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# Control of Ebola virus disease outbreaks: Comparison of health care workertargeted and community vaccination strategies



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#### ABSTRACT

Background: Health care workers (HCW) are at risk of infection during Ebola virus disease outbreaks and therefore may be targeted for vaccination before or during outbreaks. The effect of these strategies depends on the role of HCW in transmission which is understudied.

*Methods*: To evaluate the effect of HCW-targeted or community vaccination strategies, we used a transmission model to explore the relative contribution of HCW and the community to transmission. We calibrated the model to data from multiple Ebola outbreaks. We quantified the impact of ahead-of-time HCW-targeted strategies, and reactive HCW and community vaccination.

Results: We found that for some outbreaks (we call "type 1") HCW amplified transmission both to other HCW and the community, and in these outbreaks prophylactic vaccination of HCW decreased outbreak size. Reactive vaccination strategies had little effect because type 1 outbreaks ended quickly. However, in outbreaks with longer time courses ("type 2 outbreaks"), reactive community vaccination decreased the number of cases, with or without prophylactic HCW-targeted vaccination. For both outbreak types, we found that ahead-of-time HCW-targeted strategies had an impact at coverage of 30%.

Conclusions: The vaccine strategies tested had a different impact depending on the transmission dynamics and previous control measures. Although we will not know the characteristics of a new outbreak, ahead-of-time HCW-targeted vaccination can decrease the total outbreak size, even at low vaccine coverage.

## 1. Background

Sub-Saharan Africa has experienced more than 26 Ebola virus disease (EVD) outbreaks since 1976. The largest of these, the 2013-16 West African outbreak, resulted in more than 28,000 cases in Liberia, Sierra Leone and Guinea (World Health Organization, 2016). In this and in many other EVD outbreaks there was higher incidence among health care workers (HCW) than in the general population (Grinnell et al., 2014; Matanock et al., 2014; Olu et al., 2015; Kilmarx et al., 2014; Khan et al., 1999).

HCW are at high risk of infection from patients (especially before introduction of personal protective equipment (PPE) (World Health Organization, 2015)) due to their frequent and close contact with them, for example during surgical procedures. Infected HCW may in turn

transmit the infection to co-workers or other patients (World Health Organization, 2015). Nosocomial EVD outbreaks can also spread to the wider community.

Mathematical modelling can provide insight into key epidemiological drivers and anticipate the effect of control measures (Kucharski et al., 2015a; Camacho et al., 2014; Legrand et al., 2007; Kucharski et al., 2015b; WHO Ebola Response Team, 2014; Merler et al., 2016; Kucharski et al., 2016). Few models have investigated the role of HCW in transmission, despite strong evidence of their importance during outbreaks (Grinnell et al., 2014; Matanock et al., 2014; Olu et al., 2015; World Health Organization, 2014; Drake et al., 2015). Understanding the role of HCW in transmission is crucial to appropriately assess the potential benefit of HCW-targeted vaccination, so that appropriate policy decisions can be made once an EVD vaccine is licensed.

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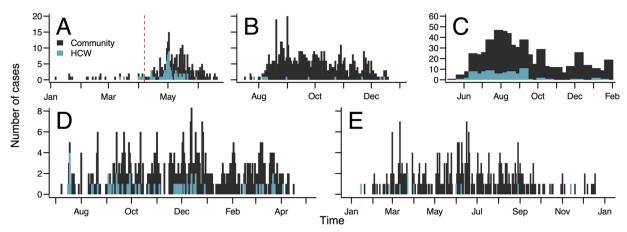


Fig. 1. Incidence time series of EVD onset in five of the outbreaks used. Daily incidence in A) Kikwit (DRC 1995), B) Macenta (Guinea 2014), D) Conakry (Guinea, 2014–2015) and E) Gueckedou (Guinea, 2014); C) Weekly incidence in Kenema (Sierra Leone, 2014). Bong County, Liberia is not shown here because the data are infrequently reported making incidence estimation difficult. Cumulative statistics are shown in Fig. 2 for Bong County.

To evaluate HCW-targeted vaccination strategies, we used a mechanistic transmission model to separately estimate the effect of HCW and community members. By calibrating this model to observed patterns of infection from prior EVD outbreaks, we could compare the effect of different vaccination strategies.

#### 2. Methods

#### 2.1. Outbreak data

Information on the occupation of cases is rarely available (Rosello et al., 2015), although this information is critical to determining the role of HCW in transmission. We used data for outbreaks where we could find occupation (HCW or not) of cases. This resulted in twelve timeseries drawn from local outbreaks during the West African epidemic, and from the large 1995 Kikwit outbreak in the Democratic Republic of Congo (DRC) (Supplementary Section 1). We considered the outbreaks during the West African epidemic as localised epidemics resulting from imports in a given community and split the time series by region. All twelve timeseries are provided in the supplement, and five (for brevity) are shown in Fig. 1. We noted that the number, timing, and dynamics of HCW infections during these outbreaks were not consistent, and we therefore used the dynamics of HCW and community infections to classify the outbreaks into types.

