# SOME PROBLEMS OF MODEL-BULLDING IN SYNBIOLOGY PART 2 

Associatum process in a simple situation


#### Abstract

By P. JUHÁSZ.NAGY* - I. DÉVAI $\mathrm{I}^{* *}-\mathrm{K}$. HORVÁTH*** Dept. of Pl. Taxonomy and Ecology of the L. Eötvös University, Budapest*; Hydrological Works, Debrecen**; Agricultural School, Debrecen***

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## I. Introduction

I. 1 The present paper is a continuation of the first part of this series (Dévai-Horváth-Juhász-Nagy, 1971, quoted here in brief as "P. I."), where a new type of diversity ("faunal diversity") and its trend of spatial change has been described with respect to the Cladocera fauna (of 10 taxa) living in a fish-pond (located in the central part of the Great Hungarian Plain). This text refers to the same object, basic concepts, sampling procedure, data etc. as P. I. do. Thus an attempt will be made to reduce repetition to a minimum.
I. 2 Now we are here concerned with association, more correctly, association among populations ("interlocal similarity" in our terms; cf. P. I.: IV. 1). There is no need to enter here into details; many excellent references are easily available (e. g. Goodall 1952; Andre-wartha-Birch 1954; Dagnelie 1960, 1965; GreigSmith 1964; Kershaw 1964; Lambert-Dale 1964; Pielou 1969, etc.). It is fairly well-known that the vast majority of studies on association (in particular, in recent years) is motivated by the problems of ordination of living communities. Much work has been done mostly on sorting algorithmus based on independence, both on interlocal and interfloral (-faunal) similarity relations (cf. Williams-D ale 1965; Macnaughton-Smith 1965; McIntosh 1967; Aleksandrova 1969; etc.). In spite of this - or perhaps because of it - some rather important problems (being outside the realm of the present-day ordination practice) seem to be virtually neglected. Consider only two of them.
I. 2. 1 Our knowledge of association process in space (roughly, change of association in space) is extremely limited. Greig-Smith's warning (1964, p. 105: ,,The effect of sampling size on indications of association has been largely overlooked. .") is still apt to make. Clearly, any statement of the sign, number or strength of association measures is
related to a point (or an interval) of a spatial process, if only one definite size of sampling units was used.
I. 2. 2 We know next to nothing about the spatial changes of partial or multiple association measures among 3, 4,.. populations. Except a very limited number of papers (e. g. Cole 1957; Juhász-Nagy 1967) almost all studies are concerned with pairwise association which - one has the feeling - is somewhat "unnatural". A rather "more natural" measure would be total association of a faunal or floral universe (cf. P. I.).
I. 3 Considerations such as those just mentioned suggest that it would be useful to know something about the trend of spatial changes of total association. This paper is aimed at showing a simple modeling of such a problem.

Associatum is defined to be the total association of a faunal universe (faunal set of interest). By associatum process a spatial process (of faunistic nature) is meant (by means of a discrete approximation), excl. temporal and grouping processes. Associatum is expressed in terms of information theory (more precisely, in terms of information sensu Shannon). There is again no need to enter into details; the reader can sonsult some excellent references (e. g. Williams-Lambert 1966; Pielou 1969; Orloci 1971; Dale 1971) and their bibliographies.

## II. Modeling

II. 1 Let $Q_{\mathrm{T}}$ be a faunal universe (as a set universe of populations) of a given object (Cladocera fauna of a pond, in this case), $Q_{\mathrm{T}}=\left\{q_{1}, q_{2}, \ldots\right.$, $\left.q_{\mathrm{e}}, \ldots, q_{\mathrm{s}}.\right\}$, Let, further, $V_{\mathrm{x}}$ be a class of sampling units (volumes), according to an $X$,,increasing algorithm", $V_{\mathrm{x}}=\left\{V_{1}, V_{2}, \ldots, V_{\mathrm{j}}, \ldots, V_{\mathrm{u}}\right\}$, where, in our case, $V_{\mathrm{j}}$ is a set of sampling units of $2^{\mathrm{j}} \mathrm{ml}$. size, $V_{\mathrm{j}}=\left\{v_{\mathrm{j} 1}\right.$, $\left.v_{\mathrm{j} 2}, \ldots, v_{\mathrm{jg}}, \ldots, v_{\mathrm{jm}}\right\} ; u=8$ (the largest sampling size is 256 ml .) and $m=64$ (all $V_{x}$ set elements consits of 64 volumes of a given size randomized over the surface of the pond).

