## Energetics of interannual temperature variability

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Submitted to Climate Dynamics, 29 September 2017 Third revision, 11 June 2018

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## 1 Abstract

2 Energetics of interannual temperature variability in the years 1980-2016 is studied 3 using two reanalysis data sets. Monthly temperature anomalies are decomposed to 4 contributions from the net surface energy flux, atmospheric energy convergence 5 minus storage (CONV), and processes that affect the top-of-the-atmosphere 6 radiation balance. The analysis reveals a strong compensation between the net 7 surface heat flux and CONV over the ice-free oceans, with the former driving the 8 temperature variability over the tropical oceans and the latter at higher latitudes. 9 CONV also makes a dominant contribution to temperature anomalies in the winter 10 hemisphere extratopical continents. During the summer half-year and in the tropics, 11 however, variations in cloudiness dominate the temperature variability over land, 12 while the contribution of CONV is modest or even negative. The latter reflects the 13 diffusion-like behaviour of short-term atmospheric variability, which acts to spread 14 out the local, to a large extent cloud-induced temperature anomalies to larger areas. 15 The ERA-Interim and MERRA2 reanalyses largely agree on the general energy 16 budget features of interannual temperature variability, although substantial 17 quantitative differences occur in some of the individual terms. 18

19 KEYWORDS: temperature variability, energy budget, reanalysis, ERA-Interim,20 MERRA2

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### **1. Introduction**

24 Interannual variations in climate are of great practical importance. In particular, 25 extended periods of anomalously hot or cold weather have large impacts on nature 26 and society. Recent prominent examples include the heat waves in central Europe 27 in 2003 (García-Herrera et al. 2010) and in Russia in 2010 (Barriopedro et al. 2011), 28 and the cold winter in eastern North America in 2013-1014 (Yu and Zhang 2015). 29 Nonetheless, such extremes are just the tip of the iceberg within an omnipresent 30 continuum of temperature variability, the magnitude of which depends on both the 31 season and the location. The largest interannual temperature variability is observed 32 over ice-covered oceans and high-latitude continents in winter, whereas the 33 variability over the low-to-mid-latitude oceans is relatively muted outside of the 34 eastern Tropical Pacific (Holmes et al. 2016; see also Figs. 3a and 4a-b).

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36 A fraction of interannual temperature variability is driven by external forcing such 37 as major volcanic eruptions (Robock 2000; Paik and Min 2017). However, most of 38 it results from the chaotic internal dynamics of the climate system: the variations in 39 atmospheric and oceanic circulation, and the resulting perturbations in sea and land 40 surface conditions. The influence of the oceans is largest at low latitudes, where the 41 atmospheric circulation and temperatures are strongly controlled by the distribution 42 of sea surface temperature (SST) (Wells 2012; Holton and Hakim 2012). In 43 particular, the El Niño – La Niña variability in the eastern-to-central equatorial 44 Pacific SSTs generates atmospheric teleconnections that profoundly affect the 45 climate all around the tropics but to some extent also in extratropical latitudes (Diaz 46 et al. 2001, Yang and DelSole 2012). However, the relative impact of SST 47 variability decreases and that of internal atmospheric dynamics increases towards 48 higher latitudes (Zwiers and Kharin 1998). The interannual SST variability over the 49 extratropical oceans is strongly regulated by variations in the atmospheric 50 circulation, whereas the ocean's effect on the extratropical atmosphere is more 51 subtle (Bjerknes 1964, Deser and Blackmon 1993). Nevertheless, there is evidence 52 that the ocean plays a more active role in generating atmospheric variability on 53 decadal than interannual time scales (Kushnir 1994).

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55 Although ultimately driven by atmospheric and oceanic circulation, variations in 56 near-surface temperature are modulated by feedbacks that affect the atmospheric 57 and surface energy budget. For example, both reduced cloudiness (which increases 58 the absorption of solar radiation) and reduced soil moisture (which decreases the 59 evaporative cooling of the surface) have been identified as important ingredients in 60 European heat waves (Black et al. 2004, Fischer et al. 2007). Consistent with both mechanisms, the correlation between monthly temperature and precipitation is 61 62 widely negative over midlatitude continents in summer and in tropical land areas 63 (Trenberth and Shea 2005). As another example, Park et al. (2015) used the Climate 64 Feedback - Response Analysis Method (Lu and Cai 2009) to explain the 65 temperature differences between winters with a strong and a weak Siberian high. 66 They found that lower temperatures in central Siberia in winters with a strong 67 Siberian high result from a combination of factors, including cold advection, 68 increased surface cooling due to larger sensible heat flux, and weaker greenhouse 69 effect due to reduced water vapour and cloud water content. Hu et al. (2016) used 70 the same method to energetically explain the different distribution of surface 71 temperature anomalies in Eastern and Central Pacific El Niños. Although the heat 72 flux from the ocean was identified as the main cause of surface temperature 73 anomalies in both cases, the larger warming in the Eastern Pacific during the 74 Eastern Pacific El Niños was attributed to a stronger water vapour feedback in this 75 area.

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77 Despite the previous work, a systematic view on the energetics of interannual 78 temperature variability still appears to be lacking. Variations in several factors, 79 among others atmospheric energy transport, surface-atmosphere energy exchange, 80 surface albedo, clouds, and the atmospheric clear-sky greenhouse effect might all 81 be important under at least some circumstances. But how important are they in 82 general, in different parts of the world and in different seasons? This study aims to 83 give at least an initial answer to this question, focusing on the interannual variability 84 of monthly mean temperatures. The study is based on data sets from two modern 85 atmospheric reanalyses (Section 2) and an energy balance framework that was 86 earlier used for analysis of model-simulated CO<sub>2</sub>-induced temperatute changes by 87 Räisänen (2017; hereafter R17) (Section 3). The results are reported in Section 4, 88 and some aspects of their physical interpretation are discussed further in Section 5. 89 The main conclusions are presented in Section 6.

#### 91 **2. Data sets**

92 Data from the ERA-Interim (Dee et al. 2011) and MERRA2 (Gelaro et al. 2017) 93 reanalyses for the years 1980-2016 are used. The variables required by the energy 94 balance decomposition include surface air temperature, total cloudiness, surface 95 latent and sensible heat fluxes, and surface and top-of-the-atmosphere (TOA) 96 downward and upward short-wave (SW) and long-wave (LW) radiative fluxes for 97 both all-sky and clear-sky conditions (Table 1 in R17). These variables were 98 downloaded as monthly means in a  $2.5^{\circ} \times 2.5^{\circ}$  latitude-longitude grid.

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For ERA-Interim, surface pressure and six atmospheric variables (u and v wind, vertical velocity  $\omega$ , temperature, geopotential and specific humidity) at 37 pressure levels were additionally downloaded at  $0.75^{\circ} \times 0.75^{\circ}$  horizontal resolution and 6-h time interval. This large (2.8 TB) data set was used for explicit calculation of the atmospheric energy flux convergence term (Sections 3.2 and 4.5 and Appendix A) that was inferred as a residual in the other parts of the analysis.

106

107 The suitability of reanalysis data sets for energy budget analysis might be 108 questioned because reanalyses violate energy conservation (e.g., Trenberth and 109 Fasullo 2013) and show spurious large-scale trends associated with changes in the 110 observing system (Allan et al. 2014). However, because the focus in this study is 111 on interannual climate variability, the energy budget biases only matter to the extent 112 that they vary from year to year. We assessed this issue in two ways, by analyzing 113 the analysis increments in MERRA2 and by studying the mutual agreement and 114 differences between ERA-Interim and MERRA2. The analysis increments were 115 found to be large, but their impact on our main diagnostic results is moderated by 116 their relatively weak correlation with the actual temperature anomalies (Section 117 S1.1 in the Supplementary material). Furthermore, ERA-Interim and MERRA2 118 give a largely consistent view on the energetics of interannual temperature 119 variability, although there are in many cases substantial quantitative differences 120 between these two reanalyses (Section 4.1).

#### 122 **3. Methods**

This section first describes the main features of the R17 energy balance method and its application to the interannual variability of monthly mean temperatures. After this, the methods used in the explicit calculation of atmospheric energy flux convergence in the ERA-Interim reanalysis are summarized. They are described in more detail in Appendix A.

