

1 **Geodynamic reconstruction of an accreted Cretaceous back-arc basin in the Northern**
2 **Andes**

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10

11 **Abstract**

12 A complex history of subduction, back-arc basin formation, terrane accretion and transpressional
13 shearing characterizes the evolution of the Caribbean and northern South American margin since
14 Jurassic times. Quantitative plate tectonic reconstructions of the area do not include Jurassic-
15 Cretaceous back-arc terranes of which there are both geological and geophysical observations. We
16 developed a revised plate tectonic reconstruction based on geological observations and seismic
17 tomography models to constrain the Jurassic-Cretaceous subduction history of eastern Panthalassa,
18 along the western margin of the Caribbean region. This reconstruction considers the opening of a
19 Northern Andean back-arc basin at 145 Ma, the Quebradagrande back-arc, closing at 120 Ma and
20 followed by terrane accretion and northward translation along the South American margin starting
21 at 100 Ma. This kinematic reconstruction is tested against two previously published tectonic
22 reconstructions via coupling with global numerical mantle convection models using CitcomS. A
23 comparison of modeled versus tomographically imaged mantle structure reveals that subduction
24 outboard of the South American margin, lacking in previous tectonic models, is required to
25 reproduce mid-mantle positive seismic anomalies imaged in P- and S-wave seismic tomography
26 beneath South America, 500-2000 km in depth. Furthermore, we show that this subduction zone
27 is likely produced by a back-arc basin that developed along the northern Andes during the
28 Cretaceous via trench roll-back from 145 Ma and was closed at 100 Ma. The contemporaneous
29 opening of the Quebradagrande back-arc basin with the Rocas Verdes back-arc basin in the
30 southern Andes is consistent with a model that invokes return flow of mantle material behind a
31 retreating slab and may explain why extension along the Peruvian and Chilean sections of the
32 Andean margin did not experience full crustal break-up and back-arc opening during the late
33 Jurassic-early Cretaceous Period.

34

35 **Keywords:** Andes; backarc basin; subduction; geodynamic modeling; Quebradagrande

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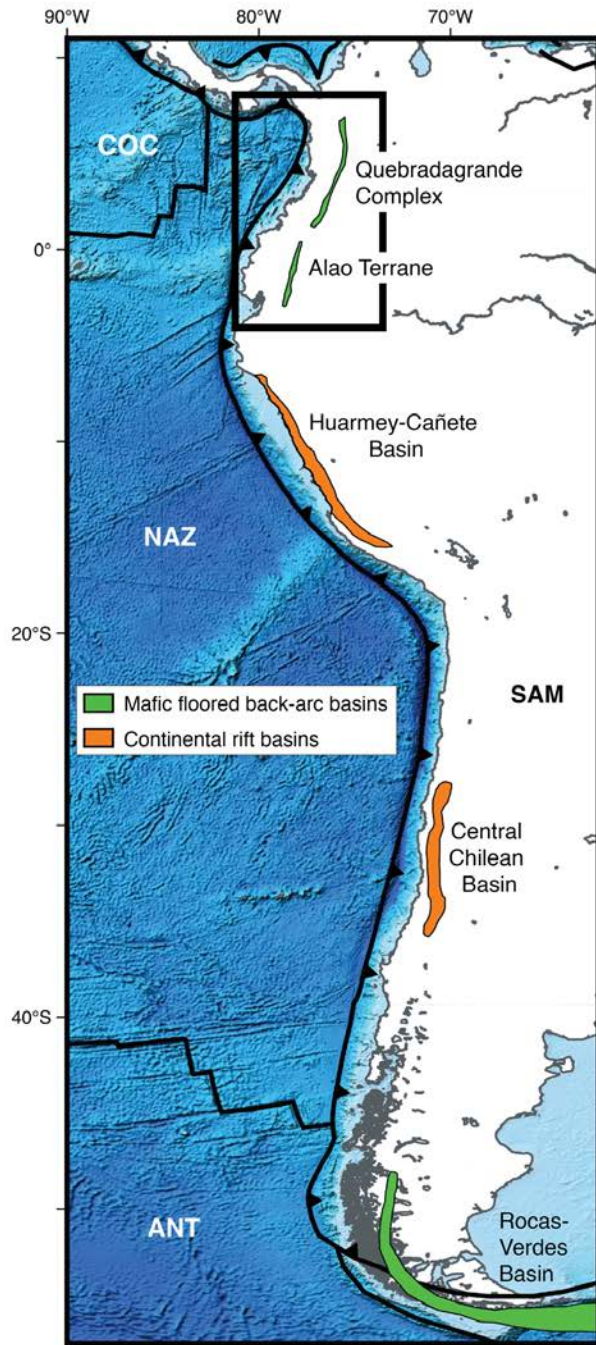
37 **1 Introduction**

38 Subduction is a process characterized by retreating trenches and the descending of oceanic
39 lithosphere into the mantle. In some cases, where a mantle wedge is present above the subducted
40 slab, back-arc extension between the active volcanic arc/fore-arc and the remnant arc may result
41 (Hawkins, 1995). While back-arc basins are observed in many of the world's ocean basins, the
42 Western Pacific has particularly been dominated by intra-oceanic subduction and episodic back-
43 arc basin formation since as early as Cretaceous times (e.g. Karig, 1971; Matthews et al., 2015;
44 Schellart et al., 2006; Sdrolias et al., 2003). In contrast, in the Eastern Pacific, specifically along
45 the South American margin, back-arc extension leading to seafloor-spreading has not occurred
46 since the end of the Mesozoic, when it transitioned into a largely compressional margin
47 experiencing crustal shortening and mountain building (Mpodozis and Ramos, 1990).

48 Back-arc basins appear to be relatively short-lived and episodic features of subduction
49 zones, active for only ~10-30 Myr (Faccenna et al., 2001; Schellart et al., 2006). Why back-arc
50 basin formation is spatially variable across subduction zones remains uncertain. Absolute motion
51 of the overriding plate has been linked to the style of back-arc deformation, back-arc extension or
52 compression occurring as the result of upper plate retreat and advance, respectively (Chase, 1978;
53 Heuret and Lallemand, 2005). Recent numerical modelling has shown that back-arc extension
54 occurs preferentially where slab widths are narrow, and close to lateral slab edges where rollback
55 of the slab is greatest (Schellart et al., 2007; Schellart and Moresi, 2013; Stegman et al., 2006).
56 These results agree with the large trench retreat velocities observed in association with present day

57 back-arc extension (Heuret and Lallemand, 2005; Schellart et al., 2007; Sdrolias and Müller,
58 2006).

59 Schellart et al. (2007) also showed that wide subduction zones, as occurs along South
60 America, are near stationary near their center, with trench retreat velocities increasing towards the
61 slab edges, inducing back-arc extension. This is consistent with the distribution of Jurassic-
62 Cretaceous back-arc basins along the western South American margin (Fig. 1). These range from
63 “aborted” marginal basins to oceanic-floored back-arcs revealing a pattern of decreased crustal
64 attenuation towards the center of the margin (Mpodozis and Ramos, 1990). The back-arc origins
65 of the late Jurassic-aged Rocas Verdes ophiolites in the southernmost Andes and mid-Cretaceous
66 transition to compressive deformation of the margin has been well constrained (e.g. Calderón et
67 al., 2007; Dalziel et al., 1974; Stern and De Wit, 2003). Uncovering the tectonic history of the
68 Northern Andes however has proven more challenging, because it is obscured by successive
69 phases of extension, terrane accretion, and large magnitude dextral shearing as the result of
70 interaction with the Pacific-derived Caribbean plate during Cenozoic times (Kennan and Pindell,
71 2009; Ramos, 2010; Sarmiento-Rojas et al., 2006). This includes the Alao and Quebradagrande
72 Terranes of the Northern Andes, where limited reliable geochemical and radiometric data result in
73 conflicting interpretations, which include mid-ocean ridge, back-arc, oceanic arc, continental arc,
74 and ensialic marginal basin origins for these units (Cochrane et al., 2014; González, 1980; Nivia
75 et al., 2006; Spikings et al., 2015; Villagómez et al., 2011). Consequently, this possible Andean
76 back-arc basin has been largely overlooked in tectonic reconstructions of the region.



77

78 **Figure 1.** Basemap of seafloor bathymetry from ETOPO1 (Amante et al., 2009) with simplified
 79 boundaries of Cretaceous back-arc and extensional basins of the western South American margin
 80 (from Maloney et al., 2013). Present-day plate boundaries (Bird, 2003) are shown as thick black

81 lines. ANT: Antarctic Plate, COC: Cocos Plate, NAZ: Nazca plate, SAM: South American Plate.
82 Black box shows the region in Figure 2.

83

84 Of the few studies that have considered a Cretaceous Andean back-arc basin (e.g. Kennan
85 and Pindell, 2009; Pindell et al., 2012), none have ever been tested in a kinematic sense (i.e. in
86 terms of Euler pole rotations and block outlines) or linked to the implied evolution of the
87 surrounding plate margins, and in particular the evolution of the seafloor, in a self-consistent
88 manner.

89 Seismic tomography studies have recently been used to identify seismically fast volumes
90 in the mantle associated with past subduction systems (Domeier et al., 2016; Shephard et al., 2017;
91 van der Meer et al., 2010; van der Meer et al., 2017). This approach has been particularly applied
92 to North America where an analysis of the onshore geology coupled with seismic tomography
93 images have indicated several generations of marginal and back-arc basins along this margin
94 throughout the Mesozoic and early Cenozoic (Shephard et al., 2013; Sigloch and Mihalynuk,
95 2013). van Benthem et al. (2013) identified upper mantle slabs that indicate significant eastward
96 motion of the Caribbean plate relative to the Americas since Eocene times. However, lower and
97 mid-mantle anomalies evident in P- and S-wave tomography models beneath the northern South
98 American margin, have yet to be extensively studied. Determining the origin of these anomalies
99 can shed light on the subduction history of the Andean margin.

100 In areas where the geological history is heavily fragmented, as is the case in the Northern
101 Andes, combining limited geological observations with seismic tomography and mantle
102 convection models is useful to discriminate between alternative tectonic scenarios from predicted
103 present-day mantle structure.

104 Here we combine onshore geological data, mantle tomography images and numerical
105 models of past global mantle flow to investigate alternate geodynamic scenarios for the Cretaceous
106 evolution of the northern Andes-Caribbean region. We develop a new plate kinematic and
107 seafloor-spreading reconstruction of the northern Andean-Caribbean margin that considers the
108 early-Cretaceous opening and mid-Cretaceous closure of a back-arc basin along the Andean
109 margin. This reconstruction is used as time-dependent boundary condition in global numerical
110 models of mantle flow. We compare the predicted regional thermal structure of the mantle to
111 seismic tomography models for our tectonic model and two previous end-member scenarios (based
112 on Ross and Scotese (1988) and Boschman et al. (2014)) and assess these results in the context of
113 back-arc basin evolution.

