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Excitation and emission transition dipoles of type-II semiconductor nanorods

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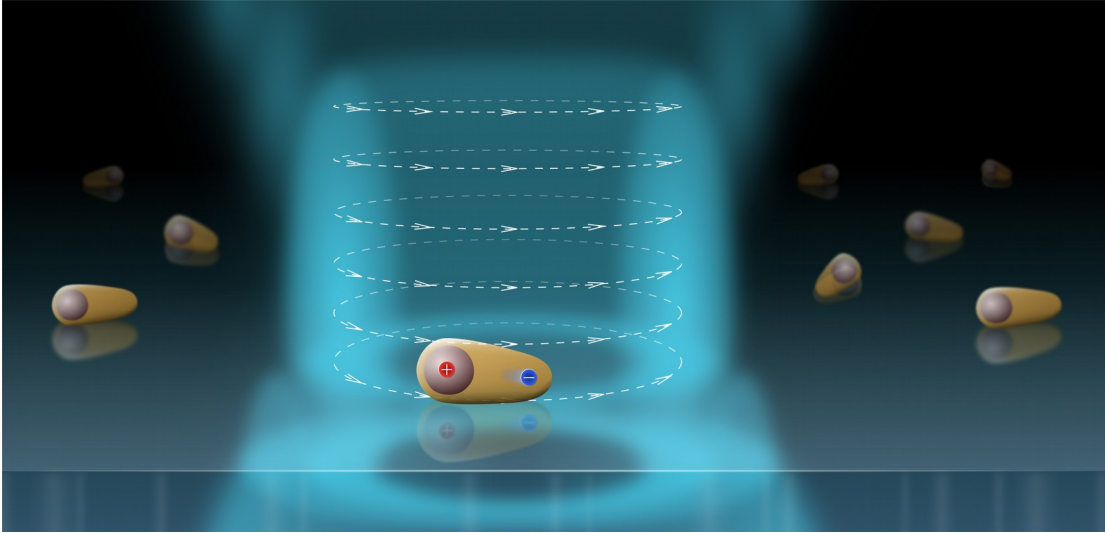
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ABSTRACT

The mechanisms of exciton generation and recombination in semiconductor nanocrystals are crucial to the understanding of their photo-physics and for their application in nearly all fields. While many studies have been focused on type-I heterojunction nanocrystals, the photo-physics of type-II nanorods, where the hole is located in the core and the electron is located in the shell of the nanorod, remain largely unexplored. In this work, by scanning single nanorods through the focal spot of radially and azimuthally polarized laser beams and by comparing the measured excitation patterns with a theoretical model, we determine the dimensionality of the excitation transition dipole of single type-II nanorods. Additionally, by recording defocused patterns of the emission of the same particles, we measure their emission transition dipoles. The combination of these techniques allows us to

unambiguously deduce the dimensionality and orientation of both excitation and emission transition dipoles of single type-II semiconductor nanorods. The results show that in contrast to previously studied quantum emitters, the particles possess a 3D degenerate excitation and a fixed linear emission transition dipole.

KEYWORDS: cylindrical vector beams, higher order laser modes, nanorods, optical transition dipole, quantum dot, semiconductor nanocrystal.

TEXT

After more than three decades since the discovery of luminescent semiconductor nanocrystals, they still have unexplored and intriguing photo-physical properties that have been unimaginable before. Their high brightness, photostability, and tunability of emission and absorption properties based on their size and composition made them a superior choice for numerous applications. Synthesis of type-II nanorods, where the electron is delocalized in the particle's shell is of great fundamental interest and opened new perspectives for local voltage sensing at the nanometer scale¹⁻⁵ or optical gain studies⁶. Despite the progress in their controlled synthesis and characterization, some of their photo-physical properties remain unknown. In particular,

the generation and recombination of excitons in type-II nanorods is one of the key mechanisms that remains unexplored.

Experimentally, these processes can be characterized by measuring excitation and emission transition dipoles of single particles. Dimensionality and orientation of the excitation transition dipole determines the excitation efficiency of the particles using light depending on its polarization, or via energy transfer from another quantum emitter. The parameters of the emission transition dipole define the polarization of emitted light from a particle, playing an important role in use of nanocrystals as donors in FRET pair or as emitters of light.

