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Reward cues readily direct monkeys' auditory performance resulting in broad auditory cortex modulation and interaction with sites along cholinergic and dopaminergic pathways

PatrikWikman¹, Teemu Rinne² & Christopher I. Petkov3,4

In natural settings, the prospect of reward often infuences the focus of our attention, but how cognitive and motivational systems infuence sensory cortex is not well understood. Also, challenges in training nonhuman animals on cognitive tasks complicate cross-species comparisons and interpreting results on the neurobiological bases of cognition. Incentivized attention tasks could expedite training and evaluate the impact of attention on sensory cortex. Here we develop an Incentivized Attention Paradigm (IAP) and use it to show that macaque monkeys readily learn to use auditory or visual reward cues, drastically infuencing their performance within a simple auditory task. Next, this paradigm was used with functional neuroimaging to measure activation modulation in the monkey auditory cortex. The results show modulation of extensive auditory cortical regions throughout primary and nonprimary regions, which although a hallmark of attentional modulation in human auditory cortex, has not been studied or observed as broadly in prior data from nonhuman animals. Psycho-physiological interactions were identifed between the observed auditory cortex efects and regions including basal forebrain sites along acetylcholinergic and dopaminergic pathways. The fndings reveal the impact and regional interactions in the primate brain during an incentivized attention engaging auditory task.

Attention powerfully modulates brain activity in sensory cortices and selectively shapes neural responses in these regions¹⁻⁸. However, due to a paucity of cross-species comparisons on attention-dependent modulations using comparable neurobiological measures, it is poorly understood how the neurocognitive systems in human and nonhuman animals compare. Recently, some authors have questioned the correspondence between monkey and human cognitive systems⁹, including the one involved in auditory cognition^{10,11}. These concerns impede translating neuronal-level insights from nonhuman animal models to humans. While humans can be readily instructed to perform cognitive tasks, training nonhuman animals on such tasks, particularly in the auditory modality, is extremely challenging and time-consuming. Moreover, even afer extensive training, lapses in attention occur in the nonhuman animals that alter neuronal responses^{[12](#page-14-5)} or activation patterns^{[13](#page-14-6)}, complicating cross-species comparisons. It is thus imperative to further develop engaging tasks on which nonhuman animals can be quickly trained and which allow manipulating attention.

Incentivized attention tasks could be developed to expedite training and evaluate the impact on sensory cortex by diferent cognitive control systems, which have traditionally been studied separately. Monetary incentive

¹Department of Psychology and Logopedics, University of Helsinki, 00014, Helsinki, Finland. ²Turku Brain and Mind Center, Department of Clinical Medicine, University of Turku, 20014, Turku, Finland. ³Institute of Neuroscience, Newcastle University, NE1 7RU, Newcastle upon Tyne, United Kingdom. 4Centre for Behaviour and Evolution, Newcastle University, NE1 7RU, Newcastle upon Tyne, United Kingdom. Teemu Rinne and Christopher I. Petkov jointly supervised this work. Correspondence and requests for materials should be addressed to P.W. (email: [patrik.](mailto:patrik.wikman@helsinki.fi) [wikman@helsinki.f\)](mailto:patrik.wikman@helsinki.fi) or T.R. (email: [teemu.rinne@utu.f\)](mailto:teemu.rinne@utu.fi) or C.I.P. (email: chris.petkov@ncl.ac.uk)

Figure 1. Auditory task with high or low reward auditory or visual cues: Incentivized Attention Paradigm (IAP). In all conditions, monkeys were required to withhold a response through a wait signal and to respond to an auditory go signal in order to receive a juice reward. In HiRe trials, a large reward (1ml) was delivered immediately afer a correct response. In LoRe trials, a small reward (0.1ml) was delivered afer a 7 s delay. In addition, visual feedback (green or red screen for correct or incorrect responses, respectively) was provided. (**A**) Four exemplary trials in auditory reward cue experiments (AudCue1 and AudCue2). If the monkey responded to the auditory go signal within a response window of 200–1300ms in a HiRe trial, then a big juice reward was immediately delivered and the screen turned green. During LoRe trials, a correct response was associated with a delayed small reward and green screen. Note that the LoRe cue was presented until the reward was delivered. A response before the response window (early response) resulted in a red screen and trial termination. A red screen was also shown if the monkey did not respond before the end of the response window (miss). (**B**) Four exemplary trials in the fMRI experiment with visual reward cues and an auditory wait signal. See text and Table [1](#page-1-0) for details.

delay (MID) tasks have been used to study reward processing in humans¹⁴ and other animals¹⁵. These tasks have also been modified to modulate visual attention in humans^{16,17} and visual categorization in monkeys¹⁸. In the monkey visual categorization study by Minamimoto and colleagues (2010), monkeys were required to perform a simple visual task to receive a juice reward. The task consisted of withholding a response while a wait signal was presented (red dot) and to respond to a go signal (green dot). Ten two diferent types of reward cues were incorporated: high reward (HiRe; e.g., picture of a dog) or low reward (LoRe; cat). The reward cues effectively manipulated the monkeys' performance; monkeys had fewer errors and faster responses in trials with HiRe than LoRe cues. Tis performance diference indicated that the monkeys were able to discriminate the picture categories.

Importantly, the results showed that the monkeys recognized the visual categories within a single testing session. Moreover, the simple task required no response selection as the task was identical on each trial.

Here we extended the paradigm used by Minamimoto and colleagues^{[18](#page-14-9)} to modulate performance during a simple attention-engaging auditory task (Fig. [1\)](#page-1-1) using auditory (AudCue1 and AudCue2 experiments) or visual cues (VisCue experiment). In the AudCue experiments, a visual wait signal and an auditory go signal were used. In the VisCue experiments, both wait and go signals were auditory. We hypothesized that (1) monkeys quickly discriminate between distinct auditory or visual HiRe and LoRe reward cues and use them to infuence their performance during a simple auditory task, and that (2) fMRI would show activity modulation in broad regions of monkey auditory cortex, similar to auditory attention-related modulations reported in previous human imaging studies^{[1–](#page-14-0)[3,](#page-14-10)[13,](#page-14-6)[19–](#page-14-11)[21](#page-14-12)}. We found that both auditory and visual cues affected auditory task performance after minimal training (10 s to 100 s of trials; days or weeks, instead of months or years) with visual cues resulting in stronger effects. The fMRI results supported our initial hypotheses, revealing systematic modulations nearly exclusively in substantial portions of auditory cortex. Further analyses of psycho-physiological interactions (PPI) identifed a number of regions that functionally interact with the auditory cortex efects, including basal forebrain sites involved in or influenced by acetylcholinergic and dopaminergic processes. The findings provide important insights into how an incentivized attention engaging auditory task infuences the the sensory cortex in the primate brain.