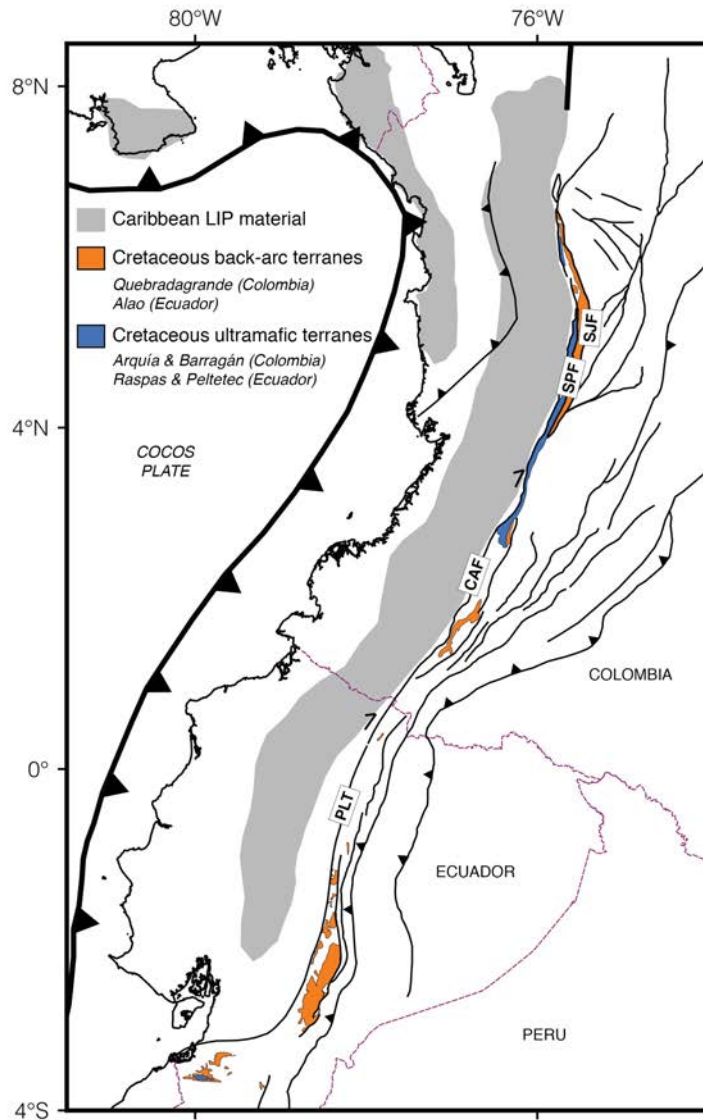
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115 **2 Geological background**

116 The northern Andes can be broadly divided into two geochemically distinct basement
117 provinces, separated by a ~2,000 km tectonic suture that extends through the Ecuadorian and
118 Colombian cordilleras (Villagómez et al., 2011). Allochthonous, ultramafic and mafic units define
119 an oceanic province to the west of the Romeral Fault System, constituting the basement of the
120 Western Cordillera and Cauca–Patía Valley in Colombia (Villagómez et al., 2011) (Fig. 2). This
121 sequence is geochemically equivalent to the plateau basalts of the Caribbean Large Igneous
122 Province (CLIP), having formed in an intra-oceanic hotspot setting during 99-87 Ma (Kerr et al.,
123 1997; Spikings et al., 2015; Vallejo et al., 2006; Villagómez et al., 2011). The accretion of CLIP
124 material is thought to have added at minimum 5.6×10^6 to 9.4×10^6 km³ (Cochrane et al., 2014)
125 of new crust to the South American margin at 75-73 Ma as a result of the collision of the eastward
126 moving Caribbean plate with the northern Andean margin at this time (Vallejo et al., 2006; Vallejo

127 et al., 2009). Within Ecuador, these rocks are represented by the Piñon, Palaltanga, and San Juan
128 formations, whilst in Colombia they correspond to the Calima Terrane (Toussaint and Restrepo,
129 1994).

130 This accreted oceanic sequence is juxtaposed against the para-autochthonous and
131 autochthonous units comprising an eastern continental province (Villagómez et al., 2011). The
132 continental basement is interpreted to represent the southern passive paleo-continental margin of
133 the Proto-Caribbean Sea, conjugate to the southeastern Chortis margin (Boschman et al., 2014;
134 Villagómez et al., 2011). These units are comprised of Grenvillian-aged (~1.0 Ga) gneisses and
135 schists, Paleozoic unmetamorphosed and metasedimentary rocks (Restrepo-Pace, 1992; Restrepo-
136 Pace et al., 1997), overlain by a thin Cretaceous sedimentary cover sequence, intruded by plutons
137 ranging in age from ~235-160 Ma (Kennan and Pindell, 2009; Villagómez et al., 2011).



138

139 **Figure 2.** Terranes indicating back-arc extension and major fault systems of the northern Andes.

140 CAF: Cauca–Almaguer Fault, PLT: Peltetec Fault, SJF: San Jerónimo Fault, SPF: Silvia–Pijao

141 Fault. Terrane boundaries from Spikings et al. (2015).

142

143 In Colombia, the Romeral Fault System, interpreted as a tectonic suture zone, can be

144 divided into three major branches: the San Jerónimo, Silvia–Pijao and Cauca–Almaguer Faults,

145 that extend southwards merging with the Peltetec Fault zone in Ecuador (Villagómez et al., 2011).

146 Entrained within this fault system are the Quebradagrande and Arquia complexes, of central
147 importance to this study (Fig. 2). The origin of these units is debated, with interpretations including
148 mid-ocean ridge (González, 1980), oceanic arc (Villagómez et al., 2011), continental arc
149 (Cochrane et al., 2014) and ensiliac marginal basin (Nivia et al., 2006).

150 Lithologies of the Quebradagrande Complex are dominated by low-grade metamorphosed
151 gabbros, diorites, basalts, andesites, and pyroclastics (Nivia et al., 2006; Villagómez et al., 2011),
152 covered by marine and terrestrial rocks of the Abejorral Formation which host Hauterivian to lower
153 Albian fossils (González, 1980). These units are bound to the east by the San Jerónimo fault,
154 juxtaposed against the Triassic-Paleozoic continental rocks of the Central Cordillera. The
155 Quebradagrande Complex is considered to be coeval with the undated Alao Arc of Ecuador,
156 displaying a similar structural position relative to the continental basement (Spikings et al., 2015;
157 Villagómez et al., 2011). Moreno-Sanchez and Pardo-Trujillo (2003) collectively referred to these
158 units as the Quebradagrande-Alao complex. Mora-Bohórquez et al. (2017) identified an oceanic
159 terrane within the Lower Magdalena Valley, which they considered to be the northward
160 continuation of the Quebradagrande terrane.

161 Limited geochemical studies of the igneous units that comprise the Quebradagrande and
162 Alao sequences suggest that these rocks formed in a variety of tectonic environments, spanning
163 calc-alkaline to tholeiitic compositions (Nivia et al., 2006; Spikings et al., 2015; Villagómez et al.,
164 2011). Early radiometric dating of the suspect terranes of the Northern Andes region relied on
165 K/Ar and Rb/Sr dating methods. However, partial resetting of the Rb/Sr and K/Ar isotopic systems
166 and daughter isotope loss as a consequence of a sustained active margin through to the present
167 day, led Spikings et al. (2015) to consider these studies unreliable. Villagómez et al. (2011) and
168 Cochrane (2013) reported concordant zircon U-Pb dates of magmatic rocks of the Quebradagrande

169 Complex of 114.3 ± 3.8 Ma (tuff) and 112.9 ± 0.8 Ma (diorite) which overlaps with the
170 Hautevarian-early Albian fossil ages for this unit (González, 1980).

171 To the west along the Silvia–Pijao fault, the Quebradagrande complex is in faulted contact
172 with garnet-bearing amphibolites and lawsonite-glaucophane schists that constitute the Arquía and
173 Barragán sequences (Spikings et al., 2015; Villagómez et al., 2011). Villagómez et al. (2011) and
174 Spikings et al. (2015) suggested that the Arquía and Barragán complexes are the along-strike
175 equivalent of the Raspas and Peltetec complexes in Ecuador, consisting of oceanic crust that
176 mainly formed at a mid-ocean ridge, which was subsequently metamorphosed to high-to medium
177 P-T conditions in an east-dipping subduction zone that gave rise to the Quebradagrande complex.
178 Recent work conclude that variation in LREE enrichment, magmatic composition, and detrital
179 zircon ages within the Quebradagrande complex can be explained by its formation over thin
180 continental and newly formed oceanic back-arc related crust (Jaramillo et al., 2017).

181 Spikings et al. (2015) suggested that trench roll back and extension of the continental crust
182 during ~145-114 Ma would have been sufficient to generate mafic magmas of T-MORB affinity
183 and marine environments, consistent with the geological characteristics of the Quebradagrande
184 and Alao complexes. Kennan and Pindell (2009) referred to this extensional feature as the
185 “Colombian Marginal Seaway”, a wide back-arc basin that formed a southward propagating arm
186 of the Proto-Caribbean. This is consistent with the timing of a latest Jurassic–Hauterivian (144-
187 127 Ma) extensional event identified by (Sarmiento-Rojas et al., 2006) in the Eastern Cordillera
188 of Columbia. Additionally, Early Cretaceous intrusions in the Eastern Cordillera are attributed to
189 rifting by Vásquez and Altenberger (2005). The width of the Colombian marginal Seaway and
190 total orthogonal displacement of the Quebradagrande arc relative to South America is unknown.
191 Villagómez et al. (2011) suggested that the T-MORB crust of the Quebradagrande Arc formed the

192 relict basement of the Colombian Marginal Seaway and was originally entrained between the arc
193 rocks and the continental terranes but has since been displaced.

194 Villagómez et al. (2011) proposed that $^{40}\text{Ar}/^{39}\text{Ar}$ ages of 117-107 Ma obtained in the
195 Arquía complex (Villagómez Diaz, 2010) represent cooling ages during retrogression from peak
196 metamorphic conditions. These ages are interpreted to correspond with the obduction, exhumation
197 and accretion of the Arquía complex onto the Quebradagrande Arc and the continental margin in
198 a compressive event that closed the Quebradagrande basin (Sarmiento-Rojas et al., 2006). This
199 compression has been attributed to increased westward motion of the South American continent
200 as a consequence of the opening of the South Atlantic during mid-Cretaceous times (Eagles, 2007;
201 Ramos, 2010).

202

203 **2.1 Peruvian Andes**

204 South of the Huancacamba deflection, there is also evidence of back-arc extension recorded
205 in the West Peruvian Trough (WPT), a major depositional structure that includes the north-south
206 trending Casma-Huarmey and Canete Basins (Atherton and Aguirre, 1992; Cobbing, 1978).
207 However, there have been few studies of the geochemistry and age of these basins.

208 These basins are thought to have opened during Tithonian times, experiencing maximum
209 subsidence during Albian times, during which up to 9,000 m of basinal fill accumulated (Atherton
210 and Aguirre, 1992; Atherton and Webb, 1989). The thick marine volcanic fill of the Casma-
211 Huarmey Basin consists of pillow and sheet lavas, tuffs, hyaloclastites, and volcanoclastics
212 associated with dyke swarms, sills and gabbros (Atherton, 1990; Petford and Atherton, 1994). A
213 clear trend towards increasingly LIL and LREE depleted basalts towards the top of the basin is

214 attributed to extensive crustal thinning, ultimately leading to the generation of new tholeiitic
215 oceanic crust (Atherton and Webb, 1989; Petford and Atherton, 1994). A comparison of the
216 Casma-Huarmey Basin facies with modern basin settings lead Atherton and Webb (1989) to
217 suggest that the basin developed in a relatively isolated deep-sea environment with no continental
218 input, characterised by a slow mid-ocean ridge (MOR) spreading system. The extent of crustal
219 thinning is debated, with some researchers suggesting that extension did not occur on the scale
220 required for the generation of new oceanic crust in this region, and are instead referred to as
221 “aborted” marginal basins (Mpodozis and Allmendinger, 1993; Soler and Bonhomme, 1990).

222 Crustal extension in the southern part of the WPT was not as extensive and did not result
223 in the development of new oceanic crust. The southern Cañete Basin developed on the Precambrian
224 Arequipa Massif, thinning along southward propagating faults (Atherton and Aguirre, 1992). The
225 bimodal calc-alkaline rocks that characterize the volcanic fill of this basin are sourced from
226 enriched mantle beneath the Arequipa Massif, contrasting tholeiitic basalts of equivalent age in
227 the Huarmey Basin to the north (Atherton and Aguirre, 1992). The Cretaceous collapse and closure
228 of these back-arc basins along the Andean margin are attributed to the opening of the South
229 Atlantic and subsequent westward motion of the South American plate (Mpodozis and
230 Allmendinger, 1993). However, in a recent study, the change from extension and back-arc basin
231 opening to shortening and back-arc basin closure has been ascribed to the change from upper
232 mantle subduction to whole mantle subduction along the South American subduction zone
233 (Schellart, 2017).

