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### Cohabitation of Independent Sets and Dominating Sets in Trees

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**Abstract.** We give a constructive characterization of trees that have a maximum independent set and a minimum dominating set which are disjoint and show that the corresponding decision problem is NP-complete for general graphs.

Keywords. domination; independence; inverse domination AMS subject classification. 05C69

#### 1 Introduction

We consider finite, undirected and simple graphs G = (V, E) with vertex set V and edge set E. A set  $I \subseteq V$  of vertices is an *independent set* of G, if no two vertices from I are adjacent in G. The maximum cardinality of an independent set of G is the *independence* number  $\alpha(G)$  of G. A set  $D \subseteq V$  of vertices is a *dominating set* of G, if every vertex in  $V \setminus D$  has a neighbour in D. The minimum cardinality of a dominating set of G is the *domination number*  $\gamma(G)$  of G.

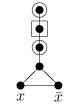
Minimum independent and maximum dominating sets are among the most fundamental and well-studied graph theoretic concepts [7]. As early as 1978 Bange, Barkauskas, and Slater [1] and Slater [10] characterized trees which have two disjoint minimum dominating sets and two disjoint maximum independent sets, respectively. In [2, 4, 6] the problem of finding two minimum dominating sets of minimum intersection is studied while in [8] trees with two disjoint minimum independent dominating sets are characterized. In [3, 5, 9] the minimum cardinality of a dominating set which lies in the complement of a minimum dominating set is studied.

Complementing this previous research we consider graphs G = (V, E) that have a maximum independent set I and a minimum dominating set D which are disjoint. We call such a pair of sets (I, D) an  $(\alpha, \gamma)$ -pair of G. Intuitively, two independent sets or two dominating sets compete for similar types of vertices while an independent set and a dominating set seem easiler to reconcile. After proving that the decision problem whether a given graph has an  $(\alpha, \gamma)$ -pair is NP-complete, we give a constructive characterization of trees with an  $(\alpha, \gamma)$ -pair.

**Theorem 1** The problem to decide whether an input graph has an  $(\alpha, \gamma)$ -pair is NP-complete.

*Proof:* For a 3SAT instance C with n variables and m clauses, we will describe a graph G = (V, E) of order polynomial in n and m such that C is satisfiable if and only if G has an  $(\alpha, \gamma)$ -pair.

For every boolean variable x of C, the graph G contains a copy  $H_x$  of the gadget shown in Figure 1 with two specified vertices x and  $\bar{x}$ .



**Figure 1:** The gadget  $H_x$ .

For every clause C, the graph G contains 3n + 1 disjoint paths of length three

$$P_1^C, P_2^C, \dots, P_{3n+1}^C$$

In each of these paths  $P_i^C$  we specify one endvertex  $x_i^C$ . If C contains the literal y, then G contains the edges  $yx_i^C$  for  $1 \le i \le 3n + 1$ . The graph G contains no further vertices or edges.

Clearly, every independent set of G contains at most three vertices from every of the gadgets  $H_x$  and at most two vertices from every of the paths  $P_i^C$ , i.e.  $\alpha(G) \leq 3n + 2m(3n + 1)$ . Since choosing three independent vertices from every of the gadgets  $H_x$  and the vertices at distance one and three from  $x_i^C$  from every of the paths  $P_i^C$  yields an independent set of order 3n + 2m(3n + 1), we have  $\alpha(G) = 3n + 2m(3n + 1)$ .

Clearly, every dominating set of G contains at least two vertices from every of the gadgets  $H_x$  and at least one vertex from every of the paths  $P_i^C$ . Hence  $\gamma(G) \geq 2n + m(3n + 1)$ . Furthermore, since choosing x,  $\bar{x}$  and the neighbour of the endvertex from every of the gadgets  $H_x$  and the vertex at distance two from  $x_i^C$  from every of the paths  $P_i^C$  yields a dominating set of order 3n + m(3n + 1), we have  $\gamma(G) \leq 3n + m(3n + 1)$ .

