

1 **Exhaust emissions of non-road mobile machine: real-world and**
2 **laboratory studies with diesel and HVO fuels**

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18 **Abstract**

19 Exhaust emissions emitted by a non-road mobile machine were studied chasing a tractor in real-world conditions
20 and repeating the same transient tests with a similar engine on an engine dynamometer where additionally, non-
21 road steady state tests were carried out. The engines were equipped with an oxidation catalyst (DOC) and a
22 selective catalytic reduction (SCR) system, and they were fuelled by fossil diesel fuel with ultra-low sulphur
23 content and hydrotreated vegetable oil (HVO). By substituting diesel fuel with HVO the on-road emissions of
24 nitrogen oxides (NO_x) reduced 20% and particle number 44%, the emission factors being $\text{EF}_{\text{NO}_x}=1.62\pm 0.04$ g/kWh
25 and $\text{EF}_N=(28.2\pm 7.8)\times 10^{13}$ #/kWh. Similar trend was observed for NO_x at laboratory although the emissions were
26 somewhat smaller than on-road. In contrast to real-world, in the laboratory experiment the EF_N was only 2%
27 smaller with HVO than with diesel, and these emission factors were almost one order of magnitude smaller than
28 observed on-road. The number size distribution and volatility measurements showed that in real-world experiments
29 small nucleation mode particles were formed during uphill and during downhill in engine braking conditions.
30 These were not observed at laboratory. However, nucleation mode particles were observed in the laboratory
31 experiments at high load steady driving conditions. At steady state tests the emissions strongly depended on engine
32 load and engine speed with both fuels.

33

34 **Keywords:** real-world emissions, diesel engine, tractor, HVO, exhaust emissions, particle size distribution, NO_x

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36 **Highlights (3-5 bullet points)**

- 37 • exhaust emissions from a tractor in real-world and laboratory conditions were compared
- 38 • emission factors for NO_x , PM, particles and non-volatile particles were determined
- 39 • HVO produced lower emissions compared to diesel
- 40 • emission factors were higher on-road compared to laboratory conditions
- 41 • highest particle emissions were observed on-road during accelerations and engine braking

42 **Nomenclature**

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44	ATS	after-treatment system	HC	hydrocarbon
45	CO	carbon monoxide	HVO	hydrotreated vegetable oil
46	CO ₂	carbon dioxide	NEXBTL	Neste renewable diesel fuel
47	CPC	condensation particle counter	NO	nitrogen monoxide
48	DOC	diesel oxidation catalyst	NO _x	nitrogen oxides
49	DPF	diesel particle filter	NRSC	non-road steady cycle
50	EC	elemental carbon	PAH	polycyclic aromatic hydrocarbon
51	ECU	engine control unit	PM	particulate matter
52	EEPS	engine exhaust particle sizer	PN	particle number
53	EGR	exhaust gas recirculation	SCR	selective catalytic reduction
54	ELPI	electrical low pressure impactor	SO ₂	sulphur dioxide
55	EN590	standard diesel fuel	SO ₃	sulphur trioxide
56	FAME	fatty acid methyl ester	TD	thermodenuder

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59 **1. Introduction**

60 Non-road mobile machines powered by diesel engines, such as farm tractors, combines, excavators, cranes,
61 and felling machines, are widely used in many different agricultural, construction and forest work. For example in
62 Finland, considering all mobile on-road and non-road diesel engines in operation in 2014, the number of non-road
63 machines constituted around 30% of all of them, yet their emissions accounted for 49%, 23%, 59%, 31% and 42%
64 of yearly carbon monoxide (CO), carbon dioxide (CO₂), hydrocarbon (HC), nitrogen oxides (NO_x), and particulate
65 matter (PM) emissions, respectively [1]. Due to adverse health effects [2-6] and climate warming potential e.g.
66 [7], the emissions of diesel vehicles are regulated. Since 1999, when the first emission standards (Stage 1) in the
67 EU area for non-road mobile machinery were introduced, the particulate mass emissions of new engines have been
68 reduced approximately 96% until 2014 when Stage IV was implemented [8]. The proposed Stage V emissions
69 limits in 2019 will be more tightened, and also the particle number emission limit will be included in the standards.
70 Furthermore, more attention should be paid to increasing combustion noise radiation from diesel engines as noise
71 exposure is a potential risk for human health as well [54].

72 Particle number size distribution of diesel exhaust typically consists of two modes. Soot mode particles in the
73 size range of 40 - 200 nm are formed in combustion processes [9]. They are composed of non-volatile carbonaceous

74 soot agglomerates, onto which semi-volatile vapours can condense [9-10]. Nucleation mode particles (< 30 nm),
75 formed in the atmosphere during rapid cooling and dilution of emissions, consist of volatile material such as water,
76 sulphate and hydrocarbons [9]. With some vehicle technologies and in some driving conditions, also small particles
77 possessing non-volatile cores around 10 nm or less in size have been observed [11-14]. These particles are
78 suggested to be formed by fuel aliphatic hydrocarbons [14] or lubricant oil metal compounds [15-16] coated by
79 condensing volatile hydrocarbon and sulphur compounds [12,16].

80 Diesel exhaust emissions, including the amount and the existence of different types of particles, significantly
81 depend on engine parameters, exhaust after-treatment technology [17-19], fuel and lubricant oil properties [20-
82 22,15] as well as driving and environmental conditions [15,23]. For example, Euro VI level on-road diesel vehicles
83 are typically equipped with diesel particle filters (DPF) which remove almost all soot particles. The oxidative after-
84 treatment systems (ATS), such as diesel oxidation catalysts (DOCs), reduce exhaust hydrocarbon and carbon
85 monoxide concentrations but simultaneously increase SO₂ to SO₃ conversion enhancing gaseous sulphuric acid
86 formation [24-25,20]. The sulphuric acid has been shown to participate in condensation and nucleation processes
87 during the dilution and cooling of the exhaust [24-28,10]. Selective catalytic reduction (SCR) or exhaust gas
88 recirculation (EGR) systems have been used to reduce NO_x emissions [29].

89 Recently alternative fuels, such as biodiesel, ethanol, vegetable oils, and their blends with diesel fuel, have
90 been developed and used in diesel engines. Only limited number of studies in the literature concern non-road diesel
91 engines. Biodiesel is produced from vegetable oil (rape seed oil in Europe) by esterification. The products are
92 called fatty acid methyl esters (FAME). The laboratory measurements have shown that CO, HC, PM and particle
93 number (PN) emissions reduced while NO_x emissions increased when ~100 kW tractors were operating with
94 biodiesel compared to diesel fuel [30-31]. Polycyclic aromatic hydrocarbon (PAH) emissions decreased as well
95 [32]. Similar results were obtained for small non-road engine generators (4-7 kW), for example by [33], however,
96 they report that at 80% engine load NO_x emissions for biodiesel and biodiesel blends were lower in relation to
97 conventional diesel. Tang et al. [34] discovered that PM_{2.5}, PN and EC emissions increased with increasing engine
98 load. Chung et al. [35] studied differences in the morphology of biodiesel and diesel particles, and discovered that
99 for biodiesel the emitted particles were compact aggregates with irregular shapes, and primary particles were not
100 clearly distinguishable. Additionally, Zhang et al. [36] used butanol-diesel blends, and discovered that the blends
101 decreased PN, PM and EC emissions while PAH emissions and OC/EC ratio increased. At low engine load, 15%
102 and 20% butanol blends increased the number concentration of particles smaller than 15 nm. Heikkilä et al. [37]
103 report that by using FAME the emissions of soot particle number reduced but the emissions of sub-10 nm particles
104 significantly increased. Recently, Rakopoulos et al. [55] compared the properties and emissions of conventional

105 diesel fuel and its blends with vegetable cottonseed oil, biodiesel, ethanol and butanol. The results showed that the
106 unburned HC emissions were higher, whereas the soot emissions were markedly lower by all the diesel fuel blends
107 compared to neat diesel fuel. This trend increased as the fuel-bound oxygen content increased. The NO_x emissions
108 somewhat decreased for ethanol and butanol diesel fuel blends compared to diesel fuel, but trade-off between the
109 soot and NO_x emissions, typical for diesel fuel, was also observed for vegetable and biodiesel blends [55-56].

110 Hydrotreated vegetable oil (HVO) is a renewable diesel fuel whose feedstocks are vegetable oils (rapeseed,
111 sunflower, soyben, palm, jatropha and algae oils) and waste animal fats. In the production process, hydrogen is
112 used to remove the oxygen from the vegetable oil after which catalytic isomerization into branched alkanes is done
113 to get paraffinic hydrocarbons. HVO offers some advantages compared to FAME, including higher cetane number,
114 better storage stability, less cold operability and deposit problems [38]. By using HVO in Euro IV heavy duty
115 vehicles with different ATS, the emissions of regulated components NO_x, PM, CO and HC reduced by 7-14%, 28-
116 46%, 5-78% and 0-48%, respectively, compared to fossil diesel [38-39]. As well, compared to fossil fuel, HVO
117 has been observed to reduce soot particle number emissions [38,40], sulphuric acid emissions and subsequent
118 nucleation mode particle emissions [25].