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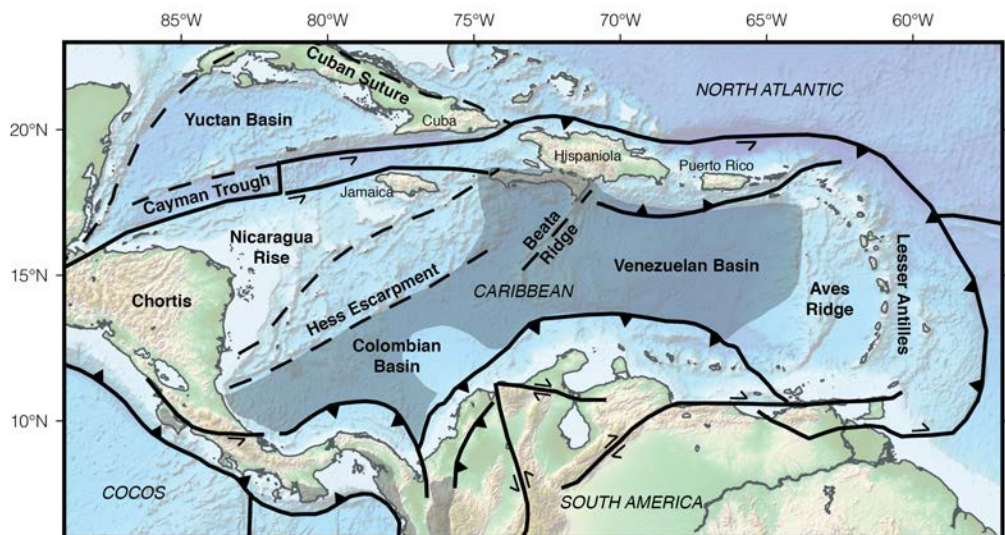
235 **3 Plate tectonic reconstructions**

236

237 **3.1. Previous reconstructions**

238 The evolution of the Northern Andes has been strongly influenced by the interaction of the
239 margin with the Caribbean plate during Cenozoic times (Kennan and Pindell, 2009).

240 Ross and Scotese (1988) were amongst the first to use studies of the spreading history of
241 the Cayman Trough derived from magnetic anomaly data (Rosencrantz et al., 1988; Ross et al.,
242 1986) to constrain the mid-Eocene to present day motion of the Caribbean plate. The Cayman
243 Trough represents one of the few elements of the Caribbean that can be reconstructed with a
244 reasonable level of certainty, due to its preserved magnetic lineations, and is therefore consistently
245 reconstructed across multiple studies. Magnetic anomalies elsewhere in the Caribbean are sparse
246 due to the eruption of mantle plume derived basalts at 91-88 Ma (Sinton et al., 1998), forming the
247 Caribbean Large Igneous Province (CLIP) (Fig. 3). The thickened crust of the Caribbean Sea is
248 attributed to this event, effectively covering the spreading history of much of the Caribbean Sea
249 with these volcanic rocks.



251 **Figure 3.** Present-day plate boundaries and major faults of the Caribbean region (Pindell and
252 Kennan, 2009; Serrano et al., 2011; Villagómez et al., 2011). The extent of the Caribbean Large
253 Igneous Province (CLIP) is shown in grey.

254

255 This has led to the rise of a variety of competing tectonic interpretations that can largely
256 be divided into two end-member scenarios for the origin of the Caribbean plate: an “Intra-Americas
257 origin” (James, 2005, 2009; Meschede and Frisch, 1998) and the more widely supported “Pacific
258 origin” (Bouysse, 1988; Duncan and Hargraves, 1984; Kennan and Pindell, 2009; Müller et al.,
259 1999; Nerlich et al., 2015; Pindell and Dewey, 1982; Pindell et al., 2012; Pindell and Barrett, 1990;
260 Pindell and Kennan, 2009; Ross and Scotese, 1988; Whattam and Stern, 2015).

261 The accretion of oceanic plateau basalt and island arc terranes along the northwestern
262 Andes combined with large magnitude dextral shear is best explained by the interaction of the
263 Andean margin with the Great Arc of the Caribbean that formed at the leading edge of the
264 Caribbean plate (Kennan and Pindell, 2009), a central component of “Pacific origin” models.
265 Volcanic arc material and high-pressure, low-temperature (HP-LT) metamorphic rocks found
266 along the circum-Caribbean margin, from Central America to the Aves Ridge and Lesser Antilles,
267 and the Greater Antilles, including Cuba, Jamaica, Hispaniola and Puerto Rico are interpreted as
268 the remnants of this former volcanic arc, initially forming at the subduction boundary between the
269 future Caribbean plate and Proto-Caribbean Ocean (Burke, 1988). Pindell et al. (2012) suggest this
270 arc first formed above a southwest dipping subduction zone at ~135 Ma, along an existing sinistral
271 ‘inter-American’ transform, which previously connected the North and South American
272 Cordilleras. The oldest magmatic arc rocks attributed to the Great Arc dated at ~132 Ma in the
273 Devils Racecourse Formation, Jamaica (Hastie et al., 2009) and ~133 Ma in the Mabujina

274 Amphibolite Complex, Cuba (Rojas-Agramonte et al., 2011). The oldest reported single cooling
275 age of HP-LT rocks of the Caribbean dates back to 118 Ma in the northern ophiolite belt of Central
276 Cuba, indicating the minimum age of eclogite facies metamorphism (García-Casco et al., 2006).
277 Following the inferences of Gerya et al. (2002), Pindell et al. (2012) suggest that the time lag
278 between the ages of arc magmas and HP-LT rocks of the Caribbean is indicative of return flow
279 from great depths in a mature subduction system, and therefore represent formation at the same
280 subduction zone.

281 Alternative interpretations include those of Duncan and Hargraves (1984), Burke (1988)
282 and Kerr et al. (2003) who suggested that the buoyant crust of the Caribbean Plateau blocked an
283 earlier eastward dipping subduction zone inducing a subduction polarity reversal during
284 Santonian-Campanian times (~85-80 Ma). This process would precipitate the eastward movement
285 of Farallon lithosphere, which would eventually form the Caribbean plate, into the gap between
286 the Americas. However, this model fails to explain evidence of Caribbean-northern Andean
287 convergence already underway before 90 Ma (Kennan and Pindell, 2009).

288 Only two tectonic studies provide the Euler rotations that make them directly comparable
289 to other models, those of Ross and Scotese (1988) and Boschman et al. (2014).

290 The model of Ross and Scotese (1988) was the first to approach Caribbean evolution in a
291 quantitative sense, applying a hierarchical method to describe relative motion between pairs of
292 tectonic components in terms of finite rotation poles. In this model, the Proto-Greater Antilles,
293 analogous to the Great Arc of the Caribbean, originates at a subduction zone between the Farallon
294 plate and the Proto-Caribbean between 143-100 Ma. The model includes a polarity reversal at the
295 subduction zone beneath the Proto-Greater Antilles at ~100 Ma, allowing the advance of the
296 Farallon plate into the widening gap between North and South America. Collision of the Great Arc

297 with the Bahamas platform during the latest Cretaceous-earliest Paleocene is thought to have
298 prohibited further eastward movement of Farallon lithosphere prompting the inception of eastward
299 dipping subduction at 70 Ma, thus isolating the Caribbean plate. A recent tectonic model by
300 Nerlich et al. (2015) presented an update of the Ross and Scotese (1988) model to include a
301 younger age of collision between the Caribbean Plateau and the Proto-Greater Antilles Arc to
302 84 Ma.

303 Boschman et al. (2014) alternatively proposed an earlier age of initial westward-directed
304 subduction below the Great Arc of the Caribbean at 135 Ma, given the oldest arc related units in
305 the Caribbean date back to 133 Ma (Rojas-Agramonte et al., 2011) and HP-LT metamorphic
306 blocks in the Cuban serpentinite mélangé ranging from ~130 to 60 Ma (Somin et al., 1992).

307

308 **3.2 Reconstructions in this Study**

309 We create a self-consistent, dynamically evolving plate kinematic model of the Jurassic-
310 Cretaceous Caribbean-northern Andean margin, which we embed into the global model of Müller
311 et al. (2016) (Fig. 4). Müller et al. (2016) included a relative plate motion model for the Caribbean
312 based on Boschman et al. (2014) and a hybrid absolute reference frame, combining a moving
313 hotspot model since 100 Ma and a true-polar wander corrected paleomagnetic model for 200 to
314 100 Ma (see Müller et al. (2016)). The plates are modelled as dynamically closing polygons
315 through time, defined by a series of intersecting plate boundaries, following the methodology
316 outlined in Gurnis et al. (2012). For comparison, we have also developed continuously closing
317 plate polygons for the regional reconstructions of Ross and Scotese (1988) and Boschman et al.
318 (2014), which have been integrated into the global reconstructions by Seton et al. (2012) and by
319 Müller et al. (2016), respectively. Companion paleo-seafloor age rasters (see Müller et al. (2008)

320 for further explanation) have been computed for all three reconstructions. These global maps of
321 past seafloor ages are an important boundary condition for our geodynamic models as they allow
322 us to reconstruct the thickness of the thermal oceanic lithosphere assuming a half-space cooling
323 model.

324 Our reconstruction modifies the Early Cretaceous rotations of Boschman et al. (2014) by
325 implementing the opening and closure of a Cretaceous back-arc basin system, the Quebradagrande
326 back-arc basin, consistent with the geological record from the western Caribbean and northern
327 South America. Corresponding Northern Andes arc material is modelled in Boschman et al. (2014)
328 however its placement at a transform boundary is not consistent with its interpreted arc origin. We
329 therefore modified the rotations of this block to a position along a retreating subduction zone
330 outboard of South America, from 145 Ma (Fig. 4). We modelled this back-arc as a southern arm
331 of the Proto-Caribbean spreading between North and South America, consistent with the
332 Colombian Marginal Seaway of Kennan and Pindell (2009). Previous schematics of this back-arc
333 basin (Kennan and Pindell, 2009; Pindell et al., 2012; Pindell and Kennan, 2009) show a back-arc
334 basin of limited extent, ~200-300 km wide, and close to the margin. As little evidence is available
335 to constrain the width of the basin, we used the boundary defined by Boschman et al. (2014) to
336 constrain the western extent of paleo-location of back-arc subduction.

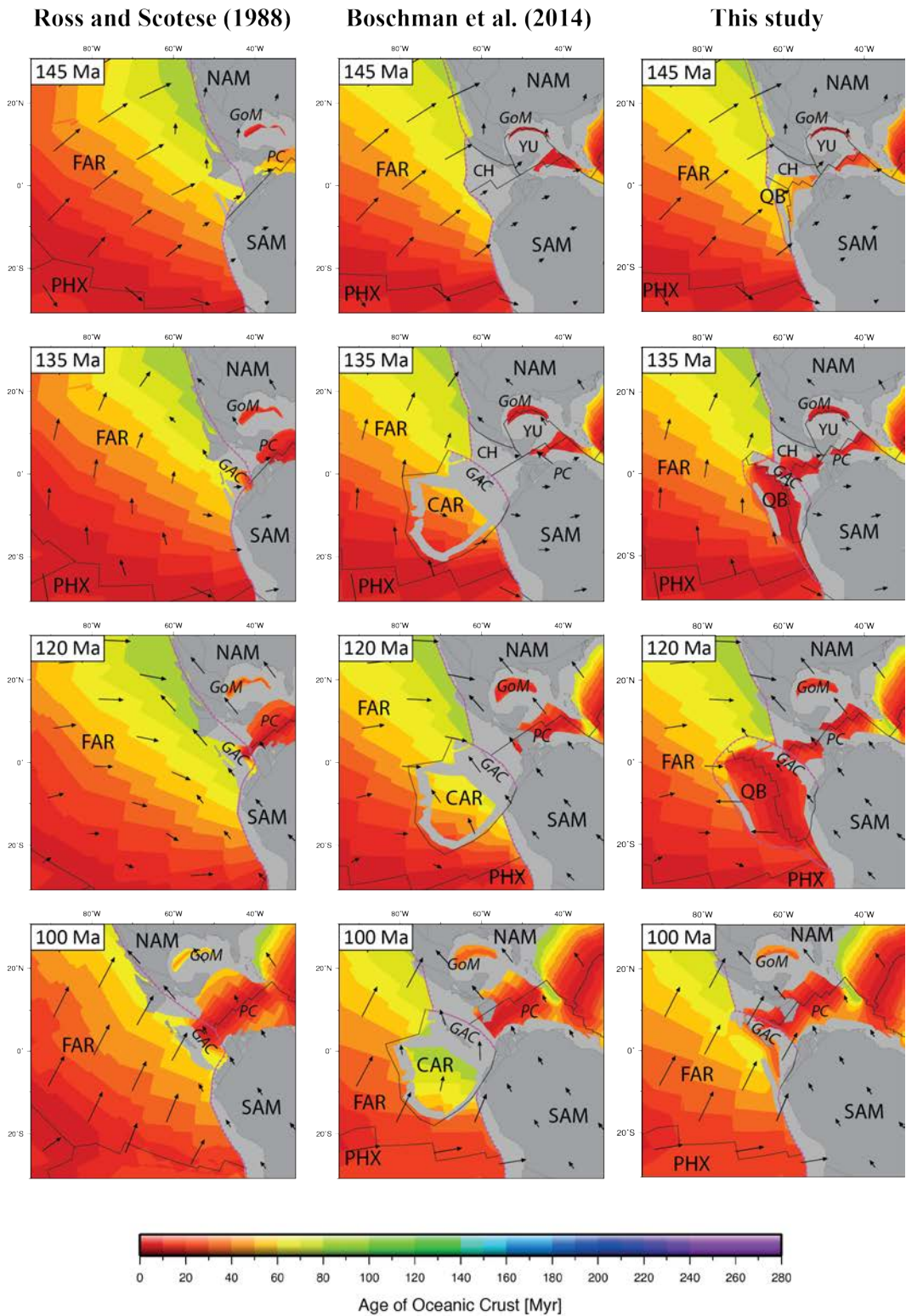
337 Additionally, we considered areas further south than what was considered in Boschman et
338 al. (2014) and Ross and Scotese (1988), incorporating further evidence of back-arc basin formation
339 in the West Peruvian Trough as described by Atherton and Aguirre (1992), Petford and Atherton
340 (1994), and Ramos (2010). Full breakup and oceanic crust production are only proposed to have
341 occurred in the northern region of the West Peruvian Trough, contrasting the primarily continental
342 extensional setting of the southern basins. We constrained the north-south extent of the back-arc

