

1 Modelling size-fractionated primary production in the 2 Atlantic Ocean from remote sensing

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9 Abstract

Marine primary production influences the transfer of carbon dioxide between the ocean and atmosphere, and the availability of energy for the pelagic food web. Both the rate and the fate of organic carbon from primary production are dependent on phytoplankton size. A key aim of the Atlantic Meridional Transect (AMT) programme has been to quantify biological carbon cycling in the Atlantic Ocean and measurements of total primary production have been routinely made on AMT cruises, as well as additional measurements of size-fractionated primary production on some cruises. Measurements of total primary production collected on the AMT have been used to evaluate remote-sensing techniques capable of producing basin-scale estimates of primary production. Though models exist to estimate size-fractionated primary production from satellite data, these have not been well validated in the Atlantic Ocean, and have been parameterised using measurements of phytoplankton pigments rather than direct measurements of phytoplankton size structure. Here, we re-tune a remote-sensing primary production model to estimate production in three size fractions of phytoplankton

(<2 μm , 2-10 μm and >10 μm) in the Atlantic Ocean, using measurements of size-fractionated chlorophyll and size-fractionated photosynthesis-irradiance experiments conducted on AMT 22 and 23 using sequential filtration-based methods. The performance of the remote-sensing technique was evaluated using: (i) independent estimates of size-fractionated primary production collected on a number of AMT cruises using ^{14}C on-deck incubation experiments; and (ii) Monte Carlo simulations. Considering uncertainty in the satellite inputs and model parameters, we estimate an average model error of between 0.27 and 0.63 for \log_{10} -transformed size-fractionated production, with lower errors for the small size class (<2 μm), higher errors for the larger size classes (2-10 μm and >10 μm), and errors generally higher in oligotrophic waters. Application to satellite data in 2007 suggests the contribution of cells <2 μm and >2 μm to total primary production is approximately equal in the Atlantic Ocean.

10 *Key words:* Phytoplankton, Primary Production, Size, Ocean colour, Remote
11 sensing, Atlantic Ocean

12 **1. Introduction**

13 Primary production is the conversion of inorganic carbon (carbon dioxide) to
14 organic carbon (e.g., glucose). It occurs mainly through the process of photosyn-
15 thesis, using light as an energy source. Approximately half of net primary pro-
16 duction on Earth can be attributed to phytoplankton (Longhurst et al., 1995; Field

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17 et al., 1998). Primary production by phytoplankton modifies the total CO₂ con-
18 centration in seawater, influencing CO₂ air-sea gas exchange and consequently
19 Earth's climate. Nearly all marine life is directly or indirectly reliant on the or-
20 ganic carbon produced by phytoplankton as an energy source. The magnitude
21 of primary production has been found to impact global fish catch (Chassot et al.,
22 2010). It is for these reasons that a core goal of the Atlantic Meridional Transect
23 (AMT) programme has been to measure primary production by phytoplankton
24 in the Atlantic Ocean (Marañón and Holligan, 1999; Marañón et al., 2000, 2001;
25 Aiken et al., 2000; Robinson et al., 2002; Fernández et al., 2003; Robinson et al.,
26 2006; Poulton et al., 2006; Tilstone et al., 2009, Accepted).

27 Since the advent of satellite remote-sensing of ocean colour, synoptic esti-
28 mations of primary production across entire ocean basins has been attainable,
29 through the implementation of established and proven primary production mod-
30 els (e.g., Platt et al., 1980, 1990; Platt and Sathyendranath, 1993). Primary pro-
31 duction (P) can be expressed using an available light model, such that

$$P = BP_m^B(1 - \exp(-\frac{\alpha^B I}{P_m^B})), \quad (1)$$

32 where B is an index of the phytoplankton biomass, taken here to be the con-
33 centration of chlorophyll-a pigments, P_m^B is the assimilation number of the light-
34 saturation curve (maximum photosynthetic rate normalised by biomass in the
35 absence of photoinhibition), α^B is the initial slope measured for a flat incident
36 spectral light field in the photosynthetically-active domain (about 400 to 700
37 nm), and I is the total available irradiance (photosynthetically available radia-

38 tion, denoted PAR). Though not stated explicitly in Eq. 1, all these components
39 are depth-dependent. For simplicity we have not included in Eq. 1 the effect
40 of photoinhibition, which can occur in nature (Platt et al., 1980). Non-spectral,
41 available light models (Eq. 1) deal with total light (PAR), without taking into
42 account the spectral selectivity in absorption and utilisation of light available for
43 photosynthesis (unlike some spectral approaches e.g., Platt and Sathyendranath,
44 1988; Sathyendranath and Platt, 1989; Morel, 1991; Smyth et al., 2005). If the
45 parameters of the non-spectral models are not selected in an appropriate man-
46 ner this can lead to errors in computation of primary production (Kyewalyanga
47 et al., 1992). Whereas there are other methods of expressing P to that shown in
48 Eq. 1, all approaches are fundamentally consistent and are all based on a key set
49 of parameters (Sathyendranath and Platt, 2007).

50 Acknowledging assumptions about vertical and daily variation, two key vari-
51 ables in Eq. 1 are retrievable from satellite data, namely the concentration of
52 chlorophyll-a pigments (B) and the total available irradiance (I). Therefore, to
53 produce synoptic estimates of primary production using satellite data (B and I)
54 and Eq. 1, one needs a methodology to assign appropriate values for P_m^B and
55 α^B . Two approaches commonly used include: (i) assigning P_m^B and α^B based on
56 an extensive *in situ* dataset, either partitioned into regional and seasonal cate-
57 gories, typically conducted using biogeographical provinces (Longhurst et al.,
58 1995; Sathyendranath et al., 1995), or interrogated using statistical methods such
59 as nearest-neighbour together with spatial and temporal information and satellite
60 data (Platt et al., 2008); and (ii) tying P_m^B and α^B directly and continuously to

61 one (or more) environmental variable retrievable from satellite data, such as sea-
62 surface temperature, irradiance and chlorophyll (Eppley, 1972; Behrenfeld and
63 Falkowski, 1997; Sathyendranath et al., 2009; Saux Picart et al., 2014).

64 In recent years, a third approach to model variations in P_m^B and α^B has
65 been suggested, which incorporates information on phytoplankton size structure
66 (Claustre et al., 2005; Mouw and Yoder, 2005; Uitz et al., 2008). In this ap-
67 proach, size-fractionated chlorophyll biomass is inferred from satellite data (e.g.
68 Uitz et al., 2006) and used together with predetermined P_m^B and α^B values as-
69 signed to each size class and forced with total available irradiance (e.g. Uitz et al.,
70 2008), to estimate size-fractionated primary production which is then summed
71 to give total primary production (e.g. Silió-Calzada et al., 2008; Uitz et al., 2008,
72 2009, 2010, 2012). In addition to capturing variations in P_m^B and α^B , this ap-
73 proach can also provide group-specific (according to size) primary production.
74 Considering cell size influences many key processes in biogeochemistry and ma-
75 rine ecology (Chisholm, 1992; Marañón, 2009, 2015; Finkel et al., 2010), such as
76 the export of carbon (Laws et al., 2000; Guidi et al., 2009; Briggs et al., 2011) and
77 the transfer of energy through the marine food chain (Maloney and Field, 1991;
78 Legendre and LeFevre, 1991), such an approach offers a more holistic route to
79 understanding marine ecosystems (Le Quéré et al., 2005; Hirata et al., 2009) and
80 is consistent with many marine biogeochemistry models that use a size-based par-
81 titioning for phytoplankton (Aumont et al., 2003; Blackford et al., 2004; Kishi
82 et al., 2007; Marinov et al., 2010; Ward et al., 2012).

83 Yet, current approaches for estimating size-fractionated primary production

84 were parameterised using information on phytoplankton size structure inferred
85 indirectly from phytoplankton pigments (Uitz et al., 2006, 2008) derived from
86 High Performance Liquid Chromatography (HPLC), and not from direct mea-
87 surements of phytoplankton size. Whereas size-fractionated chlorophyll inferred
88 from HPLC data correlates well with that derived using methods that explicitly
89 partition the size classes (such as sequential size-fractionated filtration), signif-
90 icant biases between the two methods have been observed along the Atlantic
91 Meridional Transect (Brewin et al., 2014b), with implications for models that es-
92 timate size-fractionated chlorophyll (Brewin et al., 2014c) and size-fractionated
93 primary production from remote sensing.

94 On AMT cruises 22 and 23, which took place between October and Novem-
95 ber 2012 and 2013 respectively, sequential size-fractionated chlorophyll and
96 photosynthesis-irradiance experiments were conducted (Tilstone et al., Ac-
97 cepted) and used to estimate size-specific P_m^B , α^B and B . In this paper, we
98 re-parameterise a size-fractionated primary production model using these direct
99 measurements. The model is evaluated using independent measurements of total
100 and size-fractionated primary production, collected on a variety of AMT cruises,
101 and Monte Carlo simulations. The model is then used to provide synoptic es-
102 timates of size-fractionated primary production in the Atlantic Ocean for 2007,
103 and results are compared with previous studies. Finally, we discuss advantages
104 and disadvantages of the technique and routes to future improvement.

105 2. Methodology

106 Using an available light model (Platt et al., 1980) that considers three size
107 classes of phytoplankton (Uitz et al., 2008), we express size-fractionated primary
108 production as

$$P = \int_{t=0}^D \int_{z=0}^{1.5Z_p} \sum_{i=1}^3 B_i(z) P_{m,i}^B(z) \left[1 - \exp\left(-\frac{\alpha_i^B(z) I(z, t)}{P_{m,i}^B(z)}\right) \right] dz dt, \quad (2)$$

109 where D is day length, Z_p is the euphotic depth (1 % light level, where $1.5Z_p$
110 represents the 0.1 % light level), z is depth and t is time. The subscript i refers
111 to the three size classes of phytoplankton, where $i = 1$ refers to cells $< 2 \mu\text{m}$
112 (pico-phytoplankton, referred to here as small cells), $i = 2$ cells $2\text{-}10 \mu\text{m}$ (re-
113 ferred to here as medium cells), and $i = 3$ cells $> 10 \mu\text{m}$ (referred to here as large
114 cells). Table 1 defines all symbols used in the paper. Note that size ranges of
115 medium and large cells differ slightly from those of Uitz et al. (2008), who used
116 the $2\text{-}20 \mu\text{m}$ and $> 20 \mu\text{m}$ size classes. We used the $10 \mu\text{m}$ (rather than $20 \mu\text{m}$)
117 partitioning as phytoplankton cells rarely exceed $20 \mu\text{m}$ over much of the AMT
118 cruise tracks, and thus data were collected using $10 \mu\text{m}$ polycarbonate filter pads
119 rather than $20 \mu\text{m}$. Equation 2 builds on a two-component model of primary
120 production proposed by Brewin et al. (2010a).

121 The following sections describe how we parameterised each component of
122 Eq. 2. We begin each section by describing the datasets used to parameterise
123 each component, followed by the equations used for parameterisation, and fi-
124 nalise each section by providing a list of model parameters and an evaluation of
125 our approach to modelling each component, relative to existing techniques.

126 2.1. Day length (D)

127 Day length (D) was estimated as a simple function of latitude and day of year
128 (DOY) following the Schoolfield model, as defined in Eq. 1-3 of Forsythe et al.
129 (1995).

130 2.2. Euphotic depth (Z_p)

131 The euphotic depth (Z_p) was estimated at 37 stations on the AMT 22 cruise
132 and 21 stations on the AMT 23 cruise. These stations were sampled around local
133 noon. The depth of the 1 % light level (Z_p) and the average diffuse attenuation
134 coefficient in the euphotic layer (K_{Z_p}) were extracted at each station using ver-
135 tical profiles of photosynthetically available radiation (PAR) measured using a
136 Chelsea MKI Fast Repetition Rate Fluorometer (FRRF) on AMT 22 and a Bio-
137 spherical PAR irradiance sensor on AMT 23, and assuming Beer-Lambert Law.
138 For each station, discrete water samples (1-4 L) were collected in the surface
139 layer ($z \sim 2-5$ m). The water samples were filtered onto Whatman GF/F glass
140 microfibre filter pads ($\sim 0.7\mu\text{m}$), flash frozen in liquid nitrogen and transferred
141 to the -80°C freezer. Total surface chlorophyll-a concentration (B_s , the sum of
142 key photosynthetic pigment concentrations including monovinyl chlorophyll-a,
143 divinyl chlorophyll-a, and chlorophyllide-a) were determined after each cruise in
144 the laboratory using HPLC analysis (see section 2.3.1 for further details). Here
145 we define B_s as the concentration in the upper mixed-layer (Z_m), which rarely is
146 less than 10 m (de Boyer Montégut et al., 2004).

147 Satellite ocean-colour data can provide estimates of total chlorophyll-a con-
148 centration within the 1st optical depth, which can vary from <1 to 40 m depth.

149 Comparisons of satellite estimates with *in situ* data collected at 5 m along two
 150 AMT cruise tracks (AMT 19 and 22) show very good agreement (Brewin et al.,
 151 2016). Therefore, we made the assumption that satellite ocean-colour data pro-
 152 vides surface chlorophyll-a concentration (B_s). To estimate Z_p using satellite
 153 ocean-colour data for use in Eq. 2 we used the approach of Morel et al. (2007),
 154 relating empirically Z_p to B_s according to

$$Z_p = 10^{[q_a + q_b \log_{10}(B_s) + q_c \log_{10}(B_s)^2 + q_d \log_{10}(B_s)^3]}, \quad (3)$$

155 where q_a , q_b , q_c and q_d are empirical parameters. Equation 3 was re-
 156 parameterised using Z_p and B_s data from AMT 22 and 23. Values of the co-
 157 efficients are provided in Table 2 and Eq. 3 is plotted in Fig. 1a together with the
 158 parameters from Morel et al. (2007). In general the re-tuned algorithm is in good
 159 agreement with that of the global model of Morel et al. (2007), but departs at
 160 chlorophyll concentrations less than 0.1 mg m^{-3} , with slightly higher estimates
 161 of Z_p compared with Morel et al. (2007). Equation 3, together with values of q_a ,
 162 q_b , q_c and q_d (Table 2), was used to estimate Z_p from satellite estimates of B_s for
 163 input into Eq. 2.

164 2.3. Size-fractionated biomass B_i

165 The total chlorophyll-a concentration (B) is used here as an index of phy-
 166 toplankton biomass. For Eq. 2 we require $B_i(z)$, vertical variations (z) in the
 167 chlorophyll-a concentration (B) of three size classes ($i =$ small (1), medium (2)
 168 and large cells (3)), down to a depth of $1.5 \times Z_p$. To get $B_i(z)$ for Eq. 2, we first

169 estimate $B(z)$ from B_s (available from satellite ocean-colour data), then estimate
170 $B_i(z)$ from $B(z)$.

171 2.3.1. Vertical variations in total chlorophyll (B)

172 To estimate the chlorophyll profile in the Atlantic Ocean we made use of
173 vertical profiles of HPLC total chlorophyll data collected on AMT cruises 1-22.
174 For all cruises, between 1 and 4 L of seawater were filtered onto Whatman GF/F
175 glass microfibre filter pads ($\sim 0.7\mu\text{m}$), flash frozen in liquid nitrogen and trans-
176 ferred to the -80°C freezer. If liquid nitrogen was not available the filters were
177 transferred directly to the -80°C freezer. Samples were extracted under dim light
178 conditions on ice, in 2 mL 90% acetone by sonication (Sonics Vibracell probe,
179 35 s, 40 W), followed by a soaking period (total extraction time of 1 h). Ex-
180 tracts were clarified by centrifugation. For additional details on sample analysis
181 for total chlorophyll (B), see Aiken et al. (2009) and Airs and Martinez-Vicente
182 (2014a,b,c). For each profile, estimates of mixed-layer depth (Z_m) were extracted
183 from a monthly climatology (de Boyer Montégut et al., 2004, based on a tem-
184 perature criterion of ± 0.2 degree difference from the temperature at 10 m depth)
185 using a simple latitude and longitude match-up technique, and euphotic depth
186 (Z_p) was estimated from B_s using Eq. 3. The ratio of the euphotic depth (Z_p) to
187 the mixed-layer depth (Z_m) was computed for each profile.