Materials and Methods

All of the nonhuman animal work and procedures described here were performed at Newcastle University and were approved by the Animal Welfare and Ethical Review Body at Newcastle University and by the UK Home Office. The work complies with the UK Animal Scientific Procedures Act (1986) and with the European Directive on the protection of animals used in research (2010/63/EU). We support the principles on reporting animal research stated in the consortium on Animal Research Reporting of *In Vivo* Experiments (ARRIVE). All persons involved in animal handling and procedures were certifed and the work was strictly regulated by the UK Home Office.

Macaque procedures. Three adult male rhesus monkeys (M1, M2, M3) from a group-housed colony were used for the auditory-cue experiments. At the beginning of the study, monkeys M1, M2 and M3 were 6, 6, and 8 years old and weighted 12.5, 11, and 10 kg, respectively. When all study components completed a year later, the monkeys were 12, 11, and 9.5 kg, respectively.

Two of the monkeys (M1, M2) also took part in the visual-cue experiment using fMRI. Given the ethical sensitivities involved in studying nonhuman primates and the 3Rs principles (one of which is on the Reduction of animal numbers), our work requires using the fewest macaques possible. A sample size of two to three is common in behavioral neuroscience experiments with macaques, provided that results are robust with each individual and that the efects generalize beyond one animal. Given that our results from several hundred trials with each animal are statistically robust and consistent in the overall pattern of efects between the animals there was no ethical justifcation to test additional monkeys.

Monkeys M1 and M2 had participated in a previous fMRI study and were already implanted with an MRI compatible head post for head immobilization during scanning (for details on the procedure, see^{[13](#page-14-6),[22](#page-14-13)}. Monkey M3 was previously trained to perform auditory tasks wearing a head-immobilizing face mask and helmet^{[23](#page-14-14)}. The quality of fMRI data that can be collected with the system is currently being assessed, but the system can already be used to collect auditory task performance data (see²³), as was done with M3 on the behavioral auditory task here. All of the animals had been slowly acclimated with positive reinforcement training to work within a primate testing chair and to allow the required periods of head immobilization.

We relied on operant training with an individually customized fuid control procedure to ensure that the animals were motivated to work on the challenging tasks, while staying in a high state of wellbeing. This included using their preferred juice as reward to motivate them to perform the tasks (see 24 24 24 for details). The animals had unrestricted access to fuid on days when they were not being trained or tested and over the weekends. Each daily (behavioral) training session was continued until the monkey's motivation waned, and they stopped working.

Behavioral experiments (AudCue1, AudCue2 and VisCue). The monkeys were initially taught a simple auditory task. First, afer 500 ms from trial onset a yellow flled circle (visual wait signal) appeared at the middle of the screen on grey background. The visual wait signal remained on the screen from 700 ms until the end of the trial (trial start and end are explained below). Afer a random interval of 500–1500 ms, an auditory go signal was presented. Te auditory go signal was a 400 ms (including 8 ms onset and ofset ramps) macaque "coo" vocalization recorded from a male macaque that was unfamiliar to the two individuals tested. If the monkey responded to the auditory go signal by pressing the lever within 200–1300ms from its onset, then the response was accepted as a correct response and a juice reward was delivered. Incorrect responses (early responses during the visual wait signal) or missing the auditory go signal (no response before the end of the response window) were not rewarded, and the next trial started afer a 200 ms delay. Visual feedback cues were used to supplement the juice reward during correct trials (green screen) or to emphasize an incorrect trial with no reward (red screen). A green screen was shown immediately afer a correct response concurrently with reward delivery (200–1000ms, depending on the size of the reward, see next paragraph). A red screen was shown for 200 ms immediately afer an incorrect (early) response or at the end of the response window for miss trials. During this initial general task training in the laboratory, the monkeys mastered the simple auditory task in one session (ca. 500 trials).

Next, we acquired behavioral data while the monkeys performed the auditory task combined with auditory HiRe and LoRe cues. Since this was the frst auditory study to use a version of the monetary incentive delay (MID) task, we did not know whether and which acoustical properties could be used to diferentiate the cues. Therefore, we tested two sets of auditory cues and observed similar results with both. It is important to note that the monkeys were not explicitly trained to discriminate the HiRe and LoRe cues. In the AudCue1 experiment (Fig. [1A,](#page-1-1) Table [1\)](#page-1-0), the HiRe cue consisted of narrow-band noise (bandpass flter centered at 2 kHz, 2 kHz bandwidth, 3Hz sinusoidal amplitude modulation, 90% depth) and the LoRe cue was a simple tone (2 kHz sinusoid, 8Hz amplitude modulation). In the AudCue2 experiment, we used a diferent set of auditory cues: the HiRe cue was a high-pitched sinusoidal tone (2kHz, 8Hz amplitude modulation) and the LoRe cue was a low-pitched tone (200 Hz, 3 Hz amplitude modulation). The HiRe cue was present in 50% of the trials and this cue indicated that a large reward (ca. 1ml) would be delivered immediately afer a correct response, whereas the LoRe cue indicated that a correct response would result in a small (ca. 0.1ml) and delayed reward (7 s afer the correct response). In all trials, either a HiRe or LoRe cue was presented from trial onset until the end of the trial. In HiRe trials, the trial terminated if the monkey gave a correct response (afer immediate reward delivery) or if the monkey made an early response or if it did not respond before the end of the response window. LoRe trials were similar except that hit trials (correct response to the auditory go signal) continued until the delayed reward was delivered; this also helped to reinforce the association between the LoRe cue and the long delay. Note, however, that the response window was always 200-1300 ms from the onset of the go signal irrespective of the trial. The monkeys initiated each block of 20 trials by pressing and immediately releasing the lever. Within a block, the next trial started 200ms afer the completion of the prior trial. Monkeys M1 and M2 responded by pressing the response lever to start a block of 20 trials or to respond to stimuli. Monkey 3 used a diferent motor response contingency: pressing the lever to start each trial and releasing the lever to respond to stimuli.