# 2.2. Classification of EVD outbreaks into two types

We compared the HCW infection dynamics of the outbreaks and determined that they fell loosely into two types. The distinguishing characteristics we used were grouped into four categories: i) the proportion of HCW and community infected through time; ii) the shape and timing of the cumulative distribution of HCW infections; iii) the weekly proportion of HCW infected; and iv) the total size of the outbreak in both number of cases and duration (Supplementary Section S5). Data from Kikwit (1995, DRC) were uniquely detailed and therefore provided an opportunity to quantify the role of HCW in transmission by fitting a mechanistic model. According to our classification, Kikwit was a "type 1" outbreak (described in Results), and therefore we also calibrated the transmission model to the other observed outbreak pattern: "type 2".

# 2.3. Kikwit outbreak data

These data contained epidemiologically-inferred links between cases, the occupations of both infectors and infectees, and daily granularity of symptom onset (Supplementary Section 2). The outbreak

started with infrequent cases in rural areas before introduction to Kikwit General Hospital on April 7th (Fig. 1a) (Khan et al., 1999). On May 2nd a haemorrhagic fever was diagnosed, on May 8th this was confirmed as EVD, and on May 10th international assistance was initialised. Further control measures started on May 12th (Supplementary Section S2). The final case died on July 16th, resulting in 317 cases reported, 248 deaths, and a case-fatality ratio of 78% (Muyembe-Tamfum et al., 1999).

For each case we recorded patient occupation; the occupation of their likely infector (obtained by real-time epidemiological investigations); date of onset; and date of recovery or death. There were some missing data in each field (Supplementary Section S2). We removed cases with date of onset before April 7<sup>th</sup>, when the first case was admitted to Kikwit General Hospital, which gave 284 cases, of whom 73 were HCW (26%). A likely infector was available for 191 cases. We computed time series stratified by case and infector occupation.

## 2.4. Transmission model

We developed a deterministic compartmental model of EVD transmission stratified by occupation, where individuals were either HCW (h) or community (c) (Fig. 3). On infection, cases left the susceptible compartment (S), and entered latent infection (E). The duration in E was drawn from an Erlang distribution with shape 2 and mean  $\varepsilon^{-1}$  (King et al., 2015). Following E, individuals became infectious and symptomatic (I), and recovered (I) or died (I). We did not have information about funeral transmission in Kikwit, and thus we considered that all transmission events occurred from the I compartment (Supplementary Section S3). For each occupation we defined four time-dependent transmission rates:  $g_{t,ij}$ , where infectious, I, and susceptible, I, are either I0 or I1. We assumed a single introduction to each population, and no population movement during the outbreak.

To account for the effect of changing transmission rates, resulting from control measures such as the arrival of PPE for HCWs, opening of isolation wards, and population awareness of EVD, we used flexible time-dependent sigmoid functions for the transmissibility parameters,  $\beta_{t,ij}$ , for which we estimated the parameters (Supplementary Section S3). The force of infection was  $\lambda_{t,ij} = \beta_{t,ij} (I_t/N_j)$ , where  $N_j$  was the population size of HCW (900) or community members (200,000) in Kikwit in 1995 (Supplementary Section S2).

#### 2.5. Model fitting for Kikwit outbreak

We fitted the model to six time series of onset dates stratified by case and infector occupation (Community to Community, HCW to Community, unknown occupation to Community, Community to HCW,

HCW to HCW, unknown occupation to HCW), and two time series of deaths stratified by case occupation. Transition between the compartments in the fitted model is driven by continuous time differential equations (Supplementary Section S3). We used a negative binomial likelihood, Metropolis Hastings Markov Chain Monte Carlo (Roberts and Rosenthal, 2009; Andrieu et al., 2003) and non-informative priors (Supplementary section S4). We calculated the estimated time-dependent reproduction number by occupation of infector and infectee ( $R_{t,ij}$ ), and the net reproduction number ( $R_{t,n}$ ) using the next generation matrix.

$$NGM(t) = \begin{pmatrix} R_{t,cc} & R_{t,ch} \\ R_{t,hc} & R_{t,hh} \end{pmatrix} = \begin{pmatrix} \beta_{t,cc} M \frac{N_c}{N} & \beta_{t,ch} M \frac{N_h}{N} \\ \beta_{t,hc} M \frac{N_c}{N} & \beta_{t,hh} M \frac{N_h}{N} \end{pmatrix}$$