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#### 129 **3.1 Energy balance framework**

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131 The R17 method is built around the concept of effective planetary emissivity  $\varepsilon_{eff}$ , 132 which connects the surface air temperature *T* to the outgoing longwave (LW) 133 radiation *L* at the TOA

134 
$$L = \varepsilon_{eff} \sigma T^4 \tag{1}$$

and is (in broad terms, see Section 4.4) an inverse measure of the atmospheric greenhouse effect. Thus, warm anomalies in *T* require either a negative anomaly in  $\varepsilon_{eff}$ , a positive anomaly in *L*, or both. Combining (1) with the atmospheric energy budget equation gives

139 
$$\varepsilon_{eff}\sigma T^4 = S - G + (C - \frac{\partial E}{\partial t})$$
 (2)

where *S* is net SW radiation at the TOA, *G* net downward heat flux to the surface, *C* horizontal energy flux convergence in the atmosphere, and *E* the total energy in
the atmospheric column.

143 Referring to the climatological monthly mean of variable *X* as  $X_{\text{CLIM}}$ , the anomaly is 144  $\Delta X = X - X_{\text{CLIM}}$ . After also defining  $[X] = (X + X_{\text{CLIM}})/2$ , (2) leads to

145 
$$\sigma[\varepsilon_{eff}]\Delta(T^4) = \underbrace{-\sigma\Delta\varepsilon_{eff}[T^4]}_{I} + \underbrace{\Delta S}_{II} \underbrace{-\Delta G}_{III} + \underbrace{\Delta(C - \frac{\partial E}{\partial t})}_{IV}$$
(3)

146 Finally, linearizing the left side of (3) as

147 
$$\sigma[\varepsilon_{eff}]\Delta(T^4) \approx 4\sigma[\varepsilon_{eff}][T]^3\Delta T = D\Delta T$$
(4)

148 allows one to decompose the temperature anomaly  $\Delta T$  as

149 
$$\Delta T = \underbrace{LW}_{I} + \underbrace{SW}_{II} + \underbrace{SURF}_{III} + \underbrace{CONV}_{IV} + ERR$$
(5)

where the terms *I–IV* in (3) have been divided by  $D = 4\sigma [\varepsilon_{eff}][T]^3$ . These four terms represent the temperature anomalies due to LW and SW radiation, net surface energy flux, and atmospheric energy flux convergence minus storage. On the average,  $D \approx 3.3$  Wm<sup>-2</sup> K<sup>-1</sup>, so that a 1 Wm<sup>-2</sup> energy perturbation is typically equivalent to 0.3 K in temperature.

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The linearization in (3) is performed around  $(T + T_{\text{CLIM}})/2$  rather than  $T_{\text{CLIM}}$ . This makes the linearization residual *ERR* very small, with a mean absolute value of less than 10<sup>-3</sup> K. On the other hand, variations in *D* allow the means of *LW*, *SW*, *SURF* and *CONV* to differ from zero when averaged over the whole period. Nevertheless, their mean values are small relative to their interannual variability that is the focus of this paper.

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163 The terms *LW* and *SW* are further divided to two and five parts, respectively

$$164 LW = LW_{CLEAR} + LW_{CRE} (6)$$

165 
$$SW = SW_{IN} + SW_{CLEAR-ATM} + SW_{ALBEDO} + SW_{CLOUD} + SW_{NL}$$
(7)

166 In (6),  $LW_{CLEAR}$  is the temperature anomaly attributed to the clear-sky greenhouse 167 effect (anomaly of  $\varepsilon_{eff}$  under clear-sky conditions) and  $LW_{CRE}$  that due to the longwave cloud radiative effect. The division (7) is based on the approximate partial 168 radiative perturbation (APRP) method (Taylor et al. 2007). The five terms represent 169 170 the SW radiation anomalies associated with incoming SW radiation (SW<sub>IN</sub>), SW 171 radiative properties of the clear-sky atmosphere ( $SW_{CLEAR-ATM}$ ), surface albedo 172  $(SW_{ALBEDO})$ , clouds  $(SW_{CLOUD})$ , and nonlinear effects  $(SW_{NL})$ . Different notations 173 are used for the two cloud terms ( $LW_{CRE}$  and  $SW_{CLOUD}$ ) because of the difference in 174 their way of calculation. LW<sub>CRE</sub> is based directly on the anomaly in the cloud 175 radiative effect, which may be affected by variations in the clear-sky radiative 176 properties of the atmosphere in addition to those in clouds. By contrast, SW<sub>CLOUD</sub> 177 attempts to isolate the effect of cloud anomalies on the SW radiation budget by 178 explicit although highly simplified modelling of the radiative transfer. For further 179 details, see R17.

181 The focus in this paper is on interannual variability. To separate this from long-term 182 climate change, all the anomalies were linearly detrended before the energy budget 183 decomposition. Conversely,  $X_{CLIM}$  as given above Eq. (3) was defined by the least-184 square trend line fitted for each calendar month separately.

185

#### 186 **3.2** Direct calculation of the convergence term

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188 For most parts of the analysis, CONV in (5) was calculated from the difference of 189 the net surface and TOA energy fluxes. This is straightforward but offers no 190 information on the mechanisms that contribute to CONV. Therefore, we also 191 estimated CONV directly from ERA-Interim data. In practice, the calculation of 192 energy flux convergence was replaced by calculation of three-dimensional energy 193 advection in the interest of numerical accuracy (Appendix A). However, because 194 the convergence and advection forms are physically equivalent, the word 195 "convergence" will be used when discussing the results.

196

197 The resulting direct estimate for *CONV* is

$$CONV_{DIR} = CONV_{MON} + CONV_{SM} + STOR$$
(8)

Here  $CONV_{MON}$  denotes the temperature anomaly attributed to the energy flux convergence by the monthly mean flow, whereas  $CONV_{SM}$  results from submonthly covariation between winds and atmospheric energy content. *STOR* represents the change in the total atmospheric energy content, being positive when the energy content anomaly decreases from the beginning to the end of the month (term IV in (3)).

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#### 206 **4. Results**

To introduce the method, Fig. 1 depicts time series of January and July mean temperature anomalies in central Finland ( $62.5^{\circ}N$ ,  $25^{\circ}E$ ) and their decomposition to the main energy budget contributions, separately for the two reanalyses. Temperature variability at this location is much larger in January than July (standard deviation ~4°C vs. ~1.5°C), and the energy contributions to the variability are also partly different. In January,  $LW_{CLEAR}$ , *CONV* and to a slightly smaller extent 213 CLOUD are the main drivers of variability, with positive values in most of the mild 214 Januarys and negative values in most of the cold Januarys. LW<sub>CLEAR</sub> and CLOUD 215 also act to amplify temperature variability in July, but *CLOUD* is more important 216 than LW<sub>CLEAR</sub> particularly in ERA-Interim. By contrast, CONV mostly opposes the 217 actual temperature anomalies in July. The same applies to SURF in both January 218 and July, since the anomalous net surface energy flux is directed from the 219 atmosphere to the ground in most anomalously warm months and vice versa in 220 anomalously cold months.

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222 SW<sub>ALBEDO</sub> is excluded from Fig. 1 because it is negligible in both January (due to 223 lack of solar radiation) and July (when the surface is always snow-free). SW<sub>CLEAR</sub>-224 ATM is also generally small, but is substantially negative in MERRA2 after the Mt. 225 Pinatubo eruption in July 1992 and 1993 (Fig. 1d). This feature is lacking from 226 ERA-Interim, which uses prescribed climatological aerosol distributions that vary 227 seasonally but not from year to year, and thus excludes the Pinatubo eruption (Dee 228 et al. 2011, Allan et al. 2014). In MERRA2, by contrast, aerosols are simulated 229 explicitly based on emissions that vary from year to year, and observations of 230 aerosol optical depth are assimilated into the analysis (Randles et al. 2017).