119 In this work gaseous emissions as well as particle number emissions and particle size distribution were
120 measured from a tractor's exhaust plume. The test vehicle was fuelled by fossil diesel and HVO during transient
121 driving. The measurements were made by a chasing method so that the mobile laboratory van "Sniffer" was
122 installed on a trailer pulled by the tractor. Such special mobile research van technique has not previously been
123 applied for studying non-road vehicle emissions. Transient tests were repeated at engine dynamometer with similar
124 engine than used in the tractor and, additionally, the non-road steady cycle (NRSC) was measured. The study was
125 designed to evaluate: 1) differences in NO_x and particle emissions when the tractor was fuelled with regular EN590
126 diesel fuel and hydrotreated vegetable oil NEXBTL, 2) how well the emissions measured at laboratory compare
127 with real-world emissions, and 3) emission factors over transient and steady state driving conditions in real-world
128 and at laboratory.

129

130 **2. Experimental section**

131 *2.1. On-road measurements*

132 A non-road vehicle used in these tests was an agriculture tractor with maximum speed of 40 km/h. The tractor
133 had a four cylinder 99 kW turbocharged, intercooled off-road diesel engine (Agco Power 44 AWI, displacement
134 4.4 L), meeting the European Stage 3B emission standard. It was equipped with a 4-valve cylinder head and a

135 common rail injection system which can produce up to 1600 bar injection pressure. The main specification of the
 136 engine is given in Table 1.

137 Table 1. Test engine specification.

Manufacturer	AGCO Power
Stroke	120 mm
Bore	108 mm
Cylinder number	4
Swept volume	4.4 dm ³
Compression ratio	17.4:1
Number of valves	2+2
Rated power	100 kW at 2100 rpm
Max torque	560 Nm at 1500 rpm
Injection system	Common rail
Turbocharger	1-stage waste-gate

138
 139 To reduce NO_x emission the vehicle was equipped with an oxidation catalyst and a selective catalytic reduction
 140 system (DOC+SCR). A commercial standard diesel fuel (EN590) with ultra-low sulphur content (<10 mg S/kg
 141 fuel) and a hydrotreated vegetable oil (NEXBTL, Neste Oil) were used. The fuel properties are given in
 142 Supplementary Table S1. Emissions of the tractor were measured on 12-13 August 2013 on a common low traffic
 143 asphalt road, when the tractor drove around 10 km northeast (direction 1), turned around and drove back to the
 144 starting point (direction 2), at Suolahti, Finland. The route included both uphill and downhill driving (Fig. 1a), and
 145 the route was repeated three times. Before the test route the tractor was driven for 20-30 minutes to warm the
 146 engine and consequently activate the SCR catalyst. The engine load changed substantially but the vehicle speed
 147 and engine speed were kept constant, approximately 40 km/h and 1900 rpm, respectively (Fig. 1a). These
 148 parameters were saved with one second time resolution by the engine control unit (ECU). A mobile laboratory van
 149 “Sniffer” [41,22,19] was installed on a trailer pulled by the tractor (Supplementary Fig. S1). The sampling height
 150 was 2.4 m, at the same height as the exhaust pipe of the tractor. During the chasing experiments the ambient
 151 temperature was rather constant 16.0±1.8 °C and relative humidity 75.3±10.2%.

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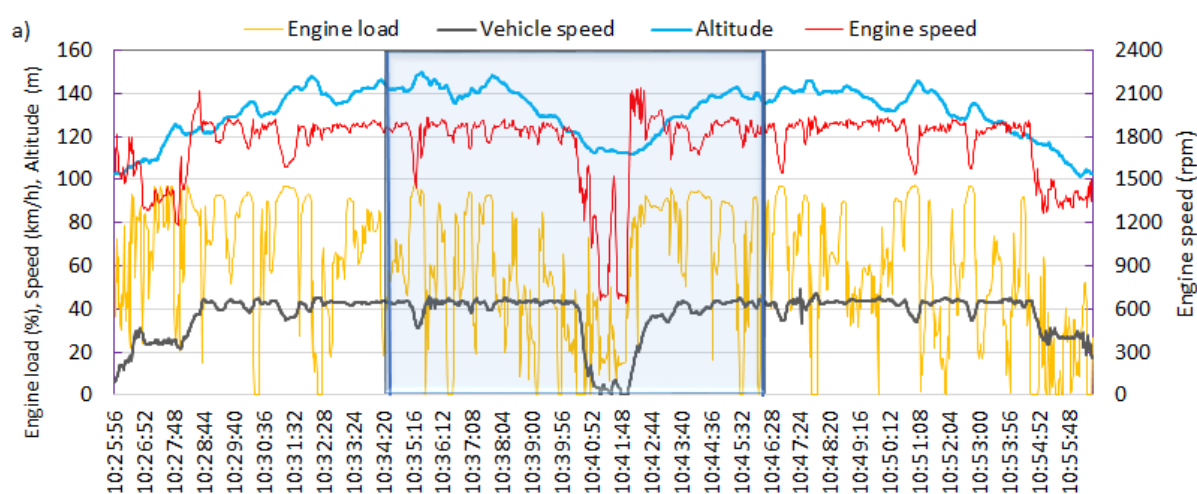
153 2.2. Laboratory measurements

154 A part of the transient test cycle measured at Suolahti (Fig. 1a) was repeated on the engine dynamometer
 155 (Schenk WT 400 eddy-current dynamometer) utilizing the ECU data saved during the on-road study. The test
 156 engine (Agco Power 44 AWI, 99 kW) was of the same model but not the same individual as used with the tractor.
 157 The after-treatment system (DOC+SCR) with urea injection was also similar but not the same individual as used
 158 on-road. Both engines and ATS systems were provided by the manufacturer. Besides the transient tests, the
 159 measurements were performed over the non-road steady cycle (NRSC) which belongs to the ISO 8178-C1

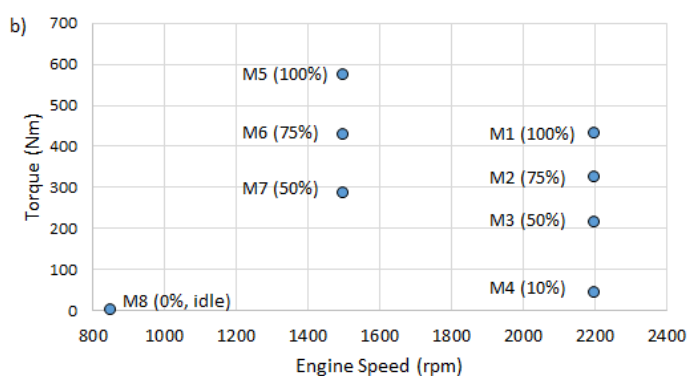
160 standard. The NRSC includes 8 modes (M1-M8), four of them are performed at 2200 rpm (nominal speed), three
 161 of them at 1500 PRM (intermediate speed), and one at idle (Fig. 1b).

162 After warming the engine (~30 minutes), the transient cycle was repeated seven times. After the transient cycles
 163 each mode of the standardized NRSC was stabilized for approximately 5-10 minutes and then measured for 5
 164 minutes. This set-up was repeated for both fuels from the same batches as the ones used in on-road tests. Due to
 165 the limited amount of the fuels, the NRSC tests could be performed only once. The engine parameters and gaseous
 166 emissions (Supplementary Fig. S1b) were saved real-time (~ 0.1 Hz) during the transient tests and with one minute
 167 time resolution during the steady tests.

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171 Figure 1.a) Transient cycle, 10 km to NE, turnaround, and 10 km back to SW. The shadowed part of the cycle was
 172 repeated on the engine dynamometer. b) NRSC test points M1-M4 at 2200 rpm, M5-M7 at 1500 rpm, and idle at
 173 850 rpm.

174

175 2.3. Exhaust sampling and instrumentation

176 The instrumentation in Sniffer is described in Supplementary Fig. S1a. Real-time (1 Hz) particle number size
177 distribution was measured with an EEPS (engine exhaust particle sizer, model 3090, TSI Inc.), and with two ELPIs
178 (electrical low pressure impactor, Dekati Ltd.). In the EEPS [42-43] particles are classified according to their
179 mobility diameter, whereas the ELPIs [44] classify particles according to their aerodynamic diameter. The EEPS
180 measurement covers the size range from 5.6–560 nm, whereas the ELPI measurements cover size ranges from 7
181 nm to 10 μm . In this study the ELPI was equipped with a filter stage [45] and an additional stage [46], both designed
182 to enhance the particle size resolution for nanoparticles. The accuracy of particle size distribution measurement
183 depends both on the accuracy of particle sizing and particle concentration measurements. It can be estimated that
184 the most typical instruments were capable of measuring particle number emissions to within $\pm 15\%$ across an
185 emission range of four orders of magnitude [57]. However, the accuracy can be slightly lower in particle sizes near
186 the lower size limits of instruments. The morphology of particles as well as the time resolution of instruments can
187 also affect the accuracy of final results [58].

