

1 **Convergent evolution of cytochrome P450s underlies independent origins of keto-** 2 **carotenoid pigmentation in animals**

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Abstract

Keto-carotenoids contribute to many important traits in animals, including vision and coloration. In a great number of animal species, keto-carotenoids are endogenously produced from carotenoids by carotenoid ketolases. Despite the ubiquity and functional importance of keto-carotenoids in animals, the underlying genetic architectures of their production have remained enigmatic. The body and eye colorations of spider mites (Arthropoda: Chelicerata) are determined by β -carotene and keto-carotenoid derivatives. Here, we focus on a carotenoid pigment mutant of the spider mite *Tetranychus kanzawai* that, as shown by chromatography, lost the ability to produce keto-carotenoids. We employed bulked segregant analysis and linked the causal locus to a single narrow genomic interval. The causal mutation was fine-mapped to a minimal candidate region that held only one complete gene, the cytochrome P450 monooxygenase *CYP384A1*, of the CYP3 clan. Using a number of genomic approaches, we revealed that an inactivating deletion in the fourth exon of *CYP384A1* caused the aberrant pigmentation. Phylogenetic analysis indicated that *CYP384A1* is orthologous across mite species of the ancient Trombidiformes order where carotenoids typify eye and body coloration, suggesting a deeply conserved function of *CYP384A1* as a carotenoid ketolase. Previously, *CYP2J19*, a cytochrome P450 of the CYP2 clan, has been identified as a carotenoid ketolase in birds and turtles. Our study shows that selection for endogenous production of keto-carotenoids led to convergent evolution whereby cytochrome P450s were independently co-opted in vertebrate and invertebrate animal lineages.

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Keywords

carotenoid ketolase, convergent evolution, keto-carotenoids, lemon, *CYP384A1*

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Running head

Carotenoid ketolase in spider mites

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71 **1. Introduction**

72 Carotenoids are terpenoid pigments that are responsible for many of the bright yellow,
73 orange and red colors observed in animals. These include the colors displayed in lizard throats,
74 avian plumage, as well as in arthropod bodies and eggs [1,2]. In addition to coloration, carotenoids
75 also contribute to multiple other traits in animals, such as the visual system [3–8]. For instance,
76 in birds, carotenoids are deposited in retinal oil droplets where they function as long-pass cut-off
77 filters to enhance color discrimination [8]. Although carotenoid pigments are widespread in the
78 natural world, their biosynthesis occurs primarily in plants, fungi, bacteria and archaea. While
79 most animals lack the ability to biosynthesize carotenoids, many obtain, deposit, and modify the
80 carotenoids they encounter in their diets. Animal carotenoid ketolases add a double-bonded
81 oxygen molecule at the C4 and/or C4' position of the terminal rings of carotenoid structures,
82 thereby converting more yellow-colored carotenoids like β -carotene to more orange- and red-
83 colored keto-carotenoid derivatives [9]. The identification of the molecular mechanisms that
84 underpin animal carotenoid metabolism are beginning to inform the evolutionary history and
85 adaptive function of carotenoid-based traits [10]. For instance, recent work identified the
86 cytochrome P450 *CYP2J19* as the carotenoid ketolase responsible for keto-carotenoid production
87 in both the integument and retinal oil droplets of birds where keto-carotenoids fulfill different
88 biological roles [11,12].

89 Studies on the pigmentation of spider mites (Chelicerata: Trombidiformes), started as
90 early as 1914, revealed that the orange-red body and red eye colorations of mites within the
91 *Tetranychus* genus depend solely on carotenoid pigments [13–18]. A largely conserved
92 carotenoid metabolic pathway that produces red keto-carotenoids from β -carotene has been
93 proposed for tetranychids (figure 1a) [13,15–18]. Here, a striking body color change to deep
94 orange-red is associated with diapause induction in adult females, and studies show that this
95 change is the result of differential accumulation of endogenously produced keto-carotenoids
96 (figure 1) [15,16]. Several spontaneous pigment mutants have been discovered in tetranychid
97 populations and their carotenoid profiles have been characterized. Due to their indistinguishable
98 carotenoid compositions, mutant phenotypes have been given identical descriptive names in
99 different species. Two mutant phenotypes, albino and lemon, completely lack keto-carotenoid
100 production [4,16,17,19]. Both albino and lemon mutants lack eye pigmentation, but whereas
101 albino mites lack body pigmentation, the bodies of lemon mites display yellow coloration that
102 markedly intensifies in diapause (figure 1) [4].

103 Genomes from several arthropod lineages, including spider mites and aphids (Hexapoda:
104 Hemiptera), harbor horizontally transferred genes of fungal origin that code for carotenoid
105 biosynthetic enzymes [4,20,21]. The discovery of these fungal genes embedded within arthropod
106 genomes challenged the assumption that all animals lack the ability to biosynthesize carotenoids.
107 Recently, inactivation of one of the horizontally acquired carotenoid biosynthetic genes, a
108 phytoene desaturase, was shown to underlie albinism in *Tetranychus urticae* [4]. This finding,
109 along with work on aphids [21], has revealed that the horizontally transferred biosynthetic genes
110 remained active. Further, Bryon *et al.* [4] concluded that the carotenoid metabolic pathway of
111 spider mites relies solely (or nearly so) on endogenously produced β -carotene.

112 Despite the recent study linking phytoene desaturase activity to endogenous β -carotene
113 synthesis in spider mites, it has remained elusive how the orange and red keto-carotenoids that
114 typify the body and eye colors of spider mites and related trombidiform mites are produced. In the
115 early 1970s, Veerman suggested that lemon mutations disrupt carotenoid ketolase activity,
116 inhibiting the formation of the keto-carotenoid echinenone and its downstream derivatives from β -
117 carotene [16,17] (figure 1a). The lemon mutants studied by Veerman and co-authors no longer
118 exist [3,16,17]; however, we recently recovered a new lemon pigment mutant in the spider mite
119 *Tetranychus kanzawai*. Taking advantage of the chromosome-level genome assembly of the
120 closely related species *T. urticae* [22], we performed bulked segregant analysis (BSA) genetic
121 mapping to identify the locus underlying the lemon phenotype. As revealed by fine-mapping, the
122 lemon phenotype resulted from an inactivating deletion in the fourth exon of a cytochrome P450,
123 *CYP384A1*. *CYP384A1* belongs to the CYP3 clan, and was conserved among sequenced
124 genomes within the ancient Trombidiformes mite order. Our study shows that the rich orange and
125 red colorations of many vertebrate and invertebrate animals have arisen by the convergent
126 evolution of cytochrome P450s.