231

The time series from the two reanalyses agree well on the interannual temperature variations. Apart from  $SW_{CLEAR-ATM}$ , the same qualitatively applies to the energy balance contributors to this variability. However, quantitative differences are apparent. For example, in some Julys *CLOUD* and *CONV* differ by several °C between ERA-Interim and MERRA2, but to opposite directions. Recall that *CONV* is derived from the difference of the surface and TOA net energy fluxes and any reanalysis-specific errors in these fluxes are therefore directly mirrored in it.

239

# 4.1 Magnitude of the terms and the agreement between the two reanalyses

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The global importance of the energy balance components is characterized in Fig. 2 with two statistical measures: (i) their interannual standard deviation, and (ii) their contribution to the interannual standard deviation of temperature. The latter is calculated as

$$247 \qquad SDC(i) = r(i)SD(i) \tag{9}$$

where SD(i) is the standard deviation of term *i* and r(i) is the correlation between term *i* and temperature. Using the definition of correlation, one can show that the SDC sum up to the interannual standard deviation of temperature:

251 
$$\sum_{i} SDC(i) = SD(\Delta T)$$
(10)

252 For Fig. 2, both the SDs and SDCs were first calculated for each month and grid 253 box and then averaged over the 12 months and the global area, so to characterize 254 the general behaviour of the terms.  $SW_{IN}$  and  $SW_{NL}$  are both very small, with SD < 255 0.1 K, and will therefore not be discussed further. Conversely, SURF and CONV 256 are very large, with SD  $\approx$  5 K. However, as discussed in Section 4.2, they turn out 257 to have a strong mutual cancellation particularly over the oceans. LW<sub>CRE</sub> and 258 SW<sub>CLOUD</sub> are also large, SW<sub>CLOUD</sub> being the larger. Unsurprisingly, however, there 259 is also some compensation between  $LW_{CRE}$  and  $SW_{CLOUD}$ . We will therefore mainly 260 study their sum, denoted as CLOUD, in the rest of this paper. Although smaller than 261 SD(SW<sub>CLOUD</sub>), SD(CLOUD) is also substantial (9th column of Figs. 2a,b). Of the 262 remaining terms, *LW<sub>CLEAR</sub>* is of similar magnitude with the actual monthly mean 263 temperature anomalies, whereas  $SW_{CLEAR-ATM}$  and  $SW_{ALBEDO}$  are relatively small on 264 the average.

265

The largest average contributors to the standard deviation of  $\Delta T$  are, in this order, *CONV*, *LW<sub>CLEAR</sub>* and *CLOUD* (red bars in Fig. 2). The average SDCs of *LW<sub>CRE</sub>* and *SW<sub>CLOUD</sub>* are both positive in MERRA2 but the former is slightly negative in ERA-Interim. On the other hand, the net surface heat flux (*SURF*) has a strong tendency to reduce interannual temperature variability. This is particularly the case over the extratropical oceans (Section 4.2).

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The globally averaged SDs and SDCs are generally similar between the two reanalyses. The largest differences occur in the SD and SDC of *CLOUD* and its two components, SDC(*SURF*) and SDC(*CONV*) (recall that *CONV* is a residual). In addition, SD(*SW*<sub>CLEAR-ATM</sub>) is twice as large in MERRA2 than in ERA-Interim. This is consistent with the already mentioned difference in the treatment of aerosols.

279 To further quantify the agreement between ERA-Interim and MERRA2, the 280 correlation coefficients between the two reanalyses were calculated for (i) the full 281 space-time interannual variability of  $\Delta T$  and its energy balance components during 282 the 37-year period, and the space-time variability in the (ii) SDs and (iii) SDCs over 283 the global area and the 12 calendar months (Table 1). All three correlations are 284 strongly positive for  $\Delta T$  (> 0.9) and most of the major energy balance components, 285 particularly LW<sub>CLEAR</sub>, SURF and CONV ( $\geq 0.85$ ). However, the correlations for 286 CLOUD are somewhat lower, and the difference in the treatment of aerosols 287 strongly deteriorates the agreement on SW<sub>CLEAR-ATM</sub>. Maps of the inter-reanalysis 288 differences in the SDCs are shown in Fig. S2. Typically, the differences on the grid 289 box scale are about 10% of the two-reanalysis mean for the temperature anomalies, 290 25% for LW<sub>CLEAR</sub>, of the order of 40% for SURF and CONV, and between 60% and 291 100% for SWCLEAR-ATM, SWALBEDO and CLOUD.

292

293 It seems obvious that MERRA2 provides more realistic estimates of SW<sub>CLEAR-ATM</sub> 294 than ERA-Interim. For the other terms, the relative performance of the two 295 reanalyses is more difficult to assess, although some insight might be gained from 296 comparison with satellite data (e.g., Loeb et al. 2018) and other observational data 297 sets. In the figures shown in the rest of this paper, we will simply average the 298 statistics derived from the two reanalyses to emphasize their common features. 299 Selected maps for ERA-Interim and MERRA2 separately are included in the 300 Supplementary material (Figs. S3-S4, S6-S7 and S12-S13).

#### 301 **4.2 Geographic variability**

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303 The first column in Fig. 3 shows the SDs of  $\Delta T$  and its main energy balance 304 components, averaged over the 12 months and the two reanalyses. The 305 corresponding SDCs are displayed in the third column, with the grey shading 306 indicating areas where their sign is not robust. The SDC is considered robust if it 307 has the same sign in the two reanalyses, and differs in at least one of them from 308 zero at the 5% significance level based on a two-sided sign test (Appendix B). The 309 SDs and SDCs are connected by the correlation between the individual energy 310 balance terms and temperature, shown in the middle column. Following (9), the 311 "average" correlation is defined here by dividing the average SDC by the average 312 SD.

314 Interannual temperature variability is generally larger at high than low latitudes and 315 over the continents than over the oceans (Fig. 3a). However, the Arctic Ocean and, 316 relative to its latitude, the tropical East Pacific also stand out with large variability. 317 The SD patterns for the individual energy balance components are variable (left 318 column of Fig. 3). For example, SD(SW<sub>ALBEDO</sub>) is small in most areas, but locally 319 large where interannual variations in sea ice and snow cover are substantial: the 320 margins of the Arctic Ocean, off the coast of Antarctica, and in the Northern 321 Hemisphere extratropical continents, notably the Tibetan Plateau (Fig. 3h). By 322 contrast, SD(CLOUD) is large (1-4 K) nearly everywhere, but smaller over the 323 Arctic Ocean, Greenland, Antarctica, and the deserts extending from Sahara to 324 central Asia (Fig. 3k). An inspection of *LW<sub>CRE</sub>* and *SW<sub>CLOUD</sub>* separately (Fig. S5) 325 suggests two main explanations for the relatively small magnitude of *SD*(*CLOUD*) 326 in these areas: lack of optically thick clouds (over deserts and ice sheets), and/or 327 limited sensitivity of the TOA radiation balance to clouds where modest insolation 328 (in polar regions in most of the year) and/or high surface albedo (over ice sheets 329 and sea ice) make it easier for  $LW_{CRE}$  to offset  $SW_{CLOUD}$ .

330

331 SD(SURF) and SD(CONV) are both very large over the oceans (Figs. 3n,q), 332 exceeding 8 K in many areas mainly in the extratropics. Their patterns are very 333 similar, which results from a strong mutual compensation. This compensation 334 reflects, on one hand, the ability of the ocean to absorb large amounts of heat with 335 only modest changes in the surface temperature, and on the other hand, the tendency 336 of the atmospheric circulation to horizontally spread the effects of local energy 337 input over a larger area (Section 5). SD(CONV) is also large over the continents, 338 generally in the range 1-4 K, with the largest values at mid-to-high latitudes. By 339 contrast, SD(SURF) is < 1 K in most land areas, due to the modest heat capacity of 340 the land surface. The main exception are the northern parts of Eurasia and North 341 America, where variations in the energy consumed by snowmelt amplify the 342 variability in the net surface heat flux in winter and spring.