343 basin to reflect these differing rates of extension, reaching a maximum extent in the northern region
344 of the back-arc, bending inwards towards the continental margin towards the south. The connection
345 between the northern boundaries of our back-arc and the western North American margin are
346 uncertain and beyond the scope of this study. However, the back-arc basin may have extended
347 further north, adjacent to western North America, considering both geologic and seismic
348 tomography evidence for intra-oceanic subduction outboard of the western North American
349 margin (Sigloch and Mihalynuk, 2013).

350 As a consequence of westward-dipping subduction of the Proto-Caribbean initiating at
351 135 Ma to accommodate the formation of the Great Arc of the Caribbean, spreading of the
352 Quebradagrande back-arc reverts to a two-plate system (Fig. 4). The back-arc basin was modelled
353 to close from 119 Ma in response to the opening of the South Atlantic and northwest movement
354 of the South American continent at this time (Spikings et al., 2015), with a reversal in the polarity
355 of the subduction zone. Closure of the back-arc was finalized at 100 Ma (Kennan and Pindell,
356 2009), accompanied by the accretion of back-arc material to the South American margin
357 (Villagómez et al., 2011) (Fig. 4). At this point, translation of the accreted terranes occurred along
358 the Northern Andes, rotating to a position along the north-western Andean margin consistent with
359 Boschman et al. (2014) by 85 Ma. From 85 Ma the new model retains the rotations of Boschman
360 et al. (2014).

361 The spontaneous appearance of the Caribbean plate in eastern Panthalassa at 135 Ma,
362 isolated by an unknown western plate boundary in Boschman et al. (2014) (Fig. 4) presents a
363 kinematic problem. The eastward motion of the Farallon plate relative to this boundary requires
364 the presence of eastward dipping subduction below the newly formed Caribbean plate, for which
365 there is no geological evidence. Santonian–Campanian boninites, closely related to subduction

366 initiation, are found in the accreted Greater Panama terranes in the Northern Andes (Kennan and
367 Pindell, 2009). Therefore, we only isolated the Caribbean plate at 85 Ma, trapping Farallon oceanic
368 lithosphere with the inception of an eastward dipping subduction zone.



369

370 **Figure 4.** Reconstructions of Ross and Scotese (1988) (left), Boschman et al. (2014) (middle)
 371 and this study (right) from 145 to 100 Ma. Plate boundaries are defined as thin magenta lines for

372 subduction zones (with triangles on the overriding plate) and thin black lines defining either mid-
373 ocean ridges or transform faults. In the case of the Boschman et al. (2014) reconstruction, the
374 thin black lines along the northwestern, western and southern boundaries of the Caribbean plate
375 denote undefined plate boundaries. Computed paleo-seafloor ages and absolute plate velocities
376 are also plotted. CAR: Caribbean plate, CH: Chortis, FAR: Farallon plate, GAC: Great Arc of
377 the Caribbean, GoM: Gulf of Mexico, NAM: North America, PHX: Phoenix plate, P-C: Proto-
378 Caribbean, QB: Quebradagrande back-arc basin, SAM: South America, YU: Yucatan.

379

380 4. Geodynamic models

381 We ran a series of global forward numerical models of mantle flow that use plate kinematic
382 data as surface boundary conditions to predict the present day thermal structure of the mantle. We
383 used the finite element code *CitcomS* (Zhong et al., 2008), modified by Bower et al. (2015) to
384 assimilate the time-dependent structure of the thermal lithosphere and of the shallow part of
385 subducting slabs.

386 The mantle was considered to be an incompressible viscous fluid within a spherical shell,
387 divided into 12 ‘caps’, each extending from the surface to the core mantle boundary. We used ~ 13
388 million nodes to achieve a lateral average resolution of ~ 50 km at the surface and ~ 28 km at the
389 core-mantle boundary, and a radial resolution of ~ 15 km near the surface, ~ 100 km at mid-mantle
390 depths and ~ 27 km near the core-mantle boundary.

391 Convective vigor is determined by the Rayleigh number

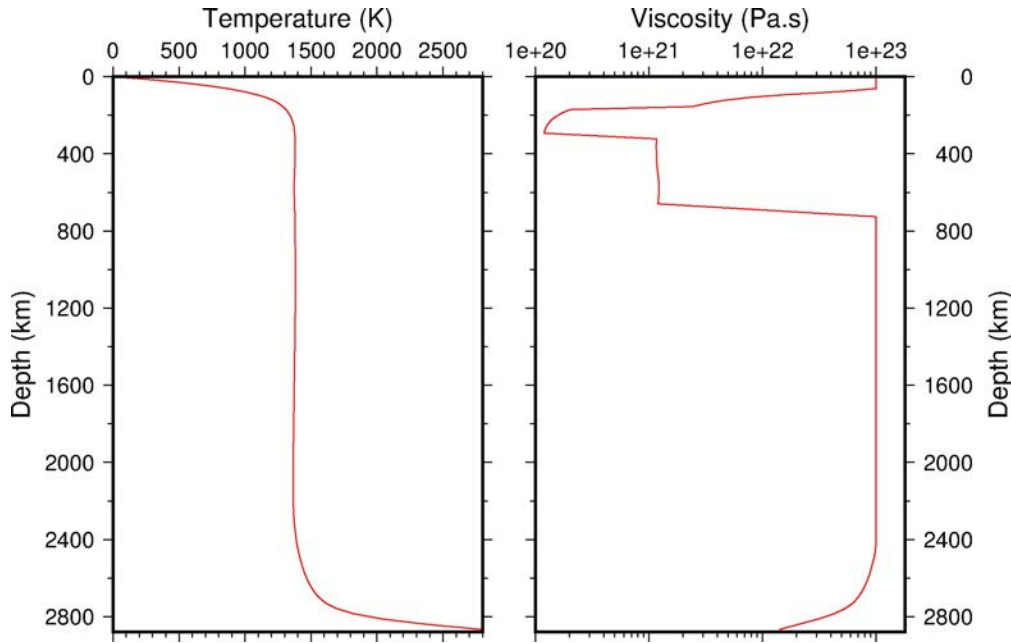
$$392 \quad Ra = \frac{\alpha_0 \rho_0 g_0 \Delta T h_M^3}{\kappa_0 \eta_0}$$

393 where α_0 is the coefficient of thermal expansivity, ρ_0 the density, g_0 the acceleration of
394 gravity, ΔT the temperature change across the mantle, h_M is the depth of the mantle, κ the thermal
395 diffusivity, η the viscosity.

396 Viscosity depends on temperature and depth following:

$$397 \quad \eta = \eta_0(r) \exp\left(\frac{E_\eta}{R(T + T_\eta)} - \frac{E_\eta}{R(T_b + T_\eta)}\right)$$

398 where η is the viscosity, $\eta_0 = 1 \times 10^{21}$ Pa s is the reference viscosity, $E_\eta \approx 100$ kJ mol⁻¹
399 (upper mantle) or $E_\eta \approx 33$ kJ mol⁻¹ (lower mantle) is the activation energy, $R = 8.31$ J mol⁻¹
400 K⁻¹ is the universal gas constant, T is the dimensional temperature between 273 K and 3098 K,
401 $T_b = 1685$ K is the background temperature of the mantle, $T_\eta = 452$ K is a temperature offset
402 (Flament et al., 2014). The spherical mantle shell is radially divided into four layers: lithosphere
403 (0-160 km), asthenosphere (160-310 km), upper mantle (310-660 km), and lower mantle (660-
404 2867 km). A viscosity contrast of 100 between the upper and lower mantle was implemented in
405 our model runs, consistent with the findings of Alpert et al. (2010), and the asthenosphere was
406 assumed to be 10 times less viscous than the upper mantle (Fig. 5). Note that the thermal thickness
407 of the oceanic lithosphere depends on its age according to the half-space cooling model (Bower et
408 al., 2015). In the continents, the thermal thickness of the lithosphere depends on tectonothermal
409 age (Archean lithosphere is 250 km thick, Proterozoic lithosphere 165 km thick, and Phanerozoic
410 lithosphere 135 km thick; (Flament et al., 2014)). In addition, the reference viscosity is assumed
411 to be 100 times larger between 0-160 km depth than between 310-660 km depth (upper mantle).



412

413 **Figure 5.** Horizontally-averaged present-day temperature and resulting viscosity profile.

414

415 In the initial condition at 230 Ma, subducted slabs derived from the tectonic reconstructions
 416 for each case are inserted down to 1,400 km depth, with a dip of 45° down to 425 km and a dip of
 417 90° below this. Subduction zones thought to have initiated with insufficient time prior to 230 Ma
 418 to produce slabs at this depth (~85 Myr) were inserted to a depth based on subduction duration and
 419 a descent rate of 3 cm yr⁻¹ in the upper mantle, and 1.2 cm yr⁻¹ in the lower mantle (van der Meer
 420 et al., 2010). The main uncertainty in the location of subduction zones during the time period of
 421 our reconstructions is the absolute reference frame. The model of Müller et al. (2016) upon which
 422 our model is built, uses a global moving hotspot model (Steinberger et al., 2004) that is the most
 423 reasonable based on an evaluation of its global consistency with both hotspot trails and other
 424 geodynamic criteria (Williams et al., 2015) and produces similar results to the subduction reference
 425 frame of van der Meer et al. (2010). In addition, recent results have demonstrated that the lower
 426 mantle structure predicted by geodynamic models using the same base model as in our study is

427 broadly consistent with seismic tomography images of the lower mantle (Flament et al., 2017),
428 and thus provide us with a level of confidence in the plate models that are used in this study.

429 The initial mantle structure also includes a basal thermochemical layer 113 km thick (2%
430 of the volume of the mantle following Hernlund and Houser (2008)) just above the core-mantle
431 boundary that consists of material 3.6 % denser than ambient mantle (Flament et al., 2015).

