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1 ***tACS-mediated modulation of the auditory steady-state response as seen***
2 ***with MEG***

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16

17 **Abstract**

18 Background: Previous studies have shown that transcranial electrical stimulation
19 can be successfully applied during simultaneous MEG measurements. In particular,
20 using beamforming they have established that changes of stimulus induced as well as
21 evoked activity can be inspected during transcranial alternating current stimulation
22 (tACS).

23 Objective/Hypothesis: We studied tACS-mediated changes of the auditory steady-
24 state response (ASSR), hypothesizing that—due to the putatively inhibitory role of alpha
25 oscillations—these evoked responses would be diminished.

26 Methods: We compared ASSRs in conditions with and without 12-Hz and 6.5-Hz
27 sinusoidal 1.5 mA tACS, applied bilaterally over temporal areas. Source-level activity
28 was estimated using a linearly constrained minimum variance beamformer and
29 compared across tACS conditions using paired t-tests following a condition-internal
30 normalization procedure.

31 Conclusions: By separating the electrical and auditory stimulation to non-
32 overlapping parts of the frequency spectrum, we were able to compare auditory-evoked
33 steady-state activity across tACS conditions. We observed a significant decrease in
34 normalized ASSR power in the 12-Hz tACS condition, illustrating that tACS could induce
35 immediate changes in auditory evoked activity. This study sets a methodology to further
36 interrogate the causal roles of oscillatory dynamics in auditory cortices, as well as
37 suggests perspectives for employing tACS in clinical contexts.

38

39 **Introduction**

40 Growing interest in the impact of transcranial electrical stimulation (tES) techniques
41 on human cognition and behaviour, as well as their therapeutic potential has motivated
42 researchers to further develop approaches to better understand tES effects on brain
43 activity [1,2]. In particular, transcranial alternating current stimulation (tACS)
44 putatively taps into intrinsic oscillatory rhythms within the brain by inducing a weak
45 sinusoidal electrical current between scalp electrodes. Already a number of behavioural
46 studies have demonstrated frequency-tuned tACS effects in the visual and motor
47 domains [3,4]. However, due to the enormous artifacts in the recordings created by the
48 electrical stimulation, the electrophysiological correlates accompanying the behavioural
49 effects of tACS have been mostly demonstrated *offline*. Only recently, *online* methods
50 combining tES and magnetoencephalography (MEG) in a concurrent fashion have been
51 implemented, allowing to monitor brain dynamics concurrent to the electrical
52 stimulation [5–9]. tES-induced changes in neuronal oscillatory and evoked activity
53 reflect altered levels of cortical excitability and can reveal important aspects of the
54 mechanisms through which tES methods deliver their therapeutic effects. In order to
55 diminish the influence of artifacts from the magnetic fields associated with the
56 stimulating currents, spatial filtering approaches — the linearly constrained minimum
57 variance (LCMV) [10] and the synthetic aperture magnetometry (SAM) [11]
58 beamformers — have been employed in the source-level analysis of the measurement
59 signals and they have been shown to perform well when facing the presence of highly
60 correlated interference [5,7]. Sekihara *et al.* [12] examined the beamformer's
61 performance in the presence of an additive low-rank interference and found the
62 beamformer to be largely insensitive to the unwanted signals when MEG data is
63 projected in the source space, thereby boosting signal-to-noise ratios (SNRs)
64 significantly. Especially when combined with controlled experimental contrasts, the
65 described property makes the beamformer a powerful tool for inspecting source-level

66 activity in MEG during tACS as long as the technical limits of the instrumentation are
67 taken into consideration [13,14].

68 The auditory steady-state response (ASSR) represents the synchronized neural
69 activity elicited by a continuous, rhythmically repeated or modulated sound. First
70 described by Galambos *et al.* (1981) [15] the ASSR, as measured with
71 electroencephalography (EEG) in response to repeated clicks and tone pips, was found
72 to be strongest at stimulus repetition rates around 40 Hz. The corresponding
73 neuromagnetic response follows the same dynamics as measured on the scalp potentials
74 [16], localizing to the primary auditory cortices [17,18]. The high frequency-specificity
75 of the ASSR can be obtained by using a pure tone as a carrier signal and modulating the
76 tone's amplitude, frequency, or both. To elicit larger — albeit less frequency-specific —
77 responses, broad-band clicks or modulated noise are used [19,20].

