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Coláiste na hOllscoile Corcaigh

2 effect of hydrothermal pretreatment on energy efficiency

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14 Abstract

15 Marine macroalgae (seaweed) is a promising feedstock for producing biohydrogen and 16 biomethane via dark fermentation and anaerobic digestion, respectively. However, one of the limiting 17 steps in the biological process is the conversion of polymeric carbohydrates into monomeric sugars. 18 Here hydrothermal pretreatments were assessed for hydrolysis and subsequent production of 19 biohydrogen and biomethane from the brown seaweed Saccharina latissima. The solubilization of S. 20 latissima improved with increasing temperatures from 100 to 180 °C, resulting in a maximum yield of 21 0.70 g soluble chemical oxygen demand/volatile solid (sCOD/g VS); equivalent to an increase of 207.5% 22 compared with untreated seaweed. However, the yield of the derived monomeric sugar mannitol 23 peaked at 140 °C and decreased with increasing temperatures, likely due to production of 24 fermentative inhibitors. Microstructural characterization revealed that the algal structure was 25 significantly damaged, and the major chemical groups of carbohydrates and proteins were weakened 26 after pretreatment. Regardless of hydrothermal temperatures, biohydrogen yield only slightly 27 increased in dark fermentation, while biomethane yield significantly increased from 281.4 (untreated 28 S. latissima) to 345.1 mL/g VS (treated at 140 °C), leading to the sCOD removal efficiency of 86.1%.

- 29 The maximum energy conversion efficiency of 72.8% was achieved after two-stage biohydrogen and
- 30 biomethane co-production. In comparison, considering the energy input for
- 31 pretreatment/fermentation/digestion, the highest process energy efficiency dropped to 37.8%.
- 32 Further calculations suggest that a significant improvement of efficiency up to 56.9% can be achieved
- 33 if the heat from pretreatment can be recovered.
- 34
- 35 **Keywords:** Macroalgae; hydrothermal pretreatment; energy efficiency; biohydrogen; biomethane.

37 **1. Introduction**

38 In a world concerned with climate change and poor air quality associated with excessive use of 39 non-renewable fossil fuel, biofuels will play an increasingly more significant role in future energy 40 systems [1]. The European Union (EU) encourages the optimization of renewable energy systems to 41 meet more and more stringent sustainability criteria. The EU has introduced a cap on the contribution 42 of food-based biofuels, starting at 7% of energy in the transport sector in 2021 and reducing 43 progressively to 3.8% by 2030 [2]. Third-generation biofuel feedstocks are free from arable land use. 44 These include advanced gaseous biofuels (biomethane and biohydrogen) derived from microalgae and 45 macroalgae (seaweeds), which show significant potential to satisfy advanced biofuel targets [3-8]. The 46 EU target for advanced biofuels has been set at a minimum share of 3.6% of the total fuel 47 consumption by 2030 as compared to the target of just 0.5% for 2021 [2]. The advantages of using 48 algae include for higher growth rates and production yields, higher rate of carbon dioxide fixation, 49 negligible quantities of hemicellulose and lignin, versatile biofuel production and freedom from 50 competition for agricultural land [9]. 51 Biohydrogen and biomethane can be produced through biological technologies such as 52 fermentation and anaerobic digestion, respectively. Seaweeds (including brown, green, and red) grow 53 naturally and are cultivated worldwide. The harvest is greatest in Asian countries (China, Philippines, 54 Japan, Korea). China annually harvests 3.2 million tonnes of seaweeds [4]. In the European context, 55 Ireland is one of the largest seaweed producers, producing 29,500 tonnes per annum [4]. Typical 56 brown seaweeds (such as Laminaria digitata and Saccharina latissima) and green seaweeds (such as 57 Ulva lactuca) are rich in organic matter, including carbohydrates and proteins. Brown seaweeds are 58 relatively high in carbohydrates in the form of polysaccharides (mannitol, laminarin and alginate) 59 which are easily degradable [10]. Some species of green seaweeds may grow faster than brown 60 seaweeds; however green seaweeds contain high levels of proteins, leading to unfavorable low carbon 61 to nitrogen ratios [11]. Seaweeds have the capacity to produce a vast array of high-value liquid (such 62 as bioethanol and biodiesel) and gaseous (such as biohydrogen and biomethane) biofuels [12-14]. It 63 has been reported that gaseous biofuels may have certain advantages over liquid biofuels [9]: (1) the greenhouse gas (GHG) savings in producing and using gaseous biofuels is greater than that for liquid 64

biofuels; (2) the pollutant emissions using gaseous biofuels as transport fuels are much less than that
using liquid biofuels; and (3) gaseous biofuels can take advantage of the existing natural gas grid
system for low energy input distribution.

68 Polymeric carbohydrates are the most important components in seaweeds for gaseous biofuel 69 production employing biological processes. The main groups of carbohydrates found in seaweeds are 70 laminarin, alginate, cellulose, fucoidan, and sugar alcohol mannitol [15]. Biohydrogen and biomethane 71 can be produced through biological technologies via fermentation and anaerobic digestion, 72 respectively. However, the rigid cell wall structure and high molecular weight organics in seaweeds 73 may adversely affect cell disintegration and prolong fermentation time. Allen et al. assessed ten 74 species of seaweeds for biomethane potential, and found that some species (such as Ascophyllum 75 nodosum and Fucus serratus) exhibited biodegradability indices as low as 0.19-0.34 [16]; as such 66 to 76 81% of volatile material did not degrade during digestion over 30 days. Similar to lignocellulosic 77 feedstock, seaweeds in fermentation and anaerobic digestion require firstly the conversion of polymeric carbohydrates into readily available monomeric sugars (such as glucose and mannitol). The 78 79 carbohydrate monomers derived from land-based biomass are dominating by glucose and xylose; 80 biohydrogen and biomethane produced from these carbohydrates have been extensively investigated 81 [17-20]. However, the use of seaweeds as feedstock and their fermentation performance have 82 received less attention, especially in two-stage fermentation systems for co-production of biohydrogen 83 and biomethane.

