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3 **Speciation in *Howea* palms occurred in sympatry, was preceded by ancestral**
4 **admixture, and was associated with edaphic and phenological adaptation**

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21

22 **Abstract**

23

24 *Howea* palms are viewed as one of the most clear-cut cases of speciation in sympatry. The
25 sister species *H. belmoreana* and *H. forsteriana* are endemic to the oceanic Lord Howe
26 Island, Australia, where they have overlapping distributions and are reproductively isolated
27 mainly by flowering time differences. However, the potential role of introgression from
28 Australian mainland relatives had not previously been investigated, a process that has
29 recently put other examples of sympatric speciation into question. Furthermore, the drivers
30 of flowering time-based reproductive isolation remain unclear. We sequenced an RNA-seq
31 dataset that comprehensively sampled *Howea* and their closest mainland relatives
32 (*Linospadix*, *Laccospadix*), and collected detailed soil chemistry data on Lord Howe Island to
33 evaluate whether secondary gene flow had taken place and to examine the role of soil
34 preference in speciation. *D*-statistics analyses strongly support a scenario whereby ancestral
35 *Howea* hybridised frequently with its mainland relatives, but this only occurred prior to
36 speciation. Expression analysis, population genetic and phylogenetic tests of selection,
37 identified several flowering time genes with evidence of adaptive divergence between the
38 *Howea* species. We found expression plasticity in flowering time genes in response to soil
39 chemistry as well as adaptive expression and sequence divergence in genes pleiotropically
40 linked to soil adaptation and flowering time. Ancestral hybridisation may have provided the
41 genetic diversity that promoted their subsequent adaptive divergence and speciation, a
42 process that may be common for rapid ecological speciation.

43

44

45 **Introduction**

46

47 The geographic context of speciation has been a controversial topic in evolutionary biology.
48 Theoretical models suggest that speciation can occur in sympatry with initial gene flow
49 (Dieckmann and Doebeli 1999; Kondrashov and Kondrashov 1999; Doebeli et al. 2005;
50 Bolnick and Fitzpatrick 2007), however it is likely to require far stronger divergent selection
51 than speciation with spatial separation, and convincing examples in nature have been rare. It
52 is unclear whether this reflects its genuine rarity, or the difficulty of convincing
53 demonstration. Coyne and Orr (2004) set out four criteria for identifying cases of sympatric
54 speciation: species are 1) currently sympatric, 2) sister taxa and 3) reproductively isolated
55 and that 4) a period of allopatry during divergence is highly unlikely. The fourth criterion is
56 the most difficult to demonstrate, particularly in species with broad continental distributions.
57 Therefore, tests of sympatric speciation have attempted to reduce the possibility of an
58 allopatric phase by focusing on species pairs restricted to small and isolated habitats such
59 as islands. The assumption here is that the habitat island is too small for geographical
60 isolation to have occurred within it, and too distant from other suitable habitats for speciation
61 to have occurred during geographic isolation between the current habitat and a second one.
62 This approach has thus far been used to infer sympatric speciation in plants and finches on
63 remote oceanic islands (Ryan et al. 2007; Papadopoulos et al. 2013) and cichlid fishes
64 inhabiting crater lakes (Schliewen et al. 1994; Barluenga et al. 2006; Malinsky et al. 2015).

65 One of the best-known examples of speciation in sympatry in plants are the only two extant
66 species of *Howea* palms (Savolainen et al. 2006). *Howea belmoreana* and *H. forsteriana* are
67 restricted to the small (ca. 15 km²) and remote (600 km from the nearest other landmass)
68 Lord Howe Island (LHI; Australia), where they overlap in distribution across two soil types;
69 although the former species is restricted to volcanic soil, the latter is present on both
70 volcanic and calcareous soil. The evidence of sympatric speciation is further upheld by a
71 sister relationship between the species in molecular phylogenetic trees (Savolainen et al.
72 2006; Baker et al. 2011), as well as prezygotic reproductive isolation by differences in
73 flowering phenology (Savolainen et al. 2006; Hipperson et al. 2016) and some evidence of
74 postzygotic isolation in the form of reduced hybrid fitness (Hipperson et al. 2016). They are
75 both diploid, so polyploid speciation is excluded (Savolainen et al. 2006). However, a
76 rigorous demonstration of a sister-relationship is required to provide evidence for sympatric
77 speciation, since secondary contact (i.e. introgression) by more distantly related species can
78 be concealed in phylogenetic trees constructed by a small number of genetic markers, as
79 has been recently shown for seven crater lake cichlid radiations (Malinsky et al. 2015; C.H.
80 Martin et al. 2015; Kautt et al. 2016; Meier et al. 2017; Poelstra et al. 2018). Relative to the

81 closest extant outgroup species to *Howea*, the monotypic *Laccospadix australasicus* and
82 species of *Linospadix*, the monophyly of *Howea* is supported by phylogenetic reconstruction
83 using only two nuclear markers. In comparison to the cichlid examples above, two markers
84 provide insufficient evidence to detect introgression. Furthermore, the existing phylogenetic
85 tree includes only three of the seven species of *Linospadix*: *L. albertisianus*, *L. minor* and *L.*
86 *palmerianus* (Savolainen et al. 2006). *Linospadix monostachyos*, which was not included in
87 this phylogenetic analysis, inhabits the most proximal part of Australian mainland to LHI,
88 making it the most likely known candidate for gene flow with *Howea*. A phylogenomic
89 analysis to explicitly test secondary introgression into *Howea* from mainland relatives is
90 therefore needed.