In the VisCue experiment, the auditory reward cues were replaced by visual reward cues, and the auditory go signal sound was a 4-kHz sinusoidal tone (duration 400ms). Also, the visual wait signal (yellow flled circle) was replaced by an auditory wait signal (2-kHz tone, 8 Hz amplitude modulation). The VisCue behavioral study was conducted to see whether visual cues^{[18](#page-14-9),[25](#page-14-16)} could be used to influence performance on an auditory task. The visual HiRe and LoRe cues consisted of high and low spatial frequency vertical gratings, respectively (Fig. [1B](#page-1-1), Table [1\)](#page-1-0).

In all behavioral experiments, the HiRe and LoRe trials were presented with equal probability in random order in runs of 100 trials. In one daily testing session, the monkeys completed 1–7 runs depending on their motivation. M1 and M2 testing started with the AudCue1 experiment, followed by the AudCue2 and VisCue experiments. M3 performed the AudCue experiments in reverse order. In AudCue1, M1 completed 30 runs (100 trials each), M2 25 runs, and M3 15 runs. In AudCue2, M1 completed 32 runs, M2 14 runs, and M3 15 runs. In VisCue, M1 and M2 completed 24 runs each.

Visual cues and visual wait signals were presented in the middle of a computer screen in front of the monkey (distance 1 m). All auditory signals were presented from two loudspeakers (Creative Inspire T10, distance 1 m, 30° to the left and right from the center of the screen; 65 dB SPL). The experiment was controlled using Cortex software (Salk Institute).

It is possible that in two of the three monkeys' previous training history may have infuenced their ability to pick up this task. Both monkeys (M1 and M2) had participated in our previous fMRI study[13](#page-14-6) on audio-visual attention in which they received extensive in daily sessions over two years on auditory or visual task training, while ignoring stimuli in the other modality. The final component of that study was attending to pictures and ignoring sound. However, M3 was previously trained only on an auditory task. This prior experience may have contributed to M1 and M2 showing stronger efects using visual cues, whereas M3 learnt to more readily use auditory cues (see Results).

Analysis of Task Performance. Each trial was classifed as a hit (correct response within the response window), early response (response before the response window), or miss (no response before the end of the response window). The mean hit rate (HR), early response rate (ER), miss rate (MR) and hit reaction times (RT) were calculated for each run separately for HiRe and LoRe trials. RT was calculated only for hits.

fMRI experiment. The VisCue experiment was chosen for fMRI because it showed much stronger behavioral efects than the AudCue paradigms. Tis paradigm also had the advantage of having the same wait and go signals in HiRe and LoRe trials. For fMRI, the VisCue experiment was slightly modifed to accommodate fMRI imaging timing constraints. Namely, in HiRe hit trials, reward delivery was delayed until afer volume acquisition to avoid movement efects associated with juice consumption. Further, in hit trials, both the reward cue and auditory wait signals were always present until the end of the fMRI volume (5 s from the start of the trial) irrespective of whether the trial was a HiRe or LoRe hit trial. Also, if the monkey responded during the auditory wait signal but before the response window (early response) or missed the auditory go signal, a red screen was presented, and the trial terminated afer the completion of the volume acquisition (auditory wait signal continued till the end of trial). These modifications were made so that irrespective of trial type (hit, miss or early response trial), the only stimulus level diference (before and during the fMRI volume acquisition) between Hire and LoRe trials was in the type of visual cue presented. Otherwise, the task, visual cues and auditory go signals were identical to those used in the behavior-only VisCue experiment (Fig. [1B](#page-1-1), Table [1\)](#page-1-0).

The auditory wait signal was either a low-pitched tone (0.2 kHz sinusoid, 3 Hz amplitude modulation; 50% of the runs) or a high-pitched tone (2 kHz sinusoid, 8Hz amplitude modulation; 50% of the runs), and it was always played until the end of the MRI volume acquisition irrespective of the monkey's behavior.

During fMRI, sounds were presented using MRI-compatible headphones (NordicNeuroLab) at 65 dB SPL (measured with an NTI Audio XL2 sound level meter). Visual stimuli were projected to a screen that the monkeys could see in a mirror in front of them. Scanner noise was attenuated with the ear cups around the headphones and acoustic noise dampening foam used around these (TempurPedic). The fMRI experiment was controlled using Cortex software (Salk Institute). The duration of the fMRI sessions was approximately 4 hours with preparation and take down, with the monkeys completing 2–5 runs of 100 trials per fMRI session.

HiRe and LoRe trials were presented with equal probability (40%) in a random order. During the remaining 20% of trials, no reward cues, nor auditory wait signals were presented but auditory go signals were present (this condition served as a baseline condition). The auditory wait signal was always the same within a session (either a high pitch sound or low pitch sound). Each monkey (M1, M2) completed 18 fMRI runs (each with 100 trials) with low (fMRI_{low}) and 18 runs with high (fMRI_{high}) auditory wait signals. For M1, we first completed data acquisition with fMRI $_{\text{low}}$ followed by fMRI $_{\text{high}}$. For M2 this testing order was reversed.

MRI Procedures. The monkeys were scanned in a primate dedicated vertical 4.7 T MRI scanner (Brucker BioSpin, Etlingen, Germany). During data acquisition, the monkey sat in a scanner specifc primate chair. Both monkeys (M1, M2) had been slowly acclimated to the scanner environment and having their head immobilized¹³. In each scanning trial, one fMRI volume was acquired 2500 ms after the onset of the auditory wait signals. That is, the volume was acquired during the rising edge of the expected peak of the BOLD response to the auditory wait signal^{[26](#page-14-17)} (Fig. [1B](#page-1-1), Table [1\)](#page-1-0). In this way, the fMRI volume acquisition was positioned in time to largely capture the auditory response to the auditory wait signal, which is identical across all trials in each session.

