CLINICAL UTILITY GENE CARD



**ESHG** 

# Clinical utility gene card for: inherited optic neuropathies including next-generation sequencing-based approaches

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### 1. Disease characteristics

### 1.1 Name of the disease (synonyms)

Inherited optic neuropathies can be caused by mitochondrial DNA (mtDNA) variants as in Leber hereditary optic neuropathy (Table 1) or by variants in nuclear-encoded genes (Table 2).

#### 1.2 OMIM# of the Disease

Please refer to Tables 1 and 2.

### 1.3 Name of the analysed genes or DNA/ chromosome segments

# 1.3.1 Core genes (irrespective of being tested by Sanger sequencing or next-generation sequencing)

Core disease-causing genes have been listed in Tables 1 and 2 and marked with  $^{\rm b}$  symbol.

1.3.2 Additional genes (if tested by next-generation sequencing, including whole-exome/genome sequencing and panel sequencing)

Additional disease-causing genes have been listed in Tables 1 and 2.

### 1.4 Mutational spectrum

Inherited optic neuropathies are a clinically heterogeneous group of disorders that can be caused by variants in both the nuclear and mitochondrial genomes. MtDNA variants (Table 1) show strict maternal inheritance whereas nuclear variants can be inherited in dominant, recessive or X-linked patterns of inheritance (Table 2). Rarely, de novo variants have also been reported.

The two most common inherited optic neuropathies are autosomal dominant optic atrophy (DOA) secondary to variants in the *OPA1* gene (OMIM 165,500) and LHON (OMIM 535,000). LHON is caused by mtDNA variants and three so-called primary variants, *m.3460G>A*, *m.11778G > A*, and *m.14484T > C*, account for ~90% of

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 Table 1 Mitochondrial DNA (mtDNA) variants identified in patients

 with LHON

Name of the disease	OMIM# of the disease	Variant	Associated gene(s)	OMIM# of associated gene(s)
Leber hereditary optic neuropathy (LHON)	535,000	<b>m.11778G&gt;A</b> <sup>a</sup> m.11696G>A m.11253T>C	MTND4 <sup>b</sup>	516,003
		<b>m.14484T&gt;C</b> <sup>a</sup> m.14325T>C m.14568C>T m.14459G>A <sup>c</sup> m.14420C>G <sup>c</sup> m.14482C>A <sup>c</sup> m.14492C>A <sup>c</sup> m.14498C>T m.14568C>T <sup>c</sup> m.14596A>T	MTND6 <sup>b</sup>	516,006
		<b>m.3460G</b> >A <sup>a</sup> m.3376G>A m.3635G>A <sup>c</sup> m.3697G>A m.3700G>A <sup>c</sup> m.3733G>A <sup>c</sup> m.4025C>T m.4160T>C <sup>c</sup> m.4171C>A <sup>c</sup>	MTND1 <sup>b</sup>	516,000
		m.4640C>A m.5244G>A	MTND2	516,001
		m.10237T>C	MTND3	516,002
		m.10663T>C <sup>c</sup>	MTND4L	516,004
		m.12811T>C m.12848C>T m.13637A>G m.13730G>A	MTND5	516,005
		m.9101T>C	MTATP6	516,060
		m.9804G>A	MTCO3	516,050
		m.14831G>A	MTCYB	516,020

Variants were assigned according to the human mitochondrial genome reference sequence NC\_012920.1

The remaining putative LHON variants have been found in singleton cases or in a single family, and additional evidence is required before pathogenicity can be irrefutably ascribed

<sup>a</sup>The three most common mtDNA variants that cause LHON have been highlighted in bold

#### <sup>b</sup>Core genes

<sup>c</sup>These mtDNA variants affecting function. They have been identified in  $\geq 2$  independent LHON pedigrees and show segregation with affected disease status

all cases. These variants change amino acid sequence in NADH-ubiquinone oxidoreductase protein (EC:1.6.5.3) (www.uniprot.org). The mtDNA variant is heteroplasmic in 10–15% of LHON carriers. Optic atrophy has also been reported as a variable clinical feature in patients with other classical mitochondrial syndromes, for example, maternally inherited Leigh syndrome (MILS); myoclonic epilepsy associated with ragged-red fibres (MERRF); the

syndrome of neuropathy, ataxia, and retinitis pigmentosa (NARP); mitochondrial myopathy, encephalopathy, lactic acidosis and stroke-like episodes (MELAS); mitochondrial neurogastrointestinal encephalopathy (MNGIE); chronic progressive external ophthalmoplegia (CPEO); and the Kearns–Sayre syndrome (KSS) [1, 2].