78 **Rationale**

79 Although online effects of tDCS on brain activity have previously been described
80 with MEG [9,21,22], no studies exist on online tACS-induced changes in auditory evoked
81 activity. Earlier MEG studies with tACS have focused on identifying evoked and induced
82 activity in the presence of tACS [6,7], but have not compared the activity between
83 multiple tACS conditions. In addition, the majority of simultaneous tDCS/tACS-EEG/MEG
84 studies have targeted modulation in the motor [9,23] and visual [6–8,24] cortices.
85 Notably, Neuling *et al.* (2015) [7] used the whole-brain tACS-MEG approach and
86 appropriate controls to successfully recover increased alpha power during the eyes
87 closed condition compared to the eyes opened condition during tACS. We aimed to
88 simultaneously modulate the excitability levels of the auditory cortices with frequency-
89 specific tACS while eliciting ASSR. To that end, we examined the magnetic ASSR to a 41-
90 Hz continuous click train while participants were either stimulated at 12 Hz (alpha)

91 frequency, 6.5 Hz control frequency (non-harmonic to 12 Hz), or received no tACS
92 stimulation. Alpha frequency oscillatory rhythm is theorized to exert an inhibitory
93 impact, in which corresponding power increase is observed to suppression of cortical
94 excitability [25]. Thus, if alpha-frequency tACS were to entrain the intrinsic neural
95 oscillations, we hypothesized that such an effect should be observable as a diminutive
96 ASSR under alpha frequency stimulation compared to 6.5-Hz control stimulation.

97 ***Material and Methods***

98 ***Subjects***

99 Eighteen subjects (6 female, 12 male; average age 26.6 years, SD 4.1 years)
100 participated in the study. Subjects did not report any hearing impairment. The study
101 was approved by the ethical committee of University of Trento and was carried out in
102 accordance with the Declaration of Helsinki. All subjects gave written informed consent
103 prior to the experiment.

104 ***Experimental Setup***

105 The experiment was conducted in a magnetically shielded room (AK3b,
106 Vacuumschmelze, Germany) using a 306-sensor whole-head (102 magnetometers, 204
107 planar gradiometers) MEG device (Neuromag Vectorview, Elekta Oy, Helsinki, Finland),
108 in a within-subject design that consisted of one block of resting state and five recording
109 blocks with varying combinations of auditory and electrical stimulation (Table 1). Head
110 shape was digitized with the Polhemus FasTrak® system. A minimum of 200 points was
111 captured for each subject in addition to the fiducial points at left and right preauricular
112 points and at the nasion. Five fiducial coils were used for determining the head position
113 at the beginning of each recording block: two coils were placed over the mastoids
114 behind each ear, and three coils were placed on the forehead. No correction for head
115 movements during the recording was applied. Signals were recorded at a sampling rate

116 of 1000 Hz and filtered with an analog bandpass-filter from 0.1 Hz to 300 Hz. The 6.5-Hz
 117 tACS blocks were restricted to 1 minute in order to keep the total time of applied
 118 electrical stimulation to a minimum. This difference in stimulation duration was taken
 119 into account in the analysis (see below). Resting state measurements were always
 120 conducted first, but for the following conditions the block order was shuffled across all
 121 participants to account for possible carry-over effects. Further, the 6.5-Hz tACS block
 122 and the ASSR combined with 6.5-Hz tACS block were always measured during a single
 123 block, in which the auditory stimulus was introduced halfway through the recording
 124 block. During the recordings, the dewar was set in an upright position, and the subjects
 125 watched a silent film and were not involved in any active task.

Block	Auditory stimulation	Electrical stimulation	Block length
'resting'	no	no	5 min
'ASSR'	41-Hz click train	no	5 min
'tACS12'	no	Sinusoidal tACS at 12 Hz	5 min
'ASSR-tACS12'	41-Hz click train	Sinusoidal tACS at 12 Hz	5 min
'tACS6.5'	no	Sinusoidal tACS at 6.5 Hz	1 min
'ASSR-tACS6.5'	41-Hz click train	Sinusoidal tACS at 6.5 Hz	1 min

126 *Table 1 — Stimulation parameters used in the MEG recording blocks*

127 **Electrical Stimulation**

128 The battery-operated stimulator device (DC-Stimulation Plus, NeuroConn GmbH,
 129 Ilmenau, Germany) was placed outside the magnetically shielded room. The stimulator
 130 was connected to a magnetic resonance imaging (MRI) module (NeuroConn GmbH,
 131 Ilmenau, Germany), and also to two electrodes administered to the subjects. Each of the
 132 electrodes was covered with 35-cm² saline-soaked sponges (0.9%-NaCl), which were
 133 held in position by a latex swimming cap covering the head. This approach allowed an
 134 evenly distributed pressure on the electrodes and additionally prevented drying of the
 135 electrode sponges during the experiment. We placed the electrodes bilaterally at EEG
 136 positions T3 and T4, according to the international 10/20 system, chosen to target the
 137 auditory cortices. The impedance value of each subject was kept below 20k Ω .