127 **2. Materials and methods**

128 **(a) Mite strains**

129 The lemon mite strain, hereafter Jp-lemon, arose as a spontaneous mutant in a population
130 of *T. kanzawai* collected from Japanese bindweed (*Calystegia japonica* Choisy) in Kyoto, Japan
131 (hereafter Jp-WT). A second wild-type *T. kanzawai* population, hereafter Jp2-WT, was collected
132 from Muskmelon (*Cucumis melo*) in Iwata, Japan. An inbred line from the Jp-lemon strain was
133 generated by six sequential rounds of mother-son matings after Bryon *et al.* [4], and is hereafter
134 referred to as Jp-inbred-lemon. Mites were reared on potted plants or detached leaves of

135 *Phaseolus vulgaris* L. cv 'Speedy'. Unless otherwise stated, mite cultures were maintained at
136 26°C, 60% RH and a 16:8 light:dark (L:D) photoperiod.

137 **(b) Mode of inheritance**

138 The mode of inheritance of the Jp-lemon pigment phenotype was determined by
139 performing reciprocal crosses with Jp-WT and Jp2-WT. In each cross, 20 virgin females were
140 placed with 30 males on a detached bean leaf and allowed to mate. The pigment phenotype was
141 scored in F1 females to identify a recessive or dominant mode of inheritance. During F1
142 development, 20 female teleochrysalids (nymphal females in their final quiescent stage) were
143 selected and placed on a separate bean leaf. Upon eclosion, the unfertilized females were
144 transferred to new detached bean leaves on a daily basis throughout their oviposition period. Due
145 to the arrhenotokous mode of reproduction of *T. kanzawai*, F1 virgin females only produce haploid
146 F2 males. The mutant and wild-type pigment phenotypes in the F1 and F2 generations were
147 scored based on body and eye color in adult mites. The hypothesis of a monogenic, recessive
148 mode of inheritance was tested with χ^2 goodness-of-fit tests in R (version 3.4.3) [23].

149 **(c) TLC and HPLC analysis of carotenoid profiles**

150 Extraction of bean and mite carotenoid pigments for thin-layer chromatography (TLC)
151 analysis was based on previous work [16–18]. After collection, mite and bean leaf material was
152 immediately transferred to 10 ml and 15 ml of ice-cold acetone, respectively. Mite and bean
153 samples were homogenized using glass pestles. After sedimentation by gravity, the supernatants
154 was transferred twice to a new glass vial. Five ml of hexane was added and the mixture was
155 transferred to a glass separating funnel. Carotenoids were translocated to the hexane phase by
156 washing the hexane-acetone mixture four times with 10 ml of water. Any residual water was
157 carefully removed from the solution using the glass separating funnel. The solution was
158 subsequently evaporated to complete dryness under vacuum at room temperature. Carotenoids
159 were re-suspended in 100 μ l of hexane and spotted on a HPTLC silica 60 plate (EMD Millipore).
160 Mobile phases of 20% and 25% acetone in hexane were run to separate carotenoids with high
161 and low retention values (R_f), respectively.

162 For high-performance liquid chromatography (HPLC) analysis, we followed previous work
163 on carotenoid characterization [15]. Non-diapausing and diapausing adult females were obtained
164 by placing two-day-old eggs under long-day (25°C and 16:8 L:D photoperiod) and short-day (20°C
165 and 9:15 L:D photoperiod) conditions, respectively. To identify and quantify astaxanthin and β -
166 carotene, 30 females of each treatment were collected in three replicates and homogenized in 1

167 ml of acetone. The homogenate was filtrated using a glass syringe with a membrane possessing
168 a pore size of 0.45 μm (Minisart RC4 17822; Sartorius Stedim Biotech GmbH, Goettingen,
169 Germany). The filtrate was dried under a nitrogen gas flow and dissolved in 300 μl of methanol.
170 Five μl of the solution was used for HPLC analysis (supplementary materials and methods).
171 Carotenoids were quantified by monitoring the absorbance at 450 nm. External calibration curves
172 were constructed with authentic standards (astaxanthin: AG Scientific, San Diego, CA; β -
173 carotene: Wako Pure Chemical Industries, Osaka, Japan). Beta-carotene levels were compared
174 by a two-way ANOVA, followed by a Tukey test in R [23].

175 **(d) BSA experimental set-up, genomic sequencing and variant detection**

176 A segregating mite population generated by crossing Jp-inbred-lemon to Jp2-WT was
177 used to genetically map the lemon phenotype (supplementary materials and methods).
178 Approximately 10-12 generations after the initial cross, three replicates of 1100, 900 and 500
179 lemon females were collected, as were 1500 phenotypically wild-type females. For these four
180 populations and the two parents, genomic DNA was prepared (supplementary materials and
181 methods). RNA was extracted from 110 adult females isolated from the segregating population
182 using an RNeasy Minikit (Qiagen) per replicate. Two RNA replicates were collected for wild-type
183 and lemon mites. Illumina libraries for the DNA and RNA samples were prepared and sequenced
184 at the Huntsman Cancer Institute at the University of Utah to generate paired-end genomic DNA
185 reads of 125 bp with library insert sizes of ~ 700 bp, and RNA-seq reads of insert sizes of ~ 335
186 bp. Genomic DNA reads were aligned to the three chromosomes of *T. urticae* [22] using the
187 default settings of BWA (version 0.7.15-r1140) [24]. Alignments were sorted by position using
188 SAMtools 1.3.1 [25]. Duplicate reads were marked using Picard Tools 2.6.0
189 (<https://broadinstitute.github.io/picard/>), and indel realignment was performed using GATK
190 (version 3.6.0-g89b7209) [26] following GATK's best practices recommendations [27,28]. GATK's
191 UnifiedGenotyper was used for joint variant calling across all samples to identify single nucleotide
192 differences and indels. RNA-seq reads were aligned to the *T. urticae* chromosomes using default
193 settings of STAR (version 2.5.3a) [29], and the resulting alignments were indexed by SAMtools
194 1.3.1 [25].