188 For our primary production model, we assumed a non-uniform vertical
189 chlorophyll profile in stratified conditions and a uniform profile in mixed waters,
190 following Morel and Berthon (1989) and Uitz et al. (2006). The non-uniform ver-
191 tical chlorophyll profile was modelled using a shifted Gaussian model adapted

192 from Platt and Sathyendranath (1988) and Uitz et al. (2006). As with Uitz et al.
 193 (2006), the non-uniform profile was computed based on two dimensionless quan-
 194 tities, the dimensionless depth (ζ), where $\zeta = z/Z_p$, and a normalised chlorophyll
 195 profile. However, unlike Uitz et al. (2006) who normalised the chlorophyll pro-
 196 file by the average chlorophyll concentration within the euphotic layer, here we
 197 normalise the chlorophyll profile ($B^{B_s}(\zeta)$) by the surface chlorophyll concentra-
 198 tion (B_s), such that $B^{B_s}(\zeta) = B(\zeta)/B_s$. After this double normalisation has been
 199 applied, the dimensionless chlorophyll profile ($B^{B_s}(\zeta)$) was expressed as

$$B^{B_s}(\zeta) = 1 - S^{B_s} \zeta + B_m^{B_s} \exp\{-[(\zeta - \zeta_m)/\sigma]^2\}, \quad (4)$$

200 where S^{B_s} represents a background linear decrease with ζ , $B_m^{B_s}$ the maximum
 201 value of $B^{B_s}(\zeta)$, ζ_m the dimensionless depth at which $B_m^{B_s}$ occurs, and σ the width
 202 of the $B_m^{B_s}$ peak. There are four unknown parameters in Eq. 4: S^{B_s} , $B_m^{B_s}$, ζ_m and
 203 σ , given that the normalised surface value is equal to one in Eq. 4. Two different
 204 approaches have been presented to assign parameters of shifted Gaussian mod-
 205 els at large scales: assigning parameters based on season and region (e.g. bio-
 206 geochemical provinces; Platt and Sathyendranath, 1991; Sathyendranath et al.,
 207 1995; Longhurst et al., 1995); or tying parameters to trophic categories, typi-
 208 cally using boundaries in B_s (Morel and Berthon, 1989; Uitz et al., 2006). Here
 209 we investigated the relationship between model parameters and surface chloro-
 210 phyll concentration B_s , with the goal of estimating model parameters in Eq. 4 as
 211 continuous functions of B_s .

212 Equation 4 was fitted to 112 HPLC AMT chlorophyll profiles in stratified

213 environments (where $Z_p/Z_m > 1.0$), using a non-linear least-square method
 214 (Levenberg-Marquardt, IDL Routine MPFITFUN (Moré, 1978; Markwardt,
 215 2008)). Profiles were used only from stratified environments (where $Z_p/Z_m >$
 216 1.0), where measurements were made in the surface layer (<10 m), with a min-
 217 imum of five samples in the profile, and where Eq. 4 explained 96% of the
 218 variability in the data. The last constraint was to avoid the impact of any un-
 219 characteristic profiles, possibly caused by measurement error, on the fitting of
 220 Eq. 4 to individual profiles. Retrieved parameters are plotted as a function of B_s
 221 in Fig. 2. Of the four parameters, $B_m^{B_s}$ and ζ_m were significantly correlated with
 222 B_s ($p < 0.05$), with S^{B_s} and σ relatively constant over a range of B_s (Fig. 2).
 223 Therefore, we fixed S^{B_s} and σ at 0.325 and 0.295 respectively (Table 2), and $B_m^{B_s}$
 224 was modelled as a function of B_s according to $B_m^{B_s} = 10^{(\log_{10}(B_s)E+F)}$ ($r = 0.75$,
 225 $p < 0.001$) and ζ_m as a function of B_s according to $\zeta_m = \log_{10}(B_s)G+H$ ($r = 0.24$,
 226 $p = 0.010$). Parameter values for E , F , G and H are provided in Table 2. Figure
 227 3a illustrates how $B^{B_s}(\zeta)$ varies with B_s for stratified environments, and Fig. 3b
 228 shows the reconstructed total chlorophyll ($B(z)$).

229 For mixed environments, we made the assumption of a uniform profile (Uitz
 230 et al., 2006), such that $B(z) = B_s$. Rather than using a binary change from
 231 mixed to stratified waters, based on Z_p/Z_m being greater than or less than 1.0, we
 232 introduced a smooth transition from mixed to stratified waters, where $B(z)$ was

233 modelled according to

$$B(z) = \begin{cases} B_s & \text{if } Z_p/Z_m < 1.0 \\ \xi([1 - S^{B_s}\zeta + B_m^{B_s} \exp\{-[(\zeta - \zeta_m)/\sigma]^2\}]B_s) + (1 - \xi)B_s & \text{if } Z_p/Z_m \geq 1.0 \text{ and } \leq 1.5 \\ [1 - S^{B_s}\zeta + B_m^{B_s} \exp\{-[(\zeta - \zeta_m)/\sigma]^2\}]B_s & \text{if } Z_p/Z_m > 1.5, \end{cases} \quad (5)$$

234 where ξ serves to provide a linear transition from mixed to stratified waters
 235 as Z_p/Z_m increases from 1.0 to 1.5. This parameter is computed as $\xi =$
 236 $(Z_p/Z_m - 1.0)/(1.5 - 1.0)$. Figure 3c shows $B(z)$ where $B_s = 0.1$ as a func-
 237 tion of Z_p/Z_m , to illustrate the change in profile from stratified to mixed waters.
 238 Figure 5 shows integrated chlorophyll, computed by vertical integration of Eq.
 239 5, as a function of surface chlorophyll (B_s) and Z_p/Z_m . Results are consistent
 240 with empirical equations of Uitz et al. (2006) based on a global dataset, with in-
 241 tegrated chlorophyll increasing as a function of total chlorophyll, and the slopes
 242 varying between stratified and mixed waters. For stratified conditions, over the
 243 range of 0.01 to 1.0 mg m⁻³ chlorophyll (i.e. typical conditions encountered on
 244 an AMT cruise), the model is in good agreement with the empirical equations of
 245 Uitz et al. (2006).

246 As a qualitative verification of Eq. 5 we estimated $B(z)$ using satellite B_s ,
 247 as input (monthly chlorophyll composites from ESA OC-CCI data, see section
 248 2.7.1 for details on satellite data) and mixed-layer from a monthly climatology
 249 (de Boyer Montégut et al., 2004) for October 2008 and November 2010. They

250 are compared with chlorophyll estimated from an *in vivo* fluorometer on a CTD
251 during the AMT 18 cruise (4th October to 10th November 2008) and AMT20
252 cruise (12th October to 25th November 2010), deployed at discrete stations along
253 the cruise track (Fig. 5). In general, Eq. 5 captures the vertical variations in B
254 along both transects. Equation 5 was used to estimate $B(z)$ with B_s , Z_p and Z_m as
255 input, and parameters are provided in Table 2.

256 2.3.2. Size-fractionated chlorophyll (B)

257 Having obtained $B(z)$, next we estimate $B_i(z)$ from $B(z)$. During AMT 13, 14,
258 22 and 23 cruises, ~200-300 ml water samples were sequentially filtered through
259 different-sized polycarbonate filters. All four cruises incorporated a 10 μm , 2 μm
260 and 0.2 μm partitioning. During AMT 22 and 23 cruises, water samples were
261 collected at the surface (<5 m) and also the sub-surface maxima ($\sim \zeta_m$), whereas
262 AMT cruises 13 and 14 water samples were collected at a variety of depths. After
263 filtration, pigments were extracted by storing the filters in 90% acetone at -20°C
264 between 10 and 24 hrs (Marañón et al., 2001; Brewin et al., 2014c). A Turner
265 Design Fluorometer (either 10 AU, TD-700 or Trilogy) was used to derive the
266 chlorophyll concentration of three size classes (small cells <2 μm (B_1), medium
267 cells 2-10 μm (B_2), and large cells >10 μm (B_3)). For each cruise, the fluorometer
268 was pre- and post-calibrated with pure chlorophyll-a as a standard. Figure 6
269 shows the geographical distribution of samples for each cruise. Data from AMT
270 22 and 23 cruises were used for model development, and data from AMT 13 and
271 14 cruises for independent evaluation of the model.

272 To estimate $B_i(z)$ from $B(z)$, we used the three-component model of Brewin

273 et al. (2010b) to estimate size-fractionated chlorophyll (B_i) as a function of total
274 chlorophyll (B). The model is based on two exponential functions (Sathyen-
275 dranath et al., 2001), where the chlorophyll concentration of combined small-
276 and medium cells ($B_{1,2}$, cells $<10\mu\text{m}$) and small cells (B_1 , cells $<2\mu\text{m}$) can be
277 expressed as

$$B_{1,2} = B_{1,2}^m [1 - \exp(-S_{1,2}B)], \quad (6)$$

278 and

$$B_1 = B_1^m [1 - \exp(-S_1B)]. \quad (7)$$

279 The parameters $B_{1,2}^m$ and B_1^m are the asymptotic maximum values for the associ-
280 ated size classes ($<10\mu\text{m}$ and $<2\mu\text{m}$ respectively): $S_{1,2}$ and S_1 determine the in-
281 crease in size-fractionated chlorophyll ($<10\mu\text{m}$ and $<2\mu\text{m}$ respectively) with in-
282 creasing total chlorophyll (B). Although the model of Brewin et al. (2010b) was
283 originally developed for slightly different size fractions ($<20\mu\text{m}$ and $<2\mu\text{m}$), re-
284 cent work has shown it holds for multiple size fractions between 2 and $20\mu\text{m}$
285 (Brewin et al., 2014c). The chlorophyll concentration of medium cells (B_2) and
286 large cells (B_3) can be calculated according to

$$B_2 = B_{1,2} - B_1, \quad (8)$$

287 and

$$B_3 = B - B_{1,2}. \quad (9)$$

288 Equations 6 and 7 were fitted to B , $B_{1,2}$ and B_1 from AMT cruises 22 and
289 23 (Levenberg-Marquardt, IDL Routine MPFITFUN (Moré, 1978; Markwardt,
290 2008)). To avoid the undue influence of large chlorophyll values on the param-
291 eterisation of the model, the fitting procedure was applied to \log_{10} -transformed
292 data. Parameter values for $B_{1,2}^m$, B_1^m , $S_{1,2}$ and S_1 are provided in Table 2. Values
293 were found to be similar to those estimated by Brewin et al. (2014c, $B_{1,2}^m = 1.60$,
294 $B_1^m = 0.66$, $S_{1,2} = 0.56$ and $S_1 = 1.20$) developed using size-fractionated filtra-
295 tion data independent to that of AMT 22 and 23 cruises.

296 Figure 6 shows size-fractionated chlorophyll plotted as a function of total
297 chlorophyll for AMT 22 and 23 cruises, with the Brewin et al. (2010b) model
298 overlain. The model is seen to capture the relationships in the AMT 22 and 23
299 data. The Brewin et al. (2010b) model also compares well with independent size-
300 fractionated chlorophyll from AMT 13 and 14 (Fig. 6, when applying the model
301 (Eq. 6-9) to the total chlorophyll concentration (B)). There were no significant
302 differences in model parameters between the surface and sub-surface maximum
303 data (parameters overlapped at the 95 % confidence interval). Equations 6-9 were
304 used to estimated $B_i(z)$ from $B(z)$, and parameters are provided in Table 2. For
305 our production model (Eq. 2), size-fractionated biomass ($B_i(z)$) was assumed to
306 be constant over daylength (D).

307 2.4. *Phytoplankton size-specific photophysiology ($P_{m,i}^B$ and α_i^B)*

308 Photosynthesis-irradiance experiments were conducted at 36 stations on
309 AMT 22 and 26 stations on AMT 23, at two depths in the water column (sur-
310 face (<5 m) and the sub-surface maxima ($\sim \zeta_m$)). The experiments were run in
311 photosynthetrons illuminated by 35 or 50 W tungsten halogen lamps for surface
312 samples when ambient irradiance was $>800\mu\text{mol m}^{-2} \text{s}^{-1}$, and using 9 W LEDs
313 for the sub-surface samples and for surface samples when ambient irradiance
314 was $<800\mu\text{mol m}^{-2} \text{s}^{-1}$, following Tilstone et al. (2003). Each incubator housed
315 15 sub-samples in 60 mL polycarbonate bottles which were inoculated with be-
316 tween 185 and 370 kBq (5-10 μCi) of ^{14}C labelled bicarbonate. The samples were
317 maintained at *in situ* temperature using the ship's non-toxic seawater supply for
318 the surface samples and at ambient temperature at the surface maxima ($\sim \zeta_m$)
319 with a Polyscience chiller. After 1 to 2 h of incubation, the suspended material
320 was sequentially filtered through 10 μm , 2 μm and 0.2 μm polycarbonate filters to
321 measure size-specific phytoplankton photosynthetic rates. The filters were ex-
322 posed to concentrated HCl fumes for 12 h, immersed in scintillation cocktail and
323 ^{14}C disintegration per minute (DPM) was measured on board using a Packard
324 Tricarb 2900 liquid scintillation counter, and the external standard and the chan-
325 nel ratio methods to correct for quenching. Dark bottle incubations were used to
326 obtain blank DPMs which were subtracted from the light bottle DPMs. Produc-
327 tion for each size class P_i was then normalised by concurrent measurements of
328 chlorophyll biomass in each size class B_i (see section 2.3.2), to give normalised
329 size-fractionated production P_i^B .

330 The broadband light-saturated chlorophyll-specific rate of photosynthesis for
 331 each size class ($P_{m,i}^B$) and the initial slope of the photosynthesis-irradiance curve
 332 (α_i^B) were then estimated by fitting the model of Platt et al. (1980) to the nor-
 333 malised size-fractionated production data. For each station Z_p was extracted (see
 334 section 2.2) and ζ computed (z/Z_p). Values of α_i^B are biased due to the emission
 335 spectrum of the light source. The bias was corrected by multiplying each α_i^B
 336 value by a factor W_i (Kyewalyanga et al., 1997), computed as

$$W_i = \frac{\bar{a}_{p,i}}{\bar{a}_{T,i}}, \quad (10)$$

337 where $\bar{a}_{p,i}$ is the unweighted mean absorption spectrum and $\bar{a}_{T,i}$ is the weighted
 338 mean absorption spectrum of each size class of phytoplankton (i). These were
 339 computed according to

$$\bar{a}_{p,i} = \frac{\int_{\lambda=400}^{700} a_{p,i}^B(\lambda) B_i}{300} d\lambda, \quad (11)$$

340 and

$$\bar{a}_{T,i} = \frac{\int_{\lambda=400}^{700} a_{p,i}^B(\lambda) B_i I_T(\lambda)}{\int_{\lambda=400}^{700} I_T(\lambda)} d\lambda, \quad (12)$$

341 where $I_T(\lambda)$ is the spectral irradiance of the lamp used (either tungsten halogen
 342 or LED lamp, depending on sample), and $a_{p,i}^B(\lambda)$ is the chlorophyll-specific ab-
 343 sorption coefficient of each size class (small, medium and large), which we took
 344 from Uitz et al. (2008) and varied with ζ (see Eq. 13 of Uitz et al., 2008). Only

345 photosynthesis-irradiance curves for which $P_{m,i}^B$ and α^B fell within realistic nat-
 346 ural values ($0.2 < P_{m,i}^B < 25$ and $0.005 < \alpha_i^B < 0.2$) and for which there were
 347 concurrent data on Z_p were used.

348 Both $P_{m,i}^B$ and α_i^B were modelled using the approach of Uitz et al. (2008),
 349 such that

$$P_{m,i}^B = P_{m,i}^{B_s} \exp(-S_i^P \zeta), \quad (13)$$

350 and

$$\alpha_i^B = \alpha_i^{B_s} \exp(-S_i^\alpha \zeta), \quad (14)$$

351 where $P_{m,i}^{B_s}$ and $\alpha_i^{B_s}$ are the surface values for $P_{m,i}^B$ and α_i^B respectively, where
 352 $\zeta \sim 0$, and S_i^P and S_i^α represent the rate of change in each parameter ($P_{m,i}^{B_s}$ and
 353 $\alpha_i^{B_s}$) with ζ (z/Z_p). Equations 13 and 14 were re-fitted to the data from each size
 354 fraction (Fig. 7), and model parameters are provided in Table 2. For all size
 355 classes, $P_{m,i}^B$ decreases (significant for all size classes, see Table 2) with ζ and
 356 $\alpha_i^{B_s}$ increases (though only significantly for small cells, Table 2), consistent with
 357 previous literature (Bouman et al., 2000). In agreement with Uitz et al. (2008),
 358 there is a general increase in $P_{m,i}^B$ from small to large cells (Fig. 7). The photoad-
 359 aptation parameter (I_k), computed as $P_{m,i}^B/\alpha_i^B$, is plotted with ζ (z/Z_p) in Fig. 7,
 360 and illustrates how each size class adapts to the changing light environment with
 361 depth. The influence of size-specific $P_{m,i}^B$ and $\alpha_i^{B_s}$ on photosynthesis-irradiance
 362 curves is illustrated in Fig. 8. In general, there is a decrease in production with

363 ζ for all size classes at higher light levels ($>200 \mu\text{mol m}^{-2} \text{s}^{-1}$), and a small in-
 364 crease in low light ($<100 \mu\text{mol m}^{-2} \text{s}^{-1}$) for small cells. Equations 13 and 14
 365 were used to estimate $P_{m,i}^B$ and α_i^B for input into Eq. 2, using Z_p (estimated from
 366 B_s as in Eq. 3) as input, and parameters are provided in Table 2.

