

LONDON
SCHOOL of
HYGIENE
& TROPICAL
MEDICINE



LSHTM Research Online

Meldau, Richard; Randall, Philippa; Pooran, Anil; Limberis, Jason; Makambwa, Edson; Dhansay, Muhammed; Esmail, Ali; Dheda, Keertan; (2019) Same day tools, including Xpert Ultra and unstimulated IFN-, for the rapid diagnosis of pleural tuberculosis - a prospective observational study. *Journal of clinical microbiology*. ISSN 0095-1137 DOI: <https://doi.org/10.1128/jcm.00614-19>

Downloaded from: <http://researchonline.lshtm.ac.uk/4653702/>

DOI: <https://doi.org/10.1128/jcm.00614-19>

Usage Guidelines:

Please refer to usage guidelines at <https://researchonline.lshtm.ac.uk/policies.html> or alternatively contact researchonline@lshtm.ac.uk.

Available under license: <http://creativecommons.org/licenses/by/2.5/>

<https://researchonline.lshtm.ac.uk>

1 **Same day tools, including Xpert Ultra and unstimulated IFN- γ , for the rapid diagnosis**
2 **of pleural tuberculosis – a prospective observational study.**

3 Richard Meldau¹, Philippa Randall¹, Anil Pooran¹, Jason Limberis¹, Edson Makambwa¹,
4 Muhammed Dhansay¹, Ali Esmail¹ and Keertan Dheda^{1,2#}

5 ¹ Centre for Lung Infection and Immunity, Division of Pulmonology, Department of
6 Medicine and UCT Lung Institute, University of Cape Town, Cape Town.

7 ² London School of Hygiene and Tropical Medicine, London, United Kingdom.

8

9 # Corresponding author: Keertan Dheda

10 Postal Address: Centre for Lung Infection and Immunity, Department of Medicine &
11 UCT Lung Institute, University of Cape Town, South Africa. H Floor,
12 Room H46.41 Old Main Building, Groote Schuur Hospital, Groote
13 Schuur Drive, Observatory 7925

14 E-mail: keertan.dheda@uct.ac.za

15 Tel: +27 21 404 7654

16 Fax: +27 21 650 3824

17

18 **Abstract**

19 **Introduction:**

20 The diagnosis of pleural tuberculosis (TB) is problematic. The comparative performance of
21 newer same day tools for pleural TB including Xpert MTB/RIF Ultra (ULTRA) has, hitherto,
22 not been comprehensively been studied.

23 **Methods:**

24 Adenosine deaminase (ADA), Inter-Gam Ultrasensitive Rapid Immuno-suspension Assay
25 (IRISA-TB), Xpert MTB/RIF, and ULTRA performance outcomes were evaluated in pleural
26 fluid samples from 149 patients with suspected pleural TB. The reference standard was
27 culture positivity (fluid, biopsy or sputum) and/or pleural biopsy histopathology (definite-
28 TB). Those with non-TB were microbiologically test negative and were not initiated on anti-
29 TB treatment. To determine the effect of sample concentration, 65 samples underwent
30 pelleting by centrifugation followed by conventional Xpert MTB/RIF and ULTRA.

31 **Results:**

32 Of the 149 patients, 49 had definite-TB, 16 probable-TB (not definite but treated for TB) and
33 84 non-TB. ULTRA sensitivity (95% CI) and specificity was similar to Xpert MTB/RIF
34 [37.5% (25.3-51.2) versus 28.6% (15.9-41.2)] and [98.8% (96.5-100) versus 98.8% (96.5-
35 100)], respectively. Centrifugation did not significantly improve ULTRA sensitivity (29.5%
36 vs. 31.3%, respectively). Adenosine deaminase and IRISA-TB sensitivity was 84.4% (73.9 –
37 95.0) and 89.8% (81.3– 98.3), respectively. However, IRISA-TB demonstrated significantly
38 better specificity [96.4% vs. 87.5% ($p= 0.034$)], positive-predictive value [93.6% vs. 80.9 ($p=$
39 0.028)] and positive-likelihood ratio [25.1 vs. 6.8 ($p= 0.032$)] than ADA.

40 **Conclusion:**

41 Xpert ULTRA has poor sensitivity for the diagnosis of pleural TB. Alternative assays (ADA
42 and IRISA-TB) are significantly more sensitive, with IRISA-TB demonstrating a higher
43 specificity and rule-in value compared to ADA in this high TB and HIV-endemic setting.

44

45 **Word Count: 240/250**

46

47 **Introduction**

48 Tuberculosis (TB) remains a global health problem with 10 million new cases attributable to
49 the disease in 2017 (1). Although pulmonary TB is the predominant form of the disease,
50 extra-pulmonary TB (EPTB) accounts for approximately 25% of active cases (2), with
51 pleural TB being the a common manifestation of EPTB (3), if not the most common in
52 several settings (4-6). The diagnosis of pleural TB is difficult due to the paucibacillary nature
53 of the disease and the need for invasive sampling including blind or image-guided, or surgical
54 open pleural biopsy (7). Diagnosis using pleural fluid is, in reality, the norm despite several
55 drawbacks including limited sensitivity and specificity.

56 Xpert MTB/RIF a fully automated quantitative real-time PCR assay, until recently, the
57 frontline test for TB in many endemic countries (8) had a poor yield in pleural TB (using
58 pleural fluid) with a pooled sensitivity of ~25% when using culture and pleural biopsy as a
59 reference standard (9-11).