138 Stimulation waveform was sinusoidal, with a peak-to-peak current amplitude of 1.5 mA,
139 and without a DC offset.

140 ***Auditory stimulation***

141 Auditory steady-state responses (ASSRs) were evoked by a continuous train of 100-
142 μ s clicks repeated at 41 Hz presented from a MEG-compatible loudspeaker. Before the
143 first recording block, individual hearing thresholds to 1-second bursts of the auditory
144 stimulus were determined with a standard manual audiometric procedure: a simple
145 up/down staircase was used with fixed 5-dB and 10-dB step sizes for up and down
146 respectively. Threshold was defined as the level where there were at least two correct
147 responses within three consecutive ascending trials [26]. The intensity level of the
148 auditory stimulus was set to 30 dB above this hearing threshold.

149 ***Data analysis***

150 Noisy and flat channels were identified using a semi-automated procedure and
151 excluded from further analysis. In the recording blocks including tACS, detection of bad
152 channels was based on the pre-stimulation period at the beginning of each block.
153 Further preprocessing, such as eyeblink detection or removal of noisy epochs, was
154 prevented by the tACS artifacts. The same preprocessing steps were taken for both the
155 tACS and non-tACS recording blocks to avoid artificially introducing any bias between
156 conditions.

157 Sensor-level signals were digitally bandpass filtered from 1 Hz to 100 Hz and
158 divided into 4.88-s epochs (200 cycles of the 41-Hz auditory stimulus). Long epochs
159 were used for maximizing the FFT frequency resolution, to ensure that possible tACS
160 artifacts could be identified and differentiated from the auditory activity.

161 For source analysis, source volumes were constructed either based on individual
162 structural MRI scans ($n = 10$), or by fitting a template MRI to the individual headshape of

163 each subject ($n = 8$). Co-registration of the MEG and MRI coordinates was obtained by
164 alignment of the fiducial points. Individual source dipole grids were constructed by
165 warping an 889-point template grid with 1.5 cm spacing to each subject's source
166 volumes. The MEG forward model was based on a single-shell model. Determination of
167 LCMV beamformer [10] spatial filter weights was based on an average covariance
168 matrix estimated across all epochs. No regularization was applied to the covariance
169 matrix, i.e. the lambda-value was set to zero [13]. Source-level virtual sensor time
170 courses were estimated by applying the beamformer to each epoch individually. Virtual
171 sensor power spectra for the Hanning-windowed epochs were then calculated using a
172 Fast Fourier Transform (FFT). Finally, for each tACS variant (no tACS, 12-Hz tACS, or
173 6.5-Hz tACS), a normalized spectrum between two recording blocks of the same tACS
174 variant— A (with auditory stimuli) and B (without auditory stimuli)—was calculated
175 as: $\overline{PSD}(A, B) = \frac{(A-B)}{B}$ for each virtual sensor and frequency bin individually. In other
176 words, block 'ASSR' was normalized with respect to block 'resting', 'ASSR-tACS12' to
177 'tACS12', and 'ASSR-tACS6.5' to 'tACS6.5' to obtain three normalized spectra. Via this
178 approach, we assured that no potential residual artifact of the tACS in source space
179 could explain our findings. The effect of beamforming can be seen in Fig. 1, showing
180 sensor- and source-level activation patterns and spectra for no tACS and 12-Hz tACS
181 conditions.

182

183 *Figure 1 —Sensor- and source-level activation patterns and spectra. On the left panel,*
184 *conditions without tACS, and on the right panel conditions with 12-Hz tACS. Sensor-level*
185 *data is shown only for gradiometer sensors. Sensor topography and source-level activation*
186 *patterns are plotted for the 41-Hz ASSR.*