188 To investigate volatility properties of particles, the ELPI1 was used before and the ELPI2 after the treatment
189 of the aerosol sample with a thermodenuder (TD) [47]. In the thermodenuder, the sample was first heated to 265
190 $^{\circ}\text{C}$, and after that led into the denuder where the cooled inner wall was covered with activated carbon to collect
191 evaporated compounds. Particle losses in the TD were corrected according to [48]. Furthermore, the number
192 concentration of particles larger than 2.5 nm was measured with one second time resolution by two ultrafine
193 condensation particle counters (CPC model 3776, TSI), so that CPC1 was installed before and CPC2 after the TD.
194 The concentration accuracy by the CPC is $\pm 10\%$.

195 Concentrations of gaseous CO_2 (SickMaihak, SIDOR), CO (model CO12M, Environnement S.A.), and
196 nitrogen oxides NO, NO_2 and NO_x (model APNA 360, Horiba) were monitored with a time resolution of 1 s. The
197 measurement range of NO_x analyzer is from 0.5 ppb to 1 ppm with zero drift of 1 ppb/day or $\pm 1\%$ F.S. A weather
198 station on the roof of the van at a height of 2.9 m above the ground level provided meteorological parameters.

199 The experimental setup at the laboratory (Supplementary Fig. S1b) was rather similar than presented by
200 Nousiainen et al [59]. For this study, the undiluted exhaust was measured after the ATS (hereafter called raw
201 exhaust) with a Pegasor Particle Sensor (PPS), a smoke sensor (AVL 415 S), and a NO_x sensor (Continental
202 UNINO $_x$) (Supplementary Table S2, Fig. S1). The accuracy was estimated to be $\pm(10-15)\%$ and $\pm(2-3)\%$ for the
203 particulate mass and NO_x , respectively. The gaseous emissions of O_2 , CO, CO_2 , HC, NO and NO_x were measured
204 before the ATS (Fig. S1b), but they are not considered in this study apart from the raw exhaust CO_2 (Servomex
205 Xentra 4900) measurements. The PM emission factors were calculated based on the AVL smoke sensor. With
206 regard to the particle number concentration and size distribution, they were also measured after the ATS (Fig. S1)

207 but from the diluted exhaust with two CPCs, two ELPIs and an EEPS, the same instruments as used during the on-
 208 road experiments. Exhaust dilution was conducted using a partial exhaust flow dilution system [49] consisting of
 209 a porous tube diluter with a dilution ratio of about 12, a short ageing chamber with a residence time of 2.6 s, and
 210 a secondary diluter (a modified Dekati diluter) with a dilution ratio of about 8 (Supplementary Fig. S1b). Dilution
 211 air was filtered from particles. Both the primary dilution ratio and the total dilution ratio were calculated based on
 212 the CO₂ concentration measured in the raw and diluted exhaust samples (Fig. S1b). The diluted CO₂ concentration
 213 was measured by the same analyzer as used on road. Furthermore, an additional ejector diluter was needed before
 214 the CPCs. The sample reached a temperature of about 25°C after secondary dilution. This dilution system is
 215 considered to fairly well mimic the cooling and dilution processes encountered during real-world driving,
 216 especially in regard to exhaust nucleation particle formation [23,50].

217

218 2.4. Data analysis

219 All instruments were synchronized before the start of the measurements, and comparison between the two
 220 CPCs and two ELPIs were done at least once per day. Data was adjusted accordingly. In order to eliminate
 221 differences in dilution conditions at Suolahti, background concentrations X_{bg} and $CO_{2,bg}$ for species X and carbon
 222 dioxide, respectively, were subtracted second by second, and normalized concentrations

$$223 \frac{\Delta X}{\Delta CO_2} = \frac{(X - X_{bg})}{(CO_2 - CO_{2,bg})} \quad (1)$$

224 were calculated. For the part of the cycle repeated at the laboratory, the raw exhaust concentrations on-road were
 225 calculated by multiplying the results from eq. (1) by momentary raw exhaust CO₂ concentration measured at the
 226 laboratory tests,

$$227 X_{raw} = \frac{\Delta X}{\Delta CO_2} (CO_2^{raw} - CO_{2,bg}^{raw}) \quad (2)$$

228 Raw exhaust concentrations were then averaged over the three repetitions. At the laboratory, the measured particle
 229 number concentrations were multiplied by the momentary dilution ratio, obtained from the raw and diluted CO₂
 230 concentrations.

231 For transient cycle the emissions factors EF_X for species X in #/kWh for particle number and g/kWh for gases,
 232 were calculated by

$$233 EF_X = \frac{\sum_{i=1}^n X_{raw,i} MF_i}{\rho_{exh} \sum_{i=1}^n P_i} A \quad (3)$$

234 where n is the number of seconds, MF_i is the momentary total mass flow (sum of air and fuel mass flows), ρ_{exh} is
 235 the exhaust density (assumed to be air density), P_i is the engine power per second and A is a conversion factor for
 236 the units.

237 The specific emission factors EF_X over the NRSC cycle (g/kWh) were calculated by

$$238 \quad EF_X = \frac{\sum_{x=1}^8 AX_{raw}(x) \frac{MF(x)}{\rho_{exh}} WF(x)}{\sum_{x=1}^8 P(x) WF(x)} \quad (4)$$

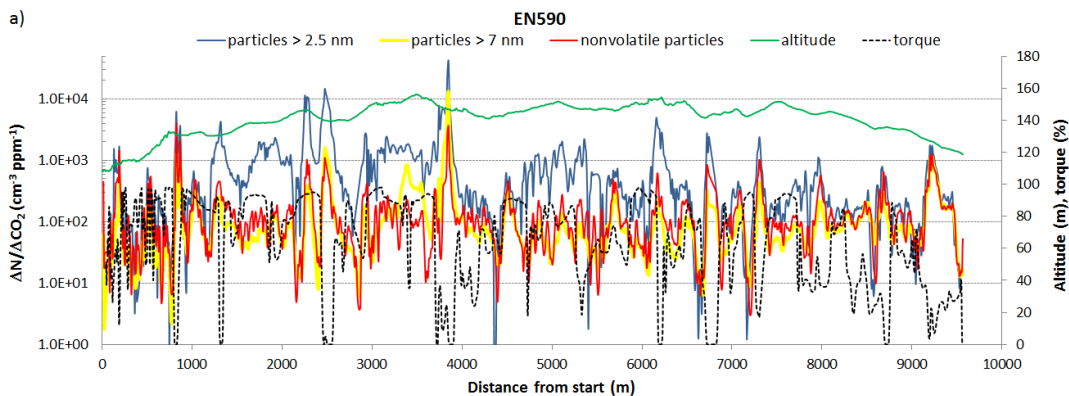
239 where the concentration X_{raw} of mode x is converted to g/m^3 with a conversion factor A , mass flow $MF(x)$ to
 240 volume flow (m^3/s) by dividing by the exhaust density, and $WF(x)$ are weighting factors for the modes.

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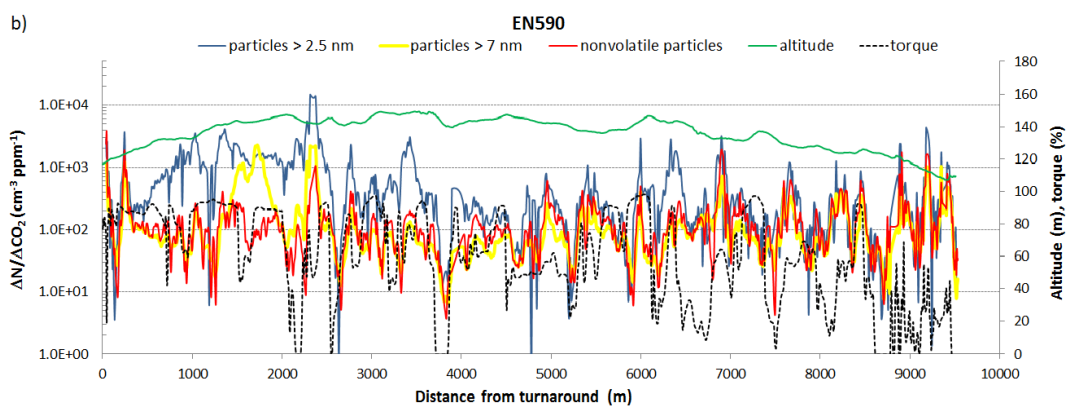
242 3. Results and discussion

