# Historical Linguistics 

Course script 2005/6
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## Review of phonology

## Classification of consonants

Consonants are classified along three dimensions:

1. Voicing
2. Manner of articulation: degree of constriction in the oral cavity
3. Place of articulation: constriction in the front or back of oral cavity

Voiced and unvoiced speech sounds

| $[\mathrm{f}]$ | 'father' | $[\mathrm{v}]$ | 'vase' |
| :--- | :--- | :--- | :--- |
| $[\mathrm{s}]$ | 'salt' | $[\mathrm{z}]$ | 'zoo' |
| $[\mathrm{t}]$ | 'tree' | $[\mathrm{d}]$ | 'door' |

Manner of articulation

| Plosives | $[\mathrm{p}][\mathrm{b}][\mathrm{t}][\mathrm{d}][\mathrm{k}][\mathrm{g}]$ | $[\mathrm{c}][\mathrm{y}][\mathrm{q}][\mathrm{g}]$ |
| :--- | :--- | :--- |
| Fricatives | $[\mathrm{f}][\mathrm{v}][\mathrm{r}][\mathrm{d}][\mathrm{s}][\mathrm{z}][\mathrm{f}][3]$ | $[\mathrm{y}][\mathrm{x}]$ |
| Affricates | $[\mathrm{t}][\mathrm{d}]$ | $[\mathrm{s}][\mathrm{pf}]$ |
| Nasals | $[\mathrm{m}][\mathrm{n}][\mathrm{n}]$ | $[\mathrm{n}]$ |
| Liquids | $[1][\mathrm{r}]$ | $[\mathrm{R}]$ |
| Glides | $[\mathrm{w}][\mathrm{y}]$ |  |

Place of articulation
Bilabial:
Labiodentel:
[p] [b] [m] [w]
Interdental:
[f] [v]
Alveolar:
[ $\theta$ ] [ð]
Palatal-alveolar:
[t] [d] [s] [z] [n] [l] [r]
Velar:
[S] [3] [tf] [d3] [y]
[c] [子] [n]
Uvular:
[k] [g] [y]
[x] [y]
Pharyngeal:
[q] [G]
Glottal
[?]

|  | bilab. | labiod. | interdent | alveaolar | alv-palatal | palatal | velar |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Stops | p b |  |  | t d |  | k g |  |
| Affric. |  |  |  |  | $\mathrm{t} \int \mathrm{d} 3$ |  |  |
| Fricativ. |  | $\mathrm{f} v$ | $\theta$ ð | s z | $\int$ | 3 |  |
| Nasal | m |  |  | n |  |  |  |
| Liquid |  |  |  | $1 / \mathrm{r}$ |  | y |  |
| Glide | (w) |  |  |  |  |  |  |
|  |  |  |  |  |  | y | (w) |

## Classification of vowels

Vowels are classified along four dimensions:

1. Height of the tongue
high - mid - low
2. Advancement of the tongue front - central - back
3. Lip rounding
4. Tenseness rounded - unrounded tense - lax

## English vowels

i
I
U
$ə$
$\varepsilon$
$\Lambda$
0
æ
a
u

## English diphthongs



German vowels
i y

I Y
u

U
0

0
a

## Phonemes and allophones

Phonology is concerned with the mental dimension of the production and recognition of speech sounds. The mental representation of a speech sound is called a phoneme. Native speakers are aware of the phonemes of their language, but they usually do not recognize the different physical instantiations of a phoneme. Many phonemes are differently pronounced in different phonetic environments; aspirated and non-aspirated stops:

| $\left[\mathrm{t}^{\mathrm{h}} \mathrm{op}\right]$ | 'top' | aspirated |
| :--- | :--- | :--- |
| [stop] | 'stop | plain |

The concrete pronunciation of a phoneme is called a phone or allophone. The derivation of allophones from phonemes can be expressed in a phonological rule:

$$
/ \mathrm{ptk} / \rightarrow \quad\left[\mathrm{p}^{\mathrm{h}} \mathrm{t}^{\mathrm{h}} \mathrm{k}^{\mathrm{h}}\right] / \quad \begin{aligned}
& \text { \# }, \mathrm{t}, \mathrm{k}] \text { elsewhere }
\end{aligned}
$$

## Contrastive - complementary distribution

| English |  | Korean |  |
| :--- | :--- | :--- | :--- |
| $[$ læk $]$ | 'lack' | $[$ param $]$ | 'wind' |
| $[\mathrm{rrk}]$ | 'rack' | $[$ irím $]$ | 'name' |
| $[$ lif $]$ | 'leaf' | $[\mathrm{pal}]$ | 'foot' |
| $[$ rif $]$ | 'reef' | $[\mathrm{mal}]$ | 'horse' |

In English, $[1]$ and $[r]$ are in contrastive distribution, but in Korean, $[1]$ and $[r]$ are in complementary distribution, i.e. they are allophones of the same phoneme.

$$
\begin{aligned}
/ \mathrm{l} / \rightarrow & {[\mathrm{r}] / \mathrm{V} \_\mathrm{V} } \\
& {[1] \text { elsewhere } } \\
/ \mathrm{r} / \rightarrow & {[1] / \square \# } \\
& {[\mathrm{r}] / \text { elsewhere } }
\end{aligned}
$$

## Phonological processes of English

$$
\begin{aligned}
& \text { Aspiration } \\
& \text { [ } \mathrm{t}^{\mathrm{h}} \mathrm{op} \text { ] 'top' } \\
& \text { [stop] 'stop' } \\
& \mathrm{ptk} / \rightarrow \quad\left[\mathrm{p}^{\mathrm{h}} \mathrm{t}^{\mathrm{h}} \mathrm{k}^{\mathrm{h}}\right] / \quad \# \text { _ ,__V } \\
& \text { [ } \mathrm{p}, \mathrm{t}, \mathrm{k} \text { ] elsewhere } \\
& \text { Nasalization } \\
& \text { [kæ̃n] 'can' } \\
& \text { [kãm] 'come' } \\
& / \mathrm{V} / \rightarrow[\mathrm{V}] / \ldots \mathrm{N} \\
& \text { [V] elsewhere } \\
& \text { Vowel lengthening } \\
& \text { [be:d] } \\
& \text { 'bed’ } \\
& \text { [hæ:v] } \\
& \text { 'have' } \\
& / \mathrm{V} / \rightarrow \quad[\mathrm{V}] / \text { /_ [+voice] } \\
& \text { [V] elsewhere } \\
& \text { Flapping (American English) } \\
& \text { [bırr] 'butter' } \\
& \text { [berr] 'better' } \\
& / t / \rightarrow \quad[r] / \text { (after stressed syllables at the beginning of unstressed syllables) } \\
& \text { [t] elsewhere }
\end{aligned}
$$