195 **(e) BSA genetic mapping**

196 Prior to BSA mapping, the 2,419,446 nucleotide variable positions predicted between the
197 *T. kanzawai* DNA samples and the *T. urticae* reference sequence by GATK were filtered with
198 quality control settings adapted from GATK Doc # 2806

199 (<https://software.broadinstitute.org/gatk/documentation/article.php?id=2806>) (supplementary
200 materials and methods). From the resulting 1,150,705 nucleotide positions, 196,214 single
201 nucleotide polymorphisms (SNPs) within *T. kanzawai* were identified as fixed for contrasting
202 differences between the two parents, and were retained. The locus responsible for the lemon
203 phenotype was identified by comparing allele frequencies between the three lemon selected
204 samples to the wild-type sample using previously published BSA genetic mapping methods with
205 statistical testing for genotype-phenotype associations by permutation [22]; 75 kb windows with 5
206 kb offsets were used with the false discovery rate (FDR) set to 5%. Genome annotation of the
207 BSA peak was based on Wybouw *et al.* [22].

208 **(f) De novo assemblies**

209 DNA sequence reads were imported into CLC Genomics Workbench 9.0.1
210 (<https://www.qiagenbioinformatics.com/>), trimmed using default settings, assembled into contigs
211 using the default settings of the “De Novo Assembly” tool, and the contigs were aligned to the *T.*
212 *urticae* genome assembly using BLASR (version 1.3.1) [30]. *CYP384A1* transcripts were
213 assembled into contigs using Trinity 2.5.1 [31,32] with default settings and basic trimming by
214 Trimmomatic [33]. Open reading frames in the Trinity-assembled contigs were predicted using
215 TransDecoder v5.0.2. (<https://github.com/TransDecoder/TransDecoder/wiki>) [32].

216 **(g) Fine-mapping the lemon locus**

217 A second segregating population was generated by crossing Jp-inbred-lemon to Jp2-WT
218 (supplementary materials and methods). For genotyping, genomic fragments polymorphic
219 between the two parents in the region of the BSA peak were PCR-amplified and sequenced using
220 429 phenotypically lemon and 50 wild-type single adult females from the segregating population
221 (supplementary materials and methods).

222 **(h) CYP phylogenetic reconstruction**

223 All CYP3 clan members were retrieved from nine arthropod and one tardigrade species
224 with available annotated genome assemblies, and genomes of three mite species of the
225 Acariformes superorder were additionally screened for close homologues to *CYP384A1*.
226 Cytochrome P450s of the CYP2 clan were selected to root the phylogenetic tree (supplementary
227 materials and methods). After filtering (supplementary materials and methods), the sequence set
228 was aligned using the E-INS-i strategy of MAFFT, leaving “gappy” regions, and with 1000 cycles
229 of iterative refinement [34]. Identical amino acid sequences were removed from the final aligned

230 dataset, resulting in a final set of 229 CYP sequences (supplementary table 2). The LG+I+G+F
231 model of protein evolution was used based on the corrected Akaike Information Criterion with
232 PartitionFinderProtein (greedy search algorithm using RAxML and one datablock) [35,36].
233 Maximum-likelihood searches (n=20, using randomized stepwise addition parsimony trees) and
234 bootstrapping (n=1000) were performed using RAxML (version 8.2.10) [36], with the random
235 number seed set at 54321.

236 **3. Results**

237 **(a) The carotenoid profile and mode of inheritance of the lemon phenotype**

238 A spontaneous pigment mutant, Jp-lemon, arose in the *T. kanzawai* Jp-WT population.
239 Specifically, Jp-lemon mites lacked wild-type red pigmentation in the two eye spots, body, and
240 the distal segments of the front legs. Instead, Jp-lemon mites displayed yellow body coloration
241 matching descriptions of the lemon phenotype, including marked intensification in diapausing
242 females (figure 1d,e) [16,17,19,37]. Neither wild-type nor lemon females displaying diapausing
243 coloration (figure 1c,e) laid eggs, the canonical reproductive criterion for diapause. Lemon
244 females entered diapause at an incidence comparable to wild-type females on the same genetic
245 background (supplementary materials and methods, supplementary table 3).

246 Biochemical characterization by TLC in previously identified but now extinct lemon
247 mutants revealed the absence of endogenously produced keto-carotenoids [16,17]. Using the
248 same approach, we compared the carotenoid pigment profiles of wild-type and lemon mites, and
249 their diet (kidney bean), to assess the presence of mite-specific keto-carotenoids. Two mobile
250 phases visibly separated the mite and bean carotenoid pigments on HPTLC silica plates (figure
251 2). As assessed in comparison to earlier TLC studies [16–18], the plant pigments α -carotene,
252 chlorophyll a, chlorophyll b, chlorophyll derivatives, lutein, lutein 5,6-epoxide, violaxanthin and
253 neoxanthin appeared to be present in both wild-type and lemon mite extracts, consistent with the
254 expected ingestion of plant tissue. The profile of wild-type mites revealed the presence of
255 endogenously produced red-colored keto-carotenoids that are likely esterified *in vivo* [16–18]
256 (figure 2, indicated by k). In contrast, TLC analysis showed that lemon mites entirely lacked these
257 keto-carotenoids. To further examine the carotenoid content of the newly isolated lemon mutant,
258 we quantified β -carotene and astaxanthin levels by absorption spectra in an HPLC system using
259 exogenous standards (figure 3, supplementary figure 1). Beta-carotene was present in both lemon
260 and wild-type mites, but was detected in significantly higher concentrations in the former (two-
261 way ANOVA, $F_{1,11}=9.748$, $p=0.0142$) (figure 3a). While astaxanthin accumulated in both feeding

262 and diapausing wild-type mites, no free astaxanthin was detected in lemon mites using non-
263 esterified astaxanthin as the exogenous standard (figure 3b).

264 To establish the mode of inheritance of lemon pigmentation, we performed reciprocal
265 crosses between Jp-lemon and two wild-type populations, Jp-WT and Jp2-WT. All diploid female
266 F1 progeny were phenotypically wild-type, and their haploid F2 sons were wild-type or lemon in
267 phenotype in an ~1:1 ratio (table 1), establishing a monogenic, recessive genetic basis as
268 reported previously for lemon mutants in tetranychids [19,38].

269 **(b) Localization of the lemon mutation**

270 To identify the locus underlying lemon pigmentation, we crossed inbred, diploid lemon
271 females to a single, haploid wild-type male of the non-related Jp2-WT population. After multiple
272 generations of sib-mating, we performed high-throughput DNA sequencing of three replicates of
273 lemon selected and one replicate of wild-type selected offspring from the resultant bulk
274 population. Consistent with monogenic recessive inheritance, BSA genetic mapping revealed a
275 single genomic region underlying the lemon phenotype (figure 4, supplementary figure 2). Using
276 the wild-type selected offspring pool as reference, the average allele frequency of the three lemon
277 selected offspring pools peaked at position 14,287,500 bp on chromosome 1 (figure 4).