367 2.5. Irradiance (I)

368 Equation 2 requires depth-dependent variations in total irradiance ($I(z, t)$) as
 369 input. Photosynthetically available radiation (PAR) is a standard product pro-
 370 duced by space agencies. It represents total available irradiance from 400 to 700
 371 nanometers, that photosynthetic organisms are able to use in the process of pho-
 372 tosynthesis, just above the water surface (where $z \sim 0$). This value is typically
 373 provided by space agencies in Einstein $\text{m}^{-2} \text{d}^{-1}$, representing integrated irradi-
 374 ance over the daylength (D). We start by converting PAR from Einstein $\text{m}^{-2} \text{d}^{-1}$
 375 into $\mu\text{mol m}^{-2} \text{d}^{-1}$, then we estimated the surface maximum irradiance just above
 376 the water surface ($I_m(0+)$) at mid-day according to

$$I_m(0+) = \frac{\text{PAR}/2}{D} \pi, \quad (15)$$

377 where daylength (D) is computed following section 2.1. Then, to account for
 378 the transmission of light at the air-sea water interface, we subtract 2 % (reflected
 379 light) from $I_m(0+)$ to get from above to below water ($I_m(0-)$). This number (2 %)
 380 is relatively constant for sun-zenith angles from 0 to 40°, typically observed at
 381 local noon in the tropics, but increases with sun-zenith angle (e.g. ~6 % at 60°,
 382 see Kirk, 1994) and is impacted by wind speed. Having derived $I_m(0-)$, the

383 values of irradiance $I(0-, t)$ at various time steps (t) during the day at hourly
 384 intervals, just below the air-sea interface, were then computed according to

$$I(0-, t) = \frac{I_m(0-) \sin(\frac{\pi t}{D})}{3600}, \quad (16)$$

385 where the division by 3600 represents conversion into the average light per sec-
 386 ond (rather than hours as in the units of D) for that hourly interval (t), such that
 387 the units of $I(0-, t)$ are $\mu\text{mol m}^{-2} \text{s}^{-1}$, consistent with the units of α^B in the pro-
 388 duction model (see also photosynthesis-irradiance curves illustrated in Fig. 8).
 389 For each hour (t), variations in I with depth (z) are modelled according to the
 390 Beer-Lambert Law, such that

$$I(z, t) = I(0-, t) \exp[-K(z)z], \quad (17)$$

391 where K is the diffuse attenuation coefficient for PAR. The value of K is de-
 392 pendent on the optical properties of the water, which can vary with depth (z).
 393 To estimate $K(z)$ we first estimate the average value in the euphotic zone (K_{Z_p}),
 394 according to

$$K_{Z_p} = 4.6/Z_p, \quad (18)$$

395 where Z_p is estimated using Eq. 3. Figure 1b shows good agreement between
 396 $4.6/Z_p$ estimated using Eq. 3 and 18 and K_{Z_p} measured on AMT 22 and 23 (see
 397 section 2.2). Next we consider $K(z) = K_c + K_v(z)$, where K_c refers to a back-

398 ground value which we assume to be constant with depth and can be attributed
 399 to pure sea water, and $K_v(z)$ is dependent on non-water optical properties, which
 400 can vary with depth (z). The value of K_c was computed using Eq. 3 and 18,
 401 where surface chlorophyll (B_s) was set to 0.01 mg m^{-3} . Next we estimate $K_v(z)$
 402 by subtracting K_c from K_{Zp} , then weighting the result as a linear function of $B(z)$,
 403 yielding the following equation for $K(z)$,

$$K(z) = [(K_{Zp} - K_c) \left(\frac{B(z)}{1/N \sum_{j=1}^N B_j} \right)] + K_c, \quad (19)$$

404 where $1/N \sum_{j=1}^N B_j$ represents the average biomass in the chlorophyll profile
 405 ($B(z)$), where $B(z)$ is computed using Eq. 5. This approach ensures vertical
 406 variations in $K_v(z)$ follows variations in $B(z)$. Having computed $K(z)$, we esti-
 407 mated $I(z, t)$ using Eqs. 15 to 17, and applied it as input to the primary production
 408 model (Eq. 2).

409 2.6. Example of modelled size-fractionated primary production

410 A detailed example of application of the primary production model (Eq. 2) is
 411 shown in Figure 9. For a specific case (Fig. 9a), at a latitude of 20° , longitude of -
 412 30° , day of year (DOY) of 150, B_s of 0.08 mg m^{-3} , PAR of $50.0 \text{ Einstein m}^{-2} \text{ d}^{-1}$
 413 and a Z_m of 50 m, we illustrate how the model functions. First Z_p (104 m) is
 414 estimated from B_s using Eq. 3 (Fig. 9a). Next the vertical biomass profile $B(z)$
 415 and $K(z)$ profile are estimated from B_s , Z_p and Z_m (Fig. 9b), using Eq. 5, 18 and
 416 19. Using the model of Brewin et al. (2010b), as described in Eq. 6 to 9 and
 417 illustrated in Fig. 9c, the biomass profiles of the three size classes are estimated

418 from $B(z)$ (Fig. 9d). Using PAR and $K(z)$ together with Eq. 15 through to 19, the
419 irradiance field ($I(z, t)$) is modelled over the daylength (D) and with depth (z), as
420 illustrated in Fig. 9e. Figures 9f and 9g show depth variations in α^B and P_m^B of the
421 three size classes computed using Eq. 13 and 14. Figure 9h shows the vertical
422 profile of biomass-normalised production for the three size classes at noon (hour
423 6), using I and size-specific α^B and P_m^B , and Fig. 9i shows production (P) at
424 noon for the three size classes (multiplying biomass-normalised production (Fig
425 9h) with biomass (Fig 9d) for each respective size class). Figure 9j shows total
426 production (sum of the three size classes) from hours 1 through to hour 6 of
427 daylength (D), illustrating an increase in production with increasing irradiance
428 (I). For this example, integrating over depth and daylength (using trapezoidal
429 summation), we estimate the production of $139.5 \text{ mg C m}^{-2} \text{ d}^{-1}$ for small cells
430 ($<2\mu\text{m}$), $64.6 \text{ mg C m}^{-2} \text{ d}^{-1}$ for medium cells ($2\text{-}10\mu\text{m}$) and $27.1 \text{ mg C m}^{-2} \text{ d}^{-1}$
431 for large cells ($>10\mu\text{m}$), making a total of $231.2 \text{ mg C m}^{-2} \text{ d}^{-1}$ (Fig. 9a).

432 2.7. Satellite data and model validation

433 2.7.1. Satellite data

434 To run the size-fractionated primary production model using satellite data
435 we require three inputs: satellite estimates of surface chlorophyll concentra-
436 tion (B_s); satellite estimates of photosynthetically available radiation (PAR);
437 and estimates of mixed-layer depth (Z_m). We used estimates of B_s from
438 the Ocean-Colour Climate Change Initiative (OC-CCI, Version 1.0 available
439 at <http://www.oceancolour.org/>; Sathyendranath and Krasemann, 2014; Müller
440 et al., 2015a,b; Brewin et al., 2015b), an error-characterised time series of merged

441 ocean-colour products (MODIS-Aqua, SeaWiFS and MERIS). We elected to
442 use OC-CCI products due to the significant increase in ocean-colour cover-
443 age gained by merging data from difference platforms (Maritorena et al., 2010;
444 Sathyendranath and Krasemann, 2014); because the three sensors used in the
445 merged products show temporal consistency at seasonal and inter-annual time-
446 scales in the Atlantic (Brewin et al., 2014a); and because the validation of OC-
447 CCI data using *in situ* AMT data shows very good performance (Brewin et al.,
448 2016). For further information on OC-CCI processing, extensive documenta-
449 tion can be found on the following website <http://www.esa-oceancolour-cci.org/>.
450 For estimates of PAR, we used data from the NASA SeaWiFS sensor (1997-
451 2010), at 9km-by-9km resolution, available from the NASA ocean-colour web-
452 site (<http://oceancolor.gsfc.nasa.gov/>). For mixed-layer depth we used a monthly
453 mixed layer depth climatology from de Boyer Montégut et al. (2004), available
454 from <http://www.ifremer.fr/cerweb/deboyer/mld/home.php>. Monthly data on B_s ,
455 and PAR were downloaded for the year 2007, and used together with the monthly
456 mixed-layer depth data to estimate size-fractionated primary production for each
457 month in 2007. All datasets were re-gridded to 9km-by-9km resolution, prior to
458 running the size-fractionated primary production model at each grid cell.

459 2.7.2. *Satellite validation*

460 For validation of our model, we require *in situ* data on daily integrated size-
461 fractionated primary production, that are independent of the data used to param-
462 eterise the model. We made use of an accumulation of daily, integrated size-
463 fractionated primary production data, collected on an number of AMT cruises

464 between September 1997 and December 2013 using simulated *in situ* method
465 (period where there was concurrent satellite ocean-colour data from SeaWiFS,
466 MERIS and MODIS), and available through the British Oceanographic Data
467 Centre (BODC: see <http://www.bodc.ac.uk/>). This includes daily integrated
468 size-fractionated primary production data from AMT 5-6 (methods described by
469 Marañón et al., 2001), AMT 12-16 (methods described by Poulton et al., 2006;
470 Tilstone et al., 2009), and AMT 18-23 (methods described by Tilstone et al.,
471 Accepted). Note that for AMT 22 and 23, this data were collected pre-dawn,
472 unlike the samples used to estimate photophysiological parameters in the model
473 which were collected at different locations around local noon on each cruise. All
474 data were derived from ^{14}C on-deck incubations at a range of irradiances (typ-
475 ically from 97% to 1% of surface irradiance) and maintained at a temperature
476 close to that *in situ*. At the end of the incubations, samples were sequentially
477 filtered through polycarbonate filters of different pore sizes (e.g. $0.2\mu\text{m}$, $2\mu\text{m}$,
478 $10\mu\text{m}$ and $20\mu\text{m}$). Filters were exposed for typically 12 hours to concentrated
479 HCl fumes for removal of inorganic ^{14}C . In all cases the radioactivity of each
480 fraction was determined using a liquid scintillation counter. For further informa-
481 tion on methods, the reader is referred to Marañón et al. (2001), Poulton et al.
482 (2006), Tilstone et al. (2009) and Tilstone et al. (Accepted), and AMT cruise re-
483 ports (http://www.bodc.ac.uk/projects/uk/amt/cruise_programme/). In total, 318
484 estimates of daily integrated size-fractionated primary production for different
485 size classes were available.

486 For each sample, daily estimates of B_s (OC-CCI) and PAR (SeaWiFS from

487 1997-2010 and MODIS-Aqua 2011-2013) were extracted from satellite data,
488 using date and latitude and longitude information. Mixed-layer depths were
489 also estimated from monthly climatologies (de Boyer Montégut et al., 2004)
490 re-gridded to 9km-by-9km resolution, by extracting Z_m from the correspond-
491 ing month of the climatology at the corresponding latitude and longitude. For
492 all data, we used a multi-pixel box (3×3) surrounding each *in situ* data point, to
493 increase the possibility of an *in situ* measurement being available for comparison
494 and to ensure homogeneity and good quality match-ups. Match-ups were only
495 included if there were more than 50% of data in the nine pixels, and if the stan-
496 dard deviation within the nine pixels was less than 0.3 for \log_{10} -transformed B_s ,
497 5.0 for PAR and 10.0 for mixed-layer depth. These criteria were set to ensure
498 homogeneity at the location of the match-up, given the vast differences in spatial
499 scales between the *in situ* and satellite data (Bailey and Werdell, 2006). This re-
500 sulted in 60 match-ups for total primary production, 54 for the $>2\mu\text{m}$ and $<2\mu\text{m}$
501 size fractions, and 26 match-ups for the $2\text{-}10\mu\text{m}$ and $>10\mu\text{m}$ size fractions.

502 Using the satellite data and Z_m estimates as input, daily integrated size-
503 fractionated primary production was estimated using Eq. 2, and compared with
504 the *in situ* data. We used a suite of statistical tests to compare the satellite esti-
505 mates with the *in situ* data, including: the Pearson correlation coefficient (r); the
506 root mean square error (Ψ); the average bias between model and measurement
507 (δ); the centre-pattern (or unbiased) root mean square error (Δ); the slope (S^T)
508 and intercept (J) of a Type-2 regression, where N is the number of samples. The
509 equations used for each of these statistical tests are provided in Section 4.1 of

510 Brewin et al. (2015b). All statistical tests were performed in \log_{10} space fol-
511 lowing previous global primary production comparisons (Campbell et al., 2002;
512 Carr et al., 2006; Friedrichs et al., 2009).

513 2.8. Sensitivity analysis and model uncertainty

514 Considering the large number of parameters in the model (Table 2) and con-
515 sidering there are three different model inputs (B , I and Z_m), it is important to
516 understand the sensitivity of the model to realistic uncertainties in model input
517 and model parameters. To do this we used a Monte Carlo approach. We first
518 tested the model by varying all parameters simultaneously, this involved:

- 519 • Producing realistic distributions of model input (for a given satellite pixel),
520 based on the input value at given satellite pixel and some estimate of uncer-
521 tainty in that value (e.g. standard deviation). We assumed normal (Gaus-
522 sian) distributions of model input, so for B , distributions were produced
523 in \log_{10} -space, considering B is typically log-normally distributed (Camp-
524 bell, 1995). For satellite estimates of B , we used a standard deviation
525 of 0.16 (in \log_{10} -space) based on a recent satellite validation of B using
526 AMT data (Brewin et al., 2016). For I (satellite PAR) we assumed stan-
527 dard deviation of 7% based on a NASA satellite validation of SeaWiFS
528 PAR (absolute percentage difference, see NASA, 2016), and for Z_m we
529 assumed a 30% error (the median absolute percentage difference between
530 Z_m computed from 74 CTD profiles on AMT22 using the temperature cri-
531 terion (same as de Boyer Montégut et al., 2004), with that extracted us-
532 ing the de Boyer Montégut et al. (2004) climatology at the corresponding

533 month and closest latitude and longitude). Figure 10 shows an example
534 of model input distributions for a pixel in the South Atlantic Gyre with
535 $B = 0.08 \text{ mg m}^{-3}$, $I = 40 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 30 \text{ m}$.

536 • Producing realistic distributions of model parameters, based on the param-
537 eter value and its standard deviation (Table 2) assuming normal distribu-
538 tions (see Fig. 10).

539 • Once the distributions of model input and parameters were produced,
540 Monte Carlo simulations were performed. This involved: (i) running the
541 model by randomly selecting model input and parameters from their distri-
542 butions; and (ii) repeating for a given number of iterations. This produced
543 a distribution of model output (see Fig. 10).

544 • For each distribution of model output, a standard deviation (Δ) was taken
545 as an index of uncertainty (see Fig. 10). The minimum number of it-
546 erations required to produce a stable estimate of Δ , and thus used in the
547 exercise to minimise computational costs, was determined as 200 (see Fig.
548 11). Standard deviations (Δ) on model output (P_1 , P_2 and P_3) were com-
549 puted in \log_{10} -space, considering the distribution of model outputs (see
550 Fig. 10).

551 This exercise was conducted on a monthly image in the Atlantic Ocean (October
552 2007), to map spatial variations in Δ for each size class and total P . The image
553 input (B , I and Z_m) was rescaled to $1/3^\circ$ -by- $1/3^\circ$ resolution to reduce computa-
554 tional costs.

555 In addition to varying all parameters simultaneously, we also tested the sen-
556 sitivity of total production and that of each size class to individual variations
557 in each input and parameter, by varying each input and parameter individu-
558 ally (200 random Monte Carlo simulations) whilst keeping the remaining val-
559 ues fixed. This was conducted for three scenarios, an oligotrophic case in the
560 South Atlantic Gyre on the 10th January (latitude = -20° , longitude = -30° ,
561 $B = 0.05 \text{ mg m}^{-3}$, $I = 55 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 30 \text{ m}$), a mesotrophic case
562 in the equatorial Atlantic on the 19th August (latitude = 0° , longitude = -30° ,
563 $B = 0.2 \text{ mg m}^{-3}$, $I = 40 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 50 \text{ m}$), and a well-mixed
564 eutrophic case in the North Atlantic on the 10th April (latitude = 45° , longitude
565 = -30° , $B = 2.0 \text{ mg m}^{-3}$, $I = 10 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 100 \text{ m}$).