### 2.6. Calibration of type 2 outbreak scenario

Data from available type 2 outbreaks did not include links between cases, and therefore were too incomplete to fit the same model framework. Observed type 2 outbreaks were characterised by a longer time period of HCW infections with no early rapid increase, and the epidemics were longer and larger in both occupation groups (see Results and Fig. 2). We used published evidence to calibrate the type 2 scenario. We used initial HCW and community reproduction numbers from a large study of transmission in Guinea (Faye et al., 2015), which found low HCW-related transmission, and higher community-related transmission. Contemporaneous analyses of the West African outbreak suggested a pattern of initially sustained transmission in the community, followed by a slow decline in transmission (Camacho et al., 2015; Funk et al., 2017; Ajelli et al., 2015; Santermans et al., 2016). Therefore, we calibrated the parameters of the sigmoid functions to give a slow decrease in  $\beta_{t,ij}$ . We computed the four reproduction numbers between each occupation group using these published estimates for the overall reproduction numbers. To fully quantify the uncertainty, we used the parameter uncertainty from fitting the Kikwit data. The modifications we made to the transmission parameters (Table 2) resulted in simulated outbreaks with higher community reproduction

number and slower transmission decrease in type 2 compared with type 1 outbreaks. We kept the same parameters for population size, number of HCW, and reporting fractions as in Kikwit, which allowed direct comparison of type 1 and 2 scenarios, and therefore the impact of vaccination.

### 2.7. Simulation of vaccination

We extended the model to include vaccination of HCW and community and compared the impact of eight vaccination strategies (Table 3). In order to observe the range of outbreaks generated by the type 1 and type 2 scenario, we used a discrete-time stochastic variants of our model, where the transition between compartments is treated as a stochastic process and each individual has a probability of transitioning (Camacho et al., 2014). We sampled 600 parameter sets from the joint posterior distribution and generated 15 stochastic simulations for each. The variance observed within each parameter set is due to the stochastic transition process. We compared the number of cases and the time to extinction (0 individuals in *E* and *I*) to the baseline scenario without vaccination for each parameter set and random number seed. We used the parameter uncertainty inferred from the Kikwit data for both type 1 and type 2 outbreaks, and report 95% credible intervals in the text.

We simulated ahead-of-time HCW vaccine coverage values of 50%, 30%, and 10%. These values reflect the high turnover of HCW in recently affected countries (Shoman et al., 2017; Petit et al., 2013), and the possibility that protection could wane. Vaccine coverage should be interpreted as effective levels of coverage: 30% coverage is equivalent to 100% vaccination of HCW and waning to 30% protection, or as 30% vaccination and 100% protection.

Vaccination reduced susceptibility to infection (Fig. 3). For single-dose vaccine, we used a hypothetical efficacy of 90%, and protection was reached after one week. For a 2-dose "prime-boost" vaccine, we used a hypothetical vaccine efficacy of 90%, where 80% was reached one week after the prime vaccine, and the boost vaccine was administered 28 days after the prime (Henao-Restrepo et al., 2017). Reactive vaccination started with vaccination of unvaccinated HCW and

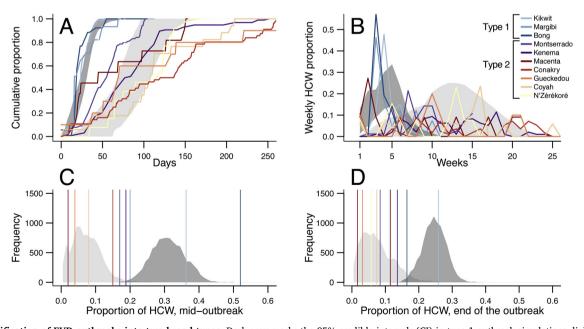


Fig. 2. Classification of EVD outbreaks into two broad types. Dark grey marks the 95% credible intervals (CI) in type 1 outbreak simulations, light grey areas correspond to 95% CI for type 2 outbreak simulations. Each colour corresponds to an outbreak, we used blue shades for type 1 outbreaks and red/purple shades for type 2. A) Cumulative proportion of HCW among the total number of HCW infected through time. B) Weekly proportion of HCW among the total number of HCW infected through time. C) Proportion of HCW among all cases infected at mid-outbreak. D) Proportion of HCW among all cases infected at the end of the outbreak. Vertical lines in Figure C and D correspond to the value for each outbreak.

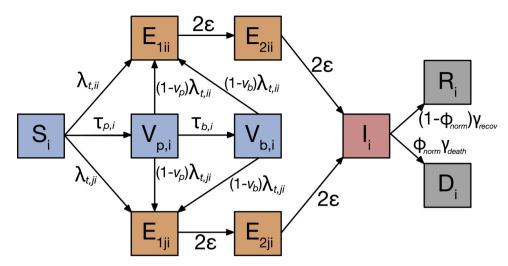


Fig. 3. Schematic of the model structure. We used a continuous time SEIR model with the population stratified by occupation, so iand i are HCW (h) or community members (c). Individuals begin susceptible to infection  $(S_i)$ , and on infection they enter an exposed class  $(E_i)$  split by the route of infection  $(E_{ii}, E_{ii})$ . There are 2 sequential E compartments so that the duration of the latent period is Erlang-distributed with mean of 9.5 days (see Methods). After the  $E_2$  compartments, individuals enter the infectious compartment (Ii), and then die (Di, average duration 10 days) or recover (Ri, average duration 18 days). The force of infection,  $\lambda$ , depends on the route of transmission. When vaccination campaigns are implemented, susceptible individuals can enter the prime  $(V_{p,i})$  and boost  $(V_{b,i})$  compartments, and are then subject to a lower force of infection equal to 1-vaccine efficacy ( $\nu_p$  or  $\nu_b$ ).

continued until all HCW and 70% of community members were vaccinated, at the same rate for single dose and prime-boost vaccination. We simulated vaccination of 1500 people per day, which was an operational maximum suggested by field teams.