84 In order to convert polymeric carbohydrates to monosugars efficiently, an effective pretreatment 85 process prior to fermentation and anaerobic digestion is necessary. To date, different pretreatment 86 methods have been developed, including mechanical, chemical, thermal, and biological methods 87 [21-27]. However, factors that influence pretreatment efficiency and, consequently, biofuel yields, are 88 highly affected by seaweed composition (such as salts, polyphenols, polysaccharides type) [28]. Hu et 89 al. found the different acids (such as H_2SO_4 , HCl, H_3PO_4) can effectively damage the dense surface of 90 algae and remove a small portion of protein and sulfate polysaccharide in seaweed Enteromorpha [29]. 91 The thermal pretreatment of brown seaweed Nizimuddinia zanardini released more than 80% of 92 components such as mannitol and led to a 22% higher methane yield compared to untreated seaweed 93 [30]. Among those methods, mild acid treatment using sulfuric or hydrochloric acid was found to be

94 effective in hydrolysis of polysaccharides in seaweeds at relatively low temperatures. Acid is able to 95 cleave the β -1-4-glycosidic bond of complex polysaccharides to associated monomers. Monosugars 96 derived from hydrolysis can be readily used by acidogenic bacteria and methanogenic archaea for 97 sequential biohydrogen and biomethane production. Yin et al. reported that the solubilization of 98 Laminaria japonica increased 1.9 fold after microwave pretreatment in the presence of 1% sulfuric 99 acid, thereby improving the sequential biohydrogen production from 15 to 28 mL/g dry weight [31]. 100 However, the use of acid in pretreatment may inevitably lead to formation of fermentative inhibitors 101 (such as furfural, and levulinic acid) as a consequence of sugar decomposition. Furthermore, alkaline 102 addition is typically necessary in order to balance the pH value of the pretreated solution before 103 biological processes. Jung et al. reported a negative correlation between hydrogen yield and the 104 produced 5-(hydroxymethyl)furfural in hydrolysate [32]. The presence of furfural (15 mM) reduced the 105 biohydrogen production rate by 28.9%, likely due to the competitive reduction reaction converting 106 furfural aldehyde to alcohol, inhibiting the reaction of protons to hydrogen [33]. 107 As an eco-friendly alternative, hydrothermal pretreatment may be applied to seaweeds to 108 improve hydrolysis and increase the biodegradability index in fermentation and digestion. 109 Hydrothermal pretreatment has been used for the fractionation of macroalgae biomass. The excellent 110 solvent properties of water as a reaction medium and the high moisture content of macroalgal 111 biomass make this technology promising for the direct use of algae in the production of biofuels and 112 high added-value compounds [34]. For hydrothermal pretreatment, liquid hot water is used at 113 temperatures from 100 to 374 °C under high pressure, corresponding to conditions below the water 114 critical point [35]. The high hydrothermal temperatures can weaken H-bonding in water, allowing for 115 autoionization of water into acidic hydronium ions (H_3O^{\dagger}) and basic hydroxide ions (OH^{-}) [7]. Acidic 116 hydronium ions can act as catalysts that may facilitate the hydrolysis of biomass. Despite the 117 advantages of hydrothermal pretreatments, some drawbacks may still present, particularly when 118 employing high temperatures. For instance, Jard et al. investigated the effect of thermochemical 119 pretreatment on the solubilization and anaerobic biodegradability of the red macroalgae Palmaria 120 palmata, and found that higher temperatures resulted in a substantial decrease in methane potential 121 (-13% at 160 °C and 180 °C, up to -31% at 200 °C) [36].

122

A previous study suggested that *S. latissima* has the highest specific methane yield of seaweeds 5

available in Ireland [16]. The potential energy yield from *S. latissima* may be up to 365 GJ ha⁻¹ yr⁻¹ [16]; 123 124 this further leads to the calculation that at least 6124 ha of coastal area would be required to grow 125 seaweed to satisfy Ireland's 2020 target in advanced biofuels [4]. Minimisation of coastal area used is 126 dependent on innovations in cultivation and harvesting of seaweed, but in terms of this paper more significantly in terms of increasing biofuel yield per unit of seaweed and optimisation of energy 127 128 efficiency in the overarching bioenergy systems. Therefore, S. latissima was selected as the feedstock 129 for further assessment in this study. To the best of our knowledge, there is a clear research gap on the 130 use of hydrothermal pretreatment of brown seaweed (in this case S. latissima) for two-stage 131 biohydrogen and biomethane production. Studies of such systems are very rare in the scientific 132 literature. The research output can provide an answer as to how energy-efficient hydrothermal 133 pretreatment is in terms of improving gaseous biofuel production from S. latissima. The objectives of 134 this study are to: assess the effect of hydrothermal pretreatment on the physicochemical properties of S. latissima; evaluate the biohydrogen and biomethane potential from pretreated S. latissima; and 135 136 assess the energy feasibility of the cascading two-stage fermentation system by calculating energy 137 conversion efficiency and energy process efficiency.

138

139 **2. Materials and Methods**

140 **2.1. Feedstock and inocula**

Feedstock: S. latissima was harvested in June in West Cork, Ireland. The collected algal samples were washed with tap water to remove sands and other impurities, and then cut to a particle size of approximately 5 mm by a mincer (Buffalo Heavy Duty Mincer CD400). The samples were then dried at 105 °C in an oven and pulverized to 0.02 mm mesh size. The pulverized samples were stored at 4 °C before use. The physicochemical characteristics of dried biomass are presented in Table 1.