566 3. Results and Discussion

567 3.1. Validation results

568 In general, the satellite model, using parameters from Table 2, performs well
569 when compared with *in situ* data (Fig. 12), with correlation coefficients (r) rang-
570 ing from 0.68 to 0.85, and root mean square errors (Ψ) from 0.23 to 0.32, for
571 the size classes and total production. These statistics are comparable to studies
572 that have tested satellite models of total primary production using *in situ* data,
573 for instance: Campbell et al. (2002) shows Ψ ranging from 0.28 to 0.51 for 12
574 satellite models; Friedrichs et al. (2009) shows Ψ ranging from 0.23 to 0.39 for
575 21 satellite models; and Tilstone et al. (2009) shows Ψ ranging from 0.22 to
576 0.29, and r from 0.69 to 0.77, for three different satellite models. Biases (δ)

577 range from -0.12 to 0.01 (Fig. 12), indicating no major systematic differences
578 between the satellite model estimates and *in situ* data (Fig. 12). However, for
579 the smaller size classes ($<2\mu\text{m}$ and $2\text{-}10\mu\text{m}$), the satellite model seems to un-
580 derestimate production at higher rates and overestimate slightly at lower rates,
581 as emphasised by slopes (S_T) of 0.33 and 0.44 for the two smaller size classes
582 ($<2\mu\text{m}$ and $2\text{-}10\mu\text{m}$).

583 The majority of data points in the validation lie within $\pm 30\%$ production in
584 \log_{10} space (Fig. 12 dashed lines). Considering: (i) to our knowledge, this is the
585 first independent evaluation of satellite-based, size-fractionated primary produc-
586 tion estimates over the entire Atlantic Ocean; (ii) that statistical tests compare
587 well with studies that have compared satellite models of total primary produc-
588 tion model with *in situ* data; (iii) the potential differences arising from mismatch
589 in spatial scales between satellite and *in situ* data; (iv) variability in the meth-
590 ods used to determine *in situ* size-fractionated production on the different AMT
591 cruises; and (v) potential biases associated with comparing production model
592 outputs with ^{14}C daily incubations; results from the validation (Fig. 12) are
593 encouraging and give confidence in the application of the proposed model to
594 satellite data.

595 3.2. Application to satellite data

596 Figure 13 show total production (P) and size-fractionated production (P_i) for
597 two months in 2007, May and October (typical months where AMT cruises have
598 occurred). The seasonal patterns in total production (P) are consistent with pre-
599 vious studies (Platt and Sathyendranath, 1991; Longhurst et al., 1995; Sathyen-

600 dranath et al., 1995; Antoine et al., 1996; Behrenfeld and Falkowski, 1997; Uitz
601 et al., 2010). Production is greater at high latitudes during the spring (May for
602 the northern hemisphere and October for the southern hemisphere) and lower at
603 high latitudes during months closer to the winter solstice (October for the north-
604 ern hemisphere and May for the southern hemisphere in Fig 13). Lowest pro-
605 duction is found in the oligotrophic gyres, increasing in equatorial regions, and
606 highest in coastal areas, upwelling regions and at high latitudes during spring.

607 Large cells (P_3) dominate production in the sub-Arctic and sub-Antarctic
608 during spring, in upwelling zones and in coastal regions. Elsewhere, P_3 is
609 low, particularly in the oligotrophic gyres. Similar to large cells, both medium
610 cells (P_2) and small cells (P_1) have higher production rates in eutrophic and
611 mesotrophic regions. However, they contribute more to production offshore of
612 the coastal upwelling zones, and in the equatorial Atlantic. Small cells (P_1) have
613 the highest production rates in the oligotrophic gyres (Fig 13).

614 Figure 14 shows the fraction of total integrated chlorophyll biomass and total
615 primary production for each size class in the Atlantic Ocean for October 2007.
616 In both cases, small cells contribute the highest to biomass and production over
617 most of the Atlantic Ocean, particularly in the oligotrophic gyres, but only a
618 small fraction in upwelling zones, coastal regions and during the spring bloom.
619 The contribution of medium cells (P_2) to both biomass and production is con-
620 stant over the majority of the Atlantic (Fig. 14), but decreases in coastal regions
621 associated with very high production (Fig 13). Large cells are shown to dominate
622 at very high biomass and production, elsewhere their contribution to chlorophyll

623 biomass and production is low.

624 Figure 14 illustrates that the contribution of large and medium (small) cells is
625 slightly higher (lower) for production when compared with chlorophyll biomass,
626 reflecting that normalised production increases with size class in the model (Fig.
627 8). These results are consistent with previous studies on AMT. Marañón et al.
628 (2001) observed that small cells ($<2\mu\text{m}$) account for an average of 56% of the
629 total primary production and 71% of the chlorophyll on an Atlantic Meridional
630 Transect, with this contribution highest in oligotrophic waters and decreasing in
631 temperate waters. Higher chlorophyll-normalised production rates for medium
632 and large cells (2-10 μm and $>10\mu\text{m}$) in the model (Fig. 8) are consistent with
633 previous studies in the Atlantic (Fernández et al., 2003; Claustre et al., 2005;
634 Poulton et al., 2006) and in some coastal eutrophic systems (Cermeño et al.,
635 2005a,b), but are at odds with allometric scaling relationships that show a general
636 inverse relationship between phytoplankton size and growth rates (Chisholm,
637 1992), and disagree with some studies that suggest environments dominated by
638 small cells are characterised by high photosynthetic rates (Laws et al., 1987;
639 Bouman et al., 2005). Other studies have suggested a unimodal relationship be-
640 tween phytoplankton cell size and biomass-specific metabolic rate (Raven, 1994;
641 Marañón et al., 2013; Marañón, 2015), which is consistent with an increase in
642 photosynthetic rates from small ($<2\mu\text{m}$) to medium (2-10 μm) sized cells, but
643 not with an increase from medium (2-10 μm) to large ($>10\mu\text{m}$) cells. However,
644 the relationship between maximum realised growth rate and assimilation number
645 depends on the carbon-to-chlorophyll ratio, which can vary with light and com-

646 munity structure. It could be that our results reconcile with those of Marañón
647 et al. (2013) when considering variations in carbon-to-chlorophyll. The large
648 variability in $P_{m,i}^B$ and α^B (Fig. 7) for all size classes suggest further work is
649 required to understand variability in size-fractionated photosynthetic rates.

650 Figure 15 shows 2D histograms of size-fractionated primary production plot-
651 ted as a function of total primary production (top row), and the fractions of each
652 size class to total primary production plotted as a function of the total primary
653 production (bottom row). Data in Fig 15 are from monthly Atlantic satellite im-
654 ages for 2007, run using the size-fractionated primary production model. The
655 model output highlights general relationships between size-fractionated produc-
656 tion and total, with large cells ($>10\mu\text{m}$) contributing at high total production
657 (P) and smaller cells ($<10\mu\text{m}$, $2\text{-}10\mu\text{m}$ and $<2\mu\text{m}$) at lower production. How-
658 ever, there is significant variability surrounding these general patterns. For in-
659 stance, at $200\text{ mgC m}^{-2}\text{ d}^{-1}$ of total production, the fraction of large cells (P_3/P)
660 can vary from 0.1 to 0.8. The figure also emphasises that the model constrains
661 primary production of small and medium cells ($<10\mu\text{m}$) to values lower than
662 $700\text{ mgC m}^{-2}\text{ d}^{-1}$.

663 The important role of phytoplankton size in biogeochemical processes has
664 been well documented in recent years (Marañón, 2009, 2015; Finkel et al.,
665 2010; Brewin et al., 2014c; IOCCG, 2014). Large cells ($>10\mu\text{m}$) contribute a
666 considerable amount to new (nitrate-based) primary production and carbon ex-
667 port (Eppley and Peterson, 1979; Michaels and Silver, 1988; Silió-Calzada et al.,
668 2008; Uitz et al., 2010; Briggs et al., 2011; Tilstone et al., Accepted). Figure

669 16 illustrates monthly images of primary production by large cells, and indi-
670 rectly, expected seasonality in new primary production and carbon export. High
671 rates of primary production from large cells are observed in spring periods in
672 each hemisphere and in upwelling regions such as the Benguela (Hirata et al.,
673 2009). Output from size-fractionated primary production models, such as that
674 illustrated in Fig. 16, has applications for multi-phytoplankton biogeochemical
675 model evaluation (Ward et al., 2012; Hirata et al., 2013; de Mora et al., 2016),
676 and may even be useful in a data assimilation scheme, to improve simulations of
677 biogeochemical rates (Xiao and Friedrichs, 2014).

678 *3.3. Model sensitivity and uncertainty results*

679 For October 2007, spatial variations in Δ derived from the Monte Carlo sim-
680 ulations for total production and production in each size class are shown in Fig.
681 17. For most products, Δ is higher in the oligotrophic gyres and decreases in
682 meso- and eutrophic waters (e.g. high latitude regions, upwelling zones and
683 equatorial regions). In general, Δ is lower for total production (P) and produc-
684 tion for small cells (P_1), with average values of 0.27 and 0.26 respectively. These
685 values compare well with Δ from the validation exercise (of 0.23 for P and 0.25
686 for P_1 , see Fig. 12). Consistent with the validation (Fig. 12), Δ from the Monte
687 Carlo simulations is higher for P_2 and P_3 . However, the average values of Δ for
688 P_2 and P_3 (0.63 and 0.43 respectively, see Fig. 17) are significantly higher than
689 those from the validation (0.29 and 0.30 respectively). It is important to note that
690 results from these Monte Carlo simulations make two assumptions which may
691 not always hold: i) normality in the parameter and input distributions; and ii) that

692 the uncertainties in model input and parameters are random (i.e. not correlated).

693 The sensitivity of the model (Δ) to individual variations in model input and
694 parameters, for three different cases (oligotrophic, mesotrophic and eutrophic)
695 and for total production and that of the different size classes, is plotted in Fig.
696 18. For the three inputs (B , I and Z_m), variations in B seem the most sensitive,
697 which is not surprising considering many of the parameters are tied to B , and
698 that B plays such a prominent role in the estimation of production. In the oligo-
699 trophic case (Fig. 18a) and eutrophic case (Fig. 18c) variations in I appear
700 more sensitive than Z_m , though in the mesotrophic case (Fig. 18b) Z_m is more
701 sensitive, likely due to variations in Z_p/Z_m oscillating between 1.0 and 1.5 during
702 this Monte Carlo simulation and impacting estimates of the vertical profile of B
703 (see Fig. 3c and Fig. 4).

704 Regarding the model parameters, it is clear in all cases the importance of
705 computing Z_p accurately, as indexed by the sensitivity of parameters q_a and q_b
706 (Fig. 18). For stratified conditions (Fig. 18a and b), of the parameters that control
707 the vertical profile of B , the background slope (S^{B_s}) and the width of the peak
708 (σ) appear the most sensitive, impacting all production estimates. In general,
709 the assimilation number and initial slopes (P_m^B and α^B) are less sensitive than
710 other model parameters, but size-specific variations in these parameters clearly
711 impact production in the corresponding size class (Fig. 18). Though they have
712 a relatively small impact on estimates of total production (P) and to some extent
713 small cells (P_1), P_2 and P_3 are very sensitive to the parameters controlling the
714 partitioning of total chlorophyll into the three size classes ($B_{1,2}^m$, B_1^m , $S_{1,2}$ and

715 S_1). From this analysis (Fig. 18), we can deduce that higher values of Δ in Fig.
716 17 for P_2 and P_3 are likely related to uncertainty in these parameters. This is
717 particularly true for the high Δ values for P_2 (Fig. 17), considering unlike P_1
718 and P_3 , all four parameters ($B_{1,2}^m$, B_1^m , $S_{1,2}$ and S_1) are required to estimate P_2 .
719 The sensitivity analysis is very useful for targeting key parameters where future
720 AMT monitoring efforts could focus to help reduce model uncertainties.

721 3.4. Comparison with the model of Uitz et al. (2010) in the Atlantic.

722 Uitz et al. (2010) provide annual estimates of total and size-fractionated pri-
723 mary production in the Atlantic Ocean, using their satellite model (Uitz et al.,
724 2006, 2008), which are compared with estimates from our model (Table 3). For
725 2007, we estimated 7.9 Gt C y^{-1} of total primary production, which is lower than
726 climatological estimates (12.2 Gt C y^{-1}) from Uitz et al. (2010). Differences be-
727 tween these two approaches are most striking in the percentage contribution of
728 small cells ($<2\mu\text{m}$) and the sum of medium and large cells ($>2\mu\text{m}$) to total pro-
729 duction (Table 3). In the Uitz et al. (2010) study, small cells contribute $\sim 20\%$ to
730 total production in the Atlantic, whereas our estimates are closer to 50% .

731 Differences in photosynthetic parameters ($P_{m,i}^B$ and α_i^B) between Uitz et al.
732 (2008) and our model may partly explain these differences, especially when con-
733 sidering higher P_m^B values in our model for small cells (Fig. 7). However, it is
734 likely that the main cause can be traced back to differences in the contribution of
735 small cells to total chlorophyll biomass (B_1/B) between the two approaches. In
736 our model, B_1/B is 0.6 to 0.7 over the majority of the Atlantic (Fig. 14), whereas
737 in the Uitz et al. (2008) model (see Fig. 13c of Uitz et al., 2006), B_1/B is typi-

738 cally 0.2 to 0.5. This disparity arises from systematic differences between size-
739 fractionated chlorophyll derived using the sequential filtration technique (used
740 here), and inferred from HPLC data (as conducted by Uitz et al., 2006, 2008).
741 To derive size-fractionated chlorophyll from measurements of total HPLC re-
742 quires attributing specific diagnostic pigments to each of the three size classes,
743 for instance, fucoxanthin with microplankton and zeaxanthin with picoplankton
744 (Uitz et al., 2006). However, concentrations of these diagnostic pigments have
745 been observed in all size classes (Uitz et al., 2009) and taxonomic groups har-
746 bouring specific diagnostic pigments can vary in size. Whereas sequential size-
747 fractionated filtration explicitly partitions the size classes, the technique also has
748 caveats, and uncertainties can arise from inaccuracies in pore sizes, filter clog-
749 ging (e.g. from chain-forming species) and phytoplankton cell breakage.

750 Brewin et al. (2014b) used concurrent data on size-fractionated chlorophyll
751 estimated by these two methods and found HPLC estimates of chlorophyll in
752 small cells ($<2\mu\text{m}$) were consistently lower when using the HPLC method. The
753 impact on model parameters when fitting a three-component model (Eqs. 7, B_1^m
754 and S_1) to these two separate datasets (HPLC and sequential size-fractionated
755 filtration) was shown by Brewin et al. (2014c), with significantly higher values
756 of B_1^m and lower values of S_1 when using sequential size-fractionated filtration
757 data compared with the HPLC method (see Table 2 and Fig. 2 of Brewin et al.,
758 2014c). Uncertainty in the two approaches makes it difficult to ascertain which
759 provides more reliable estimates (Brewin et al., 2014b). Future work, perhaps
760 incorporating other sources of *in situ* data (e.g. flow cytometry and microscopy),

761 is required to help understand the differences in size-fractionated chlorophyll
762 between the two techniques.

763 3.5. Routes to future improvements in estimating size-fractionated primary pro- 764 duction

765 Our approach to modelling size-fractionated primary production is based on
766 an established and proven primary production model (Platt et al., 1980). When
767 applied to satellite data, our model has been shown to perform well when com-
768 pared with independent *in situ* measurements (Fig. 12), and reproduces expected
769 seasonal cycles in total and size-fractionated primary production (Figs. 13 and
770 16). Yet further improvements to the approach could be investigated in future
771 studies.

772 For the smaller size classes ($<2\mu\text{m}$), the satellite model underestimates pro-
773 duction at higher rates and overestimates slightly at lower rates when compared
774 with *in situ* data (Fig. 12). The filtration method used here is likely to capture the
775 bulk photosynthetic rates for picoplankton ($<2\mu\text{m}$) but unlikely to capture vari-
776 ability among taxonomic communities with this size class. The photophysiological
777 rates of the three dominant picoplankton groups in the Atlantic (*Prochloro-*
778 *coccus*, *Synechococcus*, and picoeukaryotes) differ from each other (Veldhuis
779 et al., 2005). There is evidence that *in situ* growth rates of *Synechococcus* ex-
780 ceed those of *Prochlorococcus* (Furnas and Crosbie, 1999), and *Prochlorococcus*
781 are more dominant within the oligotrophic gyres, with higher concentrations of
782 *Synechococcus* in temperate waters (Zubkov et al., 2000). Shifts in the taxo-
783 nomic community within the picoplankton size class, and hence photosynthetic

784 rates, from low production (gyre, *Prochlorococcus* dominated) waters to higher
785 production (temperate, *Synechococcus* dominated) waters (Bouman et al., 2011;
786 Mouriño Carballido et al., 2016), may explain biases observed in Fig. 12. Future
787 efforts could be made to incorporate such taxonomic variations into the model
788 (e.g. Hirata et al., 2011).