It has been shown that shape and composition of semiconductor nanostructures can change dimensionality of their emission and excitation transition dipole moments. As was theoretically predicted by Efros,⁷ the emission transition dipole of a spherical CdSe nanocrystal is degenerate in two dimensions. Such a system is characterized by a unique “dark axis” that is oriented normal to the transition dipole plane, and which does not couple to the light field. This has been experimentally shown using polarization microscopy and later, by several groups using defocused wide field fluorescence imaging.¹⁰⁻¹² By rotating the excitation polarization, Bawendi and co-workers observed a weak excitation polarization dependence, which was attributed to simultaneous excitation of multiple overlapping electronic states,

decreasing the degree of polarization.⁸ Later, it has been shown that the excitation transition dipole moment of a spherical CdSe/ZnS quantum dot is degenerate in three dimensions.¹³ Changing shape of type-I heterojunction nanocrystals resulted in the change of their emission transition dipole dimensionality from one dimension for nanorods¹⁴ to two dimensions for nanoplatelets¹⁵.

Here we measure the dimensionality and orientation of both emission and excitation transition dipoles of single type-II nanorods. The excitation transition dipole is measured by scanning individual nanorods through the focal area of azimuthally and radially polarized laser beams (so called donut modes). The measurement of the emission transition dipole is done using defocused imaging of the same single nanoparticles. In contrast to other commonly used techniques, like rotation of a linear polarizer in front of a photo-detector, both of these methods allow one to unambiguously determine both the dimensionality and 3d orientation of the transition dipoles.

We studied 8 x 16 and 8 x 32 nm CdS nanorods with a ZnSe spherical core inside that form a nanostructure with type-II potential profile (figure 1a). This system has two distinctive regimes of light absorption. The high-energy absorption above 2.65 - 2.7 eV is associated with the electronic transitions involving either the ZnSe core or the CdS shell. The absorption feature at the red edge of this spectral region can be assigned to the first electronic transition in the

CdS core and is shifted towards higher energy in comparison with bulk value of 2.49 eV due to size quantization. The absorption tail at the red side of the spectrum lies below the bulk bandgaps of both materials and is related to the indirect type-II band gap of the system¹⁴. To study the transition dipole moments in different absorption regimes, we did measurements at five different excitation wavelengths that cover the energy range from 2.21 to 2.82 eV (figure 1b).

To minimize the probability of the multi-exciton generation, we studied the dependence of the excited state lifetime of single nanorods on the intensity of excitation light.¹⁹ The measurement was done at 440 nm excitation wavelength, where the absorption probability is maximized as compared to the other excitation wavelengths used. The light intensity was measured right before a 1.49 NA objective lens and corresponds to the linearly polarized laser beam that is filling, but not exceeding the back aperture of the lens. As shown in figure 1c, increase of excitation light intensity leads to decrease of the excited state lifetime of nanorods that is caused by trapping of excessive charges that are generated inside the nanorod and as a result, increase of probability of the non-radiative Auger recombination. Along with decreased lifetime values, it leads to a drop of fluorescence intensity and quantum yield of the emitter. Fitting the measured curves with a modified sigmoid function showed x-values of midpoint at 3.9 and 2.6 microWatt for 8 x 16 and 8 x 32 nm nanorods,

respectively. The lower midpoint for the elongated rods can be explained by its higher absorption cross-section that leads to more efficient multi-exciton generation at lower excitation light intensities as compared to shorter nanorods. All the studies of the transition dipole were done at excitation intensities not exceeding the above values.

Figure 2 shows a schematic of a microscope that combines both confocal scanning and wide field modes. The former allows one to measure single nanoparticle excitation patterns by scanning it through focal areas of azimuthally or radially polarized beams. The donut modes are obtained by transmitting a linearly polarized Gaussian beam through a liquid crystal polarization converter. Use of both azimuthal and radial modes allow one to unambiguously attribute obtained excitation pattern to one of possible dimensionalities and three dimensional orientations of the excitation transition dipole moment.