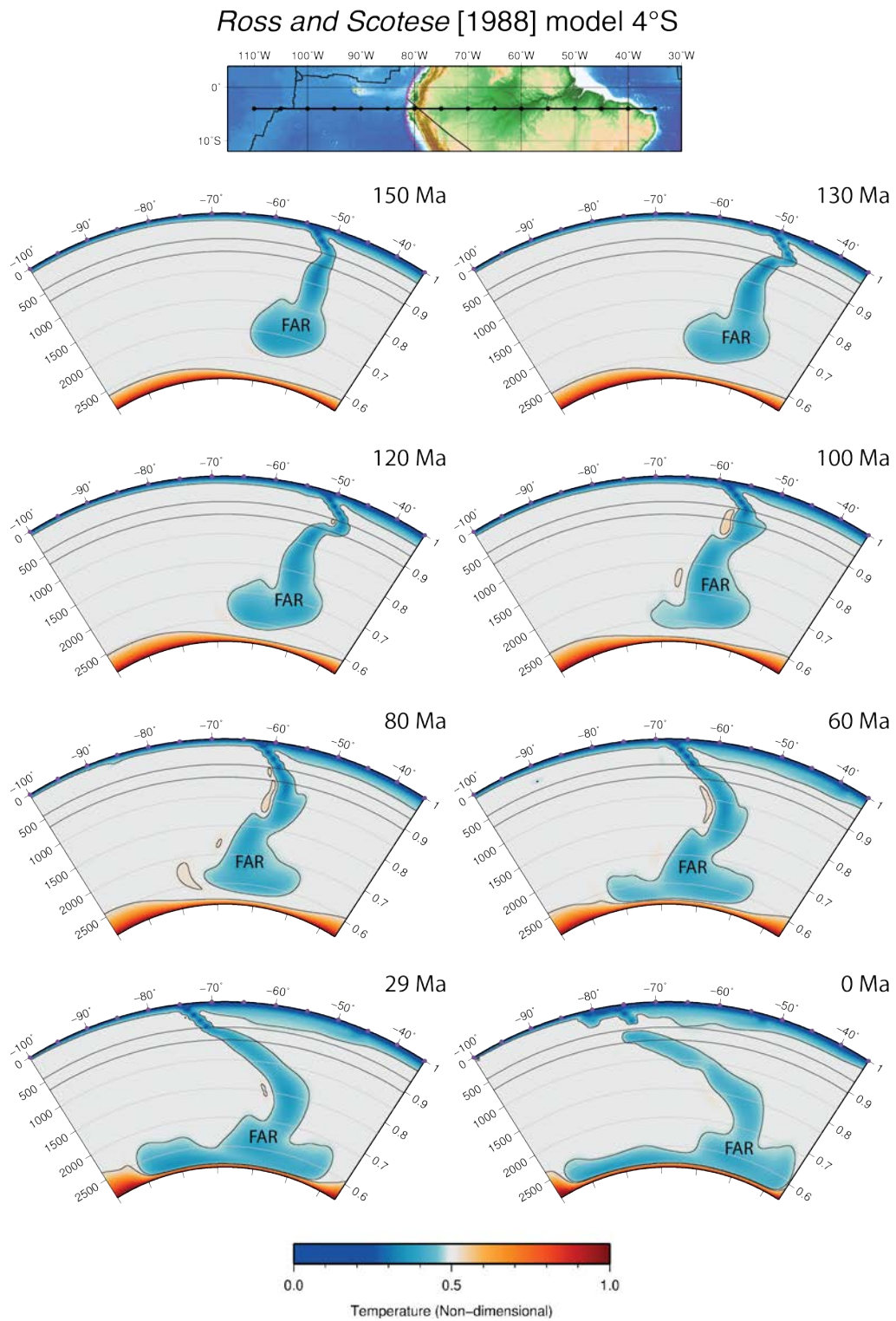
432

433 **4.1 Modelled mantle evolution**

434 **4.1.1 Ross and Scotese (1988)**

435 In the absence of subduction outboard of the South American margin during Cretaceous
436 times, the thermal structure of the mantle based on the reconstructions of Ross and Scotese (1988)
437 is largely controlled by prolonged east-dipping subduction of Farallon (FAR) lithosphere beneath
438 South America at 55°W. A vertical cross section at 4°S shows that this occurs continuously from
439 150 Ma to the present day, subducting as part of the Nazca plate from 23 Ma onwards (Fig. 6). As
440 subduction remained uninterrupted along the South American margin, the subducted lithosphere
441 remained attached to the base lithosphere through time. Increased westward motion of the South
442 American continent associated with the opening of the Atlantic at ~120 Ma (Eagles, 2007), resulted
443 in a ~30° westward relocation of the subduction zone from 120 Ma to the present day. As the
444 subduction zone moved westward, subducted lithosphere in the upper and mid mantle was dragged
445 with it, resulting in the diagonal smearing apparent in the predicted present-day mantle temperature
446 cross-section. A gap opened in the subduction zone between 9 Ma and the present day. We see this
447 mantle structure replicated at latitudes up to ~5°N. However, beneath the present-day Caribbean

448 Sea, subduction influx from both the Atlantic/Proto-Caribbean and Pacific realms is evident and
449 is broadly similar between the considered geodynamic models.



451 **Figure 6.** Vertical cross sections of time-dependent predicted mantle temperature based on the
452 reconstruction Ross and Scotese (1988) at 4°S. Black contours correspond to material that is 4%
453 cooler than ambient mantle temperature. FAR: Farallon oceanic lithosphere subducted beneath the
454 South American continental margin.

455

456 **4.1.2 Boschman et al. (2014)**

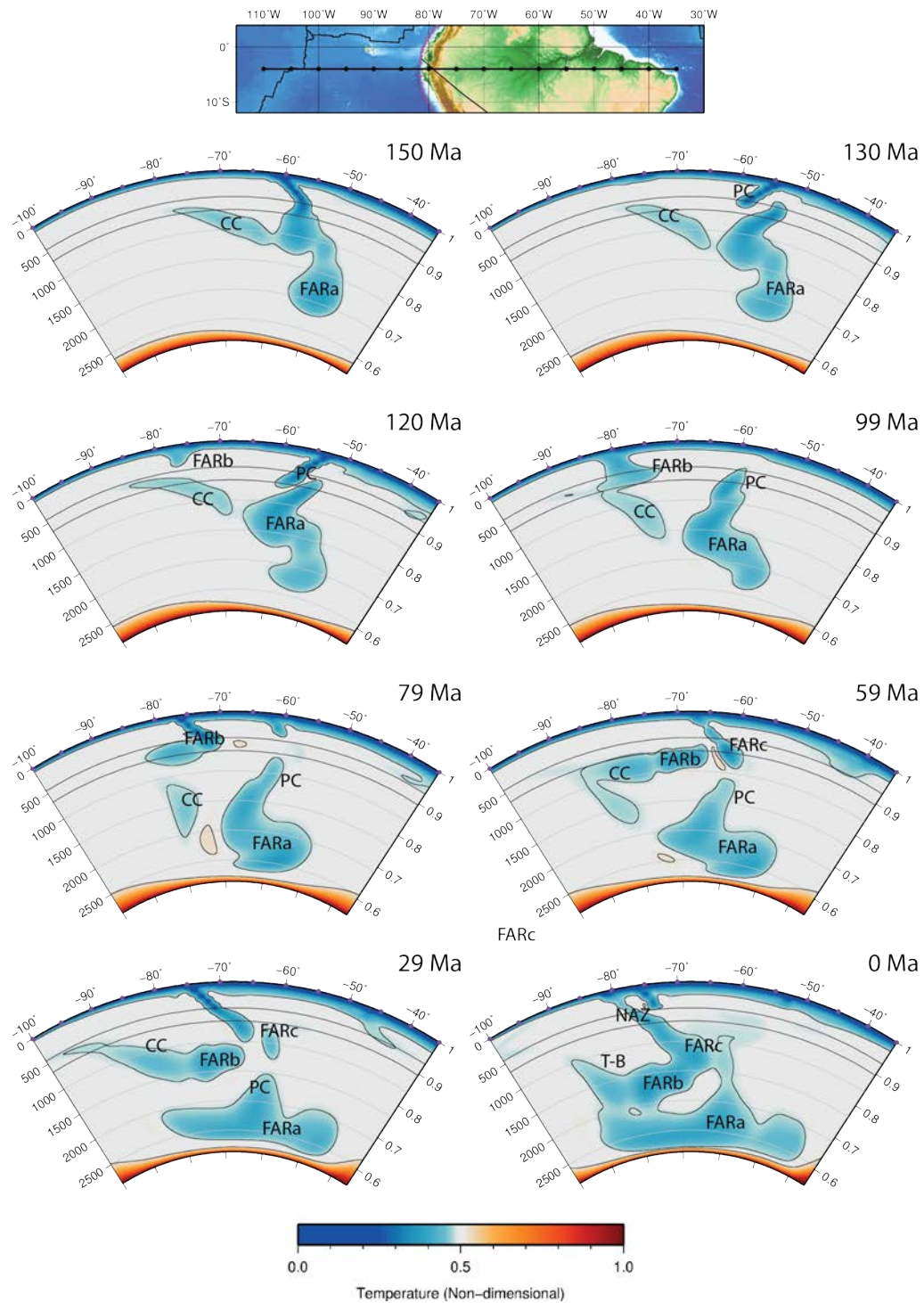
457 The predicted thermal structure of the mantle is markedly different if a subduction zone is
458 considered outboard of South America. The earlier onset of westward dipping subduction of Proto-
459 Caribbean (PC) lithosphere beneath the Great Arc modelled by Boschman et al. (2014) resulted in
460 the detachment of the Farallon slab (FARa) from the surface at 130 Ma (Fig. 7). By 120 Ma,
461 subduction of the Farallon plate (FARb) began beneath the western trailing edge of the Caribbean
462 plate at ~80°W. This subduction was not a feature of the reconstruction by Boschman et al. (2014)
463 but was required because of the relative motion of the Farallon plate and Caribbean plate at this
464 time.

465 With the eastward advance of the Caribbean plate, FARb was initially smeared laterally
466 across the mantle transition zone from ~85-75°W. This volume only began to sink vertically into
467 the lower mantle when it became detached by 59 Ma, eventually sinking to ~1500-2300 km depth
468 at the present day. The additional slab material present at 150 Ma in the upper mantle at ~70°W
469 was not associated with western Caribbean or Andean margin. It was instead related to the Jurassic
470 Talkeetna-Bonanza subduction zone, associated with the closure of the Cache Creek (CC) Ocean
471 and accretion of the Cache Creek terrane to the North American continent at ~180-150 Ma
472 (Johnston and Borel, 2007). The southward extent of the Cache Creek Ocean was changed

473 between the reconstruction of Seton et al. (2012) and that of Müller et al. (2016), hence why it was
474 observed in the models that use the Müller et al. (2016) reconstructions.

475

Boschman et al. [2014] model 4°S



476

477 **Figure 7.** Vertical cross sections of time-dependent predicted mantle temperature based on the
 478 reconstruction Boschman et al. (2014) at 4°S. Black contours correspond to material that is 4%

479 cooler than ambient mantle temperature. FARA; Farallon lithosphere subducted below South
480 America; CC; Cache Creek plate, PC; Proto-Caribbean lithosphere subducted below Caribbean
481 plate, FARb; Farallon lithosphere subducted below Caribbean, FARc and NAZ; subduction of
482 Farallon/Nazca lithosphere below South America following northward movement of Caribbean
483 plate.