146 *Inoculum for hydrogen fermentation*: Mixed fermentative bacteria used in biohydrogen potential
147 (BHP) assays were originally sourced from a biogas plant treating pig slurry, dairy slurry and food

- 148 waste at mesophilic temperatures. The digestate from the biogas plant was prepared by passing
- 149 through a 1 mm sieve and was degassed for two weeks under anaerobic conditions to reduce any
- 150 residual gas production. The digestate was then stored in a laboratory digester, and was fed cellulose

151 as a substrate to maintain the microbial activity. The obtained digestate was heat-treated at 100 °C for

152 30 min in an autoclave (Sanyo MLS-3780, Japan) to deactivate methanogenic microorganisms.

153 Subsequently, the pre-heated sludge was cultured in an anaerobic environment to enrich

154 hydrogen-producing bacteria. The composition of the culture medium used was as follows (per liter):

155 glucose, 20.0 g; tryptone, 3.0 g; yeast extract, 1.0 g; NaCl, 3.0 g; K₂HPO4, 2.5 g; MgCl₂, 0.1 g; FeCl₂, 0.1

156 g; L-cysteine, 0.5 g; vitamin solution, 10.0 mL; and trace element solution, 10.0 mL. The

acclimatization procedure was repeated three times to ensure hydrogen-producing bacteria were fullyactivated.

Inoculum for anaerobic digestion: The inoculum for the biomethane potential (BMP) assays was sourced from the laboratory digester, which was run at mesophilic temperature using cellulose as a substrate. Large particles in the original inoculum were removed through a 1 mm sieve. Prior to the BMP experiments, the sieved inoculum was degassed for two weeks.

163

164 **2.2. Hydrothermal pretreatment**

165 Fig. 1 presents the experimental schematic including hydrothermal pretreatment, dark hydrogen 166 fermentation and anaerobic digestion. The hydrothermal pretreatment of S. latissima biomass was 167 performed in a 500 mL batch reactor (Parr Instrument Company 4500, USA). The pretreatments were 168 carried out under a stirring speed of 500 rpm for 30 min at 100, 120, 140, 160, and 180 °C. Our 169 previous studies have demonstrated that the optimal pretreatment conditions for a wide range of 170 feedstock (such as grass silage, cassava residue and food waste) were in the processing temperature of 171 135–140 °C and time of 15–20 min [1, 2]. Deng et al. found that the optimal acid pretreatment was 172 achieved at 135 °C for 15 min with a total reducing sugar yield of 0.33 g/g VS of grass silage [37]. 173 When using food waste as feedstock, the optimal hydrothermal pretreatment condition was found to 174 be 140 °C for 20 min in terms of the solubilization efficiency of organic components (namely 175 carbohydrates and proteins) [38]. As compared to pretreatment time, hydrothermal temperature 176 plays a more significant role in affecting the effectiveness of feedstock hydrolysis. Based on the results 177 from the above studies, this study chose the temperature range from 100 to 180 °C and process time 178 of 30 min.

In each run, 7.5 g volatile solid (VS) of *S. latissima* biomass was brought to the reactor along with a volume of 250 mL deionized water. The pretreatment time was precisely measured, starting from when the algal sample reached the set temperature. After each run, the reactor was allowed to cool down to room temperature and the treated samples were taken out for further processing.

183

184 **2.3. Two-stage dark fermentation and anaerobic digestion**

185 Dark hydrogen fermentation: The cascading fermentation system included two stages, namely, 186 dark hydrogen fermentation followed by anaerobic digestion. The first stage dark fermentation for 187 BHP assays was carried out in duplicate in the AMPTS II system (Bioprocess Control, Sweden). To 188 analyse the effects of hydrothermal temperature on fermentative hydrogen production, five 189 experimental groups were performed based on the hydrothermal conditions (100, 120, 140, 160, and 190 180 °C). Untreated S. latissima biomass was used as a comparison group. In BHP assays, 3 g VS of algal 191 hydrolysates were added into each glass fermenter. Subsequently, 30 mL of activated inoculum for 192 dark fermentation was added to each fermenter. The total liquor volume was then adjusted to 300 mL 193 with deionized water. The initial pH was adjusted to 6.5 ± 0.1 with 6 M NaOH and 6 M HCl solutions. 194 The bottles were sealed and purged with nitrogen gas for 5 min to ensure anaerobic conditions. The 195 bottles were then placed in a water bath at 35.0 °C and the dark hydrogen fermentation lasted for 48 196 h.

197 Anaerobic digestion: After dark fermentation for 48 h, the effluents from each bottle were 198 inoculated with seed inoculum at an inoculum to substrate VS ratio of 2:1 (based on original VS before 199 dark fermentation) for second-stage anaerobic digestion. The bottles were also sealed, purged with 200 nitrogen gas for 5 min, and placed in a water bath at 35.0 °C. The anaerobic digestion trials ran for 11 201 days to allow for maximum degradation of substrates. During dark fermentation and anaerobic 202 digestion, carbon dioxide in the produced gas was removed by passing the biogas through a 3 M NaOH 203 solution. The gas flow was measured, and the volume was automatically normalized to standard 204 conditions (0 °C, 1 atm) and zero moisture content by the AMPST II system.

205

206 **2.4. Analytic methods**

207 The differences of algal biomass surface microstructures before and after pretreatment were 208 observed by a field emission Scanning Electron Microscope (SEM; Hitachi S3700, Japan). The biomass 209 samples were processed by coating with a thin layer of gold under vacuum before the SEM 210 observation. The changes in chemical composition and functional groups were assessed by a Fourier 211 Transform Infrared Spectroscopy analyser (FTIR; Nicolet 5700, USA) equipped with a universal 212 attenuated total reflection accessory. The total solid (TS), VS and ash content of the inoculum were 213 analyzed by using the standard method of drying of the sample for 24 h at 105 °C and subsequent 214 heating for 2 h at 550 °C [39]. A spectrophotometer (Hach DR890, USA) coupled with a Hach DRB200 215 reactor was employed to determine the chemical oxygen demand (COD) of algal samples. The 216 concentration of soluble metabolic products (SMPs; such as acetic acid and butyric acid) was analyzed 217 on a gas chromatography system (GC; Agilent 7820A, USA) equipped with a flame ionization detector and a DB-FFAP column. The temperatures of the injection port and the flame ionization detector were 218 219 both set at 250 °C. The initial column temperature was set at 100 °C, increased to 200 °C at a heating 220 rate of 10 °C/min, and then held for 2.5 min. Before injecting to the GC, the liquid samples were first 221 centrifuged at 5000 rpm for 5 min and then adjusted with hydrochloric acid to ensure an acidic pH. 222 The quantification of each component was determined by comparing with a standard SMP solution. 223 The concentrations of mannitol, glucose, xylose, galactose, and arabinose were determined on a 224 high-performance liquid chromatography (HPLC) system (Agilent 1200, USA) using refractive index 225 detector and Aminex HPX-87P column at 85 °C with H₂O as mobile phase at 1 mm/min.