The list of nuclear-encoded genes that are known to cause optic atrophy is rapidly expanding. For some genes, for example, OPA1 and WFS1, optic atrophy is a defining phenotypic manifestation, whereas for others, it is a minor clinical feature observed in only some carriers. Greater access to molecular genetic testing has expanded the phenotype associated with specific disease-causing genes and we have used a broad classification based on whether the patient exhibits isolated or syndromic optic atrophy (Table 2). The disease-causing variants for DOA can be found in LOVD database at https://databases. lovd.nl/shared/individuals/OPA1 (Accessed 28 February 2018). A database for LHON mtDNA variants is publicly available through MITOMAP at https://www.mitomap.org/ foswiki/bin/view/MITOMAP/MutationsLHON (Accessed 28 February 2018). A clinical utility card has previously been published for Wolfram syndrome [3].

#### 1.5 Analytical validation

In a suspected case of LHON, targeted screening of the three common mtDNA variants (m.3460G>A, m.11778G > A, and m.14484T > C) by bi-directional fluorescent Sanger sequencing is the routine practice. If negative, sequencing of the complex I subunit genes (MTND1, MTND2, MTND3, MTND4, MTND4L, MTND5 and MTND6) or sequencing of entire mitochondrial genome can be considered if there is a high index of clinical suspicion and the facilities are available [4]. If indicated, pyrosequencing or next-generation sequencing (NGS) can be used to estimate the level of heteroplasmy [5].

The screening method for patients with an inherited optic atrophy that is not thought to have a mitochondrial genetic basis will vary depending on the facilities available locally. Bi-directional fluorescent Sanger sequencing of coding exons, including intron-exon boundaries of a particular gene remains the most widely used method, especially in a patient with a clear-cut phenotype. This can be complemented with multiplex ligation-dependent probe amplification (MLPA) dosage analysis to detect copy number variants. With the advent of NGS technology, clinical exome panels are now being increasingly offered by diagnostic laboratories, allowing for a large number of optic atrophy genes to be screened faster and more cost effectively. Analyses of known positive and negative control samples are required for validation of any diagnostic genetic test

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Table 2 Causati	ve nuclear-encodec	l genes in patients wit	th isolated or syn	idromic optic atrophy		
Associated	Cytogenetic	OMIM <sup>a</sup> of	Inheritance	Name of disease/associa	ted phenotype	OMIM <sup>a</sup> of
gene/locus	location	associated gene	mode	Isolated optic atrophy	Syndromic optic atrophy	the disease
MFN2	1p36.22	608,507	AD		Optic atrophy + motor and sensory neuropathy (Charcot-Marie- tooth disease, axonal, type 2A2A; CMT2A2A)	609,260
					Optic atrophy + hereditary motor and sensory neuropathy VIA (HMSN6A)	601,152
			AR		Optic atrophy + motor and sensory neuropathy (Charcot-Marie- tooth disease, axonal, type 2A2B; CMT2A2B)	617,087
ATAD3A	1p36.33	612,316	AD/AR		Harel-Yoon syndrome: optic atrophy, peripheral neuropathy, delayed psychomotor development, intellectual disability, spastic paraplegia (HAYOS)	617,183
NDUFSI	2q33.3	157,655	AR/XLD		Optic atrophy + multisystem neurological disorder	252,010
DNAJC19	3q26.33	608,977	AR		Optic atrophy + dilated cardiomyopathy with ataxia, type V 3-methylglutaconic aciduria (DCMA syndrome)	610,198
$OPAI^{\rm b}$	3q29	605,290	AD	+	Optic atrophy + deafness, ophthalmoplegia, myopathy, ataxia, neuropathy (DOA+)	165,500/ 125,250
					Optic atrophy + dementia, parkinsonism and chronic progressive ophthalmoplegia (CPEO)	
					Optic atrophy + lethal infantile encephalopathy, hypertrophic cardiomyopathy	
			AR		Behr syndrome: myoclonic epilepsy, progressive spastic paraplegia, dysarthria, extra-pyramidal tract signs, ataxia, urinary incontinence, mental retardation, posterior column sensory loss, or muscle contractures (BEHRS)	210,000
$WFSI^{\rm b}$	4p16.1	606,201	AD	+	Wolfram-like syndrome (WFSL); Wolfram syndrome-like phenotype	614,296
			AR	+	Wolfram syndrome 1 (WFS1)	222,300
CISD2	4q24	611,507	AR		Wolfram syndrome 2 (WFS2)	604,928
NR2F1	5q15	132,890	AD		Bosch-Boonstra-Schaaf optic atrophy syndrome	615,722
SLC25A46	5q22.1	610,826	AR		Optic atrophy + motor and sensory neuropathy (Charcot-Marie- tooth disease, type 6B, HMSN6B, CMT6B)	616,505
RTN4IP1	6q21	610,502	AR	+	Optic atrophy + ataxia, mental retardation, epilepsy (OPA10)	616,732
$OPA6^{a}$	8q21-q22	258,500	AR	+		258,500
FXN	9q21.11	606,829	AR		Friedreich ataxia (FRDA)	229,300
AUH	9q22.31	600,529	AR		Type I 3-methylglutaconic aciduria, optic atrophy	250,950
MTPAP	10p11.23	613,669	AR		Optic atrophy + spastic ataxia (SPAX4)	613,672
YMEILI	10p12.1	607,472	AR		Optic atrophy + sensorineural hearing impairment, ataxia, other CNS symptoms (OPA11)	617,302
TMEM126A	11q14.1	612,988	AR	+	Optic atrophy + auditory neuropathy (OPA7)	612,989
DNMIL	12p11.21	603,850	AD/AR	+	Optic atrophy + hypoplasia, encephalopathy, myopathy (EMPF1)	614,388