91

92 Beyond the prevalence of speciation in sympatry, many questions remain regarding its
93 genomic underpinning and the conditions that may promote it. For example, the role of
94 ancestral gene flow in providing genetic diversity on which selection can act may be more
95 important than previously appreciated (Meier et al. 2017), and the mechanisms by which
96 reproductive isolation evolves following initial local adaptation remain opaque in most
97 species. These factors are largely unknown in *Howea*, but since the species have
98 overlapping, yet distinct soil preferences, it is possible that soil characteristics have in part
99 been responsible for the divergence of species. One hypothesis is that soil acted as a driver
100 of speciation in *Howea* via selection on genes pleiotropically affecting both flowering time
101 and soil preference, thereby producing reproductive isolation as a by-product of soil
102 adaptation (Dunning et al. 2016). Another hypothesis is that a switch in soil preference
103 induced a plastic response in flowering time, allowing initial divergence. These differences
104 could have later been canalised (Pfennig et al. 2010) or bolstered by a reinforcement-like
105 mechanism (Kirkpatrick 2001). Several genes have been identified with evidence of
106 divergent selection between the *Howea* species (Dunning et al. 2016), although a lack of
107 corresponding outgroup data has prevented our ability to pinpoint in which species changes
108 have occurred. Furthermore, the characteristics of the soil have not been analysed beyond
109 broad categories (calcareous versus volcanic) and pH measurements (Savolainen et al.
110 2006; Papadopulos et al. 2013), lacking variation in soil components (e.g. macronutrients,
111 micronutrients and water availability), which may have been crucial to local adaptation and
112 the evolution of flowering time differences.

113

114 To further develop our understanding of speciation in sympatry, and test the implicated
115 mechanisms driving this process in *Howea*, we combined a detailed chemical analysis of soil
116 on LHI with an RNA-seq dataset (transcriptomes) of three tissue types derived from 54

117 individuals, which included all species of *Linospadix* and *Laccospadix* as well as both *Howea*
118 species. Specifically, we aimed to (i) determine whether external secondary gene flow from
119 mainland relatives caused speciation in *Howea*; (ii) determine whether gene flow between
120 the ancestor of *Howea* and its mainland relatives preceded colonisation of LHI; (iii)
121 characterise the soil types that the two *Howea* species inhabit, and correlate this to gene
122 expression and sequence divergence in the species; and (iv) identify which genes have
123 undergone adaptive evolution in each of the two *Howea* species, and evaluate whether
124 these include loci that could have driven the evolution of reproductive isolation.

125

126 **Materials and methods**

127

128 **Tissue sampling and RNA sequencing**

129

130 For *H. belmoreana* and *H. forsteriana*, we took the data from Dunning et al. (2016), that is,
131 19 and 17 individuals from each species, respectively. For outgroup species, we sampled
132 between one and six individuals from the wild and at the Royal Botanic Gardens, Sydney
133 (*Linospadix albertisianus*: 1, *L. apetirolatus*: 2, *L. microcaryus*: 1, *L. minor*: 3, *L.*
134 *monostachyos*: 6, *L. palmerianus*: 2, *Laccospadix australasicus*: 4; Table S1). Leaf,
135 inflorescence and root tissue was taken for each individual for RNA-sequencing. Tissue was
136 cut into <5mm² sections and stored in RNAlater (Sigma) at -20°C. All tissue samples were
137 sent to the BGI Tech solutions (Hong Kong) for RNA extraction, library construction and
138 sequencing. Paired-end 100 base pairs (bp) libraries were multiplexed and sequenced on an
139 Illumina HiSeq 4000 sequencer. These data were supplemented with publicly-available
140 RNA-seq data for other palms (Arecaceae). All data are available from SRA accession no
141 (PRJNA528594).

142

143 **Bioinformatic processing**

144

145 Illumina primer and adaptor sequences were removed and initial quality control was
146 completed by removing reads with an average PHRED-scaled quality score < 20 (both
147 completed by BGI Tech Solutions). Reads were then corrected for each individual using
148 Rcorrector v.1.0.2 (Song and Florea 2015), followed by trimming in Trimmomatic v.0.33
149 (Bolger et al. 2014) with the following settings LEADING:5, TRAILING:5,
150 SLIDINGWINDOW:4:5, MINLEN:75 (following recommendations by MacManes (2014)).

151

152 To improve the reference transcriptome of Dunning et al. (2016), we used a comprehensive
153 multi-assembler, multi-K-mer approach as follows. For the individual with the highest number

154 of corrected, trimmed read pairs for each of the two *Howea* species, we separately
155 assembled de novo transcriptomes using eight transcriptome-specific assemblers:
156 BinPacker v.1.0 (Liu et al. 2016), Bridger v. 2014-12-01 (Chang et al. 2015), IDBA-tran
157 v.1.1.0 (Peng et al. 2013), Oases v.0.2.08 (Schulz et al. 2012), Shannon v.0.0.2 (Kannan et
158 al. 2016), SOAPdenovo-Trans v.1.0.4 (Xie et al. 2014), TransABYSS v.1.5.5 (Robertson et
159 al. 2010) and Trinity v.2.4.0 (Grabherr et al. 2013). For Trinity, Bridger and BinPacker, we
160 used three K-mer lengths, representing the maximum, minimum and default settings of 19,
161 25 and 33. For IDBA-tran, Oases, Shannon, SOAPdenovo-Trans and TransABYSS, we used
162 six K-mer lengths, 21,31,41,51,61 and 71. To determine fragment length distributions,
163 necessary for Bridger, Oases and BinPacker, we mapped all reads to their 25 K-mer Trinity
164 assembly using BWA-MEM v.0.7.8 (Li and Durbin 2009) with default settings. Input sizes
165 were determined from the resulting mappings using the *CollectInsertSizeMetrics* function of
166 Picard Tools v.2.6.0 (available at <http://broadinstitute.github.io/picard/>). This first-pass
167 assembly produced 34 independent assemblies for each species (three for Trinity, Bridger
168 and BinPacker, six for Velvet-Oases, transABYSS, SOAPdenovo and Shannon, and one for
169 IDBA-tran, which builds on each K-mer length assembly iteratively to produce one final
170 assembly).