## Morphophonemic processes

The allophonic process that we have seen thus far must be distinguished from morphophonemic processes. Allophonic processes involve the derivation of allophones from phonemes; the process is obligatory and automatic. Morphophonemic processes occur when two morphemes are combined into a complex word. Such processes are also obligatory and automatic, but they do not involve allophones but rather 'basic' speech sounds (i.e. phonemes); thus native speakers easily perceive such processes when they are pointed out to them.

## English plural

| [kæts] | 'cats' |
| :---: | :---: |
| [dogz] | 'dogs' |
| [bufəz] | 'bushes' |
| [karz] | 'cars' |
| [lajts] | 'lights' |
| [bæŋks] | 'banks' |
| [kisəz] | 'kisses' |
| [d3əræfs] | 'giraffes' |
| [garazəz] | 'garages' |
| [mætəz] | 'matches |
| [de $\mathrm{\theta}$ ]] | 'deaths' |

Three allomorphs: [s] after voiceless speech sound<br>[z] after voiced speech sounds<br>[əz] after sibilants

Place harmony in the negative prefix:

| [imposibl] | 'impossible' |
| :--- | :--- |
| [insensətIv] | 'insensitive' |
| [i引konsistənt] | 'inconsistent' |

There allomorphs: [m] before labials
[ n ] before alveolars
[ y ] before velar

# The Indo-European Language Family 

## Germanic



Table 1. Systematic sound correspondences between English and German

| English | German |
| :--- | :--- |
| time | Zeit |
| tongue | Zunge |
| ten | Zehn |
| tame | Zahm |
| tent | Zelt |
| to | Zu |
| two | Zwei |
| twelve | Zwölf |
| twins | Zwillinge |

The second German sound shift

| time <br> tongue <br> ten | Zeit <br> Zunge |
| :--- | :--- |
| zehn |  |


| pan <br> path <br> pole | Pfanne <br> Pfad <br> Pfah1 |
| :--- | :--- |
| hate | hassen <br> eat <br> let |
| essen <br> lassen |  |
| grip | greifen |
| deep | tief <br> sleep |
| schafen |  |

## Romance

| French | Catalan |
| :--- | :--- |
| Italian | Galician |
| Spain | Sardinian |
| Portuguese | Provencal |
| Romanian | Rhaeto-Romance |

Table 2. Systematic sound correspondences in the Romance languages

|  | Sardinian | Italian | Romansh | French | Spanish |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Hundred | kentu | t fento | tsjent | sa | $\theta$ jen |
| Sky | kelu | t Selo | tsil | sjel | $\theta$ jelo |
| Stag | kerbu | t $\int$ ervo | tserf | Ser | $\theta$ jerbo |
| Wax | kera | t Sera | tsaira | SiR | $\theta$ era |

## Indo-European

Germanic
Romance
Slavic
Baltic
Celtic

Greek
Iranian
Indian
Albanian
Armenian

## Slavic



## Celtic



Speakers today: Welsh (Wales): 250.000
Irish (Irland): 500.000
Gaellic (Scottland): 75.000
Manx (Ilse of man): extinct
Cornish (Cornwell): extinct
Breton (Brittany): 500.000

## The comparative method

## Languages for which we have long and comprehensive historical records

Indo-European
Semitic (Hebrew, Arabic, Egytian)
Chinese
Japanese
Turkish
Native American languages
African languages
Dravidian

## Sound structure of a dead language

1. Rhyme

You spotted snakes with double tongue,
Thorny hedge-hogs, be not seen;
Newts, and blind-worms, do no wrong;
Come not near our fairy queen. (Shakespeare)
2. Spelling mistakes
consul 'cosul'
censor 'cesor' (Latin inscriptions)
3. Phonetic descriptions of ancient scholars
'We produce this letter by pressing the lower lip on the upper teeth. The tongue is turned back towards the roof of the mouth, and the sound is accompanied by a gentle puff of breath.' (Roman grammarian)

## Comparative evidence

Table 1. Numerals in Indo-European and non-Indo-European languages

| English | Gothic | Latin | Greek | Old Ch. <br> Slavic | Sanskrit | Chinese | Japanese |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| one | ains | unus | heis | jedinu | ekas | i | hitotsu |
| two | twai | duo | duo | duva | dva | erh | futatsu |
| three | Orija | tres | treis | trije | trayas | san | mittsu |
| four | fidwor | quattuor | tettares | cetyre | catvaras | ssu | yottsu |
| five | fimf | quinque | pente | peti | panca | wu | itsutsu |
| six | saihs | sex | heks | secti | sat | liu | muttsu |
| seven | sibun | septem | hepta | sedmi | sapta | ch'i | nanatsu |
| eight | ahtau | octo | okto | osmi | asta | pa | yattsu |
| nine | niun | novembe | ennea | deveti | nava | chiu | kokonotsu |
| ten | taihun | decem | deka | deseti | dasa | shih | to |

Table 2. Proto-Indo-European numerals

Proto-Indo-European
*sems, *oi-
*duwo / *dwo
*treyes
*kwetwores
*penkwe
*sweks / *seks
*septam
*októ
*newan
*dekamt

English
one
two
three
four
five
six
seven
eight
nine
ten

Table 3. Systematic sound correspondences in the Indo-European languages

| English | Latin | Greek | Irish |
| :--- | :--- | :--- | :--- |
| fish | piscis | ikhthys | iasg |
| father | pater | pater | athair |
| foot | ped- | pod- | troigh |
| for | pro | para | do |
| six | sex | hexa | se |
| seven | septem | hepta | seacht |
| sweet | suavis | hedys | millis |
| salt | sal | hal | salann |
| new | novus | neos | nua |
| night | noct- | novem | nykt- |