The wide field mode of the microscope was used for measuring defocused emission patterns of single nanorods. By defocusing either the objective lens towards the sample or the chip of the camera by the corresponding distance, one observes peculiar emission patterns instead of a normal point spread function. The shape of the pattern allows one to retrieve information about the dimensionality and orientation of the emission transition dipole moment of the emitter. Excitation of nanorods for defocused imaging was done using unpolarized light from a light emitting diode (LED) source to avoid

selective excitation of emitters with certain polarization. To keep the same excitation wavelength and excitation intensity for wide field and confocal modes, the light from LEDs was transmitted through the same clean-up filters that were used for the laser. Excitation density for wide field imaging was adjusted so that single nanorod emission patterns had the same average intensity as upon excitation with a laser.

A prerequisite for characterization of excitation and emission transition dipoles of a quantum emitter using donut modes and defocused imaging is modeling of fluorophore's excitation and emission patterns. Figure 3 shows excitation and emission patterns of a single quantum emitter that has different dimensionality and orientation of transition dipoles. The patterns were calculated according to the scheme shown on top of the figure and to the experimental conditions and parameters of the microscope that were used in the measurements. The striking difference of the excitation and emission patterns allows one to unambiguously distinguish different dimensionalities and orientations of transition dipoles. It should be noted that in contrast to other techniques, such as rotation of a linear polarizer in front of a detector or use of only azimuthal mode, these techniques allow one to determine not only orientation of transition dipoles within the sample plane, but also out-of-plane tilt a dipole.

Figure 4 shows excitation dipoles obtained by scanning single nanorods through the focal areas of a radially and azimuthally polarized laser beam at the shortest (440 nm) and longest (561 nm) excitation wavelengths. Dark horizontal lines that are randomly distributed over the images correspond to stochastic switching of nanorods to the off states because of charge trapping. Whereas this is a good indication that excitation patterns can be attributed to single nanorods, the shape of the patterns corresponds to the case of degeneracy of the excitation dipoles in three dimensions. Excitation isotropy, which indicates the equal probability of exciton generation for the light that is polarized in any of the three dimensions, has been also observed for spherical type-I quantum dots¹³, however this result is rather unexpected for elongated nanorods with aspect ratios up to 4.

Emission patterns of the same nanorods (figure 5) have the same shape at all the excitation wavelengths used in this study, do not change with time, and correspond to a fixed linear emission transition dipole. Thus, the emission and excitation transition dipoles have different dimensionalities that remain the same in all the light absorption regimes. Constant shape of both emission and excitation patterns at the time scale of seconds shows that the dimensionality and orientation of both excitation and emission dipoles are not dependent on rapid dynamics processes involving charges. While the excitation isotropy of the excitation transition dipole led to the same

pattern of nanorods independent of their orientation, linearity of their emission transition dipole allowed us to measure the three dimensional orientation of the particles. Figure 5(i) shows histograms of the out-of-plane angles (θ) that were obtained by fitting the emission patterns. The Gaussian fits to the measured data have peaks at 9.7° and 7.0° for 8×16 and 8×32 nm nanorods, respectively.

Since the roughness of the sample surface did not exceed 1 nm, the nearly horizontal orientation of the emission transition dipole is in agreement with the expectation that it is parallel to the long axis of the elongated particles that lie horizontally on the surface. The deviation from the strictly horizontal orientation is likely related to the partially conical shape of the nanorods that is schematically shown in the inset of figure 5(i) and that is evident from the transmission electron images of the particles (figure 1(a)). The difference in the Gaussian peaks maxima position is also in agreement with the assumption that the more elongated 8×32 nm nanorods tend to have a slightly lower tilt as compared with 8×16 nm ones. A relatively narrow angular distribution of nanorods' out-of-plane orientation (14.8° and 6.3° full width at half maxima for 8×16 and 8×32 nm nanorods, respectively) indicates monodispersity of the particles.