Functional data were acquired using gradient-recalled echo planar imaging (EPI) sequence (TE 22ms, volume acquisition time 2000 ms, flip angle 90°, matrix 96 \times 96, FOV 9.6 \times 9.6 cm², slice thickness 2.0 mm with no gap, in-plane resolution 1×1 mm², 20 axial slices covering most of the brain). Two structural scans were acquired in each session aligned with the functional volumes, which were used to help to register the functional volumes to the higher resolution anatomical image. One of these was a full-head EPI with extra slices. The other image was an anatomical volume (MDEFT; TE 6 ms; TR 20 ms; matrix 192×192 , FOV 9.6×9.6 cm², slice thickness 2.0 mm with no gap, in-plane resolution 0.5×0.5 mm², 28 slices), which had higher in-plane resolution. Altogether 7200 functional volumes were acquired (2 monkeys \times 2 to be attended sounds \times 18 runs \times 100 trials).

fMRI data analysis. Global voxel-wise analysis was performed using FSL (version 5.8; [www.fmrib.ox.ac.](http://www.fmrib.ox.ac.uk/fsl) [uk/fsl\)](http://www.fmrib.ox.ac.uk/fsl) separately for each run. The data were motion corrected, high-pass filtered (cutoff 100 s), and spatially smoothed (Gaussian kernel of 1 mm full-width half maximum). A general linear model with six explanatory variables (HiRe and LoRe trial; hit, early response or miss) was defined. The model also included four nuisance variables: trials afer rewarded hit trials (to control for efects related to sensations and movements associated with the juice reward), reaction time for early response trials, reaction times for hit trials, and inter-volume interval (to model the efects of inter-image variation on the signal magnitude). In addition, 12 motion parameters were included in the model. Functional data of each scanning run were co-registered via the intermediate anatomical scans to a template monkey brain²⁷ that is in register with a macaque brain atlas in stereotactic coordinates^{[28](#page-14-19)}.

Higher-level analysis was conducted across runs and animals. Using FreeSurfer tools (version 5.3, [www.free](http://www.freesurfer.net)[surfer.net\)](http://www.freesurfer.net), the contrast parameter estimates from the frst level analysis were resampled to the cortical surface of the template monkey brain^{[27](#page-14-18),[29](#page-14-20)} and smoothed on the surface (5 mm FWHM). Analysis across runs and monkeys was conducted using two-sided Welch's *v* tests in surface space using FSL's PALM³⁰ (version alpha26; 10 000 permutations). The runs of one monkey were treated as a permutation and variance group to accommodate heteroscedasticity. Correction for multiple comparisons was performed using cluster mass correction (using PALM in FSL with a cluster defining threshold $Z=2.6$, see^{[13,](#page-14-6)[30](#page-14-21)} for details) resulting in FWER corrected P values for each cluster (i.e., this procedure does not provide corrected P values for each node).

Regions of interest (ROIs) were defned by subdividing the superior temporal gyrus (STG) into 4 segments in the anterior-posterior direction, and ROI mean signal magnitudes were computed separately for each ROI and hemisphere.

Group level behavioral and ROI analysis. We used linear mixed models in SPSS to analyze the behav-ioral and ROI data (Figs [2](#page-5-0), [4](#page-6-0) and [6,](#page-9-0) Tables $2-4$). These models included an intercept for run (each monkey had several runs). Reward (HiRe, LoRe) was treated as a repeated measures factor within each run. For each separate analysis, the covariance structure with the smallest Akaike Information Criterion was used. Permuted Welch's *v* tests were used for pair-wise comparisons in Fig. [7](#page-9-1). FWER correction was conducted across all pair-wise comparisons.

Psychophysiological interactions analysis. We conducted a psychophysiological interactions (PPI) analysis to investigate which brain regions were functionally interacting with auditory cortex during the present task. First-level analysis was conducted using a model with psychological regressor (contrast HiRe>LoRe, hit and early response trials), physiological regressor (mean timeseries in bilateral primary auditory cortex, ROIs; based on tonotopic measurments during fMRI in at least 3 monkeys), and PPI (interaction between psychological and physiological regressors) as explanatory variables. The model also included all the rest of the explanatory variables of the original model (see *fMRI data analysis*). Higher level analysis was conducted in voxel space using FSL's PALM^{[30](#page-14-21)}; version alpha26; 10 000 permutations). Finally, the results were resampled to the cortical surface of the template monkey brain for visualization $27,29$.

Results

Behavioral results in AudCue1, AudCue2 and VisCue experiments. The present Incentivized Attention Paradigm (IAP paradigm) is illustrated in Fig. [1.](#page-1-1) We frst looked at the impact of the reward cues on performance in this paradigm. Mean performance across each run and animal in the AudCue1 and Audcue2 experiments is shown in Fig. [2.](#page-5-0) Note that as the task is not a discrimination nor a categorization task, d' cannot be computed here. Hit and miss rates in the current task are, however, comparable or better than those reported in previous monkey studies^{[12](#page-14-5),[13](#page-14-6),[31](#page-15-0)}. To test whether the reward manipulation (HiRe vs. LoRe) significantly

Figure 2. Performance in the AudCue behavioral experiments. Performance in AudCue1(top) and AudCue2 (bottom). The two leftmost box plots show mean hit rate across each run in the three monkeys for HiRe (blue) and LoRe (gray) trials. The other box plots show the early response rate, miss rate and reaction times (RT) correspondingly. The scale for RT is on the right side. Note that responses were classified as hit, early response or miss (i.e. in each run, HR+ER+MR=1). Asterisks indicate signifcant diferences between HiRe and LoRe trials [i.e. main effect of reward, *P < 0.05, **P < 0.01 and ***P < 0.001; AudCue: linear mixed model with factors reward (HiRe, LoRe), monkey (M1, M2, M3) and experiment (Audcue1, Audcue2)]. See results and Table [2](#page-7-0) for details. The whiskers indicate 1.5 times the interquartile range (IQR) from the first and third quartile. The horizontal line inside the box indicates the sample median. Note that the significance values are for the repeated measures factor (reward cue), thus, for example, the variation in the diference score between HiRe and LoRe RT's is smaller than one would expect from the box blots.

Figure 3. Performance in the VisCue behavioral experiment. Asterisks indicate signifcant diferences between HiRe and LoRe trials [main effect of reward, *P < 0.05, **P < 0.01 and ***P < 0.001; linear mixed model with factors reward (HiRe, LoRe) and monkey (M1, M2)]. For details see results and Table [2](#page-7-0).

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Figure 4. Temporal profle of the reward related performance efects. Dashed lines indicate the end of a daily session. Miss rate (MR) during the frst 15 runs separately for each monkey with (top) auditory cues (M1, M2: AudCue1; M3: AudCue2) and (bottom) visual cues (see text for details). Error-bars indicate SEM.

modulated performance in the AudCue1 and AudCue2 experiments, we used linear mixed models (intercept for run) with repeated measures factor reward cue (HiRe, LoRe) and fxed factors of experiment (AudCue1, AudCue2) and monkey (M1, M2, M3). Each performance parameter (hit rate HR, miss rate MR, early response rate ER, and reaction time RT) was analyzed using separate models. Signifcant reward cue main efects were observed (Table [2](#page-7-0)), seen as higher HR, lower MR and faster RT in the HiRe than LoRe trials. The linear models also revealed significant reward cue \times monkey and reward \times experiment \times monkey interactions indicating that the efects associated with the reward cue manipulation were not identical in the two experiments and three monkeys. To understand the source of these interactions, we analyzed performance separately in each monkey and experiment (linear mixed model, intercept for run, repeated measures factor for reward cue; signifcant efects are listed in Table [2](#page-7-0)). These analyses showed that one of the monkeys (M3) had high performance for the HiRe trials. The other two monkeys showed less robust, but still significant preferences for the HiRe trials. Importantly, these analyses verifed that each monkey showed signifcant reward cue efects in AudCue1, AudCue2 or both. However, signifcant diferences between HiRe and LoRe trials were not always observed in the same performance measures across the monkeys and experiments, which explains the interactions with the monkey factor observed in the overall analysis.