Let us consider the Cartesian product of $Q_{\mathrm{T}}$ and $V_{\mathrm{j}}, Q_{\mathrm{T}} \times V_{\mathrm{j}}$, and a binary relation, $r_{\text {jeg }}$, called intersection, defined between each ordered pair $<q_{e}, v_{\mathrm{jg}}>$,

$$
r_{\mathrm{jeg}}=\left\{\begin{array}{l}
1, \text { if } q_{\mathrm{e}}^{\prime} \cap v_{\mathrm{jg}} \neq \emptyset  \tag{II;1}\\
0, \text { if } q_{\mathrm{e}}^{\prime} \cap v_{\mathrm{jg}}=\emptyset
\end{array},\right.
$$

where $q_{\mathrm{e}}^{\prime}$ stands for the set of body points of $q_{\mathrm{e}}$. Let $Q_{\mathrm{T}} \times V_{\mathrm{j}}$ be transformed into a $U_{\mathrm{j}}$ binary contingency table (by parmitting summation in marginals) so that

$$
\begin{equation*}
\sum_{\mathrm{g}=1}^{\mathrm{m}} r_{\mathrm{jeg}}=r_{\mathrm{jel}}, \sum_{\mathrm{e}=1}^{\mathrm{s}} r_{\mathrm{jeg}}=r_{\mathrm{ljg}}, \sum_{\mathrm{g}=1}^{\mathrm{m}} \sum_{\mathrm{e}=1}^{\mathrm{s}} r_{\mathrm{jeg}}=N_{\mathrm{j}}, \tag{II;2}
\end{equation*}
$$

and let

$$
\begin{equation*}
m-r_{\mathrm{jel}}=r_{\mathrm{je} 0}, s-r_{1 \mathrm{jg}}=r_{0 \mathrm{jg}}, m s-N_{\mathrm{j}}=n_{\mathrm{j}}, \tag{II;3}
\end{equation*}
$$

where the following terminology (cf. P. I. in detail) is adopted:

| $r_{\text {jel }}$ (local valence) | $r_{\mathrm{jgg}}$ (faunal valence) |
| :--- | :--- |
| $r_{\text {je0 }}$ (local invalence) | $r_{0 \mathrm{jg}}$ (faunal invalence) |
| $N_{\mathrm{j}}$ (total valence) | $n_{\mathrm{j}}$ (total invalence). |

Let $\Pi_{\mathrm{Q}}$ be faunal power set of $Q_{\mathrm{T}}, \Pi_{\mathrm{Q}}=\left\{\pi_{0}, \pi_{1}, \ldots, \pi_{\mathrm{k}} \ldots, \pi_{\mathrm{w}}\right\}$, $w=2^{\mathrm{s}}-1$, where $\pi_{\mathrm{k}}$ is a potential fauna (any subset of $Q_{\mathrm{T}}$ ), and let $f_{\mathrm{jk}}$ be the frequency of $\pi_{\mathrm{k}}$ and $F_{\mathrm{j}}$ the frequency distribution of $\pi_{\mathrm{k}}$ 's in $U_{\mathrm{j}}$. Faunal diversity is characterized in P. I. by the function

$$
\begin{equation*}
m \hat{H}_{\mathrm{j}}=m \log m-\sum_{\mathrm{k}=0}^{\mathrm{w}} f_{\mathrm{jk}} \log f_{\mathrm{jk}} \tag{II;4}
\end{equation*}
$$

For the sake of convenience, let

$$
\left.\begin{array}{l}
\sum_{\mathrm{k}=0}^{\mathrm{w}} f_{\mathrm{jk}} \log f_{\mathrm{jk}}=G_{\mathrm{j}} \\
\sum_{\mathrm{e}=1}^{\mathrm{s}} r_{\mathrm{jel}} \log r_{\mathrm{jel}}=A_{\mathrm{j}} \\
\sum_{\mathrm{c}=1}^{\mathrm{s}} r_{\mathrm{je} 0} \log r_{\mathrm{je} 0}=a_{\mathrm{j}} \\
\left.\begin{array}{c}
\sum_{\mathrm{g}=1}^{\mathrm{m}} r_{\mathrm{ljg}} \log r_{\mathrm{ljg}}=B_{\mathrm{j}} \\
\mathrm{~m} \\
\sum_{\mathrm{g}=1}^{\mathrm{m}} r_{0 \mathrm{jg}} \log r_{0 \mathrm{jg}}=b_{\mathrm{j}} \\
N_{\mathrm{j}} \log N_{\mathrm{j}}=C_{\mathrm{j}} \\
n_{\mathrm{j}} \log n_{\mathrm{j}}=c_{\mathrm{j}}
\end{array}\right\} \\
A_{\mathrm{j}}+a_{\mathrm{j}}=\alpha_{\mathrm{j}} \\
B_{\mathrm{j}}+b_{\mathrm{j}}=\beta_{\mathrm{j}} \\
C_{\mathrm{j}}+c_{\mathrm{j}}=\gamma_{\mathrm{j}}
\end{array}\right\}
$$