In summary, we have shown that ZnSe/CdS nanorods have a fixed linear emission and 3D degenerate excitation transition dipole moments. The dimensionality of the transition dipole has neither

changed at different aspect ratios of the nanoparticles nor at different excitation wavelengths that correspond to different regimes of light absorption. The excitation isotropy that was also observed in recent studies of spherical CdSe/ZnS quantum dots¹³ and CdSe/CdS nanoplatelets¹⁵ suggesting that it is a common property of semiconductor nanostructures independently on their shape and band gap structure. The linearity of the emission transition dipole moment shows that as for type-I semiconductor nanocrystals²⁰, it is determined by the shape of the particles. However, independence of the emission transition dipole dimensionality on the regime of light absorption suggests that fast thermalization of charges after exciton generation leads to their loss of memory of the absorption mode and, as a result, to decoupled absorption and emission polarizations.

SUPPORTING INFORMATION AVAILABLE

More details regarding the experimental methods and synthesis of ZnSe/CdS nanorods.

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Notes

The authors declare no competing financial interest.

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FIGURES

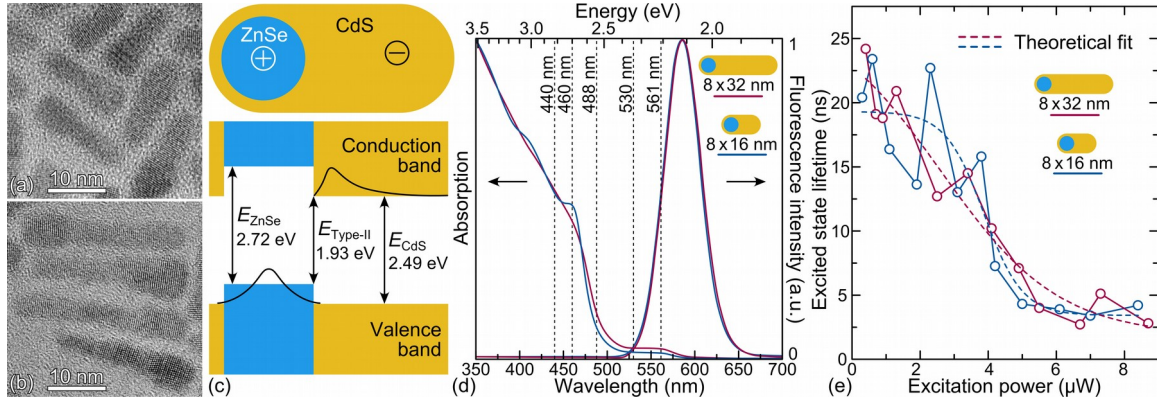


Figure 1. Transmission electron microscopy images of 8 x 32 (a) and 8 x 16 nm (b) ZnSe/CdS nanorods. (c) Schematic of the ZnSe/CdS core-shell nanorod and its type-II conduction and valence band structure. The band gaps for ZnSe and CdS are given for bulk material. (d) Absorption and fluorescence spectra of 8 x 32 and 8 x 16 nm ZnSe/CdS nanorods. Vertical dashed lines indicate the excitation wavelengths used in this study. (e) Dependence of the excited state lifetime of ZnSe/CdS nanorods on the intensity of excitation light (see main text for further details).