278 The region surrounding this peak harbored a small number of genes, of which we identified
279 the cytochrome P450 gene *CYP384A1* (*tetur38g00650* in the *T. urticae* annotation) as a likely
280 candidate as cytochrome P450s have been implicated in keto-carotenoid production in other taxa
281 [11,12,39–41]. To retain or exclude *CYP384A1* as the gene responsible for keto-carotenoid
282 synthesis, we performed fine-mapping with PCR-based markers using a population that
283 segregated for the lemon mutation for ~10-12 generations. By genotyping with a set of markers
284 flanking the peak *BSA/CYP384A1* region, followed by iterative genotyping of informative
285 recombinants, we established a minimal candidate region for the lemon phenotype of only 8.96
286 kb. This interval harbored the entire *CYP384A1* gene, as well as a 3' end fragment of a
287 neighboring gene (*tetur38g00660* in *T. urticae*, which encodes a protein with an Immunoglobulin-
288 like domain (IPR007110)) (figure 4b, supplementary figure 3, and supplementary table 4).

289 **(c) The lemon mutation and its impact on *CYP384A1***

290 Coverage of Illumina read alignments from the segregating populations, as well as that of
291 the parents, to the *T. urticae* genome revealed a likely structural variant in *T. kanzawai* within the
292 minimal candidate region that was specific to the lemon parent, and the three lemon selected
293 populations (lack of read coverage at ~14.2788 Mb, black arrow in figure 4c). To investigate

294 further, we generated *de novo* assemblies of the parents and segregating populations. In
295 assemblies of the three lemon selected populations (contigs 3098, 441 and 996 from assembly
296 lemonBSA1, lemonBSA2 and lemonBSA3, respectively), as well as parental Jp-inbred-lemon
297 (contig 2665), a 246 bp deletion coupled with a 7 bp insertion (sequence CCTACCT) was present
298 as compared to the *T. urticae* reference sequence and contig 1805 from the parental Jp2-WT
299 strain. Cloning confirmed that the indel was located within the coding sequence of *T. kanzawai*
300 *CYP384A1*, which was intact in wild-type *T. kanzawai*. The wild-type selected population used for
301 BSA mapping is expected to segregate for the recessive lemon mutation, and two contigs were
302 assembled from this population, one with the deletion and one without (contigs 487 and 21233,
303 respectively). RNA-seq alignments, as well as localized *de novo* transcriptome assemblies for
304 *CYP384A1*, revealed that *CYP384A1* was expressed in both lemon and wild-type mites, and
305 confirmed that the structural variant was unique to the former (supplementary figure 4). With a
306 PCR-based marker, we also found that the deletion segregated perfectly with the lemon
307 phenotype in the individuals used for fine-mapping (supplementary table 4). Apart from the 246/7
308 bp deletion/insertion, the genome and transcriptome assemblies revealed no other large structural
309 variants, and no other fixed coding changes were found between the lemon and wild-type mites
310 within the minimal 8.96 kb candidate region.

311 The 246/7 bp deletion/insertion was located internal to exon 4 of *CYP384A1*, and
312 introduced a frameshift that results in a premature stop codon before the splice site at the 3' end
313 of exon 4. As a result of the deletion and frameshift, only the first 384 of the 497 amino acids
314 present in the wild-type *CYP384A1* of *T. kanzawai* are predicted to be encoded in lemon mites.
315 The Helix K, PERF and heme binding motifs essential for CYP enzymatic activity were absent in
316 the truncated *CYP384A1* protein (figure 4d and supplementary figure 4).

317 **(d) Evolutionary history of CYP384A1**

318 We investigated the evolutionary origin of *CYP384A1* by a maximum-likelihood
319 phylogenetic analysis using the CYP3 clans of 12 arthropods and one tardigrade, rooted by CYPs
320 of the CYP2 clan (a total of 229 CYPs) (figure 5 and supplementary figure 5). *CYP384A1* exhibited
321 a clear 1:1:1:1 orthology across all analyzed mite species of the Trombidiformes order (*T. urticae*,
322 *Panonychus ulmi*, *Dinotrombium tinctorium*, and *Leptotrombidium deliense*). Three copies were
323 initially identified in the *D. tinctorium* genome assembly, but were finally considered as putative
324 allelic variants (lowest degree of sequence identity was 93.62% and the scaffolds that hold the
325 copies did not code for additional proteins). *CYP383A1*, the closest homologue to *CYP384A1*
326 within *T. urticae* [20], also exhibited a clear 1:1:1:1 orthology across the four trombidiform mites.

327 The CYP383A1 and CYP384A1 groups were clustered with strong bootstrap support and this
328 well-supported clade only included CYP sequences from trombidiform mites (supplementary
329 figure 5).

330 4. Discussion

331 As opposed to pigments like melanin, relatively little is known about the transport,
332 modification and deposition of the diverse set of carotenoid pigments in animals [10]. Here, we
333 identified a cytochrome P450 of the CYP3 clan, *CYP384A1*, as a likely carotenoid ketolase by
334 showing that an inactivating deletion is strictly associated with the lemon pigment phenotype that
335 lacks keto-carotenoids. Recent genomic research on zebra finches and canaries implicated
336 CYP2J19, a cytochrome P450 of the CYP2 clan, as the main (or only) carotenoid ketolase enzyme
337 in birds that produces keto-carotenoids in the integument and retinal oil droplets [11,12,42].
338 Although the exact enzymatic abilities of CYP2J19 and CYP384A1 remain unknown, it is very
339 likely that these cytochrome P450s not only hydroxylate, but also oxidize carotenoid substrates
340 at the C4 and/or C4' positions of the terminal rings. Carotenoid profiles of the spider mite *T. urticae*
341 and the bird *Cardinalis cardinalis* have been characterized in detail and no hydroxylated
342 intermediates were identified [16,43]. In addition, in the fungus *Xanthophyllomyces dendrorhous*,
343 a single cytochrome P450 is able to produce keto-carotenoids from a carotenoid precursor
344 [39,40]. Our work now provides compelling evidence that birds and spider mites have addressed
345 the biochemical challenge of producing keto-carotenoids by independent, convergent evolution
346 across the CYP2 and CYP3 clans. Our findings add to several other examples of convergent
347 evolution within this diverse multi-gene family, including the biosynthesis of cyanogenic
348 glycosides in insects and plants [44], the production of growth-regulating gibberellins in plants
349 and fungi [45], and syringyl lignin biosynthesis in lycophytes and flowering plants [46]. CYP383A1,
350 the closest homologue to CYP384A1 in the *T. urticae* genome, is a potential candidate for an
351 additional ketolase in spider mites, one that could potentially produce more oxygenated keto-
352 carotenoids, such as astaxanthin, in which keto- and hydroxyl groups are present on both terminal
353 cyclic rings of the β -carotene precursor backbone. It is also possible that CYP384A1, as well as
354 CYP2J19, are multifunctional and able to produce different keto-carotenoids by multistep
355 conversion, as observed in *X. dendrorhous*, where a single cytochrome P450 is strongly
356 implicated in the conversion of β -carotene to astaxanthin [39,40].