Table 4. Sound correspondences across unrelated languages

|  | Arabic | Urdu | Turkish | Swahili | Malay |
| :--- | :--- | :--- | :--- | :--- | :--- |
| news | xabar | xabar | haber | habari | khabar |
| time | waqt | vaqt | vakit | wkati | waktu |
| book | kitab | kitab | kitap | kitabu | kitab |
| service | xidmat | xidmatgari | hizmet | huduma | khidmat |
| beggar | faqir | faqir | fakir | fakiri | fakir |

## Grimm's law

| $/ \mathrm{p} / \rightarrow / \mathrm{f} /$ |  |  |  |
| :--- | :--- | :--- | :--- |
| Latin | Sanskrit | Old English | Gothic |
| pedum | padam | fot | fotus |
| piscis | - | fisc | fiskis |

/t/ $\rightarrow / \theta$ /

| Latin | Sanskrit | Old English | Gothic |
| :--- | :--- | :--- | :--- |
| tres | trayas | three [Өri] | thrir |
| tu | tuvam | thou [ðã] | thuo |


| $\mathrm{l} / \mathrm{k} / \rightarrow / \mathrm{x} /(/ \mathrm{x} /=/ \mathrm{h} /)$ |  |
| :--- | :--- |
| Latin | Sanskrit |
| cordem |  |
| centum | craidd |


| Old English | Gothic |
| :--- | :--- |
| heart | hairto |
| hundred | hund |

$/ \mathrm{d} / \rightarrow / \mathrm{t} /$

| Latin <br> edo <br> decem | Sanskrit <br> admi <br> daca | Old English <br> eat <br> ten | Gothic <br> itan |
| :--- | :--- | :--- | :--- |
| taihun |  |  |  |

Table 4. Grimm's law

| Indo-European |  | became | English |  |
| :---: | :---: | :---: | :---: | :---: |
| [bh] | [bhero:] 'I carry' |  | [b] | 'bear' |
| [dh] | [dedhe:i] 'I place' | $\longrightarrow$ | [d] |  |
| [gh] | [ghans] 'goose' |  | [g] | 'goose' |
| [b] | No sure examples |  | [p] |  |
| [d] | [dekm] 'ten' | $\rightarrow$ | [t] | 'ten' |
| [g] | [genos] 'tribe' |  | [k] | 'kin' |
| [p] | [pater] 'father' |  | [f] | 'father' |
| [t] | [treyes] 'three' |  | [ $\theta$ ] | 'three' |
| [k] | [kornu] 'horn' |  | [h] | 'horn' |

$$
\begin{array}{lll}
\text { Grimm's Law } & & \\
\text { *ptk } & \rightarrow & \mathrm{f} \theta \mathrm{x} / \mathrm{h} \\
* \mathrm{bdg} & \rightarrow & \mathrm{ptk} \\
\text { *bh dh gh } & \rightarrow & \mathrm{bdg}
\end{array}
$$

## Verner's law



Sanskrit
vártate
varárta
vavrtimá
vavrta:ná
Old English weorӨan
wear $\theta$
wurdon
worden

## The Neogrammrian Hypothesis

Every sound change takes place according to laws that admit no exceptions.
[Brugmann]

## Internal reconstruction

[ð]
father
mother
feather
heather
weather
bother
[日]
think
thief
thick
thin
thigh
thank

## Lexical and semantic change

## I. Loan words

Computer (originally 'compute' is from Romance)
Desktop
Mouse
Server
Bytes
Keyboard
Disk
Ram
Email

Loan words from Scandinavian (800-1050)
law leg
neck bag
cake egg
fellow dirt
anger knife
skin give
sister [sweaster] take

Loan words from Latin (throughout its history)

| GERM | OE | ME | EME |
| :--- | :--- | :--- | :--- |
| wall | noon | history | occurrence |
| street | rule | gesture | expectation |
| onion | cap | infancy | insane |
| church | pear | individual | frequency |

Borrowings from French (1100-1400)
action adventure
age air
bucket person
carpenter powder
coast river
cost country
clear usual
advice approach
enjoy prefer

Borrowings from other languages

| moose (Native American) | banana (Africa) |
| :--- | :--- |
| tobacco (Native American) | chimpanzee (Africa) |
| canoe (Native American) | zebra (Africa) |
| curry (East Asia) | canyon (Spanish) |
| jungle (East Asia) | taco (Spanish) |
| mango (East Asia) | angst (German) |
| kangaroo (Australia) | kindergarten (German) |

## Loan translations

| Greek: | sym-pathia | 'with-suffering' | original |
| :--- | :--- | :--- | :--- |
| Latin: | com-passion | 'with-suffering' | loan translation |
| German | Mit-leid | 'with-suffering' | loan translation |

## Intensive borrowing can influence the phonological system

[v] and [f]

| very <br> victory <br> vine | voice <br> value <br> vinegar |  | virgin <br> vowel |
| :--- | :--- | :--- | :--- |
|  |  |  |  |
| few | vs. | view |  |
| fat | vs. | vat |  |
| rifle | vs. | rival |  |
| strife | vs. | strive |  |

[3]
measure
pleasure
treasur
leisure
azure

Phonotactics
shrink
shred
shrimp

| schmuck | Yiddish |
| :--- | :--- |
| shlep |  |
| schnoz |  |
| spiel |  |
| shtick |  |
|  |  |
| schnapps | German |
| schnauzer |  |
| schnitzel |  |
| schmaltz |  |

## The morphological treatment of loan words

| phenomenon | phenomena |
| :--- | :--- |
| criterion | criteria |
| datum | data |
| hypothesis | hypotheses |

## Grammatical borrowing

```
reiterate
repeate
reunion
resign
resist
restrict
```

Ballan Sprachbund

| 1. | Rumanian | om-ul |
| :--- | :--- | :--- |
| Bulgarian | kniega-ta | 'man-the' |
| Albanian | mik-u | 'frook-the' |
| Ariend-the' |  |  |


| 2. | English |
| :--- | :--- |
| I saw Peter leave. | Balkan languages |
| I want Peter to leave. | I saw that Peter left. |
|  | I want that Peter is leaving. |