243 3.1. Particle number concentration during the on-road cycle

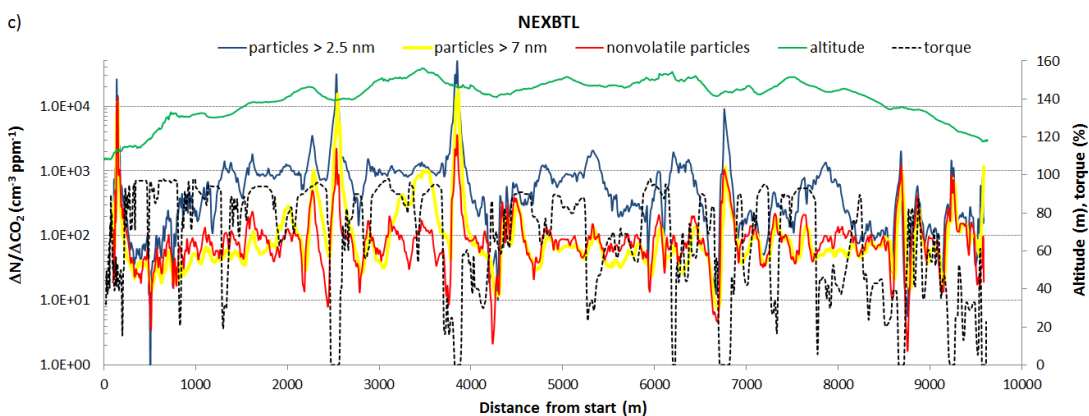
244 As an example, Fig. 2a,c presents the normalized concentrations of particles larger than 2.5 nm and 7 nm,
 245 measured with the CPC and ELPI, respectively, as a function of distance to direction 1 and Fig. 2b,d to direction
 246 2 of the on-road test route (Fig. 1a) for both fuels. The particle concentrations strongly depended on driving
 247 conditions, and the peak concentrations occurred when the tractor accelerated uphill or drove downhill. The
 248 repeatability of the data between the three rounds was very good with both fuels. In fact, the highest concentration
 249 peaks were observed during downhill driving when the torque was zero demonstrating that engine braking was
 250 used. However, it should be kept in mind that during downhill driving the concentrations of exhaust CO_2 decreases
 251 substantially which, in principle, increases the relative role of simultaneous particle emissions. Typically, the
 252 concentrations with the regular diesel fuel were somewhat higher compared to HVO, even though the maximum
 253 value at a 3850 m distance from the start was $4.18 \times 10^4 \text{ cm}^{-3} \text{ ppm}^{-1}$ with diesel and $4.99 \times 10^4 \text{ cm}^{-3} \text{ ppm}^{-1}$ with HVO.
 254 When driving uphill with high engine load, for example 1-2 km and 4-5 km from the start point, a major part of
 255 the formed particles with both fuels were highly volatile since after the TD most of them evaporated. In addition,
 256 these particles were smaller than 7 nm since the ELPI could not observe them until the end of the hill when a part
 257 of the particles had grown to larger sizes than 7 nm. During downhill a smaller part of the particles evaporated and
 258 a part of the volatile particles were larger than 7 nm. With NEXBTL majority of the particles during downhill were
 259 larger than 7 nm.



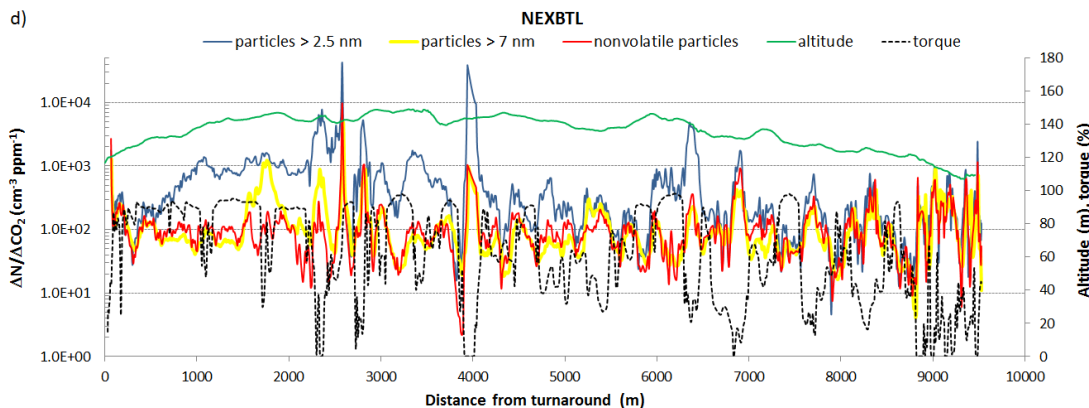
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264 Figure 2. Time series of normalized particle number concentration, altitude and torque to direction 1 of the test
 265 cycle at Suolahti, when the tractor was fuelled with the regular diesel fuel (a)-(b), and with the hydrotreated

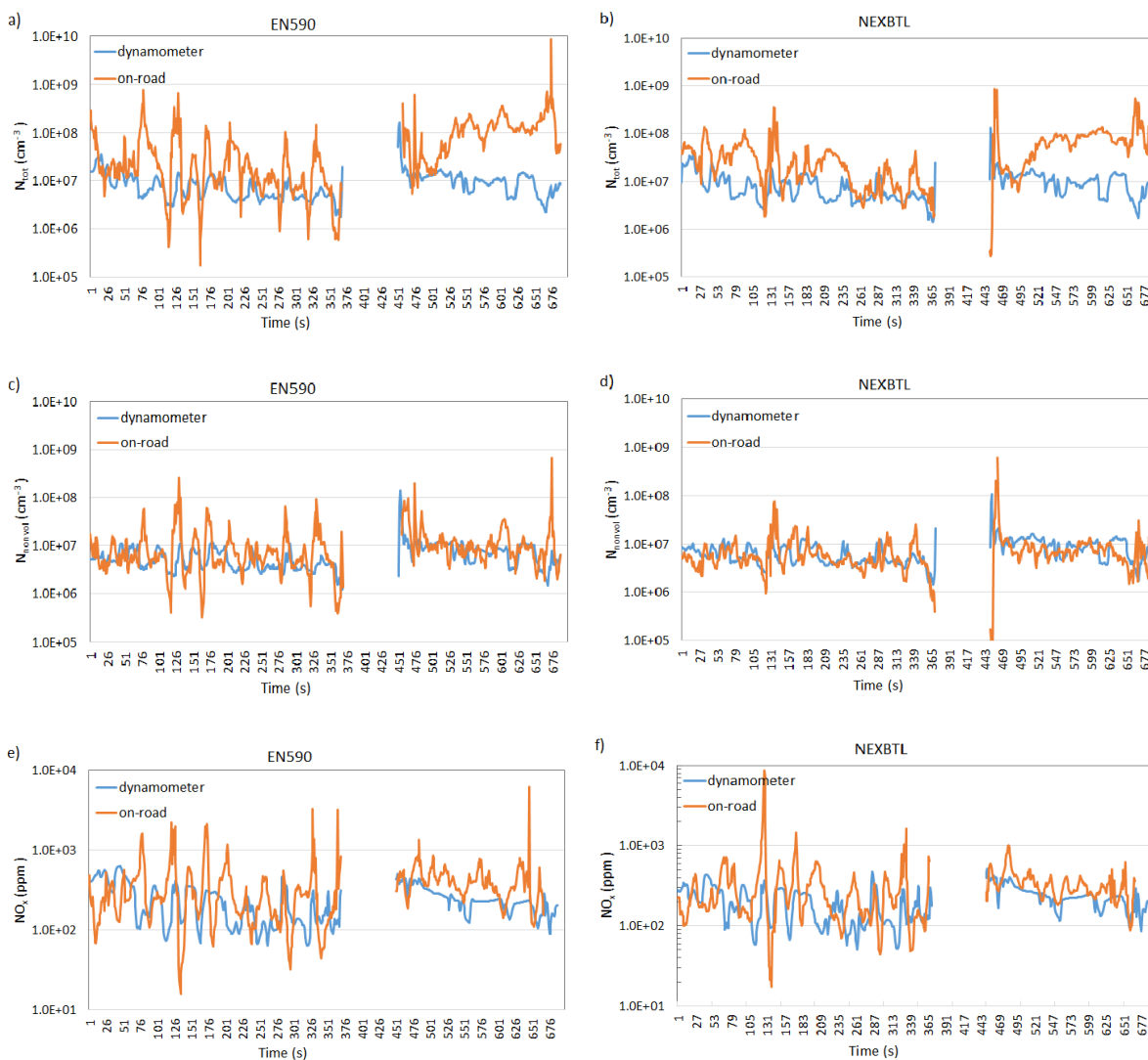
266 vegetable oil (c)-(d). The concentrations were measured with the CPC and ELPI before the TD, and with the other
 267 CPC after the TD.