60 However, more recently Cepheid developed the next-generation Xpert MTB/RIF Ultra
61 (ULTRA) a multiplex nested PCR assay, which is WHO-endorsed as the new sputum-based
62 frontline TB diagnostic test (12). Its key advantage is a higher sensitivity with the level of
63 detection decreasing from ~130 to ~ 20 organisms/ ml of sample. This ~log difference in
64 sensitivity provided hope that pauci-bacillary TB, including forms of EPTB like pleural TB,
65 could now be more easily diagnosed (13). However, there are no comprehensive studies
66 about the utility of ULTRA in pleural TB and none from endemic countries. A preliminary
67 laboratory-based study detected 10 Ultra positives (sensitivity of ~47%) in selected culture
68 positive pleural fluid samples; given that culture sensitivity is only ~40% the key drawback
69 was one of selection and sampling bias (14). Thus, it is unknown how the performance of

70 ULTRA compares with the conventional Xpert MTB/RIF assay for the diagnosis of pleural
71 TB in unselected patients.

72 Given the drawbacks of microbiological tests and biomarkers to aid in pleural TB diagnosis,
73 such as adenosine deaminase (ADA), have been extensively studied (7) though specificity
74 may be limited at the 30IU/L cut-point (often used in clinical practice) (15). An alternative
75 biomarker, interferon gamma (IFN- γ), an inflammatory cytokine secreted by macrophages
76 and CD4 (+) T cells becomes highly compartmentalised in TB with pooled sensitivity and
77 specificity estimates of 93% and 96%, respectively (3) and even higher sensitivities in high
78 TB burden settings (16, 17). The Inter-Gam Ultrasensitive Rapid Immuno-Suspension Assay
79 (IRISA-TB) is a recently validated and standardised same-day (1.5-hour turn-around time),
80 low-cost immunoassay assay developed to measure unstimulated IFN- γ in EPTB. Its
81 performance relative to ULTRA has, hitherto, not been evaluated.

82 To address these gaps in our knowledge we performed unbiased evaluation of the 4th
83 generation Xpert cartridge (Xpert MTB/RIF), Xpert ULTRA, ADA and IRISA-TB in
84 consecutively recruited patients in a prospective observational study using a comprehensive
85 composite reference standard comprising culture and pleural biopsy histology.

86

87 **Methods**

88 **Patient recruitment, categorization and routine laboratory tests.**

89 Patients with suspected pleural TB (any TB symptoms including any cough, fever, night
90 sweats, loss of weight, haemoptysis and/or chest pain, and features consistent with a pleural
91 effusion on chest x-ray) were prospectively recruited from Groote Schuur Hospital in Cape
92 Town, South Africa. The University of Cape Town Human Research Ethics Committee
93 approved the study (HREC: 421/2006 and 919/2014). All patients provided informed consent
94 for study participation.

95 Pleural fluid was collected by ultrasound-guided pleurocentesis. A closed pleural biopsy,
96 although not routine, was performed using an Abrams needle to aid in patient categorization.
97 Biopsies were collected following aspiration of pleural fluid. Pleural fluid samples were
98 subjected to routine biochemical and cytological analysis by the National Health Laboratory
99 Services (NHLS). This included protein, albumin, ADA, glucose, differential cell counts,
100 cytology, concentrated fluorescence smear microscopy, and liquid culture for *M. tuberculosis*
101 using the MGIT 960 (Becton Dickinson, Sparks, Maryland). Pleural fluid ADA levels
102 >30U/L, were reported as suggestive of pleural TB in accordance with national guidelines
103 (18). The remaining fluid was bio-banked and frozen at -80°C and subsequently used for
104 ULTRA, Xpert MTB/RIF and IRISA-TB analysis. Pleural biopsy samples were sent for
105 histology and/or liquid culture. When possible, sputum was also collected for routine smear
106 microscopy and liquid culture by the NHLS. HIV testing was performed in consenting
107 patients.

108 Due to the limitations of a single pleural fluid TB culture for confirming a diagnosis, a
109 composite reference standard was used for patient categorization (and this reference standard
110 was used in all analyses presented). Patients were categorised as follows: (i) Definite-TB:

111 patients with at least one positive *M. tuberculosis* culture (pleural fluid, biopsy and/or
112 sputum) and/or caseating granulomatous inflammation suggestive of TB on histological
113 examination of pleural biopsy tissue, and with improvement on anti-TB treatment (all
114 patients in this category received anti-TB treatment); (ii) Probable-TB: patients not meeting
115 the criteria for definite-TB but with clinical and radiological indicators suggestive of TB and
116 who were initiated on and responded to anti-TB treatment (all patients in this category
117 received anti-TB treatment); (iii) Non-TB: patients with no microbiological or histological
118 evidence of *M. tuberculosis* and/or an alternative diagnosis was available. These patients did
119 not receive anti-TB treatment either at presentation or on follow-up.

120

121 **IFN- γ measurement**

122 Interferon-gamma concentrations were measured in pleural fluid supernatants using the
123 IRISA-TB Assay (IRISA-TB; Antrum Biotech Pty Ltd., Cape Town, South Africa) according
124 to the manufacturer's instructions. The assay was performed in duplicate and the average
125 value reported. Pleural fluid supernatant was prepared by centrifuging 1ml of pleural fluid at
126 3000 \times g for 15 minutes.

