

The microbiota of the bilio-pancreatic system: a cohort, STROBE-compliant study

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Background: The gut microbiota play an essential role in protecting the host against pathogenic microorganisms by modulating immunity and regulating metabolic processes. In response to environmental factors, microbes can hugely alter their metabolism. These factors can substantially impact the host and have potential pathologic implications. Particularly pathogenic microorganisms colonizing pancreas and biliary tract tissues may be involved in chronic inflammation and cancer evolution.

Purpose: To evaluate the effect of bile microbiota on survival in patients with pancreas and biliary tract disease (PBD).

Patients and Methods: We investigated 152 Italian patients with cholelithiasis (CHL), cholangitis (CHA), cholangiocarcinoma (CCA), gallbladder carcinoma (GBC), pancreas head carcinoma (PHC), ampullary carcinoma (ACA), and chronic pancreatitis (CHP). Demographics, bile cultures, therapy, and survival rates were analyzed in cohorts (T₁ death <6 months; T₂ death <12 months; T₃ death <18 months, T_{3S} alive at 18 months).

Results: The most common bacteria in T₁ were *E. coli*, *K. pneumoniae*, and *P. aeruginosa*. In T₂, the most common bacteria were *E. coli* and *P. aeruginosa*. In T₃, there were no significant bacteria isolated, while in T_{3S} the most common bacteria were like those found in T₁. *E. coli* and *K. pneumoniae* were positive predictors of survival for PHC and ACA, respectively. *E. coli*, *K. pneumoniae*, and *P. aeruginosa* showed a high percentage of resistant bacteria to 3CGS, aminoglycosides class, and quinolone group especially at T₁ and T₂ in cancer patients.

Conclusions: An unprecedented increase of *E. coli* in bile leads to a decrease in survival. We suggest that some strains isolated in bile samples may be considered within the group of risk factors in carcinogenesis and/or progression of hepato-biliary malignancy. A better understanding of bile microbiota in patients with PBD should lead to a multifaceted approach to rapidly detect and treat pathogens before patients enter the surgical setting in tandem with the implementation of the infection control policy.

Keywords: human bile microorganisms, survival, pancreatic and biliary tract disease, *E. coli*

Introduction

The gut microbiota plays an indispensable role in protecting the host against pathogenic microorganisms by modulating immunity and regulating metabolic processes.¹ In response to environmental factors, microbes can hugely alter their metabolism. These factors can substantially impact the host and have potential pathologic implications. In 1989, Wells published a work in which he demonstrated that the post-surgical infections were more often to be found in patients with non-sterile bile.² Since then, there is increasing interest in the bile microbiome of the hepatobiliary system. There is an increasing interest to investigate

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cohorts of patients according to the STROBE guidelines. STROBE stands for international collaboration of epidemiologists, methodologists, statisticians, researchers, and editors involved in the conduct and diffusion of observational studies, with the common aim of Strengthening the Reporting of Observational studies in Epidemiology.³ The importance to target the bile microbiota may suggest avenues for future studies of biomarkers and therapeutic interventions in hepatobiliary disease.⁴⁻⁸

The primary malignancies of the biliary tract, ie, cholangiocellular carcinoma (CCA) and gallbladder carcinoma (GBC), have been traditionally diagnosed at an advanced stage and harbor a low sensitivity to radiation and chemotherapy.⁹ In the last decade, both the diagnostic and therapeutic approaches of these patients have started to change because of the improvement of imaging and the introduction of new chemical compounds addressing specific signaling pathways of carcinogenesis.⁹⁻¹² On the other hand, the mortality in some groups of malignancies of the pancreatic-biliary system remains obscurely high with low survival rates.¹³⁻¹⁵ Our research question was to address the potential clinical impact of the bile microbiota in these patients and if *Enterobacteriaceae* are significantly associated with neoplasms of the pancreas and biliary tract.

Accumulating evidence indicates that a multidisciplinary approach to surgical and non-surgical treatment strategies for patients with complex pancreatic and biliary disease is crucial.¹⁶ Thus, a series of interdisciplinary meetings occurred in our institution with occasional foreign visitors with expertise in clinical pathology and public health. Preoperative biliary drainage (POBD) is often performed by endoscopic placement of an endo-biliary stent into the common bile duct (CBD) or via percutaneous transhepatic drainage of the biliary tract or after decompression of the biliary duct. Previous studies showed a favorable effect of POBD on postoperative morbidity and mortality, although a stent may be a significant risk factor for bacterial contamination of the biliary system. Subjects with microbiota that is resistant to antibiotics are at an increased risk of postoperative infection as also shown in previous research work from us and others.¹⁷⁻²⁰ Moreover, oncologic patients may be affected by malnutrition or cachexia and exhibit a low quality of life, increased morbidity and mortality, prolonged hospital stays, and a reduced response to treatment.²¹⁻²³ Postoperative complications may also influence biliary microbiota.²⁴⁻²⁶ In line with this research, we hypothesized that certain kinds of bacterial and/or fungal microorganisms isolated in bile might be associated with specific pancreas and

biliary tract diseases (PBDs) especially in surgical patients undergoing surgery due to PBD. A particular strain might be responsible for a decrease in survival other than the neoplastic disease. We retrospectively investigated the microbiota in the bile of surgical patients with PBD for a correlation between dead/survived patients considering bacterial strains collected from the bile, the underlying disease, and the anti-infective therapy.

Materials and methods

Study design and patients

This study is a single-center cohort investigation that was performed in a quality assurance (QA)-certified academic setting.²⁷ We retrieved the files of patients with a diagnosis of cholelithiasis (CHL), cholangitis (CHA), CCA, GBC, carcinoma of the head of the pancreas (PHC), ampullary carcinoma (ACA), and chronic pancreatitis (CHP). The patients were hospitalized at the Department of General and Emergency Surgery, University Hospital of Palermo, Italy, between June 2010 and June 2014, with follow-up until December 2016. The study population consisted of patients with positive culture of bile samples collected during endoscopic retrograde cholangiopancreatography (ERCP) from patients harboring hepatobiliary disease at an external quality assurance-certified General Surgery and Emergency Academic Unit by the same operator (FD) as previously published.^{28,29}

Routine Antibiotic prophylaxis was not administered in unselected patients underwent to ERCP for bile sampling as reported in the literature (Performance measures for ERCP and endoscopic ultrasound: a European Society of Gastrointestinal Endoscopy (ESGE) Quality Improvement Initiative).³⁰ The operational guidelines for anti-infective prophylaxis used in the study period were previously reported³¹ and also available on the website of the University Hospital "P. Giaccone" of Palermo, Italy (<http://www.policlinico.pa.it/portal/pdf/news/CIO/LineeGuidaAntibioticoProfilassiLast.pdf>)

The patient population included hospitalized patients at the first surgery (58%) and patients readmitted at our unit (12%). Our surgical emergency reference admitted outpatients are also coming from several surgical groups (30%). All outpatients (30%) were emergency cases and showed a history of surgery. Moreover, half of the patients had a history of previous antibiotic treatment. The incidence of comorbidities such as diabetes and cardiovascular

disease mirrored those reported in the general population according to age and sex during the study period.³²

Data about kind of surgical intervention applied at the Department of General and Emergency Surgery of the Policlinic of Palermo and experience about revision, re-exploration, and solution of complications of surgical procedures performed both in the open and in a laparoscopic way have previously been reported.³³ In general, in resectable pancreatic cancer and periampullary neoplasms, pre-operative biliary drainage was done with ERCP if the serum bilirubin was more than 20 mg/dl or if the patient had a fever because of acute CHA.³³ In gallstones disease and acute cholecystitis, a laparoscopic approach was preferred in elderly patients.³⁴ According to European guidelines, a biliary stent was placed in cases of malignant obstruction and if the tumor was unresectable (about 18% of enrolled patients).