Correspondingly, performance in the VisCue experiment (Fig. [3](#page-5-1)) was analyzed using linear mixed models with repeated measures factor reward cue (HiRe, LoRe) and fxed factor monkey (M1, M2). Tese analyses showed significant reward cue main effects for HR (F_{1,46}=315, P=3.3 × 10⁻²²; HiRe > LoRe), MR (F_{1,46}=144, P = 9.1 × 10⁻¹⁶; HiRe < LoRe) and RT (F_{1,46} = 33, P = 6.9 × 10⁻⁷; HiRe < LoRe). In contrast to AudCue1 and AudCue2 experiments, no significant reward cue \times monkey interactions were observed, thereby there were no signifcant diferences in performance between the two monkeys.

Temporal profile of performance. Figure [4](#page-6-0) shows the miss rate (MR) based results. This parameter was chosen because it showed the most consistent reward-cue efects across monkeys and behavioral experiments. In the AudCue experiments (top), the diference between HiRe and LoRe trials emerged within the frst 15 testing runs consisting of 100 trials each. Note that the results are shown either for the AudCue1 or AudCue2 experiment depending on which one was performed frst (M1 and M2 started with AudCue1, M3 started with AudCue2). In M1, lower MR in HiRe than LoRe trials is observed from the $10th$ run onward (after ~1000 trials). M2 showed consistently better performance (i.e., lower MR) already during the frst few runs (afer a few hundred trials), but the diference between HiRe and LoRe in this monkey, although seen on some runs with the auditory cues, was not systematically observed in all runs. M3 learned the diference between HiRe and LoRe cues already during the frst run (frst hundred trials). Note that in M1 and M3 the reward cue efects on MR were observed consistently afer 100 (M3) or 1000 (M1) trials. In the VisCue experiment (bottom), the two monkeys that were tested on this experiment (M1 and M2) both showed a distinct diference between the HiRe and LoRe trials already during the frst run. Tis faster learning of the visual cues could relate to the fact that the VisCue experiment was conducted afer the auditory cue experiments.

fMRI experiment: Behavioral results. Because the VisCue experiment showed stronger efects than the AudCue experiments, the VisCue paradigm was chosen for fMRI. Similar to the VisCue experiment conducted outside the scanner, performance during fMRI (Fig. [5](#page-8-0)) was better in the HiRe than LoRe trials. However, in contrast to the behavioral experiments, there were more early responses during HiRe than LoRe trials during fMRI with the ER measure showing a signifcant efect. Interestingly, this efect is opposite to that found in previous studies using similar reward incentive paradigms in monkeys where the monkeys maximized their reward by increasing their ER rates for LoRe trials^{[15,](#page-14-8)[18,](#page-14-9)25}. This was probably, at least partly, because during fMRI the trials

Table 2. Performance in AudCue1 and AudCue2.

were continued until the volume acquisition had completed (Fig. [1B](#page-1-1)). Tus, in the scanner, it was not possible for the monkeys to stop a LoRe trial with an early response. This constraint on the task timing during fMRI resulted in very low ER and high MR in LoRe trials. The relatively higher ER during the HiRe trials, in turn, might relate to the monkeys being impatient to receive the large juice reward. Note, that to maximize reward, the best strategy for the monkeys would have been to give more early responses for LoRe trials. However, instead we see that the monkeys gave more early responses for HiRe trials, thus they were not working to maximize reward in the same manner as seen in previous studies^{15[,18](#page-14-9),25}.

As in the analysis of performance data in the behavioral experiments (above section), we tested the reward cue efects using linear mixed models with repeated measures factor reward cue (HiRe, LoRe), and fxed factors auditory wait signal (low, high) and monkey (M1, M2). The results are summarized in Table [3](#page-8-1). The performance during the trials without cues (20% of the trials) is depicted as light gray in Fig. [5.](#page-8-0) Note that all behavioral parameters showed a significant main effect of reward cue. The analyses also revealed significant monkey \times reward cue interactions for HR, ER, and MR suggesting that the monkeys performed the task using slightly diferent task strategies: M1 had a higher ER for HiRe trials than M2. Importantly, there were clear efects for both monkeys with HR and MR (Table [3](#page-8-1)).

fMRI results. We hypothesized that due to the reward cue manipulation the monkeys would focus more strongly on the auditory wait signal during HiRe than LoRe trials and that this would result in higher fMRI activation in auditory cortex during HiRe than LoRe trials. To test for activation diferences between HiRe and LoRe trials, we frst analyzed activation in the 19 (out of 72) runs that contained all HiRe and LoRe trial types (i.e., hit, early response and miss trials). A run that e.g. did not contain any HiRe miss trials was excluded from this analysis. Supporting our initial hypotheses, contrasts between HiRe and LoRe trials in these runs showed enhanced activation in HiRe trials throughout broad STG regions bilaterally. Higher activation during HiRe than LoRe trials was also observed in right opercular cortex and in left extrastriate occipital regions (Fig. [6](#page-9-0)). No regions showed higher activation during LoRe than HiRe trials.

Table 3. Performance during fMRI.

Figure 5. Performance during fMRI. Results are illustrated for fMRI $_{\rm HIGH}$ (Top) and in fMRI $_{\rm Low}$ (bottom). HiRe trials are depicted in blue, LoRe in gray and NoCue in light gray. Asterisks indicate signifcant diferences between HiRe and LoRe trials (main effect of reward, *P < 0.05, **P < 0.01 and ***P < 0.001; linear mixed model with factors reward (HiRe, LoRe), monkey (M1, M2), and auditory wait signal (fMRI_{HIGH}, fMRI_{LOW})]. For details see text and Table [3](#page-8-1).