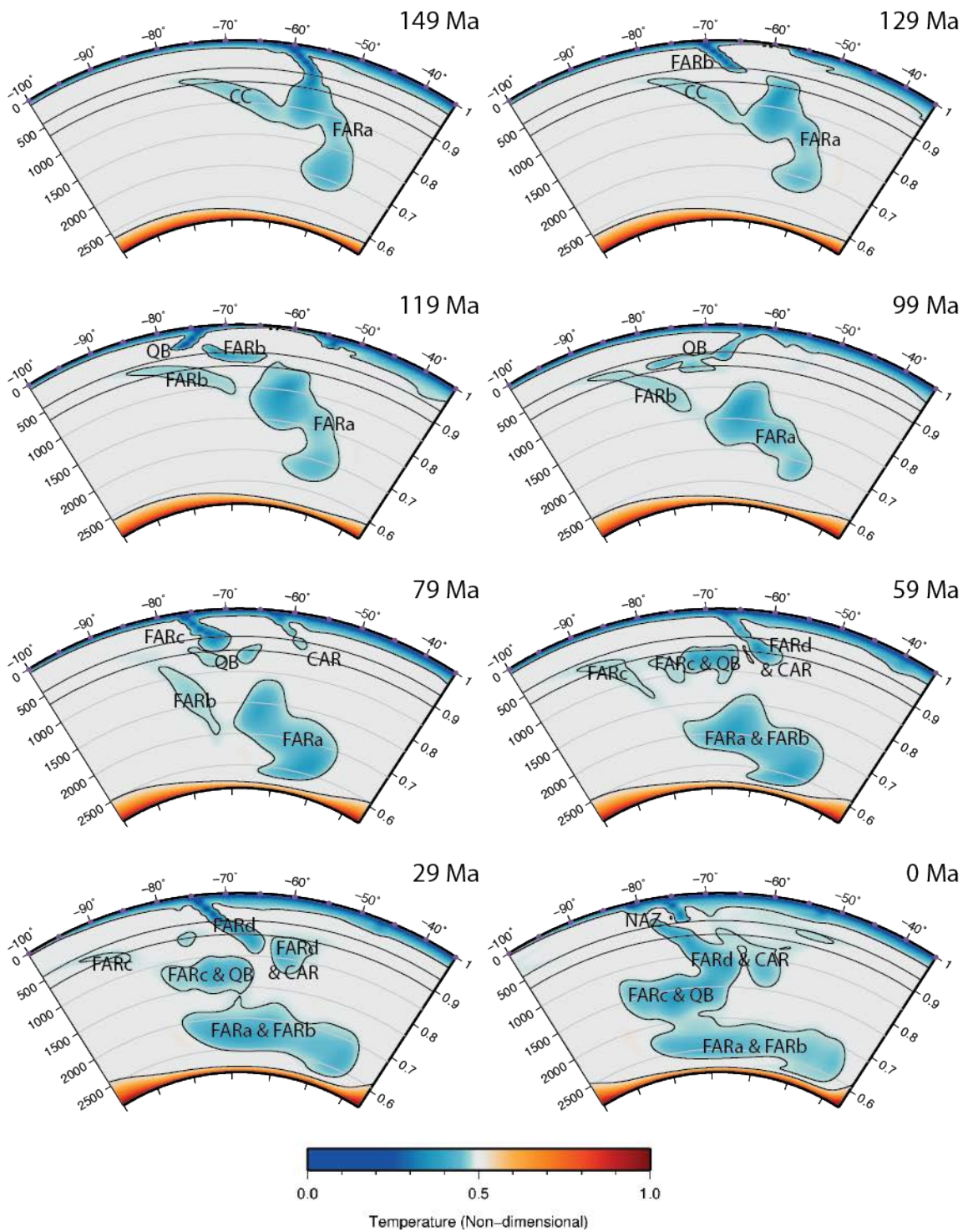
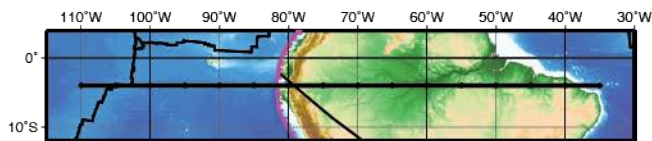
484

485 **4.1.3 This study**

486 In this study, east-dipping subduction beneath the South American margin was interrupted
487 by the opening of the Quebradagrande back-arc at 145 Ma, inducing the detachment of the Farallon
488 (FARA) slab earlier than Boschman et al. (2014). As the back-arc opened, subducting Farallon
489 lithosphere (FARb) initially became smeared along the mantle transition zone as the subduction
490 hinge rolled back (Fig. 8). A polarity reversal associated with the closure of the Quebradagrande
491 back-arc (QB) shows a similar pattern of lateral deflection at the limit between the upper and lower
492 mantle (660 km depth). Subducted oceanic lithosphere associated with the inception of east-
493 dipping subduction at 85 Ma responsible for the isolation of the Caribbean plate sank vertically
494 through the mantle transition zone (FARc; Fig 8). As this material sank it coalesced with the older
495 back-arc sinking slab, continuing to sink as a single thermal anomaly to ~1500-2300 km depth at
496 present between ~85-75°W (Fig. 8).

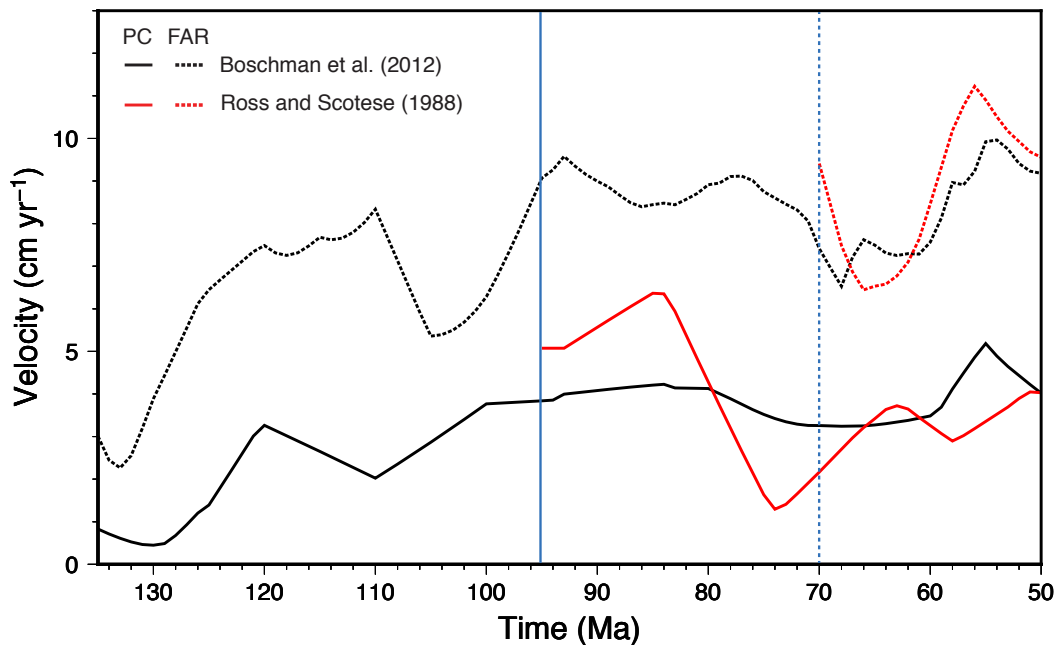
497 Because a low convergence rate was assigned between the Proto-Caribbean and the leading
498 edge of the Caribbean plate, based on a kinematic analysis (Fig. 9), there was no significant volume
499 of material prior to ~110 Ma in the model based on our reconstruction. Resumed subduction along
500 the South American margin (FARd and NAZ) that continued to the present day is responsible for
501 mid- to upper-mantle slab material (1500-500 km) predicted at 80-60°W.

This study at 4°S



503 **Figure 8.** Vertical cross sections at 4°S of time-dependent predicted mantle temperature based
 504 on our reconstruction. Black contours correspond to material that is 4% cooler than ambient
 505 mantle temperature. CAR = eastward dipping subduction of Caribbean lithosphere below South
 506 America; CC = Cache Creek plate; FARA = Jurassic-Cretaceous eastward dipping subduction of
 507 Farallon lithosphere below South America; FARb = eastward and southward dipping subduction
 508 of Farallon lithosphere below the opening Quebradagrande backarc; FARc = eastward dipping
 509 subduction of Farallon lithosphere below Caribbean; FARd and NAZ = eastward dipping
 510 subduction of Farallon/Nazca lithosphere below South America following northward movement
 511 of Caribbean plate; QB = westward dipping subduction of the Quebradagrande backarc basin
 512 beneath the Farallon plate (i.e. back-arc basin closure).

513



514

515 **Figure 9.** Convergence velocities of the Proto-Caribbean (solid lines) and Farallon plate (dashed
 516 lines), relative to the Caribbean plate for Boschman et al. (2014) (assuming that their outboard

517 plate boundary is a subduction zone) and our model (black) and Ross and Scotese (1988) (red).
518 The onset of westward-dipping subduction of the proto-Caribbean did not occur until 95 Ma (solid
519 blue) in Ross and Scotese (1988), with eastward dipping subduction of Farallon lithosphere below
520 the newly formed Caribbean plate not occurring until 70 Ma (dashed blue).

521

522 **5. Seismic tomography**

523 Anomalously fast seismic velocities are generally inferred to be representative of cold
524 subducted material based on a first order interpretation of the relationship between seismic wave
525 velocity and temperature. In this section we compare tectonic reconstructions and geodynamic
526 models to horizontal and vertical slices of P- and S-wave tomography models. We selected two P-
527 wave (Li et al., 2008; Montelli et al., 2004) and two S-wave (Grand, 2002; Ritsema et al., 2011)
528 models to visualize the mantle structure in the area. P-wave models allow for high-resolution
529 imaging of subduction zones due to the high concentration of receiver stations in proximity to
530 seismic wave sources, whereas S-wave models provide better coverage of large wavelength
531 features due to the sampling of broadband data (Romanowicz, 2003).

532 Assuming subducted material sinks largely vertical through the mantle (van der Meer et
533 al., 2010), we can interpret laterally continuous positive seismic anomalies to represent the paleo-
534 location of subduction zones in eastern Panthalassa. We used two alternative sinking rates for the
535 mantle, one with the whole mantle rate of 1.3 cm yr^{-1} (Butterworth et al., 2014) (Fig. 10 and S2-
536 4) (preferred rate) and another that assumes an upper mantle sinking rate of 4.8 cm yr^{-1} derived
537 from Lithgow-Bertelloni and Richards (1998) and a lower-mantle average sinking rate of 1.2 cm
538 yr^{-1} as determined by van der Meer et al. (2010) (Figs. S1). Using these parameters, we converted
539 horizontal depth slices into age to give an approximate age-depth relationship to subducted

540 material. The larger sinking rate adopted for the less viscous upper mantle predicts slabs to be at a
541 greater depth than estimated in van der Meer et al. (2010) and that predicted by our mantle
542 convection models (Fig. 8).

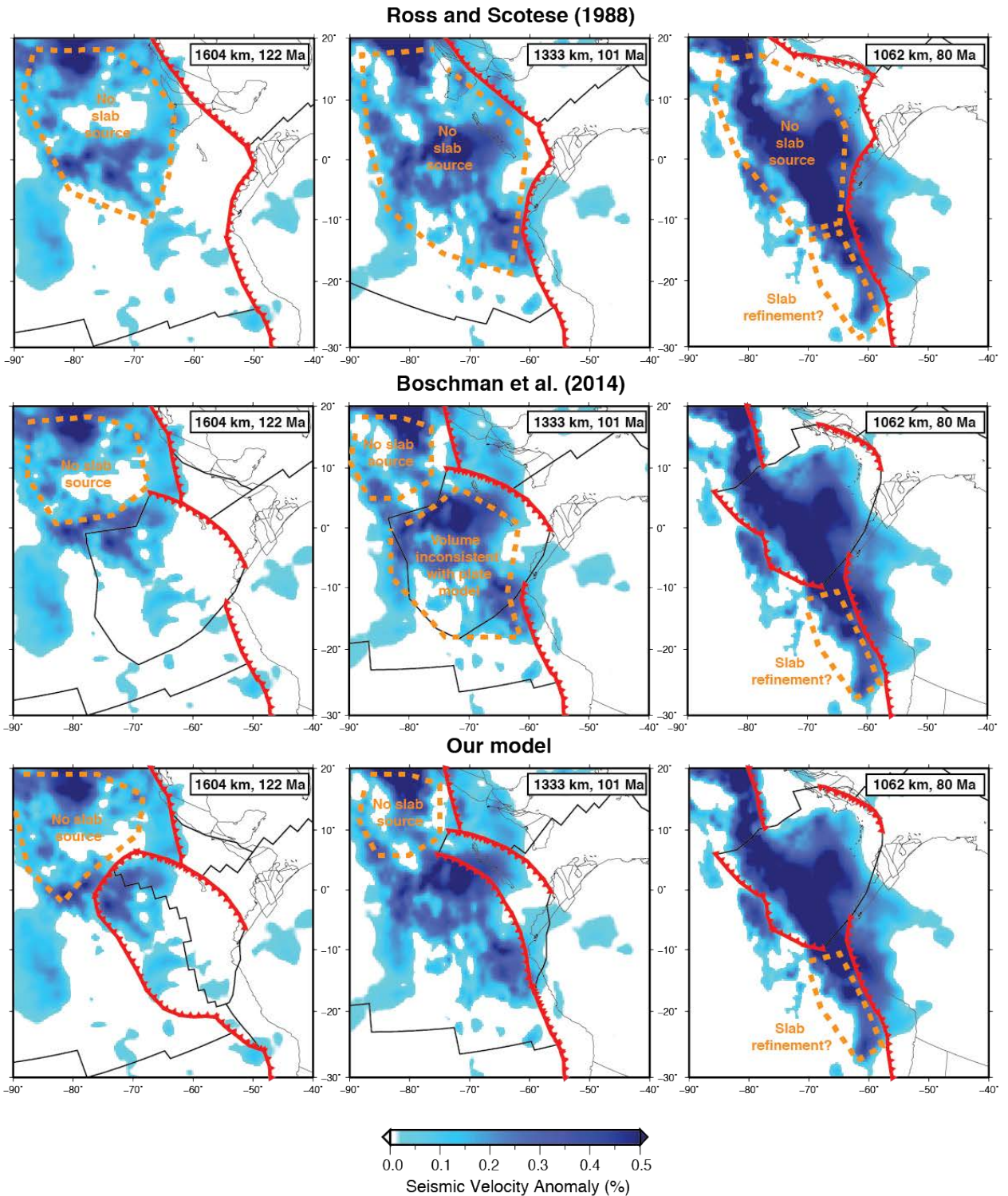
543 The long-lived continuous subduction zone extending along the western margin of North
544 and South America until 87 Ma proposed by Ross and Scotese (1988) shows a poor correlation
545 with the observed lateral distribution of subducted slab material (Fig. 10). The presence of a
546 subduction zone outboard of the Americas included in Boschman et al. (2014) and our model
547 provide a better fit at depths between 1000-1500 km (Fig. 10). In our reconstructions, we explain
548 the presence of this material as a consequence of the rolling back of the subduction slab associated
549 with both the opening and closing of the Quebradagrande backarc (e.g. at 1,333 km depth; Fig. 10).
550 This is in contrast to the Boschman et al. (2014) reconstruction, which does not propose a
551 subduction zone to explain the presence of the broad region of seismically fast material in the
552 lower mantle.