Ethics procedures and adherence to STROBE guidelines

All the patients gave written informed consent for surgical procedures and collection and storage of research data set including their anonymous publication according to ethical guidelines of the Declaration of Helsinki for clinical studies. Moreover, a written informed consent, also including the potential release of the patient's details, was obtained from each patient's next of kin by the principles of the Declaration of Helsinki as previously reported. Our study was inserted in the surveillance program for multidrug-resistant Gram-negative bacilli, including active surveillance cultures, that has been carried out in the Surgical Emergency Unit since January 2010 as previously reported.^{35,36} The study protocol was fully approved by the Ethics Committee of the Azienda Ospedaliero-Universitaria Policlinic "P. Giaccone", University of Palermo, Palermo, Italy (IRB n.10_8/2013). This work follows the STROBE guidelines for retrospective cohort studies.³

The cyto- and/or histopathological examination was performed in a Quality Assurance (QA)-certified hospital only setting using protocols and standard operative procedures.³⁷

Microbiota identification

Bacterial identification with antimicrobial susceptibility testing was carried out by collecting laboratory data using either the Phoenix Automated Microbiology System (Becton Dickinson Diagnostic Systems, Sparks,

United States) or the Vitek-2 System (Bio-Mérieux, Marcy l'Etoile, France).^{38,39} Antibiotic susceptibility testing and detection of the Extended-spectrum beta-Lactamase (ESBL) were first performed by disk diffusion and double disk synergy test and then confirmed by Etest (BioMerieux, Marcy l'Etoile, France) methods according to the guidelines of the European Committee specialized on Antimicrobial Susceptibility Testing (EUCAST).⁴⁰

All isolates were confirmed to be non-susceptible to imipenem and/or meropenem according to the EUCAST breakpoints as previously reported.⁴⁰ As previously reported,³⁷ a multidisciplinary team meeting approved the introduction of a specific antibiotic therapy in patients who presented with at least one of the following specimens positive for pathogens – peritoneal fluid, peritoneal fluid cultures, drainage fluid/blood or bile or tissue – during surgical procedures according to the Infectious Diseases Society of America and the American Society for Microbiology.⁴¹

Candida spp. were also identified by both conventional morphological and biochemical methods as previously reported.³⁷

Statistical analysis

The statistical analysis was performed using the Matrix Laboratory (MATLAB) analytical toolbox version 2008 (MathWorks, Natick, MA, USA). Data are explicitly presented as number and percentage for categorical variables. Continuous data expressed as the mean \pm standard deviation (SD) unless otherwise specified. The multiple comparison chi-square tests were used to define significant differences among percentages. If the chi-square test was significant ($p < 0.05$), the residual analysis with the Z-test was performed. In the case of paired data, the multiple comparison Cochran's Q tests were used to compare the differences among percentages under the consideration of the null hypothesis that there are no differences between the variables. When the Cochran's Q test was positive ($p < 0.05$), then a minimum required difference for a significant difference between two proportions was calculated using the Minimum Required Differences method with Bonferroni p -value corrected for multiple comparisons according to Sheskin (2004). Multi-comparison tests on continuous data were performed with one-way ANOVA test to evaluate significant differences among means. If the ANOVA test was positive, the Scheffé's method, a technique for adjusting levels of significance in a linear regression analysis to

justify multiple comparisons, was performed for pairwise comparison of subgroups. Also, univariate and multivariate linear correlation analysis was performed, where the test on Pearson's linear correlation coefficient R was performed with the t -Student test, under the null hypothesis of Pearson's linear correlation coefficient of R equals to zero. At this step, we defined an experimental probability distribution for the patients' survival, therapy, disease, bacteria type, and gender. We assigned a score 1 in the case of survival after 18 months else zero, 1 to male and zeroed to female, 1 to therapy-sensitive and zero with therapy resistance, 1 for positive bacterial culture, and zero for sterile culture, and 1 for a pancreaticobiliary disease and zero for no-disease.

To analyze the overall survival time, we categorized the patients in four cohorts: T_1 , patients with death within six months; T_2 , death within 12 months; T_3 , death within 18 months, and T_{3S} patients alive at 18 months. Finally, we analyzed the effect of several risk factors on survival. For this scope, we defined the dichotomous variables: Cancer_disease (1= yes cancer: CCA, GBC, PHC, or ACA and 0 = no cancer, ie, inflammatory disease: CHL, CHA, or CHP) and Frequent_bacteria (1= most frequent: *K. pneumoniae*, *Pseudomonas spp* or *E. coli* and 0 = Others). Particularly, the Kaplan–Meier survival curves were showed for no cancer and cancer group in Figure 2 and compared with the log-rank test; instead, the Cox proportional-hazards regression results are shown in Table 4 and Figure 3. All tests with p -value (p) < 0.05 were considered significant.

Results

Patients and grouping

A total of 152 consecutive patients met our eligibility criteria and were enrolled in the study: 53.29% males and 46.71% females with age range of 26–93 (72 ± 13 ; mean \pm SD). Table 1 shows mean age, percentages by sex and isolates, pancreatic and biliary tract diseases, and anti-infective therapy administered in the enrolled patients divided by their follow-up control points (T_1 , T_2 , T_3 , and T_{3S}). Also, we reported the antimicrobials used according to antimicrobial test against bacteria isolated. The statistical analysis among groups defined at T_1 , T_2 , T_3 , and T_{3S} is presented in the last column of Table 1. A multivariate analysis was performed, among all control points for every variable considered and where the multivariate analysis was positive ($p < 0.05$) a post hoc test with pairwise comparison was completed. In this case, only significant results are reported. By contrast of mean age, we found

a significant difference ($p < 0.0001$, one-way ANOVA); notably, there was a significant difference between T_1 patients and T_{3S} patients ($75.56 > 65.15$, $p < 0.005$), ie, the dead patients within 6 months had an age significantly greater in comparison to survival patients at 18 months.

Microbiota identification

For bacteria, among T_1 , T_2 , T_3 , and T_{3S} , there was a significant difference for *Alcaligenes spp* ($p = 0.0339$), the highest frequency was localized at T_2 ($p = 0.0354$) and for Gram-negative bacilli not identified (GNBNI) ($p = 0.0122$) the highest frequency was localized at T_3 ($p = 0.0029$).