If  $\mathcal{C}$  has a satisfying truth assignment, then choosing three independent vertices containing the false literal among x and  $\bar{x}$  from every of the gadgets  $H_x$  and the vertices at distance one and three from  $x_i^C$  from every of the paths  $P_i^C$  yields a maximum independent set I. Furthermore, choosing the true literal among x and  $\bar{x}$  and the neighbour of the endvertex from every of the gadgets  $H_x$  and the vertex at distance two from  $x_i^C$  from every of the paths  $P_i^C$  yields an dominating set D of order 2n+m(3n+1). Hence (I, D) is an  $(\alpha, \gamma)$ -pair of G.

Conversely, if G has an  $(\alpha, \gamma)$ -pair (I, D), then we may assume that D contains exactly one of the two vertices x and  $\bar{x}$  from every of the gadgets  $H_x$ . If one of the vertices  $x_i^C$ from some path  $P_i^C$  is not dominated by a vertex from one of the gadgets  $H_x$ , then D must contain at least two vertices from every of the 3n+1 paths  $P_i^C$  and at least one vertex from every of the remaining paths. Hence  $|D| \geq 3n + 1 + m(3n + 1)$  which is a contradiction. Therefore, all of the vertices  $x_i^C$  from every of the paths  $P_i^C$  are dominated by a vertex from one of the gadgets  $H_x$ . Hence the literals contained in D define a satisfying truth assignment for  $\mathcal{C}$  and the proof is complete.  $\Box$ 

#### **2** Trees with an $(\alpha, \gamma)$ -pair

In this section we will describe a polynomial time procedure to decide whether a given tree has an  $(\alpha, \gamma)$ -pair. We describe suitable reductions and explain how these reductions yield a constructive characterization of trees with an  $(\alpha, \gamma)$ -pair.

The first lemma deals with some small trees.

**Lemma 2** (i) For  $2 \le n \le 6$  the path  $P_n : u_1 u_2 \dots u_n$  has the following  $(\alpha, \gamma)$ -pair  $(I_n, D_n)$ :

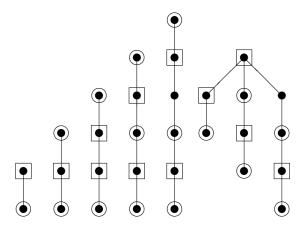
 $(I_2, D_2) = (\{u_1\}, \{u_2\})$   $(I_3, D_3) = (\{u_1, u_3\}, \{u_2\})$   $(I_4, D_4) = (\{u_1, u_4\}, \{u_2, u_3\})$   $(I_5, D_5) = (\{u_1, u_3, u_5\}, \{u_2, u_4\})$  $(I_6, D_6) = (\{u_1, u_3, u_6\}, \{u_2, u_5\}).$ 

(ii) The tree  $T^* = (V^*, E^*)$  with  $V^* = \{u_0, u_1, v_0, v_1, v_2, w_0, w_1, w_2, w_3, x\}$   $E^* = \{u_0 u_1, u_1 x, v_0 v_1, v_1 v_2, v_2 x, w_0 w_1, w_1 w_2, w_2 w_3, w_3 x\}$ 

has the  $(\alpha, \gamma)$ -pair

$$(\{u_0, v_0, w_0, v_2, w_2\}, \{u_1, v_1, w_1, x\}).$$

*Proof:* It is very easy to check that the given sets are maximum independent sets and minimum dominating sets which are disjoint.  $\Box$ 



**Figure 2** The trees  $P_2, P_3, \ldots, P_6$  and  $T^*$ .

**Lemma 3** Let T contain a path  $P : u_0 u_1 \dots u_5$  such that  $d_T(u_0) = 1$  and  $d_T(u_1) = d_T(u_2) = d_T(u_3) = d_T(u_4) = 2$ .

- (i)  $\alpha(T') + 2 \le \alpha(T) \le \alpha(T') + 3$  for  $T' = T \{u_0, u_1, \dots, u_4\}.$
- (ii) If  $\alpha(T) = \alpha(T') + 3$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T'' = T \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T'') + 1$  and  $\gamma(T) = \gamma(T'') + 1$ .
- (iii) If  $\alpha(T) = \alpha(T') + 2$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T''' = T \{u_0, u_1, u_2\}$ has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T''') + 1$  and  $\gamma(T) = \gamma(T''') + 1$ .