We were also interested in the efects of the reward cue manipulation on activations in tonotopically organized regions of AC. Therefore, we compared activation in the runs with the high-pitch (fMRI_{high}) and low-pitch auditory wait signal (fMRI_{low}) using separate contrasts and two-way ANOVAs with the factors pitch of auditory wait signal (fMRI_{High}, fMRI_{Low}) and reward cue (HiRe, LoRe). Because of generally weak tonotopic effects with the two pitches, these analyses yielded no signifcant topographic efects related to the tonotopic axis.

fMRI ROI analyses. To understand the source of the reward cue effects on the activations in auditory cortex, we conducted ROI analyses using similar ROIs as in a previous comparative study on audio-visual selective attentio[n13.](#page-14-6) To remove outliers, the central 85% of values were included in each case leaving 15–30 runs for

Figure 6. Brain areas showing stronger activation during HiRe than LoRe trials. Results are shown on infated cortical surfaces (gyri: light gray; sulci: dark gray). The comparisons (Welch's ν test) were performed in surface space across 1st level contrast parameter estimates and permutation inference was used to assess statistical signifcance (red clusters; HiRe vs. baseline and LoRe vs. baseline contrast parameter estimates, the runs of each monkey were treated as a permutation and variance group to accommodate heteroscedasticity, initial clusterforming *Z* threshold 2.6, cluster-corrected $P < 0.05$). Note that the same P-value is attributed to the whole cluster in the PALM analysis, so diferential P-value responses mapped to the brain should not be expected. Abbreviations: D dorsal, V ventral, A anterior, P posterior.

Figure 7. Region-of-interest (ROI) analysis of activation diferences between HiRe and LoRe trials in monkey STG. The box plots show mean signal magnitudes (two monkeys) in each anatomically-defined STG ROI. To remove outliers, the central 85% of values were included in each case leaving 15–30 runs for M1 and 15–30 runs for M2, depending on the response measure in question. Asterisks indicate signifcant pair-wise tests comparing signal magnitude between HiRe and LoRe trials in each ROI (permutation-based signifcance testing using Welch's *v* tests, two-sided, 10 000 permutations, FWER corrected across all pair-wise comparisons, *P < 0.05, $^{**}\!P\!<\!0.01$ and $^{***}\!P\!<\!0.001)$. Note that each test compared activation across HiRe and LoRe trials with identical auditory stimuli and motor responses. The inserts at top show the location of the ROIs. The difference between HiRe and LoRe trials was signifcant only during the hit and early response trials and the efects were most consistent in the posterior and middle parts of STG.

Table 4. Activation diferences between HiRe and LoRe trials in STG ROIs.

M1 and 15–30 runs for M2, depending on the parameter in question. Figure [7](#page-9-1) shows the comparison of mean signal magnitude in each ROI during HiRe and LoRe hit, early response and miss trials. This figure also summarizes the results of separate tests comparing signal magnitude between HiRe and LoRe trials in each ROI (permutation-based signifcance testing using Welch's *v* tests, two-sided, 10 000 permutations, FWER corrected). Note that each test compared activation across HiRe and LoRe trials with identical auditory stimuli and motor responses. Signifcant reward cue diferences were observed in hit and early response trials, but not in miss trials.

To investigate whether the reward cue efects show systematic diferences across hemispheres, ROIs and the two monkeys, the ROI data were submitted to linear mixed models analyses. An omnibus analysis with factors hemisphere (lef, right), ROI (1, 2, 3, 4), performance (hit, early response, miss), reward cue (HiRe, LoRe) and monkey (M1, M2), including an intercept for each run, revealed signifcant main efects for reward cue and the following interactions: reward cue \times performance, ROI \times reward cue \times hemisphere, and ROI \times reward cue \times hemisphere \times performance (Table [4](#page-10-0)).

Next, to better understand the bases for these interactions, we analyzed hit, early response and miss trials using separate models (i.e., diferent linear mixed models with the factors hemisphere, ROI, reward, and monkey; Table [4](#page-10-0)). In hit trials, there was a signifcant reward cue main efect across all ROIs and both hemispheres. There was also a three-way $ROI \times reward$ cue \times hemisphere interaction with reward cue modulation during hit trials being stronger in the right posterior ROIs in comparison to the lef (1–3, Fig. [7](#page-9-1)). Early response trials also showed an overall significant reward cue main effect. Additionally, a three-way ROI \times reward cue \times hemisphere interaction was observed because the reward cue efect in the middle ROI (3) was only signifcant in the right hemisphere (Fig. [7\)](#page-9-1). In the miss trials, there was no main efect of reward. However, there were reward cue \times hemisphere and reward cue \times monkey interactions. The reward cue \times hemisphere interaction was because miss-related activation tended to be higher during LoRe than HiRe trials in the lef hemisphere, whereas the opposite trend was observed in the right hemisphere. The reward cue \times monkey interaction during the misses, in turn, was because there were no signifcant diferences between HiRe and LoRe trials for M1, but M2 showed higher activation during LoRe than HiRe miss trials (Table [4\)](#page-10-0). The pairwise comparisons between HiRe and LoRe miss trials showed no signifcant efects in any of the ROIs.

In summary, the overall pattern of results is consistent with the hypothesis that reward cues modulate auditory attention within the simple auditory task, which generally caused broad modulation of monkey auditory cortex. Notably, unlike the systematic reward related efects for hit and early response trials (Table [4](#page-10-0) and Fig. [7](#page-9-1)), no consistent HiRe vs. LoRe diferences were observed during miss trials when the monkeys were likely paying less attention.

Additional ROI analyses. As there were more HiRe than LoRe hit trials per run, we conducted further analyses for the eight auditory cortex ROIs to determine if this imbalance afected the fMRI ROI results. We tested whether the mean signal magnitude in each fMRI scanning run in either the HiRe or the LoRe trials correlated with the mean HiRe or LoRe HR of that run respectively. This analysis showed no significant correlations in any of the ROIs (HiRe: −0.22<r<0.018, 72 runs, P>0.061 in all ROIs; LoRe: −0.127<r<0.260, 36 runs, P>0.125 in all ROIs). Moreover, non-linearly transforming the HRs (second degree) to look at possible higher order efects also did not appear to impact on the fMRI ROI results, yielding nonsignifcant results (HiRe: −0.18<r<0.06, 72 runs, P $>$ 0.131 in all ROIs; LoRe: 0.015 < r < 0.158, 36 runs, P $>$ 0.358 in all ROIs). Thus, the amount of hits achieved by the monkeys per run does not explain the diference between HiRe and LoRe hit trials shown in Fig. [7.](#page-9-1)