In the type 1 scenario, reactive strategies began on day 20 (April 27<sup>th</sup>), which is when health authorities were alerted to an outbreak of bloody diarrhoea in Kikwit (Muyembe-Tamfum et al., 1999). This was earlier than detection of EVD because we assumed improved surveillance and quicker EVD confirmation in a contemporary scenario compared with 1995. For type 2 simulations, early transmission was slower. Low level transmission at the early stages of an outbreak can remain unnoticed, therefore we did not think the duration since the first case was the ideal indicator for type 2 outbreaks. We started reactive vaccination when the number of cases was similar to the type 1 outbreak on day 20, which was day 40 (median = 53 cases in type 1, 38 in type 2). At 40 days, no simulation of the type 2 scenario had more than 100 cases. This is similar to the number of reported cases at commencement of vaccination in the recent outbreak in Nord Kivu (DRC) (WHO, 2018a).

In ahead-of-time vaccination strategies of type 1 outbreaks the number of exposed and infected HCW at the start of the epidemic simulation were drawn from independent Poisson distributions with means from the joint posterior. For type 2 outbreaks, epidemics were seeded with 5 infected and 5 exposed community members (Supplementary Section S4.5).

### 2.8. Sensitivity analysis

The type 2 scenario described above is constructed from published parameter estimates, and implies that HCW have lower onward transmission than community members. To explore this assumption, we conducted a sensitivity analysis where HCW transmission mirrors the transmission characteristics of community members, where both have moderate transmission and a later time of decrease in transmission (Supplementary Section S7).

## 3. Results

# 3.1. Classification of outbreaks into two types

Using key characteristics of the HCW and community transmission dynamics, we classified twelve localised EVD outbreaks into two broad types (Fig. 2, Table 1, and Supplement S5). In both outbreak types, HCW were at high risk of infection, however, in type 1 outbreaks there was an early rapid increase in HCW incidence and in the cumulative proportion of HCW infected. Type 1 outbreaks also had a higher total

proportion of HCW infected, shorter duration of HCW infections, and a smaller total outbreak size. In contrast, type 2 outbreaks exhibited a lower overall proportion of HCW infected, and a less obvious time period of high HCW incidence, with longer period of HCW infections. These outbreaks also showed a lower overall proportion of HCW infected, and larger total outbreak size.

The outbreak types are broad classifications, based on a combination of features of the dynamics of HCW infections. By classifying outbreaks in this way, we were able to determine the effect of HCWtargeted vaccination strategies under the range of observed transmission scenarios.

### 3.2. Fit of model to Kikwit data

Our fitted model captured the dynamics of EVD in Kikwit (type 1 outbreak) for each route of transmission (Supplementary section S4). We found that initial transmission from HCW was high (median  $R_{0,hh} = 2.68$ ,  $R_{0,hc} = 3.99$ ) (Table 1 and Fig. 4). In contrast, the withincommunity reproduction number was less than one, and therefore transmission was not sustainable. Although there was low per capita transmission from the community to HCW (median  $R_{0,ch} = 0.16$ ), this represents a considerable risk to HCW: on average, each eight community cases infected one HCW. Overall, the net reproduction number at the start of the study period was 2.98 (2.11-4.36), with a major contribution from HCW, despite their low number. The timing and shape of the decrease in transmission depended on the occupation of cases (Fig. 4 and Table 1). We inferred an early and rapid decrease in HCW-related transmission, however we found that within-community transmission decreased several weeks later. The net reproduction number fell below one after 30 (27-35) days (Fig. 4c). Stochastic simulations of the type 1 scenario resulted in 288 (180-406) cases (observed value = 284), and the final case was reported on day 115 (93-155) (Fig. 5).

### 3.3. Comparison to type 2 scenario

Although it was not possible to fit the model directly to a type 2 outbreak, simulations of the type 2 scenario resulted in similar characteristics to those observed (Fig. 2), matching patterns of cumulative proportion of HCW infected either through time or in total, as well as characteristics of number infected at each stage of the outbreak (Supplement S5).

As observed in the data, type 2 outbreaks were larger than in type 1 (839 cases (170–4473)) and the epidemics lasted longer (184 (149–229) days). In the baseline simulation, 70 (41–105) HCW were infected in type 1 outbreaks (observed value = 73), whereas 56

Table 1 Characteristics of the outbreaks.  $^1$  marks type 1,  $^2$  marks type 2, and  $^m$  a mixed or intermediate value. Thresholds are as follows: Total cases (1 = 1–250, mixed = 250–300, 2 = 300+), Duration (1–99, 100–150, 150+), Time till 50% (1–24, 25–49, 50+), Time till 80% (1–44, 45–70, 70+), % HCW among total (15+, 10–14, 1–10), % at halfway by time (20+, 15–19, 0–14), Difference in % at halfway by time (7.5+, 5–7.49, 0–4), % at halfway by cases (20+, 10–19, 1–10), Difference in % at halfway by cases (5+, 3–4, < 2). These values, together with the graphs showing the dynamics of infection were used to classify the outbreaks into two broad types.