127

128 **ULTRA and Xpert MTB/RIF assays**

129 Both the ULTRA and Xpert MTB/RIF assays were performed using 1ml of pleural fluid
130 diluted with 2 ml of Xpert sample buffer followed by vigorous mixing. ULTRA and Xpert
131 MTB/RIF cartridges were run on a GeneXpert 4-module machine (Cepheid, Dx System
132 Version 4.7b). To evaluate the effect of sample concentration on ULTRA and Xpert
133 MTB/RIF sensitivity, a median (IQR) of 10 (5-10) ml pleural fluid was centrifuged at 3000 \times g
134 for 15 minutes and the corresponding pellet was resuspended in 1ml of PBS. The sample was

135 then processed as described for unconcentrated samples. PCR inhibition was evaluated by
136 comparing the PCR cycle-threshold (Ct) values of the internal positive control (lyophilized
137 *Bacillus atrophaeus* subsp. *globigii* spores) from neat and concentrated samples. The limit of
138 detection (LOD) of ULTRA and Xpert MTB/RIF was determined in triplicate by serially
139 diluting H37Rv CFUs (0 to 125 CFU/ml) into 1ml aliquots of non-TB pleural fluid sample.
140 The limit of detection (LOD) of ULTRA and Xpert MTB/RIF was determined by spiking 1ml
141 pleural fluid samples with known concentrations of H37Rv (0 to 125 CFU/ml). An H37Rv
142 stock solution was aspirated several times using a fine gauge needle to prevent aggregation,
143 followed by performing serial dilutions into a 0.25% Tween-80/PBS solution, as performed
144 in previous studies (17, 19, 20). Equal volumes of each dilution were then added to 1ml of
145 non-TB pleural fluid samples in triplicate. The CFU/ml of each dilution was confirmed by
146 enumeration on OADC-enriched 7H10 agar.

147 **Statistical analysis**

148 Diagnostic accuracy, including 95% confidence intervals (95% CIs), was assessed using
149 sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV) and
150 area under the receiver operator curve (AUROC) in definite-TB and non-TB groups.
151 Unpaired and paired categorical variables were compared using the χ^2 and McNemar test,
152 respectively. Continuous variables were compared using Student's t-test where appropriate.
153 The Mann-Whitney and Wilcoxon Rank Sum test was used for unpaired and paired non-
154 parametric continuous variables, respectively. Statistical analyses were performed using
155 GraphPad Prism (version 6.0), Medcalc Version 18.6 and Microsoft Excel. PPV, NPV and
156 likelihood ratios were compared by DEAD, Semiquant
157 (<https://semiquant.shinyapps.io/DEAD/>).

158

159 **Results**

160 **Clinical and demographic data**

161 A total of 165 patients were recruited into the study; 49 subjects had definite-TB, 84 were
162 classified as non-TB and 16 subjects were classified as probable TB. Those with non-TB
163 effusions had a spectrum of malignant and non-malignant diagnoses including lymphoma,
164 adenocarcinoma, small cell carcinoma, and parapneumonic effusion. An additional 16
165 patients had insufficient clinical data to be categorized in the above groups and were
166 subsequently excluded. A study overview is provided in Figure 1. Demographic and clinical
167 data are summarized in Table 1.

168 **Performance outcomes of IRISA-TB**

169 The median (IQR) of the IFN- γ levels (n=149) were significantly higher in definite-TB
170 compared to non-TB pleural effusions: [198.7pg/ml (93.4-298.2) vs. 0.0pg/ml (0.0-0.0),
171 p<0.0001; Figure 2A]. Using Definite and Non-TB groups, a ROC curve-derived rule-in cut-
172 point of 20.5pg/ml (Figure 2B, the sensitivity (95% CI), specificity, PPV and NPV of IRISA-
173 TB was 89.8% (81.3-98.3), 96.4% (92.4-100), 93.6% (86.6-100) and 94.2% (89.2-99.1),
174 respectively. Table 2 compares the diagnostic accuracy of IRISA-TB with other same-day
175 diagnostics in the definite-TB versus non-TB groups.

176

177 **Performance outcomes of pleural fluid ADA**

178 Median (IQR) of the ADA levels (n=140) were approximately 5 times higher in definite-TB
179 compared to non-TB effusions [55.6 (41.7-65.9) vs. 12.0 (1.0-22.4) U/L, p<0.0001]. Using a
180 clinical cut point of >30 U/L (18), the sensitivity (95%CI), specificity, PPV and NPV of

181 ADA were 84.4% (73.9-95.0), 87.5% (79.9-95.1), 80.9% (69.6- 92.1) and 90.0% (83.0-97.0),
182 respectively (Table 2). The scatter plot and ROC of ADA are shown in Figures 2A and 2B.

183

184 **Performance outcome of ULTRA and Xpert MTB/RIF**

185 The sensitivity (95%CI) of ULTRA (n=149) was marginally better than Xpert MTB/RIF
186 [37.5% (23.8-51.2) vs. 28.6% (15.9-41.2), p=0.393; Table 2]. Pleural fluid concentration did
187 not significantly improve the sensitivity of either ULTRA or Xpert MTB/RIF, [29.5% vs.
188 31.3% and 29.5% vs. 33.4%, respectively; Table 3]. The median (IQR) cycle-threshold
189 values of the Xpert MTB/RIF internal positive control was significantly different between
190 neat and concentrated samples, [26.3 vs. 25.55, p=0.0483] but not when using ULTRA
191 (Figure S1). ULTRA had a lower LOD compared to Xpert MTB/RIF (18.7 CFU/ml vs. ≥ 76.2
192 CFU/ml of pleural fluid, respectively; Figure S2). Furthermore, the lowest dilution to provide
193 a trace positive result by ULTRA was 8.8 CFU/ml.