3. Future

## The cross-linguistic distribution of grammatical features




Prefixing-Suffixing



## II. Word formation processes

- Compounding girlfriend ice cream
lipstick
soundproof
jetlag close-up
- Affixation
pre--, re-, anti-, non--, ex-, over--ness, -ful, -ity, -al, -ize, -er
- zero derivation
to bridge
to sandwich
- clipping

| telephone | $>$ | phone |
| :--- | :--- | :--- |
| gymnasium | $>$ | gym |
| influenza | $>$ | flu |

- blending

| motel | $>$ | motor + hotel |
| :--- | :--- | :--- |
| smog |  |  |
| chunnel | $>$ | smoke + fog |
| channel + tunnel |  |  |

- Acronyms

| Acquired immune deficiency syndrome | $>$ | AIDS |
| :--- | :---: | :--- |
| North Atlantic Treaty Organization | $>$ | NATO |
| Radio detecting and ranging | $>$ | radar |
| Strategic Arms Limitation Talks | $>$ | SALT |
| For your information | $>$ | FYI |

- Eponyms

Sandwich
Hamburger
Pentium
Kodak
Xerox

- New inventions
blurb
nylon
chirrup
blatant
pentium


## III. Semantic change

## Metaphor

| to terminate | 'to kill' |
| :--- | :--- |
| to take care of | 'to kill' |
| to e eliminate | 'to kill' |
| to dispose of | 'to kill' |


| blasted | 'drunk' |
| :--- | :--- |
| ripped | 'drunk' |
| smashed | 'drunk' |
| wasted | 'drunk' |

Metonymy
tea
head
give me a hand
'evening meal'
'leader'
'help me'
dog salary
arrive

Original meaning: specific type of dog From Latin 'salarium', i.e soldiers' allotment of salt; then it came to mean solders' wages in general; finally pay for all kinds of work originally it meant 'come to shore', 'arrive by ship'

Narrowing
meat
Originally: food including non-meaty food
wife Originally: woman
deer Originally: animal
fowl Originally: bird
starve Originally: to die

Degeneration
spinster
mistress
peasant
Originally: older unmarried woman (who spins)
Originally: woman who has control over household
Originally: small farmer

Elevation
knight Originally: mounted warrior serving a king

## Traugott: From concrete to abstract

- 'felan' (meaning 'touch') > 'feel' ME (psychological, emotional)
'realize' (make real) $>$ (understand)
'see' (visual) $>$ (understand)
'hot' (temperature') $>$ (sexually attractive, interesting, super)
'shit' (physical) $>$ (expressions of anger)
- 'while' (ða hwile ðe 'at the time that') > temporal conjunction
'but' (on the outside) $>$ adversative conjunction
'well' (adverb of 'good') $\quad>$ discourse marker
'this/that' (demonstrative) $\quad>$ complementizer
'there' (demonstrative) $\quad>$ existential marker
- deontic modals (She must go) > epistemic modals (This must be it)


## Phonological change: Types of sound change

## 1. sporadic change - regular change

(i) Examples of sporadic change

| sprec 'language/speech’ | $>$ speech |
| :--- | :--- |
| grammar | $>$ glamour |

(ii) Examples of regular change

$$
\text { Grimm's law: }[\mathrm{ptk}]>[f \theta \mathrm{~h}]
$$

2. conditioned change - unconditioned change
(i) Examples of unconditioned change

$$
\begin{array}{llll}
{[\text { fif }]} & > & {[\text { [faif] }} & \text { 'five' } \\
{[\text { wif }]} & > & {[\text { waif }]} & \text { 'wife' }
\end{array}
$$

(ii) Examples of conditioned change

$$
[\text { bed }] \quad>\quad[\text { be : d] }
$$

3. phonemic change - allophonic change
(i) Examples of allophonic change

All of the changes we have seen so far were examples of non-phonemic change.
(ii) Examples of phonemic change

|  | PIE | Latin | Gothic | OHG | PDE |
| :--- | :--- | :--- | :--- | :--- | :--- |
| *o | *okto- | octo | ahtau | ahto | 'eight' |
| *) $^{2}$ | *pəter- | pater | fadar | fater | 'father' |
| *a | *agro- | ager | akrs | ackar | 'acre' |

(1) $[\mathrm{k}]>\left[\mathrm{t} \int\right]$

|  | cat | chaff | chin |
| :--- | :--- | :--- | :--- |
| Stage 1 | katt | keaff | kinn |
| Stage 2 | katt | t feaf | t jinn |
| Stage 3 | katt | t aff | t finn |

(2) Umlaut

|  | SG <br> Mouse | PL Mice | $\begin{aligned} & \hline \text { SG } \\ & \text { Foot } \end{aligned}$ | $\begin{array}{\|l\|} \hline \text { PL } \\ \text { Feet } \\ \hline \end{array}$ |
| :---: | :---: | :---: | :---: | :---: |
| Original | /muss/ [muss] | $\begin{aligned} & \hline \text { /muss-i/ } \\ & {[\text { muss-i] }} \end{aligned}$ | /fort/ <br> [fort] | $\begin{array}{\|l\|} \hline \text { /fort-i/ } \\ \text { [fort-i] } \end{array}$ |
|  |  | $\begin{aligned} & \hline \text { /muss-i/ } \\ & {[\mathrm{my} \text { is-i] }} \end{aligned}$ |  | $\begin{aligned} & \text { /fort-i/ } \\ & {[\text { fø:t-i] }} \end{aligned}$ |
|  |  | /muis/ [muss] |  | $\begin{aligned} & \text { /fø:t/ } \\ & \text { [fø:t] } \end{aligned}$ |
|  |  | $\begin{aligned} & \hline / \mathrm{miss} / \\ & \text { [miss] } \end{aligned}$ |  | $\begin{aligned} & \hline \text { /fert/ } \\ & \text { [fert] } \end{aligned}$ |
|  |  | /mais/ [mais] |  | $\begin{aligned} & \hline \text { /firt/ } \\ & \text { [fist] } \end{aligned}$ |

(3) $[\mathrm{s}]>[\mathrm{r}]$ in Latin


## What motivates sound change?

## Assimilation

(1) Latin nocte [nokte]