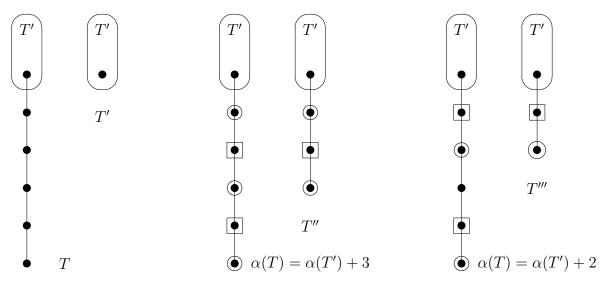


Figure 3 The trees T, T', T'' and T'''.

*Proof:* (i) The first inequality follows, since for every independent set I' of T' the set  $I' \cup \{u_0, u_2\}$  is an independent set of T. The second inequality follows, since every independent set I of T contains at most three of the vertices in  $\{u_0, u_1, \ldots, u_4\}$  and  $I \setminus \{u_0, u_1, \ldots, u_4\}$  is an independent set of T'.

(ii) Let  $\alpha(T) = \alpha(T') + 3$ . Note that this implies that every maximum independent set of T contains  $u_0, u_2$  and  $u_4$ . Therefore, if T has an  $(\alpha, \gamma)$ -pair (I, D), then  $u_0, u_2, u_4 \in I$ and hence  $u_1, u_3 \in D$ . Clearly,  $\alpha(T'') \leq \alpha(T') + 2$ . Since  $I \setminus \{u_0\}$  is an independent set in T'', we have  $\alpha(T'') \geq \alpha(T) - 1 = \alpha(T') + 2$  and thus  $\alpha(T) = \alpha(T') + 3 = \alpha(T'') + 1$ . Clearly,  $\gamma(T) \leq \gamma(T'') + 1$ . Since  $D \setminus \{u_1\}$  is a dominating set in T'', we have  $\gamma(T'') \leq \gamma(T) - 1$ and thus  $\gamma(T) = \gamma(T'') + 1$ . Now  $(I \setminus \{u_0\}, D \setminus \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of T''.

Conversely, if T'' has an  $(\alpha, \gamma)$ -pair (I'', D''),  $\alpha(T) = \alpha(T'') + 1$  and  $\gamma(T) = \gamma(T'') + 1$ , then  $(I'' \cup \{u_0\}, D'' \cup \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of T.

(iii) Let  $\alpha(T) = \alpha(T') + 2$ . If T has an  $(\alpha, \gamma)$ -pair (I, D), then we may assume without loss of generality that  $u_0, u_3 \in I$  and  $u_1, u_4 \in D$ . Clearly,  $\alpha(T'') \leq \alpha(T') + 1$ . Since  $I \setminus \{u_0\}$  is an independent set in T''', we have  $\alpha(T'') \geq \alpha(T) - 1 = \alpha(T') + 1$  and thus  $\alpha(T) = \alpha(T') + 2 = \alpha(T'') + 1$ . Clearly,  $\gamma(T) \leq \gamma(T'') + 1$ . Since  $D \setminus \{u_1\}$  is a dominating set in T''', we have  $\gamma(T''') \leq \gamma(T) - 1$  and thus  $\gamma(T) = \gamma(T''') + 1$ . Now  $(I \setminus \{u_0\}, D \setminus \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of T'''.

Conversely, if T''' has an  $(\alpha, \gamma)$ -pair (I''', D'''),  $\alpha(T) = \alpha(T''') + 1$  and  $\gamma(T) = \gamma(T''') + 1$ , then  $(I''' \cup \{u_0\}, D''' \cup \{u_1\})$  is an  $(\alpha, \gamma)$ -pair of T.  $\Box$ 

Combining Lemma 2 (i) with Lemma 3 it is easy to check that the only paths  $P_n$  with an  $(\alpha, \gamma)$ -pair satisfy  $n \in \{2, 3, 4, 5, 6, 7, 8, 10\}$ .