194

195 **Discussion**

196 Given that a reliable same-day diagnostic tool for pleural TB is still lacking, we prospectively
197 evaluated the utility of ADA, IRISA-TB, Xpert MTB/RIF and the recently released ULTRA
198 assay for the diagnosis of pleural TB. Our key findings were that (i) ULTRA sensitivity was
199 no better than the conventional Xpert MTB/RIF despite a lower *in vitro* limit-of-detection,
200 (ii) the ULTRA sensitivity was not improved by pelleting of larger volumes of pleural fluid,
201 (iii) ADA and IRISA-TB had significantly higher sensitivity for pleural TB compared to
202 molecular tests, and (iv) compared to ADA, IRISA-TB had significantly better specificity
203 and positive predictive value making it the ideal rule in test for pleural TB (though it also had
204 a very high NPV in a high burden setting thus prompting clinicians when to search for
205 alternative diagnoses that may mandate pleural biopsy and thoracoscopy). There are a
206 number of strengths and novel aspects of our study. It is the first study to comprehensively
207 evaluate Xpert Ultra in patients with suspected pleural TB (and directly against the Xpert G4
208 cartridge), it is the largest study to date (149 participants) to evaluate a nucleic acid
209 amplification test, ADA, and unstimulated IFN- γ in tandem, the first study to evaluate Ultra
210 for pleural TB in the context of HIV co-infection, and evaluated an updated version of the
211 IFN- γ assay. The use of a composite reference standard (culture and histopathology) better
212 reflects the true performance of each assay (as culture alone is an imperfect gold standard in
213 this context).

214

215 The ULTRA cartridge, which incorporates a larger input sample volume and two different
216 multi-copy amplification targets (*IS6110* and *IS1081*) results in approximately 10-fold
217 improvement in the lower limit of detection *in vitro* using spiked *M.tb* (13), yet the sensitivity
218 in clinical samples remained suboptimal and not much different from the conventional

219 MTB/RIF cartridge. This is most likely due to the immune-mediated and paucibacilliary
220 nature of pleural TB, which remains below the detection limit (even) of ULTRA. The only
221 published data on ULTRA in pleural TB comes from a low burden setting using samples
222 from different extra-pulmonary sites, and that only included a small number (n=24) of pleural
223 fluid samples (14). Furthermore, culture positivity was used as the reference standard
224 resulting in sample and selection bias (only 40% of pleural TB is culture positive), which
225 may have overestimated test specificity. Given that culture positivity self-selects for higher
226 burden of microbiological disease, restricting analysis to this sub-group is also likely to
227 overestimate sensitivity. We have also confirmed the limit of detection of the ULTRA was
228 10-fold lower than Xpert MTB/RIF (8.8 vs. 76.2 CFU/ml, respectively). Pleural fluid is
229 known to have inhibitory molecules which can affect molecular assays (21). However, no
230 PCR inhibition of the positive internal control was seen when using the ULTRA assay,
231 whereas inhibition was seen with the Xpert MTB/RIF assay (but not in a previous study that
232 we performed, presumably due to a sample size effect) (17). Pellet-based concentration of the
233 pleural fluid by centrifugation and resuspension did not improve sensitivity of either ULTRA
234 or Xpert MTB/RIF. The median time to positivity was 22 days, indicating a low bacterial
235 load within the fluid. Furthermore, ULTRA-positive culture-positive samples tended to have
236 a shorter time to positivity than the ULTRA-negative culture-positive ones (data not shown),
237 confirming the perception that pleural fluid is highly paucibacillary (and concentrating of
238 10ml of pleural fluid is unlikely to improve performance despite ULTRA being more
239 sensitive). Moreover, concentrating volumes larger than 10 ml is unlikely to improve
240 sensitivity as centrifuging as much 100ml of fluid does not improve the diagnostic yield of
241 culture (22), which has a similar limit of detection as ULTRA (13, 23). This is in
242 contradistinction to TB meningitis and genitourinary/ disseminated TB in advanced HIV,
243 where concentrating the (CSF or urine) fluid improves the sensitivity Xpert MTB/RIF (24,

244 25). This is likely because TB serositis is more of an immune-reactive disease characterised
245 by a hypersensitivity reaction to TB antigens in addition to mycobacterial invasion of the
246 pleural space. Thus, whilst we have previously shown that CSF (24) and urine centrifugation
247 (25) may improve sensitivity, concentration in the case of a hypersensitivity reaction will
248 have little effect given the very low burden of mycobacteria or TB antigen. The same
249 phenomenon is likely to explain the lack of a concentration effect in TB pericarditis as we
250 have previously shown (26).

251

252 In high TB settings such as South Africa, a high ADA level (30 IU/L cut-point) is frequently
253 used to guide initiation of anti-TB treatment. In this study, using the accepted laboratory cut-
254 point of 30 IU/L, about one fifth of the TB patients would have been missed, and close to 1 in
255 every 10 non-TB patients would have erroneously been initiated on unnecessary anti-TB
256 treatment. In high prevalence settings, ADA has satisfactory diagnostic performance but in
257 lower settings the PPV is not clinically useful (27). The most recent meta-analysis reported a
258 sensitivity and specificity of 86% and 88%, respectively (28), confirming the
259 misclassification bias and that 1 out of every 9 or 10 non-TB patients would be erroneously
260 placed on anti-TB treatment (at a 10% disease prevalence this would amount to about 10
261 additional false TB starts in every 100 patients suspected with pleural TB). The specificity of
262 ADA can be improved if the proportion of lymphocytes are taken into account (27).
263 However, this was not routinely requested by the attending clinician and was not expressly
264 part of our study protocol. Furthermore, a significant proportion (~25%) of pleural effusions
265 are neutrophil predominant (29) and lymphocyte counts in pleural fluid are not widely
266 accessible (for the same reason it is not frequently requested in our setting). As such, this
267 analysis was not performed.