The severity factor of the hydrothermal pretreatment under different conditions was calculated based on Eq. 1 [40]. Severity factor is an expression of the combined effect of temperature and time of the hydrothermal pretreatment.

229 Severity factor =
$$log (t \times e^{\frac{T-100}{14.75}})$$
 (Eq. 1)

where *t* is the time of the hydrothermal pretreatment in min and *T* is the temperature of the pretreatment in °C. For each pretreatment condition, the severity factor was determined as 1.48 (100 °C, 30 min), 2.07 (120 °C, 30 min), 2.65 (140 °C, 30 min), 3.24 (160 °C, 30 min), and 3.83 (180 °C, 30 min). The biodegradability index (BI) was defined as the ratio of actual methane yield in batch trial to the calculated theoretical methane yield. The theoretical methane yield was calculated using the Buswell equation on the basis of elemental C, H, O and N content. Biomethane yield was simulated by the modified Gompertz equation as described in Eq. 2. The derived kinetic parameters (H_m , maximum methane yield potential, mL/g VS; R_m , peak methane production rate, mL/g VS/d; and λ , lag-phase time of methane production, d) were calculated using Origin 8.5 software.

$$H = H_m exp\left\{-exp\left[\frac{R_m e}{H_m}(\lambda - t) + 1\right]\right\}$$
(Eq. 2)

241

242 **2.5. Energy balance**

Process energy input: The process energy input involved in the cascading system comprises includes for hydrothermal pretreatment, dark fermentation and anaerobic digestion. The total energy needed (Q_{in} ; kJ/g fresh weight) can be estimated as per Eq. 3 [41]:

$$246 Q_{in} = Q_{Htpt} + Q_{Fer} + Q_{AD} (Eq. 3)$$

247 Where Q_{Htpt} is the energy input for hydrothermal pretreatment, Q_{Fer} is the energy input for 248 fermentation, and Q_{AD} is the energy input for anaerobic digestion.

250
$$Q_{Htpt}/Q_{Fer}/Q_{AD} = (V_{reac}\rho_w Cp_w + m_{s,fresh}Cp_{s,fresh}) \times (T_{reac} - T_{amb})/m_{s,fresh}$$
(Eq. 4)

Where the liquid fraction in the reactor (V_{reac}) is assumed to be water with a specific heat (Cp_w) of 4.2 251 252 kJ/kg·K. The hydrothermal pretreatment temperature (T_{reac}) varies based on each experimental run, 253 while the ambient temperature (T_{amb}) is assumed as 25 °C. The temperature of dark fermentation and 254 anaerobic digestion is 35 °C as per the experimental conditions. The $m_{s,fresh}$ is the fresh weight of S. 255 *latissma* fed into the reactor, and $Cp_{s,fresh}$ is the corresponding specific heat based on the fresh mass. The specific heat of fresh S. latissima is averaged from the data presented for three seaweed species 256 257 by Wang et al. over different temperatures [42]. No change in the heat capacity with pretreatment or fermentation is assumed. Minimum loss in mass between the different stages is neglected [41]. 258 259 Process energy efficiency and energy conversion efficiency: The process energy out is associated

with the energy yield of hydrogen and methane produced in dark fermentation and anaerobic

digestion; and can be estimated specific to the input substrate as per Eq. 5 & 6 [43]:

262
$$E_{H_2} = V_{H_2,VS} \times LHV_{H_2}$$
 (Eq. 5)

$$E_{CH_4} = V_{CH_4,VS} \times LHV_{CH_4}$$
(Eq. 6)

264 $V_{H_2,VS}$ and $V_{CH_4,VS}$ is the volumetric yields of hydrogen and methane per unit VS substrate used, 265 respectively.

The energy conversion efficiency (η_{ece}) is defined as the ratio of the heating value in hydrogen and methane to the total heating value of the added substrate. Calculations are conducted based on the lower heating values (LHV) of hydrogen (242 kJ/mol) and methane (801 kJ/mol) [44].

269
$$\eta_{ece} = (E_{H_2} + E_{CH_4})/E_{algae} \times 100$$
 (Eq. 7)

270 The energy value of algal biomass is calculated based on the modified Dulong Formula as shown271 in Eq. 8 [45]:

272
$$E_{algae} = 337C + 1419(H - 0.1250) + 23.26N$$
 (Eq. 8)

273 where C, H, O, and N represent the weight percentages of each element in total VS.

In contrast, taking into account the energy input in relation to hydrothermal pretreatment, dark fermentation and anaerobic digestion, the overall process efficiency (η_{pe}) can hence be represented based on Eq. 9:

277
$$\eta_{pe} = (E_{H_2} + E_{CH_4} + Q_{recov})/(Q_{Htpt} + Q_{Fer} + Q_{AD} + E_{algae}) \times 100$$
(Eq. 9)

In which Q_{recov} is the potential heat recovered from hydrothermal pretreatment (this becomes 0 as per the experimental set-up). The potential heat recovery was evaluated considering cool-down of the hydrolysates from process temperatures to 50 °C with an efficiency of heat transfer of 90% [46, 47]. As per the batch experimental set-up, no heat was recovered. However, due to the operation at elevated temperatures, cooling down of the pretreated algal hydrolysates can provide an interesting opportunity for heat recovery with subsequent use in providing heat for fermentation and anaerobic digestion.