343

How much a given energy term amplifies or attenuates temperature variability is affected by both its standard deviation and its correlation with temperature anomalies (Eq. (9)). A case in point is  $LW_{CLEAR}$ , which has a strong positive correlation (> 0.7) with  $\Delta T$  in most extratropical areas, but a weaker or locally negative correlation with  $\Delta T$  in much of the tropics (Fig. 3c). This makes SDC(*LW<sub>CLEAR</sub>*) less positive in most of the tropics than at higher latitudes (Fig. 3d), although SD(*LW<sub>CLEAR</sub>*) is also large in the tropics (Fig. 3b). An exception with large SDC(*LW<sub>CLEAR</sub>*) is the equatorial East Pacific, where *LW<sub>CLEAR</sub>* is both highly variable and highly correlated with temperature. The interpretation of *LW<sub>CLEAR</sub>* is discussed in more detail in Section 4.4.

354

 $SW_{CLEAR-ATM}$  is positively correlated with  $\Delta T$  in most regions, particularly at midto-high latitudes (Fig. 3f). This indicates a positive SW water vapor feedback due to a positive correlation between temperature and atmospheric water vapor, which leads to larger water vapor absorption of SW radiation in months with positive temperature anomalies. However, since  $SD(SW_{CLEAR-ATM})$  is relatively small, this term makes a fairly modest contribution to interannual temperature variability (Figs. 3e,g).

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363 Where SD(SW<sub>ALBEDO</sub>) is substantial, this term is positively correlated with  $\Delta T$ , 364 because warm anomalies typically coincide with negative anomalies in snow and 365 ice cover (Figs. 3h,i). However, there are also areas where this correlation is 366 negative. In particular, the negative correlation over Antarctica reflects a positive 367 correlation between temperature and snowfall: higher snowfall during anomalously 368 warm summer months counteracts the ageing of snow, thereby slightly increasing 369 the surface albedo (Picard et al. 2012). This feature is more pronounced in ERA-370 Interim than in MERRA2 (Figs. S3-S4).

371

372 *CLOUD* is also positively correlated with  $\Delta T$  in most areas, and therefore generally 373 acts to amplify temperature variability (Figs. 31,m). Exceptions with a slightly 374 negative correlation include, among others, eastern tropical Pacific and parts of the 375 Southern Ocean. The physical interpretation of *CLOUD* is discussed in some more 376 detail in Section 4.3.

377

378 *SURF* and *CONV* strongly oppose each other over the oceans. In the tropics, 379 particularly over the equatorial East Pacific, *SURF* is large in magnitude and 380 positively correlated with  $\Delta T$ , and is thus strongly driving anomalies in surface air 381 temperature (Figs. 30,p). However, in the same areas, CONV strongly damps the 382 temperature variability, effectively diffusing out the impact of the local surface heat 383 flux anomalies (Figs. 3r,s). Over most of the mid-to-high-latitude oceans, the roles 384 of SURF and CONV are reversed, with the atmospheric heat convergence strongly 385 driving but the net surface heat flux strongly attenuating the temperature variability. 386 This picture of mainly ocean-driven temperature variability over the tropical and 387 atmosphere-driven variability over the extratropical oceans is consistent with a 388 large number of earlier studies (e.g., Bjerknes 1964, Deser and Blackmon 1993, Wu 389 and Kirtman 2007).

390

391 Over nearly all land areas, the variation in the net surface heat flux acts to reduce 392 the interannual temperature variability (Figs. 30,p). This effect is modest but not 393 negligible: as averaged over the 12 months and all land, SDC(SURF) = -0.44 K, or 394 30% of the corresponding mean of  $SD(\Delta T) = 1.47$  K. Conversely, SDC(CONV) is 395 positive over most land areas (Fig. 3s). The correlation between CONV and  $\Delta T$  is 396 mostly not very strong (Fig. 3r), but exceeds 0.7 over large parts of the Greenland 397 and Antarctic ice sheets and 0.9 over East Antarctica. The high correlations in 398 Greenland and Antarctica seem to be linked to the relatively modest interannual 399 variability in the other energy balance terms over these ice sheets (left column of 400 Fig. 3). On the other hand, CONV attenuates interannual temperature variability in 401 northern South America and some other low-to-midlatitude land areas. The physical 402 interpretation of CONV is explored in more depth in Section 4.5.

403

#### 404 4.3 Seasonality

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We next discuss the seasonality of the six main energy terms included in Fig. 3,
focussing on their SDCs. Comparison between extended Northern Hemisphere
winter (November-to-March, NDJFM) and summer (May-to-September, MJJAS)
seasons reveals several differences (Fig. 4).

410

Temperature variability in extratropical latitudes is larger in the local winter
 than the summer season (Figs. 4a,b). The same applies to SDC(*LW<sub>CLEAR</sub>*)
 (Figs. 4c,d).

- 414 2. SDC(*SW<sub>CLEAR-ATM</sub>*) is largest over the summer hemisphere ice sheets, in
  415 Greenland in NDJFM and in Antarctica in MJJAS (Figs. 4e,f).
- 416 3. The seasonality of  $SW_{ALBEDO}$  reflects the seasonalities of snow and ice cover 417 and incoming solar radiation. Accordingly, in the Northern Hemisphere 418 continents,  $SW_{ALBEDO}$  is mainly important in midlatitudes in winter but in 419 the Arctic in summer (Figs. 4g,h). Near the sea ice edge,  $SW_{ALBEDO}$  is mainly 420 important during the local summer.
- 421 4. SDC(*CLOUD*) is typically more positive during the local summer than 422 winter (Figs. 4i,j), particularly in the midlatitudes. This is due to SW<sub>CLOUD</sub>, 423 which strongly amplifies the temperature variability in the midlatitudes in 424 summer, when solar radiation is abundant and reduced cloudiness therefore 425 tends to increase temperature (Fig. S8). In winter, the paucity of solar 426 radiation makes SW<sub>CLOUD</sub> much less important. However, LW<sub>CRE</sub> also plays 427 a role, attenuating temperature variability when and where temperature is 428 negatively correlated with (particularly high-top) cloudiness, but amplifying 429 the variability when the correlation is positive. The latter is typical at mid-430 to-high latitudes in winter, as well as in the tropical East Pacific (Fig. S8). 431 In the tropical East Pacific, SW<sub>CLOUD</sub> and LW<sub>CRE</sub> nearly cancel out (see also 432 Fig. S10d), but in high latitudes in winter, *LW<sub>CRE</sub>* dominates. Over the Arctic 433 Ocean, the high-latitude Southern Ocean, and the Greenland and Antarctic 434 ice sheets, the net effect represented by SDC(CLOUD) in Figs. 4i,j is 435 therefore more positive in winter than in summer.

436 5. Reflecting the more vigorous extratropical atmospheric circulation and the 437 stronger climatological temperature gradients in the winter hemisphere, 438 SDC(CONV) is more positive and SDC(SURF) more negative over the mid-439 latitude oceans in winter than in summer (Figs. 4k,n). The seasonality of 440 SDC(CONV) is even more striking over the northern halves of Eurasia and 441 North America, where the atmospheric heat flux convergence strongly 442 amplifies temperature variability in winter but slightly attenuates it in 443 summer. The interpretation of CONV is explored further in Section 4.5.

444

As an example that illustrates the seasonal variation in more detail, the monthly
contributions of the main energy balance terms to temperature variability in central
Finland (cf. Fig. 1) are shown in Fig. 5. At this location, there is a gradual shift from

448 large *CONV*- and  $LW_{CLEAR}$ -dominated variability in October-March to smaller 449 *CLOUD*-dominated and *CONV*-suppressed variability in May-August. *SW*<sub>ALBEDO</sub> 450 only plays a significant role during the snowmelt season in March-April.