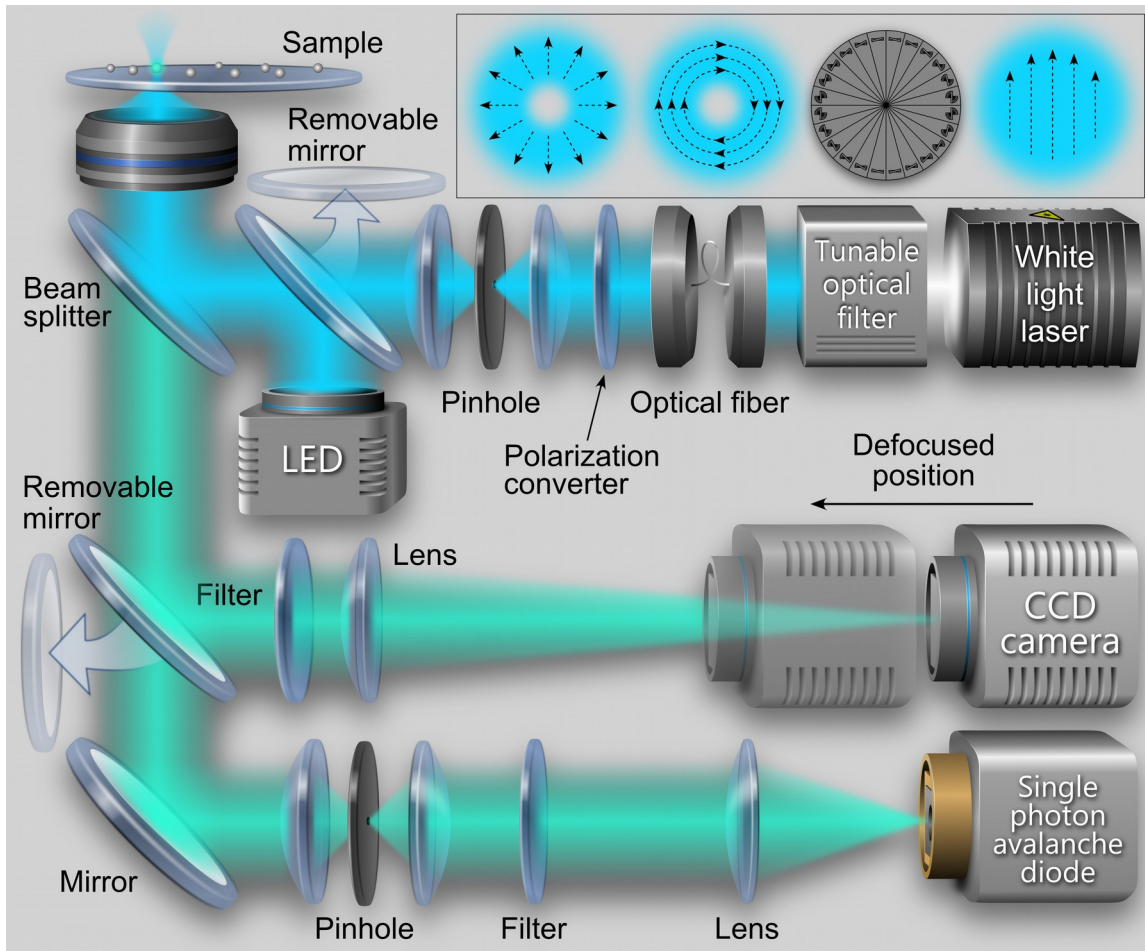


Figure 2. Scheme of the microscope that combines a scanning confocal and wide field imaging modes. The excitation line for the confocal part is equipped with a polarization converter that allows one to obtain a radially or azimuthally polarized laser beams. The inset shows (right to left): a cross section of a linearly polarized Gaussian beam; scheme of a polarization converter; a cross section of an azimuthally polarized laser beam; a cross section of a radially polarized laser beam. Note that the focused radially polarized laser beam has a longitudinal component in the center of the beam (see the Supporting Information for further details).