	Total cases	Duration	Time from first to last HCW infected	Time till 50% of HCW cases were infected	Time till 80% of HCW cases were infected	% of HCW among total infected	% of HCW among infected at halfway (by time)	Difference in % HCW: total vs halfway (by time)	% of HCW among infected at halfway (by cases)	Difference in % HCW: total vs halfway (by cases)	Classification
Kikwit, DRC	284 <sup>1</sup>	73 days1	45 days <sup>1</sup>	24 days <sup>1</sup>	33 days1	26% <sup>1</sup>	36% <sup>1</sup>	$10\%^{1}$	39% <sup>1</sup>	13% <sup>1</sup>	1
Bomi, Lib	$80^{1}$	90 days1	49 days1	16 days <sup>1</sup>	39 days1	$8\%^{2}$	$8\%^{2}$	$0\%^{2}$	$11\%^{2}$	3% <sup>m</sup>	too few HCW
Bong, Lib	$158^{1}$	104 days <sup>m</sup>	39 days1	19 days <sup>1</sup>	32 days <sup>1</sup>	$16\%^{1}$	$52\%^{1}$	$36\%^{1}$	$30\%^{1}$	$14\%^{1}$	1
Margibi, Lib	$394^{2}$	72 days1	58 days <sup>1</sup>	16 days <sup>1</sup>	40 days <sup>1</sup>	11%	$20\%^{1}$	$9\%^{1}$	17% <sup>m</sup>	$6\%^{1}$	1
Montserrado, Lib	$1055^{2}$	104 days <sup>m</sup>	104 days <sup>2</sup>	55 days <sup>2</sup>	81 days <sup>2</sup>	$8\%^{2}$	17% <sup>m</sup>	$9\%^{1}$	$11\%^{2}$	3% <sup>m</sup>	2
Kenema, SL	$706^{2}$	250 days <sup>2</sup>	236 days <sup>2</sup>	77 days <sup>2</sup>	112 days <sup>2</sup>	14% <sup>m</sup>	18% <sup>m</sup>	$4\%^{2}$	19% <sup>m</sup>	5% <sup>1</sup>	2
Conakry, Guin	$485^{2}$	282 days <sup>2</sup>	260 days <sup>2</sup>	137 days <sup>2</sup>	174 days <sup>2</sup>	12% <sup>m</sup>	15% <sup>m</sup>	$3\%^{2}$	15% <sup>m</sup>	3% <sup>m</sup>	2
Coyah, Guin	$228^{1}$	324 days <sup>2</sup>	207 days <sup>2</sup>	109 days <sup>2</sup>	172 days <sup>2</sup>	$7\%^{2}$	$8\%^{2}$	$1\%^{2}$	$6\%^{2}$	$-1\%^{2}$	2
Gueckedou, Guin	$317^{2}$	332 days <sup>2</sup>	303 days <sup>2</sup>	71 days <sup>2</sup>	221 days <sup>2</sup>	$3\%^{2}$	$4\%^{2}$	$1\%^{2}$	$5\%^{2}$	$2\%^{2}$	2
Kissidougou, Guin	$118^{1}$	42 days <sup>1</sup>	39 days1	23 days <sup>1</sup>	41 days <sup>1</sup>	$4\%^{2}$	$7\%^{2}$	$3\%^{2}$	$5\%^{2}$	1% <sup>m</sup>	too few HCW
Macenta, Guin	$660^{2}$	155 days <sup>2</sup>	136 days <sup>2</sup>	45 days <sup>2</sup>	127 days <sup>2</sup>	$2\%^{2}$	$2\%^{2}$	$0\%^{2}$	$2\%^{2}$	$0\%^{2}$	2
N'Zerekore, Guin	$229^{1}$	168 days <sup>2</sup>	119 days <sup>2</sup>	118 days <sup>2</sup>	132 days <sup>2</sup>	$6\%^{2}$	4% <sup>2</sup>	$-2\%^{2}$	4% <sup>2</sup>	$-2\%^{2}$	2

(5-263) were infected in the type 2 scenario (Fig. 5).

#### 3.4. Ahead-of-time HCW-targeted vaccination strategies

These strategies had greater effect on total outbreak size in type 1 scenarios, whereas reactive and combined strategies had greater effect in type 2 scenarios (Fig. 5). Impact of ahead-of-time HCW-targeted strategies depended on the coverage achieved: 50% coverage of HCW decreased the total number of cases in type 1 outbreaks (type 1: 121 (50–243), type 2: 813 (163–4245)). This strategy did not markedly shorten the outbreaks. This strategy did decrease the number of HCW infected in both type 1 and type 2 scenarios (Fig. 5b and e). At lower coverage, there was less impact on total cases or duration, but there was a decrease in cases in HCW. The effect on simulated outbreak trajectories is shown in Supplementary Section S6.