789 We used a broadband model (Eq. 2) to estimate size-fractionated primary
790 production which does not resolve spectral variations in light. In some cases,
791 this can result in biases in production (Kyewalyanga et al., 1992; Lorenzo et al.,
792 2004), and may be important when modelling different size classes, consider-
793 ing that the shape of the phytoplankton absorption spectrum changes with size
794 (Sathyendranath et al., 2004; Devred et al., 2006; Uitz et al., 2010; Brewin et al.,
795 2011). Future efforts could be made to convert Eq. 2 into a spectral model, such
796 that spectral variations in I and α_i^B were admitted in the calculations.

797 Our approach (Eq. 2) does not account for diurnal variations in chlorophyll
798 (B) or photosynthetic rates ($P_{m,i}^B$ and α_i^B), despite evidence that such variations
799 occur in nature (Yentch and Ryther, 1957; Harding et al., 1981; Rivkin and Putt,
800 1987; Bruyant et al., 2005). In future studies, it may be possible to incorporate in-
801 formation from geostationary ocean-colour observations (e.g. GOCI; Choi et al.,
802 2012) together with techniques to extract physiological information from diur-
803 nal cycles in optical proxies (e.g. Dall’Olmo et al., 2011), to account for diurnal
804 variations in B , $P_{m,i}^B$ and α_i^B .

805 Whereas our approach models diurnal variations in broadband irradiance,
806 and accounts for vertical variations in K , further improvements to the light field

807 could be made, for instance: (i) incorporating diurnal variations in K caused by
808 diurnal variations in water constituents (e.g. chlorophyll) and sun-zenith angle;
809 (ii) accounting for variations between chlorophyll and other water constituents
810 (e.g. coloured dissolved matter) with depth that may impact K ; (iii) incorpo-
811 rating the influence of diurnal variations in cloud cover on irradiance, using in-
812 formation from geostationary observations; (iv) incorporating variations in sun-
813 zenith angle and wind speed on the transmission of light at the air-sea water
814 interface (Kirk, 1994); (v) incorporating spectral variability in irradiance with
815 depth (Sathyendranath and Platt, 1988, 2007); and (vi) improving estimates of
816 I_m (Eq.15) from daily PAR at high latitudes. In all cases, increased model com-
817 plexity needs to be justified by improved model performance (law of parsimony).

818 The parameters of the model are based on data collected on AMT at a spe-
819 cific time of year (September-November), and therefore, not likely to capture
820 seasonal variations in photosynthetic rates (e.g. Platt and Sathyendranath, 1991).
821 The model assumes both the size structure and vertical changes in B covary with
822 surface chlorophyll (Uitz et al., 2006), when seasonal variations in these relation-
823 ships may occur (Platt and Sathyendranath, 1991; Sathyendranath et al., 1995;
824 Devred et al., 2006). In fact, many of the model parameters (Z_p , B_i , $P_{m,i}^B$, α_i^B
825 and K) are directly or indirectly tied to surface chlorophyll in our model. In-
826 corporating other environmental data (e.g. SST, PAR, wind) to capture varia-
827 tions surrounding these general relationships may improve model performance
828 (Saux Picart et al., 2014; Brewin et al., 2015a; Ward, 2015). In recent years, there
829 has been a global increase in the number of Argo and Bio-Argo floats deployed

830 to capture seasonal variations in the vertical structure of chlorophyll biomass
831 (Xing et al., 2011; Mignot et al., 2014), size structure (Sauzède et al., 2015)
832 and mixed-layer depth (Johnson et al., 2012). In the future, there is potential
833 to integrate observations from Argo floats with satellite data to improve global
834 estimates of size-fractionated primary production.

835 **4. Summary**

836 We re-tuned a remote-sensing technique to estimate primary production
837 in three phytoplankton size classes ($<2\mu\text{m}$, $2\text{-}10\mu\text{m}$ and $>10\mu\text{m}$) in the At-
838 lantic Ocean. We parameterised the model using measurements of total chloro-
839 phyll biomass, euphotic depth, size-fractionated chlorophyll biomass and size-
840 fractionated photosynthesis-irradiance experiments, collected on AMT cruises.
841 The performance of the remote-sensing technique was evaluated with indepen-
842 dent estimates of size-fractionated primary production collected on a number of
843 AMT cruises using ^{14}C incubation experiences, and gave confidence in the appli-
844 cation of the model to satellite data. Monte Carlo simulations, incorporating un-
845 certainty in the satellite inputs and model parameters, suggest an average model
846 error of between 0.27 and 0.63 for \log_{10} -transformed size-fractionated produc-
847 tion, with errors generally higher in oligotrophic waters and higher for the larger
848 size classes ($2\text{-}10\mu\text{m}$ and $>10\mu\text{m}$). We applied the model to monthly satellite
849 data in 2007, and results suggest cells $<2\mu\text{m}$ and $>2\mu\text{m}$ contribute equally to
850 total primary production in the Atlantic Ocean.

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Table 1: Symbols and definitions.

Symbol	Definition	Units
$\bar{a}_{p,i}$	Average phytoplankton absorption coefficient of size class i	m^{-1}
$\bar{a}_{T,i}$	Weighted average phytoplankton absorption coefficient of size class i	m^{-1}
$a_{p,i}^B(\lambda)$	Chlorophyll-specific phytoplankton absorption coefficient of size class i	$m^2 [mg B]^{-1}$
B	Chlorophyll concentration	mg
B_i	Chlorophyll concentration for size class i	mg
$B_{1,2}^m$	Asymptotic maximum value of $B_{1,2}$ (cells $<10\mu m$)	mg
B_1^m	Asymptotic maximum value of B_1 (cells $<2\mu m$)	mg
B_s	Surface chlorophyll concentration (average concentration within the mixed-layer)	mg
B_m^{Bs}	Chlorophyll concentration in a vertical profile normalised to surface value	dimensionless
B_m^{Bs}	Maximum chlorophyll concentration in a vertical profile normalised to surface value	dimensionless
C	Phytoplankton Carbon	mg
D	Daylength	h
DOY	Day of year	d
E	Empirical coefficient used to estimate B_m^{Bs} from B_s	dimensionless
F	Empirical coefficient used to estimate B_m^{Bs} from B_s	dimensionless
G	Empirical coefficient used to estimate ζ_m from B_s	dimensionless
H	Empirical coefficient used to estimate ζ_m from B_s	dimensionless
i	Size class of phytoplankton ($i=1$ for cells $<2\mu m$; $i=2$ for cells $2-10\mu m$; and $i=3$ for cells $>10\mu m$)	μm
I	Total irradiance from 400-700nm	$\mu mol\ quanta\ m^{-2}\ s^{-1}$
I_K	Photoadaptation parameter ($P_{m,i}^B / \alpha_i^B$)	$\mu mol\ quanta\ m^{-2}\ s^{-1}$
$I_m(0+)$	Total irradiance from 400-700nm at mid-day just above the surface	$\mu mol\ quanta\ m^{-2}\ s^{-1}$
$I_m(0-)$	Total irradiance from 400-700nm at mid-day just below the surface	$\mu mol\ quanta\ m^{-2}\ s^{-1}$
$I_T(\lambda)$	Spectral irradiance from 400-700nm of a lamp (either Tungsten or LED)	$\mu mol\ quanta\ m^{-2}\ s^{-1}$
J	Intercept of a Type-2 regression on \log_{10} -transformed P_i from model and <i>in situ</i> data	dimensionless
K	Diffuse attenuation coefficient for I	m^{-1}
K_c	Constant background K	m^{-1}
K_v	Variable component of K related to non-water optical constituents	m^{-1}
K_{Zp}	Average diffuse attenuation coefficient for I within the euphotic zone	m^{-1}
N	Number of samples	counts
P	Total primary production	mg C
P_i	Primary production for size class i	mg C
P^B	Total primary production normalised to chlorophyll concentration	$mg\ C\ (mg\ B)^{-1}$
P_i^B	Total primary production normalised to chlorophyll concentration for size class i	$mg\ C\ (mg\ B)^{-1}$
P_m^B	The assimilation number of the light-saturation curve	$mg\ C\ (mg\ B)^{-1}\ h^{-1}$
$P_{m,i}^B$	The assimilation number of the light-saturation curve of size class i	$mg\ C\ (mg\ B)^{-1}\ h^{-1}$
$P_{m,i}^{Bs}$	The assimilation number of the light-saturation curve of size class i at the surface	$mg\ C\ (mg\ B)^{-1}\ h^{-1}$
PAR	Photosynthetically available radiation	Einstein $m^{-2}\ d^{-1}$
$q_{0\rightarrow3}$	Empirical coefficients used to compute Z_p from B_s	dimensionless
r	Pearson correlation coefficient	dimensionless
$S_{1,2}$	Slope determining the increase in $B_{1,2}$ (cells $<10\mu m$) with B	dimensionless
S_1	Slope determining the increase in B_1 (cells $<2\mu m$) with B	dimensionless
S^{Bs}	Slope of change in B^{Bs} with ζ	dimensionless
S_i^P	Slope of change in $P_{m,i}^{Bs}$ with ζ	dimensionless
S_T	Slope of a Type-2 regression on \log_{10} -transformed P_i two datasets (e.g. model and <i>in situ</i>)	dimensionless
S_i^α	Slope of change in α_i^{Bs} with ζ	dimensionless
t	Time	h
W_i	Lamp correction factor applied to α_i^B for each size class	dimensionless
z	Geometric depth	m
Z_m	Mixed-layer depth	m
Z_p	Euphotic depth	m
α_i^B	The initial slope of a P^B and I curve	$mg\ C\ (mg\ B)^{-1}\ h^{-1}\ (\mu mol\ quanta\ m^{-2}\ s^{-1})^{-1}$
α_i^B	The initial slope of a P^B and I curve of size class i	$mg\ C\ (mg\ B)^{-1}\ h^{-1}\ (\mu mol\ quanta\ m^{-2}\ s^{-1})^{-1}$
α_i^{Bs}	The initial slope of a P^B and I curve of size class i at the surface	$mg\ C\ (mg\ B)^{-1}\ h^{-1}\ (\mu mol\ quanta\ m^{-2}\ s^{-1})^{-1}$
δ	Bias between \log_{10} -transformed P_i from two datasets (e.g. model and <i>in situ</i>)	dimensionless
Δ	Centre-pattern (or unbiased) root mean square error on \log_{10} -transformed P_i from two datasets (e.g. model and <i>in situ</i>) and standard deviation on Monte Carlo simulation output	dimensionless
Ψ	Root mean square error on \log_{10} -transformed P_i from two datasets (e.g. model and <i>in situ</i>)	dimensionless
σ	The width of the B_m^{Bs} peak	dimensionless
ξ	Empirical parameter designed to serve a linear transition in B from mixed to stratified waters	dimensionless
ζ	Dimensionless depth (z/Z_p)	dimensionless
ζ_m	Dimensionless depth at which B_m^{Bs} occurs	dimensionless

Table 2: Model parameters used to estimate size-fractionated primary production in Eq. 3. Standard deviation on model parameters were estimated using a Monte Carlo approach using 1000 bootstraps.

Output variable	Input variable(s)	Eq.	Parameter	Value	Standard deviation	Parameter Units
Euphotic Depth (Z_p)	B_s	3	q_a q_b q_c q_d	1.525 -0.488 -0.020 0.013	0.079 0.133 0.024 0.036	- - - -
Total Chlorophyll ($B(z)$)	B_s, Z_p & Z_m	5	S^{B_s} $B_m^{B_s}$ E F ζ_m G H σ ξ	0.325 $10^{(\log_{10}(B_s)E+F)}$ -0.785 -0.285 $\log_{10}(B_s)G + H$ -0.219 0.719 0.295 $(Z_p/Z_m - 1.0)/(1.5 - 1.0)$	0.846 - 0.077 0.081 - 0.077 0.073 0.242 -	- - - - - - - -
Size-specific Chlorophyll ($B_i(z)$)	$B(z)$	6-9	$B_{1,2}^m$ B_1^m $S_{1,2}$ S_1	1.28 0.60 0.75 1.21	0.205 0.099 0.111 0.198	mg m^{-3} mg m^{-3} - -
Size-specific assimilation number ($p_{m,i}^B$)	Z_p	13	$P_{m,1}^{B_s}$ $P_{m,2}^{B_s}$ $P_{m,3}^{B_s}$ S_1^P S_2^P S_3^P	3.46 5.13 6.05 0.68 0.59 0.35	0.80 0.94 0.98 0.31 0.29 0.27	$\text{mg C (mg B)}^{-1} \text{h}^{-1}$ $\text{mg C (mg B)}^{-1} \text{h}^{-1}$ $\text{mg C (mg B)}^{-1} \text{h}^{-1}$ - - -
Size-specific initial slope (α_i^B)	Z_p	14	$\alpha_1^{B_s}$ $\alpha_2^{B_s}$ $\alpha_3^{B_s}$ S_1^α S_2^α S_3^α	0.011 0.014 0.016 -0.32 -0.12 -0.07	0.001 0.003 0.004 0.17 0.23 0.30	$\text{mg C (mg B)}^{-1} \text{h}^{-1} (\mu\text{mol quanta m}^{-2} \text{s}^{-1})^{-1}$ $\text{mg C (mg B)}^{-1} \text{h}^{-1} (\mu\text{mol quanta m}^{-2} \text{s}^{-1})^{-1}$ $\text{mg C (mg B)}^{-1} \text{h}^{-1} (\mu\text{mol quanta m}^{-2} \text{s}^{-1})^{-1}$ - - -
Diffuse attenuation coefficient (K)	$B(z), Z_p$ & K_c	3, 18 19	-	-	-	-
Irradiance (I)	PAR, D & K	17 18, 18 19	-	-	-	-

Table 3: Basin scale estimates of annual size-fractionated production for 2007 in the Atlantic Ocean, compared with climatological estimates from the study of Uitz et al. (2010). The north and south boundaries of the Atlantic were assigned at 70°N and 50°S respectively, as with Uitz et al. (2010).

Region	Study	%P <2 μ m	%P >2 μ m	P_1 [GtC y ⁻¹]	$P_{2,3}$ [GtC y ⁻¹]	P [GtC y ⁻¹]
Atlantic Ocean	This study [#]	47.0	53.0	3.7	4.2	7.9
North Atlantic	This study [#]	45.0	55.0	2.1	2.5	4.6
South Atlantic	This study [#]	50.0	50.0	1.6	1.7	3.3
Atlantic Ocean	Uitz et al. (2010)	21.0	79.0	2.5	9.6	12.2
North Atlantic	Uitz et al. (2010)	20.0	80.0	1.4	5.8	7.2
South Atlantic	Uitz et al. (2010)	22.0	78.0	1.1	3.9	5.0

[#] Monte Carlo simulations suggest the uncertainty (standard deviation) in annual estimates of %P <2 μ m and %P >2 μ m to be <1%, and for P , P_1 and $P_{2,3}$ <0.1 GtC y⁻¹. The random error introduced by these simulations is averaged out when integrating over space and time, resulting in small errors in annual production estimates. However, systematic errors in model parameters are likely to increase this uncertainty. Validation results suggest low systematic errors (δ) in P , P_1 and $P_{2,3}$ (see Fig. 12).