Italian notte [notte]
(2) English cheese child chin church
(3) nature education tissue German Käse Kind Kinn cyrice (Old English)
$[t y]>[t]]$
$[\mathrm{dy}]>\left[\mathrm{d}_{3}\right]$
[sy] $>\left[\int\right]$
(4) $\operatorname{pain}[p \tilde{\varepsilon}]$
'bread' fin [f $\tilde{\varepsilon}]$
'end'
(5) English
*[mus] 'mouse' SG
*[mys-i]
'mice' PL
(6) Latin
*[penkwe] > *[kwenkwe]

## Lenition

| stop | $>$ | fricative | $>$ approximate |
| :--- | :--- | :--- | :--- |
| stop | $>$ | liquid |  |
| oral stop | $>$ | glottal stop |  |
| voiceless | $>$ | voiced |  |
| geminate | $>$ | simplex |  |

- Spirantization

| Latin |  | Italian |
| :--- | :--- | :--- |
| habebat 'he had' | $>$ | aveva |
| faba 'bean' | $>$ | fava |

- Stop > liquid

English
American English
$[\mathrm{worr}]$

- Oral stop > glottal stop

English
[wotr]

- Voicing

| Latin |  | Italian |
| :--- | :--- | :--- |
| strata | $>$ | strada |
| lacu | $>$ | lago |

- Degemination

Latin cuppa 'cup' $\quad>\quad$ copa 'wine glass' gutta 'drop' $\quad>\quad$ gota 'drop' siccu 'dry' $\quad>\quad$ seco 'dry'

- The minimal consonant: [h]

| Old English <br> hnuti 'nut' | $>$ | English |
| :--- | :--- | :--- |
| hit 'it' | $>$ | nut |
| where [hw]ere | $>$ | where [w]ere |

## Deletions

(1) French lit 'bed' gros 'big' murs 'walls'

English
knee
knot
knife
(2) English (syncope)

| chocolate | medicine |
| :--- | :--- |
| camera | battery |
| police | dictionary |
| correct |  |

## Additions

(1) $[\mathrm{s} \wedge \mathrm{mp} \theta \mathrm{m} \mathrm{m}]$
'something' [drempt] 'dreamt'
[t § $^{\mathrm{mmpski}] \quad \text { 'Chomsky' }}$
(2) $\begin{array}{ll}{[\text { fæntsi] }} & \text { 'fancy' } \\ \text { [prints] } & \text { 'prince }\end{array}$
(3) [æOəlit] 'athlete'
(4) Spanish

| Esnobe | 'snob' |
| :--- | :--- |
| eslalom | 'slalom' |
| estricnina | 'estrychnine' |


| Latin | Spanish |
| :--- | :--- |
| spatha |  |
| statu | espada 'sword' |
| scala | estado 'state' |
|  | escala 'ladder' |

## Other types of sound change

Metathesis

| Old English  <br> Wodern English  |  |  |
| :--- | :--- | :--- |
| wæps | $>$ | wasp |
| bridd | $>$ | bird |
| frist | $>$ | first |
| thridde | $>$ | third |
| ask /aks | $>$ | ask |

Compensatory lengthening

| Pre-Old English |  | Middle English |  | Modern English |
| :---: | :---: | :---: | :---: | :---: |
| *[finf] |  |  |  | [faif] |
| *[gans] |  |  |  | [gu:s] |

## Phonological change: The drive for symmetry

English plosives

|  | Labial | Alveolar | Velar |
| :--- | :--- | :--- | :--- |
| Voiceless plosive | p | t | k |
| Voiced plosive | b | d | g |
| Nasal | m | n | y |

English fricatives

|  | Labiodent. | Interdental | Alveolar | Palatal | Velar |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Voiceless | f | $\theta$ | s | $\int$ | h |
| Voiceless | v | ð | z | 3 |  |

Italian


Sardinian


The Great English Vowel Shift

| Old English | Modern English |  |
| :---: | :---: | :---: |
| [bruin] | 'brown' | $u \mathrm{l}$ > au |
| [de:man] | 'deem' | e: > i |
| [do:m] | 'doom' | $\mathrm{o}:>\mathrm{u}$ |
| [du:n] | 'down' | u: > au |
| [æıl] | 'eel' | æ: > 1 |
| [æ:fen] | 'even(ing) | æ: > i |
| [la:tə] | 'late' | a $:>\mathrm{e}$ |
| [fiif] | 'five' | is $>$ ar |
| [he:] | 'he' | e: > i |
| [ra:d] | 'rode' | $\mathrm{a}:>0$ |
| [h:æ: $\theta$ ] | 'heath' | æ: > i |
| [na:mə] | 'name' | $a \leq>e$ |
| [hurs] | 'house' | u: > au |
| [iss] | 'ice' | i: $>$ ai |
| [læıce] | 'leech' | æ: > i |
| [mu: $\theta$ ] | 'mouth' | $u:>a v$ |
| [misn] | 'my' | is > aI |


| [a:c] | 'oak' | a: $>0$ |
| :--- | :--- | :--- |
| [ro:st] | 'roost' | $\mathrm{o}:>\mathrm{u}$ |
| [madə] | 'made' | $\mathrm{a}:>\mathrm{e}$ |
| [ha:m] | 'home' | $\mathrm{a}:>\mathrm{o}$ |
| [so: $\theta]$ | 'sooth' | $\mathrm{o}:>\mathrm{u}$ |
| [sta:n] | 'stone' | $\mathrm{a}:>0$ |
| [te: $\theta]$ | 'teeth' | $\mathrm{e}:>\mathrm{i}$ |
| [ti:d] | 'time' / 'tide' | $\mathrm{i}:>$ aI |
| [to: $\theta]$ | 'tooth' | o: $>\mathrm{u}$ |
| [hwi:t] | 'white' | $\mathrm{i} \gg \mathrm{aI}$ |


| Middle English <br> i: | Chaucer <br> [fi:f] | Shakespeare <br> [faiv] | Modern spelling <br> e: |
| :---: | :--- | :--- | :--- |
| [me:de] | [mi:d] | mid |  |
| $\varepsilon:$ | [kle:ne] | $[$ [kle:n] | clean |
| a: | [na:ma] | [ne:m] | name |
| u: | [du:n] | [dan] | down |
| o: | [ro:tə] | [ru:t] | root |
| o: | [go:tə] | [go:t] | goat |