## 3.5. Reactive vaccination strategies

In type 1 outbreaks, this strategy was of limited benefit either to the entire population (Fig. 5a) or to HCW (Fig. 5b). In contrast, in type 2 simulations, vaccination substantially decreased the total cases, and there were 297 (7–2843) fewer cases in the entire population. Of those, 43 (3–226) fewer cases were HCW. Few type 2 simulations generated very large outbreaks with reactive mass vaccination (12% resulted in more than 1000 cases). In both type 1 and 2 scenarios, the single dose vaccine resulted in fewer cases than prime-boost, because of the time

#### Table 3

Vaccination strategies tested in this analysis. We considered the following: i) reactive mass vaccination of the population, prioritising HCW, with a prime-boost vaccine (strategy a), or a single dose vaccine (strategy b); ii) ahead-of-time vaccination of HCW, with three levels of coverage: 10% (strategy c), 30% (strategy d), or 50% (strategy e); iii) combined strategies of ahead-of-time vaccination of HCW at three levels of coverage plus reactive mass vaccination of remaining HCW and the community (strategies f, g, and h). We selected values of coverage that were realistic given high HCW turnover in recently affected countries (Shoman et al., 2017; Petit et al., 2013), and the possibility of waning of protection.

Strategy	HCW ahead-of- time coverage	HCW reactive coverage	Community reactive coverage	Vaccine
a	0%	100%	70%	Prime-boost
b	0%	100%	70%	Single dose
c	10%			_
d	30%			_
e	50%			_
f	10%	100%	70%	Single dose
g	30%	100%	70%	Single dose
h	50%	100%	70%	Single dose

Table 2 Values of the reproduction number, time of change in transmission, and shape of the decrease in transmission. Type 1 values are inferred from fitting the model to the Kikwit data. Type 2 values are based on values in (Faye et al., 2015) to simulate outbreaks. We used the values of the overall HCW and community reproduction numbers  $R_H$  and  $R_C$  in (Faye et al., 2015), with the same credible intervals as  $R_H$  and  $R_C$  in the type 1 scenario. As type 2 outbreaks were characterised by a low number of HCW infected, we set  $R_{0,ch}$  and  $R_{0,hh}$  to the value for  $R_{0,ch}$  estimated in Type 1 scenario. We then deduce  $R_{0,cc}$  and  $R_{0,hh}$ ,  $R_H$ ,  $R_{0,ch}$  and  $R_C$ . Mean values and 95% Credible Intervals are given. Comparison of the  $R_0$  trajectories is given in Supplementary Fig. 5.2.

		HCW to HCW	Community to HCW	HCW to community	Community to community	Overall
Type 1 scenario	$R_{O}$	2.68	0.16	3.99	0.70	2.98
		(1.83-4.01)	(0.05-0.37)	(2.47-6.29)	(0.53-0.95)	(2.11-4.36)
	$T_{change}$ (days)	$T_h = 30 (27-35)$	$T_h$	$T_h$	$T_c = 55 (50-62)$	
	shape	$a_h = 2.20$	$lpha_h$	$lpha_h$	$a_c = 2.49$	
		(0.23-4.83)			(0.21-4.86)	
Type 2 scenario	$R_O$	0.16	0.16	0.53	2.11	2.15
		(0.05-0.37)	(0.05-0.37)	(0.24-0.90)	(1.94-2.34)	(1.99-2.37)
	$T_{change}$ (days)	$T_c = 125 \ (118-133)$	$T_c$	$T_c$	$T_c$	
	shape	$a_c = 0.05$	$\alpha_c$	$\alpha_c$	$\alpha_c$	

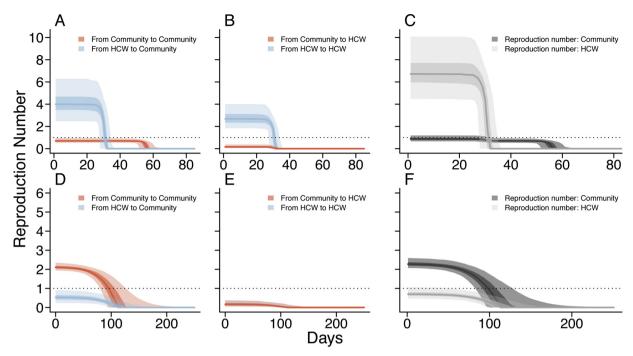


Fig. 4. Reproduction number trajectories used in simulation for type 1 (upper) and type 2 (lower) outbreaks. A and D) HCW-to-community reproduction number (blue) and community-to-community (red) are given with mean and 50% and 95% CI. B and E) HCW-to-HCW reproduction number (blue) and community-to-HCW reproduction number (red) decrease at the same time,  $T_h$ . The horizontal line indicates R = 1. In panel E, the HCW-to-HCW and community-to-HCW reproduction number are equal, the two lines overlap. C and F) The overall reproduction number trajectories for Community members (dark grey) and HCW (light grey), with 50% and 95% CI.