**Lemma 4** Let T contain a path P:  $u_0u_1 \ldots u_rwv_sv_{s-1} \ldots v_0$  with  $r, s \ge 0$  such that  $d_T(u_0) = d_T(v_0) = 1$ ,  $d_T(u_i) = 2$  for  $1 \le i \le r$  and  $d_T(v_j) = 2$  for  $1 \le j \le s$ .

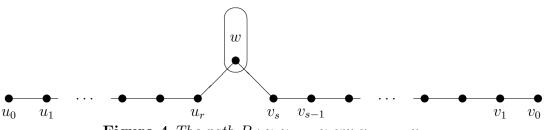


Figure 4 The path  $P: u_0u_1 \ldots u_rwv_sv_{s-1} \ldots v_0$ .

- (i) If r = 2k and s = 2l for some  $0 \le k, l \le 1$  with  $k \ge l$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_i \mid 0 \le i \le 2k\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + k$ .
- (ii) If r = 2k + 1 and s = 0 for some  $0 \le k \le 1$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T \{u_i \mid 0 \le i \le 2k + 1\}$  has an  $(\alpha, \gamma)$ -pair,  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + 1$ .
- (iii) If r = s = 1, then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair.
- (iv) If r = s = 3, then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T \{u_0, u_1, u_2, v_0, v_1, v_2\}$ has an  $(\alpha, \gamma)$ -pair and  $\alpha(T) = \alpha(T') + 2$ .
- (v) If r = 1, s = 2 and  $d_T(w) = 3$ , then T has an  $(\alpha, \gamma)$ -pair if and only if T' = T V(P) has an  $(\alpha, \gamma)$ -pair.
- (vi) If r = 1, s = 3 and  $d_T(w) = 3$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T \{u_0, u_1\}$  has an  $(\alpha, \gamma)$ -pair.
- (vii) If r = 2, s = 3 and  $d_T(w) = 3$ , then T has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T \{u_0, u_1, v_0, v_1, v_2, v_3\}$  has an  $(\alpha, \gamma)$ -pair.

*Proof:* (i) Note that every maximum independent set I of T satisfies  $I \cap V(P) = \{u_{2i} \mid 0 \le i \le k\} \cup \{v_{2j} \mid 0 \le j \le l\}.$ 

Therefore, if T has an  $(\alpha, \gamma)$ -pair (I, D), then  $u_{2i} \in I$  for  $0 \leq i \leq k, v_{2j} \in I$  for  $0 \leq j \leq l$ ,  $u_{2i+1} \in D$  for  $0 \leq i \leq k-1$  and  $v_{2j+1} \in D$  for  $0 \leq j \leq l-1$ . Clearly,  $\alpha(T) \leq \alpha(T')+k+1$ . Since  $I \setminus \{u_{2i} \mid 0 \leq i \leq k\}$  is an independent set in T', we have  $\alpha(T') \leq \alpha(T) - (k+1)$  and thus  $\alpha(T) = \alpha(T') + k + 1$ . Clearly,  $\gamma(T) \leq \gamma(T') + k$  — note that k = 0 implies l = 0 and  $w \in D$ . Since  $D \setminus \{u_{2i+1} \mid 0 \leq i \leq k-1\}$  is a dominating set in T', we have  $\gamma(T') \leq \gamma(T) - k$ and thus  $\gamma(T) = \gamma(T') + k$ . Now  $(I \setminus \{u_{2i} \mid 0 \leq i \leq k\}, D \setminus \{u_{2i+1} \mid 0 \leq i \leq k-1\})$  is an  $(\alpha, \gamma)$ -pair of T'.