285

286 **3. Results and discussion**

287 **3.1.** Saccharina latissima characterization before and after hydrothermal

288 pretreatment

289 S. latissima primarily contains a high amount of carbohydrates (such as mannitol, alginate,

laminarin, low concentration of cellulose and negligible lignin), the content which varies significantly
with seasons [48]. As a structural component of the cell wall, alginate is the most abundant
carbohydrate and may account for 40% of dry weight in some species; structural cellulose has been
reported at concentrations of approximately 10% of dry weight; the storage carbohydrates (including
laminarin and mannitol) vary considerably between 5–32% and 2–25% of dry weight, respectively [48,
49].

296 Table 1 presents the compositions of dried seaweed S. latissima used in this study. The ultimate 297 analysis showed that the energy value of S. latissima was 17.1 kJ/g VS, which is comparable to that of 298 monosaccharide (for example glucose with an energy value of 15.6 kJ/g VS). S. latissima used in this 299 study presents a carbon to nitrogen ratio of 14.3. However, large variations were observed in carbon 300 to nitrogen ratios depending on the harvest seasons and locations [16, 50]. As a brown seaweed S. 301 latissima is rich in carbohydrate mannitol, which is a sugar alcohol with a variety of applications [51]. 302 Mannitol can be readily used by microorganisms to produce biofuels. Typical amino acids derived from 303 proteins in S. latissima include glutamic acid, aspartic acid, and glycine [15]. The fermentable sugars 304 and amino acids are originally in the form of slowly biodegradable high-weight molecular 305 polysaccharides. To improve biofuel production, it is beneficial to pretreat to effectively release 306 monomers from S. latissima.

307 SEM images of untreated and pretreated S. latissima biomass with different magnification are 308 shown in the supplementary material (Fig. S1). Hydrothermal pretreatment had a critical impact on 309 the surface morphology of seaweed. The untreated S. latissima biomass showed a flat surface with 310 sharp edges and some cracks (Fig. S1 a and b). The surface of hydrothermally treated seaweed was 311 observed to be more uneven and eroded (Fig. S1 c and d). The algal structure was loosened and 312 formed a rugged surface; more internal structure of the samples was exposed. With more ridges and 313 grooves formed, the roughness of the algal surface increased greatly. The damaged surface structure 314 could facilitate diffusion of the hydrolytic enzymes and the degradation of algae by methanogenic 315 archaea.

Fig. 2 shows the FTIR spectra of *S. latissima* biomass before and after hydrothermal pretreatment, which exhibits similar peaks at different wave numbers. This is because the process of hydrothermal pretreatment does not introduce new chemical groups to the solid fraction of seaweed after

319 pretreatment, due to the fact that only water is used during pretreatment. It indicates that there are two absorption peaks existing in the hydrogen bonding area ranging from 2500 to 4000 cm^{-1} . The 320 major peak located at 3454 cm⁻¹ is assigned to the stretching vibrations of hydrogen bonded O-H 321 322 groups and N-H groups, indicating the presence of carbohydrates and proteins in S. latissima biomass [52]. The weak peak at 2923 cm^{-1} is derived from the vibration of C–H group in the polysaccharides in 323 the S. latissima biomass. The peak at 1640 cm⁻¹ is assigned to the C=O group of amides [53], which 324 arises due to the presence of proteins. The peak around 1415 cm⁻¹ may attribute to the C–C stretching 325 vibration of aromatic structure [54]. The peak at 1058 cm⁻¹ is characteristic of the C–N stretching 326 vibration of aliphatic amines [55], which confirms the presence of proteins. 327

As shown in the FTIR spectra, raw seaweed had strong stretching vibration peaks related to the O-H and N-H groups (3454 cm⁻¹), while these absorption intensities decreased in the pretreated seaweed, suggesting decomposition of carbohydrates and proteins. In a similar trend, the vibration peaks corresponding to the C-H and C-C groups (2923 and 1415 cm⁻¹) also decreased, which was due to hydrolysis of polysaccharide substances after hydrothermal pretreatment. The decrease of C=O and C-N vibration peaks (1640 and 1058 cm⁻¹) further confirmed the degradation of proteins in treated seaweed.

335 The complex structural components of S. latissima can be partially transformed from 336 water-insoluble fraction (macromolecular polymers) to water-soluble fraction (low molecular weight 337 organics), thereby increasing the soluble COD production during hydrothermal pretreatment. Fig. 3 a 338 presents the soluble COD yield from S. latissima after pretreatment at different temperatures. The 339 untreated seaweed contained a certain amount of soluble organics, which resulted in a yield of 0.23 g 340 sCOD/g VS. By increasing the hydrothermal temperature to 180 °C, the COD yield significantly increased with the highest value of 0.70 g sCOD/g VS (equivalent to an increase of 207.5%). This 341 342 significant increase in soluble COD production indicates that hydrothermal pretreatment could 343 significantly promote the solubilization of *S. latissima*. 344 However, the monomer sugars (such as mannitol and glucose) may be further degraded to 345 inhibitory by-products (such as furfural and levulinic acid), especially at high hydrothermal 346 temperatures. Fig. 3 b shows the mannitol yield from S. latissima at varying temperatures. The

347 mannitol yield from raw S. latissima was 17.8 mg/g VS. With increasing temperature from 100 °C to

348 140 °C, the mannitol yield increased from 23.0 to 32.2 mg/g VS. Further increasing temperature led to 349 decreased mannitol yield with the lowest yield of 21.8 mg/g VS obtained at 180 °C. The decrease in 350 mannitol yield was ascribed to the fact that higher hydrothermal temperatures favoured the 351 degradation of mannitol to inhibitory by-products. This phenomenon has been previously observed 352 when pretreating other substrates (such as trehalose) [56].