268

269 IRISA-TB sensitivity, like that of ADA, was significantly higher than ULTRA highlighting
270 that tests requiring to express detection of *M.tb* will always struggle to reach the sensitivity of
271 immunodiagnostic tests (which can greatly reduce the need for invasive biopsy procedures).
272 Indeed, we have confirmed our previous findings, and those of others, that IFN- γ is both a
273 good rule-in and rule-out diagnostic test for pleural-TB (16, 17, 30-32). We used the IRISA-
274 TB kit, which is both rapid and inexpensive and can be used in most resource poor settings
275 where other routine ELISAs are performed; moreover, it gets around the hurdle of long assay
276 times and high cost of research-based kits, which remain un-validated in a clinical setting.
277 The latter is important as EPTB compartments have high concentrations of interfering
278 heterophile molecules and thus kit-based variation in sensitivity can be considerable (33-35).
279 Interferon gamma levels were also found to be elevated in three non-TB patients. Two of
280 these three patients showed similar histopathology and ADA levels but there was no
281 alternative clinical diagnosis to explain the IFN- γ results with the available clinical
282 information. One drawback of using immunodiagnostic tests is the lack of antimicrobial
283 susceptibility data, which requires either a culture isolate or positive nucleic acid
284 amplification test. However, the diagnostic yield in pleural fluid for both is low making this
285 concern redundant. The diagnostic yield can be improved with pleural biopsy specimens (30).
286 Indeed, in the current study pleural fluid culture sensitivity (45%) was lower compared to
287 biopsy culture sensitivity (82%; data not shown). Recently, Christopher *et al*, showed a 30%
288 increase in Xpert MTB/RIF sensitivity when using pleural tissue in addition to pleural fluid
289 i.e. macerated pleural tissue was used in the Xpert assay (36). This approach was not
290 undertaken in our study but would have still meant that ULTRA sensitivity would have been
291 in the region of ~50%. Further studies are required to interrogate this issue though its

292 importance is mitigated by the fact that pleural biopsy is not routinely performed in most TB
293 endemic settings.

294 There are several limitations of our study. There was a low proportion of HIV-infected
295 patients and many patients with unknown HIV status. However, the HIV prevalence rates
296 among TB patients in the Western Cape Province are known to be lower than the rest of
297 South Africa (37) and patients often refuse testing. Nevertheless, our findings were still
298 derived in a TB-endemic setting with a relatively high HIV co-infection rate where Beijing
299 strains predominate, and thus should ideally be confirmed in other settings. A further
300 limitation is that we did not evaluate the potential impact on morbidity and length of hospital
301 stay of ADA, IRISA-TB, Xpert MTB/RIF and ULTRA compared to empiric treatment.
302 However, our study design did not lend itself to deriving these measures (it would have
303 required a RCT) and an interventional study design would have been difficult to interpret
304 because of high rates of empiric treatment. Lastly, as TB-IRISA was performed on frozen
305 pleural fluid samples, it is possible that cell lysis due to freeze/thaw may have resulted in
306 slightly inflated IFN- γ levels, when compared to freshly run samples. However, we believe
307 this effect to be negligible based on correspondence with the manufacturer, and as IFN- γ
308 protein is rapidly released from cells (other methods of IFN- γ detection, such as flow
309 cytometry, require protocols that inhibit the secretion of intracellular cytokines to reliably
310 detect them). The effect of freeze thaw on ADA is also uncertain.

311 In conclusion, despite a better limit of detection than the conventional Xpert MTB/RIF
312 cartridge, ULTRA has poor sensitivity for the diagnosis of pleural TB. Biomarkers, such as
313 ADA and IRISA-TB are significantly more sensitive, with IRISA-TB demonstrating a higher
314 specificity and rule-in value, compared to ADA, in a TB and HIV-endemic setting.

315

316 **Acknowledgements:**

317 K Dheda and some of the work presented here was supported by SA MRC (RFA-EMU-02-
318 2017), EDCTP (TMA-2015SF-1043 & TMA- 1051-TESAII) and the SANBIO.

319 **References**

- 320 1. World Health Organization. 2018. Global tuberculosis report 2018. World Health
321 Organization G, Switzerland.
- 322 2. Porcel JM. 2009. Tuberculous pleural effusion. *Lung* 187:263-70.
- 323 3. Cohen LA, Light RW. 2015. Tuberculous Pleural Effusion. *Turk Thorac J* 16:1-9.
- 324 4. Gaur PS, Bhaskar R, Singh S, Saxena P, Agnihotri S. 2017. Incidence and clinical
325 profiles of pulmonary and extra-pulmonary tuberculosis patients in North Indian
326 population: a hospital based retrospective study. *IJRDPL* 6:2773-2778.
- 327 5. Karstaedt A. 2014. Extrapulmonary tuberculosis among adults: experience at Chris
328 Hani Baragwanath Academic Hospital, Johannesburg, South Africa. *South African
329 Medical Journal* 104:22-24.
- 330 6. Lee JY. 2015. Diagnosis and treatment of extrapulmonary tuberculosis. *Tuberc Respir
331 Dis (Seoul)* 78:47-55.
- 332 7. Vorster MJ, Allwood BW, Diacon AH, Koegelenberg CF. 2015. Tuberculous pleural
333 effusions: advances and controversies. *J Thorac Dis* 7:981-91.
- 334 8. World Health Organization. Report of the Tenth Meeting WHO Strategic and
335 Technical Advisory Group for Tuberculosis (STAG-TB). Geneva SWHO. Geneva,
336 Switzerland: World Health Organization; 2010:27–29.
- 337 9. Sehgal IS, Dhooria S, Aggarwal AN, Behera D, Agarwal R. 2016. Diagnostic
338 Performance of Xpert MTB/RIF in Tuberculous Pleural Effusion: Systematic Review
339 and Meta-analysis. *J Clin Microbiol* 54:1133-6.
- 340 10. Huo ZY, Peng L. 2018. Is Xpert MTB/RIF appropriate for diagnosing tuberculous
341 pleurisy with pleural fluid samples? A systematic review. *BMC Infect Dis* 18:284.