## Competing motivations

"The maintenance or restoration of symmetry appears to be a powerful force in sound change, and chain shifts in particular can be more readily understood in terms of movement within phonological space. A crucial observation has been that there are always competing phonological pressures, both syntagmatic and paradigmatic; these can never all be satisfied at once, and a great deal of phonological change can be understood as endless attempts at satisfying these competing pressures, with each resulting change typically introducing new strains into the system." [Trask 1996: 95-96]

## Morphological change

## Today's morphology is yesterday's syntax

(1) -ly -hood

N meaning 'body' ('mann-lic')
N meaning 'person', 'sex', 'quality'
(2) English past tense -ed
(3) Spanish future

| Latin | Spanish | Gloss |
| :--- | :--- | :--- |
| cantare habeo | cantaré | 'I'll sing' |
| cantare habes | cantarás | 'you'll sing' |
| cantare habet | cantará | 'he'll sing' |
| cantare habermus | cantaremos | 'we'll sing' |
| cantare habetis | cantareís | 'you'll sing', |
| cantare habent | cantarán | 'they'll sing' |

(4) Basque

| Verb |  | Pronoun |  |
| :--- | :--- | :--- | :--- |
| noa | 'I'm going' | ni | 'I' |
| noa | 'you are going', | hi | 'you' |
| doa | 'he/she is going' | - | 'he/she' |
| goaz | 'we are going', | gu | 'we' |
| zoas | 'you are going', | zu | 'you' |
| doaz | 'they are going' | - | 'they' |

(5) French
a. Jean donnera le livre à Marie. 'John will give the book to Mary.'
b. Il te le donnera.
'He you-it-will give'
>>> Jean, il-te-le-donnera, le livere.

## Analogy

Four-part analogy
A : B
$\downarrow$

C : X

Four-part analogy 1: English plural nouns
(1)
(2)
X ziff
zo
zax

X-us cact-us radi-us

X-s ziff-[s]
zo-[z]
zax-[əz]
X-i
cact-i
radi-i

Four-part analogy 2: English verb forms
(1) Old English climb clomb step stope laugh low

Modern English
climb climbed step stepped laugh laughed
(2) Present

Past
V
V
V-ed
$X$-ed
(3) throw-threw-thrown strive-strove-striven dream-dreamt-dreamt hang-hung-hung light-lit-lit cleave-clove-cloven
(3) Original dive dived catch catched
throw-throwed-throwed strive-stroved-stroved dream-dreamed-dreamed hang-hanged-hanged light-lighted-lighted cleave-cleaved-cleaved

New
dive dove
catch caught

Four-part analogy 3: derivational forms
(1)

| sea | seascape |
| :--- | :--- |
| moon | moonscape |

(2) journal journalese mother motherese American Americanese

## Sturtevant's paradox

Sound change is regular, but produces irregularity; analogy is irregular, but produces regularity.

Table 1. Analogical leveling in French

|  | Latin | Old French | Modern French |
| :--- | :--- | :--- | :--- |
| 1SG | ámo | aim | aime |
| 2SG | ámas | aimes | aimes |
| 3SG | ámat | aimet | aime |
| 1PL | amámus | amons | aimons |
| 2PL | anátis | amez | aimez |
| 3PL | ámant | aiment | aiment |


|  | Old English | Modern English |
| :---: | :---: | :---: |
| Present | ce:osan [z] | choose [z] |
| Past SG | ce:as [s] | chose [z] |
| Past PL | curon [r] | chose [z] |
| Past PTC | gecoren [r] | chosen [z] |
|  | Old High German | Modern German |
| Present | kiusan [z] | küren [r] |
| Past SG | ko:s [s] | kor [r] |
| Past PL | kurun [r] | kor [r] |
| Past PTC | gikoran [r] | gekoren [r] |

## Special types of analogy

1. Structural reanalysis

| (1) | a naddre (type of snake) <br> a napron | an adder [ædər] <br> an apron |
| :--- | :--- | :--- |
| (2) | an ewt <br> an ekename | a newt [n(y)ut] <br> a nickname |

2. Contamination
(1) male : femelle
male : fe-male
(2)

Stage 1

| French borrowing <br> ouvert [Uvər] | English |
| :--- | :--- |
| covered [kavərt] |  |
| Sound change |  |
| overt [Jvərt] | Reanalysis |

(3) regard : regardless $=$ irrespective


## 3. Hypercorrection

(1) [dark] 'dark'
[kjrt] 'court'
(2) [avokardo] 'avocado’
(3) a. Peter and me went swimming. > Peter and $\mathbf{I}$ went swimming.
b. Sally talked to Peter and me. > *Sally talked to Peter and I.
4. Backformation
(1)

| hamburger |
| :--- | :--- |
| cheese |$>$

ham + burger cheese $>$ cheese + burger


| Established pattern |  | Back formation |  |  |
| :--- | :--- | :--- | :--- | :--- |
| to exhibit - exhibit-or | $\gg$ | editor | $>$ to edit |  |
| printer - to print | $\gg$ | laser | $>$ | to lase |
| maintenance - to maintain | $\gg$ | surveillance | $>$ to surveille |  |
| book - book-s | $\gg$ | cerise $(\mathrm{Sg})$ | $>$ cherry |  |

## Change in morphological type

## Isolating language

(1) Vietnamese

Khi tôi dén nhà ban tôi bát dàu làm bài
When I come house friend I, PL I begin do.lesson
'When I arrived at my friend's house, we began to do lessons.'

## Agglutinating language

(2) Turkish

Yap-tig-im hata-yi memleket-i tani-ma-ma-m-a
Make-PART-my mistake-OBJ country-OBJ know-not-GER-my-to ver-ebil-ir-siniz.
Give-can-TENSE-you
'You can ascribe the mistake I made to my not knowing the country.'

Inflectional language
(3) Latin

Arm-a vir-um-que can-o
Weapon-NEUT.PL.OBJ man-MASC.SG.OBJ-and sing-1SG.Pres.Indic.Act
'Arms and the man I sing.'


Figure 1. Language 'drift' (Sapir)

## Syntactic change



## The development of the perfect

(1) Ic hæbbe [thone fisc gefangene]. I have the fish caught.ACC 'I have the fish caught' (=I have the fish in a state of being caught)
(2) Ic hæfde [hine gebundenne].