353

354 3.2. First-stage dark fermentation for hydrogen production

355 The solubilized S. latissima biomass under different hydrothermal conditions were subject to 356 first-stage dark hydrogen fermentation, as shown in Fig. 4. The biohydrogen yield from untreated 357 seaweed was obtained as 11.7 mL/g VS after fermentation of 48 h. The pretreatment at 100 °C slightly 358 increased the biohydrogen yield to 13.7 mL/g VS. Further increasing the temperature resulted in the 359 decrease in biohydrogen yield; this decrease in yield is postulated to be due to the decreased 360 concentration of monosaccharides (such as mannitol) and the presence of potential inhibitors. This 361 result suggests that the seaweed S. latissima may not be a good candidate to produce hydrogen 362 through dark fermentation. As a major monosaccharide derived from S. latissima, mannitol has been 363 previously investigated for biohydrogen production [57-59]. The theoretical hydrogen yield from 364 mannitol was calculated as 615.4 mL/g mannitol following the acetate pathway (see Eq. 10). The practical hydrogen production from mannitol achieved ranged from 209.2 to 224.2 mL/g [57-59]. This 365 366 suggests the efficiency of hydrogen production is less than 40%. Comparatively, the theoretical 367 hydrogen yield from glucose was determined as 498.0 mL/g glucose (see Eq. 11). Previous studies have 368 achieved hydrogen production efficiencies of up to 90% [57]. 369 Mannitol: $C_6H_{14}O_6 + 4H_2O = 2CH_3COO^- + 4H^+ + 2HCO_3^- + 5H_2$, $\Delta G'_0 = -180.6 \text{ kJ/mol}$ (Eq. 10) 370 Glucose: $C_6H_{12}O_6 + 2H_2O = 2CH_3COO^2 + 2HCO_3^2 + 4H_2$, $\Delta G'_0 = -215.7$ kJ/mol (Eq. 11) 371 Where $\Delta G'_0$ as indicated in Eq. 10 and 11 is the free energy change under the standard condition. The 372 free energy change of glucose reaction (-215.7 kJ/mol) is more negative than that of mannitol 373 reaction (-180.6 kJ/mol), suggesting that the fermentative metabolism of glucose is 374 thermodynamically more favourable than that of mannitol. This result implies that fermentative 375 bacteria thermodynamically prefer to consume glucose as compare to mannitol, which thereby

376 provides a clue as to why mannitol resulted in a less efficient hydrogen production.

377 Table 2 presents the SMPs profile after dark hydrogen fermentation of S. latissima biomass. SMPs 378 primarily consisted of acetate, butyrate, and small amounts of propionate, iso-butyrate, valerate, 379 iso-valerate and caproate. The untreated S. latissima yielded SMPs of 5.73 g/L, of which the sum of 380 acetate and butyrate comprised 86.0% of total SMPs. This indicates that the degradation of mannitol 381 mainly followed the acetate and butyrate pathways during hydrogen fermentation, as shown in Fig. 5. 382 The pretreated S. latissima exhibited similar levels of SMP production, which is in accordance with the 383 minor change of hydrogen production after pretreatment. No significant change on the metabolic 384 pathways was observed using pretreated seaweed as the substrate, as acetate and butyrate were still 385 the dominant SMPs, comprising 82–90% of total SMPs.

386

387 **3.3. Second-stage anaerobic digestion for methane production**

388 The hydrogenogenic effluents from the first-stage fermentation contain a significant amount of 389 SMPs (such as acetate and butyrate), which are favourable substrates for methanogens in anaerobic 390 digestion. The effects of hydrothermal pretreatment on subsequent biomethane production are 391 shown in Fig. 6 a. The biomethane yield from the effluent of untreated S. latissima was 281.4 mL/g VS 392 after digestion of 11 d with a biodegradability of 63.4% (see Table 3). When increasing the 393 hydrothermal temperature to 140 °C, the highest biomethane yield of 345.1 mL/g VS was achieved, 394 corresponding to an increase of 22.6% as compared to that without pretreatment. The 395 biodegradability of S. latissima significantly increased to 77.7%. It was noted that the pretreatment at 396 180 °C reduced the biomethane yield to 278.7 mL/g VS. This was ascribed to the toxic effect caused by 397 inhibitory by-products. A previous study demonstrated that thermal treatment of algal substrate, 398 which contained abundant sugars and amino acids, could cause binary interactions between the 399 carbonyl group (-C=O) and amino group $(-NH_2)$, leading to the generation of various fermentative 400 inhibitors (such as methylfurfural, pyrazine compounds, and nitrogen-containing Maillard compounds) 401 [60]. The presence of these inhibitors decreased biomethane production by 43.8% as compared to 402 that in the absence of inhibitors [60]. Therefore, this finding suggests that the optimization of 403 hydrothermal pretreatment is necessary considering the competitive reactions between hydrolysis of

404 polysaccharides and decomposition of mono-sugars.

405 The soluble COD removal efficiency after second-stage anaerobic digestion is shown in Fig. 6 b. 406 The trends of COD removal were similar to that of biomethane production. The COD removal 407 efficiency of the untreated seaweed was 79.5%; this increased to 86.7% and 86.1% when the seaweed 408 was pretreated at 120 and 140 °C, respectively. Further increasing temperature to 180 °C resulted in 409 the lowest COD removal efficiency of 67.4%. This indicates that anaerobic digestion was not capable of 410 removing all the produced COD during hydrothermal solubilization. A higher hydrothermal 411 temperature contributed to a higher generation of COD, but inevitably generated more inhibitory 412 by-products. This, in return, resulted in a lower COD removal efficiency after fermentation and 413 anaerobic digestion. 414 Table 3 shows the kinetic parameters of biomethane production from S. latissima by employing 415 the modified Gompertz model. The parameters of biomethane production were evaluated in terms of methane yield potential (H_m), peak methane production rate (R_m), lag-phase time (λ), and peak time 416 (T_m) . The modelling results confirmed that hydrothermal pretreatment at 100–160 °C could improve 417 methane yield potential from S. latissima by 14.2%-23.7%. Similarly, the peak methane production 418 419 rate was also enhanced by 8.9%–22.6%. By applying hydrothermal temperature at 180 °C, both 420 methane yield potential and methane production rate decreased to some extent. These results are in 421 agreement with the experimental data.