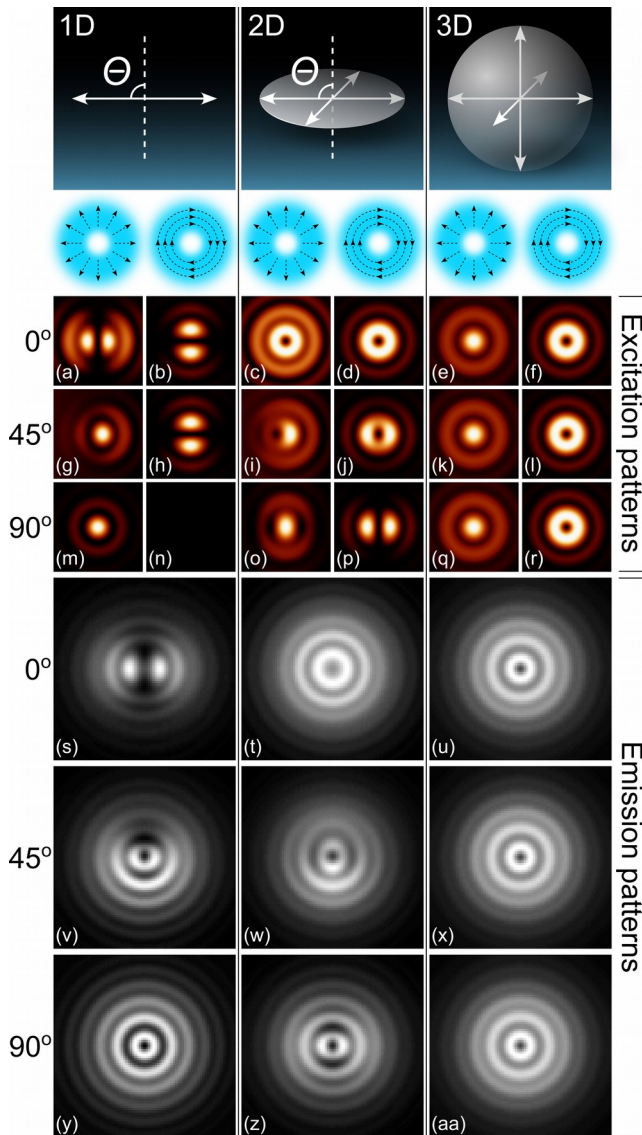


Figure 3. Theoretical excitation ((a)-(r)) and emission ((s)-(aa)) patterns of a single quantum emitter that has various orientation and degeneracy of transition dipole moments. The patterns were modeled according to the schemes shown on top of the figure for 500 nm excitation and 600 nm emission wavelengths. Modulation of the excitation wavelength from 440 to 561 nm slightly changes the size of the excitation patterns while keeping its shape the same. The shape

and size of the emission patterns remain the same independently on excitation wavelength.

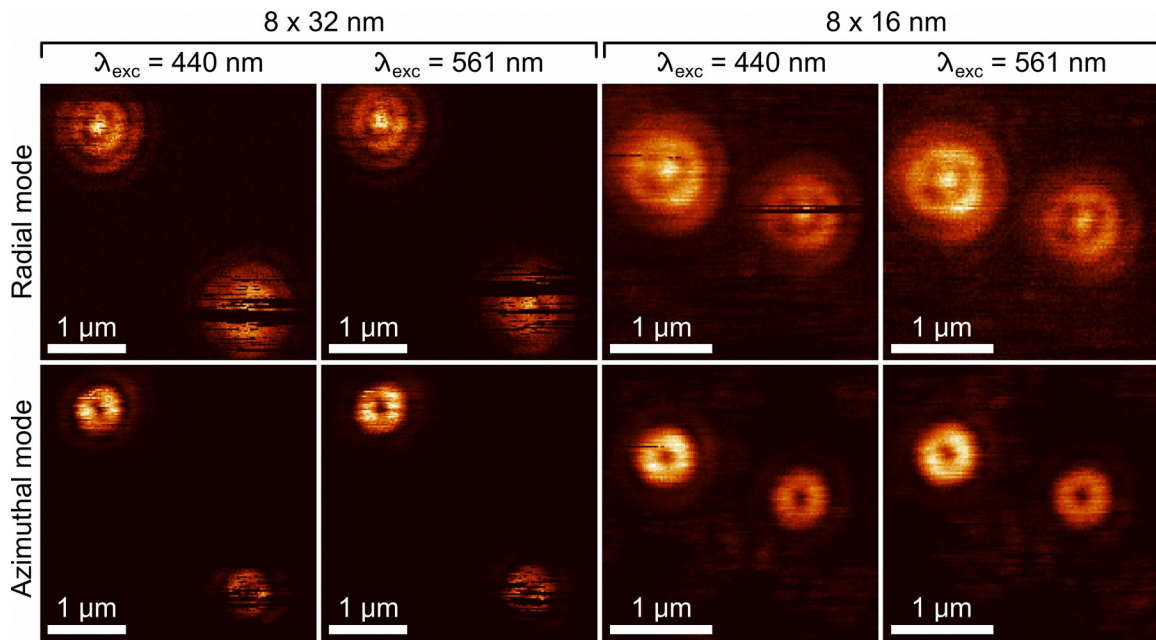


Figure 4. Experimental excitation patterns of ZnSe/CdS nanorods that were obtained using 440 and 561 nm excitation wavelengths using azimuthally and radially polarized laser beams. The dark lines correspond to the off state of the particles during the confocal scanning process. The excitation patterns that were obtained at other excitation wavelengths that were used in this study have the same shape except the stochastic distribution of the fluorescence blinking events. All the patterns correspond to a three dimensional degenerate excitation transition dipole, see figure 3(r)-(r).