451

452 To explore the seasonal variation in another way, Fig. 6 identifies for every grid 453 box and every second month of the year the term that provides the largest positive 454 contribution to the standard deviation of temperature in this month. The broad 455 picture over the oceans is seasonally uniform to the extent that SURF tends to make 456 the largest contribution to interannual variability in the tropics and CONV at higher 457 latitudes. However, the border between the CONV- and SURF-dominated zones is further poleward in summer than in winter, particularly in the Northern 458 459 Hemisphere. *LW<sub>CLEAR</sub>* and *CLOUD* are also important, overriding all the other terms 460 in some months in some ocean regions. CLOUD is more frequently the foremost 461 term in summer than in winter; in particular it dominates the variability over large 462 parts of the extratropical North Pacific and North Atlantic in summer. In addition 463 to the larger insolation, this reflects the weaker midlatitude baroclinicity in summer, 464 which reduces the importance of CONV relative to the winter season. To provide 465 some more detail, diagrams similar to Fig. 5 are shown for six ocean grid boxes (in 466 the Arctic Ocean, extratropical North Atlantic and North Pacific, eastern and 467 western tropical Pacific, and high-latitude Southern Ocean) in Fig. S10.

468

469 Over most of the winter hemisphere continents, either *CONV* or  $LW_{CLEAR}$  is the 470 largest contributor to temperature variability. In summer, however, *CLOUD* is 471 widely dominant in the extratropical continents. *CLOUD* is also commonly the 472 largest term in tropical land areas, although this varies with month and region. 473 Seasonal cycles of the individual energy terms in six land grid boxes (in Greenland, 474 Siberia, Central Europe, the Tibetan Plateau, Amazonia and East Antarctica) are 475 shown in Fig. S11.

476

The variations of snow and sea ice conditions make either  $SW_{ALBEDO}$  or SURF the largest contributor to temperature variability in some months and locations.  $SW_{ALBEDO}$  has this position in midwinter in parts of the United States and southcentral Asia. During the spring, such areas shift northward. In May, in particular,  $SW_{ALBEDO}$  is the largest term over much of northern Siberia and northernmost North 482 America, as well as the Tibetan Plateau (see also Figs. S11b,d). Due to variations 483 in the ice edge position,  $SW_{ALBEDO}$  is also locally dominant over the Arctic and 484 Antarctic Oceans in the local spring and summer (see also Figs. S10a,f). Variations 485 in ice conditions also dramatically affect the atmosphere-ocean heat exchange 486 during the cold season (Deser et al. 2010, Petrie et al. 2015). This locally makes 487 SURF the largest contributor to temperature variability near the sea ice edge in late 488 fall and winter, both over the Arctic Ocean and the high-latitude Southern Ocean 489 (see also Figs. S10a,f).

490

491 Averaging over all 12 months and the global area, *CONV* is the largest contributor 492 to variability in 47% of cases, followed by *CLOUD* (21%),  $LW_{CLEAR}$  (16%), *SURF* 493 (14%), and  $SW_{ALBEDO}$  (2%).  $SW_{CLEAR-ATM}$  only has this position in limited parts of 494 the Antarctic continent in the local summer (0.1%).

495

496 To complement the overview provided this far, we next focus on the physical 497 interpretation of two of the major energy terms:  $LW_{CLEAR}$  (Section 4.4) and *CONV* 498 (Section 4.5). In both cases, there are several factors involved and a more detailed 499 analysis is therefore useful.

500

#### 501 **4.4 Factors affecting LW**CLEAR

502

503 Using the method detailed in Appendix C, the term  $LW_{CLEAR}$  was further 504 decomposed as

505 
$$LW_{CLEAR} = LW_{CLEAR-S} + LW_{CLEAR-WW} + LW_{CLEAR-LR} + \varepsilon$$
(11)

506 Here LW<sub>CLEAR-S</sub> represents variations in an effective surface emissivity calculated 507 from the monthly means of surface air temperature and surface upward LW 508 radiation (Eq. (C2)). In practice, this term mainly reflects variations in the surface 509 minus surface air temperature difference. The next two terms represent the main 510 factors expected to affect the atmospheric clear-sky greenhouse effect (Webb et al. 511 1993), i.e. the atmospheric water vapor content (WW) and the lapse rate between 512 the surface and the midtroposphere (LR). These terms were estimated using linear 513 regression.  $\varepsilon$  is the residual from this regression.

The factors that contribute to  $SDC(LW_{CLEAR})$  based on (11) are analysed in Fig. 7. Variations in the effective surface emissivity (term  $LW_{CLEAR-S}$ ) are unimportant over most land areas (Fig. 7a). However, they are more important over the mid-tohigh latitude oceans, particularly the northern North Atlantic, where relatively large differences between the surface and surface air temperatures occur. Elsewhere,  $SDC(LW_{CLEAR})$  is dominated by variations in the atmospheric clear-sky greenhouse effect.

522

523 Both the water vapour and the lapse rate variations are found to amplify temperature 524 variability in most areas (Figs. 7b,c). The lapse rate contribution (Fig. 7c) is largest 525 in areas where temperature anomalies typically have a bottom-heavy structure, so 526 that anomalies of surface temperature are not accompanied by equally large 527 anomalies aloft. This is generally the case in high latitudes (especially in winter, 528 Figs. S16-S17), but also over dry land areas such as Australia. The lapse rate 529 contribution is also substantial in the easternmost tropical Pacific, where local SST 530 variations mainly affect air temperature in the boundary layer below a 531 climatological subsidence inversion (Andrews and Webb 2018). The water vapour 532 contribution is widely dominant at lower latitudes, being particularly large over the 533 central and eastern Pacific Ocean (Fig. 7b), but is still not positive everywhere. One 534 of the exceptions is the western tropical Pacific, where the highest surface air 535 temperatures coincide with remotely forced anomalous subsidence that warms the 536 surface by reducing cloud cover but also simultaneously reduces the atmospheric 537 water vapour (Trenberth and Shea 2005).

538

#### 539 **4.5 Interpretation of CONV**

540

For the maps and diagrams shown this far, *CONV* was calculated as a residual. Here
we report the results obtained from the direct calculation of the term using ERAInterim data (Section 3.2 and Appendix A).

544

545 It is first necessary to note that  $CONV_{DIR}$  (8) and the residual CONV are far from 546 identical.  $CONV_{DIR}$  exhibits larger interannual variability than CONV (Figs. 547 S18a,d), and the interannual standard deviation of their mutual difference exceeds 548 4 K in many parts of the world (Fig. S18g). Given the earlier experience of 549 numerical difficulties in the calculation of atmospheric energy flux convergence 550 (e.g., Chiodo and Haimberger 2010; Mayer and Haimberger 2012; Liu et al. 2017), 551 these differences are not unexpected. Nevertheless, the time series of CONV<sub>DIR</sub> and 552 *CONV* are positively correlated nearly everywhere, and over most of the oceans the 553 correlation exceeds 0.7 (Figure S19). CONV<sub>DIR</sub> and CONV also share broadly the 554 same statistical relationship with temperature anomalies, particularly over the 555 oceans (Figs. S18b,c,e,f). Still, the SDC of the residual estimate tends to be 556 somewhat more positive than that of the direct estimate over the midlatitude 557 continents, and less positive over the midlatitude oceans (Fig. S18i). This 558 systematic feature might reflect a mismatch between the interannual variations of 559 the atmospheric energy flux convergence and the TOA and surface energy fluxes 560 in ERA-Interim, rather than just numerical errors in CONV<sub>DIR</sub>.

561

562 Following (8), CONV<sub>DIR</sub> was divided into three terms that represent the energy flux 563 convergence by the monthly mean atmospheric flow and sub-monthly variations in 564 the flow, and changes in the atmospheric energy content during a month ("storage") 565 (Fig. 8). This division reveals a strong tendency of cancellation between the 566 monthly mean and sub-monthly energy flux convergence components at extratropical latitudes (Figs. 8d-i). In midlatitudes, the monthly mean energy flux 567 568 convergence component amplifies temperature variability (Figs. 8e-f), whereas the 569 sub-monthly component acts to reduce the variability (Figs. 8h-i) but is typically 570 slightly smaller in magnitude. Outside of midlatitudes, the situation is less clear-571 cut. For example, over parts of Antarctica, sub-monthly energy flux convegence 572 appears to amplify, but monthly mean convegence to attenuate temperature 573 anomalies. In the tropics, the monthly mean component generally dominates over 574 the sub-monthly component. Finally, Fig. 8j shows that within-month changes in 575 atmospheric energy storage are a non-negligible part of CONV in individual 576 months. However, these changes neither systematically amplify nor reduce the 577 temperature variability (Fig. 81). The atmospheric energy content tends to be 578 broadly in phase with surface air temperature, and hence its change from the 579 beginning to the end of the month is nearly uncorrelated with the monthly mean 580 temperature anomaly (Fig. 8k).