268

269 3.2. Comparison of the on-road and dynamometer tests

270 Figure 3 presents the time series of the exhaust particle number and NO_x concentrations over the part of the
 271 transient cycle repeated on road and on the dynamometer, separately for the both fuels used. The presented values
 272 represent raw exhaust concentrations, i.e. the measured values were corrected by dilution ratio of exhaust. The
 273 concentrations are the average values over the three repetitions, and the first 373 seconds refer to direction 1, the
 274 next 80 seconds to turnaround and the rest 238 seconds to direction 2 (Fig. 1a). On road, a sudden growth of engine
 275 speed during the turnaround resulted in a high peak. Consequently, the data during the turnaround was excluded
 276 from both on road and dynamometer results. Emission factors for all and nonvolatile particles as well as for NO_x
 277 over the cycle were calculated (Table 2, Supplementary Fig. S2).

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279

280

281 Figure 3. Comparison of the concentrations of particle number, nonvolatile particle number (after TD treatment),
 282 and nitrogen oxides over the same transient cycle on-road and on the dynamometer. Left side graphs represent the
 283 concentrations for diesel fuel and right side graphs for HVO fuel. The concentrations were measured by the CPCs
 284 and calculated to raw exhaust. The turnaround data was excluded.

285

286 Table 2. Emission factors over the transient cycle on-road and at the laboratory, excluding the turnaround data.

	on-road	on-road	laboratory	laboratory
	EN590	NEXBTL	EN590	NEXBTL
EF _{Ntot} (x10 ¹³ #/kWh)	50.4 ± 13.1	28.2 ± 7.8	6.03 ± 0.49	5.92 ± 0.60
EF _{Nnonvol} (x10 ¹³ #/kWh)	7.48 ± 1.44	4.87 ± 0.12	4.23 ± 0.26	4.79 ± 0.26
NO _x (g/kWh)	2.03 ± 0.24	1.62 ± 0.04	1.33 ± 0.15	1.09 ± 0.01

287

288 When exploring the results in more detail some interesting features can be observed. First, the raw
 289 concentrations and emission factors of particles and NO_x were higher on road than at the laboratory tests. However,
 290 the emission factors of the non-volatile soot particles were almost the same for NEXBTL in both environments.
 291 This is conspicuous especially to direction 2 during long uphill driving (Fig. 3). For the diesel fuel the EF_{Ntot} was
 292 7.4 times higher in the real-world tests compared to the laboratory tests, and for the HVO 3.8 times higher, whereas
 293 the increment percent of EF_{NO_x} was around 50% for both fuels. Fuel affected the NO_x emissions so that the
 294 emission factors with HVO were ~20% lower than with diesel during both real-world and laboratory experiments.

295 Due to the lack of reported real-world emissions factors from tractors in the literature, we compare the EF_{Ntot}
 296 and EF_{NO_x} with the results by Saari et al. [51] and Pirjola et al. [19]. Saari et al. chased by Sniffer a heavy duty
 297 diesel truck equipped with a SCR system and fuelled with standard diesel over its normal route in Finland, and
 298 obtained an average EF_{Ntot} of around 1x10¹⁵ #/kg fuel and EF_{NO_x} of around 3.55 g/kWh. Pirjola et al. chased by
 299 Sniffer city buses and report for the diesel fuelled buses with the SCRs an average EF_{Ntot} of around 7x10¹⁴ #/kg
 300 fuel, and EF_{NO_x} of around 40 g/kg fuel indicating that the SCR was not working correctly. Since the reported
 301 particle number emission factors are given in #/kg fuel, the on-road results of this study were additionally
 302 calculated in this unit by assuming the average CO₂ emission factor of 3160 g/kg fuel for diesel and 3120 g/kg fuel
 303 for HVO [52]. This resulted in the values of 5.5x10¹⁴ #/kg fuel and 1.0x10¹⁵ #/kg fuel for diesel and HVO,
 304 respectively, the same order of magnitude as in the published studies.

305 Although the tests were conducted at similar transient driving conditions on-road and laboratory, dilution
 306 processes and instantaneous dilution ratios were different. It is well-known that the formation and growth of

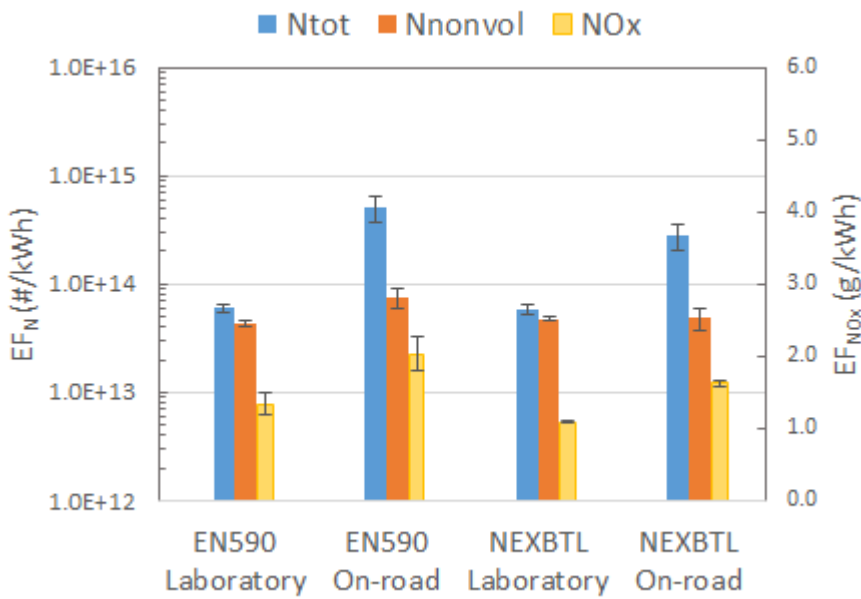


Figure S2. Emissions factors for total particle number, nonvolatile particle number and NOx over the transient cycle under real-world and laboratory conditions excluding turnaround. The engine was fuelled with standard diesel and with NEXBTL hydrotreated vegetable oil.

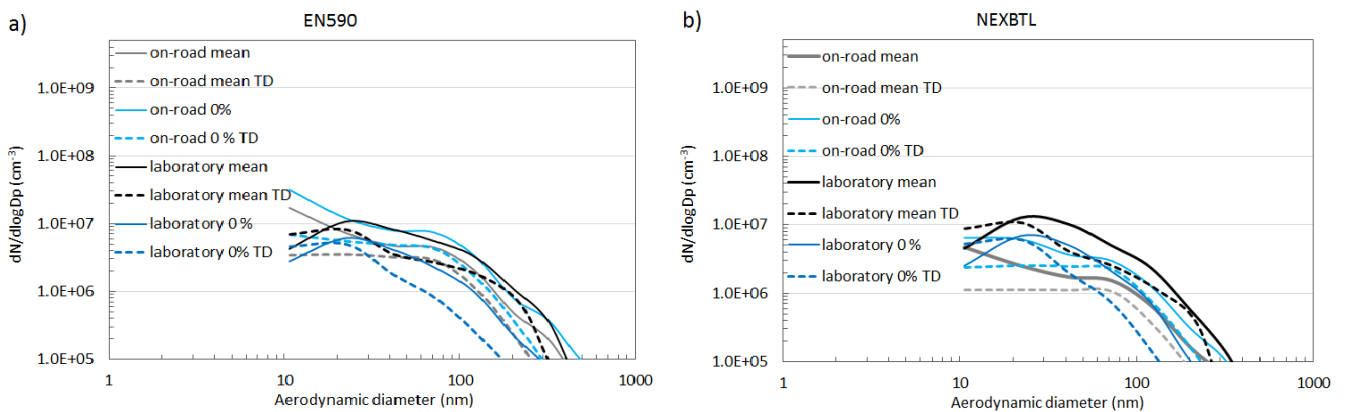


Figure S3. Mean particle number size distributions during transient tests measured with the ELPIs, with and without the thermodenuder (TD). Measurements were made both in real-world and in laboratory conditions.

307 nucleated particles is very sensitive to dilution ratio, temperature and relative humidity of the diluting air [53,50],
308 and these processes strongly affect particle number concentration and number size distribution.