- 342 11. Sharma S, Dahiya B, Sreenivas V, Singh N, Raj A, Sheoran A, Yadav A, Gupta KB,
343 Mehta PK. 2018. Comparative evaluation of GeneXpert MTB/RIF and multiplex PCR
344 targeting mpb64 and IS6110 for the diagnosis of pleural TB. *Future Microbiol*
345 13:407-413.
- 346 12. World Health Organization G, Switzerland. 2017. WHO Meeting Report of a
347 Technical Expert Consultation: Non-inferiority analysis of Xpert MTB/RIF Ultra
348 compared to Xpert MTB/RIF
- 349 13. Chakravorty S, Simmons AM, Rowneki M, Parmar H, Cao Y, Ryan J, Banada PP,
350 Deshpande S, Shenai S, Gall A, Glass J, Krieswirth B, Schumacher SG, Nabeta P,
351 Tukvadze N, Rodrigues C, Skrahina A, Tagliani E, Cirillo DM, Davidow A,
352 Denkinger CM, Persing D, Kwiatkowski R, Jones M, Alland D. 2017. The New Xpert
353 MTB/RIF Ultra: Improving Detection of *Mycobacterium tuberculosis* and Resistance
354 to Rifampin in an Assay Suitable for Point-of-Care Testing. *MBio* 8.
- 355 14. Perez-Risco D, Rodriguez-Temporal D, Valledor-Sanchez I, Alcaide F. 2018.
356 Evaluation of the Xpert MTB/RIF Ultra Assay for Direct Detection of
357 *Mycobacterium tuberculosis* Complex in Smear-Negative Extrapulmonary Samples. *J*
358 *Clin Microbiol* 56: e00659-18.
- 359 15. Porcel JM. 2016. Advances in the diagnosis of tuberculous pleuritis. *Ann Transl Med*
360 4:282.
- 361 16. Dheda K, van Zyl-Smit RN, Sechi LA, Badri M, Meldau R, Meldau S, Symons G,
362 Semple PL, Maredza A, Dawson R, Wainwright H, Whitelaw A, Vallie Y,
363 Raubenheimer P, Bateman ED, Zumla A. 2009. Utility of quantitative T-cell
364 responses versus unstimulated interferon- γ for the diagnosis of pleural
365 tuberculosis. *Eur Respir J* 34:1118-26.

- 366 17. Meldau R, Peter J, Theron G, Calligaro G, Allwood B, Symons G, Khalfey H,
367 Ntombenhle G, Govender U, Binder A, van Zyl-Smit R, Dheda K. 2014. Comparison
368 of same day diagnostic tools including Gene Xpert and unstimulated IFN-gamma for
369 the evaluation of pleural tuberculosis: a prospective cohort study. *BMC Pulm Med*
370 14:58.
- 371 18. TB DOTS Strategy Coordination NDoH. 2014. National Tuberculosis Management
372 Guidelines
- 373 19. Davids M, Pooran AS, Pietersen E, Wainwright HC, Binder A, Warren R, Dheda K.
374 2018. Regulatory T Cells Subvert Mycobacterial Containment in Patients Failing
375 Extensively Drug-Resistant Tuberculosis Treatment. *Am J Respir Crit Care Med*
376 198:104-116.
- 377 20. Semple PL, Binder AB, Davids M, Maredza A, van Zyl-Smit RN, Dheda K. 2013.
378 Regulatory T cells attenuate mycobacterial stasis in alveolar and blood-derived
379 macrophages from patients with tuberculosis. *Am J Respir Crit Care Med* 187:1249-
380 58.
- 381 21. Rosso F, Michelon CT, Sperhacke RD, Verza M, Olival L, Conde MB, Guerra RL,
382 Zaha A, Rossetti ML. 2011. Evaluation of real-time PCR of patient pleural effusion
383 for diagnosis of tuberculosis. *BMC Res Notes* 4:279.
- 384 22. von Groote-Bidlingmaier F, Koegelenberg CF, Bolliger CT, Chung PK, Rautenbach
385 C, Wasserman E, Bernasconi M, Friedrich SO, Diacon AH. 2013. The yield of
386 different pleural fluid volumes for *Mycobacterium tuberculosis* culture. *Thorax*
387 68:290-1.
- 388 23. van Zyl-Smit RN, Binder A, Meldau R, Mishra H, Semple PL, Theron G, Peter J,
389 Whitelaw A, Sharma SK, Warren R, Bateman ED, Dheda K. 2011. Comparison of

- 390 quantitative techniques including Xpert MTB/RIF to evaluate mycobacterial burden.
391 PLoS One 6:e28815.
- 392 24. Patel VB, Theron G, Lenders L, Matinyena B, Connolly C, Singh R, Coovadia Y,
393 Ndung'u T, Dheda K. 2013. Diagnostic accuracy of quantitative PCR (Xpert
394 MTB/RIF) for tuberculous meningitis in a high burden setting: a prospective study.
395 PLoS Med 10:e1001536.
- 396 25. Peter JG, Theron G, Muchinga TE, Govender U, Dheda K. 2012. The diagnostic
397 accuracy of urine-based Xpert MTB/RIF in HIV-infected hospitalized patients who
398 are smear-negative or sputum scarce. PLoS One 7:e39966.
- 399 26. Pandie S, Peter JG, Kerbelker ZS, Meldau R, Theron G, Govender U, Ntsekhe M,
400 Dheda K, Mayosi BM. 2014. Diagnostic accuracy of quantitative PCR (Xpert
401 MTB/RIF) for tuberculous pericarditis compared to adenosine deaminase and
402 unstimulated interferon-gamma in a high burden setting: a prospective study. BMC
403 Med 12:101.
- 404 27. Garcia-Zamalloa A, Taboada-Gomez J. 2012. Diagnostic accuracy of adenosine
405 deaminase and lymphocyte proportion in pleural fluid for tuberculous pleurisy in
406 different prevalence scenarios. PLoS One 7:e38729.
- 407 28. Gui X, Xiao H. 2014. Diagnosis of tuberculosis pleurisy with adenosine deaminase
408 (ADA): a systematic review and meta-analysis. Int J Clin Exp Med 7:3126-35.
- 409 29. McGrath EE, Warriner D, Anderson PB. 2010. Pleural fluid characteristics of
410 tuberculous pleural effusions. Heart Lung 39:540-3.
- 411 30. Casalini AG, Mori PA, Majori M, Anghinolfi M, Silini EM, Gnetti L, Motta F, Larini
412 S, Montecchini S, Pisi R, Calderaro A. 2018. Pleural tuberculosis: medical
413 thoracoscopy greatly increases the diagnostic accuracy. ERJ Open Res 4.