In examining the pancreatic and biliary tract diseases, PHC was mostly observed in dead patients at T_1 ($p < 0.0001$), CCA in deceased patients at T_2 ($p < 0.0001$), GBC and ACA in deceased patients at T_3 (both $p < 0.0001$), and CHL, in survival patients at T_{3S} ($p < 0.0001$, $p = 0.0093$). Regarding the therapy, there were no significant differences among patients who underwent to susceptibility to antibiotics at T_1 , T_2 , T_3 , and T_{3S} .

Survival graphics, disease, and microbiota

Finally, we reported the survival rates among T_1 (80/152, 52.65%), T_2 (41/80, 51.25%), and T_3 (33/41, 80.49%). The survival rate was significantly higher in patients belonging to the T_3 cohort ($p = 0.0042$). Particularly, the survival rates for patients with cancer only (CCA, GBC, PHC, or ACA) among T_1 (47/119, 39.50%), T_2 (9/47, 19.15%), and T_3 (1/9, 11.11%) and the survival rate for patients with no cancer (CHL, CHA, or CHP) among T_1 (33/33, 100%), T_2 (32/33, 96.97%), and T_3 (32/32, 100%). In these cases, we observed for cancer group a significant differences of survival rate among T_1 , T_2 and T_3 ($p = 0.0154$), but at significant level equal to 0.05 there were no significant survival rate higher/lower in comparison to others, even though at T_1 the survival rate was of 39.50% greater in comparison to others. Instead, for patients with no cancer, no significant differences there were among T_1 , T_2 , and T_3 ($p = 0.37$). Table 2 shows the results of the statistical test into groups (ie, T_1 death within six months; T_2 death within 12 months; T_3 death within 18 months, and T_{3S} alive at 18 months). We observed that the most common bacteria in T_1 were *E. coli* ($p < 0.0001$), *K. pneumoniae* ($p = 0.0215$), and *P. aeruginosa* ($p < 0.0001$), while the less frequently

Table I Characteristic of 152 studied patients divided in different groups by time of overall survival and significant multicomparison tests among groups: T₁ death within 6 months; T₂ death within 12 months; T₃ death within 18 months and T_{3S} alive at 18 months

Parameters	T ₁	T ₂	T ₃	T _{3S}	p-value
Patients %	47.36% (72/152)	25.65% (39/152)	5.26% (8/152)	21.71% (33/152)	–
Age (mean ± SD)	75.6±10.4	71.5±8.8	77.1±6.5	65.2±17.5	T ₁ vs T _{3S} : <0.005*(Sc)
Female	43.1% (31/72)	51.3% (20/39)	25.0% (2/8)	54.5% (18/33)	0.385 (C)
Disease					<0.0001* (C) T ₁ : <0.0001** (Z) T ₂ : <0.0001*** (Z) T ₃ : 0.0090*** (Z) T _{3S} : <0.0001*** (Z)
PHC (72)	100% (72/72)	0.0%	0.0%	0.0%	<0.0001* (C) T ₁ : 0.0001*** (Z) T ₂ : <0.0001** (Z) T _{3S} : 0.0351*** (Z)
CCA (42)	0.0%	100% (39/39)	0.0%	9.1% (3/33)	<0.0001* (C) T ₃ : <0.0001** (Z)
GBC (5)	0.0%	0.0%	62.5%(5/8)	0.0%	<0.0001* (C) T ₃ : <0.0001** (Z)
ACA (4)	0.0%	0.0%	37.5% (3/8)	3.0% (1/33)	<0.0001* (C) T ₃ : <0.0001** (Z)
CHL (27)	0.0%	0.0%	0.0%	81.8% (27/33)	<0.0001* (C) T ₁ : 0.0042*** (Z) T ₂ : 0.0123*** (Z) T _{3S} : <0.0001** (Z)
CHA (1)	0.0%	0.0%	0.0%	3.0% (1/33)	0.304 (C)
CHP (1)	0.0%	0.0%	0.0%	3.0% (1/33)	0.304 (C)
% of patients with presence of specific pathogens isolated in bile samples					
<i>Escherichia coli</i>	20.8% (15/72)	28.2% (11/39)	12.5% (1/8)	24.2% (8/33)	0.726 (C)
<i>Klebsiella pneumonia</i>	12.5% (9/72)	2.6% (1/39)	12.5% (1/8)	15.2% (5/33)	0.295 (C)
<i>Enterococcus spp.</i>	0.0%	2.6% (1/39)	0.0%	3.0% (1/33)	0.516 (C)
<i>Enterobacter spp.</i>	1.4% (1/72)	7.7% (3/39)	0.0%	6.1% (2/33)	0.334 (C)
<i>Citrobacter spp.</i>	5.6% (4/72)	5.1% (2/39)	12.5% (1/8)	6.1% (2/33)	0.877 (C)
<i>Serratia spp.</i>	0.0%	0.0%	0.0%	3.0% (1/33)	0.304 (C)
<i>Aeromonas spp.</i>	1.4% (1/72)	0.0%	0.0%	0.0%	0.304 (C)
<i>Pseudomonas aeruginosa</i>	23.6% (17/72)	20.5% (8/39)	25.0% (2/8)	30.3% (10/33)	0.809 (C)
<i>Stenotrophomonas spp.</i>	5.5% (4/72)	2.6% (1/39)	0.0%	0.0%	0.454 (C)
<i>Alcaligenes spp.</i>	0.0%	10.3% (4/39)	0.0%	3.0% (1/33)	0.0339* (C) T ₂ : 0.0354** (Z)
<i>Acinetobacter spp.</i>	9.7% (7/72)	0.0%	0.0%	3.0% (1/33)	0.121 (C)
<i>Achromobacter spp.</i>	4.2% (3/72)	7.7% (3/39)	12.5% (1/8)	3.0% (1/33)	0.614 (C)
<i>Brevundimonas spp.</i>	1.4% (1/72)	5.1% (2/39)	0.0%	3.0% (1/33)	0.655 (C)
<i>Delftia spp.</i>	2.8% (2/72)	2.6% (1/39)	0.0%	0.0%	0.768 (C)
<i>Elizabethkingia spp.</i>	1.4% (1/72)	2.6% (1/39)	0.0%	0.0%	0.797 (C)
GNBNI	4.2% (3/72)	2.6% (1/39)	25.0% (2/8)	0.0%	0.0122* (C) T ₃ : 0.0029** (Z)
<i>Candida albicans</i>	5.6% (4/72)	0.0%	0.0%	0.0%	0.207 (C)

(Continued)

Table I (Continued).