The simple concepts and estimators above are preliminary ones to the following reasoning.
II. 2 Association ("simple", pairwise association) is interpreted between pairs of local vectors of $U_{\mathbf{j}}$ (cf. P. I.) by a $2 \times 2$ scheme, whose molecular events are: $(<11\rangle,<10\rangle,<01\rangle,<00\rangle)$. Comparing 3 local vectors begins with attaching frequencies to molecular events ( $<111\rangle$, $<110>,<101>, \ldots,<000>$ ). In the case if all the local vectors of $U_{\mathrm{j}}$ are to be compared, we have a $2 \times 2 \times \ldots \times 2$ table with $2^{\mathrm{s}}$ molecular events representing all ordered $s$-tets possible (all subsets of $Q_{\mathrm{T}}$ ). Let us
call such a table $K_{j}$ with respect to $U_{j}$. Frequency distribution of $K_{j}$ clearly corresponds to $F_{\mathrm{j}}$ (because events of $K_{\mathrm{j}}$ are equivalent with that of $\Pi_{\mathrm{Q}}$ ) and marginals of $K_{\mathrm{j}}$ correspond to local valence and invalence sets of $U_{\mathrm{j}}$. Thus a weighted estimate of associatum expressed as multipel information has the form (cf. K ullb ack, 1959):

$$
\begin{equation*}
m \hat{I}_{\mathrm{j}}[\lambda]=G_{\mathrm{j}}-\alpha_{\mathrm{j}}+(s-1) m \log m \tag{II;12}
\end{equation*}
$$

With a slight change of quantities in (II; 12)
where

$$
\begin{equation*}
m \hat{I}_{\mathrm{j}}[\lambda]=\left(s m \log m-\alpha_{\mathrm{j}}\right)-\left(m \log m-G_{\mathrm{j}}\right), \tag{II;13}
\end{equation*}
$$

- the first term,

$$
\begin{equation*}
m s \hat{I}_{\mathrm{j}}(v,[q, i])=s m \log m-\alpha_{\mathrm{j}}, \tag{II;14}
\end{equation*}
$$

called local distinction, requires some further considerations, and

- the second term corresponds to $m \hat{H}_{\mathrm{j}}$ of (II; 4), faunal diversity.
Thus, associatum (total association) is separable into two additive components, meaningful in themselves:

$$
\begin{equation*}
m \hat{I}_{\mathrm{j}}[\lambda]=m s \hat{I}_{\mathrm{j}}(v,[q, i])-m \hat{H}_{\mathrm{j}} \tag{II;15}
\end{equation*}
$$

II. 3 Let us examine local distinction more closely. Such quantity as $m s \hat{I}_{j}(\mathrm{v},[q, i])$ is best interpreted as one related to preferential relations (cf. Quastler, 1953; Juhász-Nagy, 1964). The positions of l's in $U_{\mathrm{j}}$ show how certain populations prefer certain volumes (of $j$-size) to others, and vice versa, according to the attribute duality principle of the Southampton group (cf. e. g. Lambert-D ale, 1964). Preferential relations may be first approached by a conceptual triplet (choice - selection - preference).
a) A random choice of an ordered pair in $U_{\mathrm{j}}$ is characterized by (II; 1).
b) Random selection (as a repetitive choice) of a particular object is characterized by a corresponding pair of valence and invalence value.
c) Preference (of some kind) is characterized by some function defined on the frequency distributions of valences and invalences.

Let us define three abstract partners in our selective situation, an average population $(q)$, an average sampling volume of a fixed size $(v)$, and an average intersection ( $i$ ) related to that size. All partners are endowed with selective property. Namely,
$-q$ selects volumes from the elements of $V_{j}$,

- $v$ selects populations from the elements of $Q_{\mathrm{T}}$,
- $i$ selects ordered pairs from the set of ms ordered pairs of a $U_{\mathrm{j}}$.

Let us call a "positive random choice" in (II; 1) a coincidence ( $r_{\text {jeg }}=1$ ). Assume a classical probability field (cf. K hin chin, 1957), equiprobability of coincidences, i. e. $p(q)=1 / s, p(v)=1 / m, p(i)=1 / m s$. Thus, in terms of information theory, we have

$$
\left.\begin{array}{rl}
H(q) & =\log m  \tag{II;16}\\
H(v) & =\log s \\
\widehat{H}_{\mathbf{j}}(i) & =\log m s-\gamma_{\mathbf{j}} / m s,
\end{array}\right\}
$$

some uncertainty measures of the Hartley type, and further, for joint entropy measures, we have

$$
\left.\begin{array}{ll}
\widehat{H_{\mathrm{j}}}([q, i]) & =\log m s-\alpha_{\mathrm{j}} / m s  \tag{II;17}\\
\widehat{H_{\mathrm{j}}}([v, i]) & =\log m s-\beta_{\mathrm{j}} / m s \\
H([q, v]) & =\log m s \\
H([q, v, i]) & =\log m s
\end{array}\right\}
$$