- 414 31. Wang H, Yue J, Yang J, Gao R, Liu J. 2012. Clinical diagnostic utility of adenosine
415 deaminase, interferon-gamma, interferon-gamma-induced protein of 10 kDa, and
416 dipeptidyl peptidase 4 levels in tuberculous pleural effusions. *Heart Lung* 41:70-5.
- 417 32. Liu YC, Shin-Jung Lee S, Chen YS, Tu HZ, Chen BC, Huang TS. 2011. Differential
418 diagnosis of tuberculous and malignant pleurisy using pleural fluid adenosine
419 deaminase and interferon gamma in Taiwan. *J Microbiol Immunol Infect* 44:88-94.
- 420 33. Levinson SS, Miller JJ. 2002. Towards a better understanding of heterophile (and the
421 like) antibody interference with modern immunoassays. *Clin Chim Acta* 325:1-15.
- 422 34. Tate J, Ward G. 2004. Interferences in immunoassay. *Clin Biochem Rev* 25:105-20.
- 423 35. Wang Y, Wei H, Pan Q, Wang Z, Xing R, Li W, Zhang J, Ding M, Guo J, Wu L, Lu
424 Y, Liu S. 2012. Identification and elimination of heterophilic antibody interference
425 during antibody pair screening. *Anal Biochem* 430:1-3.
- 426 36. Christopher DJ, Dinakaran S, Gupta R, James P, Isaac B, Thangakunam B. 2018.
427 Thoracoscopic pleural biopsy improves yield of Xpert MTB/RIF for diagnosis of
428 pleural tuberculosis. *Respirology* 23:714-717.
- 429 37. Massyn N PN, English R, Padarath A, Barron P, Day C, editors. *District Health*
430 *Barometer 2015/16., 2016. DHST.*

431 **Tables:**

432 **Table 1: Baseline characteristics of the definite, probable, and non-TB groups.**

Demographic data	Definite TB (n = 49)	Non-TB (n = 84)	Probable TB (n = 16)	P-Values
Median Age	39 ^a	61 ^{ab}	47 ^a	^a : p < 0.0001
(IQR)	(28 - 57)	(54 - 69)	(38 - 53)	^a : P < 0.0001
Sex				
Male	32 (21.5%)	54 (36.2%)	10 (6.7%)	
Female	17 (11.4%)	30 (20.1%)	6 (4.0%)	
HIV-infected				
Yes	9 (6.5%)	4 (2.9%)	4 (2.9%)	
No	29 (20.9%)	45 (32.4%)	8 (5.8%)	
Unknown	5 (3.6%)	15 (10.8%)	1 (0.7%)	
Not tested	5 (3.9%)	13 (9.4%)	1 (0.7%)	
Median CD4 count*	102 ^{**}	117	163	
(cells/ml) (IQR)	(73 - 232)	(39 - 493)	(57 - 462)	
Previous TB				
Yes	9 (6.0%)	9 (6.0%)	5 (3.4%)	
No	32 (21.5%)	61 (40.9%)	7 (4.7%)	
Unknown	8 (5.4%)	14 (9.4%)	4 (2.7%)	

433 Continuous data analysed by unpaired t-test; categorical data analysed by Chi-squared test.

434 Superscript symbols: ^a and ^b: were used to indicate which groups were being compared for statistical
 435 analysis. * CD4 counts are available for all HIV infected individuals unless otherwise stated. **One
 436 definite HIV infected TB patient did not have an available CD4 count result. As such the median CD4
 437 counted is reported for 8 patients. See table S1 for number of Definite TB participants that were
 438 culture and histological positive; culture-negative and histological positive; culture positive and
 439 histological positive; culture positive with no histology requested and histology positive with culture
 440 requested.