171

172 For each of the 68 de novo assemblies, we then used TransDecoder v.3.0.1 (Haas et al.
173 2013) to identify open reading frames (ORF) using the *single_best_ORF* option. Contigs
174 lacking an ORF over 100 amino acids in length were discarded, since these are likely to
175 represent assembly artefacts. The retained coding sequences (CDS) for all 34 assemblies
176 per species were then combined and clustered using CD-HIT-EST v.4.6.1 (Fu et al. 2012)
177 with a local sequence identity threshold of 0.99, and coverage length settings of aL= 0.005,
178 aS = 1 as recommended by Cerveau and Jackson (2016). Only the longest sequence from
179 each cluster was retained to remove redundancy. To reduce the chance of assembly errors
180 in our final assembly, we removed sequences that were only recovered by a single
181 assembler or in less than four individual (i.e. assembler and K-mer length-specific)
182 assemblies, following Cerveau and Jackson (2016). This resulted in a single non-redundant
183 reference dataset for each *Howea* species. These were then matched between species
184 using reciprocal best BLAST. Each assembly was blast searched against the other with
185 BLASTN (Camacho et al. 2009) and those that were reciprocally each other's top hit were
186 retained as reciprocal best BLAST pairs (RBB-pairs). RBB-pairs were aligned using MAFFT
187 v.7.245 (Kato et al. 2002) using automatic selection of the appropriate alignment strategy.
188 Consensus sequences for each pairwise alignment were produced using an in-house python
189 script (available at <https://github.com/og Osborne/fast alignment filters/>), in which all non-
190 matching nucleotides were coded as ambiguity characters. To control for insertions and

191 deletions within coding regions, we reran TransDecoder v.3.0.1 (Haas et al. 2013) on the
192 consensus sequences as above. The resulting consensus sequences were used as a
193 mapping reference. To determine locus-to-transcript relationships, we used a mapping-
194 based sequence clustering pipeline. Mapping of all reads from *Howea*, *Linospadix* and
195 *Laccospadix* to the reference was first conducted using STAR v.2.5.3a (Dobin et al. 2013),
196 with each tissue from each individual mapped separately, allowing unlimited matches per
197 read. The mappings were then used to cluster transcripts with Corset v.1.06 (Davidson and
198 Oshlack 2014) using a distance threshold of 0.3 and considering each species-tissue type
199 combination as a distinct experimental grouping. For each resulting Corset cluster, only the
200 transcript with the longest ORF was retained. The resulting sequences formed our final
201 transcriptome assembly, and these were carried forward into downstream analyses. These
202 were matched to the previous *Howea* transcriptome assembly (Dunning et al. 2016) using
203 reciprocal best BLASTn (Camacho et al. 2009), and both assemblies were assessed using
204 BUSCO v.2.0 (Simao et al. 2015).

205

206 To identify sequence variation, STAR was run on all data, including the publicly available
207 Arecaceae data from the NCBI Short Sequence Archive (SRA), mapping all reads from each
208 individual together and allowing only unique read mappings. Variants were then called and
209 filtered using the samtools-bcftools v.1.3.1 pipeline (Li 2011). First samtools *mpileup* function
210 was used to create a pileup file for each individual separately, considering only reads with a
211 PHRED scaled mapping quality over 20. Pileups were then used to call SNPs using bcftools
212 *call* function with the multiallelic caller model, keeping sites that are ambiguous in the
213 reference, and outputting gVCF homozygous reference blocks. The resulting SNPs were
214 filtered using bcftools *filter* function excluding SNPs within three bases of an indel, with a
215 genotype quality < 20 or with a base quality < 20. Homozygous calls were required to be
216 supported by three reads and heterozygous calls were required to be supported by two
217 reads for each allele. FASTA formatted sequences were produced from the resulting VCF
218 files and reference sequences using vcf2fas, where heterozygous bases were coded as
219 IUPAC ambiguity codes (Bruno Nevado, available from
220 <https://github.com/brunonevado/vcf2fas>). Resulting sequences were then concatenated to
221 produce an aligned FASTA file for each gene.

222

223 Prior to phylogenetic analysis, alignments were filtered using inhouse python scripts
224 (available at https://github.com/ogosborne/fasta_alignment_filters/). To produce input data
225 for gene tree-based analyses (which used *Howea*, *Linospadix* and *Laccospadix*, as well as
226 the closest outgroup, *Areca catechu*, in order to root the trees), we first removed sequences
227 where over 2% of bases were heterozygous, as these may represent erroneous mapping of

228 paralogues to the same reference. We then removed alignment columns with over 90%
229 missing data and sequences with over 50% missing data. Following these filters, alignments
230 were retained if they contained four or more sequences and were over 100 bases in length.
231 We refer to this as the ‘individual sequence dataset’. To produce input data for species tree-
232 based analysis (using all Arecaceae species), we first removed highly heterozygous
233 sequences as above, before producing a consensus sequence for each species where any
234 intraspecific variants were coded as missing data. The resulting sequences were
235 concatenated into an alignment for each gene that was then filtered for missing data as
236 above, we refer to this as the ‘species-consensus sequence dataset’.

237

238 **Functional annotation**

239

240 All genes in the final reference transcriptome were annotated by BLAST. Model species
241 proteomes (primary isoforms only) and accompanying Gene Ontology (GO) terms were
242 downloaded from Phytozome v. (Goodstein et al. 2012) (Available from
243 <https://phytozome.jgi.doe.gov>, downloaded 11/08/18). Amino acid sequences of each gene
244 were searched against four model species proteomes, *Arabidopsis thaliana*, *Brachypodium*
245 *distachyon*, *Oryza sativa* and *Zea mays*, using BLASTP v2.2.25 (Camacho et al. 2009) with
246 an e-value cut-off of 0.0001, and only the top hit was retained. Genes were annotated with
247 the GO terms of their homologues from each of the reference proteomes. Redundancy was
248 removed and ancestor terms were added. GO term enrichment amongst genes of interest
249 was then tested using the topGO v.2.26.0 package in R (Alexa et al. 2006) using the *weight*
250 algorithm.