It is fairly easy to see that having (II; 16) and (II; 17) a whole system of information functions

$$
\begin{array}{cl}
\hat{I}_{\mathrm{j}}(q, i) & =\log s+\alpha_{\mathrm{j}} / m s-\gamma_{\mathrm{i}} / m s \\
\hat{I}_{\mathrm{j}}(v, i) & =\log m+\beta_{\mathrm{j}} / m s-\gamma_{\mathrm{j}} / m s \\
\vdots \tag{II;20}
\end{array}
$$

can be obtained, where each function has a definite bearing on a certain aspect of preferential relations. Unfortunately, owing to a lack of space, without referring to some important properties of these information quantities, we must confine ourselves to our special function of (II; 14).
III. 3.1 Now, from a formal point of view, this quantity can be expressed either as multiple information of partner $v$ with respect to the joint finite scheme of the other partners, $[q, i]$,

$$
\begin{aligned}
\hat{I}_{\mathrm{j}}(v,[q, i]) & =H(v)-\widehat{H}_{\mathrm{j}}(v \mid[q, i])=H(v)-H([q, v, i])+\widehat{H}_{\mathrm{j}}([q, i])= \\
& =\log m-\log m s+\log m s-\alpha_{\mathrm{j}} / m s=\log m-\alpha_{\mathrm{j}} / m s
\end{aligned}
$$

or as conditional information of joint finite scheme $[v, i]$ with respect to partner $q$,

$$
\begin{gathered}
\hat{I}_{\mathrm{j}}([v, i] \mid q)=H([v, i] \mid q)-\widehat{H}_{\mathrm{j}}(v \mid[q, i])-\widehat{H}(i \mid[q, v])= \\
=\log m s-\log s-\log m s+\log m s-\alpha_{\mathrm{j}} / m s-0=\log m-\alpha_{\mathrm{j}} / m s
\end{gathered}
$$

The equality $\hat{I}_{\mathrm{j}}(v,[q, i])=\hat{I}_{\mathrm{j}}([v, i] \mid q)$, of course is, a consequence of the equiprobability condition fo our model system. These two quantities ought to have been different if basic assumptions were different.
III. 3.2 Coming to a more interpretative view, let us consider first the following additive relation:

$$
\begin{align*}
& \text { (1) } \quad m s \hat{I}_{\mathrm{j}}(q, i)=m s \log s+\alpha_{\mathrm{j}}-\gamma_{\mathrm{j}} \\
& \text { (2)+ms } \hat{I}_{\mathrm{j}}(v,[q, i])=m s \log m-\alpha_{\mathrm{j}}  \tag{II;21}\\
& \text { (3) } \quad m s \hat{I}_{\mathrm{j}}(i,[q, v])=m s \log m s-\gamma_{\mathrm{j}}
\end{align*}
$$

(1) in (II; 21), being contingency information of a $2 \times s$ table related to $U_{\mathrm{j}}$ of local valences and invalences, reflects upon the difference among populations in their local preferences. On the one hand, if we deal with an equiprobable local valence set (i.e.all local valence values of a $U_{\mathrm{j}}$ is equal to $N_{\mathrm{j}} / s$, local density), then $\hat{I}_{\mathrm{j}}(q, i)$ is zero, and $\hat{I}_{\mathrm{j}}(v,[q, i])$ is of maximum value. $\hat{I}_{\mathrm{j}}(v,[q, i]\}=\hat{I}_{\mathrm{j}}(i,[q, v])$. On the other hand, if local valence set has an "unequal" frequency distribution of the highest degree (i.e. $N_{\mathrm{j}} / m$ values are equal to $m$, and the rest is zero), then $\hat{I}_{\mathrm{j}}(q, i)=$ $=\hat{I}_{\mathrm{j}}(i,[q, v])$, and $\hat{I}_{\mathrm{j}}(v,[q, i])=0$. These boundary relations have different bearings on a number of synbiological problems. At the moment we are interested here in the following intuitive meaning.
II. 4. Let

$$
\begin{align*}
M_{\mathrm{q}}^{(\mathrm{j})} & =\left\{r_{\mathrm{j} 11}, r_{\mathrm{j} 21}, \ldots\right\} \\
M_{\mathrm{v}}^{(\mathrm{j})} & =\left\{r_{1 \mathrm{lj1}}, r_{1 \mathrm{j} 2}, \ldots\right\}  \tag{II;22}\\
m_{\mathrm{q}}^{(\mathrm{j})} & =\left\{r_{\mathrm{j} 10}, r_{\mathrm{j} 20}, \ldots\right\} \\
m_{\mathrm{v}}^{(\mathrm{j})} & =\left\{r_{0 j 1}, r_{0 j 2}, \ldots\right\}
\end{align*}
$$