553 After 90 Ma, at depths shallower than $\sim 1,100$ km, a large volume of subducted slab material
554 close to the equator is present in all the seismic tomography models, marking a northward shift in
555 subduction (Fig. 10). This corresponds well with the location of subduction from 85 Ma in
556 Boschman et al. (2014) and consequently our model, as the Caribbean plate moves into the
557 widening gap between the North and South American continents. The Ross and Scotese (1988)
558 model cannot account for the presence of this material due to a 25 million year delay in the
559 inception of a new western subduction zone isolating the Caribbean plate, relative to the other two
560 models.

561 In the northern Pacific Ocean basin, which is outside of the scope of this study, seismic
562 anomalies are not well matched by subduction systems. However, the incorporation of intra-

563 oceanic subduction systems outboard of the western North American margin, as in Sigloch and
564 Mihalynuk (2013), may improve correlations in this area as well as the continuity with the
565 subduction systems further south. There may also be scope to improve the shape of the subduction
566 zone at ~80 Ma as it transitioned from an intra-oceanic subduction zone to a subduction zone
567 associated with the Caribbean and the Andean margin (Fig. 10).

568



569

570 **Figure 10.** Three alternative plate reconstructions tested in this paper with age-coded seismic

571 tomography depth slices (positive values only) based on MIT-P (Li et al., 2008). Sinking rate used

572 for the age-coding is a constant rate of 1.3 cm yr^{-1} . Red lines with teeth denote subduction zones,
573 thick black lines denote mid-ocean ridges and transform faults and thin black lines denote
574 coastlines. Dashed orange polygons highlight areas where the plate model and seismic tomography
575 are inconsistent (see text for discussion).

576

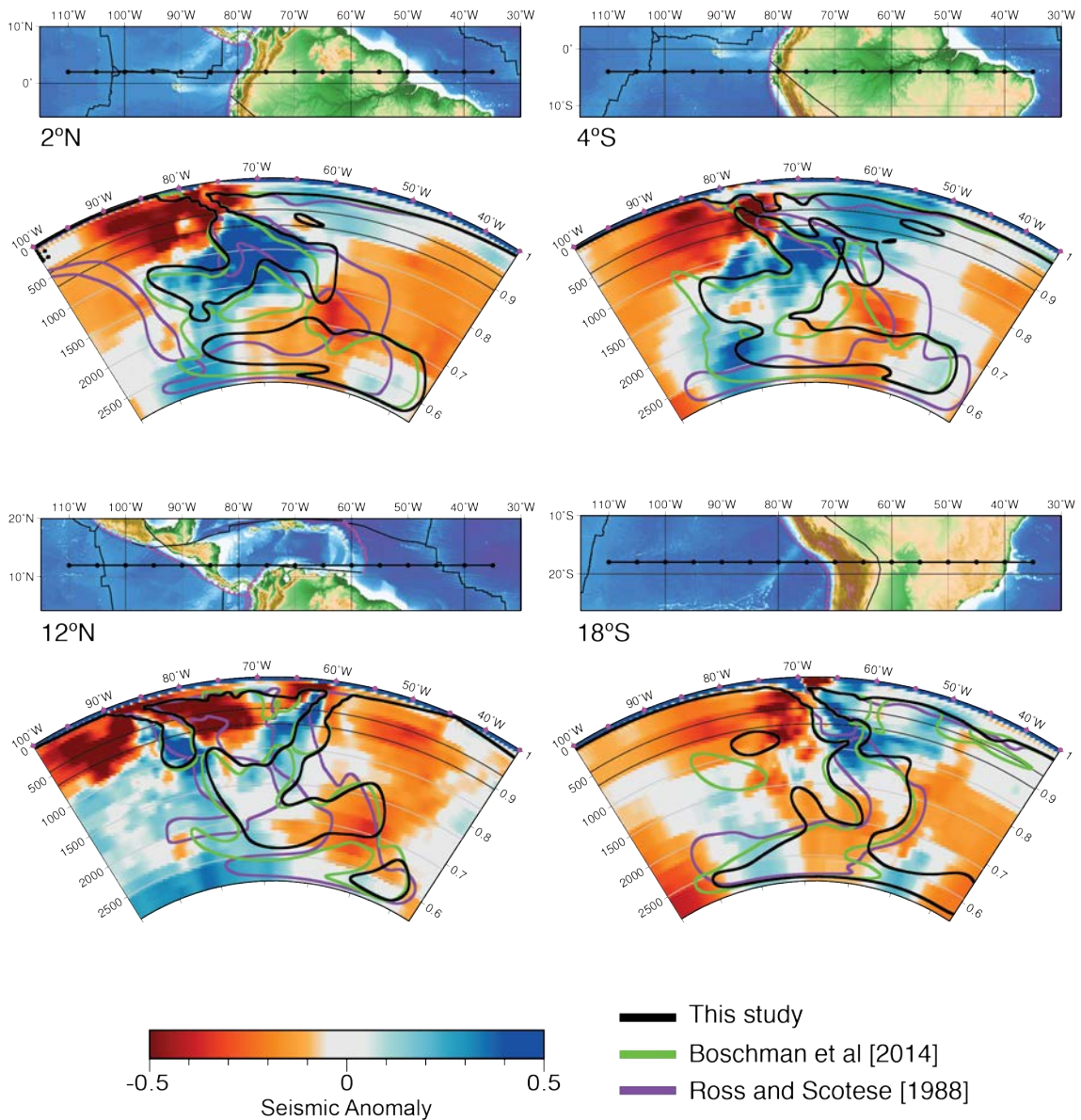
577 The predicted mantle structure derived from our geodynamic models is also compared to
578 the distribution of seismically fast material in vertical tomography slices.

579 At mid-mantle depths, the geodynamic models match both P- and S-wave tomography at
580 latitudes between $\sim 5^\circ\text{N}$ and $\sim 10^\circ\text{S}$ (Fig. 11 and Fig. S1-2), in the models where a subduction zone
581 outboard of South America is introduced during the Early Cretaceous. Geodynamic models based
582 on the reconstruction of Boschman et al. (2014) and our new reconstruction account for seismically
583 fast material at depths of $\sim 1,000\text{-}2,000 \text{ km}$ at $\sim 70\text{-}85^\circ\text{W}$ (Fig. 11 and Fig. S1-2). Based on an
584 analysis of the time-dependent mantle temperature, we attribute this material to the subduction of
585 Farallon lithosphere at the western Caribbean margin from 135 Ma in the Boschman et al. (2014)
586 model. Alternatively, in the model based on our new reconstruction, a similar volume of material
587 is sourced from the subduction of Farallon lithosphere at the retreating subduction zone associated
588 with the opening of the Quebradagrande back-arc at 145 Ma, and subsequent subduction of its
589 oceanic crust during basin closure from 120-100 Ma. The model based on the reconstruction by
590 Ross and Scotese (1988) does not replicate this mid-mantle material beneath South America,
591 instead producing a continuous slab extending from $\sim 500 \text{ km}$ at $\sim 75^\circ\text{W}$ to the core mantle
592 boundary at $\sim 50^\circ\text{W}$, that does not correspond to any strong positive anomalies below $\sim 1,500 \text{ km}$
593 depth.

594 The geodynamic models based on both the reconstruction of Boschman et al. (2014) and
595 our new reconstruction also predict a diagonal slab volume from ~80-60°W at shallower depths of
596 ~500-1,500 km that matches observed positive seismic anomalies imaged in tomography at these
597 latitudes (Fig. 11 and Fig. S1-2). This material was sourced from the Late Cretaceous resumption
598 of subduction of Farallon lithosphere beneath the South American margin. At more southern
599 latitudes (18°S), beneath Peru, all three models predict the near vertical region of high velocity
600 material centred at ~60°W observed in tomography. However, this material is poorly resolved in
601 MIT-P, particularly at depths below ~1,500 km. Faccenna et al. (2017) proposed that a thick slab
602 associated with the subduction of old oceanic lithosphere only penetrated and anchored into the
603 lower mantle ~ 50 ±10 Myr ago at ~20°S, leading to Andean mountain building. Nevertheless,
604 there is geological evidence from Peru and northern Chile of a continuous record of arc magmatism
605 since the Mesozoic (Scheuber et al., 1994) and geological evidence for orogenesis by the mid-Late
606 Cretaceous (Cobbold et al., 2007; McQuarrie et al., 2005). In addition, the seismic tomography
607 models presented in van der Meer (2017) show a continuous slab from the trench to 2,400-2,800
608 km depth in southern Peru.

609 The greatest mismatch between modelled present-day mantle temperature structure and
610 seismic tomography arises in the lower-most mantle > ~2,000 km depth (Fig. 11 and Fig. S1-2).
611 A linear zone of high velocity material in the lower mantle, extending ~30°N and S of the equator
612 (Fig. 10), is present in both P- and S-wave models that is not captured well by the numerical
613 models. This high-velocity volume has previously been identified (Hutko et al., 2006; Kito et al.,
614 2008; Thomas et al., 2004) and interpreted as the result of folding and westward spreading of the
615 Farallon slab at the core mantle boundary (Hutko et al., 2006). van der Meer et al. (2010) identified
616 the same anomaly at depths of 2815-2300 km in the P-wave tomography model of Amaru (2007),

617 instead suggesting that it may be derived from a north-south trending intra-oceanic subduction
 618 zone active in eastern Panthalassa during the early Mesozoic (max: 219 ± 11 Ma, min: 178 ± 15 Ma).



619

620 **Figure 11.** Vertical cross sections of MIT-P seismic tomography model and temperature contours
 621 showing mantle 4% cooler than ambient for each model. See Fig. S1-2 for comparison with
 622 alternative seismic tomography models.