251

252 To specifically identify genes with the potential to drive reproductive isolation by
253 pleiotropically linking soil adaptation and flowering time in *Howea*, we identified all genes
254 with known involvement in flowering time and LHI-relevant soil characteristics. To
255 computationally identify flowering-time related genes, we took two approaches. Firstly, we
256 identified all genes for which the *A. thaliana* homologue was in the FLOR-ID flowering time
257 gene database (Bouché et al. 2016). Secondly, we identified all genes annotated with the
258 GO terms GO:0009909: “regulation of flower development” and GO:0010228: “vegetative to
259 reproductive phase transition of meristem”. To computationally identify genes potentially
260 involved in soil adaptation in *Howea*, we took three approaches. Firstly, we identified all
261 genes that had significantly differential expression with regard to soil chemistry in *H.*
262 *forsteriana* (see “Analysis of gene expression” above) that we considered to have direct
263 evidence of a link with soil chemistry in *Howea*. Secondly, since water content differed
264 significantly between the soil types of LHI (see results), we identified all genes whose

265 homologues were in the DroughtDB drought gene database (Alter et al. 2015). Thirdly, we
266 identified all genes annotated with the following GO terms, which were relevant to our
267 findings from the soil chemistry analysis: GO:0006970: “response to osmotic stress”,
268 GO:0009414: “response to water deprivation”, GO:0042221: “response to chemical”,
269 GO:0036377: “arbuscular mycorrhizal association”, GO:0031667: “response to nutrient
270 levels”, and GO:0006811: “ion transport”. All genes which were annotated as potentially
271 involved in both flowering and soil related functions were then individually assessed with an
272 extensive literature search. We only considered genes to be potential pleiotropic for soil
273 adaptation and reproductive isolation when they had (i) published evidence of a mutant
274 flowering time phenotype and (ii) either differential expression according to soil in *Howea* or
275 published evidence of a mutant phenotype relevant to the differences we found between LHI
276 soil types.

277

278

279 **Phylogenetic inference**

280

281 Firstly, we used the species-consensus dataset to produce a dated species tree. We inferred
282 a maximum likelihood gene tree with this data using RAxML v.8.2.9 (Stamatakis 2014) with
283 200 bootstraps using the GTRGAMMA model of evolution. The branch lengths were then re-
284 estimated on 100 bootstraps of the data using the GTRGAMMA model in RAxML with the
285 topology fixed to that of the best maximum likelihood tree. These bootstrapped trees were
286 then rooted with the clade formed by *Daemonorops jenkinsiana* and *Mauritia flexuosa* as the
287 outgroup, as in previous studies (Baker et al. 2011; Couvreur et al. 2011; Faurby et al.
288 2016). Divergence times were estimated from these trees using the penalised likelihood
289 method implemented in r8s v. 1.80 (Sanderson 2003). We used three fossil calibrations,
290 taken from Faurby et al. (2016): minimum ages of 65 mya for the most recent common
291 ancestor (MRCA) of *Daemonorops* and *Mauritia*; 54.8 mya for the MRCA of *Cocos* and
292 *Elaeis*; and 85.8 mya for the MRCA of *Phoenix* and *Borassus*. We also set the root age to
293 100 mya, the crown age of Arecaceae found in previous work (Couvreur et al. 2011). For
294 each tree, we identified the optimal rate-smoothing parameter using cross-validation in r8s
295 (with the following settings: *method* = pl, *penalty* = add, *algorithm* = tn, *cvstart* = -8, *cvinc* =
296 0.5, *cvnum* = 32). The optimal rate-smoothing parameter for each tree was then used to
297 estimate divergence times and the solutions were checked with the *checkGradient* function.
298 Mean node age estimates from the 100 bootstrap replicates were taken as point estimates
299 and standard deviations were used to compute 95% confidence intervals. Because
300 topological discordance among gene trees can affect branch length estimation, we produced
301 a second species tree for which we attempted to limit its influence by removing highly

302 discordant trees from the analysis. For each gene, the best maximum likelihood gene tree
303 was compared to the best maximum likelihood species tree (see above) in a Shimodaira-
304 Hasegawa (SH) test (Shimodaira and Hasegawa 1999) implemented in RAxML (Stamatakis
305 2014). Genes for which the species tree (estimated with all genes) had a significantly worse
306 likelihood than the gene tree estimated with only the gene in question ($P < 0.05$) were then
307 removed from the analysis, and RAxML and r8s were rerun on this filtered dataset as above.

308

309 Secondly, we used the individual sequence dataset to infer a multi-species coalescence-
310 based tree, because gene tree discordance can obscure phylogenetic inference in closely
311 related species. For each gene in the individual sequence dataset, we inferred a maximum
312 likelihood gene tree with RAxML v.8.2.9 (Stamatakis 2014) with 200 bootstraps using the
313 GTRGAMMA model of evolution. SH-like branch supports were also calculated using
314 RAxML (Anisimova et al. 2011). To examine gene tree discordance using DensiTree plots,
315 all trees that contained every individual were filtered to remove trees with fewer than 10
316 nodes with under 80% SH-like support. These were then rooted using *Areca catechu* as the
317 outgroup and made ultrametric using the *root* and *chronos* functions in the APE package
318 (Paradis et al. 2004) in R v.3.3.1 (R Core Development Team 2008). These trees were then
319 visualised in DensiTree v.2.2 (Bouckaert 2010). To infer the species phylogeny while
320 explicitly accounting for gene-tree discordance we produced a coalescent-based tree. We
321 collapsed low support nodes (SH-like support < 80) as recommended by Zhang et al. (2018)
322 and retained all genes with over 50% of nodes un-collapsed. These were then input into
323 ASTRAL v.5.5.6 (Mirarab and Warnow 2015) for phylogenetic reconstruction with enforced
324 intraspecific monophyly, which inferred the topology and calculated concordance factor and
325 posterior probability based branch-support.

326

327 **Detection of introgression**

328

329 Firstly, to detect introgression we used a multidimensional scaling (MDS) approach with the
330 individual sequence dataset. SNPs for all genes were filtered to remove singletons and
331 those with over 90% missing data across all individuals. To produce a set of unlinked SNPs,
332 these were then further filtered to keep only the SNP with the least missing data per gene.
333 MDS analysis was then carried out using the *mds-plot* function in PLINK v1.9 with two
334 dimensions. If one *Howea* species were the result of hybridisation with one of the outgroups
335 we would expect it to cluster more closely with outgroups than the other *Howea* species in
336 this analysis.