Psycho-physiological interactions. The results of the PPI analysis (Fig. [8](#page-11-0)) revealed that during the reward cue modulation, there was a signifcant functional interaction (initial cluster forming threshold 2.6, corrected P<0.05) between primary auditory cortex and other cortical regions such as higher level auditory cortex, visual

Figure 8. Brain areas showing signifcant psycho-physiological interactions (PPI) with the primary auditory cortex (A1, R and RT). These PPIs were analyzed by calculating the interaction between the difference between HiRe and LoRe hit and early response trials and the timeseries of the auditory cortical regions (see Methods). The analysis was performed across 1st level contrast parameter estimates using permutation inference (10 000 permutations, the runs of each monkey were treated as a permutation and variance group to accommodate heteroscedasticity, initial cluster-forming *Z* threshold 2.6, cluster-corrected P < 0.05). The results (red clusters) are shown on infated cortical surfaces (gyri: light gray; sulci: dark gray). We also show three coronal slices registered to a macaque standard brain in stereotactic coordinates^{[28](#page-14-19)}. Dashed lines on the lateral surfaces indicate the approximate position of the coronal slices in the brain. Abbreviations: D dorsal, V ventral, A anterior, P posterior, NB nucleus basalis, NA nucleus accumbens.

cortex, hippocampus, parietal cortex and medial frontal regions. Notably, signifcant efects were observed also in basal forebrain areas associated with dopaminergic (nucleus accumbens) and acetylcholinergic (nucleus basalis, thalamic nuclei and basal ganglia) modulatory infuences (see Table [5](#page-12-0) for a comprehensive list of regions).

Discussion

Remarkably, in the present paradigm (Incentivized Attention Paradigm; IAP), afer acquiring the basics of behavioral training and acclimatization (e.g., chair training, responding to sounds with the lever and accepting reward, which takes about 2–3 months per monkey), the monkeys showed systematic behavioral effects within a few task sessions. By comparison, in previous monkey auditory studies using active listening tasks, training has typically required several months or a few years of daily training sessions and many thousands of trials^{[13,](#page-14-6)[32](#page-15-1)-3}

Monkeys performed a very simple auditory attention-engaging task in which they were required to withhold a response during a wait signal and make a response afer an auditory go signal in order to receive a juice reward. The auditory task required no motor-response selection, abstract task instruction, or other demanding training components that are difcult and time consuming on which to train nonhuman animals. Moreover, auditory task performance was modulated using the high and low reward incentive cues, which the monkeys readily learned to discriminate from each other without specifc training. Signifcantly diferent performance between HiRe and LoRe trials emerged within a few runs of 100 trials in all of the tested conditions. This difference was more pronounced and systematic during the VisCue (where HiRe and LoRe cues consisted of two distinct visual patterns) than AudCue (two distinct sounds) conditions.

Afer visual reward cue onset in the VisCue condition, an auditory wait signal was presented indicating the monkeys should withhold the response until an auditory go signal occurred. Tis was designed to redirect attention from the visual to the auditory modality to help the monkeys to detect the auditory go signal. As expected, we found that activation in auditory cortex timed to the auditory wait signal was higher during HiRe than LoRe trials. Tis efect is not due to auditory stimulation as identical sounds were presented during both types of reward trials.

The results also alleviate concerns about potential confounds, such as visual stimulation or motor responses contributing to the HiRe-LoRe diference observed in auditory cortex. For instance, although a diferent visual cue was used in the HiRe versus LoRe trials, the activation diference in auditory cortex is not due to visual stim-ulation. This is evident in the results shown in Fig. [7](#page-9-1) in which a significant HiRe vs. LoRe difference is observed only during hit and early response trials. The enhanced activation during HiRe hit or early response trials is also not due to general task-performance (e.g., motor response) diferences because all HiRe vs. LoRe comparisons were conducted across trials with similar performance (e.g., hit HiRe vs. hit LoRe trials; see Fig. [7](#page-9-1)). Furthermore, the efect of reaction time diferences (in hit and early response trials) was controlled for in the (frst-level) analysis, and we confrmed that non-linear RT efects and the higher number of hits in HiRe than LoRe trials did not significantly modulate signal magnitudes in the ROIs. Thereby, the overall findings support the initial hypothesis

Table 5. Regions showing significant (initial cluster forming threshold $Z < 2.6$, corrected $p < 0.05$) psychophysiological interactions with the primary auditory cortex. Tese functional interactions in the identifed brain areas were assessed alongside the reward related modulations in the auditory cortical regions showing main effects (Fig. 6) in relation to the macaque brain atlas in stereotactic coordinates²⁸.

that the reward incentive cues afected monkeys' motivation to perform the auditory task and that this modulated activation in monkey auditory cortex.

As a point of reference, in our previous fMRI study on audio-visual selective attention, monkeys were trained to perform a spatial discrimination task attending to stimuli in one sensory modality while ignoring those in the othe[r13.](#page-14-6) In that study despite the extensive training over two years, we found that the monkeys' frequent attention lapses signifcantly altered the pattern of attention-related activations, complicating cross-species comparisons to humans. In the present study, to decrease the amount of training, we relied on incentive cues and used a simple auditory task. Comparisons were conducted across trials with focused performance, thus we were able to control for the efects of lapses in attention. Interestingly, here we observed that the HiRe hit and early response (but not miss) trials were associated with enhanced activation in broader stretches of auditory cortex. The present findings are an important indication in nonhuman animals that an active auditory task, minimizing the efects of lapses in attention, can broadly modulate auditory cortical responses as it is known to do with humans^{1-[3](#page-14-10),[13](#page-14-6),19-21}. However, to achieve this required using reward incentive cues, which is worth considering further, because it is a crucial facet of the paradigm.

Is it possible that the modulations in monkey auditory cortex were primarily due to reward expectancy and not due to auditory attention? The way the paradigm was designed and the behavioral and fMRI results support the notion that both are involved, as we now consider.

Recent studies have shown that monkeys have an extraordinary ability to make reward associations to dis-parate visual objects and to retain these associations for months^{40[,41](#page-15-4)}. These object reward associations have been found to modulate activations in both frontal regions and high-level visual regions⁴¹. Previous macaque studies have also shown reward expectancy modulations of neuronal responses and fMRI activation in both visual^{[42](#page-15-5)} and auditory cortex[43–](#page-15-6)[45.](#page-15-7) In the present study, the HiRe trials were associated with stronger activity than the LoRe trials primarily in broad auditory cortical regions and, as we also show, this involves interaction between auditory cortex and sites along both dopaminergic and cholinergic pathways.