582 The tendency of sub-monthly energy flux convergence to reduce interannual 583 temperature variability in midlatitudes is consistent with earlier research. In 584 particular, Lau and Nath (1991) found a negative correlation between anomalies of 585 monthly mean temperature at the 850 hPa level and the temperature tendencies 586 induced by synoptic-scale eddy heat fluxes (their Fig. 13). This diffusion-like 587 behavior of eddies also applies to the time mean flow, with the eddy heat fluxes 588 acting to reduce both the meridional and zonal gradients of temperature (Lau and 589 Holopainen 1984). One may therefore assume that, at least in the midlatitudes, the 590 anomalies in the sub-monthly energy flux convergence are more a consequence 591 than a cause of the monthly mean energy content (or temperature) anomalies.

592

593 Monthly mean energy flux convergence tends to amplify and its sub-monthly 594 counterpart to attenuate the midlatitude temperature variability in both the NDJFM 595 and MJJAS seasons (Fig. S20). Interestingly, however, the sub-monthly energy flux 596 convergence makes a more negative SDC contribution in the northern parts of 597 Eurasia and North America in summer than in winter. The tendency of CONV to 598 amplify temperature variability in winter but to rather reduce it in summer in these 599 areas (Figs. 4m,n) thus reflects a delicate balance between the contributions of the 600 monthly mean and sub-monthly energy flux convergence.

#### 601 **5. Discussion**

602

The results of diagnostic techniques tend to become more difficult to interpret when the quantity of interest (here the temperature anomaly) is a small residual of large but compensating right-hand-side terms. The tendency of compensation between the monthly mean and sub-monthly energy flux convergences was already discussed in Section 4.5. Another equally important case is the compensation between *CONV* and *SURF* over the ice-free oceans.

609

610 As shown in R17,

611 
$$\Delta(S-L) = \Delta G - \Delta(C - \frac{\partial E}{\partial t}) = -D(SURF + CONV)$$
(12)

612 The compensation between *SURF* and *CONV* therefore indicates that, over the ice-613 free oceans, the anomalies in the net TOA radiation flux *S*–*L* are smaller than those 614 in the net surface energy flux *G*. In fact, the average interannual standard deviation 615 of G as calculated over the 12 months and all ocean grid boxes exceeds the standard 616 deviation of S-L by more than a factor of three (not shown). This difference is 617 qualitatively explicable by the fact that the TOA radiation balance is much less 618 sensitive to variations in air temperature than the net surface energy flux is to the 619 air-sea temperature difference. Everything else being the same, a 1 K anomaly in T only increases L, and hence reduces S-L, by  $D\Delta T \approx 3.3 \text{ Wm}^{-2} \text{ K}^{-1}$  (Eqs. (1) and (4)). 620 On the other hand, bulk parameterizations of turbulent energy fluxes (e.g., Kara et 621 622 al. 2000) indicate a change of up to several tens of  $Wm^{-2}$  in the net surface energy flux per each 1 K change in the air-sea temperature difference. Over the ice-free 623 624 oceans, where a substantial net surface flux can be sustained by the heat capacity 625 of the ocean mixed layer,  $\Delta G$  can thus easily exceed  $\Delta(S-L)$  even when the anomaly 626 in the air-sea temperature difference is relatively small.

627

One may argue that the multiplicator  $D^{-1} \approx 0.3$  K W<sup>-1</sup>m<sup>2</sup> used in (5) exaggerates the 628 629 actual sensitivity of surface air temperature to variations in local energy input. This 630 is particularly the case over the ice-free ocean, due to the ability of the net surface 631 flux to consume a large fraction of any anomalous energy input into the air column. 632 However, the diffusive behavior of the sub-monthly atmospheric energy flux 633 convergence (Section 4.5) implies that the same also applies in other areas. 634 Anomalies in the energy input into an air column, regardless of whether they 635 originate from the net surface energy flux, cloudiness or, for example, surface 636 albedo, are only partly balanced by local temperature-mediated changes in the TOA 637 radiation balance. A large fraction of the energy input anomaly rather tends to be 638 exported away by the atmospheric circulation.

639

640 To alleviate the systematic compensations, an energy budget framework should 641 ideally take into account the effects of surface air temperature anomalies on SURF 642 and CONV, rather than treating all of SURF and CONV as independent right-hand-643 side terms. However, this would require a substantial extension of the method. First, 644 the energy budgets of the upper ocean and ground should be explicitly included, in 645 addition to that of the atmosphere (Hedemann et al. 2017; Liu et al. 2017). Second, 646 the effect of temperature anomalies on atmospheric horizontal energy flux 647 convegence should be parameterized as a diffusion process. The second 648 requirement is particularly difficult to achieve in a single-column framework,

because the energy flux convergence is regulated by the gradients rather than theabsolute local values of temperature and atmospheric energy content.

651

652 A local and instantaneous energy budget framework cannot identify processes that 653 are non-local in space or time. For example, during an El Niño, atmospheric energy 654 flux divergence over central and eastern tropical Pacific acts to cool the air locally, 655 thereby balancing a large fraction of the anomalous net surface energy flux. 656 However, energy flux divergence in one area requires convergence elsewhere. Due 657 to the stationary Rossby waves excited by diabatic heating anomalies (Simmons 658 1982, Ji et al. 2016), this energy redistribution process is more complicated than 659 just horizontal diffusion. As another example, an anomaly in atmospheric 660 circulation in the preceding months might help to build a warm or cold anomaly in 661 the upper ocean temperature in some area, which would then feed back to the 662 atmosphere by inducing an anomalous net surface energy flux. Thus, although 663 energy budget analysis is useful for diagnosing the origin of temperature anomalies, 664 it alone will not reveal the full cause-effect chain of events.

665

666 **6. Conclusions** 

667

This study has investigated the energetics of interannual temperature variability in 668 669 the ERA-Interim and MERRA2 reanalyses. Using the method introduced in R17, 670 the anomalies in monthly mean surface air temperature were decomposed to six 671 main components, representing the variations in (i) the atmospheric clear-sky 672 greenhouse effect, (ii) clear-sky SW radiative properties of the atmosphere, (iii) 673 surface albedo, (iv) clouds, (v) the net surface energy flux, and (vi) atmospheric 674 energy flux convergence minus storage. Based on their covariation with the actual 675 temperature anomalies, the effects of these indivual components on temperature 676 variability were then statistically diagnosed. A rich variety in the energetics of 677 temperature variability in different areas and times of the year was found, 678 depending on the surface conditions, availability of solar radiation and the local 679 meteorological characteristics. Nevertheless, the main findings are the following:

680

681 1. Over the ice-free oceans, anomalies in surface air temperature are typically682 a small residual of opposite contributions from the net surface heat flux and

683atmospheric energy flux convergence. In the tropics, particularly in the684eastern Pacific, the net ocean-to-atmosphere heat flux provides the main685energy source for temperature variability, but most of this energy input is686transported away by the atmospheric circulation. This pattern is reversed at687higher latitudes, where variations in atmospheric energy flux convergence688are large but are mainly consumed by heating or cooling the water mass,689rather than changing the surface air temperature.

690 2. The net surface heat flux also tends to attenuate temperature variability on 691 land but is mostly a secondary term in the energy budget. Major energetic 692 drivers of temperature variability over land include, depending on season 693 and location, variations in the atmospheric energy flux convergence, clouds, 694 the clear-sky greenhouse effect, and surface albedo. Nonetheless, 695 atmospheric energy flux convergence reduces rather than amplifies 696 temperature variability over large parts of Eurasia and North America in 697 summer, partly compensating a strongly positive cloud contribution to 698 temperature variability. The same happens in some tropical land areas, 699 especially northern South America.

