1.3083	2.2	0.8722
10	3.1	0.9689	6.9	1.3887	3.1	0.9689
11	4.2	1.1244			4.2	1.1244
12	5.5	1.3889			5.5	1.3889
13	6.9	1.4333			6.9	1.4333

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