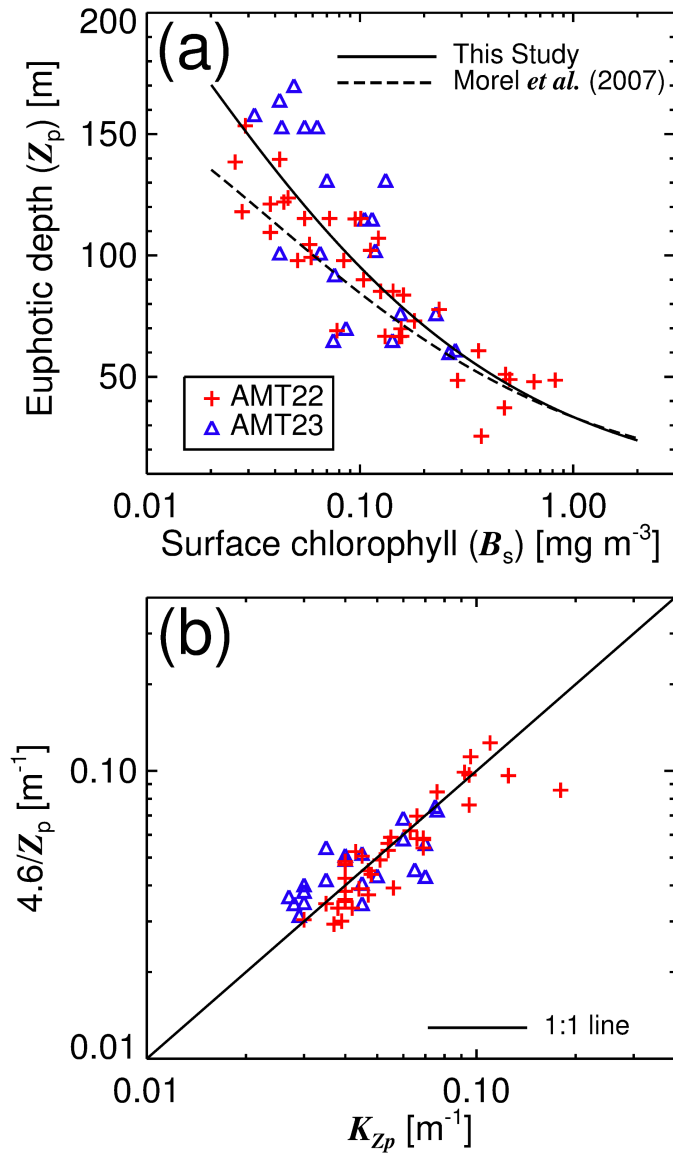


Figure 1: (a) Euphotic depth (Z_p) plotted as a function of surface chlorophyll concentration (B_s) for AMT 22 and 23 cruises. (b) $4.6/Z_p$ estimated as a function of B_s using Eq. 3 and plotted against the average diffuse attenuation coefficient in the euphotic zone K_{Zp} .

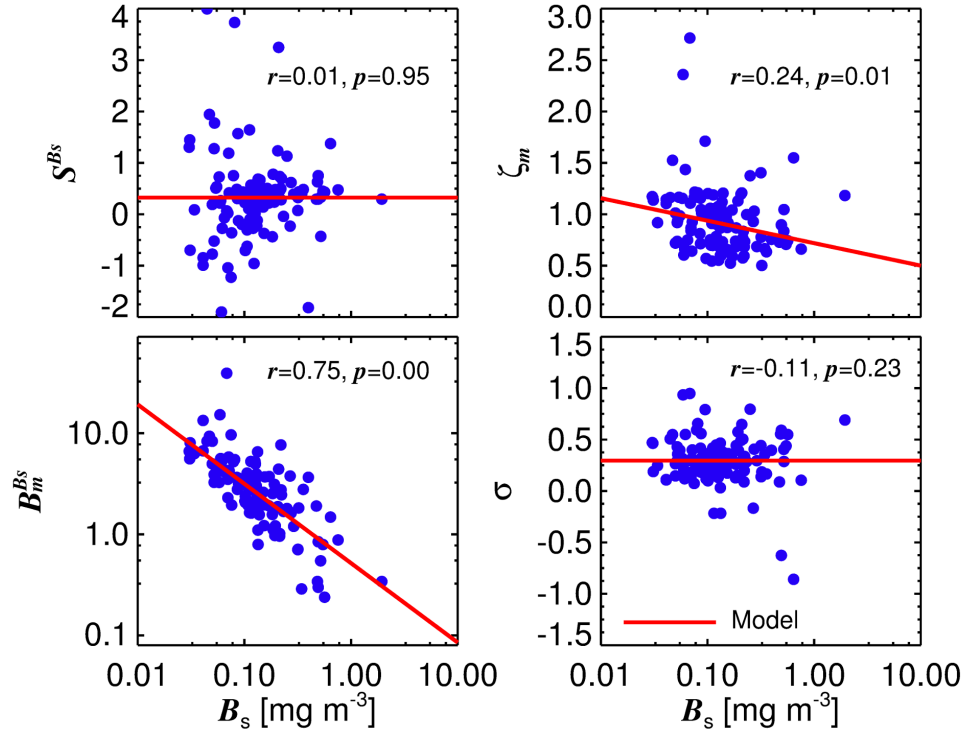


Figure 2: Retrieved model parameters for Eq. 4 plotted as a function of surface chlorophyll (B_s), following parameterisation of Eq. 4 to AMT HPLC chlorophyll profiles. S^{B_s} represents a background linear decrease with dimensionless depth (ζ), $B_m^{B_s}$ the maximum value of the normalised biomass profile (B^{B_s}), ζ_m the dimensionless depth at which $B_m^{B_s}$ occurs, and σ the width of the $B_m^{B_s}$ peak.

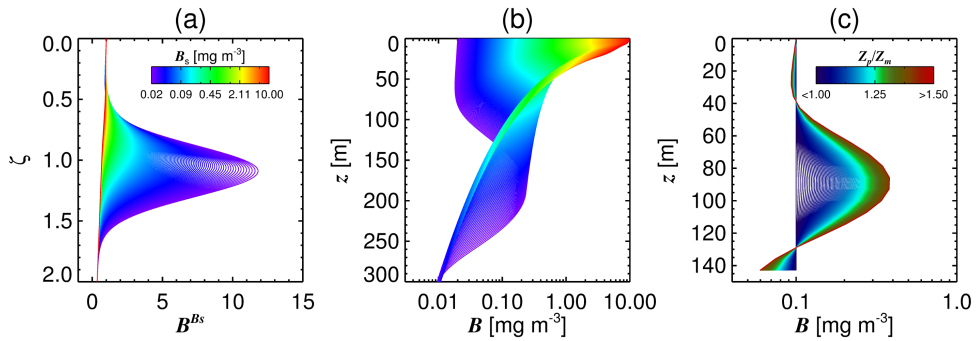


Figure 3: (a) Variations in the normalised biomass profile ($B^{B_s}(\zeta)$) as a function of surface chlorophyll (B_s) for stratified environments (Eq. 4), (b) reconstructed total chlorophyll ($B(z)$) for stratified environments as a function of B_s , and (c) an illustration the change in the total chlorophyll profile ($B(z)$) from stratified to mixed waters (ratio of euphotic depth (Z_p) to mixed-layer depth (Z_m)), where $B_s = 0.1$.

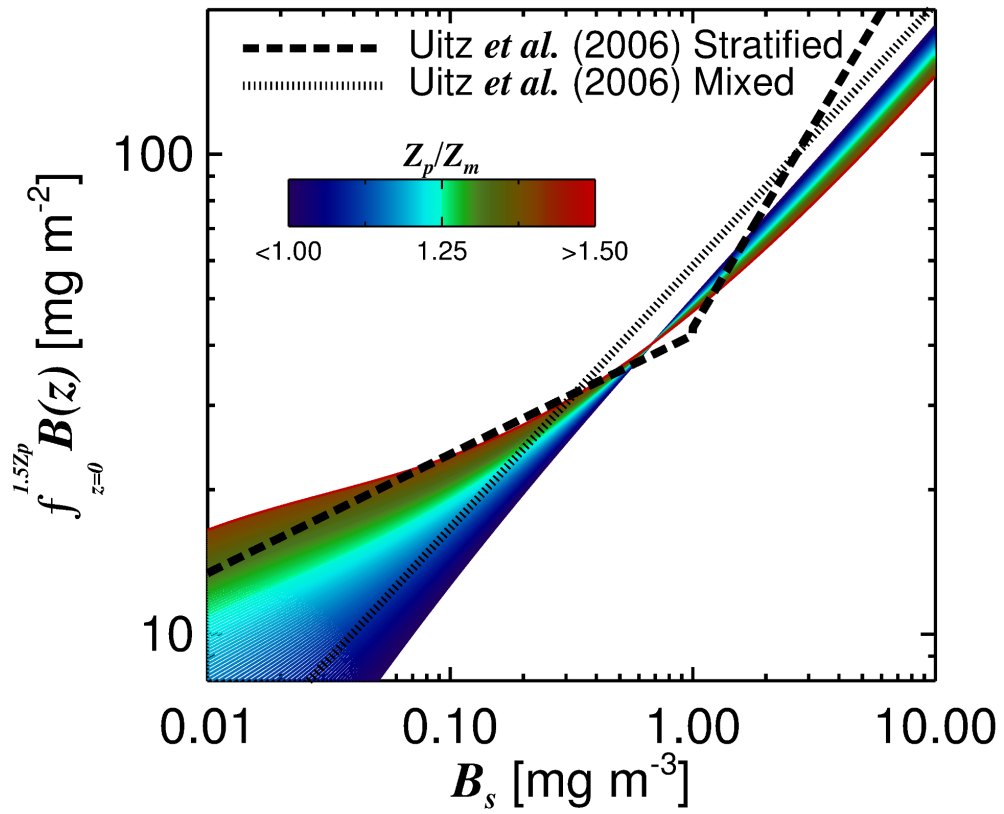


Figure 4: Integrated chlorophyll, computed by vertical integration of Eq. 5, for both mixed and stratified waters (ratio of euphotic depth (Z_p) to mixed-layer depth (Z_m)), as a function of surface chlorophyll (B_s).

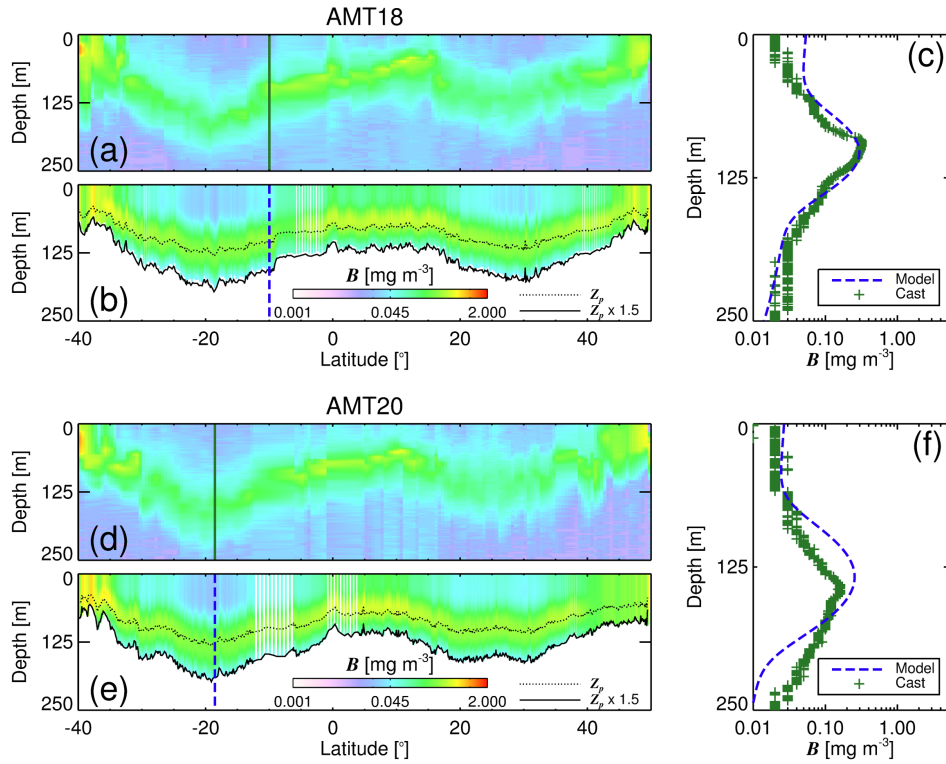


Figure 5: (a) Total chlorophyll profile ($B(z)$) derived from *in vivo* fluorescence on a CTD during the AMT 18 cruise (4th October to 10th November 2008). (b) $B(z)$ estimated using Eq. 5, using along-track satellite monthly surface chlorophyll (B_s) for October 2008 as input (ESA OC-CCI data) and mixed-layer depth from a monthly climatology for October (de Boyer Montégut et al., 2004). (c) An example of a profile from the satellite estimate (b) with a profile from the CTD (a) at the same location. (d) $B(z)$ derived from *in vivo* fluorescence on a CTD during the AMT 20 cruise (12th October to 25th November 2010). (e) $B(z)$ estimated using Eq. 5, using along-track satellite monthly B_s for November 2010 as input (ESA OC-CCI data) and mixed-layer depth from a monthly climatology for November (de Boyer Montégut et al., 2004). (f) An example of a profile from the satellite estimate (e) with a profile from the CTD (d) at the same location.

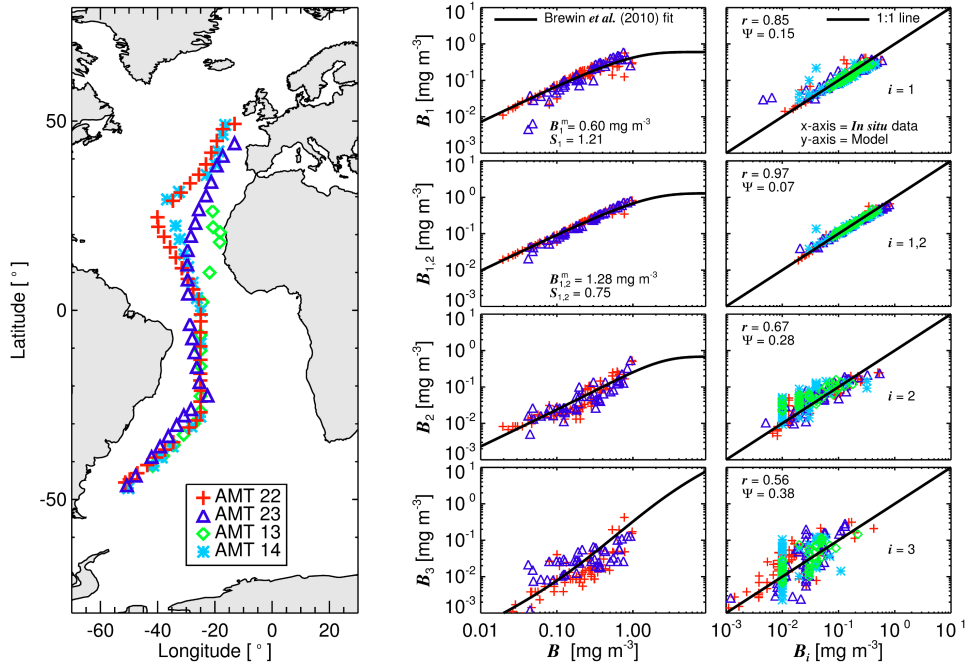


Figure 6: Geographical distribution of size-fractionated chlorophyll data for AMT cruises 13, 14, 22 and 23. Size-fractionated chlorophyll (B_i) is plotted as a function of total chlorophyll on AMT 22 and 23 cruises, with the Brewin et al. (2010b) model fitted to the data overlain (Table 2 parameters, where $B_{1,2}^m$ and B_1^m are the asymptotic maximum values for the associated size classes ($<10 \mu\text{m}$ and $<2 \mu\text{m}$ respectively) and $S_{1,2}$ and S_1 determines the increase in size-fractionated chlorophyll ($<10 \mu\text{m}$ and $<2 \mu\text{m}$ respectively) with increasing total chlorophyll (B), and the model is compared with independent size-fractionated chlorophyll from AMT 13 and 14, when applying the model to the total chlorophyll concentration (B). r is the Pearson correlation coefficient and Ψ the root mean square error, both computed comparing \log_{10} -transformed modelled and *in situ* B_i .