700 3. Care is needed in the interpretation of atmospheric energy flux convergence, 701 which is affected by variations in both the atmospheric circulation and the 702 atmospheric energy content and hence temperature. Thinking of anomalies 703 of energy flux convergence simply as a cause of temperature anomalies is 704 therefore not justified. In midlatitudes, in particular, our results reveal a 705 duality between time scales, with anomalies in the monthly mean flow 706 amplifying, but the sub-monthly variations attenuating temperature 707 variability via their effect on the energy flux convergence. The net of these 708 two very large components leaves a much smaller residual, particularly over 709 land. The counter-intuitive situation in which the net effect of the energy 710 flux convergence is to reduce temperature variability may arise when other components in the energy balance strongly act to amplify the variability. 711 712 This is the case, for example, with cloud anomalies in much of Eurasia and 713 North America in summer. Thus, although this has not been directly 714 addressed herein, many summer heatwaves with reduced cloudiness may 715 actually coincide with anomalous energy transport out of the air column.

716

The two reanalyses agree well on these general features, but some quantitative differences are evident. The ERA-Interim minus MERRA2 differences in the individual terms typically range from about 25% to 100% of the two-reanalysis mean on the grid box scale. Perhaps unsurprisingly, the effect of clouds is one of the most uncertain terms in the decomposition.

722

723 By analyzing the energetic contributions to the standard deviation of monthly mean 724 temperature, this study has emphasized the typical energy budget features 725 associated with temperature anomalies. Nevertheless, the correlation between the 726 individual energy budget components and temperature anomalies is far from perfect 727 (middle column of Fig. 3). Thus, a similar temperature anomaly may result from 728 different combinations of energetic contributions. Examples of this variation are also readily visible in the time series of Fig. 1. For instance, although the net surface 729 730 heat flux typically attenuates temperature variability, it amplified the cold 731 anomalies in Januarys 2003 and 2010 (Figs 2a,b). In both cases, the cold January 732 was preceded in Finland by a very cold second half of December, which served to 733 reduce the ground-to-air heat flux by cooling the ground. Apart from this case-to-734 case variability, it would be worthwhile to study to which extent the relationship 735 between energetics and temperature anomalies is (or is not) nonlinear. For example, 736 do summer months with extreme warm anomalies differ from those with moderate 737 anomalies in the relative importance of the energy balance components that 738 contribute to these anomalies?

739

To give a globally consistent overview, the analysis in this paper has covered the whole world. More remains to be learned from more in-depth studies of temperature variability on regional scales. Moreover, keeping in mind the issues discussed in the previous section, a diagnostic energy budget approach should ideally be complemented by carefully designed model experiments. Such experiments could help to elucidate, for example, the remote effects of SST variability on the atmospheric energy transport and hence temperature.

747

Energetics of interannual temperature variability is also important in the context of
climate modelling. The magnitude of interannual variability differs considerably
between different global and regional climate models (Räisänen 2002, de Elía et al.

2013). Linking this variation to its energetic contributors could potentially help the
improvement of climate models. The energetics point of view might also facilitate
a better understanding of model-simulated future changes in temperature
variability. Together with the evaluation of the present-day energetics of variability
in the models, this could help distinguishing between more and less likely
projections for the future.

## 758 Appendix A: Atmospheric energy flux convergence

759 The total energy in a hydrostatic air column is

760 
$$E = \int_0^{p_s} (c_p T + Lq + k) \frac{dp}{g} + p_s h_s$$
(A1)

where *T* is temperature, *q* specific humidity, *k* kinetic energy per unit mass,  $c_p$ specific heat of air at constant pressure, *L* the latent heat of vaporization, *g* the acceleration of gravity,  $p_s$  surface pressure and  $h_s$  the local surface height. We treat  $c_p = 1004 \text{ J kg}^{-1} \text{ K}^{-1}$ ,  $L = 2.5 \times 10^6 \text{ J kg}^{-1}$  and  $g = 9.81 \text{ m s}^{-1}$  as constants and neglect the effects of cloud water and ice. For a more precise formulation, see Mayer et al. (2017).

767

#### 768 Differentiating (A1) with respect to time gives

769 
$$\frac{\partial E}{\partial T} = \int_0^{p_s} \frac{\partial e}{\partial T} \frac{dp}{g} + \left(\frac{e(p_s)}{g} + h_s\right) \frac{\partial p_s}{\partial t}$$
(A2)

where  $e = c_p T + Lq + k$ . The latter term represents changes in atmospheric mass rather than in the energy content of air. It can be non-zero even with no net advection or diabatic source of energy within the air column, and is therefore neglected in our analysis (cf. Liang et al. 2017). An expression for  $\partial e/\partial t$  is obtained from the thermodynamic, momentum and specific humidity equations:

775 
$$\frac{\partial e}{\partial t} = -\vec{U} \cdot \nabla_3(e + \Phi) + LS_q + Q - d \tag{A3}$$

Here  $\vec{U}$  is three-dimensional wind,  $\nabla_3$  is three-dimensional gradient operator,  $\Phi$ is geopotential,  $S_q$  is net water vapour source per unit mass, Q is diabatic heating and d is dissipation of kinetic energy (d also contributes to Q and its net effect is therefore zero). Vertical integration of (A3) gives

780 
$$\int_{0}^{p_{s}} \frac{\partial e}{\partial T} \frac{dp}{g} = -\int_{0}^{p_{s}} \vec{U} \cdot \nabla_{3}(e+\Phi) \frac{dp}{g} + R_{a} + H + LE$$
(A4)

where the mass-integrated water vapour source is assumed to equal the difference between surface evaporation (*E*) and precipitation.  $R_a$  is the atmospheric radiation balance and *H* the sensible heat flux from the surface. Note that  $R_a + H + LE =$ S - L - G (Eqs. (1)-(2)).

785

The first right-hand-side term in (A4) represents the atmospheric energy flux convergence *C*, written in advection form. This term is usually converted to flux convergence form using the identity  $\vec{U} \cdot \nabla_3(e + \Phi) = \nabla_3 \cdot (\vec{U}(e + \Phi))$ , where we

have used the continuity equation  $\nabla_3 \cdot \vec{U} = \nabla_p \cdot \vec{V} + \frac{\partial \omega}{\partial p} = 0$ . An advantage of this 789 790 is that vertical flux convergence integrates to zero if vertical velocity at the surface 791 can be neglected. Furthermore, globally averaged horizontal convergence is zero, 792 as required by energy conservation. On the other hand, the calculation of the energy 793 flux convergence is numerically delicate. The main issue are errors in mass flux 794 convergence, the effects of which can be reduced but not fully eliminated by 795 adjusting the net mass flux to the air column (e.g., Hantel and Haase 1983; Chiodo 796 and Haimberger 2010; Mayer and Haimberger 2012; Liu et al. 2017). After testing 797 both the flux convergence and the advection form, we chose the latter since this 798 yielded a better match between CONV and CONV<sub>DIR</sub> in our implementation.

799

To study how atmospheric phenomena on different time scales contribute to the
energy flux convergence, the monthly means of the advection term in (A4) were
further divided to two parts by writing

803 
$$-\overline{\vec{U}}\cdot\nabla_{3}(e+\Phi) = -\underbrace{\overline{\vec{U}}\cdot\nabla_{3}(\bar{e}+\bar{\Phi})}_{MON} - \underbrace{\overline{\vec{U'}}\cdot\nabla_{3}(e'+\Phi')}_{SM}$$
(A5)

where the overbar denotes the monthly mean and the prime a deviation from it. When integrated vertically and divided by *D*, these two components give  $CONV_{MON}$ and  $CONV_{SM}$  in (8). Similarly, dividing the left-hand-side term in (A4) by *D* gives *STOR* in (8).

808

The energy flux convergence and the change in atmospheric energy content were evaluated using ERA-Interim data at 6-h time resolution, 0.75° horizontal resolution and 37 pressure levels. The results were then aggregated to the 2.5° grid used in the other parts of the analysis.

- 813
- 814

# 815 Appendix B: Significance testing

The sign test is based on the count of positive and negative values of a variable. If both signs are equiprobable and autocorrelation is neglected, there is a 95.3% probability that the number of positive (or negative) values in a 37-year time series is within 13-24. Therefore, in a two-sided test, the same sign is required in at least 25 of the 37 years for statistical significance at 5% level.