187 Statistical testing of source-level activity included first identifying the location of the
188 maximum average ASSR activity in a data-driven manner by running a whole-head non-
189 parametric, cluster-based permutation test comparing the non-normalized 'resting' and
190 'ASSR' spectral values at 41 Hz. Second, to evaluate the effect of tACS on auditory evoked
191 activity, another whole-head permutation test compared normalized spectral values at
192 41 Hz between 12-Hz tACS conditions and no tACS conditions. Lastly, to determine
193 whether the observed effect might be tACS-frequency-dependent, the effects of 12-Hz
194 tACS and 6.5-Hz tACS on normalized ASSR power were compared for voxels in the right
195 auditory cortex, identified in the first step. Reported statistical values for each contrast
196 are based on values from the right AC region of interest and calculated using the Fisher-
197 Pitman permutation test. For our actual contrast of interest, i.e. 12-Hz tACS blocks
198 versus blocks without tACS, the full five minutes of the recording were used, but when
199 comparing both 12-Hz tACS and 6.5-Hz tACS to no tACS, only the first minute of the 12-
200 Hz tACS and no tACS recordings were used in order to keep (SNRs) comparable across
201 conditions.

202 **Results and Discussion**

203 ASSRs at 41 Hz could be detected in conditions both with and without tACS.
204 Responses were located predominantly in the right auditory cortex (AC) (Fig. 2A) as
205 could be expected for binaural auditory stimuli [27]. Within the right AC region of
206 interest, a comparison of the no tACS conditions to 12-Hz tACS conditions revealed a
207 significant decrease in normalized ASSR power under 12-Hz tACS stimulation (tACS vs.
208 no tACS: -81.3 ; $Z = -2.51$, $p < 0.01$, *Cohen's d* = 0.72) (Fig. 2B).

209

210 *Figure 2 — A) Normalized source-level auditory activity at 41 Hz in the no tACS*
211 *condition. B) Modulation of ASSR power by 12-Hz tACS. C) Normalized source-level spectra*

212 around the ASSR frequency 41 Hz in the no tACS condition (red line) and in the tACS
213 conditions (blue line).

214 To compare the normalized power between no tACS, 6.5-Hz tACS, and 12 Hz tACS,
215 we examined only the first 1-min time window across all three conditions, thus
216 excluding total duration as confound for potential differences. Restricting the analysis to
217 the first minute of the 12-Hz tACS condition, the same tACS-induced ASSR suppression
218 was observed (tACS vs. no tACS: -35.4 ; $Z = -1.96$, $p < 0.05$, $d = 0.51$), in contrast to the
219 6.5-Hz tACS condition whereby a smaller, non-significant change in ASSR power was
220 observed (tACS vs. no tACS: -24.7 ; $Z = -1.50$, $p > 0.05$, $d = 0.37$) (Fig 3.). This suggests
221 that tACS of the AC could have a frequency-specific effect on the ASSR, which would be
222 in line with previous studies reporting frequency-dependent after-effects of tACS when
223 stimulation has been targeted to motor areas [23,28] and visual areas [29]. However,
224 the difference between 12-Hz tACS and 6.5-Hz tACS was nonsignificant (tACS 12 Hz vs.
225 tACS 6.5 Hz: -10.7 ; $Z = -1.0$, $p > 0.05$, $d = 0.24$). Thus, no definitive conclusions can be
226 drawn regarding the possible frequency-specificity of tACS on the ASSR.

227 *Figure 3 — Normalized source-level spectra around the ASSR frequency for the 1-*
228 *minute blocks comparing no tACS condition (red line) to 6.5-Hz tACS (dark green line).*

229 From a technical point of view, it is important to assure that the observed
230 modulation in ASSR power is not caused by stimulation artifacts. However, electrical
231 artifacts would be expected to appear as increases in spectral power, such as peaks at
232 harmonic multiples of the stimulation frequency, or as spreading of the spectral peaks
233 due to windowing in the temporal domain, neither of which are present in the
234 normalized spectra (Fig. 1, Fig. 2C). The main result indicated a decrease in auditory
235 activity, and any effects due to artifacts may be ruled out since the ASSR and tACS
236 stimulation frequencies were selected so that the ASSR frequency does not coincide with
237 the tACS stimulation frequencies and their harmonics. In addition, the relatively large

238 spectral separation between the stimulation frequencies (12 Hz and 6.5 Hz) and the
239 frequency of the steady-state response (41 Hz) may mean that the effects are relatively
240 immune from the non-linear artifacts caused by the interaction between the stimulation
241 and movement during respiration and heartbeat, which have been shown to affect
242 signals during tACS at and beyond the side peaks around stimulation frequency [14].
243 Although, as has been recently demonstrated, steady-state responses can be recovered
244 even at the same frequency as the tACS stimulation frequency using the same
245 beamformer approach as in this study [24]. Considering the strengths of the current
246 paradigm against artifact contaminations, the observed change in ASSR power is better
247 situated to reflect a stimulation induced reduction in auditory evoked response.