called faunistic marginals (in brief, f-marginals) with respect to $U_{j}$. We can think of $f$-marginals in pairs, calling them local, faunal, valence and invalence marginals, resp. Valence marginals of $U_{\mathrm{j}}$ can be characterized by an ordered triplet of faunistic mean density values, $<\bar{m}_{\mathrm{j}}, \bar{s}_{\mathrm{j}}, \bar{N}_{\mathrm{j}}>$, where
$-\bar{m}_{\mathrm{j}}$ is a mean local density, $\bar{m}_{\mathrm{j}}=N_{\mathrm{j}} / s$,
$-\bar{s}_{\mathrm{j}}$ is a mean faunal density, $\bar{s}_{\mathrm{j}}=N_{\mathrm{j}} / m$,
$-\bar{N}_{\mathrm{j}}$ is a mean total density, $\bar{N}_{\mathrm{j}}=N_{\mathrm{j}} / m s$,
and where "faunistic density" means a "rate of scoring", instead of "number of individuals".
II. 4.1 Let us define the following weighted entropy values (on the $f$-marginals of $U_{\mathrm{j}}$ ), referring to marginal uncertainties:

$$
\begin{gather*}
N_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(M_{\mathrm{q}}\right)=C_{\mathrm{j}}-A_{\mathrm{j}}  \tag{II;23}\\
N_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(M_{\mathrm{v}}\right)=C_{\mathrm{j}}-B_{\mathrm{j}} \\
n_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(m_{\mathrm{q}}\right)=c_{\mathrm{j}}-a_{\mathrm{j}} \\
n_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(m_{\mathrm{v}}\right)=c_{\mathrm{j}}-b_{\mathrm{j}} \tag{II;24}
\end{gather*}
$$

Functions of (I; 23) are monotonously increasing, those of (II; 24) are monotonously decreasing with increasing of sampling unit sizes. (We believe that these functions are optimum substituents of "species area functions" used so far.) The best property of these functions is that at each $V_{j}$ they are bounded by the following inequalities:

$$
\begin{align*}
& N_{\mathrm{j}} \log \bar{s}_{\mathrm{j}} \leq N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right) \leq N_{\mathrm{j}} \log s \\
& N_{\mathrm{j}} \log \bar{m}_{\mathrm{j}} \leq N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{v}}\right) \leq N_{\mathrm{j}} \log m  \tag{II;25}\\
& n_{\mathrm{j}} \log \left(s-\bar{s}_{\mathrm{j}}\right) \leq n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right) \leq n_{\mathrm{j}} \log s \\
& n_{\mathrm{j}} \log \left(m-\bar{m}_{\mathrm{j}}\right) \leq n_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(m_{\mathrm{v}}\right) \leq n_{\mathrm{j}} \log m
\end{align*}
$$

where maxima are due to monovalence state (if the proper valence values are all equal to one another, ..e. to the corresponding mean density), and minima are due to oligovalence state (if a number of valence values reach their maximum and others remain zero). This relation was already commented upon in III. 3.2.
III. 4.2 According to inequalities of (II; 25), either upper deviates,

$$
\begin{align*}
\max N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)- & N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)
\end{aligned}=\triangle N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)=N_{\mathrm{j}} \log s-C_{\mathrm{j}}+A_{\mathrm{j}}, ~ \begin{aligned}
\triangle n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right) & =n_{\mathrm{j}} \log s-c_{\mathrm{j}}+a_{\mathrm{j}} \\
\triangle N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{v}}\right) & =N_{\mathrm{j}} \log m-C_{\mathrm{j}}+B_{\mathrm{j}} \\
\triangle n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{v}}\right) & =n_{\mathrm{j}} \log m-c_{\mathrm{j}}+b_{\mathrm{j}} \tag{II;26}
\end{align*}
$$

or, lower deviates,

$$
\begin{align*}
N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)-\min N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right) & =\nabla N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)=N_{\mathrm{j}} \log m-A_{\mathrm{j}}, \\
\nabla n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right) & =n_{\mathrm{j}} \log m-a_{\mathrm{j}} \\
\nabla N_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(M_{\mathrm{v}}\right) & =N_{\mathrm{j}} \log s-B_{\mathrm{j}}  \tag{II;27}\\
\nabla n_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(m_{\mathrm{v}}\right) & =n_{\mathrm{j}} \log s-b_{\mathrm{j}}
\end{align*}
$$

as empirical gain of information measures at $V \mathrm{j}$ indicate how diverse empirical $f$-marginals are. In a monovalence state, when there is no marginal diversity, the proper upper deviates become zero. On the other hand, in the same case, proper lower deviates have maximum value. This shows that marginal diversity (sensu generali) should be estimated from both extremities at the same time.
III. 4.3 Now, it is rather easy to see that

$$
\begin{equation*}
\triangle N_{\mathrm{j}} \widehat{H_{\mathrm{j}}}\left(M_{\mathrm{q}}\right)+\triangle n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right)=m s \log s+\alpha_{\mathrm{j}}-\gamma_{\mathrm{j}}=m s \hat{I}_{\mathrm{j}}(q, i) \tag{II;28}
\end{equation*}
$$