Parameters	T ₁	T ₂	T ₃	T _{3S}	p-value
% of Patients where the pathogens isolated in bile were sensible (S) to the specific therapy					
Meropenem	79.2% (57/72)	74.4% (29/39)	75.0% (6/8)	75.8% (25/33)	0.98 (C)
Imipenem	80.6% (58/72)	71.8% (28/39)	62.5% (5/8)	72.7% (24/33)	0.54 (C)
Ertapenem	75.0% (54/72)	76.9% (30/39)	75.0% (6/8)	72.7% (24/33)	0.98 (C)
3GCs plus MT	63.9% (46/72)	51.3% (20/39)	50.0% (4/8)	42.4% (14/33)	0.20 (C)
Aminoglycosides	47.2% (34/72)	38.5% (15/39)	50.0% (4/8)	36.4% (12/33)	0.66 (C)
Ciprofloxacin	58.3% (42/72)	35.9% (14/39)	37.5% (3/8)	39.4% (13/33)	0.08 (C)
Levofloxacin	37.5% (27/72)	18.0% (7/39)	37.5% (3/8)	33.3% (11/33)	0.20 (C)
3GCs	43.1% (31/72)	25.6% (10/39)	25.0% (2/8)	30.3% (10/33)	0.24 (C)
Total survival rate	52.6% (80/152)	51.3% (41/80)	80.5% (33/41)	33	0.0033 * (C) T ₃ : 0.0042 *** (Z)
Survival rate in cancer group only (CCA, GBC, PHC or ACA)	39.50% (47/119)	(9/47)19.15%	(1/9) 11.11%	1	0.0154 * (C) No localized significant results at significant level $\alpha = 0.05$
Survival rate in no cancer group only (CHL, CHA or CHP)	(33/33)100%	(32/33) 96.97%	(32/32)100%	32	0.37 (C)

Notes: T₁ = death within 6 months, T₂ = death within 12 months; T₃ = death within 18 months; T_{3S} = patients survival at T₃. *Significant test; **Significant more frequent; ***Significant less frequent.

Abbreviations: GNBNI, gram negative bacilli not identified; N, no response; R, resistant; S, sensible; 3GCs, 3rd generation cephalosporin; MT, metronidazole; CCA, cholangiocarcinoma; PHC, carcinoma of the head of the pancreas; ACA, ampullary carcinoma; GBC, gallbladder carcinoma; CHL, cholelithiasis; CHA, cholangitis; CHP, chronic pancreatitis; A, one way ANOVA test; Sc, Schaffé test for pairwise comparison; C, multicomparison chi-square test; Z, Z-test.

isolated strains included: *Alcaligenes spp.* ($p=0.0396$), *Serratia spp.* ($p=0.0396$), and *Enterococcus spp.* ($p=0.0396$). In T₂, the most common bacteria were *E. coli* ($p<0.0001$) and *P. aeruginosa* ($p<0.0001$), but no one was significantly less frequently seen in comparison to others. In T₃, there were no bacteria isolated in comparison to others. In T_{3S}, the most common bacteria were similar to those found in T₁, while there were no bacteria significantly less frequent in comparison to others.

Therapy and general drug resistance

We observed that *E. coli*, *K. pneumoniae*, and *P. aeruginosa* showed a high percentage of resistance to third-generation cephalosporins (3GCs), aminoglycosides class, and quinolone group, especially to levofloxacin in cohort one and two were the several numbers of enrolled pts are enrolled presented and/or alive.

On the other hand, the analysis of susceptibility test showed that *E. coli*, *K. pneumoniae*, and *P. aeruginosa* had a percentage of sensibility to adopted carbapenem of about 70%.

Pancreatic/biliary tract diseases, microbiota, drugs, and correlation analysis

Regarding the pancreatic and biliary tract diseases, PHC was the most frequent disease in T₁ ($p<0.0001$), CCA in T₂ ($p<0.0001$), while GBC ($p<0.0001$) and ACA ($p=0.0139$) were more often seen in T₃. At T_{3S}, the most frequent pancreatic and biliary tract disease was CHL ($p<0.0001$), while less frequent diseases included PHC and GBC ($p=0.0299$). In other words, the PHC had the highest impact on dead patients within six months. About the antibiotic therapy, we observed that the most frequent treatments in T₁ were meropenem ($p<0.005$) and imipenem ($p<0.005$), while the less commonly occurring therapy was levofloxacin ($p<0.005$). In T₂, we more often encountered meropenem ($p<0.005$) and ertapenem ($p<0.005$), while the fewer common antibiotics were ciprofloxacin, levofloxacin, and 3CGs. In T₃, there was no therapy, which was significant in any category. Conversely, in T_{3S}, meropenem was the most frequent therapy identified ($p<0.005$). Fewer standard treatments

Table 2 Multicomparison tests among percentages into groups: T₁ death within 6 months; T₂ death within 12 months; T₃ death within 18 months and T_{3S} alive at 18 months

Parameters	T ₁	T ₂	T ₃	T _{3S}
Bacteria	<0.0001 * (C)	<0.0001 * (C)	0.34 (C)	<0.0001 * (C)
<i>Escherichia coli</i>	<0.0001 ** (Z)	<0.0001 ** (Z)	–	<0.0001 ** (Z)
<i>Klebsiella pneumoniae</i>	0.0215 ** (Z)	–	–	0.0408 ** (Z)
<i>Enterococcus spp</i>	0.0396 *** (Z)	–	–	–
<i>Enterobacter spp</i>	–	–	–	–
<i>Citrobacter spp</i>	–	–	–	–
<i>Serratia spp</i>	0.0396 *** (Z)	–	–	–
<i>Aeromonas spp</i>	–	–	–	–
<i>Pseudomonas aeruginosa</i>	<0.0001 ** (Z)	<0.0001 ** (Z)	–	–
<i>Stenotrophomonas spp</i>	–	–	–	<0.0001 ** (Z)
<i>Alcaligenes spp</i>	0.0396 *** (Z)	–	–	–
<i>Acinetobacter spp</i>	–	–	–	–
<i>Achromobacter spp</i>	–	–	–	–
<i>Brevundimonas spp</i>	–	–	–	–
<i>Delftia spp</i>	–	–	–	–
<i>Elizabethkingia spp</i>	–	–	–	–
GNBNI	–	–	–	–
Disease	<0.0001 * (C)	<0.0001 * (C)	<0.0001 * (C)	<0.0001 * (C)
PHC	<0.0001 ** (Z)	0.0165 *** (Z)	–	0.0299 *** (Z)
CCA	0.0073 *** (Z)	<0.0001 ** (Z)	–	–
GBC	0.0073 *** (Z)	0.0165 *** (Z)	<0.0001 ** (Z)	0.0299 *** (Z)
ACA	0.0073 *** (Z)	0.0165 *** (Z)	0.0139 ** (Z)	–
CHL	0.0073 *** (Z)	0.0165 *** (Z)	–	<0.0001 ** (Z)
CHA	0.0073 *** (Z)	0.0165 *** (Z)	–	–
CHP	0.0073 *** (Z)	0.0165 *** (Z)	–	–
The most and less frequent therapy used in patients with bile disease	<0.0001 * (Q) test	<0.0001 * (Q)	0.10 (Q)	<0.0001 * (Q)
Meropenem	<0.005 ** (Sh)	<0.005 ** (Sh)	–	<0.005 ** (Sh)
Imipenem	<0.005 ** (Sh)	–	–	–
Ertapenem	–	<0.005 ** (Sh)	–	–
3GCs plus MT	–	–	–	–
Aminoglycosides	–	–	–	<0.005 *** (Sh)
Ciprofloxacin	–	<0.005 *** (Sh)	–	–
Levofloxacin	<0.005 *** (Sh)	<0.005 *** (Sh)	–	<0.005 *** (Sh)
3GCs	–	<0.005 *** (Sh)	–	<0.005 *** (Sh)

Notes: *Significant test; **Significant more frequent; ***Significant less frequent; T₁ =6 months, T₂ =12 months, and T₃ =18 months; T_{3S} = patients survival at T₃.