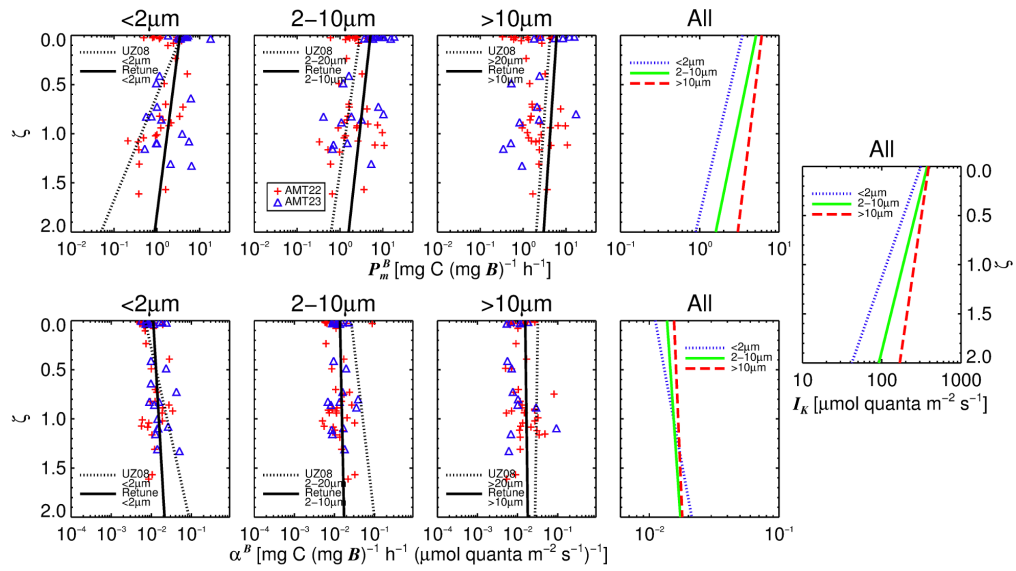


Figure 7: Relationships between the assimilation number ($P_{m,i}^B$) and dimensionless depth (ζ), and the initial slope (α_i^B) and ζ , for the three size classes, together with the relationships proposed by Uitz et al. (2008) and those used here (by retuning the Uitz et al. (2008) equations to AMT data). The photoadaptation parameter (I_k), computed as $P_{m,i}^B/\alpha_i^B$, is plotted with ζ .

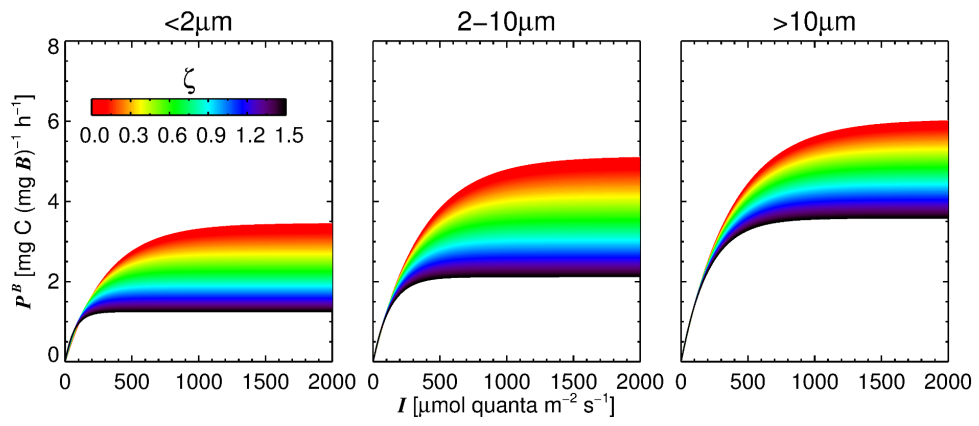


Figure 8: Normalised primary production (P^B) as a function of irradiance (I) for each size class in the size-fractionated primary production model, based on Eq. 13 and 14, for a variety of dimensionless depths (ζ).

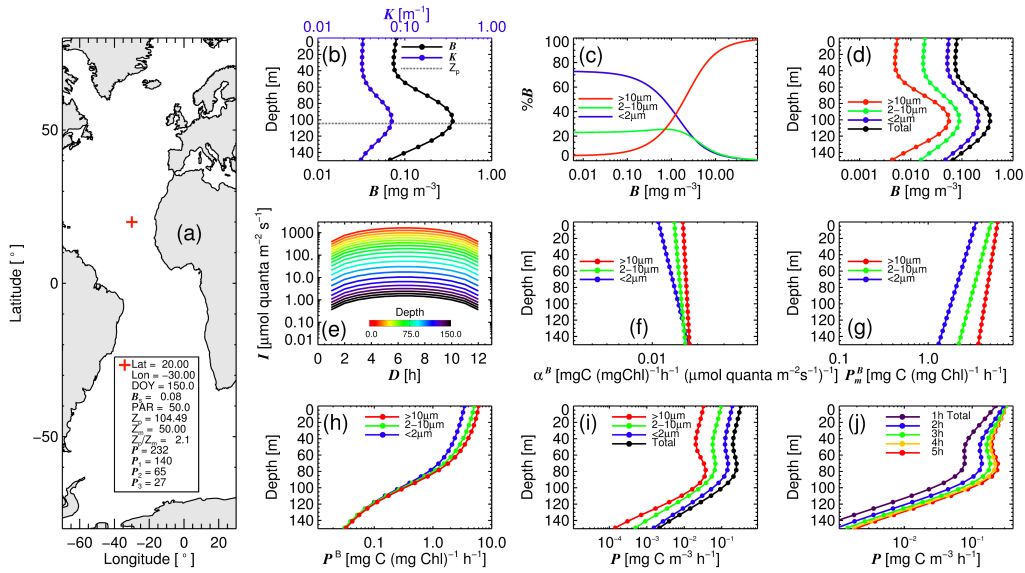


Figure 9: Size-fractionated primary production example (see Table 2 for list of symbols): (a) Input data and estimates of size-fractionated primary production; (b) vertical biomass profile $B(z)$ and $K(z)$ profile; (c) illustration of the model of Brewin et al. (2010b) partitioning total biomass (B) into the three size fractions; (d) the biomass profiles of the three size classes and total biomass; (e) the irradiance field ($I(z, t)$) modelled over the daylength (D) and depth (z); (f) depth variations in α^B for each size class; (g) depth variations in P_m^B for each size class; (h) the vertical profile of biomass-normalised production for the three size classes at noon (hour 6); (i) vertical profile of production for the three size classes and total (sum of the three size classes) at noon (hour 6); and (j) total production (sum of the three size classes) from hours 1 through to hour 6 of daylength (D).

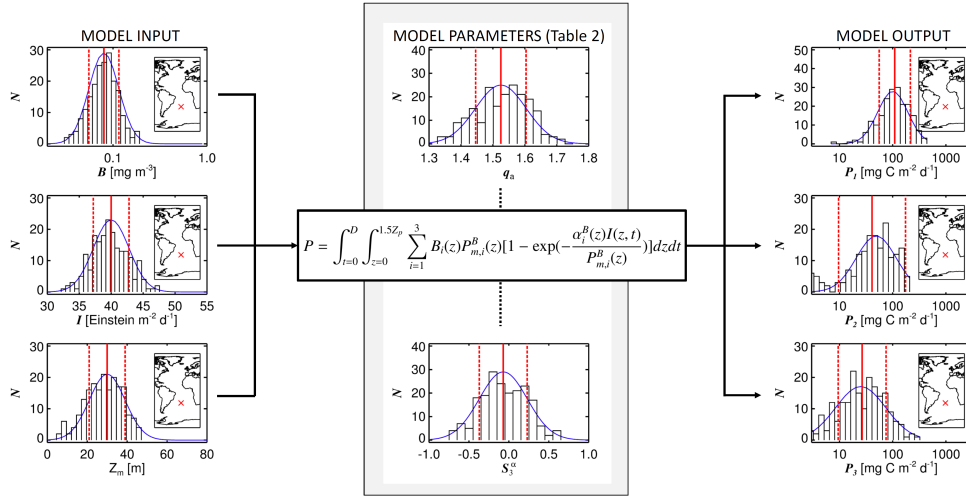


Figure 10: Example of a Monte Carlo simulation of the production model in the South Atlantic Gyre on the 30th May (latitude = -20° , longitude = -30°), where $B = 0.08 \text{ mg m}^{-3}$, $I = 40 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 30 \text{ m}$. Model input is shown on the left, red lines represent the values of the input, dashed lines the input \pm the standard deviation (uncertainty), blue line the Gaussian distribution derived from the input and standard deviation, and the back histogram shows the random allocation of 200 different model inputs taken from the Gaussian distribution. An example of histograms of two model parameters (Table 2) is shown in the centre, where the red lines represent the parameter value (Table 2), dashed lines the parameter value \pm the standard deviation (Table 2), blue line the Gaussian distribution derived from the parameter value \pm the standard deviation, and the back histogram shows the random allocation of 200 different parameters from the Gaussian distribution. Whereas two parameters are shown in the figure, all parameters were varied in the simulation. The right part of the figure shows a black histogram of the 200 possible model outputs from the Monte Carlo simulation, for each size class, where the red lines represent the median output value, dashed lines the median output value \pm the standard deviation (Δ , in \log_{10} space), and blue line shows a fitted Gaussian distribution of the output data.

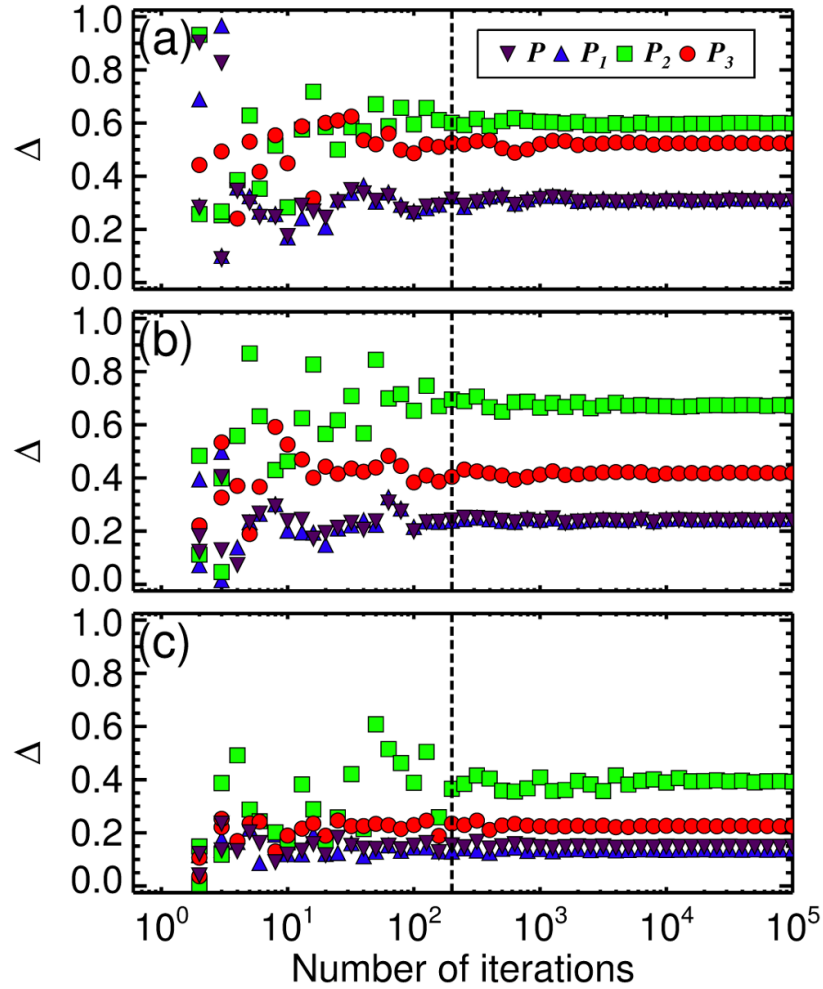


Figure 11: The standard deviation (Δ , in \log_{10} space) for production in each size class (P_1 , P_2 , and P_3), and total production (P), from the Monte Carlo simulations, as a function of the number of iterations. (a) Show an example from the South Atlantic Gyre on the 10th January, where latitude = -20° , longitude = -30° , $B = 0.05 \text{ mg m}^{-3}$, $I = 55 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 30 \text{ m}$. (b) Shows an example from the equatorial Atlantic on the 19th August, where latitude = 0° , longitude = -30° , $B = 0.2 \text{ mg m}^{-3}$, $I = 40 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 50 \text{ m}$. (c) Shows an example from the North Atlantic on the 10th April, where latitude = 45° , longitude = -30° , $B = 2.0 \text{ mg m}^{-3}$, $I = 10 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 100 \text{ m}$. In all cases Δ stabilises at around 200 iterations.

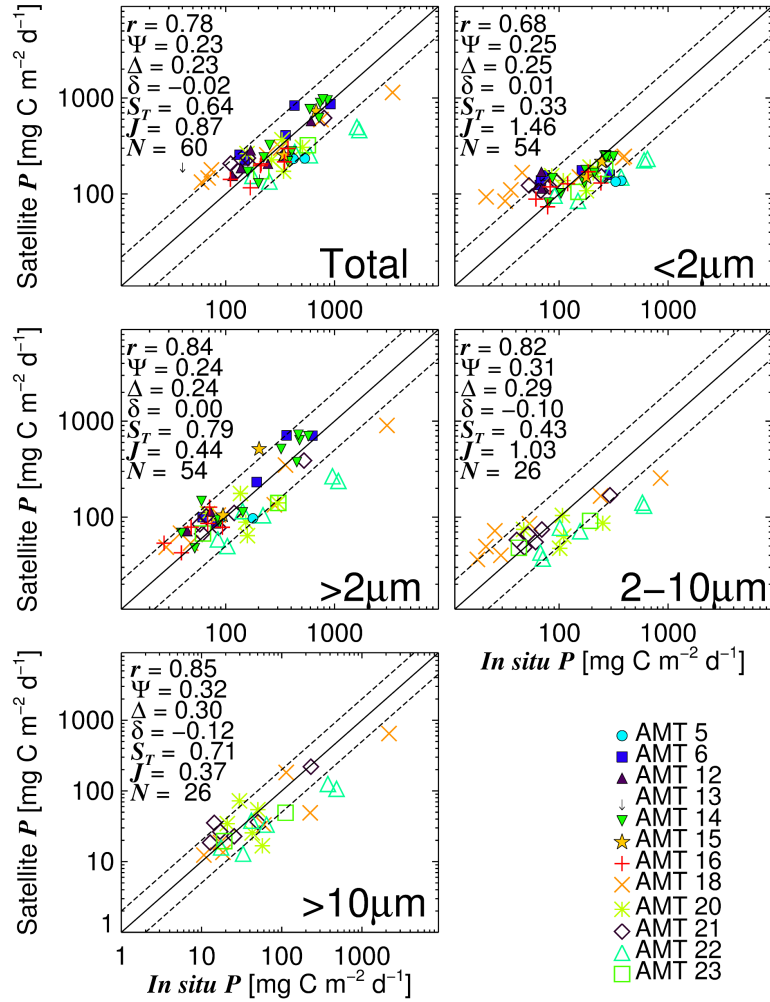


Figure 12: Comparisons of total production (P) and size-fractionated production (P_i) from satellite data using Eq. 2, and *in situ* data from a series of AMT cruises. The Pearson correlation coefficient (r), the root mean square error (Ψ), the average bias between model and measurement (δ), the centre-pattern (or unbiased) root mean square error (Δ), the slope (S_T) and intercept (J) of a Type-2 regression, and number of samples (N) are provided for each size class. Solid line represents 1:1 line and dashed lines $\pm 30\%$ \log_{10} production.

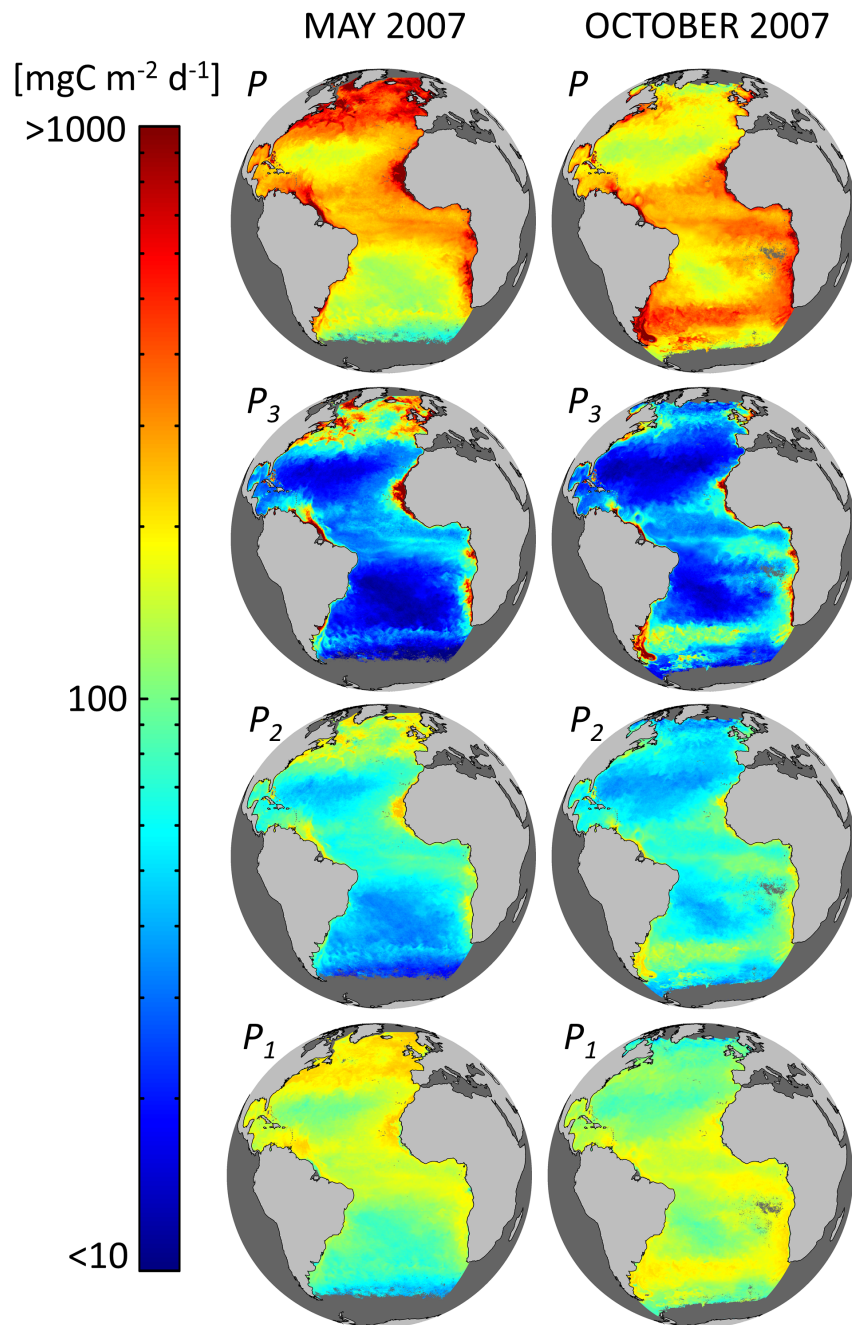


Figure 13: Total primary production (P), and primary production for small ($< 2\mu\text{m}$, denoted P_1), medium ($2 - 10\mu\text{m}$, denoted P_2) and large ($> 10\mu\text{m}$, denoted P_3) cells, for May and October 2007, in the Atlantic Ocean.