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Table 2 (continue	(p					
Associated	Cytogenetic	OMIM <sup>a</sup> of	Inheritance	Name of disease/associa	ted phenotype	OMIM <sup>a</sup> of
gene/locus	location	associated gene	mode	Isolated optic atrophy	Syndromic optic atrophy	the disease
TSFM	12q14.1	604,723	AR		Optic atrophy + multisystem neurological disorder	610,505
C12orf65	12q24.31	613,541	AR		Optic atrophy + hereditary spastic paraplegia, peripheral neuropathy (SPG55)	615,035
POLG	15q26.1	174,763	AR		Mitochondrial DNA depletion syndrome 4A (Alpers type), optic atrophy	258,450
$OPA8^{a}$	16q21-q22	616,648	AD	+		616,648
SPG7	16q24.3	602,783	AD/AR	+	Optic atrophy + hereditary spastic paraplegia type 7 (SPG7)	607,259
ZNHIT3	17q12	604,500	AR		Progressive encephalopathy with oedema, hypsarrhythmia, and optic atrophy (PEHO)	260,565
AFG3L2	18p11.21	104,206	AD	+	Optic atrophy + intellectual disability	
$OPA4^{a}$	18q12.2- q12.3	605,293		+		605,293
C19orf12	19q12	614,297	AR		Optic atrophy + spastic paraplegia, peripheral neuropathy, cognitive impairment (SPG43)	615,043
OPA3	19q13.32	606,580	AD		Autosomal dominant optic atrophy with early-onset cataract (ADOAC)	165,300
			AR		Type III 3-methylglutaconic aciduria (Costeff syndrome)	258,501
ACO2	22q13.2	100,850	AR	+	Optic atrophy + cerebellar degeneration, hypotonia, epilepsy (OPA9)	616,289
OPA2ª	Xp11.4- p11.21	311,050	XL	+	Optic atrophy + mental retardation, peripheral neuropathy (OPA2)	311,050
TIMM8A	Xq22.1	300,356	XLR		Mohr-Tranebjaerg syndrome (MTS)	304,700
AD autosomal do	minant, AR autose	mal recessive, XL X-	-linked, XLR X-l	linked recessive, XLD X-li	nked dominant	

<sup>a</sup>The causative gene has not yet been identified <sup>b</sup>Core genes

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procedure. Diagnostic testing must be carried out within a laboratory environment working to standards compliant with the ISO 15189. Causative variants found with NGS should be verified using Sanger sequencing or other methods, for further details, see the Eurogentest Guideline (https://www.genetests.org).