Abbreviations: Q, multicomparison Cochran's Q test; (Sh) Sheskin's procedure for significant difference between two proportions; C, multicomparison chi-square test; Z, Z-test; GNBNI, Gram negative bacilli not identified; N, no response; R, resistant; S, Bacteria sensible to the therapy; NT, no therapy; 3GCs, 3rd generation cephalosporin; MT, metronidazole; CCA, cholangiocarcinoma; PHC, carcinoma of the head of the pancreas; ACA, ampullary carcinoma; GBC, gallbladder carcinoma; CHL, cholelithiasis; CHA, cholangitis; CHP, chronic pancreatitis.

used in T_{3S} were aminoglycosides, levofloxacin, and 3GCs ($p < 0.005$).

Table 3 shows univariate and multivariate linear correlation analysis considering as dependence variable: survival patients and independence variables: age, gender, most frequently: isolated strains (*E coli*, *K pneumoniae*, *P. aeruginosa*),

underlying diseases (PHC, CCA, ACA, GBC, and CHL), and carbapenem class (meropenem, imipenem, and ertapenem).

By multivariate analysis, the negative significant predictors of survival were: PHC ($p < 0.0001$), CCA ($p < 0.0001$), ACA ($p < 0.0001$), GBC ($p < 0.0001$), and CHL ($p = 0.0040$). There were no significant positive predictors. In other

Table 3 Univariate and multivariate linear correlation analysis on the most frequent bacteria and disease, age, gender, and survival patients

Linear correlation analysis	Univariate analysis	Multivariate analysis
Parameters	R (p-value)	R _{partial} ; p-value
Survival patients/PHC	-0.45 (<0.0001) *	Multiple linear correlation coefficient =1.0 R _{partial} = -0.99; p-value <0.0001 *
Survival patients/CCA	-0.22 (0.0062) *	R _{partial} = -0.98; p-value <0.0001 *
Survival patients/ACA	0.33 (<0.0001) *	R _{partial} = -0.90; p-value <0.0001 *
Survival patients/GBC	-0.55 (<0.0001) *	R _{partial} = -0.98; p-value <0.0001 *
Survival patients/CHL	0.43 (<0.0001) *	R _{partial} = -0.24; p-value =0.0040 *
Survival patients/ <i>E. coli</i>	-0.21 (0.0088) *	R _{partial} = 0.04; p-value =0.68
Survival patients/ <i>K. pneumoniae</i>	0.16 (0.0495) *	R _{partial} = 0.05; p-value =0.58
Survival patients/ <i>Pseudomonas aeruginosa</i>	0.23 (0.0038) *	R _{partial} = -0.07; p-value =0.45
Survival patients/Meropenem	-0.20 (0.0157) *	R _{partial} = 0.05; p-value =0.59
Survival patients/Imipenem	0.08 (0.31)	R _{partial} = -0.02; p-value =0.84
Survival patients/Ertapenem	-0.13 (0.11)	R _{partial} = -0.08; p-value =0.38
Survival patients/Age	-0.10 (0.20)	R _{partial} = -0.16; p-value =0.06
Survival patients/Gender	-0.09 (0.25)	R _{partial} = -0.11; p-value =0.10
PHC/ <i>E. coli</i>	0.52 (<0.0001) *	Multiple linear correlation coefficient =0.63 R _{partial} =0.29; p-value =0.0003 *
PHC/ <i>K. pneumoniae</i>	-0.46 (<0.0001) *	R _{partial} =-0.27; p-value =0.0008 *
PHC/ <i>Pseudomonas aeruginosa</i>	0.13 (0.12)	R _{partial} =0.058; p-value =0.48
CCA/ <i>E. coli</i>	-0.35 (<0.0001) *	Multiple linear correlation coefficient =0.59 R _{partial} =-0.42; p-value <0.0001 *
CCA/ <i>K. pneumoniae</i>	0.42 (<0.0001) *	R _{partial} =-0.09; p-value =0.26
CCA/ <i>Pseudomonas aeruginosa</i>	-0.36 (<0.0001) *	R _{partial} =-0.43; p-value <0.0001 *
GBC/ <i>E. coli</i>	-0.12 (0.16)	Multiple linear correlation coefficient =0.16 R _{partial} =0.01; p-value =0.93
GBC/ <i>K. pneumoniae</i>	0.13 (0.11)	R _{partial} =0.12; p-value =0.16
GBC/ <i>Pseudomonas aeruginosa</i>	0.03 (0.70)	R _{partial} =0.08; p-value =0.32
ACA/ <i>E. coli</i>	-0.08 (0.31)	Multiple linear correlation coefficient =0.16 R _{partial} =0.06; p-value =0.44
ACA/ <i>K. pneumoniae</i>	0.25 (0.0017) *	R _{partial} =0.20; p-value =0.0131 *
ACA/ <i>Pseudomonas aeruginosa</i>	-0.12 (0.15)	R _{partial} =0.04; p-value =0.65
CHL/ <i>E. coli</i>	-0.21 (0.0099) *	Multiple linear correlation coefficient =0.52 R _{partial} =-0.22; p-value =0.0083 *
CHL/ <i>K. pneumoniae</i>	0.43 (<0.0001) *	R _{partial} =0.06; p-value =0.45
CHL/ <i>Pseudomonas aeruginosa</i>	-0.40 (<0.0001) *	R _{partial} =-0.33; p-value <0.0001 *
<i>E. coli</i> /Meropenem	0.36 (<0.0001) *	Multiple linear correlation coefficient =0.59 R _{partial} =0.32; p-value =0.0001 *
<i>E. coli</i> /Imipenem	0.51 (<0.0001) *	R _{partial} =0.49; p-value <0.0001 *
<i>E. coli</i> /Ertapenem	0.15 (0.06)	R _{partial} = -0.30; p-value =0.0002 *
<i>K. pneumoniae</i> /Meropenem	-0.24 (0.0027) *	Multiple linear correlation coefficient =0.76 R _{partial} =0.10; p-value =0.24
<i>K. pneumoniae</i> /Imipenem	-0.75 (<0.0001) *	R _{partial} =-0.72; p-value <0.0001 *
<i>K. pneumoniae</i> /Ertapenem	-0.33 (<0.0001) *	R _{partial} =0.02; p-value =0.65
<i>Pseudomonas aeruginosa</i> /Meropenem	0.029 (0.72)	Multiple linear correlation coefficient =0.56 R _{partial} =-0.35; p-value <0.0001 *
<i>Pseudomonas aeruginosa</i> /Imipenem	0.45 (<0.0001) *	R _{partial} =0.40; p-value <0.0001 *
<i>Pseudomonas aeruginosa</i> /Ertapenem	0.35 (<0.0001) *	R _{partial} =0.33; p-value <0.0001 *

Notes: *significant test; R = Pearson's linear correlation coefficient; R_{partial}= the partial correlation coefficient is the coefficient of correlation of the variable with the dependent variable, adjusted for the effect of the other variables in the mode

Abbreviations: CCA, cholangiocarcinoma; PHC, carcinoma of the head of the pancreas; ACA, ampullary carcinoma; GBC, gallbladder carcinoma; CHL, cholelithiasis.