337

338 Secondly, we used a D -statistic approach with the species-consensus dataset with the aim
339 of differentiating between three scenarios: (i) sympatric speciation in *Howea* following
340 allopatric separation from their sister taxa with no subsequent gene flow between *Howea*
341 and outgroups; (ii) sympatric speciation in *Howea* following ancestral gene flow with their
342 sister taxa; and (iii) speciation in *Howea* being driven by introgression from outgroups (Fig.
343 1). We calculated Patterson's D statistic (Green et al. 2010) for each four taxon subtree of
344 species with the topology $(((H. belmoreana, H. forsteriana), P_3), \text{outgroup})$ where each
345 species from *Linospadix* and *Laccospadix* was used as the third 'population', P_3 , separately.
346 Counts of two discordant site patterns $ABBA$ $(((A,B),B),A)$ and $BABA$ $(((B,A),B),A)$ were
347 compared using Patterson's D statistic, with a value of D significantly different from zero
348 implying introgression between one of the *Howea* species and P_3 , i.e. supporting scenario
349 (iii) above. To test for more complex introgression scenarios, including introgression
350 between the ancestor of *Howea* and the outgroups, we used five-taxon D_{FOIL} statistics
351 (Pease and Hahn 2015) for each five-taxon subtree with the topology: $(((H. belmoreana, H.$
352 $forsteriana), (P_3, P_4)), \text{outgroup})$ in which the split of P_3 and P_4 predates the split of *Howea*.
353 Four D_{FOIL} statistics were calculated: D_{FO} , D_{IL} , D_{FI} and D_{OL} (Pease and Hahn 2015). The
354 combination of positive, negative and zero results of these four statistics can be used to infer
355 ancestral introgression. Specifically, test results in which D_{FO} and D_{IL} were either both
356 positive or both negative, while D_{FI} and D_{OL} were both zero, imply introgression between P_3
357 or P_4 and the ancestor of *Howea* (scenario ii) (Pease and Hahn 2015). Zero values for
358 Patterson's D are also expected under scenario (ii). Zero values for all D -statistics would
359 support scenario (i) (Fig. 1). *Areca catechu* was used as the outgroup in four and five-taxon
360 tests because it was the closest relative to *Howea*, *Linospadix* and *Laccospadix* in our
361 dataset. Site patterns were counted using the *fasta2dfoil.py* script from *dfoil* (Pease and
362 Hahn 2015). To estimate confidence intervals and P -values for estimates of the D -statistics,
363 1,000 bootstrap replicates were used, in which genes were sampled with replacement to the
364 total number of genes, and D was re-estimated. P -values were calculated for each of these
365 tests and corrected for multiple testing using Bonferroni correction. Any test with a corrected
366 $P < 0.05$ would be considered to show evidence of introgression.

367

368

369 **Soil analysis**

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371 To analyse the soil characteristics in which *Howea* grows, we collected soil samples from 34
372 sites from which the *Howea* individuals with sequence data in this study were sourced. Soil
373 samples were sent to the Diagnostic and Analytical Services Environmental Laboratory
374 (Wollongbar, NSW, Australia) for analysis. This included three analyses: (i) 20 acid

375 extractable elements (Al, As, B, Ca, Cd, Co, Cr, Cu, Fe, K, Mg, Mn, Mo, Na, Ni, P, Pb, S, Se
376 and Zn) were quantified using inductively coupled plasma atomic emission spectroscopy
377 (ICP-AES); (ii) four Diethylenetriamine pentaacetate extractable micronutrients (Cu, Fe, Mn
378 and Zn) were quantified using ICP-AES, in a protocol which represent a closer
379 approximation of the phytoavailability of these elements; (iii) soil electrical conductance, a
380 metric that correlates with multiple soil properties related to plant health (Peverill et al. 1999),
381 was measured. For the majority of sites, water availability (27 sites) and pH (33 sites) was
382 also measured. A total of 50ml of soil was collected and weighed; samples were then dried
383 in an oven for 48 hours at 80°C. They were then re-weighed and the percent water content
384 was calculated. All samples for water content analysis were collected during a two-week
385 period following at least two weeks without rainfall from the 2nd to 15th of April 2018. Soil pH
386 was measured using Inoculo soil pH test kits (EnviroEquip Pty). To investigate overall soil
387 variation, we used a principal component analysis (PCA) approach. Missing values for pH
388 and water content were first converted to their respective median values. PCA was then
389 performed using the *prcomp* function in R with scaling to account for variable scales for
390 different components of soil variation. Each component of soil variation was also compared
391 separately. Three comparisons were applied: (i) calcareous versus volcanic soil; (ii) *H.*
392 *belmoreana* presence versus absence; and (iii) *H. forsteriana* presence versus absence.
393 These were compared for each soil type using Mann-Whitney U tests and *P*-values were
394 corrected for multiple testing using the false discovery rate (Benjamini and Hochberg 1995).

395

396 **Population structure**

397

398 To estimate the extent of isolation-by-distance within each *Howea* species, and to determine
399 whether there was any evidence of population structure according to soil type within *H.*
400 *forsteriana*, we calculated the pairwise coefficient of relatedness for all individuals in PLINK
401 using the set of unlinked SNPs used for our MDS analysis. To detect isolation-by-distance,
402 pairwise matrices of log geographic distance and coefficients of relatedness were used to
403 conduct Mantel tests using *mantel.randtest* in the R package *adegenet* (v. 2.1.1; Jombart
404 and Ahmed 2011); this was done for each species separately. To test whether there was
405 more divergence between soil types for *H. forsteriana*, we compared coefficients of
406 relatedness between all pairs of individuals from different soils types with all pairs from the
407 same soil type using a t-test.

408

409 **Tests of selection**

410

411 We calculated several population genetic statistics to look for evidence of selection, using
412 the PopGenome package in R (Pfeifer et al. 2014) and the individual sequence dataset.
413 Differentiation (as measured by F_{ST} ; Weir and Cockerham 1984) and net divergence (d_{XY} ;
414 Nei, 1987) were calculated for each contig between the two *Howea* species, and Tajima's D
415 (Tajima 1989) and average pairwise diversity (π) were calculated within each species.
416 Genes with F_{ST} of 1 (indicating complete fixation), d_{XY} over the 95th percentile, or Tajima's D
417 below the 95th percentile were considered genes of interest for downstream analyses.