422

423 **3.4. Energy balance of Saccharina latissima biomass in dark fermentation and**

424 anaerobic digestion

The results of the energy conversion efficiency are compared to the different process energy efficiencies under three different scenarios, which include: (1) process energy efficiency as per experimental conditions; (2) process energy efficiency with potential heat recovery; and (3) process energy efficiency with reduced water use for hydrothermal pretreatment by 30%, as shown in Fig. 7. The energy conversion efficiency at all conditions shows the higher value compared to the energy process efficiency. The reason for that is obviously due to the fact that the calculation of energy conversion efficiency excludes the external energy supply for pretreatment, dark fermentation and

432 anaerobic digestion. The total energy conversion efficiency of untreated seaweed was calculated as 433 59.6%, of which the efficiency of hydrogen was only 0.7% and the efficiency of methane was 58.8%. 434 The hydrothermal pretreatment at 140 °C led to the highest energy conversion efficiency of 72.8%, 435 due to the fact that the highest methane yield was obtained under this condition. It is noted that not 436 all the energy of S. latissima was transformed into hydrogen and methane; around 27.2%-41.3% of 437 total energy was still unexploited. This result could be attributed to the following reasons: (1) the 438 degradation efficiency of seaweed substrate was not 100%; (2) a minority of energy was consumed for 439 supporting microbial growth and reproduction; and (3) the formation of unfermentable byproducts 440 during hydrothermal pretreatments.

441 Taking into account the external energy to supply heat for pretreatment, fermentation and 442 anaerobic digestion, the highest process energy efficiency was achieved as only 37.8% when no 443 pretreatment was applied. Unlike the energy conversion efficiency which peaked at 140 °C, the 444 process efficiency continued to drop with increased temperatures, due to a higher need for external 445 heat. This suggests that the additional methane generated was not sufficient to overcome the added 446 heat required to maintain the temperature of pretreatment. Even with reducing the water use by as 447 much as 30%, the process energy balance showed minimal improvement. However, a significant gain 448 in process energy efficiency was obtained if the heat from the hydrolysates was recovered. A new 449 peak of process energy efficiency was obtained at 160 °C of 56.9%. Thus, the use of waste heat is of 450 importance, whereby the easiest use is to maintain the heat for fermentation and anaerobic digestion. 451 Upon further system optimisation and integration, the reported cascading system may open a 452 valuable approach for seaweed processing and valorisation.

453

454 **3.5.** Comparison of gaseous biofuels production from macroalgae with literature

Table 4 provides relevant studies on the pretreatment of various macroalgae and the resulting biohydrogen and biomethane production. Macroalgae including brown (such as *Laminaria japonica* and *Sargassum sp.*) and red (such as *Gelidium amansii*) algae have been investigated for one-stage biohydrogen production and two-stage biohydrogen and biomethane co-production. There are many pretreatment methods that have been studied in the literature, including thermal, thermal acid and

460 microwave acid pretreatments [61]. These pretreatment methods could lead to an increase in biofuel 461 production to different extents. For example, biohydrogen yield from L. japonica was significantly 462 enhanced (by 143%) after combining thermal and hydrochloric acid pretreatment [62]. Yin et al. 463 investigated the effect of combined microwave-acid pretreatment on fermentative hydrogen 464 production from *L. japonica* [31]. The results showed that pretreatment at 140 °C with 1% H₂SO₄ for 465 15 min increased biohydrogen yield from 15 to 28 mL/g dry weight [31]. In comparision, the research 466 on two-stage fermentation using pretreated macroalgae has seldom been investigated. Costa et al. 467 demonstrated the feasibility of biohydrogen and biomethane co-production from thermally pretreated 468 Sargassum sp., which could greatly improve the energy conversion efficiency from macroalgae [63]. In 469 the present paper, hydrothermal pretreatment has been successfully applied to improve biofuels 470 production from brown seaweed S. latissima. The biomethane yield was enhanced by 22.6% under 471 optimal condition. The biodegradability of untreated S. latissima was 63.4%, and raised to 77.7% with 472 hydrothermal pretreatment at 140 °C for 30 minute in a two stage system. Coupled with methane 473 fermentation, the two-stage fermentation process achieved the highest overall energy efficiency from 474 seaweed, demonstrating the feasibility for future commercial applications.

475

476 **4. Conclusions**

- 477 Hydrothermal pretreatment of seaweed *S. latissima* could significantly break down the
 478 recalcitrant macro- and micro-structures of seaweed and was proven to be effective to produce
- 479 gaseous biofuels. The major findings are as follows:
- 480 1) The first-stage biohydrogen yield only slightly increased via dark fermentation after pretreatment,
- 481 while the second-stage biomethane yield significantly increased by 22.6% under the optimal
- 482 pretreatment temperature of 140 °C. The maximum energy conversion efficiency of 72.8% was
- 483 achieved after two-stage biohydrogen and biomethane co-production.
- 484 2) When considering the extra energy input for pretreatment, fermentation and digestion, the
- 485 highest process energy efficiency obtained was 37.8% using untreated seaweed. This efficiency
- 486 continued to drop with increasing pretreatment temperature, suggesting the additional methane
- 487 generated was not sufficient to overcome the energy required to maintain the pretreatment

488 temperature.

489 3) However, a significant increase in efficiency (to 56.9%) can be achieved through heat recovery

490 from hydrothermal pretreatment at 160 °C. Upon further system optimisation, this enables the

491 possibility of combining thermochemical and biological treatments for seaweed exploitation and

- 492 can promote circular bioeconomy concepts.
- 493

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501

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721 Fig.1. Schematic for two-stage dark hydrogen fermentation and anaerobic digestion. Green boxes

722 indicate materials, and grey boxes indicate processes. SMPs: soluble metabolic products.