Conversely, if T' has an  $(\alpha, \gamma)$ -pair (I', D'),  $\alpha(T) = \alpha(T') + k + 1$  and  $\gamma(T) = \gamma(T') + k$ , then in view of  $l \leq 1$  we may assume that  $v_{2l} \in I'$ . Hence  $w \notin I'$  and  $(I' \cup \{u_{2i} \mid 0 \leq i \leq k\}, D' \cup \{u_{2i+1} \mid 0 \leq i \leq k-1\})$  is an  $(\alpha, \gamma)$ -pair of T.

(ii) If T has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair (I, D) such that  $v_0 \in I$ ,  $w \in D$ ,  $|I \cap \{u_i \mid 0 \le i \le 2k+1\}| = k+1$  and  $|D \cap \{u_i \mid 0 \le i \le 2k+1\}| = 1$ . Similarly, if T' has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair (I', D') such that  $v_0 \in I$  and  $w \in D$ . This easily implies that  $\alpha(T) = \alpha(T') + k + 1$ ,  $\gamma(T) = \gamma(T') + 1$  and that T has an  $(\alpha, \gamma)$ -pair if and only if T' has an  $(\alpha, \gamma)$ -pair.

(iii) If T has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair (I, D) such that  $v_0 \in I$  and  $v_1 \in D$ . Similarly, if T' has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair (I', D') such that  $v_0 \in I$  and  $v_1 \in D$ . This easily implies that  $\alpha(T) = \alpha(T') + 1$ ,  $\gamma(T) = \gamma(T') + 1$  and that T has an  $(\alpha, \gamma)$ -pair if and only if T' has an  $(\alpha, \gamma)$ -pair.

(iv) Note that every minimum dominating set of T contains w,  $u_1$  and  $v_1$ . Similarly every minimum dominating set of T' contains w. This easily implies that  $\alpha(T) = \alpha(T') + 2$ ,  $\gamma(T) = \gamma(T') + 2$  and that T has an  $(\alpha, \gamma)$ -pair if and only if T' has an  $(\alpha, \gamma)$ -pair.

(v) It is easy to see that  $\alpha(T) = \alpha(T') + 3$  and  $\gamma(T) = \gamma(T') + 2$ . If T has an  $(\alpha, \gamma)$ -pair, then it has an  $(\alpha, \gamma)$ -pair (I, D) such that  $u_0, v_0, v_2 \in I$  and  $u_1, v_1 \in D$ . This easily implies that T has an  $(\alpha, \gamma)$ -pair if and only if T' has an  $(\alpha, \gamma)$ -pair.

(vi) It is easy to see that  $\alpha(T) = \alpha(T') + 1$ . Similarly, since T' has a minimum dominating set containing w, we have  $\gamma(T) = \gamma(T') + 1$  which again implies the desired result.

(vii) Note that T has a maximum independent set containing  $u_2$  and a minimum dominating set containing w. This easily implies that  $\alpha(T) = \alpha(T') + 3$  and  $\gamma(T) = \gamma(T') + 2$ which again implies the desired result.  $\Box$ 

**Lemma 5** Let *T* contain three internally vertex disjoint paths  $P : u_0u_1x, Q : v_0v_1v_2x$  and  $R : w_0w_1w_2w_3x$  such that  $d_T(u_0) = d_T(v_0) = d_T(w_0) = 1$ ,  $d_T(u_1) = d_T(v_1) = d_T(v_2) = d_T(w_1) = d_T(w_2) = d_T(w_3) = 2$  and  $d_T(x) = 4$ , then *T* has an  $(\alpha, \gamma)$ -pair if and only if  $T' = T - \{u_0, u_1, v_0, v_1, w_0, w_1, w_2, w_3\}$  has an  $(\alpha, \gamma)$ -pair.

Proof: Note that T has a maximum independent set I such that  $I \cap (V(P) \cup V(Q) \cup V(R)) = \{u_0, v_0, w_0, v_2, w_2\}$  and a minimum dominating set D such that  $D \cap (V(P) \cup V(Q) \cup V(R)) = \{u_1, v_1, w_1, x\}$ . This easily implies that  $\alpha(T) = \alpha(T') + 4$  and  $\gamma(T) = \gamma(T') + 3$ .