# 1.6 Estimated frequency of the disease (Incidence at birth ('birth prevalence') or population prevalence)

Inherited optic neuropathies have an estimated prevalence of 1 in 10,000 in the general population [6]. The prevalence of LHON (OMIM 535,000) varied between 1 in 31,000 and 1 in 54,000 in different population-based epidemiological surveys in the Northern Europe [1, 2, 7, 8]. The incidence has recently been reported at 1 in 1,000,000 in the Japanese population [9]. The prevalence of *OPA1* variants has been estimated at 1 in 25,000 in the United Kingdom compared with a higher figure of 1 in 10,000 in Denmark, which could be due to a founder effect [6, 10, 11].

#### 1.7 Diagnostic setting

	Yes	No
A. (Differential) diagnostics	$\boxtimes$	
B. Predictive testing	$\boxtimes$	
C. Risk assessment in relatives	$\boxtimes$	
D. Prenatal	$\boxtimes$	

### 2. Test characteristics

		Genotyp disease	be or	A: true positives	C: false negative
		Present	Absent	B: false positives	D: true negative
Test	Pos.	А	В	Sensitivity: Specificity:	$\frac{A}{A + C}$ $\frac{D}{D + B}$
	Neg.	C	D	Pos. predict. value: Neg. predict. value:	A/(A + B) D/(C + D)

#### 2.1 Analytical sensitivity

(proportion of positive tests if the genotype is present)

#### 2.1.1 If tested by conventional Sanger sequencing

Less than 100%

The proportion is likely to be <100% [12]. Loss of sensitivity may be due to the location of primers in sequences containing single nucleotide variants (SNVs) or rare variants, which results in the preferential amplification of one allele (allele dropout). In addition, direct Sanger sequencing is likely to fail to detect copy number loss/gains. A supplementary deletion/duplication diagnostic test should be performed for genes with a known proportion of large genomic deletions/duplications.

For homoplasmic mtDNA variants, analytical sensitivity of real-time PCR, RFLP analysis and Sanger sequencing of the three common LHON variants approach 100%. Heteroplasmy, which is present in 10–15% of LHON carriers, does not influence the sensitivity of molecular genetic testing for LHON because affected individuals generally have >70% of the mutated mtDNA in leucocytes, which is easily detected by these standard techniques [13].

#### 2.1.2 If tested by next-generation sequencing

Less than 100%

Analytical sensitivity of the NGS panels for single nucleotide variant detection is estimated to be 97.9% [12]. Loss of sensitivity may be due to incomplete coverage of regions of interest, including low complexity regions and GC-rich regions intractable to PCR enrichment. A diagnostic test for large-scale genomic rearrangements, such as duplications and deletions, should be incorporated into the analysis pipeline to help improve analytical sensitivity.

### 2.2 Analytical specificity

(proportion of negative tests if the genotype is not present)

#### 2.2.1 If tested by conventional Sanger sequencing

Nearly 100%.

False positives may, at the most, arise due to misinterpretation of rare polymorphic variants.

#### 2.2.2 If tested by next-generation sequencing

Nearly 100%.

False positives may, at the most, arise due to misinterpretation of rare polymorphic variants. However, the use of the ACMG (American College of Medical Genetics) standards and guidelines for the interpretation of sequence variants may reduce the risk of false positive test results.

#### 2.3 Clinical sensitivity

(proportion of positive tests if the disease is present)

The clinical sensitivity can be dependent on variable factors, such as age or family history. In such cases a general statement should be given, even if a quantification can only be made case by case.

#### 2.3.1 If tested by conventional Sanger sequencing

#### LHON ~90%.

LHON is characterised by bilateral, painless, subacute, and central vision loss. The visual prognosis is poor and the majority of patients are registered legally blind [14]. Nevertheless, childhood onset LHON has a more variable pattern of visual loss and a relatively better visual prognosis [15]. LHON has a distinct phenotype and if the diagnostic work-up has excluded acquired causes of a bilateral optic neuropathy, the clinical sensitivity of genetic testing for the three common mtDNA LHON variants (m.3460G > A, m.11778G > A, and m.14484T > C) is likely to be ~90%. Additional mtDNA sequencing will further improve the clinical sensitivity.

DOA 65-75%.