727 Fig. 2. FTIR spectra of *Saccharina latissima* before and after hydrothermal pretreatment.







733 deviation.



Fig. 4. Biohydrogen yield from *Saccharina latissima* after hydrothermal pretreatment. Data are

- 737 presented as mean ± standard deviation.
- 738



Fig. 5. Schematic of dark fermentation and anaerobic digestion of *Saccharina latissima*.









748 Fig. 7. Energy conversion efficiency (η_{ece}) and process energy efficiency (η_{pe}) of the cascading dark

fermentation and anaerobic digestion. $\eta_{pe,HR}$: Considering heat recovery from cooling down of

750 pretreated hydrolysates. $\eta_{pe,LW}$: Considering 30% less water use than that in hydrothermal

751 pretreatment without heat recovery.

753	Table 1 Com	positional ana	lysis of dried	seaweed S	accharina	latissima
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Parameter	S. latissima
Proximate analysis (wwt%)	
TS	99.9
VS	66.0
VS/TS (%)	66.1
Ultimate analysis (%TS)	
Carbon	31.5
Hydrogen	4.0
Oxygen	28.4
Nitrogen	2.2
C/N mass ratio	14.3
Energy value (kJ/g VS)	17.1
Theoretical biomethane yield	443.9

755 Table 2 Soluble metabolite products (SMPs) profile after dark hydrogen fermentation of *Saccharina*

latissima biomass.

Compositions (g/L)	Untreated	100 °C	120 °C	140 °C	160 °C	180 °C
Acetic acid	3.58	3.30	3.67	3.82	3.76	3.70
Propionic acid	0.20	0.14	0 1 2	0.21	0.10	0.42
Propionic aciu	0.50	0.14	0.12	0.21	0.19	0.43
Iso-butyric acid	0.21	0.20	0.14	0.20	0.17	0.18
Butyric acid	1.33	1.13	0.78	1.25	0.43	0.81
leo valorie acid	0.14	0.15	0.00	0.15	0.10	0.12
ISO-VALETIC ACIU	0.14	0.15	0.09	0.15	0.10	0.15
Valeric acid	0.14	0.18	0.14	0.20	0.20	0.20
Caproic acid	0.02	0.02	0.02	0.02	0.01	0.06
T () (0) (0)						
Iotal SMPs	5.73	5.12	4.96	5.84	4.87	5.51

	Experiment	Peak	Kinetic model parameters					
Pretreatme	al methane	production	H _m ^a	R_m^{b}	λ ^c	T_m^d		Biodegrad
nt	yield	rate	(mL/gV	(mL/gVS/	(d)	(d)	R^2	ability (%)
	(mL/gVS)	(mL/gVS/d)	S)	d)	(u)	(u)		
Untreated	281.4±4.2	58.5±4.1	294.1	59.4	1.1	2.9	0.991	63.4
100 °C	318.8±9.1	71.9±1.9	336.1	64.7	0.9	2.8	0.982	71.8
120 °C	344.6±3.9	74.4±3.8	363.7	70.5	1.2	3.1	0.985	77.6
140 °C	345.1±6.3	78.1±3.1	363.5	72.4	1.2	3.0	0.983	77.7
160 °C	337.8±15.5	76.3±3.6	355.4	72.8	1.4	3.2	0.984	76.1
180 °C	278.7±2.4	54.9±1.7	293.7	52.6	1.0	3.1	0.993	62.8

758 Table 3 Kinetic parameters of biomethane production from *Saccharina latissima* biomass.

Note: ^a H_m is maximum methane yield potential; ^b R_m is peak methane production rate; ^c λ is lag-phase

760 time of methane production; ^d T_m is peak time of methane production (calculated as: $T_m = H_m/R_m/e +$

761

λ).

Table 4 Biohydrogen and biomethane production from macroalgal biomass with various pretreatments.

Macroalgae species	Pretreatment	Hydrogen yield	Methane yield	Increases in hydrogen and	Ref.
				methane production	
Laminaria japonica	Thermal; T=150–180 °C; t=5–40 min	69.1–109.6 mL H ₂ /g COD _{added}	/	From -10.7% to +63.9% (H ₂)	[62]
Laminaria japonica	Combined acid + thermal; T=60, 110,	$9.5-163.1$ mL H $_2$ g/ dry algae	/	From –86% to +143% (H ₂)	[32]
	160 °C; t=5, 22.5,40 min; HCl dosage=0,				
	6, 12% (w/w)				
Laminaria japonica	Combined microwave-acid + thermal,	15.0–26.8mL H ₂ /g dry algae	/	From –0.6% to +77.5% (H ₂)	[31]
	T=140 °C; t= 15 min, H ₂ SO ₄ dosage=0, 0.5,				
	1 and 2% (v/v)				
<i>Laminaria digitata</i> and	Combined acid + thermal, T= 95, 115 and	60.5–70.6 mL H ₂ /g VS	/	From –7.2% to +16.7% (H ₂)	[64]
Arthrospira platensis	135 °C; t=15 min; $\rm H_2SO_4$ dosage=2.5 and				
	5% (v/v)				
Gelidium amansii	Combined acid + thermal, T=120, 150,	0–37.0 mL H ₂ /g dry algae	/	N.A.	[65]
	180 °C; t=15 min; H ₂ SO ₄ dosage=0.5, 1,				
	1.5% (w/w)				
Sargassum sp.	Thermal, T=121 °C; t=15 min	60.8–91.3 mL H ₂ /g VS	345–541 mL CH ₄ /g VS	N.A.	[63]
Saccharina latissima	Hydrothermal, T=100, 120, 140, 160,	6.9–13.7 mL H ₂ /g VS	278.7–345.1 mL CH ₄ /g VS	From –41.0% to +17.0% (H ₂)	This
	180 °C; t=10 min			From –9.6% to +22.6% (CH ₄)	study