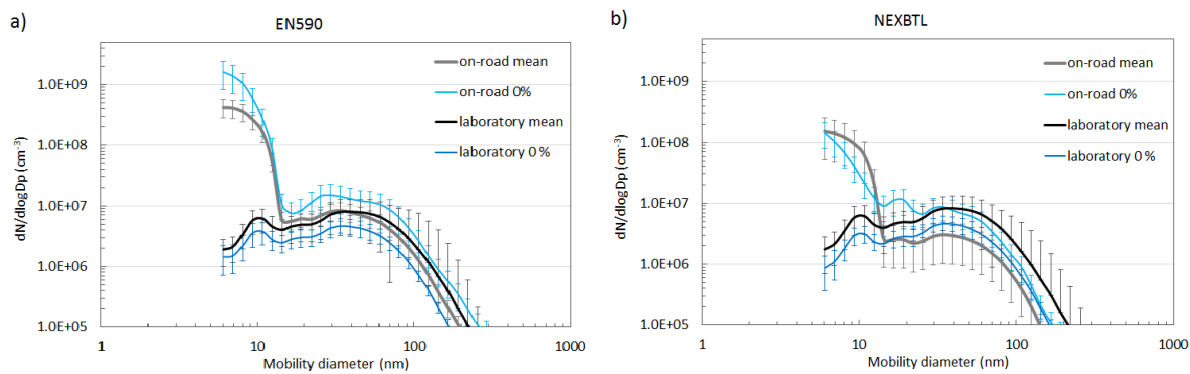
309 Secondly, the fuel significantly affected the volatile particle emissions, but not much solid soot particles. The
310 emission factors of particle number were higher with the regular diesel fuel than with the HVO, in fact, 79% higher
311 on-road but only 2% higher at the laboratory. The nonvolatile fraction of particles (by number) was 0.15 and 0.17
312 for EN590 and NEXBTL on-road, but 0.70 and 0.81 at the laboratory, respectively. This indicates that with both
313 fuels volatile particle formation occurred on road but not in the laboratory although the engine, exhaust after-
314 treatment and fuels as well as the loading of engine were similar in both experiments.

315 Average particle number size distributions were calculated over the whole route (Fig. 4). The measurements
316 were carried out with the EEPS. At the laboratory conditions the size distributions were similar with both fuels,
317 and the soot modes peaking at around 45 nm (mobility diameter) dominated the size distributions. Contrary to that,
318 high nucleation modes peaking at 10 nm dominated under real-world conditions with both fuels, but stronger
319 nucleation occurred with the diesel fuel. The soot mode was somewhat lower with the HVO than with the diesel.
320 Regardless of that the modal mean diameters were the same, around 45 nm, as also observed at the laboratory
321 tests.

322 Additionally, the size distributions were calculated over all seconds with zero torque (Fig. 4) to study engine
323 braking conditions. A notable nucleation mode was observed with both fuels, stronger with the diesel fuel, but also
324 soot particles were present, and their concentration was even somewhat higher compared to the whole route
325 averages. The source of these volatile nucleation mode particles is unclear, however, it should remember that
326 driving history, and storage and release of semi-volatile compounds in the exhaust after-treatment can affect the
327 results. In any case, our results disagree with the results by Rönkkö et al. [13] and Karjalainen et al. [53] who did
328 not observe soot particles but observed non-volatile nucleation mode particles during engine braking under real-
329 world conditions with a heavy duty diesel truck if it was equipped with an oxidative catalyst or no ATS was
330 installed. The non-volatile nucleation mode particles were associated with lubricant oil consumption. However,
331 Saari et al. [51] discovered that when a diesel truck was equipped with a SCR, a part of the nucleation mode
332 particles were volatile as is the case in this study.

333 As seen from Fig. 2 the nucleation mode particles, formed uphill or downhill, were semi-volatile and a part of
334 them evaporated in the thermodenuder, independently of the used fuel. To investigate the volatility properties of
335 the size distribution, two ELPIs, with and without the TD, were used. Unfortunately, due to very small sizes the
336 nucleation mode particles could not be really well detected with the ELPI (Fig. S3).

337

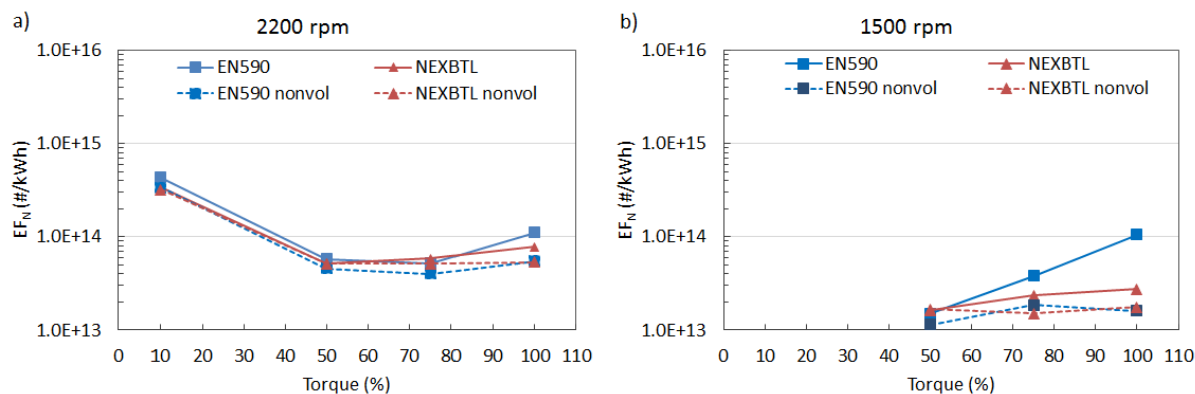


338
 339 Figure 4. Comparison of average number size distributions on-road and at the laboratory with regular diesel (a)
 340 and hydrotreated vegetable oil (b). Averages with standard deviations were calculated over the whole route and
 341 under the conditions with zero torque. Concentrations were calculated to raw exhaust.

342

343 3.3. Emissions over the ISO 8178 C1 cycles

344 During the steady state ISO C1 cycles the particle number emissions factors, based on the CPC data, depended
 345 on engine speed, engine load and fuel. At 2200 rpm the highest values for both fuels were obtained at low engine
 346 load while the smallest values were obtained at 50% load after which the values slightly increased with increasing
 347 engine load (Fig. 5 and Table 3). EF_N at idle cannot be defined as the power is zero. An increasing trend from 50%
 348 to 100% engine load was also observed at 1500 rpm. Tang et al. [34] presented similar behaviour for particle
 349 number emission factor for a small non-road diesel generator. The EF_N at idle was approximately two orders of
 350 magnitude higher than at 50% engine load with both fuels. Similar behaviour was also observed for EF_{PM}, and the
 351 use of HVO reduced the PM emissions up to 49% compared with the use of diesel. The emissions factors for NO_x
 352 had similar trends as EF_N, yet they did not depend on fuel. Due to an artifact in NO_x sensor with NEXBTL, the
 353 results are missing at modes M3, M4 and M7. To estimate if the emission standards were fulfilled by the engine,
 354 the emission factors for NO_x and PM for diesel fuel were calculated over the NRSC according to eq. (4). The
 355 obtained values were EF_{NO_x} = 2.1 g/kWh and EF_{PM} = 0.0032 g/kWh, whereas the Stage III A/B emission standards
 356 are 3.3 g/kWh for NO_x and 0.025 g/kWh for PM for 75-130 kW non-road diesel engines
 357 (<https://www.dieselnet.com/standards/eu/nonroad.php>).



358
359 Figure 5. Emission factors for all particles and for nonvolatile particles during the steady state test modes M1-M4
360 at 2200 rpm (a), and M5-M7 at 1500 rpm (b).

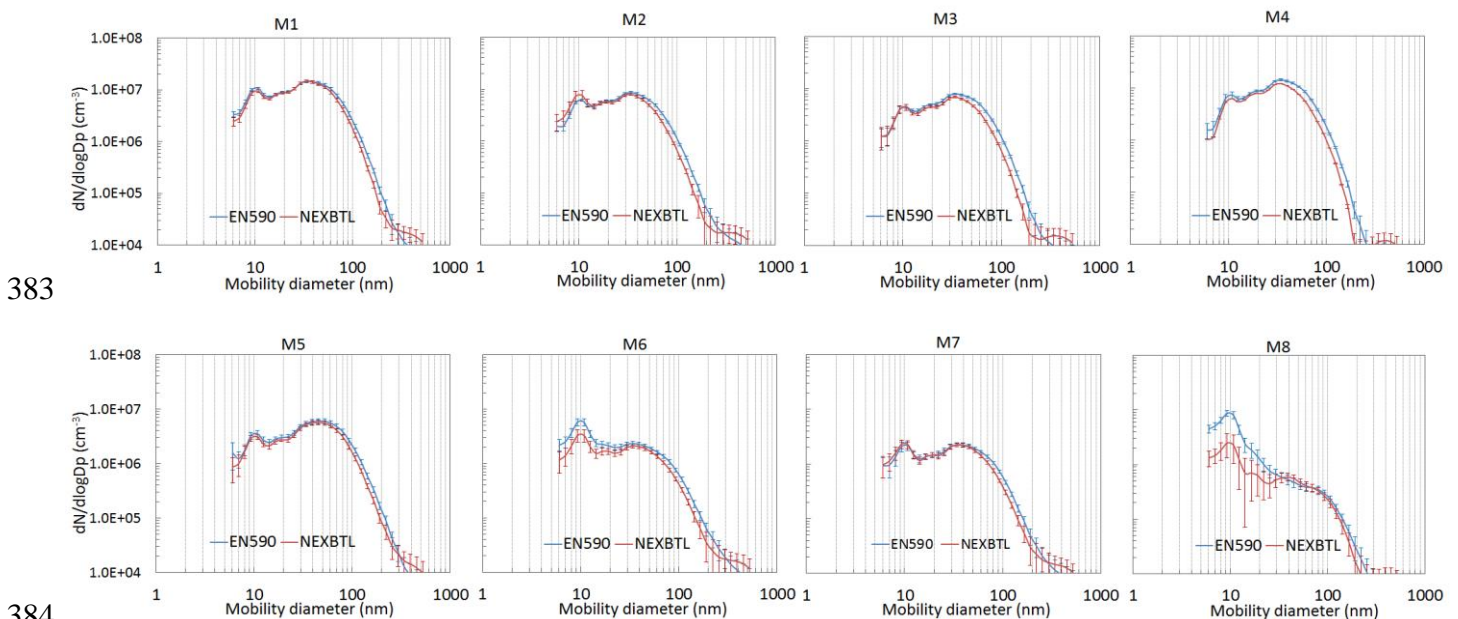
361
362 Table 3. Pointwise emission factors for all particles (> 2.5 nm) and nonvolatile particles as well as for PM and
363 NO_x. Mode 8 is omitted as the emission factor for idle mode is not defined.