If T has an  $(\alpha, \gamma)$ -pair, then T has an  $(\alpha, \gamma)$ -pair (I, D) such that  $I \cap (V(P) \cup V(Q) \cup V(R)) = \{u_0, v_0, w_0, v_2, w_2\}$  and  $D \cap (V(P) \cup V(Q) \cup V(R)) = \{u_1, v_1, w_1, x\}$ . In this case  $(I \setminus \{u_0, v_0, w_0, w_2\}, D \setminus \{u_1, v_1, w_1\})$  is an  $(\alpha, \gamma)$ -pair of T'. Conversely, if T' has an  $(\alpha, \gamma)$ -pair, then T' has an  $(\alpha, \gamma)$ -pair (I', D') such that  $v_2 \in I'$  and  $x \in D'$ . In this case  $(I' \cup \{u_0, v_0, w_0, w_2\}, D' \cup \{u_1, v_1, w_1\})$  is an  $(\alpha, \gamma)$ -pair of T which completes the proof.  $\Box$ 

For integers  $k \ge 1$  and  $d_1 \ge d_2 \ge \ldots \ge d_k \ge 1$  a tree T is said to have a  $(d_1, d_2, \ldots, d_k)$ tinsel  $(P_1, P_2, \ldots, P_k)$  pending on v if  $P_1, P_2, \ldots, P_k$  are k internally vertex disjoint paths in T such that

$$P_i: u_{i,0}u_{i,1}\ldots u_{i,d_i-1}v,$$

 $d_T(u_{i,0}) = 1$  and  $d_T(u_{i,j}) = 2$  for  $1 \le i \le k$  and  $1 \le j \le d_i - 1$  and  $d_T(v) = k + 1$ . For integers  $\partial d_1, \partial d_2, \ldots, \partial d_k$  with  $0 \le \partial d_i \le d_i$  for  $1 \le i \le k$ , the tree

$$T - \bigcup_{i=1}^k \bigcup_{j=0}^{\partial d_i - 1} \{u_{i,j}\}$$

is said to arise from the tree T by  $(\partial d_1, \partial d_2, \ldots, \partial d_k)$ -cutting the  $(d_1, d_2, \ldots, d_k)$ -tinsel  $(P_1, P_2, \ldots, P_k)$ . Note that a tree T which is not a path and is rooted at an endvertex of a longest path has a tinsel  $(P_1, P_2, \ldots, P_k)$  pending on some vertex v such that  $k \ge 2$  and all vertices of the paths  $P_i$  are either v or descendants of v.

The next result summazies the reductions captured by Lemmas 3 through 5 and yields a constructive characterization of trees having an  $(\alpha, \gamma)$ -pair.

**Theorem 6** Let T = (V, E) be a tree which is not a path and different from the tree  $T^*$ . Let  $(P_1, P_2, \ldots, P_k)$  be a  $(d_1, d_2, \ldots, d_k)$ -tinsel pending on v with  $k \ge 2$ .

The tree T has an  $(\alpha, \gamma)$ -pair if and only if the tree T' which arises from the tree T by  $(\partial d_1, \partial d_2, \ldots, \partial d_k)$ -cutting the  $(d_1, d_2, \ldots, d_k)$ -tinsel  $(P_1, P_2, \ldots, P_k)$  has an  $(\alpha, \gamma)$ -pair and  $(\alpha(T) - \alpha(T'), \gamma(T) - \gamma(T')) = (\partial \alpha, \partial \gamma)$  where