It is also known that the systems for motivation and attention are not functionally fully separable or at least can interact and jointly influence sensory cortices. Also, dopaminergic⁴⁶ and acetylcholinergic⁴⁷⁻⁵¹ neuromodulatory systems, implicated in reward processing and attention, afect auditory cortical neuronal processing. One could even argue that reward expectancy is an integral component of focused attention^{52[–55](#page-15-12)} and, in fact, the results of most animal studies regarding auditory attention could be seen as reward expectation related modulation of sensory processing^{[52,](#page-15-11)56}. Thus, the specific effects of reward or attention are difficult to segregate, at least at the level of whole-brain imaging. Moreover, our PPI results indicate that it is likely that both systems afect sensory cortex, given that both regions associated with acetylcholinergic (nucleus basalis) and dopaminergic (accumbens) modulations interacted with the efects in auditory cortex.

Thereby, inasmuch as only attention-related influences cannot explain the results, our results are also unlikely to be explained solely by reward expectancy efects, for the following reasons: First, in the previously mentioned studies^{42–45}, reward value and thus reward expectancy effects were directly linked to specific visual or auditory stimuli. In contrast, in the present study afer the reward cues, identical wait and target sounds were associated with either high or low reward and the fMRI volume was timed to these sounds. Second, any reward expectancy efects in the present study should have equally afected auditory cortex activations during the miss trials. However, no systematic activation diferences between the HiRe and the LoRe trials were observed during miss trials, suggesting that the monkeys lost their focus and missed both the auditory wait and go signals. Third, during fMRI, the monkeys showed more early responses (resulting in trial termination and no reward) to HiRe rather than LoRe trials, wheareas animals working to maximize reward as seen in other studies¹⁵ would predict more early responses to LoRe trials. Finally, previous human studies using MID paradigms have shown that reward cue based paradigms can be efectively used to guide visual attention in humans, having a remarkably similar impact on sensory cortical processes as traditional attention tasks^{57–[62](#page-15-15)}. We now show similar effects on sensory cortex for the frst time in nonhuman animals.

In the present study, the most distinct efects are seen in auditory cortex rather than in prefrontal regions implicated in value-based decision making^{[63](#page-15-16)}. Prefrontal areas, such as orbitofrontal cortex did, however, show significant PPI results (Table [5\)](#page-12-0), suggesting that these areas interact with auditory cortex in the current task. The lack of strong prefrontal cortex efects in Fig. [6](#page-9-0) likely stems from the main whole-brain HiRe vs. LoRe comparisons summarizing efects across runs that contain all trial types (i.e., hit, early response and miss trials). Medial and orbito-frontal regions become prominent when value-based decisions require analysis of specifc aspects of reward valuation or outcome^{[64](#page-15-17)}, which was not our main objective here. The use of this task design and fMRI volume acquisition paradigm, for the reasons noted in the previous paragraph, may also be why we found positive BOLD infuences in sensory cortex. Two other studies using manipulations of reward report either decreases in auditory cortical neuronal responses as a function of reward expectancy⁴⁵ or negative BOLD in visual cortex as a function of the amount of reward delivered on a previous trial^{[42](#page-15-5)}. However, results obtained using different neurobiological measures or in diferent sensory modalities cannot be directly compared or such comparisons should be made with caution pending future direct testing.

The advantage of the IAP paradigm is that systematic auditory behavioral manipulations and significant brain modulation can be achieved extremely quickly. Moreover, the results show that the monkeys learned to reverse the reward relationship in AudCue1 and AudCue2 within a few trials. Tus, with this task the monkeys quite fexibly reinterpret the behavioral meaning of the cues and stimuli. By comparison, training in traditional paradigms ofen requires 10 s of 1000 s of trials and typically by that point the animals have stereotyped their behavioral response patterns (making it difficult to reinterpret cue and stimulus conditions) and the results suffer from regu-lar lapses of attention^{[12](#page-14-5),[13](#page-14-6)}. IAP requires the use of reward incentive cues and as such it is not a traditional attention paradigm. However, the impact on sensory cortex by incentivized attention is actively being studied. Tis study contributes to these eforts by identifying the functional imaging impact on sensory cortex by reward-driven attention and the neuromodulatory sites involved.

The IAP could in the future be further developed and combined with other approaches to more selectively manipulate the focus of attention. Namely, the use of incentive cues in the IAP paradigm serves to interest the monkeys in the trial if a high immediate reward can be expected. In turn, the auditory wait signal helps to direct the general focus of the monkey's attention to sounds. Within the context of the visual cue paradigm, for example, using a mixture of auditory wait signal features associated with specifc reward cues might be successful in manipulating the animals' within-sensory-modality selective attention (e.g., attend to a particular feature of the auditory wait signal mixture to receive the high reward). The paradigm could also be hybridized with a more traditional paradigm, whereby animal task training is expedited and more quickly leads to testing the animals on cognitive tasks that gradually eliminate the reliance on the incentive cues (in weeks rather than months or years). These and other further developments to innovate training on cognitive tasks in nonhuman animals could provide an important foundation for being able to better translate the insights obtained in animal models to humans to advance scientifc knowledge on the neural bases for cognition.

Due to the co-evolution of language and cognition in humans, some have argued that the extent to which nonhuman primates can model human auditory cognition remains unclear^{[10](#page-14-3),[11,](#page-14-4)32}. However, it is possible that challenges in training monkeys to maintain motivation and to perform stably on auditory tasks has infuenced this impression $12,13$ $12,13$. Our results show that when the task is differentially incentivized and thus becomes more relevant for the monkeys, task training can be accomplished in a relatively short amount of time *and* that, similar to humans, active listening results in substantial activation modulations in broad regions of auditory cortex. The correspondence between our neuroimaging fndings in macaques and related observations in humans altogether support the notion that substantial segments of the human auditory neurocognitive system are, at least qualitatively, based on evolutionarily conserved functionality.

Data Availability

The data used to generate the figures are shared using the Open Science Framework under Laboratory of Comparative Neuropsychology ([https://osf.io/arqp8\)](https://osf.io/arqp8).

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Author Contributions

P.W., T.R. and C.I.P. designed research; P.W. performed research; P.W. analyzed data; P.W., T.R. and C.I.P. wrote the paper.

Additional Information

Competing Interests: The authors declare no competing interests.

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