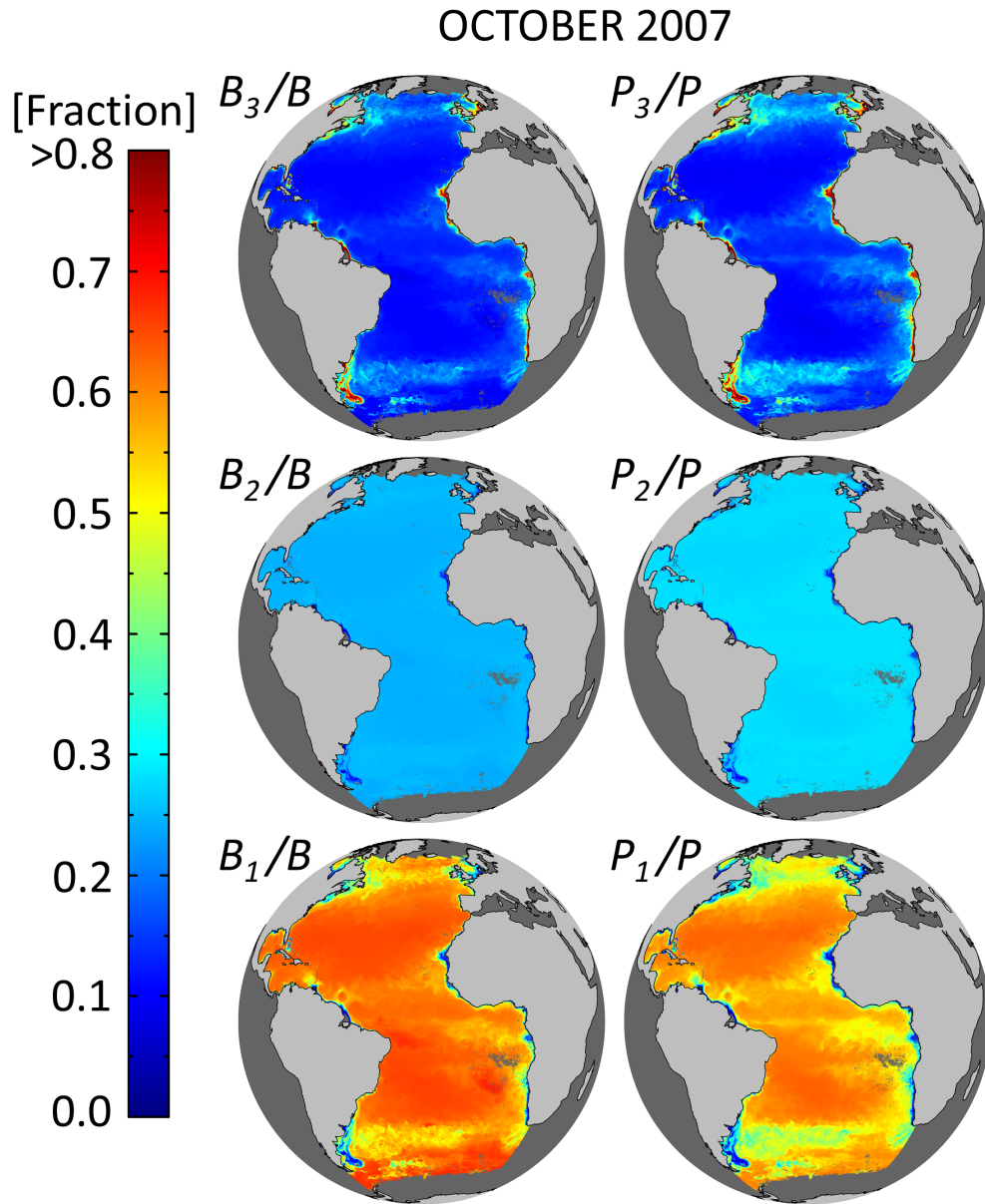


Figure 14: The fractional contribution of small ($< 2\mu\text{m}$, subscript $i = 1$), medium ($2 - 10\mu\text{m}$, subscript $i = 2$) and large ($> 10\mu\text{m}$, subscript $i = 3$) cells to total primary production (P) and depth-integrated chlorophyll biomass (denoted by B in this figure), for October 2007 in the Atlantic Ocean.

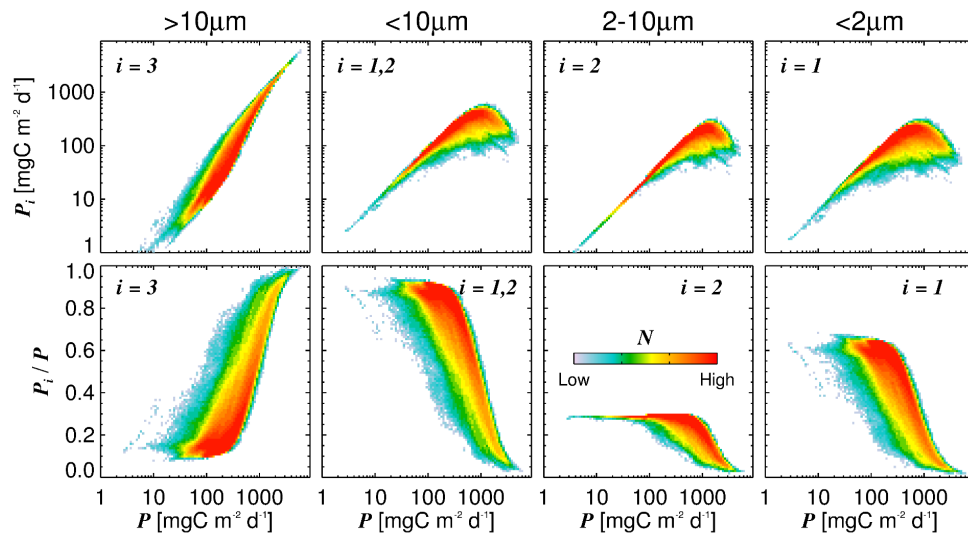


Figure 15: Size-fractionated primary production (P_i) plotted as a function of the total primary production (P) in the top row, with the fractions of each size class to total primary production (P_i/P) plotted as a function of the total primary production (P) in the bottom row. Data are from monthly satellite images of the Atlantic Ocean in 2007. Colour-bar represents a density scale, from a low to a high number of observations.

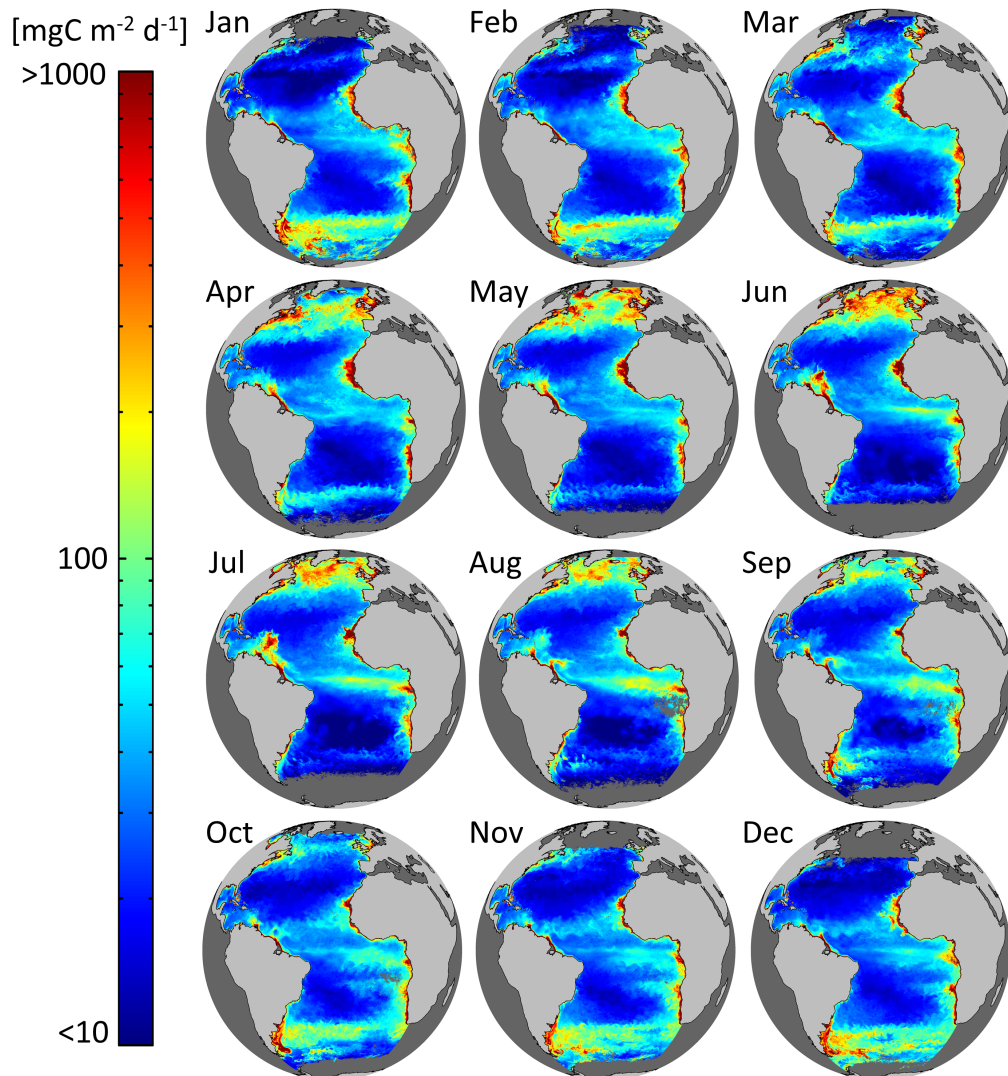


Figure 16: Daily primary production for large ($> 10\mu\text{m}$) cells for each month in 2007 in the Atlantic Ocean. Whereas we apply the model to monthly images in this figure, it has been parameterised using data collected principally between September and December.

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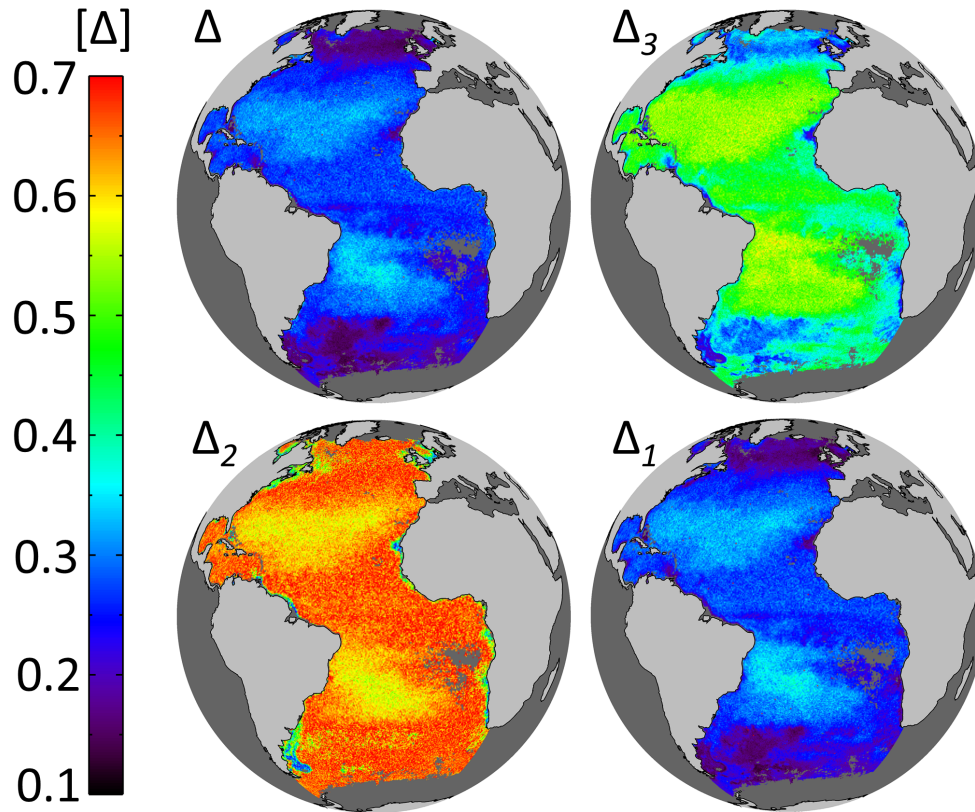


Figure 17: Estimates of the standard deviation in \log_{10} total production (Δ), production by small cells (Δ_1), production by medium cells (Δ_2) and production by large cells (Δ_3), in the Atlantic Ocean for October 2007 from Monte Carlo simulations.

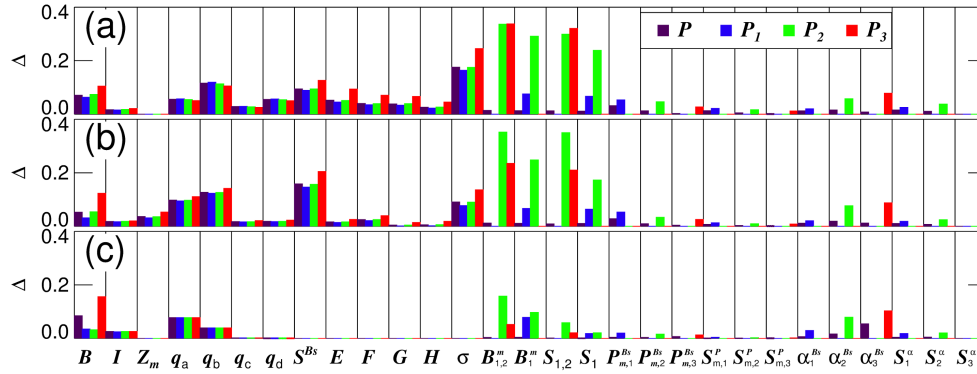


Figure 18: Sensitivity of model output (standard deviation in \log_{10} production, denoted Δ) for total production (P) and that of the three size classes (P_1 , P_2 , P_3), when varying each input and parameter individually (using 200 random Monte Carlo simulations) whilst keeping the remaining values fixed. (a) Shows an oligotrophic case in the South Atlantic Gyre on the 10th January (latitude = -20° , longitude = -30° , $B = 0.05 \text{ mg m}^{-3}$, $I = 55 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 30 \text{ m}$); (b) a mesotrophic case in the equatorial Atlantic on the 19th August (latitude = 0° , longitude = -30° , $B = 0.2 \text{ mg m}^{-3}$, $I = 40 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 50 \text{ m}$); and (c) a well-mixed eutrophic case in the North Atlantic on the 10th April (latitude = 45° , longitude = -30° , $B = 2.0 \text{ mg m}^{-3}$, $I = 10 \text{ Einstein m}^{-2} \text{ d}^{-1}$ and $Z_m = 100 \text{ m}$).