Mode	EN590				NEXBTL			
	EF _N x10 ¹³ #/kWh	EF _{Nnonvol} x10 ¹³ #/kWh	PM mg/kWh	EF _{NO_x} g/kWh	EF _N x10 ¹³ #/kWh	EF _{Nnonvol} x10 ¹³ #/kWh	PM mg/kWh	EF _{NO_x} g/kWh
M1	11.1	5.5	4.1	2.4	7.9	5.4	3.6	2.3
M2	5.2	4.0	2.1	1.6	5.9	5.1	1.5	1.5
M3	5.8	4.6	3.2	2.9	5.2	5.1	2.0	
M4	43.3	33.6	29.7	11.2	33.7	32.2	15.1	
M5	10.6	1.6	2.9	1.6	2.7	1.8	2.4	1.6
M6	3.8	1.9	0.9	1.3	2.3	1.5	1.0	1.3
M7	1.5	1.1	1.2	1.4	1.6	1.7	1.0	

364
365
366 Fig. 5 also displays the nonvolatile particle emissions factors (EF_{Nnonvol}). At M1-M4 for both fuels, the fraction
367 of volatile particles was rather constant, in average 0.22 for diesel and 0.06 for HVO, up to 75% engine load, but
368 increased to 0.50 and 0.31, respectively, at 100% engine load. Even a higher increase was observed at 1500 rpm
369 when the volatile particle fraction increased up to 0.85 and 0.36 for diesel and HVO, respectively. It turned out
370 that during the steady modes M1 and M5 a lot of small particles were formed after 2-3 minutes from the start of
371 the real measurement time (section 2.2). Supplementary Fig. S4 illustrates the time series of particle number
372 concentrations, measured by the CPC and EEPS without and by the other CPC with the TD, and converted to raw
373 exhaust. As observed, the nucleated particles were very small since they were not detected by the EEPS and, in
374 addition, their concentration changed strongly during the measurement. This kind of behavior in particle

375 concentration has been linked in previous studies with the storage of sulphur compounds in the tailpipe system
 376 [15,16,17,25,53], e.g. on the surfaces of catalytic converters.

377 In general, the particle size distributions for both fuels under all driving conditions possessed two modes, one
 378 peaking at around 10 nm and the other at around 40 nm (Fig. 6). The concentrations depended on the engine speed
 379 so that they were smaller at 1500 rpm compared to 2200 rpm. Fuel had effects on the concentrations of the larger
 380 particles (>50 nm) which resulted in somewhat smaller particle sizes when the engine was fuelled with HVO.
 381 Besides, during idle a small increase in the nucleation particle concentration was observed, particularly with the
 382 diesel fuel. Yet, the soot mode concentrations were clearly smaller than at other driving conditions.



385 Fig. 6. Particle number size distributions over the steady modes M1-M8 with both fuels. The concentrations were
 386 measured by the EEPS and calculated to raw exhaust.

387

388 4. Conclusions

389 Mobile non-road diesel engine exhaust emissions were studied by chasing the tractor on-road with the mobile
 390 laboratory Sniffer and by repeating the same transient tests on the engine dynamometer, where additionally, the
 391 non-road steady state tests were carried out. The driving conditions were observed to significantly affect the
 392 emissions.

393 In general, the concentrations and emission factors with HVO were lower than with diesel fuel, yet, with both
 394 fuels the peak concentrations of semi-volatile nucleation mode particles (< 7 nm in size) were observed during
 395 real-world uphill and downhill driving. Only a small part of these particles could be observed at the laboratory
 396 conditions resulting in a big difference in particle number emission factor and size distributions compared with

397 the on-road results. The number emission factor reduced 44% on-road and 2% at the laboratory when the fuel was
398 changed from diesel to HVO. Simultaneously, the emission factor of nonvolatile soot particles reduced 35% on-
399 road but increased 13% at the laboratory. On the other hand, the EF_N with diesel was 7.4 and with HVO 3.7 times
400 higher on-road compared to the dynamometer tests but in this comparison no significant difference was observed
401 for the nonvolatile soot mode emissions. The NO_x emissions factors were around 50% higher on-road compared
402 to the laboratory conditions, and in both cases EF_{NO_x} reduced by ~20% when HVO was used.

403 The steady state ISO 8178 C1 cycles also confirmed that the particle number and mass emissions with HVO
404 were lower than with diesel, yet NO_x emissions were rather similar with both fuels. The EF_N varied in the range
405 of 1.5×10^{13} - 2.4×10^{15} #/kWh with diesel and 1.6×10^{13} - 6.6×10^{14} #/kWh with HVO. The emissions depended on
406 engine load and engine speed. The highest emissions were obtained at idle, lowest at the 50% engine load, after
407 which the emissions factors increased with increasing engine load. During all steady modes the number size
408 distribution was bimodal, and the modes peaked at around 10 nm and 40 nm with both fuels. However, the soot
409 mode particles larger than 50 nm in diameter were smaller with HVO compared with diesel resulting in smaller
410 PM emissions with HVO.

411 At the laboratory the particles were measured after sampling exhaust by the system which has been observed
412 to mimic real-world nanoparticle formation phenomenon. However, in this study very different nanoparticle results
413 were obtained for the transient cycle used in real-world and at laboratory, likely due to different nanoparticle
414 formation in the real exhaust plume and in the laboratory sampling system. Especially the formation of volatile
415 nucleation mode particles, e.g. via nucleation of sulphuric acid, is very sensitive on driving history of the vehicle
416 or engine. For example, we observed that nanoparticles were formed at high load steady state conditions at the
417 laboratory, and under these conditions the nanoparticle concentrations increased as a function of time. This
418 indicates that the storage of nucleation mode particle precursors affected the nucleation mode particle
419 concentrations, and might explain differences between laboratory and real-world experiments. In addition, the
420 laboratory experiments did not fully mimic the engine breaking conditions when high nanoparticle concentrations
421 were observed on-road.

422

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426

427 **Appendix A. Supplementary material**

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- 558
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- 560

Appendix A. Supplementary material

Table S1. Properties of studied diesel (EN590) and HVO (NEXBTL) fuels from fuel analysis.

		EN590	NEXBTL
Density	kg/m ³	821.5	778.6
Viscosity at 40 °C	mm ² /s		2.831
Cetane number		53	77
Net heat of combustion	MJ/kg	43.206	43.956
Sulphur content	mg/kg	5.8	<1
Water coloumetric	mg/kg	23	17
Carbon	wt-%	85.2	84.5
Hydrogen	wt-%	13.7	15.1
Ash, 775 °C	wt-%	<0.001	<0.001
Monoaromatics	wt-%	17.8	0.2
Diaromatics	wt-%	1.6	<0.1
Tri+-aromatics	wt-%	1.7	<0.1
n-paraffins, C10-C20	wt-%		5.91
n-paraffins, C6-C36	wt-%		6.4
Distillation 5 vol-%	°C	186.9	237.8
Distillation 50 vol-%	°C	235.4	277.4
Distillation 90 vol-%	°C	299.1	289.7
Distillation 95 vol-%	°C	316.1	293.9

Table S2. Analyzers for raw exhaust measurements.