For patients with a clinical diagnosis of DOA and a positive family history, the clinical sensitivity of *OPA1* screening is reported to be 65-75% [16, 17]. In more heterogeneous cohorts of patients with a suspected inherited optic neuropathy, clinical sensitivities are reduced to 8.6-30% for *OPA1* screening and <1.4% for *OPA3* screening, influenced by factors such as age, family history, and the presence of additional clinical deficits besides optic atrophy [16–18].

#### 2.3.2 If tested by next-generation sequencing

No published data. Although extensive data are as yet unavailable, NGS gene panel testing for clinically confirmed cases of LHON and DOA is expected to have clinical sensitivity at least equivalent to that of direct Sanger sequencing. Furthermore, it is expected that NGS panel testing will improve clinical sensitivity in more heterogeneous patient cohorts with suspected inherited optic atrophy due to its ability to capture a greater number of candidate genes in a single test and to identify potentially pathogenic variants in rarer disease-causing genes.

# 2.4 Clinical specificity (proportion of negative tests if the disease is not present)

The clinical specificity can be dependent on variable factors, such as age or family history. In such cases a general statement should be given, even if a quantification can only be made case by case.

#### 2.4.1 If tested by conventional Sanger sequencing

As LHON is characterised by incomplete penetrance and the prevalence of the pathogenic mtDNA variants has been estimated at 1 in 200 in the general population, these factors can lower the clinical specificity [19]. The clinical specificity for nuclear-encoded optic atrophy genes will be influenced by the prevalence of mutant alleles in the population, disease penetrance and the age of onset of clinical features [19].

#### 2.4.2 If tested by next-generation sequencing

See 2.4.1

# 2.5 Positive clinical predictive value (life time risk to develop the disease if the test is positive)

LHON is characterised by incomplete penetrance and a predominance for male LHON carriers to lose vision. The penetrance varies widely, both within and between families, but on average, ~50% of male carriers and ~10% of female carriers experience visual loss with a peak age of onset in the third decade of life. The majority of *OPA1* variant carriers show evidence of clinical or subclinical optic nerve involvement. There is limited published data on the positive clinical predictive value for other nuclear-encoded optic atrophy genes.

# 2.6 Negative clinical predictive value (Probability not to develop the disease if the test is negative)

Assume an increased risk based on family history for a nonaffected person. Allelic and locus heterogeneity may need to be considered.

Index case in that family had been tested:

If a non-affected relative is negative for the diseasecausing variant identified in the index case, there is no increased risk, except for that related to the prevalence of other disease-causing genes or loci in the general population.

Index case in that family had not been tested: Not known.

### 3. Clinical utility

# **3.1 (Differential) diagnostics: the tested person is clinically affected**

(To be answered if in 1.9 "A" was marked)

## 3.1.1 Can a diagnosis be made other than through a genetic test?

No	$\Box$ (continue with 3.1.4)	
Yes		
	clinically	$\boxtimes$
	imaging	$\boxtimes$
	endoscopy	
	biochemistry	
	electrophysiology	$\boxtimes$
	other (please describe):	

# **3.1.2** Describe the burden of alternative diagnostic methods to the patient

Irrespective of the availability or decision to proceed with genetic testing, a patient with a suspected diagnosis of an inherited optic neuropathy will undergo a comprehensive neuro-ophthalmological evaluation, including determination of any relevant family history and appropriate ocular investigations such as optical coherence tomography (OCT) imaging [20–23] and visual electrophysiology if relevant [1, 24, 25]. MRI neuroimaging is also indicated to exclude lesions of the anterior visual pathways as the underlying cause of the optic neuropathy.

# 3.1.3 How is the cost effectiveness of alternative diagnostic methods to be judged?

A diagnosis of an inherited optic neuropathy can usually be made based on the clinical and ophthalmological findings, following exclusion of other possible acquired causes of an optic neuropathy. However, confirmatory molecular genetic testing is advisable as a positive result can have important implications for genetic counselling, family planning, and possible treatment options.