248 A putative mechanism for the observed tACS-induced effect is the entrainment of
249 auditory alpha activity through 12-Hz tACS. Alpha oscillations have been recognized as a
250 marker for increased inhibition in multiple sensory domains including the auditory
251 modality [30,31]. An inverse relationship was identified between the ASSR amplitude
252 and the amplitude of alpha oscillations by Plourde et al. [32] although an earlier study
253 by Tesche and Hari found no such linear relationship [33]. Limited evidence was also
254 found by Simpson et al. [34], who reported non-linear interactions between intrinsic
255 alpha oscillations and the ASSR as measured by mutual information. Entrainment by
256 tACS has been demonstrated in multiple studies, both as an after-effect [35–38] and
257 during tACS [6], and online effects of tACS have been described by changes in behavioral
258 measures [39]. Despite the attractiveness of interpreting the current finding in light of
259 the reported finding in which we observed an increased tACS-induced suppression of
260 ASSR, the proposed model remains speculative, since no behavioral measures were
261 collected in this proof-of-concept study. Linking the ASSR effect to auditory task
262 performance would be necessary to demonstrate an inhibitory effect.

263 The limitations in the experimental design of the current study should be considered
264 in the interpretation of the reported results. The normalization method employed in the
265 current study is sensitive to changes in the SNR, and thus spurious effects could arise
266 from changes in the background noise level. Despite large spectral artifacts at multiple
267 harmonics of the tACS frequency, because the frequency region of interest (i.e. 41Hz
268 ASSR) was distanced far from the electrical stimulation, the SNR can be assumed to be
269 preserved across conditions. Contributions from other sensory modalities—such as the
270 somatosensory system [40]—cannot be ruled out, although no somatic sensations were
271 reported by the subjects in the current study. Novel approaches involving sham
272 electrodes that produce similar tactile sensations through closely-placed anode and
273 cathode electrodes could provide a more constraint control condition [41]. Spread of
274 stimulation current on the scalp and in the brain volume could also lead to activation of
275 brain areas not intentionally targeted by tACS. The specificity of the ASSR effect could be
276 explored by repeating the experiment with different electrode placements. However,
277 safety considerations regarding the use of transcranial electrical stimulation place a
278 practical upper limit on the number of stimulation varieties that can be explored within
279 a single experimental session.

280 **Conclusions**

281 Selecting the tACS and ASSR frequencies in such a way that their harmonic multiples
282 do not coincide, it was possible to inspect ASSR in the unaffected part of the spectrum
283 during electrical stimulation. The effect had a frequency-specific tendency, showing a
284 reduction in ASSR for 12-Hz tACS but not for 6.5-Hz tACS. Unfortunately, since the
285 difference between the 12-Hz and 6.5-Hz tACS conditions was not significant, no
286 conclusions can be drawn regarding the hypothesized oscillatory mechanisms behind
287 the observed decrease in ASSR. Nevertheless, the current study demonstrates a viable

288 methodological approach for future studies investigating the online effects of tACS in
289 MEG.

290 The possibility of inspecting the specific targeting of tACS is highly relevant in
291 studies involving transcranial electrical stimulation (tES, i.e. tACS or tDCS) as
292 therapeutic tools. Relating treatment outcomes to acute stimulation-induced changes in
293 brain activity could allow predicting suitable treatment options for individual subjects
294 and for subtyping patients in conditions such as tinnitus where there might be multiple
295 overlapping brain networks involved in maintaining the symptoms. Furthermore,
296 techniques that allow concurrent monitoring of brain dynamics during tES could aid in
297 individualizing electrical stimulation properties. Using tES methods in combination with
298 MEG opens exciting and unique possibilities for probing the dynamics of these
299 networks.