Table 4 Cox proportional-hazards regression

Covariates	Percentages or mean \pm SD	HR	95% CI	p-value
Age	72.3 \pm 12.6	1.0	0.99–1.03	0.48
Gender				
• Male	53.3 (81/152)	1.0	0.67–1.40	0.87
• Female	46.7 (71/152)			
Therapy				
• % patients therapy Sensible	94.7 (144/152)	0.6	0.26–1.37	0.22
• % patients therapy Resistant	5.3 (8/152)			
Frequent bacteria				
• <i>K. Pneumoniae</i> + <i>Pseudomonas aeruginosa</i> + <i>E. coli</i>	57.9 (88/152)	1.1	0.74–1.53	0.74
• Others	42.1 (64/152)			
Disease type				
• % patients with cancer: CCA, GBC, PHC or ACA	78.3 (119/152)	73.6	10.1–537.5	<0.0001 *
• % patients with inflammatory disease CHL, CHA or CHP	21.7 (33/152)			

Note: *Significant test.

Abbreviations: HR, hazard ratio(s); CCA, Cholangiocarcinoma; PHC, carcinoma of the head of the pancreas; ACA, ampullary carcinoma; GBC, gallbladder carcinoma; CHL, cholelithiasis; CHA, cholangitis; CHP, chronic pancreatitis HR, hazards ratio; CI, confidence interval; SD, standard deviation.

words, the presence of PHC, CCA, ACA, GBC, and CHL implicated a decreasing of survival.

In univariate analysis, the survival was negatively correlated to PHC ($p<0.0001$), CCA ($p<0.0001$), GBC ($p<0.0001$), *E. coli* ($p=0.0088$), and meropenem ($p=0.0157$). Conversely, the survival was positively correlated to ACA ($p<0.0001$), CHL ($p<0.0001$), *K. pneumoniae* ($p=0.0495$), and *P. aeruginosa* ($p=0.0038$). Considering each variable individually, we found that the presence of PHC, CCA, GBC, *E. Coli*, and meropenem was connected to patients with low survival. On the other hand, ACA, CHL, *K. pneumoniae*, and *P. aeruginosa* were connected with patients who survived more in comparison to others.

Considering additional analyses, we observed that in univariate and multivariate analysis *E. coli* was a significant positive predictor of PHC, ie, the presence of *E. coli* is associated with PHC. In both univariate and multivariate analysis, *K. pneumoniae* was a significant negative predictor of PHC, ie, the presence of *K. pneumoniae* does not implicate the presence of PHC.

In the univariate and multivariate analysis, *E. coli* and *P. aeruginosa* were significant negative predictors of CCA. In other words, *E. coli* or *P. aeruginosa* suggests the absence of CCA. Moreover, only in univariate analysis *K. pneumoniae* was positively correlated to CCA, and *K. pneumoniae* implicates CCA. About GBC, there were no significant correlations with *E. coli*, *K. pneumoniae*, and *P. aeruginosa*.

In the univariate and multivariate analysis, *K. pneumoniae* was a significant positive predictor of ACA, ie, the presence of *K. pneumoniae* implicates the diagnosis of ACA. In the univariate and multivariate analysis, *E. coli* and *P. aeruginosa* were significant negative predictors of gallstone disease, ie, the presence of *E. coli* or *P. aeruginosa* may implicate the absence of CHL.

In univariate analysis alone, *K. pneumoniae* was positively correlated to CHL, ie, the presence of *K. pneumoniae* would suggest CHL.

Figure 1 summarizes all significant linear correlations described in Table 3. Particularly in Figure 1, the dependence variable: survival patients was associated with the vertical axis. The independent variables: PHC, CCA, ACA, GBC, CHL, *E coli*, *K. pneumoniae*, *P. aeruginosa*, meropenem, were located on the horizontal axis. Regarding bacteria, the scatter plot showed a negative correlation between *E. coli* and survival variable. Moreover, the scatter plot showed a negative association between CCA and PHC and survival.

By Kaplan–Meier survival curves comparison, among patients with cancer (CCA, GBC, PHC, or ACA) and no cancer (CHL, CHA, or CHP), we confirmed a significant difference (p -value <0.0001 , log-rank test, Figure 2).

Finally, we considered the Cox proportional hazard regression to evaluate the effect of several risk factors on