I had him bound.ACC
'I had him bound' (=I had him in a state of being bound)
(3) Ic hæfde hitgebunden

I had it bound. $\varnothing$
'I had it bound' (= I had it in my possession)
(4) thin geleafa hæfth the gehæled your faith has you healed 'Your faith has healed you.'
(5) Ac hie hæefdon tha ... hiora mete genotudne but they had then ... their food used-up 'But they had then used up their food.'

The development of psych verbs in English
(1) *Peran licoden than cynge. SVO Peras were-pleasing the-DAT king-DAT
(2) than cynge licoden peran. OVS The-DAT king-DAT were-pleasing pears 'Pears were pleasing to the king' (i.e. The king liked pears)
(3) The king liceden peares the king were-pleased pears
'Pears were pleasing to the king' (i.e. The king liked pears)
(4) The king liked pears.
(5) He liked them.

## The development of the Germanic complementizers

(1) I belive that she will take the job.

English
(2) Ich verstehe, dass Sie nicht kommen.

German
(3) Ik weet dat hij veel vrienden heeft.

Dutch
(4) Jag trodde, att hans sista stund var kommen.

Swedish
(5) Middle High German
joh gizalta in sâr tha3, thiu sâlida untar in uuas
and told them immediately that the luck among them was 'And he told them immediately that good fortune was among them.'
(6) ðæt gefremede Diulius hiora consul, ðæt ðæt angin that arranged Diulius their consul COMP that beginning wearð tidlice ðurthogen was in.time achieved 'Their consul Diulius arranged (it) that it was started on time.'
(7) I'm like 'What's going on?'

## Typological harmony



Table 1. Word order correlates in VO and OV languages

| VO languages | OV languages |
| :--- | :--- |
| VO | OV |
| P NP | NP P |
| AUX V | V AUX |
| N GEN | GEN N |
| COMP S | S COMP |
| N REL | REL N |
| V COMP-clause | COMP-clause V |
| case-marking absent | case-marking |

## The dummy auxiliary 'do'

(1) Ædred me ah; Eanred mec agrof Ædred me oens Eanred me carved 'Ædred owns me; Eanard carved me.'
(2) b. Opened you the door?
a. Did you open the door?

## Grammaticalization

## Introduction

Exercise: Identify the grammatical morphemes and determine their historical source.
Language change is a topic that spreads itself over a wide range of areas. Therefore a good historical linguist should have a solid background in all subfields of linguistics. Indeed, most historical linguists began as general linguists before they turned to the study of language change.

Traditionally, historical linguistics was primarily concerned with phonological and morphological change. However, in recent years the focus has shifted onto syntax and the development of grammar.
Grammaticalization has become a central topic for anybody who is interested in language change because it challenges central assumptions of linguistic theory. Nevertheless, given that grammaticalization involves phonological and morphological change, it also revived the interest in the study of traditional topics in historical linguistics.

## Example 1: gonna

(1) I am going to marry Bill. [meaning: I am leaving in order to marry Bill.
(2) ??I am sure you are going to like Bill.
(3) I [am going [to marry [Bill]]]. >>> I [[[am [going to]] marry] [Bill]]
(4) be going to $>$ to gonna.

## Example 2: lets

(1) a. Let yourself down on the rope.
b. Let Bill go.
(2) a. Let's go to the circus tonight.
b. Let's watch a movie.
(3) Lets give you a hand. ('I'll give you a hand')
(4) Lets you and I take'em on for a set.
(5) Lets you go first, then if we have any money left I'll go.
(6) Lets wash your hand.

## Examples of grammaticalization

Source construction

| 'go' [motion verb] | $>$ | gonna [auxiliary] |
| :---: | :---: | :---: |
| 'will' [verb of intention] | $>$ | will [auxiliary] |
| 'have' [verb of possession] | > | have [auxiliary] |
| noun meaning 'with an x -appearance' | > | $x-l y$ |
| noun meaning | > | $x$-hood |
| auxiliary 'do' | > | $x$-ed |
| DEM hwile SUB (hwile = 'time') | $>$ | while [conjunction] |
| 'by cause' preposition+noun | > | because[conjunction] |
| 'given' [past participle of 'go'] | > | given [conjunction] |
| 'during' [verb in continuous form] | $>$ | during [preposition] |
| 'in front of' [PP] | $>$ | in front of [preposition] |
| 'a-gone' [PREFIX-verb] | $>$ | ago [postposition] |
| 'be-foran' [be- prefix meaning 'by', | > | before [preposition] |
| + foran 'ADV' meaning 'in front'] |  |  |
| 'some body' [NP] | $>$ | somebody [indefinite pro] |
| 'one' [numeral] | > | one [article/pronoun] |
| '(do you) you know' [question] | $>$ | y'know |
| 'I think' [matrix clause] | > | (I) think |
| 'guess' [imperative matrix clause] | > | guess |

## The grammaticalization of demonstratives

All grammatical morphemes have developed out of lexical morphemes, principally nouns and verbs... [Bybee 2003]

Definite article
the
der/die/das
Third person pronouns
he / it
er / sie / es

## Relative pronouns <br> that <br> der/die/das

Complementizers
that
dass
Sentence connectives/conjunctions
thus / therefore
deshalb / dadurch
Directional preverbs
hin-gehen
her-kommen
Copulas
$\mathrm{NP},[\mathrm{DEM} \mathrm{NP}] \quad>\quad \mathrm{NP}$ be NP
Der Mann, der (ist) ein Polizist. $\quad>\quad$ Der Mann ist ein Polizist.