and

$$
\begin{equation*}
\nabla N_{\mathrm{j}} H_{\mathrm{j}}\left(M_{\mathrm{q}}\right)+\nabla n_{\mathrm{j}} H_{\mathrm{j}}\left(m_{\mathrm{q}}\right)=m s \log m-\alpha_{\mathrm{j}}=m s \hat{\mathrm{I}}_{\mathrm{j}}(u,[q, i]) \tag{II;29}
\end{equation*}
$$

i.e. the pairwise sums of upper and lower deviates, resp. correspond to the quantities in (II; 21).
III. 4.4 This relation allows us to reformulate (II; 15) by partitioning associatum into three diversity components

$$
\begin{equation*}
m \hat{I}_{\mathrm{j}}[\lambda]=\nabla N_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)+\nabla n_{\mathrm{j}} \widehat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right)-m \widehat{H}_{\mathrm{j}}, \tag{II;30}
\end{equation*}
$$

where the meaning of each component is interpretable and related to a framework of concepts.

## III. Illustration and interpretation

III. 1 Data. In our case, where (a) $Q_{\mathrm{T}}$, faunal universe is a set of 10 Cladocera taxa $(s=10)$ denoted as $(A, B, \ldots, K)$ in $P . I .,(b) V_{\mathrm{j}}$ is a set of cubic shaped sampling units ( $m=64$ ) of $2_{j} \mathrm{ml}$. size, $(c) j=1,2, \ldots, 8$ $(u=8)$, i. e. $V_{\mathrm{x}}$ is a series according to the increasing powers of 2 , we have 8 binary contingency tables $\left(U_{\mathrm{j}}\right)$ of $10 \times 64$ size whose valence sets are given by tables 1 and 2 .
III. 1.1 Local valence sets are shown by the columns of table 1, where double row symbols (as alternative notation) stand for the elements of $Q_{\mathrm{T}}$ (cf. P. I., II. 2)

Table 1.

|  |  | $\mathrm{v}_{2}$ | $\mathrm{v}_{2}$ | $\mathrm{v}_{3}$ | $\mathrm{v}_{4}$ | $\mathrm{v}_{5}$ | $\mathrm{v}_{6}$ | $\mathrm{v}_{7}$ | $\mathrm{v}_{8}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | $q_{1}$ | 6 | 11 | 21 | 51 | 53 | 62 | 64 | 64 |
| B | $\mathrm{q}_{2}$ | 15 | 24 | 36 | 63 | 63 | 64 | 64 | 64 |
| C | $\mathrm{q}_{3}$ | 5 | 10 | 21 | 51 | 55 | 62 | 64 | 64 |
| D | $\mathrm{q}_{4}$ | 1 | 1 | 2 | 3 | 6 | 10 | 42 | 64 |
| E | $\mathrm{q}_{5}$ | 1 | 1 | 3 | 12 | 14 | 26 | 50 | 64 |
| F | $\mathrm{q}_{6}$ | 21 | 39 | 57 | 64 | 64 | 64 | 64 | 64 |
| G | $q_{7}$ | 4 | 9 | 17 | 21 | 32 | 60 | 64 | 64 |
| H | $\mathrm{q}_{8}$ | 4 | 6 | 24 | 17 | 27 | 60 | 64 | 64 |
| I | $\mathrm{q}_{9}$ | 0 | 0 | 0 | 1 | 7 | 11 | 38 | 64 |
| K | $\mathrm{q}_{10}$ | . 1 | 0 | 1 | 3 | 5 | 12 | 33 | 64 |
|  |  | 58 | 101 | 182 | 286 | 326 | 431 | 547 | 640 |

III. 1.2 In table II. a variable $\psi$ is introduced which has possible values of faunal valences ( $\psi=0,1, \ldots, 10$ ). Thus, an entry, $k_{\mathrm{j} \varphi}$, of the first part of table 2 represents frequency of a given value of faunal valences in $U_{\mathrm{j}}$. Naturally, weighted frequencies of that sort sum up to total valence,

$$
\sum_{\psi=0}^{s} \psi k_{j \varphi}=N_{\mathrm{j}}
$$

as it is shown in the second part of table 2, where series of total valences, $N_{1}<N_{2}<\ldots<N_{8}$, corresponds to that of table I.

Table II.