821

When applying the sign test to SDCs, the obvious choice is to count the number of years in which the temperature anomaly associated with a given energy term agrees in sign with the actual temperature anomaly. However, averaging over calendar months requires normalization. From (9), the mean of SDC(i) over several calendar months is

827 
$$[SDC(i)] = [r(i)SD(i)] = \left[\frac{cov(\Delta T(i), \Delta T)}{SD(\Delta T)}\right]$$
(B1)

where [] denotes averaging over months and  $SD(\Delta T)$  and SD(i) are the standard deviations of temperature and its *i*:th energy balance component. Expanding the definition of covariance,

831 
$$[SDC(i)] = \sum_{j=1}^{N} \left[ \frac{\Delta T(i)_j \Delta T_j}{SD(\Delta T)} \right] \equiv \sum_{j=1}^{N} f(i)_j$$
(B2)

where N = 37 is the number of years. Thus, in the sign test, the positive and negative values of  $f(i)_j$  are counted.

834

## 836 Appendix C: Decomposition of LW<sub>CLEAR</sub>

837 The term  $LW_{CLEAR}$  represents variations in the clear-sky effective planetary 838 emissivity defined as

839 
$$\varepsilon_{eff-CLEAR} = \frac{L_{CLEAR}}{\sigma T^4}$$
 (C1)

840 where  $L_{CLEAR}$  is the monthly mean clear-sky outgoing LW radiation and *T* is the 841 monthly mean surface air temperature.  $\varepsilon_{eff-CLEAR}$  can be further factored as

842 
$$\varepsilon_{eff-CLEAR} = \frac{L_{CLEAR}}{L_{S\uparrow}} \cdot \frac{L_{S\uparrow}}{\sigma T^4} \equiv \varepsilon_A \varepsilon_S$$
 (C2)

843 where  $L_{S\uparrow}$  is the upward LW flux at the surface.  $\varepsilon_A$  is an inverse measure of the 844 clear-sky atmospheric greenhouse effect, whereas  $\varepsilon_S$  is an effective surface 845 emissivity, which is affected by the actual surface emissivity, differences between 846 the surface and surface air temperatures, and sub-monthly variations of 847 temperature. The corresponding temperature anomalies are

848 
$$LW_{CLEAR} = LW_{CLEAR-S} + LW_{CLEAR-A}$$
(C3)

849 where

850 
$$LW_{CLEAR-S} = -D^{-1}\Delta\varepsilon_{S}[\varepsilon_{A}]\sigma[T^{4}]$$
(C4)

is the contribution from variations in effective surface emissivity and

852 
$$LW_{CLEAR-A} = -D^{-1}\Delta\varepsilon_A[\varepsilon_S]\sigma[T^4]$$
(C5)

represents the variations in the atmospheric clear-sky greenhouse effect.

854

$$LW_{CLEAR-A}$$
 was further decomposed using the linear regression model

856 
$$LW_{CLEAR-A} = \underbrace{a\Delta\sqrt{WWP}}_{LW_{CLEAR-WW}} + \underbrace{b\Delta(T_s - T_{300-700})}_{LW_{CLEAR-LR}} + \varepsilon$$
(C6)

857 where WWP is the vertically integrated water vapour path,  $T_s$  is surface temperature 858 and  $T_{300-700}$  is the mean temperature at 300-700 hPa, broadly representing the layers 859 from which most of the longwave radiation escapes to space under typical 860 atmospheric conditions. The coefficients a and b were estimated from 37-year time 861 series of monthly mean data for each of ERA-Interim and MERRA2, using the same values of a and b for all 12 months to avoid overfitting.  $\sqrt{WWP}$  was preferred over 862 WWP since it explained a larger fraction of the variance in  $LW_{CLEAR-A}$  when used 863 as the only predictor. This two-predictor model explains 83% (84%) of the globally 864 averaged variance in  $LW_{CLEAR-A}$ 865 in ERA-Interim (MERRA2), with 866  $\sqrt{WWP}$  alone explaining 61% (65%); see Fig. S14 for the geographical distribution 867 of the explained variance. As expected, the coefficients *a* and *b* in (C6) are positive 868 virtually everywhere (Fig. S15). 869

# 870 Acknowledgments

The author thanks the three reviewers for their constructive comments. This work
was supported by the Academy of Finland Centre of Excellence in Atmospheric
Science – From Molecular and Biological processes to the Global Climate (project
307331).

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# **Tables**

- 998 Table 1. Correlation of  $\Delta T$  and its main energy balance components between the ERA-
- 999 Interim and MERRA2 reanalyses

	IAV	SD	SDC
$\Delta T$	0.92	0.98	0.98
<i>LW<sub>CLEAR</sub></i>	0.91	0.90	0.86
SW <sub>CLEAR-ATM</sub>	0.24	0.29	0.43
<i>SW<sub>ALBEDO</sub></i>	0.72	0.86	0.72
CLOUD	0.57	0.70	0.56
SURF	0.85	0.96	0.90
CONV	0.88	0.97	0.92

 $IAV = spatiotemporal correlation of interannual variability (37 years <math>\times$  12 months  $\times$ 

1002 global area); SD and SDC: the correlation of the SDs and SDCs (12 months × global area)



Fig. 1. Linearly detrended temperature anomalies in central Finland (62.5°N, 25°E) in
January and July 1980-2016 (solid lines) and the contributions of individual energy

1009 balance terms to them (bars, legend at the bottom). For reference, the mean and the 36-

1010 year linear trend of temperature are given in the top-right corner of the figure panels a) ERA-Interim b) MERRA2



1012 *Fig. 2.* Typical magnitudes of the terms in Eqs. (5)-(7) in the ERA-Interim and MERRA2 1013 reanalyses. The first column shows the interannual standard deviation (SD) of monthly 1014 temperature anomalies ( $\Delta T$ ) averaged over the 12 months and the global area. The 1015 remaining columns show the corresponding SDs of the energy balance terms (blue) and

1016 their contribution to the standard deviation of  $\Delta T$  (SDC, red). The numeric values at the





1019 Fig. 3. Left: interannual standard deviation of monthly mean temperature anomalies ( $\Delta T$ ) 1020 and their main energy balance components. Middle: correlation between the individual 1021 energy balance components and  $\Delta T$ . Right: Contributions of the individidual energy 1022 balance components to the standard deviation of  $\Delta T$ . All statistics are averaged between 1023 ERA-Interim and MERRA2. In the third column, grey colour indicates areas where the sign 1024 of the standard deviation contribution is not robust (see Section 4.2 for definition) 1025



- 1027 Fig. 4. Interannual standard deviation of monthly mean temperature anomalies in (a)
- 1028 November-March and (b) May-September, and (c-n) the contributions of the main energy
- 1029 balance components to it. All statistics are averaged between ERA-Interim and MERRA2.
- 1030 Grey colour indicates areas where the sign of the standard definition contribution is not
- 1031 robust (see Section 4.2 for definition)



Fig. 5. Interannual standard deviation of temperature in central Finland (the same grid
box as in Fig. 1) (solid line) and the contributions of the six main energy balance terms to
it (bars, legend at bottom; contributions that are not robust in the sense defined in Section
4.2 are indicated with a grey core)



1038 Fig. 6. Largest contributors to interannual temperature variability in 6 calendar months,

1039 based on the mean of the ERA-Interim and MERRA2 results a) Surface (0.10 K) b) Water vapour (0.28 K)



Fig. 7. Division of SDC(LW<sub>CLEAR</sub>) (e) to contributions from the four terms in Eq. (11) (ad). All values are averaged over the 12 months and between ERA-Interim and MERRA2.
The global area means are given in the headings. Note that the colour scale differs from



Fig. 8. Term CONV as calculated directly from energy flux converegence and storage using ERA-Interim data (a-c), and its decomposition to the contributions of (d-f) the monthly mean flow, (g-i) sub-monthly flow variations and (j-l) atmospheric energy storage. The three columns are the same as in Fig. 3. Grey colour indicates areas where the sign of the standard definition contribution is not significant at 5% level based on a sign test