357 Phylogenetic analysis suggests that *CYP2J19* arose via gene duplication prior to the
358 turtle-archosaur split and that a homologue has been maintained in turtles but lost in crocodiles
359 [41]. It is also suggested that *CYP2J19* originally played a role in color vision by producing keto-

360 carotenoids in retinal oil droplets and was independently co-opted in certain bird and turtle
361 lineages for a role in integument coloration-based signaling [41,42]. Our phylogenetic
362 reconstruction uncovered that *CYP384A1*, *CYP383A1*, and their orthologues are restricted to
363 mites within the speciose Trombidiformes order, an ancient lineage that originated about 400
364 MYA [47,48]. Consistent with our hypothesis that the orthologous group containing *CYP384A1*
365 has a conserved carotenoid ketolase function, this order holds a high number of species that
366 accumulate β -carotene and keto-carotenoid derivatives [49,50]. In contrast to the great majority
367 of animals, including birds, previous work has strongly indicated that spider mites are able to
368 biosynthesize β -carotene due to the lateral acquisition of fungal carotenoid biosynthetic genes
369 and no longer depend on dietary salvage [4,51]. Interestingly, the horizontal transfer of carotenoid
370 biosynthetic genes into mites occurred early in the evolution of the Trombidiformes order [51].
371 This raises the question of whether these cytochrome P450 enzymes were co-opted for keto-
372 carotenoid production following the lateral acquisition. Although speculative, the horizontal
373 transfer of carotenoid biosynthetic genes and the early evolution of *CYP384A1* may have
374 facilitated the appropriation of keto-carotenoids as the dominant pigments in many trombidiform
375 mites.

376 Body coloration is known to have many adaptive functions in animals, including sexual,
377 social, and interspecific signaling [2,52]. Using water mites and fish predators as a biological
378 system, Kerfoot [53] reasoned that the red-colored, carotenoid-based body coloration serves an
379 aposematic function in trombidiform mites, but more recent studies on the same system have
380 reported contradictory results [54,55]. Carotenoid pigments have been implicated in protection
381 against oxidative stress in various other animals [56], and currently the most popular hypothesis
382 is that the keto-carotenoid accumulation in mite bodies is protective against light-associated
383 oxidative damage in Trombidiformes. In support, Atarashi *et al.* [13] showed that albino
384 *Panonychus citri* mites have a decreased non-enzymatic antioxidant capacity compared to wild-
385 type mites. In addition, relative to non-diapausing *T. urticae*, diapausing *T. urticae* mites, which
386 accumulate higher levels of keto-carotenoids [15,16], exhibit a higher resistance to UV light that
387 induces reactive oxygen species [57]. Together, these studies suggest that carotenoids facilitate
388 spider mite survival during long periods of increased UV exposure, although protection against
389 other inducers of oxidative stress cannot be ruled out. Carotenoid metabolism is also critical for
390 the animal visual system [10]. Visual chromophores, which function in phototransduction, rely on
391 the enzymatic cleavage and modification of carotenoids into apo-carotenoids (vitamin A
392 precursors) and disruption of these carotenoid metabolic pathways impairs vision [6,7]. In *T.*
393 *urticae*, one of the horizontally acquired genes that allow for β -carotene biosynthesis is essential

394 for diapause induction, reflecting the requirement of β -carotene as a precursory compound to
395 perceive the inductive photoperiods [4]. We found that lemon *T. kanzawai* did not exhibit a
396 decreased diapause incidence compared to its wild-type genetic background, supporting the
397 hypothesis that light perception in spider mites depends on β -carotene but not its keto-carotenoid
398 derivatives [3,4,37]. Biochemical and genetic work on previously recovered carotenoid pigment
399 mutants strongly indicates that the spider mite eye spots owe their bright red coloration to an
400 accumulation of esterified astaxanthin [16,17]. Astaxanthin might therefore act as a light filter,
401 possibly protecting underlying photoreceptors from damage by intense light, a role also performed
402 by the chemically unrelated ommochrome and pteridine pigments in the compound eyes of
403 insects like *Drosophila melanogaster* [58,59]. Our findings shed light on the evolutionary history
404 of keto-carotenoid production in trombidiform mites and open up new avenues to understand the
405 potential adaptive value of keto-carotenoid-based traits in these invertebrates.

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425 **Table 1. Lemon pigmentation has a recessive, monogenic mode of inheritance in *T.***
 426 ***kanzawai***

427

Cross (♀ x ♂)	% lemon in F1 ♀ (2n)	F2 ♂ (n)		χ^2	<i>p</i> -value
		wild-type	lemon		
Jp-WT x Jp-lemon	0	175	196	1.1887	0.2756
Jp-lemon x Jp-WT	0	365	372	0.066486	0.7965
Jp2-WT x Jp-lemon	0	198	210	0.35294	0.5525
Jp-lemon x Jp2-WT	0	187	174	0.46814	0.4938

428 The degrees of freedom for the χ^2 -tests were 1. For every cross, at least 70 F1 females were
 429 scored.

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612

613 **Figure legends**

614 **Figure 1. The conserved keto-carotenoid biosynthesis pathway in tetranychid mites and**
 615 **its disruption in lemon mutants.** (a) The proposed pathway for carotenoid biosynthesis in spider
 616 mites [18] adapted to incorporate recent findings (endogenous synthesis of β -carotene by
 617 phytoene desaturase [4]). β -carotene is converted to echinenone, which leads to three major keto-
 618 carotenoids: 3-hydroxyechinenone and phoenicoxanthin (not shown), and astaxanthin [18].
 619 Carotenoids are depicted in their de-esterified forms. (b) Wild-type *T. kanzawai*. (c) Wild-type
 620 diapausing *T. kanzawai*. (d) Lemon *T. kanzawai*. (e) Lemon diapausing *T. kanzawai*. Each panel
 621 depicts an adult female. Arrows highlight the anterior and posterior eye spots, which are red-
 622 colored in wild-type individuals. The dark regions in feeding, non-diapausing mites are gut
 623 contents that are visible through the partially translucent cuticle. Feeding spots are absent (or
 624 nearly so) in diapausing mites that have ceased to actively feed. Scalebars represent 0.1 mm.