418

419 To identify genes potentially evolving under positive selection in the two *Howea* species, we
420 also used a phylogenetic d_N/d_S -based approach with the species-consensus dataset, which
421 was trimmed to include only CDS sequences inferred by TransDecoder (Haas et al. 2013).
422 We then implemented various codon filters. Sequences with premature stop codons were
423 removed, as well as the final stop codon of each alignment. To ensure that the amount of
424 selection could be compared between the two *Howea* species, we retained only codons that
425 contained no missing data in either species. Following these filters, alignments containing at
426 least 33 codons, at least one SNP between the two *Howea* species, and at least three
427 species in the alignment were taken forward for tests of selection. We used the branch-site
428 test of positive selection (Zhang et al. 2005) implemented in the codeml program in PAML
429 v.4.8 (Yang 2007). The branch-site models allow selection to vary across both sites and
430 branches of the phylogeny. For each *Howea* species, we implemented two models. The
431 alternative model allows d_N/d_S to vary above 1 on some sites on the branch being tested (the
432 foreground branch, designated as the tips leading to each *Howea* species separately)
433 whereas other branches (background branches) only vary between 0 and 1. The alternative
434 model is compared to a null model where d_N/d_S is fixed at 1 for these sites on the foreground
435 branch. It is compared in a Likelihood Ratio Tests (LRT), which approximates a chi-squared
436 distribution with one degree of freedom. Phylogenetic uncertainty can affect the results of
437 the branch-site test (Pie 2006), so we took two approaches to ensure our results were robust
438 to it. First, we reran the significant branch-site tests using a species tree estimated with only
439 genes that were not significantly discordant with the overall species topology (see
440 "Phylogenetic inference" section above). Second, we reran the significant branch-site tests
441 using the best maximum likelihood gene tree for the gene tested, rather than the species
442 tree.

443

444

445 **Analysis of gene expression**

446

447 Read counts for each tissue type and each gene for all individuals in *Howea*, *Linospadix* and
448 *Laccospadix* were produced by CORSET. These were used for phylogenetic analysis of
449 gene expression. Counts were converted to reads per million to correct for differences in
450 total numbers of reads per individual. Normalised read data were then used for LRT for
451 branch-specific expression shift tests implemented in EVE (Rohlf and Nielsen 2015). This
452 approach models the evolution of gene expression as an Ornstein–Uhlenbeck process,
453 where the parameter θ_i^a represents the optimal expression level for gene i in lineage a , and
454 θ_i^{non-a} represents the optimal expression level for gene i in all other lineages. The test
455 compares a null model where $\theta_i^a = \theta_i^{non-a}$ with an alternative model where $\theta_i^a \neq \theta_i^{non-a}$. The
456 models are compared with an LRT to identify significant expression shifts in the focal lineage
457 a . Six LRTs were implemented, testing for significant expression shifts in the branches
458 leading to *H. belmoreana* and *H. forsteriana* separately in each of the three tissue types.
459 LRT statistics were used to calculate P -values using chi-squared tests with one degree of
460 freedom, and the P -values were corrected for multiple testing using FDR. Genes that
461 showed significant expression shifts ($P < 0.05$) following multiple test correction were
462 considered genes of interest in downstream analyses.

463

464 Finally, we also investigated whether gene expression within each species was related to
465 variation in soil chemistry. The soil chemistry dataset is highly multidimensional, so we used
466 the first principal component of the soil PCA (above), which separates the two soil types, as
467 an explanatory variable in an analysis of differential expression. We used DESeq2 (Love et
468 al. 2014) to test for differential expression across PC1 of soil chemistry within each *Howea*
469 species and tissue type combination separately. Following Dunning and colleagues (2016),
470 who published the transcriptome data for the two *Howea* species, we also included sampling
471 date (categorised into three collecting trips) as a confounding variable in the model. DESeq2
472 P -values were corrected for multiple testing using FDR.

473

474 **Results**

475

476 **Transcriptome**

477

478 For each of the 19 *Linospadix* and *Laccospadix* individuals (Table S1), between 26,173,535
479 and 45,115,994 paired-end fragments were sequenced using RNA-seq. These were
480 supplemented with previously published RNA-seq data for the two *Howea* species (36
481 individuals) and data from ten other Arecaceae species. Following read correction and
482 trimming, all newly sequenced individuals had between 25,990,695 and 44,902,175 reads
483 remaining (Table S2). Utilising a multi-assembler and multi-Kmer pipeline using the

484 individual of each *Howea* species with the most reads, we produced a final transcriptome
485 assembly containing 26,972 genes. BUSCO analysis found that the reference transcriptome
486 had 88.7% completeness, a substantial improvement on the 77.5% completeness of the
487 previous assembly by Dunning et al. (2016), demonstrating the utility of our approach. Read-
488 mapping to the transcriptome assembly resulted in between 65% and 84% of reads being
489 uniquely mapped for *Howea* individuals, between 58% and 81% in *Linospadix* and
490 *Laccospadix*, and between 19% and 74% for other palms (Table S3).

491

492 **Ancestral hybrid swarm followed by sympatric speciation in *Howea***

493

494 The two *Howea* species were supported as sister species with a 100% of bootstrap support
495 for every node in our RAxML tree using all concatenated transcripts (Fig. S1). The
496 coalescent-based ASTRAL tree was topologically identical, and posterior probability support
497 for all nodes was high (>0.99). Unlike previous analyses that resolved *Laccospadix* as sister
498 to *Howea* (Savolainen et al. 2006), we found a sister relationship between *Laccospadix* and
499 *Linospadix*. The short branch length between the common ancestors of *Howea-Linospadix-*
500 *Laccospadix* and *Linospadix-Laccospadix* and high level of ancestral introgression (see
501 below) likely explains the difference between studies. We estimated the divergence time of
502 the two *Howea* species as 3.3 million years ago (Fig. 2a). The second dated tree we
503 produced, in which genes that were phylogenetically incongruent with the species topology
504 were removed (Fig. S2), had a divergence time for the two *Howea* species of 4.4 million
505 years ago. These dates are older than previous estimates (Savolainen et al. 2006) but are
506 still well within the age of LHI, which was formed between 6.4 and 6.9 million years ago
507 (McDougall et al. 1981).