|  | $\mathrm{V}_{1}$ | $\mathrm{V}_{2}$ | $\mathrm{V}_{3}$ | $\mathrm{V}_{4}$ | $\mathrm{V}_{5}$ | $\mathrm{v}_{6}$ | $\mathrm{V}_{7}$ | $\mathrm{V}_{8}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\psi=0$ | 17 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | $\mathrm{V}_{1}$ | $\mathrm{V}_{2}$ | $\mathrm{V}_{3}$ | $\mathrm{V}_{4}$ | $\mathrm{V}_{5}$ | $\mathrm{V}_{6}$ | $\mathrm{V}_{7}$ | $\mathrm{V}_{8}$ |
| 1 | 36 | 29 | 9 | 0 | 1 | 0 | 0 | 0 | 36 | 29 | 9 | 0 | 1 | 0 | 0 | 0 |
| 2 | 11 | 16 | 17 | 2 | 2 | 0 | 0 | 0 | 22 | 32 | 34 | 4 | 4 | 0 | 0 | 0 |
| 3 | 0 | 8 | 21 | 12 | 3 | 0 | 0 | 0 | 0 | 24 | 63 | 36 | 9 | 0 | 0 | 0 |
| 4 | 0 | 4 | 11 | 22 | 15 | 1 | 0 | 0 | 0 | 16 | 44 | 88 | 60 | 4 | 0 | 0 |
| 5 | 0 | 0 | 4 | 15 | 17 | 5 | 0 | 0 | 0 | 0 | 20 | 75 | 85 | 25 | 0 | 0 |
| 6 | 0 | 0 | 2 | 8 | 16 | 23 | 3 | 0 | 0 | 0 | 12 | 48 | 96 | 138 | 18 | 0 |
| 7 | 0 | 0 | 0 | 5 | 9 | 23 | 12 | 0 | 0 | 0 | 0 | 35 | 63 | 161 | 84 | 0 |
| 8 | 0 | 0 | 0 | 0 | 1 | 6 | 15 | 0 | 0 | 0 | 0 | 0 | 8 | 48 | 120 | 0 |
| 9 | 0 | 0 | 0 | 0 | 0 | 5 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 45 | 135 | 0 |
| 10 | 0 | 0 | 0 | 0 | 0 | 1 | 19 | 64 | 0 | 0 | 0 | 0 | 0 | 10 | 190 | 640 |
|  | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 | 58 | 101 | 182 | 286 | 326 | 431 | 547 | 640 |

III. 1.3 Results based on data of tables I. and II. in relation to our basic function ( $I ; 15$ ) is shown by table III. All quantities are given in weighted nits (natural units), i. e. log. nat. was used.

We believe that at least "the qualitative trend" of these funtions in table III. are clear and interpretable. This view is supported by some considerations presented below and by experience of one of us (P.J-N.) with respect to terrestrial communities; all functions studied so far are similar to that of table III.
III. 2 Trends. Examining the trends of functions in table III., our question is how change associatum in value with increasing size of samplling units.

Table III.

|  | $m \hat{I}_{\mathrm{j}}[\lambda]$ | $=m s i_{j}(v,[q, i])$ | $m \hat{H}_{\mathrm{j}}$ |
| :---: | :---: | :---: | :---: |
| $j=1$ | 20.30 | 158.26 | 137.96 |
| 2 | 25.52 | 198.54 | 173.02 |
| 3 | 50.35 | 252.58 | 202.23 |
| 4 | 30.23 | 207.63 | 177.40 |
| 5 | 47.62 | 241.69 | 194.07 |
| 6 | 22.53 | 179.02 | 156.49 |
| 7 | 16.50 | 162.44 | 145.94 |
| 8 | 0.00 | 0.00 | 0.00 |

III. 2.1 It is observable that $m \hat{I}_{\mathrm{j}}[\hat{\lambda}], j=1,2$, . has two "peaks" such that the first one (cea. at 8 ml .) is somewhat greater than the second one (somewhere about 32 ml .). We believe that these two peaks correspond two volume sizes characterized by "the dominance of negative and positive association", resp. We intend to show in a further part of this series (or elsewhere) that this is the case. At the moment we confine our attention to the additive relation of table III., i. e. to the components of associatum.
III. 2.2 We know already (from $P$. I.) the trend of $m \widehat{H}_{\mathrm{j}}$ which function has maximum values (somewhere about 8 ml . and 32 ml .), called maximum volumes, $\mathrm{V}_{\max }$, where faunal diversity (with respect to $\Pi_{Q}$ ) or inpredicability of $F_{\mathrm{j}}$ is of the highest degree. $V_{\max }$ is contrasted with minimum volume, $V_{\min }$, where (at a size less than or equal tp 256 ml .) there is no faunal diversity whatever and faunal predicability is perfect.
III. 2.3 $V_{\min }$ of course, is a concept of general importance. It can be said as axiomatic that all characteristic functions of faunistic nature must reach zero value at $V_{\min }$ (since all objects - faunas, loci, etc. - are equivalent faunistically to one another); for instance, all information functions of II. 3 have this property. (Naturally, the phrase "characteristic function" is used here in a synbiological sense of the word, not to be confounded with the well-known term of probability theory.) $V_{\max }$ and $V_{\min }$ are characteristic volumes. For a more general definition we can put forward the following one. A characteristic volume is defined by the extreme values (maxima, minima) of some characteristic functions with respect to the interval $\left[V_{0}, V_{\min }\right]$. We can quess that there are otherimportant characteristic volumes than $V_{\max }, V_{\min }$.

Indeed, a new type of characteristic volume, called compensatory volume, $V_{\text {comp }}$, is one, where $N_{\mathrm{j}}=n_{\mathrm{j}}=m s / 2$, i. e. where total valence and invalence values (or, number of coincidences and incoincidences; cf. II. 3) are - at least, approximately - equal to each other. In our case $V_{\text {comp }}$ is at $V_{5}$, where $N_{5}=326$, incidentically quite close to $\mathrm{ms} / 2=320$.