625

626 **Figure 2. Lemon *T. kanzawai* lacks endogenously produced keto-carotenoids.** (a) and (b)
 627 show HPTLC plates for plant and mite extracts run with mobile phases of 20% and 25% acetone
 628 in hexane, respectively. Using previously determined R_f values and color profiles [16–18], we
 629 tentatively identified the carotenoid pigments as: **1**: α - and β -carotene, **k**: keto-carotenoid
 630 (esterified *in vivo*), **2**: β -carotene-diepoxide, **3**: unknown epoxide, **4**: chlorophyll a, **5**: chlorophyll
 631 b, **c**: chlorophyll derivatives, **6**: lutein and lutein 5,6-epoxide, **7**: violaxanthin, and **8**: neoxanthin.

632 **Figure 3. Lemon *T. kanzawai* accumulates higher levels of β -carotene.** (a) and (b) show the
 633 levels of β -carotene and astaxanthin, respectively, in wild-type and lemon *T. kanzawai*.
 634 Carotenoid levels were determined by HPLC for both feeding and diapausing adult female mites.
 635 N.D. stands for not detected. Error bars represent the standard errors, with a sample size of three.

636 **Figure 4. Bulked segregant analysis locates the lemon locus and reveals a non-functional**
637 **CYP384A1 as the genetic basis.** (a) Differences in the frequencies of parental Jp-inbred-lemon
638 alleles between each of the three lemon selected and one wild-type offspring pools are plotted in
639 a sliding window analysis. The three *T. urticae* reference chromosomes are shown in alternating
640 white and grey and are ordered by decreasing length. Dashed lines represent the 5% FDR for an
641 association between parental Jp-inbred-lemon allele frequencies and the lemon phenotype. The
642 maximal average allele frequency of the three replicates (i.e., the BSA peak) is located at
643 cumulative genomic position 14,287,500. (b) *CYP384A1* and a 3' end fragment of its neighboring
644 gene reside in the minimal candidate region. Gene models and their genomic position are based
645 on the *T. urticae* genome annotation, with exons and introns depicted as dark and light grey
646 rectangles, respectively. Strands are represented as "+" (forward) and "-" (reverse). Blue triangles
647 delineate the genomic position of the genetic markers used in the fine-mapping approach and the
648 vertical dotted lines demarcate the 8.96 kb minimal candidate region. The green triangle highlights
649 the location of the BSA peak. (c) Read coverage reveals a deletion within the *CYP384A1* coding
650 sequence in the three lemon selected offspring pools and parental Jp-inbred-lemon (black arrow).
651 DNA sequence read coverage depth across the minimal candidate region is shown relative to the
652 chromosome-wide average. (d) The deletion spans 246 bp within the fourth exon of the
653 *CYP384A1* coding sequence concomitant with 7 bp of inserted sequence. The five essential
654 cytochrome P450 domains are plotted above the gene models.

655 **Figure 5. CYP384A1 is orthologous across mite species of the Trombidiformes order.** The
656 maximum-likelihood phylogenetic reconstruction uncovered a 1:1:1:1 orthology of *CYP384A1* for
657 the four trombidiform mite species with available genomic resources (Arthropoda: Chelicerata:
658 Acari: Acariformes: Trombidiformes) (supplementary figure 5). The gene IDs for the identified
659 orthologues are given in red font below the species name. A monophyletic origin for mites
660 (Chelicerata: Acari) remains under debate [70].

661

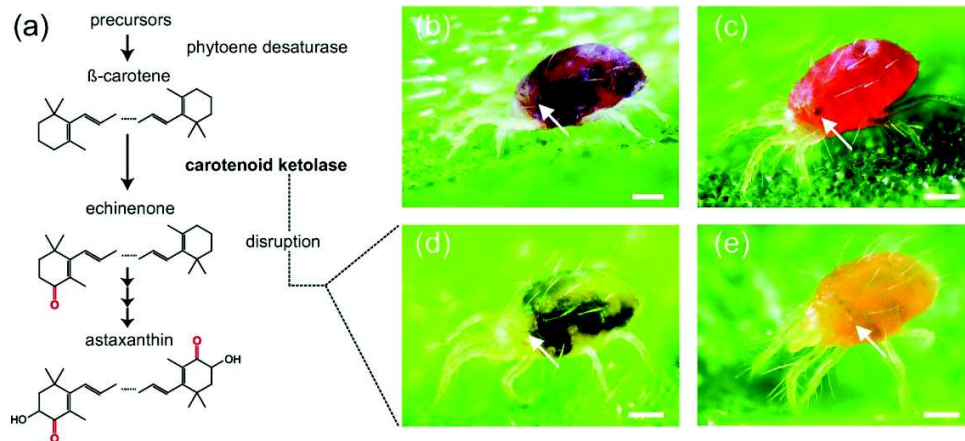


Figure 1. The conserved keto-carotenoid biosynthesis pathway in tetranychid mites and its disruption in lemon mutants. (a) The proposed pathway for carotenoid biosynthesis in spider mites [18] adapted to incorporate recent findings (endogenous synthesis of β -carotene by phytoene desaturase [4]). β -carotene is converted to echinenone, which leads to three major keto-carotenoids: 3-hydroxyechinenone and phoenicoxanthin (not shown), and astaxanthin [18]. Carotenoids are depicted in their de-esterified forms. (b) Wild-type *T. kanzawai*. (c) Wild-type diapausing *T. kanzawai*. (d) Lemon *T. kanzawai*. (e) Lemon diapausing *T. kanzawai*. Each panel depicts an adult female. Arrows highlight the anterior and posterior eye spots, which are red-colored in wild-type individuals. The dark regions in feeding, non-diapausing mites are gut contents that are visible through the partially translucent cuticle. Feeding spots are absent (or nearly so) in diapausing mites that have ceased to actively feed. Scalebars represent 0.1 mm.