508

509 There was no evidence of hybridisation between extant *Howea* and *Linospadix* or
510 *Laccospadix*. Firstly, tree topologies were highly consistent between loci (Fig. 2a) with 95%
511 of gene trees supporting the monophyly of *Howea* (Fig 2a-b; i.e. 95% quartet support).
512 Quartet support for the other interspecific nodes between species in *Linospadix*,
513 *Laccospadix* and *Howea* ranged from 38% to 87%, demonstrating a relatively high level of
514 gene tree-species tree discordance within the dataset. Patterson's *D* Statistics were not
515 significantly different from zero when any *Laccospadix* or *Linospadix* species were tested as
516 a potential introgressant (Fig. 3b, Table S4). However, all five-taxon *D*-statistic tests showed
517 evidence for introgression between *Linospadix* or *Laccospadix* and the ancestor of *Howea*
518 (scenario (ii) in Fig. 1; Fig. 3a; Table S5). All comparisons in which *Laccospadix* and one of
519 the *Linospadix* species were P_3 and P_4 showed evidence for *Laccospadix* as the
520 introgressing taxon, suggesting that admixture between *Laccospadix* and ancestral *Howea*

521 was either stronger or more recent than it was between *Linospadix* and ancestral *Howea*
522 (Pease and Hahn 2015). There was also evidence for admixture between some *Linospadix*
523 species and ancestral *Howea* when two *Linospadix* species were assigned as P_3 and P_4 .
524 The only species with no evidence of admixture was *L. albertisianus*, which is restricted to
525 New Guinea, whereas all other species tested are found on the Australian mainland.
526 Defining the exact patterns of introgression is not possible, but they indicate a complex
527 history in which admixture has occurred independently between ancestral *Howea* and
528 several lineages within *Linospadix-Laccospadix* independently (one interpretation which is
529 consistent with the results is shown in Fig. 3c).

530

531 The MDS analysis of all sequence polymorphism data was consistent with the results above.
532 It resolved both *Howea* species as distinct clusters, and outgroup species were equidistant
533 from the two *Howea* species (Fig. 4). If one species was a product of hybridisation between
534 ancestral *Howea* and an outgroup (as shown in scenario (iii) in Fig. 1), it would be expected
535 to cluster more closely to the outgroup than the non-hybrid species. Instead, the reported
536 equidistance in the MDS supports lack of hybridisation during or after speciation in *Howea*.
537 Furthermore, the fact that *Laccospadix* is closer to *Howea* than is its sister taxon *Linospadix*,
538 is in line with the higher level of admixture between *Laccospadix* and ancestral *Howea* (*D*-
539 statistic results above).

540

541 Our Mantel tests revealed no evidence for isolation by distance in either *Howea* species (*H.*
542 *belmoreana*: $P = 0.440$; *H. forsteriana*: $P = 0.588$; Fig. S3), indicating that geographically-
543 based isolation within LHI is unlikely.

544

545 Taken together, our results strongly support a scenario whereby ancestral *Howea* was part
546 of a hybrid swarm on mainland Australia, but neither hybridisation with outgroups or
547 allopatric isolation within LHI drove speciation following the colonisation of LHI by the
548 ancestral *Howea*.

549

550

551 **Soil chemistry drives expression shifts in flowering time genes in *Howea***

552

553 Detailed soil chemical analysis (Table S6) revealed substantial differences between the two
554 soil types on LHI, and between specific sites that each species inhabits. The PCA analysis
555 showed that most volcanic sites were clustered, with two outliers (Fig. 5b). In contrast to this,
556 calcareous soils were more diffusely distributed. Notably, both volcanic outliers were sites
557 only occupied by *H. forsteriana* and it is possible these represented intermediate soil types

558 (Fig. 5a). All sites inhabited by *H. belmoreana* were clustered in the PCA (Fig. 5c).
559 Conversely, *H. forsteriana*-inhabited sites were widely distributed across both of the first two
560 principal components (Fig. 5d).

561

562 When individual constituents of soil variation were compared, 21 constituents were
563 significantly different between volcanic and calcareous soils, 15 were significantly different
564 between *H. belmoreana* present versus absent sites, and three were significantly different
565 between *H. forsteriana* present versus absent sites (Fig. S4). Calcareous soil was
566 characterised by significantly higher concentrations of arsenic, boron, calcium, cadmium,
567 sodium, phosphorus and sulphur, high pH and lower water content. Volcanic soils were
568 characterised by significantly higher concentrations of aluminium, cobalt, chromium, copper,
569 iron, potassium, manganese, nickel and zinc, higher water content and neutral pH (Fig. S4).
570 Overall, our soil analysis emphasises that, whereas *H. belmoreana* is an edaphic specialist,
571 *H. forsteriana* is a generalist able to grow on a far broader range of soil types.

572

573 When the first principal component of soil chemistry variation was used as an explanatory
574 variable in a differential expression analysis (for each *Howea* species and tissue type
575 separately), very few genes were differentially expressed according to soil variation in *H.*
576 *belmoreana* (inflorescence: four genes, leaf: two genes, root: seven genes). In *H.*
577 *forsteriana*, while again there was minimal soil-related differential expression in
578 inflorescences and roots (inflorescence: 15 genes, root: 22 genes), there was a very high
579 level of differential expression in leaves (1,118 genes, Table S7). This included 18 genes
580 known to be involved in flowering time differences in model plants, potentially indicating a
581 link between soil chemistry and flowering time divergence in *Howea*. We found that pairs of
582 *H. forsteriana* individuals from the same soil type were no more closely related than pairs of
583 individuals from different soil types (t-test: $P = 0.78$), indicating that there were no 'ecotypes'
584 within *H. forsteriana* that would explain gene expression differences, especially considering
585 that such a large number of genes were differentially expressed.