# 3.1.4 Will disease management be influenced by the result of a genetic test?

Table (continued)	
Prognosis (please describe)	from early treatment with idebenone, which has been approved by the European Medicine Agency under exceptional circumstances [26]. Experimental trials of neuropro- tective drugs and gene therapy strategies are currently ongoing [27–32]. LHON has a relatively poor visual prognosis. The <i>m.14484T&gt;C</i> mtDNA variant carries the highest likelihood of spontaneous visual recovery. The risk of visual loss for a LHON carrier is influenced by age and sex, but it is not possible to accurately predict risk at the individual level. There is a wide variability in disease severity for nuclear- encoded genes that cause optic atrophy. Data on genotype- phenotype correlations is also limited, especially for relatively rare genes and syndromic manifestations.
Management (please describe)	The management of patients with inherited optic neuropathies remains largely supportive [33]. Extraocular complications in patients with syndromic optic atrophy, such as diabetes mellitus, peripheral neuropathy, epilepsy and deafness, should be managed as part of a multi- disciplinary team to prevent or minimise additional comorbidities. Visual rehabilitation plays an important role in improving the patient's quality of life. Supportive measures for those with sight impairment include involvement of social services.

### No 🗆

Yes 🖂

Therapy (please describe)

There are limited treatment options for inherited optic neuropathies. A subgroup of patients with LHON can benefit partially

### 3.2 Predictive setting: the tested person is clinically unaffected but carries an increased risk based on family history

(To be answered if in 1.8 "B" was marked)

# 3.2.1 Will the result of a genetic test influence lifestyle and prevention?

#### If the test result is positive (please describe)

Idebenone been found to be beneficial in a subgroup of patients with visual loss from LHON treated in the acute stage of the disease. Smoking and to a lesser extent, excessive drinking, have been linked with an increased risk of visual loss among LHON carriers and appropriate lifestyle as well as family planning advice should therefore be provided as part of genetic counselling [1, 26, 34]. There is currently no available disease-modifying treatment for optic atrophy caused by nuclear-encoded genes.

If the test result is negative (please describe)

If the test results are negative, the diagnosis should be re-evaluated and other causes of optic neuropathy should be considered. Negative results may influence choice of career and inform family planning.

# 3.2.2 Which options in view of lifestyle and prevention does a person at-risk have if no genetic test has been done (please describe)?

Overall, inherited optic neuropathies carry a poor visual prognosis. A confirmed diagnosis is therefore recommended to allow for appropriate counselling with regards to educational support, career choices and family planning.

## 3.3 Genetic risk assessment in family members of a diseased person

(To be answered if in 1.9 "C" was marked)

### 3.3.1 Does the result of a genetic test resolve the genetic situation in that family?

Yes. A confirmed molecular diagnosis can help define the genetic risk. MtDNA variants are strictly maternally transmitted. The risk of transmission for nuclear-encoded genes will be dictated by the mode of inheritance for a particular mutant allele.

# **3.3.2** Can a genetic test in the index patient save genetic or other tests in family members?

Yes. A homoplasmic mtDNA variant is expected to be present in all maternally-related family members. If the mtDNA variant is heteroplasmic, there can be rapid shifts in heteroplasmy level due to the mitochondrial bottleneck effect and carrier testing is recommended, especially in the context of family planning and reproductive choices [35]. With regards to nuclear-encoded genes, carrier testing may be indicated if the variant is thought to have arisen *de novo*  or in possible cases of non-paternity. If the genetic result for the index patient is negative, molecular testing for other family members is not required.

### 3.3.3 Does a positive genetic test result in the index patient enable a predictive test in a family member?

Yes.

### 3.4 Prenatal diagnosis

(To be answered if in 1.9 "D" was marked)

# 3.4.1 Does a positive genetic test result in the index patient enable a prenatal diagnosis?

Yes. Due to the complex factors that influence the risk of disease and transmission, reproductive choices and prenatal counselling should be provided by a qualified health professional as part of a multidisciplinary team. Please refer to ref. [36] for a comprehensive review of this area of practice.

# 4. If applicable, further consequences of testing

Please assume that the result of a genetic test has no immediate medical consequences. Is there any evidence that a genetic test is nevertheless useful for the patient or his/her relatives? (Please describe)

Reaching a confirmed molecular genetic diagnosis for a patient with a clinical diagnosis of an inherited optic neuropathy can allow for more informed discussions about the mode of inheritance, recurrence risk and disease prognosis. A positive genetic test result will also exclude other possible causes of optic neuropathy.

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**Conflict of interest** The authors declare that they have no conflict of interest.

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