138x64mm (300 x 300 DPI)

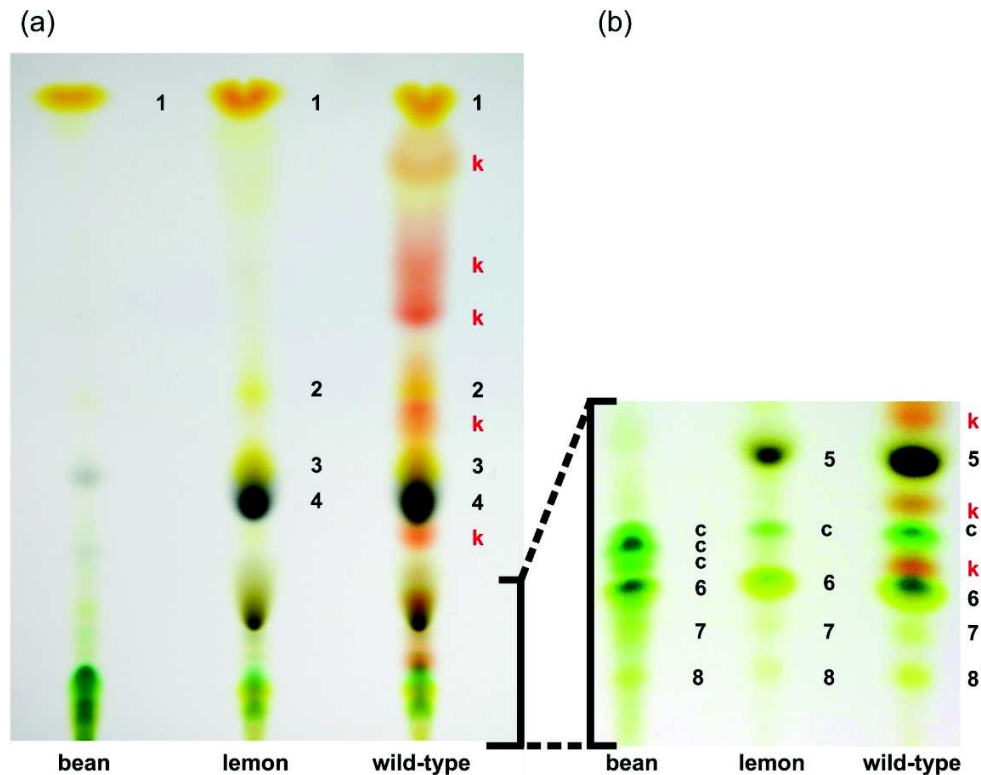


Figure 2. Lemon *T. kanzawai* lacks endogenously produced keto-carotenoids. (a) and (b) show HPTLC plates for plant and mite extracts run with mobile phases of 20% and 25% acetone in hexane, respectively. Using previously determined R_f values and color profiles [16–18], we tentatively identified the carotenoid pigments as: 1: α - and β -carotene, k: keto-carotenoid (esterified in vivo), 2: β -carotene-diepoxyde, 3: unknown epoxide, 4: chlorophyll a, 5: chlorophyll b, c: chlorophyll derivatives, 6: lutein and lutein 5,6-epoxide, 7: violaxanthin, and 8: neoxanthin.

143x120mm (300 x 300 DPI)

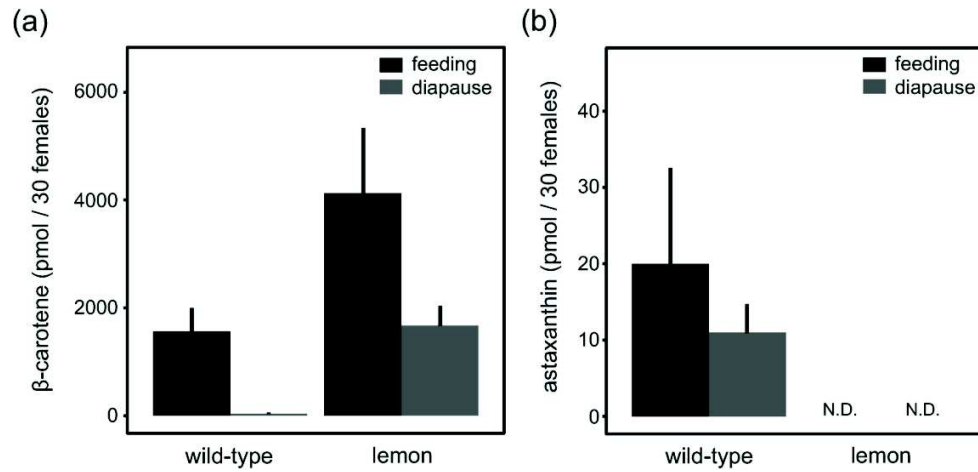


Figure 3. Lemon *T. kanzawai* accumulates higher levels of β -carotene. (a) and (b) show the levels of β -carotene and astaxanthin, respectively, in wild-type and lemon *T. kanzawai*. Carotenoid levels were determined by HPLC for both feeding and diapausing adult female mites. N.D. stands for not detected. Error bars represent the standard errors, with a sample size of three.

138x65mm (300 x 300 DPI)