- (i) if  $d_1 \ge 5$  and  $\alpha(T) = \alpha(T \{u_{1,0}, u_{1,1}, \dots, u_{1,4}\}) + 3$ , then  $(\partial d_1, \partial d_2, \dots, \partial d_k) = (2, 0, \dots, 0)$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .
- (*ii*) if  $d_1 \ge 5$  and  $\alpha(T) = \alpha(T \{u_{1,0}, u_{1,1}, \dots, u_{1,4}\}) + 2$ , then  $(\partial d_1, \partial d_2, \dots, \partial d_k) = (3, 0, \dots, 0)$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .
- (iii) if there are two indices  $1 \le i < j \le k$  such that  $d_i, d_j \in \{1, 3\}$ , then  $\partial d_i = d_i, \partial d_r = 0$ for  $1 \le r \le k$  with  $r \ne i$  and  $(\partial \alpha, \partial \gamma) = \left(\frac{d_i+1}{2}, \frac{d_i-1}{2}\right)$ .
- (iv) if  $d_k = 1$  and there is an index  $1 \le i < k$  such that  $d_i \in \{2, 4\}$ , then  $\partial d_i = d_i$ ,  $\partial d_r = 0$ for  $1 \le r \le k$  with  $r \ne i$  and  $(\partial \alpha, \partial \gamma) = (\frac{d_i}{2}, 1)$ .
- (v) if there are two indices  $1 \le i < j \le k$  such that  $d_i = d_j = 2$ , then  $\partial d_i = d_i$ ,  $\partial d_r = 0$ for  $1 \le r \le k$  with  $r \ne i$  and  $(\partial \alpha, \partial \gamma) = (1, 1)$ .
- (vi) if there are two indices  $1 \le i < j \le k$  such that  $d_i = d_j = 4$ , then  $\partial d_i = \partial d_j = 3$ ,  $\partial d_r = 0$  for  $1 \le r \le k$  with  $r \notin \{i, j\}$  and  $\partial \alpha = 2$ .
- (vii) if k = 2 and  $(d_1, d_2) = (3, 2)$ , then  $T' = T (V(P_1) \cup V(P_2))$ .
- (viii) if k = 2 and  $(d_1, d_2) = (4, 2)$ , then  $(\partial d_1, \partial d_2) = (0, 2)$ .
- (ix) if k = 2 and  $(d_1, d_2) = (4, 3)$ , then  $(\partial d_1, \partial d_2) = (4, 2)$ .

(x) if k = 3 and  $(d_1, d_2) = (4, 3, 2)$ , then  $(\partial d_1, \partial d_2, \partial d_3) = (4, 2, 2)$ .

Furthermore, one of the cases (i)-(x) occurs.

Proof: If  $d_1 \geq 5$ , then, by Lemma 3 (i),  $2 \leq \alpha(T) - \alpha(T - \{u_{1,0}, u_{1,1}, \ldots, u_{1,4}\}) \leq 3$ . Now, by Lemma 3 (ii) and (iii), either (i) or (ii) occurs. Hence we may assume that  $d_1 \leq 4$ , i.e. all  $d_i$  are at most 4. If there are two odd  $d_i$ 's, then, by Lemma 4 (i), the case (iii) occurs. Hence we may assume that at most one of the  $d_i$  is odd. If  $d_k = 1$ , then, by Lemma 4 (ii), the case (iv) occurs. Hence we may assume that all  $d_i$  are either 2, 3 or 4. If there are two  $d_i$ 's equal to 2, then, by Lemma 4 (iii), the case (v) occurs. Hence we may assume that at most one of the  $d_i$  is 2. If there are two  $d_i$ 's equal to 4, then, by Lemma 4 (iv), the case (vi) occurs. Hence we may assume that at most one of the  $d_i$  is 4. If  $k \geq 3$ , then k = 3,  $(d_1, d_2, d_3) = (4, 3, 2)$  and, by Lemma 5, the case (x) occurs. Hence we may assume k = 2 and, by Lemma 4 (v) through (vii), one of the cases (vii) through (ix) occurs. This completes the proof. □

**Corollary 7** It is possible to decide in polynomial time whether a given tree of order at least 2 has an  $(\alpha, \gamma)$ -pair.

*Proof:* If T is a path of order at most 6 or the tree  $T^*$ , then, by Lemma 2, T has an  $(\alpha, \gamma)$ -pair. If T is a path of order at least 7, then Lemma 3 allows to reduce the decision problem to a smaller tree in polynomial time. If T is neighber a path not the tree  $T^*$ , then Theorem 6 allows to reduce the decision problem to a smaller tree in polynomial time.  $\Box$ 

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