Significant linear correlations

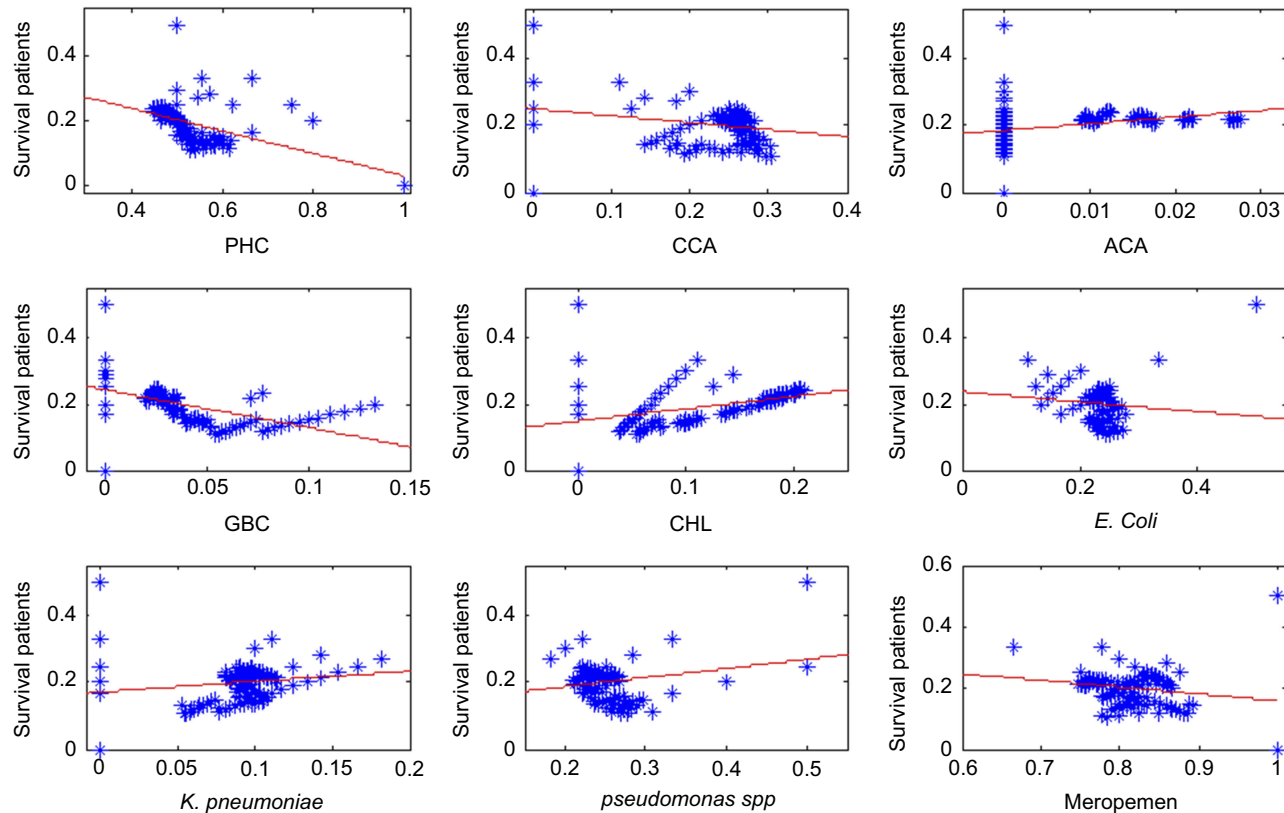


Figure 1 Significant Linear correlations between independent variables: pancreas head carcinoma, cholangiocellular carcinoma, ampullary carcinoma, gallbladder carcinoma, gallbladder stone disease, *E. coli*, *K pneumoniae*, *Pseudomonas spp.*, Meropenem and the dependent variable of survival of the patients. Also, the asterisks represented a pair of values (independence variable, dependence variable) and the red line indicated the best linear correlation model of the scatter plot points.

Abbreviations: CCA, cholangiocarcinoma; PHC, carcinoma of the head of the pancreas; ACA, ampullary carcinoma; GBC, gallbladder carcinoma; CHL, cholelithiasis.

survival. The risk factors or covariates studied were represented by Age, Gender, Bacteria, and Disease variables. For this scope, two models were considered – the null model: $-2\ln(L_0)$, where L_0 is the likelihood to obtain the observations if the independent variables did not affect the outcome, and the full model: $-2\ln(L_1)$, where L_1 is the likelihood of achieving the views with all independent variables incorporated in the model. The difference between these two yields was estimated with the chi-square test, to define how well the independent variables may affect the outcome or dependent variable. By chi-square test, the p -value <0.0001 , ie, there was evidence that at least one of the independent variables or covariates contributes to the prediction of the outcome. By this investigation, it results that only the presence of cancer provides a significant contribution to survival time in comparison to other covariates considered, as shown by Cox proportional hazard regression analysis (Figure 3 and Table 4).

Discussion

Bacteria and candida colonizing pancreatic and biliary tract tissues may be involved in chronic inflammation and cancer evolution.³⁷ In the twenty-first century, scientists started hypothesizing that chronic inflammation caused by persistent bacterial infections might lead to carcinogenesis and bacterial toxins and secondary metabolites produced by the chronic bacterial infection might induce carcinogenesis, and some mechanisms of cholangiocarcinogenesis have been delineated.^{9,10,42}

Emerging studies on pancreatic, biliary tract, and gallbladder disease have been identified as the significant pathogens implicated in inflammatory and tumor micro-environment (TME).^{43,44}

In comparison to these studies, our study analyzed two proper further investigations: we analyzed both the survival rate of different cohorts of pats about pathogens identified during bile culture after the first diagnosis of BPS,

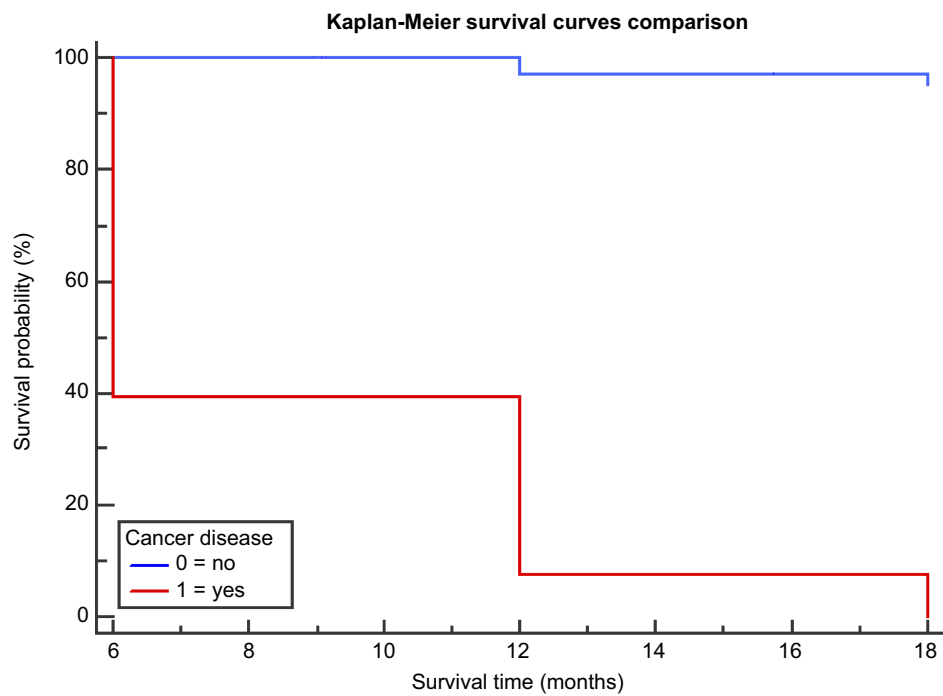


Figure 2 The Kaplan–Meier curves comparison with log-rank test, considering the dichotomous variable: Cancer_disease, used to define two groups: group with cancer and group without cancer.

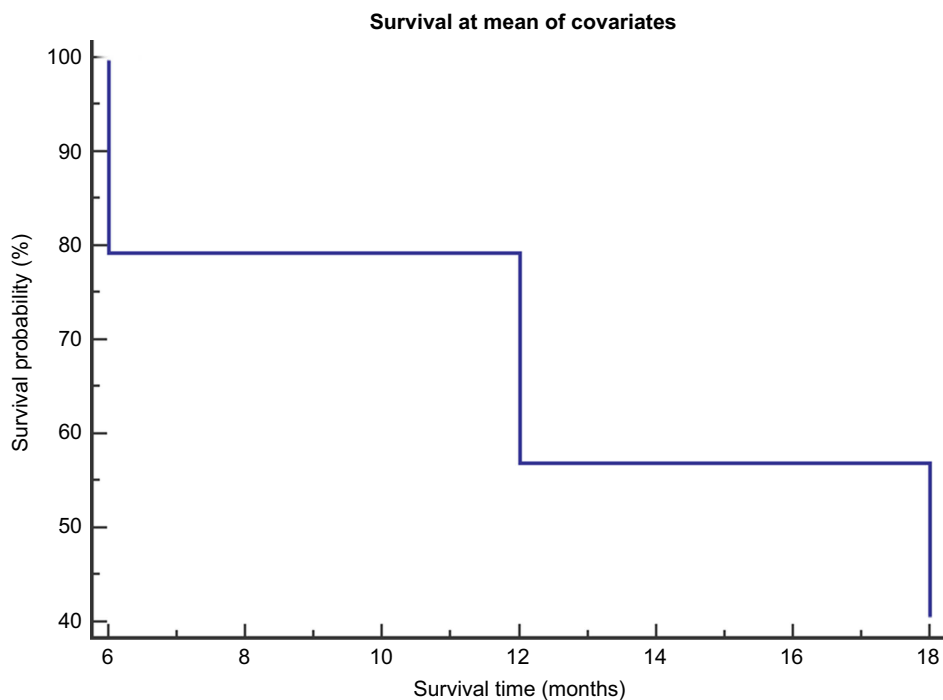


Figure 3 Cox proportional – hazard regression analysis. In the graph, a single survival curve at a mean of all covariates in the model is shown. The survival curve represents the probability (Y-axis) of surviving a given length of time (X-axis).

and we examined the survival rate regarding anti-infective therapy according to results of antimicrobial tests.