623

624 Folding of the slab, however, is consistent with the behavior observed in the geodynamic
625 models. In the mantle convection models, subduction is modelled for 70 Myr prior to our study
626 period to capture pre-existing mantle heterogeneity. Pre-existing subducted material at $\sim 55^\circ\text{W}$
627 corresponds to Farallon subduction prior to 150 Ma (slab FARA). In lieu of trench roll-back and
628 slab break-off, continued subduction at $\sim 55^\circ\text{W}$, albeit with a reversed polarity in the reconstruction
629 of Boschman et al. (2014), supplied additional material to the remnant Farallon slab. The greater
630 volume of subducted material ultimately sank to greater depths, extending laterally along the core-
631 mantle boundary (Fig. 11 and Fig. S1-2). In all model scenarios, this spreading of the Farallon slab
632 in the lower mantle produces a $\sim 500\text{-}800$ km thick lateral ‘blanket’ of slab material at the core
633 mantle boundary extending to $\sim 40^\circ\text{W}$ that is largely absent in seismic tomography. This could
634 reflect that too much subducted material is initially present in the convection models, and/or that
635 lower mantle subducted volumes are over-predicted in incompressible flow models. This lower
636 mantle volume is somewhat better resolved in long-wavelength S-wave models. The thermal
637 assimilation over time was proposed by van der Meer et al. (2012) to account for the tomographic
638 indivisibility of slabs in the lower mantle.

639

640 **6. Discussion**

641 Assuming a first-order relationship between thermal heterogeneities in the mantle and
642 seismic wave velocity, the position of subducted slab material in the mid mantle is replicated by
643 the numerical models when a subduction zone is included outboard of the South American margin
644 during the Early Cretaceous. Additionally, the numerical models show that a long-lived Andean
645 style subduction zone persisting throughout the Mesozoic until ~ 90 Ma produces the poorest fit to
646 the observed distribution of seismically fast material at the present day beneath western South

647 America. It therefore seems likely that a subduction zone active during the Early Cretaceous to the
648 west of South America existed and such a subduction zone is necessary to account for the present-
649 day mantle structure. As the model based on the reconstruction of Ross and Scotese (1988) does
650 not reproduce any of the mid-mantle material at $\sim 75\text{-}85^\circ\text{W}$, we consider this model to be the least
651 representative model for this particular aspect of the eastern Panthalassa margin during the early
652 Cretaceous. Focusing on mid-mantle depths, where the geodynamic models best fit seismic
653 tomography, we attempt to distinguish between the possible mechanisms responsible for the types
654 of subduction described by our models and assess their feasibility.

655

656 **6.1. Subduction initiation in the proto-Pacific**

657 Boschman et al. (2014) constrained the western margin of the Caribbean plate at 135 Ma
658 by the appearance of an unknown plate boundary. A kinematic analysis of convergence rates at
659 this boundary when incorporated into the global model of Müller et al. (2016) (Fig. 9) suggests
660 that this plate boundary was a subduction zone. Models based on our reconstruction produce mid-
661 mantle slab volumes that match seismic tomography. Additionally, for west-dipping subduction
662 of the Proto-Caribbean to have occurred from 135 Ma, for which there is evidence (Rojas-
663 Agramonte et al., 2011), the rapid eastward motion of the Farallon plate at this time necessitates
664 the presence of an additional eastward dipping subduction zone consuming Farallon lithosphere.
665 This implies a spontaneous intra-oceanic subduction zone initiation at 135 Ma.

666 Subduction initiation is a key tectonic process that remains poorly understood (Stern,
667 2004). Spontaneous subduction initiation is thought to result from gravitational instability of the
668 oceanic lithosphere, whereas for induced subduction initiation, existing plate motions cause
669 compression and lithospheric rupture (Stern, 2004). Transform faults and fracture zones have

670 traditionally been thought to be favorable sites for intra-oceanic subduction initiation (Mueller and
671 Phillips, 1991). Previous numerical models (e.g. Hall et al. (2003)) showed that plate convergence
672 is typically required for inducing subduction initiation at transform faults. Leng and Gurnis (2015),
673 however, showed that spontaneous subduction initiation is possible at transform faults where a
674 greater thermal and compositional density contrast exists, such as where relic arcs are adjacent to
675 old oceanic lithosphere. Thermal rejuvenation of the relic arc causes a reduction in the overriding
676 plate strength leading to the spontaneous initiation of subduction at such sites (Leng and Gurnis,
677 2015). Recent work has investigated plume-induced subduction in the Caribbean region (Gerya et
678 al., 2015; Whattam and Stern, 2015) whereby subduction is induced along the weak plume head-
679 cold lithosphere interface (Whattam and Stern, 2015).

680 Despite the theoretical potential of a western Caribbean subduction zone that may have
681 initiated in this spontaneous manner to replicate mid-mantle thermal anomalies, geological
682 evidence for intraoceanic subduction initiation at 135 Ma is lacking. The earliest evidence of arc
683 magmatism preserved in the Panama–Chocó block, located at the inferred subduction boundary,
684 is of Campanian (~83.5-70.6 Ma) age (Denyer et al., 2006; Buchs et al., 2010). Radiolarites
685 intercalated with arc-derived material on the Nicoya peninsula are middle Turonian–Santonian and
686 Coniacian–Santonian in age (Bandini et al., 2008), consistent with Central American subduction
687 initiation at ~85 Ma predicted in both models. However, as previously discussed, there is evidence
688 for the formation of an Early Cretaceous back-arc basin, of unknown extent, along the Northern
689 Andean margin. This scenario involves back-arc basin extension and trench roll back, which is a
690 geodynamically common process, rather than requiring intra-oceanic subduction initiation.

691

692 **6.2. Cretaceous back-arc basin opening offshore South America and the Caribbean**

693 A key driver in the development of mafic-floored back-arc basins is the rollback of the
694 subduction hinge, expressed through the velocity of trench migration at a subduction zone
695 (Schellart, 2008). A number of studies have also shown that the absolute motion of the overriding
696 plate has an effect on the tectonic regime that arises at the subduction margin (Maloney et al.,
697 2013; Oncken et al., 2006; Ramos, 2010; Sdrolias and Müller, 2006). In these models, seismic
698 decoupling occurs at the trench when the overriding plate is moving away from the subduction
699 hinge inducing an extensional state of stress and leading to the development of back-arc spreading
700 (Sdrolias and Müller, 2006). While some geodynamic modelling studies conclude that the
701 overriding plate motion is a minor contributor to back-arc extension (Chen et al., 2016; Schellart,
702 2008), others propose that the forces driving the overriding plate away from the trench are
703 necessary to generate back-arc extension, even within the framework of slab rollback (Nakakuki
704 and Mura, 2013). Uyeda and Kanamori (1979) proposed that strong mechanical coupling at
705 subduction zone interfaces is linked to the formation of Cordilleran mountain belts, while weak
706 coupling is associated with back-arc basin formation.

707 Maloney et al. (2013) calculated negative trench normal convergence rates in the northern
708 Andean region during the Late Jurassic through Early Cretaceous, indicative of motion away from
709 the subduction hinge. This suggests that the conditions necessary for back-arc basin formation
710 existed in this region, and is consistent with evidence of back-arc basin formation preserved in the
711 Colombian and Ecuadorian Andes (Nivia et al., 2006; Villagómez et al., 2011). This provides
712 additional support for the presence of a back-arc basin as implemented in our model. The age of
713 oceanic lithosphere being subducted, and the angle at which it is dipping into the upper mantle,
714 are secondary parameters contributing to trench rollback. It has been shown that back-arc basins
715 may only develop where lithosphere is older than 50-55 Myr, with a minimum slab dip of 30°,

716 (Maloney et al., 2013; Sdrolias and Müller, 2006). Our reconstructed seafloor age-grids show
717 Farallon oceanic lithosphere older than 50 Myr was subducting at the northern Andean margin
718 145 Myr ago, thus satisfying this condition. Rollback of the subduction hinge dominates the
719 continued creation of accommodation space required for back-arc spreading during the
720 development of the Andean back-arc basins. The closure of these basins in our new reconstruction
721 is associated with increased spreading rates in the South Atlantic Ocean.

722 Another geodynamic consideration is the along-strike subduction evolution along the
723 Andean margin. Trench rollback resulting in full crustal breakup and subsequent back-arc
724 spreading is restricted to the northernmost part of the proposed Andean back-arc basin in our
725 reconstruction. Crustal extension in the Peruvian and Chilean Andes was insufficient to generate
726 new oceanic crust (Mpodozis and Allmendinger, 1993; Petford and Atherton, 1994; Ramos, 2010).
727 Whilst beyond the geographical scope of this study, the Rocas Verdes back-arc basin of the
728 southernmost Andes is proposed to have been active at approximately the same time as our
729 modelled Northern Andean back-arc. The Rocas Verdes Basin was floored by tholeiitic to
730 transitional type-basalts typical of a back-arc environment (Stern et al., 1976). It opened at 152-
731 142 Ma (Calderón et al., 2007) with rifting propagating northward (Malkowski et al., 2016). The
732 change to a compressional regime and closure of the back arc is similarly attributed to the
733 beginning of westward absolute motion of South America circa 100 Ma (Maloney et al., 2013;
734 Ramos, 2010; Somoza and Zaffarana, 2008).

735 The development of back-arc basins at only the northern and southernmost regions of the
736 Andean subduction zone, as presented in this study, is consistent with a recent dynamic, buoyancy-
737 driven, whole-mantle subduction model (Schellart, 2017) and the study of Schellart et al. (2007),
738 which explained this phenomenon as a function of lateral slab width. Return flow of mantle

739 material around the edges of retreating subducting slabs facilitates further rapid slab rollback and
740 thus increased lithospheric extension at the edges of long subduction zones > 4,000 km. Away
741 from the edges of such a subduction zone, a central stagnation zone forms where there is a limited
742 opportunity for the upper mantle to flow horizontally around the retreating slab. Ultimately this
743 produces a subduction zone with an overall convex-shaped trench with concave shaped edges,
744 folding around the upper mantle stagnation zone. The shape of our retreating back-arc subduction
745 zone in our model aligns with the inferences made by both Schellart (2017) and (Schellart et al.,
746 2007). Additionally, this effect may explain why extension along the Peruvian and Chilean
747 sections of the Andean margin did not experience full crustal break-up and development of back-
748 arc basins floored by oceanic crust. As well as explaining the opening of the Rocas Verdes and
749 Quebradagrande back-arc basins over several million years, the model of Schellart (2017) also
750 explains our modelled progressive closure of the Andean back-arc basins, which would have led
751 to subsequent shortening and orogenesis along the South American active margin. We therefore
752 consider subduction outboard of South America as the retreating subduction margin of a back-arc
753 basin to provide a better fit for observed mid-mantle high velocity material, better reflect the
754 observed geology and can be explained by previously published models on subduction and back-
755 arc basin behavior.

756

757 **7. Conclusion**

758 We used geodynamic models driven by surface plate reconstructions to compare alternative
759 subduction histories to present-day tomographic images of the mantle structure. We constrained
760 the location and evolution of subduction in eastern Panthalassa adjacent to South America during
761 the Cretaceous. Our kinematic and numerical modelling results show that subduction located

762 outboard of the South American margin during the Early Cretaceous matches the observed lateral
763 and vertical distribution of slab material in seismic tomography at mid-mantle depths (500-
764 2,000 km). When no such subduction zone is included, geodynamic models cannot account for the
765 westernmost seismic anomalies beneath South America. We therefore constrain the location of
766 Early Cretaceous subduction to be 15-20° west of the South American continental margin.

767 We show that this subduction zone was likely associated with the formation of a back-arc
768 basin in response to trench roll back at 145 Ma rather than spontaneous intra-oceanic subduction
769 formation. This interpretation is consistent with geological evidence of Cretaceous back-arc basin
770 formation and terrane accretion preserved on the present-day Northern Andean margin. We
771 consider the opening of this basin to be the northern expression of a major phase of extension along
772 the Andean margin, coeval with extensive crustal thinning in the Peruvian Andes. Our model of
773 two Jurassic-Cretaceous wedge-shaped back-arc basins forming along the Andes and their
774 subsequent closure is consistent with the subduction dynamics of the Andean margin based on an
775 independently-derived geodynamic model (Schellart, 2017). Further work on extending the
776 continuity of this subduction zone to the north (adjacent to the western margin of North America)
777 and to the south (along the entire South American margin) will help resolve the subduction history
778 of eastern Panthalassa, with implications for mantle dynamics and the location of LLSVPs,
779 geochemical cycles that are influenced by the amount of material subducted into the mantle and
780 long-term sea-level change related to the volume of the ocean basins.

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782

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