Substance	Manufacturer	Model	Measurement principle
O ₂	Servomex	Xentra 4900	Paramagnetic cell
CO	Servomex	Xentra 4900	NDIR
CO ₂	Servomex	Xentra 4900	NDIR
HC	CAI	300-HFID	FID
NO, NO _x	Eco Physics	CLD 700 EL ht	Chemiluminescence
NO _x sensor	Continental	UNINO _x 6614J	Amperometric double chamber principle
Smoke	AVL	415 S	Filter paper method
PM	Pegasor	M-sensor	Particle are charged and electrically detected

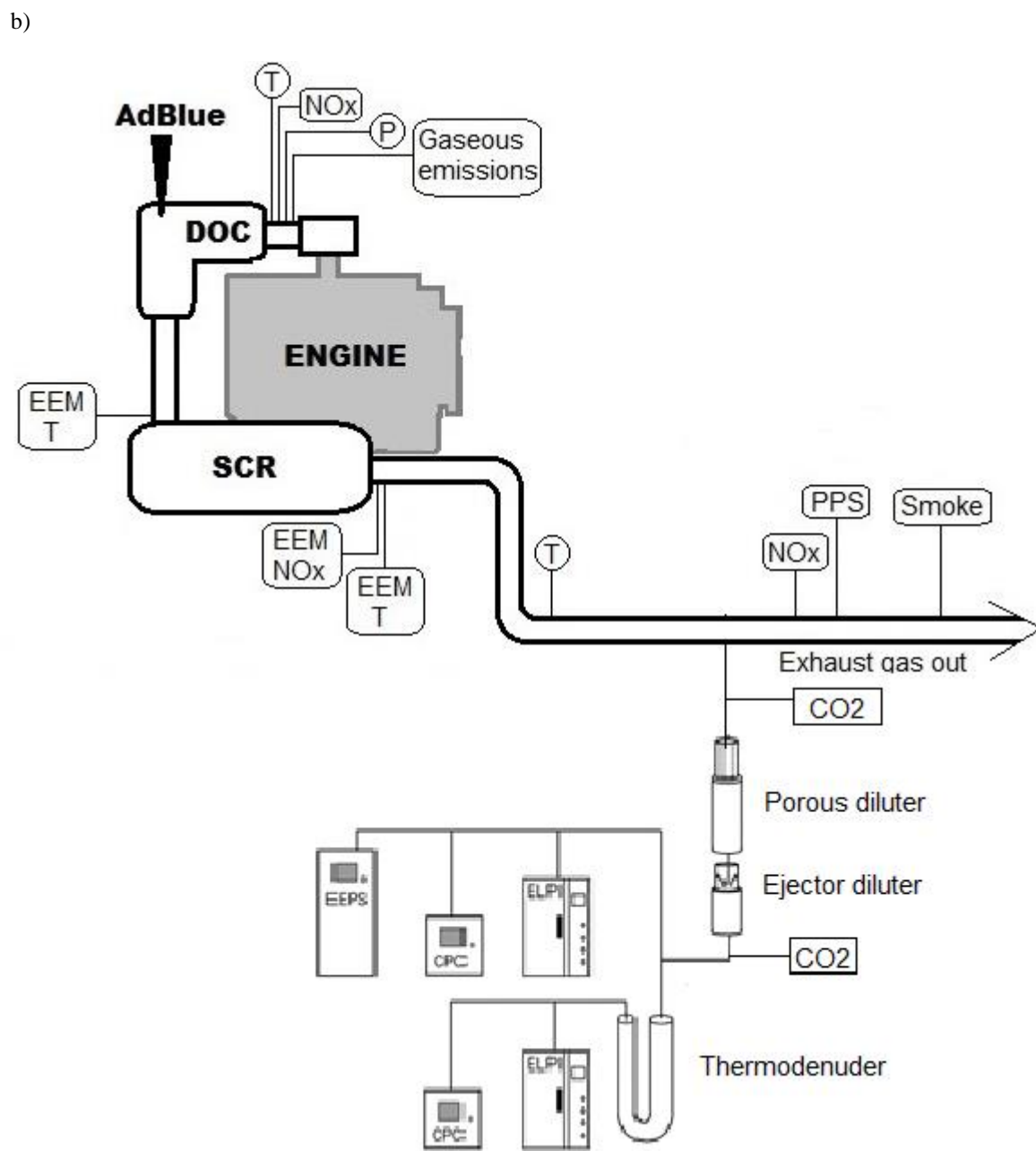
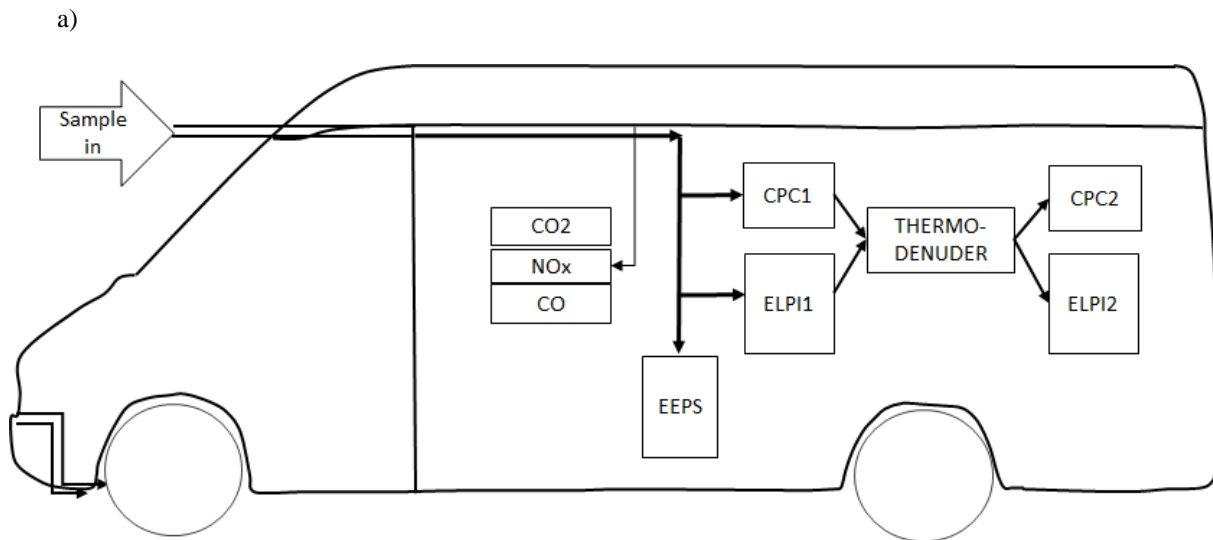


Figure S1. Measurement set up for chasing (a) and for the dynamometer (b).

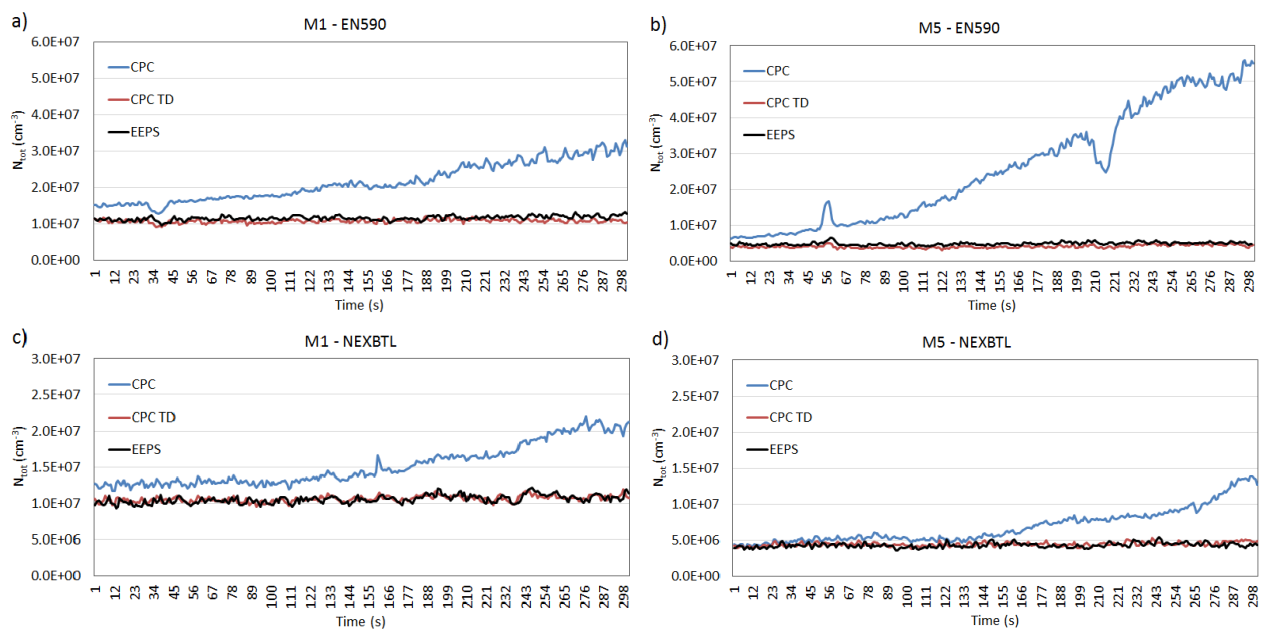


Figure S4. Time series of particle number concentrations at steady modes M1 (2200 rpm, 100% engine load) and M5 (1500 rpm, 100% engine load) for both fuels. Measurements were carried out with the CPC and EEPS before the thermodenuder (TD) and with the other CPC after the TD. Concentrations were calculated to raw exhaust.