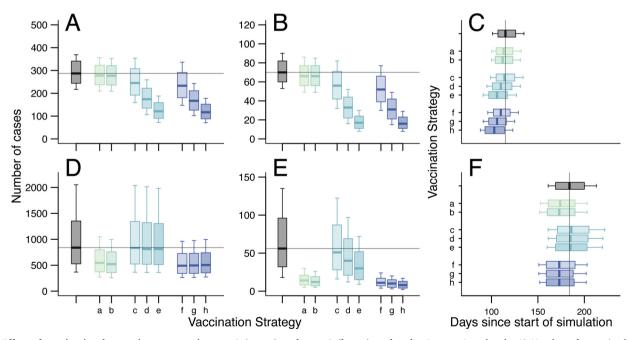


Fig. 5. Effect of vaccination by vaccine strategy in type 1 (upper) and type 2 (lower) outbreaks. In type 1 outbreaks A) Number of cases in the entire population, B) number of cases in the 900 simulated HCW, and C) time to extinction; In type 2 outbreaks the: D) Number of cases in the entire population, E) number of cases in the 900 simulated HCW, and F) time to extinction. Boxplots show median value, rectangles mark 50% CI, and whiskers the 75% CI. Simulations without vaccination are shown in grey, and each colour represents a vaccination strategy (Table 3): reactive mass vaccination with (a) prime-boost vaccine or (b) single dose vaccine; ahead-of-time HCW vaccination only, with coverage in HCW of (c) 10%, (d) 30% or (e) 50%; ahead-of-time HCW vaccination plus reactive mass vaccination, with coverage in HCW of (f) 10%, (g) 30% or (h) 50%. Decreased assumed vaccine efficacy or increased interval between prime and boost vaccines would decrease the effect of reactive vaccination strategy a. We give the 75% CI due to high variation in the simulation sets, and 95% CI are given in the Supplement (S6.5) Note different y-axes.

between the prime and the boost dose, although the difference was small: 3 (-26-35) cases in type 1, and 19 (-109-235) in type 2.

#### 3.6. Combined vaccination strategies

Combined strategies decreased the number of cases and shortened the outbreak for all values of coverage. In the type 1 scenario, combined strategies did not decrease the number of HCW cases compared with ahead-of-time HCW vaccination, because reactive strategies began too late to protect additional HCW. In the type 2 scenario, for all values of HCW coverage, more than 80% of the simulations excluded the baseline median (839 cases), and time-to-extinction was reduced. In contrast to the type 1 scenario, the combination strategies provide extra protection to HCW directly, because reactive campaigns are assumed to prioritize HCW.

### 3.7. Sensitivity analysis on HCW-related transmission in type 2 scenario

The overall size of outbreaks in the sensitivity analysis was smaller than in type 2 scenario parameterised to (Faye et al., 2015) because of the lower total reproduction number (Supplementary Section S7). The general pattern of effect of different vaccination strategies was the same as the type 2 scenario, which gives confidence in the generalisability of our findings.

#### 4. Discussion

We used as much information on HCW-related transmission as possible to classify EVD outbreaks into two broad types: the first, where the infection is catalysed by HCW and community transmission is low, was observed in Kikwit (1995) and some prefectures of Guinea and counties of Liberia during the large West African epidemic (2013-16); and the second, where there is high risk to HCW, but the epidemic is not amplified by their transmission, was observed in other areas of West Africa during the 2013-16 epidemic. We parameterised the type 1 scenario by fitting to an exemplar outbreak, and the type 2 scenario using published values for transmission between groups. This classification is not perfect, with some outbreaks exhibiting characteristics of both types, but allowed us to explore the range of observed infection dynamics relatied to HCW infections and quantify the impact of HCW-targeted vaccine strategies in observed scenarios.

Using a mechanistic transmission model stratified by occupation and route of transmission, we found that in type 1 outbreaks, ahead-of-time HCW vaccination can have a large impact on the number of cases. Direct protection prevented infection of HCW, but also decreased their role in further spread. In these scenarios, where transmission is more dependent on the health care setting and perhaps, therefore more amenable to rapid decreases in transmission, there are limited additional benefits of reactive mass vaccination, both in number of cases averted, and the duration of the outbreak. Indeed, the model suggests that ahead-of-time vaccination of health care workers, even at modest coverage (30% immunised) is more effective than mass vaccination in response to outbreaks. Ahead-of-time HCW vaccination strategies require many fewer doses than mass vaccination strategies.

In type 2 scenarios, where within-community transmission is above the epidemic threshold, and there is no early decrease in HCW-related transmission, ahead-of-time vaccination of HCW could still provide individual protection to HCW and had a modest impact on overall transmission. However, reactive community vaccination (with or without ahead-of-time HCW vaccination) is more effective under these circumstances as this contributes to reducing the reproduction number below one.

In all modeled scenarios, ahead-of-time vaccination of HCW provided direct protection for HCW, and also decreased the number of cases in HCW due to indirect protection. In this analysis, we used the effective vaccine coverage, because we could not distinguish 30%

protection of all HCW from 100% protection of 30% of the HCW. Further information on the likely protective effect of future vaccines would allow more specific examination of this distinction.