441 Table 2: Accuracy of Xpert G4, ULTRA, IRISA-TB, and ADA for the diagnosis pleural tuberculosis

	Sensitivity % (CI) n/N	Specificity % (CI) n/N	Positive Predictive Value % (CI) n/N	Negative Predictive Value % (CI) n/N	Positive Likelihood Ratio (CI)	Negative Likelihood Ratio (CI)	Diagnostic odds Ratio (CI)
Xpert ULTRA	37.5 ^{bd} (23.8 – 51.2) 18/48	98.8 ^f (96.5 - 100) 83/84	94.7 ^j (84.7 – 100) 18/19	73.5 ^{nk} (65.3 – 81.6) 83/113	31.5 (4.3 – 228.6)	0.6 ^{qt} (0.5 - 0.8)	49.8 (6.4 – 389.4)
Xpert MTB/RIF	28.6 ^{ac} (15.9 – 41.2) 14/49	98.8 ^c (96.4 - 100) 83/84	93.3 ⁱ (80.7 - 100) 14/15	70.3 ^{ml} (62.1 – 78.6) 83/118	24.0 (3.2 – 177.0)	0.7 st (0.6 - 0.9)	33.2 (4.2 – 262.3)
IRISA-TB - Cut point 20.5 pg/ml	89.8 ^{ab} (81.3 – 98.3) 44/49	96.4 ^g (92.4 – 100) 81/84	93.6 ^h (86.6 – 100) 44/47	94.2 ^{kl} (89.2 – 99.1) 81/86	25.1 ^p (8.2 – 76.7)	0.1 ^{qs} (0.0 - 0.2)	237.6 (54.2 – 1041.3)
ADA - Cut point 30 IU/ml	84.4 ^{cd} (73.9 – 95.0) 38/45	87.5 ^{eg} (79.9 – 95.1) 63/72	80.9 ^{hij} (69.6 - 92.1) 38/47	90.0 ^{mn} (83.0 - 97.0) 63/70	6.8 ^p (3.6 – 12.6)	0.2 ^{rt} (0.1 - 0.4)	38.0 (13.1 – 110.4)
P-value	^a , ^b , ^c and ^d : p < 0.0001	^e : p=0.004 ^f : p=0.005 ^g : p = 0.034	^h : p = 0.028 ⁱ : p = 0.071 ^j : p = 0.032	^k , ^l and ^m : p < 0.0001 ⁿ : p = 0.00013	^p : p = 0.032	^q , ^r and ^s : p < 0.0001 ^t : p = 0.0006	

442 A positive *M.tb* pleural fluid, biopsy and/or sputum culture and/or histology in keeping with *M.tb* infection used as a reference for Definite TB. No
443 microbiological or histological evidence of *M.tb* and/or an alternative diagnosis was available was defined as Non-TB. CI: confidence interval, ADA:
444 adenosine deaminase. IRISA-TB IFN- γ cut-point of 20.5 pg/ml. ADA clinical cut point of 30 U/L is used for clinical decision-making. Superscript symbols: ^a,
445 ^b, ^c, ^d, ^e, ^f, ^g, ^h, ⁱ, ^j, ^k, ^l, ^m, ⁿ, ^p, ^q, ^r, ^s and ^t: were used to indicate which groups were being compared for statistical analysis.

446 **Table 3: Sensitivity and specificity of ULTRA and Xpert MTB/RIF assay using unprocessed**

	ULTRA		Xpert MTB/RIF	
	Sensitivity % (CI) n/N	Specificity % (CI) n/N	Sensitivity % (CI) n/N	Specificity % (CI) n/N
Fluid	29.5 (13.3 - 53.2) 5/17	100 (89.6 - 100) 34/34	29.5 (13.3 - 53.2) 5/17	100 (89.9 - 100) 34/34
Concentrated	31.3 (14.2 - 55.6) 5/16 ^a	100 (89.6 - 100) 33/33	33.4 (15.2 - 58.3) 5/15 ^b	100 (89.3 - 100) 32/32

447 **and concentrated (pellet centrifugation) pleural fluid to diagnose pleural tuberculosis**

448 Two aliquots of a median volume of 10 ml of pleural fluid was centrifuged at 3000 × g for 15 min
449 with the pellet resuspended in sterile PBS, followed by Xpert MTB/RIF and ULTRA. A positive *M.tb*
450 pleural fluid, biopsy and/or sputum culture and/or histology in keeping with *M.tb* infection used as a
451 reference for Definite TB. No microbiological or histological evidence of *M.tb* and/or an alternative
452 diagnosis was available was defined as Non-TB. CI: confidence interval. ^a: Error (n=1) in the
453 concentrated ULTRA. ^b: Error (n=2) in the concentrated Xpert MTB/RIF.

454

455 **Figure Legends:**

456 **Figure 1. Study overview of patient groups, investigations performed, and tests**
457 **undertaken.**

458 ^aNo biopsy taken, n=10; ^bFluid smear not requested, n=40; ^cSputum smear not requested,
459 n=1; ^dHistology not requested, n=11; ^eFluid culture not requested, n=25; ^fSputum culture not
460 requested, n=3; ^gBiopsy culture not requested, n=66; ^hADA levels not requested, n=25; ⁱ
461 Contamination, n=1; ^jBiopsy sample sub-optimal for histology, n=13; ^kErrors, n=2. ^m
462 Insufficient clinical data for final diagnosis. Participants classifications: Definite-TB: at least
463 one positive *M. tb* culture (pleural fluid, biopsy and/or sputum) and/or caseating
464 granulomatous inflammation suggestive of TB on histological examination of pleural biopsy
465 tissue, and with improvement on anti-TB treatment; Probable-TB: patients not meeting the
466 criteria for definite-TB but with clinical and radiological indicators suggestive of TB and who
467 were initiated on and responded to anti-TB treatment, Non-TB: patients with no
468 microbiological or histological evidence of *M. tb* and/or an alternative diagnosis was
469 available.

470

471 **Figure 2: A) Scatter plot of IFN- γ levels using IRISA-TB and adenosine deaminase (ADA) using pleural fluid from patients with Definite TB and**
472 **Non-TB pleural effusions.** *Mann-Whitney. ROC derived cut point of 20.5 pg/ml IFN- γ (indicated by - - -) for IRISA-TB and ADA cut point of 30 IU/l
473 (indicated by). **B): Area under the receiver operator characteristic (ROC) curves for IRISA-TB and adenosine deaminase (ADA).** Area under
474 the curve; IRISA-TB: 0.94 and ADA: 0.88, respectively. The ROC curves were generated using the Definite and Non-TB groups with the chosen cut point
475 for IRISA-TB indicated with an arrow. No significant difference was observed between the two ROC curves when using the Hanley & McNeil method.

476