Clearly, $V_{\text {comp }}$ need not be equal to $V_{\text {max. }}$. (In terrestrial plant communities for maximum area, $A_{\max }$, and compensatory area, $A_{\text {comp }}$, the relation $A_{\text {max }}<A_{\text {comp }}$ is generally true.) Unfortunetely, our data are insufficient to make such a distinction, if there is any. But we can infer even from our "weak data" of table III. that a number of characteristic volumes (e. g. maxima and minimum of associatum) are more or less close in size to $V_{\text {comp. This is so as each characteristic function has a ,,rising part" (when }}$ sampling units are "small") and a "falling part" (toward $V_{\min }, A_{\text {min }}$, etc.), and, between these two, at an interval, a "part", where one or several characteristic volumes occur. We may call this interval a compensatory interval.
III. 2.4 Function $m s \hat{I}_{\mathrm{j}}(i,[q, v])$ in (II; 21), for instance, has always a unique maximum at $V_{\text {comp }}$, max $m s \hat{I}_{\mathrm{j}}(i,[q, v])=m s \log 2$, because its only variable, $\gamma_{j}$, has its own minimum, $\min \gamma_{j}=m s \log m s-m s \log 2$, at that size of volume. It is worth considering the two types of partition of this quantity mentioned in II. 3.2 - .
III. 2.4.1 First, table IV. shows the double partition of $m s I_{j}(i,[q, v])$ in (II; 21).

Table IV.

|  | $m s \mathcal{l}_{\mathrm{j}}(i,[q, v]) \stackrel{ }{=}$ | $m s \mathcal{l}_{\mathrm{j}}(q, i)$ | $+m s \hat{l}_{\mathbf{j}}(\mathbf{v},[q, i])$ |
| :---: | :---: | :---: | :---: |
| $j=1$ | 194.888 | 36.626 | 158.262 |
| 2 | 279.396 | 80.856 | 198.540 |
| 3 | 382.444 | 129.865 | 252.579 |
| 4 | 440.335 | 232.703 | 207.632 |
| 5 | 443.842 | 202.149 | 241.693 |
| 6 | 404.639 | 225.621 | 179.018 |
| 7 | 265.615 | 103.176 | 162.439 |
| 8 | 0.000 | 0.000 | 0.000 |

III. 2.4.2 Second, table V. shows the quadruple partition of the same quantity according to III. 4.3. Note that upper local deviates sum up to $m s \hat{I}_{\mathrm{j}}(q, i)$, lower local deviates sum up to $m s \hat{I}_{\mathrm{j}}(v,[q, i])$, and the sum of the four quantities involved is equal to the corresponding value of $m s \hat{I}_{\mathrm{j}}(i$, $[q, v]$ ).
III. 2.5 Let us examine in brief table V. It is noteworthy that lower deviates have common maxima (at $V_{3}$ and $V_{5}$ ) contrary to the different trends of upper deviates. This is resulted in the difference between the trends of $m s \hat{I}_{\mathrm{j}}(q, i)$ and $m s \hat{I}_{\mathrm{j}}(v,[q, i])$ in table IV. All quantities (all the deviates of table V.) are some diversity measures but lower deviates show how empirical marginal diversity differs from a minimum marginal uncertainty.

Table V.

|  | $\Delta N_{\mathrm{j}} \hat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)$ | $\Delta n_{\mathrm{j}} \hat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right)$ | $\nabla N_{\mathrm{j}} \hat{H}_{\mathrm{j}}\left(M_{\mathrm{q}}\right)$ | $\nabla n_{\mathrm{j}} \hat{H}_{\mathrm{j}}\left(m_{\mathrm{q}}\right)$ |
| ---: | ---: | ---: | ---: | ---: |
|  |  |  |  |  |
| 1 | 32.512 | 4.414 | 106.778 | 51.484 |
| 2 | 65.557 | 15.299 | 120.978 | 77.562 |
| 3 | 88.467 | 41.398 | 140.487 | 112.092 |
| 4 | 117.786 | 114.917 | 112.732 | 94.900 |
| 5 | 91.521 | 110.628 | 128.562 | 113.131 |
| 6 | 77.455 | 148.166 | 93.174 | 85.844 |
| 7 | 14.415 | 88.761 | 71.766 | 90.673 |
| 8 | 0.000 | 0.000 | 0.000 | 0.000 |

III. 3 We gratefully acknowledge the cordial help of staff people at the computer station of the L. Kossuth Univ., Debrecen.

## IV. Summary

Associatum is defined to be total association of a biotal universe. Associatum (expressed here as total information of some kind) can be partitioned into additive components (preference and diversity functions) whose change in space (with increasing unit sizes of sampling) can be related to associatum process in space.

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