Data from Kikwit (1995) provide uniquely detailed information on likely source of infection and timing of symptoms. However, some data were missing, and the suggested routes of infection may not be correct. We did not consider transmission after death, or that some individuals (such as carers) may be more likely to be infected, which could affect estimates of transmission rate.

When generalising our findings to the current context, diagnosis and testing may now occur sooner than during the 1995 Kikwit outbreak. In addition, the rapid change in HCW-related transmission may partially have resulted from an increase in use of PPE. In the current context, HCW may have more rapid access to PPE, or have improved awareness of EVD, and therefore the change in transmission rate could be different. This would decrease the impact of HCW-targeted vaccination in type 1 scenarios, and therefore our findings may be on the upper end for ahead-of-time HCW vaccination.

We used data for all the outbreaks and sub-outbreaks within a larger epidemic that had information on the occupation of cases. It is possible that there is some misclassification of occupation, or that the definition of HCW changed from one outbreak to another, especially during the long West African epidemic. Incorrect classification could affect the reproduction numbers attributed to each group, although we do not have evidence of systematic misclassification. We conducted sensitivity analyses on the number of HCW and found that it did not affect the findings of vaccine impact.

Our model framework could not test other potential vaccination strategies, such as ring vaccination, because we did not track specific contacts that individuals make. We assumed that individuals mix randomly within occupation groups, with no heterogeneity within groups. Despite this limitation, the general conclusions are robust to the precise value of the number of HCW, and the transmission values we used.

Reactive HCW-targeted vaccination has been used during the 2018 DRC outbreaks (WHO, 2018b), however, reactive vaccination strategies are logistically challenging. We incorporated delays into the model, but better information on the time from notification to vaccination would improve estimates of impact. These delays could also be affected by community response to vaccination, where resistance, and slowing of campaigns, could decrease vaccine impact.

Achieving ahead-of-time coverage may be challenging, due to high rates of turnover of health care staff, the large geographic area at risk of outbreaks, and because the duration of protection is currently unclear. However, strategies that target HCW in towns or cities nearby to an emerging outbreak are a potential way of achieving ahead-of-time coverage, as used in neighbouring areas of Uganda during the Nord Kivu outbreak (Health UM of, 2018), at the same time as implementing enhanced protective measures. Recent evidence suggests that HCW are like to have high acceptance of EVD vaccines, although effective coverage of the workforce is moderated by turnover of staff (Jendrossek et al., 2019).

The categorisation proposed here is generated from a range of characteristics of EVD outbreaks. Not all EVD outbreaks are of these two types, since there are not always HCW infections (World Health Organization, 2017). In those cases, HCW vaccination would likely not improve outbreak control by decreasing transmission, although would provide direct protection to individuals at risk. However, we explored the impact of different assumptions about HCW-related transmission during sensitivity analysis, and found the general pattern of impact of each strategy to be similar.

Much of the data are drawn from local outbreaks during the larger West African epidemic, where a large number of interventions were occurring at different times and locations, and therefore care must be taken when extrapolating to new outbreak settings. Nevertheless, these local outbreaks exhibited different HCW-related dynamics, suggesting vaccine-led interventions could have varying impacts in different

#### locations.

It would be challenging to assign outbreak classifications in realtime, because the division relies on some metrics available only after the outbreak has ended. Further work could develop a classification system more suited to use in real-time. Collecting and publishing more detailed information on occupation of cases and the route of transmission in future outbreaks would greatly improve our understanding of the epidemiology of EVD and the potential benefits from control measures targeted at different transmission routes.

Although we do not know whether the next outbreak will be type 1, type 2, or a mixed-type outbreak, ahead-of-time HCW vaccination decreased the number of cases seen in HCW in simulations of both outbreak types. Ahead-of-time HCW vaccination decreased the total number of cases to a small degree in type 2 outbreaks, but had a larger effect on type 1 outbreaks, by indirectly protecting non-vaccinated HCW and the community, even at modest levels of HCW coverage. Supplemental reactive community vaccination strategies may be required to control outbreaks when within-community transmission is intense, as seen in type 2 outbreaks. This analysis quantifies the impact of realistic and feasible vaccination strategies which may be implemented in a future EVD outbreak.

### Authors' contributions

AR<sup>1</sup>, RME, AC and WJE developed the analysis plan; JJMT, AR<sup>2</sup> and KS collected and cleaned data from 1995 Kikwit outbreak, and 2013–2016 Guinea outbreak; AR<sup>1</sup> implemented the analysis and ran the model, with contribution from AC; AR<sup>1</sup> and RME interpreted the results, wrote the first draft and the supplemental material. AC, WJE, MB, AR<sup>2</sup>, JJMT, KS contributed to the manuscript. All authors approved the final version.

#### **Ethics** approval

This study is a secondary analysis of previously collected data.

# **Competing interests**

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#### Appendix A. Supplementary dataR

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