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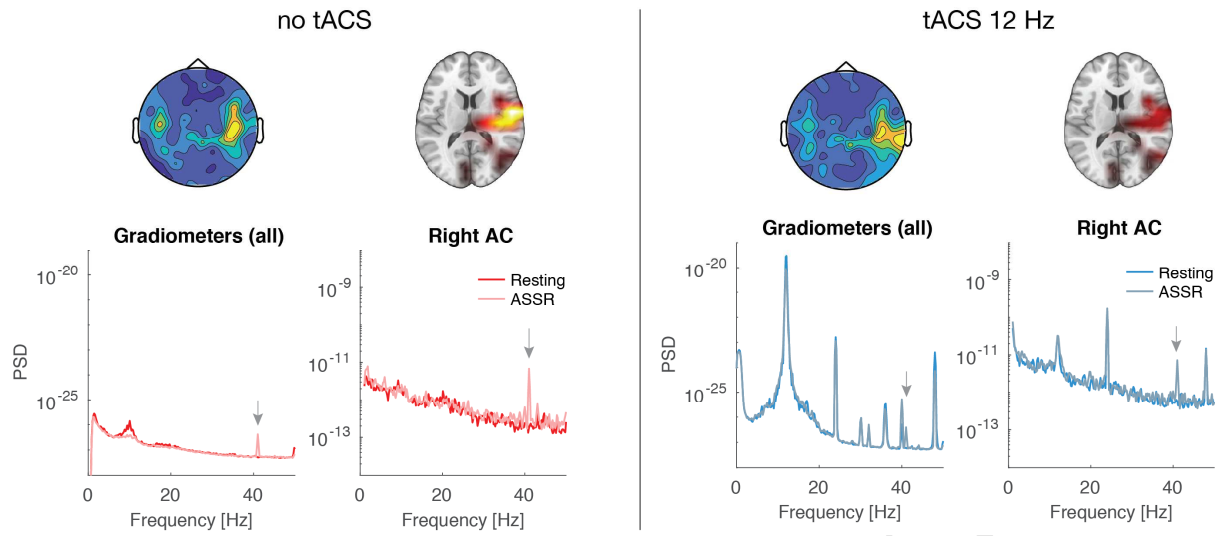
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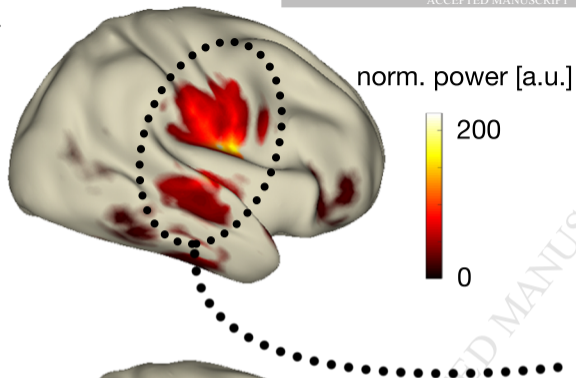
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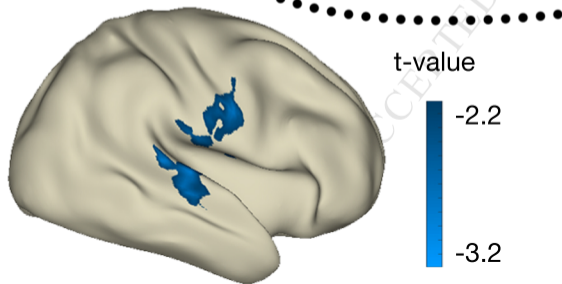
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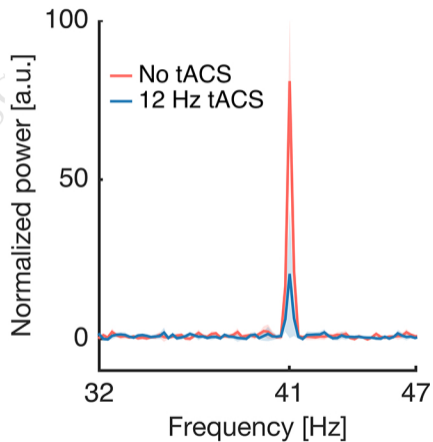
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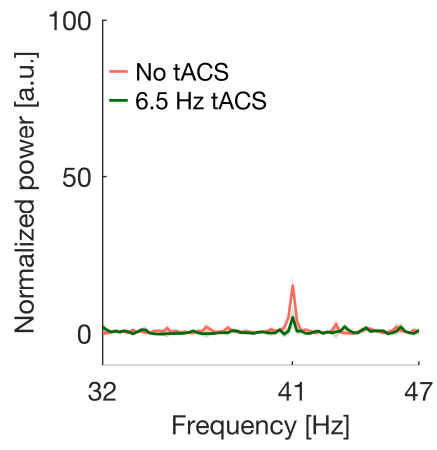


B



C





ACCEPTED MANUSCRIPT

- The tACS-related changes in auditory activity were studied online with MEG
- Alpha-range tACS significantly decreased ASSR power
- Source-level activity was investigated using an LCMV beamformer

ACCEPTED MANUSCRIPT