Regarding the first point, we found that *E. coli*, *P. aeruginosa*, and *K. pneumoniae* are the leading bacteria isolated.

When we analyze these three Gram-negative pathogens each examined individually about the following variables: survival time (T_1 , T_2 , T_3) and pathology of the biliopancreatic tract, the results obtained are different:

E. coli, *P. aeruginosa*, and *K. pneumoniae* were the most significant pathogens isolated in patients' death within six months from diagnosis of PBD and again *E. coli* and *P. aeruginosa* were prevalent in patients' death within the first year. Regarding underlying diseases, in general, the presence of *E. coli*, *P. aeruginosa*, and *K. pneumoniae* was statistically significant identified in the cancer population, and their presence reduces survival time.

In particular, *E. coli* seems to be the bacterium that most correlates with a reduction in survival and in univariate and multivariate analysis *E. coli* was a significant positive predictor of PHC.

These findings are in harmony with Costi et al who reported *E. coli* in the bile being significantly related to poor outcome in pancreaticoduodenectomies.⁴⁵ A few years ago, we described an outbreak of colonization by ESBL-producing *E. coli* (ESBL-*E. coli*) in intensive care units.^{35,39,46-48} A variety of *E. coli*, called as ESBL-producing *E. coli* (ESBLEC), is currently considered a significant cause of bacteremia in cancer patients.^{49,50} These strains showed some microbiological determinants, including virulence factors, involving adhesion to and invasion of host cells, iron availability, toxic effects on host cells, or protecting factors against the host's immune system.^{39,51} They are recognized as the etiologic agents of hospital infections mainly through the urinary tract. Moreover, they are playing a tremendous role in the field of transmission through the food chain, and therefore they can colonize healthy subjects and could be responsible for severe infections as soon as the decay of the immune system of elderly patients.⁵²

Recently, in our geographical area, we have investigated the presence of *E. coli* ST131 in food animals.^{53,54} *E. coli* ST131 is increasing rapidly worldwide and shows resistance to fluoroquinolones. By antimicrobial susceptibility analysis, the percentage of sensibility to a fluoroquinolone was low, while most of our *E. coli* strains were susceptible only to carbapenem class. Moreover, analyzing the correlations described in Table 3 where *E. coli* compared to

K. pneumoniae and *P. aeruginosa* has a significant negative association with survival, this means that an increase of *E. coli* in bile leads to a decrease in survival, while this is not found for *K. pneumoniae* and *P. aeruginosa*. This result could be helpful in the management of pancreatic tract disorders. For example, knowing that *E. coli* is more incisive on the reduction of survival, other antibiotics could be used, especially in patients with PHC where the probability of *E. coli* was present in bile was higher than other bacteria.

Among the relationship between microorganisms and cancer of pancreatic and biliary system, we found that *K. pneumoniae* is the bacterium with a reduction in survival in CCA patients.

The isolation of pathogens has not always shown a negative correlation with the survival because in non-carcinomatous pathologies especially gallstone disease the findings of above-mentioned gram-negative bacilli as *E. coli*, *P. aeruginosa*, and *K. pneumoniae* had a negative predictor of the biliary calculi.

Therefore, we could confirm that it is the TME that influences the significance of the finding of some microorganisms. In the future, it would be interesting to investigate the protective role of *Alcaligenes spp.* in the gut microbiome.

Regarding the survival and anti-infective treatment, we found that *E. coli*, *K. pneumoniae*, and *P. aeruginosa* showed a high percentage of resistant to 3CGS, aminoglycosides class, and quinolone group, especially to levofloxacin. On the other hand, the analysis of susceptibility test showed that *E. coli*, *K. pneumoniae*, and *P. aeruginosa* had a percentage of sensibility to adopted carbapenem (meropenem, imipenem, and ertapenem) more than 70% of all isolated strains.

In fact, the prescription of meropenem due to the isolation of pathogens resistant to other class of antibiotics seems to be associated with a decrease in survival. The scatter plot for meropenem shows a negative linear correlation between survival and antibiotic, ie, if meropenem use/dosage increases, the survival decreases. On the other hand, the isolation of pathogens sensible to carbapenem class alone may be contributing to the emerging of particular multi-drug-resistant microbiota.

Moreover, in our study, *K. pneumoniae* was negatively associated with meropenem, imipenem, and ertapenem showing a decrease of sensitivity to the carbapenem class confirming two previously described cases.³⁶

Resistant pathogens are increasingly reported in cancer patients and may be associated with cancer screening tests. The widespread use of these drugs can lead to the emergence of metallo-beta-lactamase strains as the authors have

previously reported in NICU setting.⁵⁵ Infectious disease consultants in an emergency setting often must prescribe an immediate antimicrobial therapy, which is based on comorbidities such as type 2 diabetes mellitus, obesity, chronic liver disease, alcoholism. Mono- or combined antimicrobial therapy needs to be chosen considering the most favorable pharmacokinetic/pharmacodynamic profiles, and tissue penetration against the isolated bacteria, as well as the acquisition and interpretation of the hospital ecology.^{33,34}

The Cox proportional-hazard regression indicates that only the presence of cancer disease *per se* provides a significant contribution to survival time in comparison to other covariates.

In conclusions, our study suggests that some strains isolate in bile samples may be considered within the group of risk factors in carcinogenesis and/or progression of hepato-biliary malignancy.

Moreover, the knowledge of bile microbiota and susceptibility test results highlight the necessity to consider the multidisciplinary management of patients with inflammation and cancer of pancreatic and biliary tract disease PBD. The finding that pathogens isolated in gut microbiota belong to the same class of pathogens responsible for sepsis in cancer patients may indicate that dysbiosis favors the production of genotoxins and metabolites inducing the dysregulation of the immune response, which may favor carcinogenesis.

The primary limitation may entail the local event (single-center). A multicentric investigation involving several geographic areas may be warranted to address public health policies. This aspect may be important because the colonization by resistant germs could significantly influence the future medical approach.⁵⁶ The development of inflammatory bowel disease that may be associated with biliary diseases highlights the qualitative and quantitative disorders of the microbiota of the intestine (dysbiosis). Increasing evidence indicates that dysbiosis favors indeed the production of genotoxins and metabolites that induce dysregulation of the immune response of the most closely associated with carcinogenesis.⁵⁷ Some microorganisms occur in specific pancreatic and biliary diseases, and our data may be vital in addressing the anti-infective therapy in patients harboring PBD neoplasms.

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Author contributions

All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

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