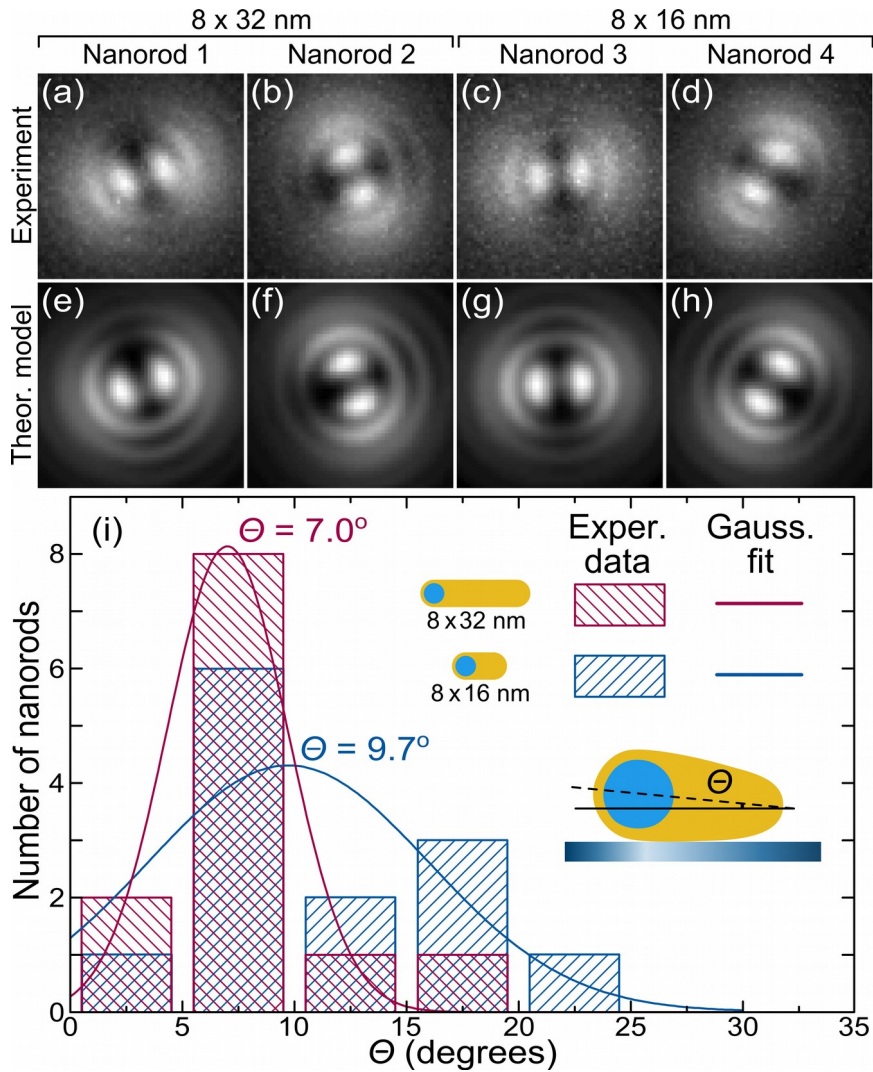


Figure 5. (a)-(d) Experimental defocused images that were measured in the wide field mode at 440 nm excitation wavelength. The patterns obtained at other excitation wavelengths that were used in this study, has identical shape. (e)-(h) Theoretical fit of the measured patterns. All the fits correspond to a fixed linear emission transition dipole, see figure 3(s)-(aa). (i) Histogram of the out of plane angles that were obtained by fitting experimental emission patterns. The solid curves are Gaussian fits that have the maximum at 9.7° and 7.0° and full

width at half maxima 14.8° and 6.3° for 8×16 and 8×32 nm nanorods, respectively.