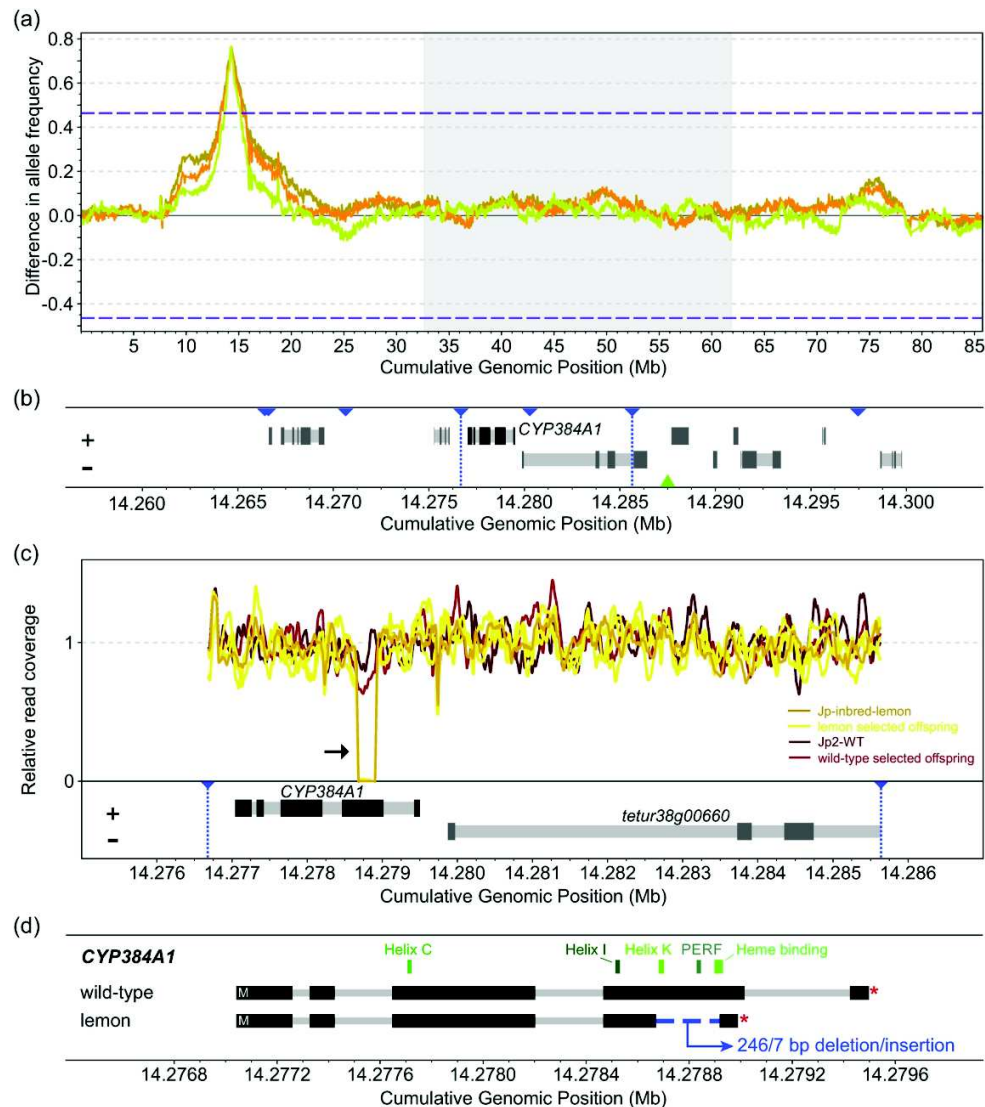


Figure 4. Bulked segregant analysis locates the lemon locus and reveals a non-functional *CYP384A1* as the genetic basis. (a) Differences in the frequencies of parental Jp-inbred-lemon alleles between each of the three lemon selected and one wild-type offspring pools are plotted in a sliding window analysis. The three *T. urticae* reference chromosomes are shown in alternating white and grey and are ordered by decreasing length. Dashed lines represent the 5% FDR for an association between parental Jp-inbred-lemon allele frequencies and the lemon phenotype. The maximal average allele frequency of the three replicates (i.e., the BSA peak) is located at cumulative genomic position 14,287,500. (b) *CYP384A1* and a 3' end fragment of its neighboring gene reside in the minimal candidate region. Gene models and their genomic position are based on the *T. urticae* genome annotation, with exons and introns depicted as dark and light grey rectangles, respectively. Strands are represented as "+" (forward) and "-" (reverse). Blue triangles delineate the genomic position of the genetic markers used in the fine-mapping approach and the vertical dotted lines demarcate the 8.96 kb minimal candidate region. The green triangle highlights the location of the BSA peak. (c) Read coverage reveals a deletion within the *CYP384A1* coding sequence in the three lemon selected offspring pools and parental Jp-inbred-lemon (black arrow). DNA sequence read coverage depth across the minimal candidate region is shown relative to the chromosome-wide average. (d) The deletion spans 246 bp within the fourth exon of the *CYP384A1* coding sequence concomitant with 7 bp of inserted sequence. The

five essential cytochrome P450 domains are plotted above the gene models.

184x209mm (300 x 300 DPI)

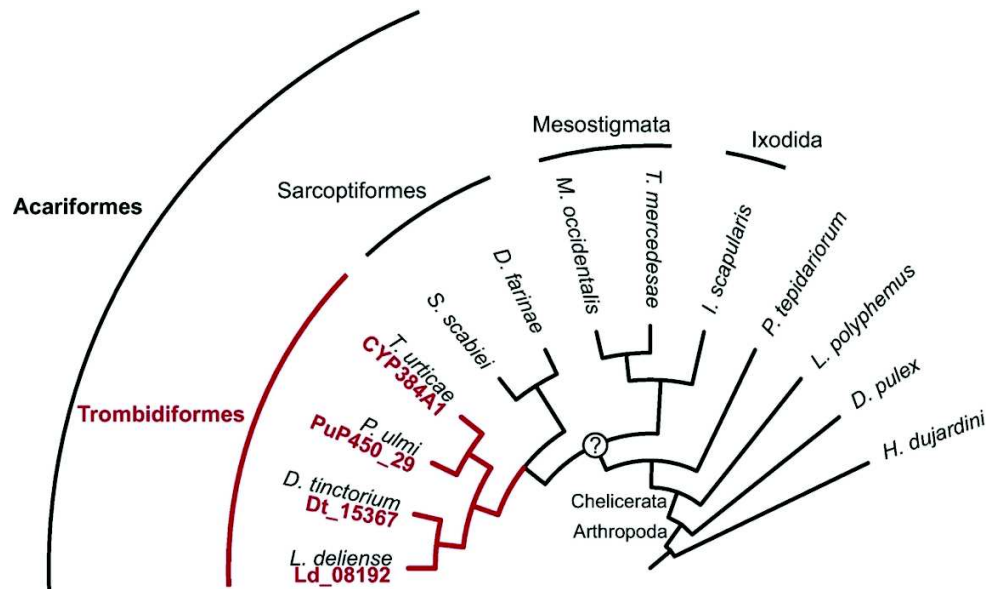


Figure 5. CYP384A1 is orthologous across mite species of the Trombidiformes order. The maximum-likelihood phylogenetic reconstruction uncovered a 1:1:1:1 orthology of CYP384A1 for the four trombidiform mite species with available genomic resources (Arthropoda: Chelicerata: Acari: Acariformes: Trombidiformes) (supplementary figure 5). The gene IDs for the identified orthologues are given in red font below the species name. A monophyletic origin for mites (Chelicerata: Acari) remains under debate [70].

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Table 1. Lemon pigmentation has a recessive, monogenic mode of inheritance in *T. kanzawai*

Cross (♀ x ♂)	% lemon in F1 ♀ (2n)	F2 ♂ (n)		χ^2	p-value
		wild-type	lemon		
Jp-WT x Jp-lemon	0	175	196	1.1887	0.2756
Jp-lemon x Jp-WT	0	365	372	0.066486	0.7965
Jp2-WT x Jp-lemon	0	198	210	0.35294	0.5525
Jp-lemon x Jp2-WT	0	187	174	0.46814	0.4938

The degrees of freedom for the χ^2 -tests were 1. For every cross, at least 70 F1 females were scored.