## Frequency, habituation, and storage

## The reduction effect

1.Phonetic reduction

| going to | $>$ | gonna |
| :--- | :--- | :--- |
| I will | $>$ | I'll |
| I am | $>$ | I'm |
| do not | $>$ | don't |

2. Loss of constituent structure

| want to | $>$ | wanna |
| :--- | :--- | :--- |
| $[$ in $[$ front $[$ of $\overline{-}]]$ | $>$ | $[$ in front of $[\ldots]]$ |
| some $_{\text {DET }}$ body | $>$ | $[\text { somebody }]_{\text {PRO }}$ |

3. Semantic bleaching
to [from directional preposition to INF marker] going [from motion verb to future tense marker]
-ly [from noun meaning 'body' to ADV marker]

## The preservation effect

1. Regularization of irregular verbs
wept $>$ weeped (low token frequency)
keep $>\quad$ kept(high token frequency)
2. Suppletion
go - went
be - am - are - is
good - better
bad - worse
3. Case marking

| SUBJ | OBJ | SUBJ | OBJ |
| :--- | :--- | :--- | :--- |
| he | him | car | car |
| she | her | tree | tree |


|  | Reduction Effect | Conserving effect |
| :--- | :--- | :--- |
| Psychological mechanism | Ritualization <br> (processing effect) | Entrenchment <br> (storage effect) |

## Variation as the vehicle of language change

## The linguistic system

| SINGULAR <br> - person <br> - person <br> - person | ich du er/sie/es |
| :---: | :---: |
| PLURAL <br> - person <br> - person <br> - person | wir <br> ihr <br> sie |



Figure 1. The English vowel system

## Saussurean paradox

If language is primarily a system of relations, how is it that a language can change without disrupting the system?

## Labov: Martha's Vineyard

Table 1. Age and degree of centralization

| Age | Degree of centralization [ai] | Degree of centralization [au] |
| :--- | :---: | :---: |
| $75+$ | 0.25 | 0.23 |
| $61-75$ | 0.35 | 0.37 |
| $46-60$ | 0.62 | 0.44 |
| $31-45$ | 0.81 | 0.88 |
| $14-30$ | 0.37 | 0.46 |

1933 | [ai] | 0.86 |
| :---: | :---: |
|  | $[$ au] | 0.06

Table 2. Degree of centralization and occupation and environment

| Age | Degree of centralization [ai] | Degree of centralization [au] |
| :--- | :---: | :---: |
| Occupation |  |  |
| Fisherman | 1.00 | 0.79 |
| Farmers | 0.32 | 0.22 |
|  |  |  |
| Environment | 0.35 | 0.33 |
| Towns | 0.61 | 0.66 |
| Rural areas |  |  |

Table 3. Centralization and attitude to the island

| Age | Degree of centralization [ai] | Degree of centralization [au] |
| :--- | :---: | :---: |
| Positive (40 subjects) | 0.63 | 0.62 |
| Neutral (19 subjects) | 0.32 | 0.42 |
| Negative (6 subjects) | 0.09 | 0.08 |

## Trudgill: the -ng variable in Britain

$$
\begin{array}{ll}
{[\text { goin }]} & \text { going } \\
{[\text { goin }]} & \text { goin' }
\end{array}
$$

Table 1. The alveolar pronunciation of the -ing suffix

|  | Word list | Reading | Formal speech | Casual speech |
| :--- | :---: | :---: | :---: | :---: |
| Middle class | 0 | 0 | 3 | 28 |
| Lower middle class | 0 | 10 | 15 | 42 |
| Upper working class | 5 | 15 | 74 | 87 |
| Middle working class | 23 | 44 | 88 | 95 |
| Lower working class | 29 | 66 | 98 | 100 |

Cheshire: Teenage talk in Reading (Aitchison 2001: 77-80)
(1) I knows how to handle teddy boys.
(2) You knows my sister, the one who's small.
(3) They calls me all the name under the sun.

Table 5. Nonstandard verb inflection in Reading

|  | Casual speech | Formal |
| :--- | :---: | :---: |
| Boys | $60 \%$ | $31 \%$ |
| Girls | $49 \%$ | $13 \%$ |
| Total | $50 \%$ | $22 \%$ |

## Labov: The pronunciation of non-prevocalic [r] in New York City

Table 5. The pronunciation of [r] in non-prevocalic position in NYC

|  | Word list | Reading | Formal speech | Casual speech |
| :--- | :--- | :--- | :--- | :--- |
| Upper middle class | 41 | 27 | 27 | 18 |
| Lower middle class | 61 | 24 | 19 | 7 |
| Upper working class | 25 | 20 | 15 | 7 |
| Middle working class | 23 | 17 | 14 | 7 |
| Lower working class | 18 | 15 | 7 | 2 |
| Lower class | 10 | 10 | 4 | 1 |

## Geographical and lexical diffusion

## 1. Geographical diffusion

(1) hem:ahar ja intə so me:d som et gam:alt gausabain south. Swed.
(2) hem:ahar ja intə so mykiət som et gamialt gossbein central Swed.
(3) jemiə har jæ ikiə so my:ə som et gam:alt goisəben east. Norw.
(4) heim: ə har eg iç:ə so my:çə som et gamıalt go:səbein east. Norw.

Translation: At home have I not so much as an old goose-leg

## Isoglosses

| Low German | High German |
| :--- | :--- |
| dorp | dorf |
| dat | das |
| makən | maxən |

## 2. Lexical diffusion

All sound changes are mechanical processes, taking place according to laws with no exceptions. [Osthoff and Brugmann 1978]

## Example 1: Schwa deletion

(1)

$$
\begin{aligned}
& \text { ev(e)ry } \\
& \text { fam(i)ly }
\end{aligned}
$$

> deliv(e)ry
> nurs(e)ry

> desult(o)ry
> curs(o)ry

Table 1. Schwa deletion and word frequency (Bybee 2001)

| No schwa | Frequent schwa deletion | Infrequent schwa deletion |
| :--- | :--- | :--- |
| every $(492)$ | memory $(91)$ | artillery (11) |
| family (149) | salary (51) | cursory (4) |
|  | summary (21) | mammary (0) |

(2) $\quad \operatorname{burgl}(a) r y$
forg(e)ry

## Example 2: Auxiliary contraction

I'll, you'll
he'll, she'll, we'll, they'll
*Peter'll, man'll

## S-shaped development





## The invisible hand phenomena

Languages are organisms of nature; they have never been directed by the will of man; they rose, and developed themselves according to definite laws; they grew old, and died out. They, too, are subject to that series of phenomena which we embrace under the nature of 'life'. The science of language is consequently a natural science; its method is generally altogether the same as that of any other natural science. [August Schleicher 1863]

The desire of communication is a real living force, to the impelling action of which every human being, in every stage of culture, is accessible; and so far as we can see, it is the only force that was equal to initiating the process of language-making, as it is also the one that has kept up the process to the present time. It works both consciously and unconsciously, as regards the further consequences of the act. [William Dwight Whitney 1967]

## Phenomena of the third type



natural phenomena

results of human actions