586

587 **Adaptive evolution of protein sequence and gene expression is species and tissue** 588 **specific in *Howea***

589

590 We used phylogenetic approaches to search for genes with amino acid substitutions under
591 positive selection (Yang 2007) as well as genes with a significant shifts in expression level
592 (Rohlf and Nielsen 2015) in the branches leading to each *Howea* species. Genes that have
593 undergone significant expression shifts were unevenly distributed across tissue types and
594 species. In total, 1,736 genes have undergone an expression shift in at least one tissue in at

595 least one species. In inflorescence and root tissue, there were significantly more in *H.*
596 *forsteriana* (inflorescence: 560 in *H. forsteriana* versus 100 in *H. belmoreana*, Fishers Exact
597 Test $P < 0.001$; root: 131 in *H. forsteriana* versus 20 in *H. belmoreana*, Fishers Exact Test P
598 < 0.001), whereas in leaf tissue there were significantly more in *H. belmoreana* (892 in *H.*
599 *belmoreana* versus 227 in *H. forsteriana*, Fishers Exact Test $P < 0.001$). The tests of positive
600 selection on amino acid sequence revealed that 104 genes likely evolved under positive
601 selection in *H. belmoreana* while 132 were under positive selection in *H. forsteriana*;
602 although this difference in numbers was not significant ($P = 0.077$; Fisher's exact test). Of
603 the 1,972 genes that had any evidence of adaptive evolution from these tests, only 9% were
604 found in more than one of these sets (Fig. S5).

605

606 **Genes under adaptive evolution are enriched for edaphic and phenology-related** 607 **functions**

608

609 There was significant enrichment of 103 GO terms amongst our genes of interest (e.g.
610 genes showing an expression shift or significant sequence-based evidence of positive
611 selection, see Methods), ranging from two GO terms amongst genes which underwent a
612 significant expression shift in the roots of *H. belmoreana* to 27 GO terms amongst genes
613 with any evidence of adaptive evolution (Table S8). Several of these genes were relevant to
614 the speciation scenario of *Howea*. For example, genes with either evidence of an expression
615 shift or with a signature of positive selection in *H. forsteriana* were significantly enriched for
616 the GO term “negative regulation of flower development”, indicating that the differing
617 flowering times of the two species has evolved adaptively (Table S8, GO term assignment
618 and evidence of selection listed in Table S7). Several GO terms likely to be involved in soil
619 preference differences between the two species are also enriched amongst candidate genes
620 (Table S8). The term “response to cadmium ion” is over-represented among genes showing
621 evidence for positive selection in *H. forsteriana*, “response to water deprivation” is over-
622 represented amongst genes under positive selection in *H. belmoreana*, “cellular calcium ion
623 homeostasis” is over-represented amongst genes which have undergone an expression shift
624 in leaf tissue in *H. forsteriana*, and “cellular response to phosphate starvation is over-
625 represented amongst genes that have undergone a significant expression shift in the
626 inflorescence of *H. forsteriana*. Cadmium, phosphorus, calcium and water content all vary
627 significantly between the soils of the two species. Several less specific GO terms relevant to
628 soil chemistry differences between calcareous and volcanic soil such as “transition metal ion
629 transport”, “regulation of ion transport” and “divalent metal ion transport” were also enriched
630 amongst genes of interest. Furthermore, several terms involved in biotic interactions known
631 to differ between the soil types and species were over-represented. This included several

632 defence related GO terms: “defence response”, “defence response signalling pathway”,
633 “defence response to bacterium”, “response to bacterium” and “regulation of defence
634 response”. Osborne et al. (2018) showed that multiple plant pathogens, both fungal and
635 bacterial, are differentially abundant between the two soil types and even between the two
636 species on the same (volcanic) soil type. Our results here indicate that pathogens may act
637 as selection pressure on the species. Finally, we found that the term “response to karrikin”
638 was significantly over-represented in genes that had experienced an expression shift in *H.*
639 *forsteriana*. Karrikin response genes are involved in the initiation of arbuscular mycorrhizal
640 fungi symbiosis in rice (Gutjahr et al. 2015). This may be important in *Howea* too, since the
641 two species have divergent soil-specific interactions with arbuscular mycorrhizal fungi, which
642 likely affect their relative fitness on calcareous versus volcanic soils (Osborne et al. 2018).

643

644 We also identified 122 genes within our dataset (Tables S7 and S9) that have the potential
645 to pleiotropically link soil adaptation and flowering time in *Howea*. This is based on their
646 mutant phenotypes in model plant species (Table S9; references listed in table). Nine of
647 these showed evidence of adaptive evolution in one or both of the species (Table S10). Two
648 of these nine were amongst the six candidate ‘speciation genes’ identified by Dunning et al.
649 (2016), and another one, *DCL1*, was annotated to the same *Arabidopsis* homologue as in
650 Dunning et al., although we did not return each other as best reciprocal match in our BLAST
651 searches. Two of the six candidates for positive selection in Dunning et al. ($d_N/d_S > 1$),
652 however, did not show evidence of positive selection in our branch-site test, although
653 because this test is highly conservative, this may not necessarily be surprising (Gharib and
654 Robinson-Rechavi 2013). In total, combining results from this study with that of Dunning et al
655 (2016) identified 13 candidate ‘speciation genes’ in *Howea* (Table S10).

656

657 **Discussion**

658

659 **The role of admixture in *Howea***

660

661 We found no evidence for gene flow from outgroups into *Howea* following initial colonisation,
662 supporting a model of sympatric speciation. Traditionally, the main difficulty of demonstrating
663 sympatric speciation has been to show that there has been no potential for geographic
664 separation within their habitat such that reproductive isolation could have evolved in
665 allopatry. For this reason, while many potential examples of sympatric speciation may exist
666 on continental landmasses (Sorenson et al. 2003; Hadid et al. 2013; Osborne et al. 2013;
667 Hadid et al. 2014), the most convincing case studies have been found in tiny habitat islands
668 such as crater lakes and oceanic islands (Schliewen et al. 1994; Savolainen et al. 2006;

669 Papadopoulos et al. 2011; Malinsky et al. 2015). Recent evidence of secondary gene flow into
670 several crater lakes hosting cichlid radiations that were previously thought to have evolved in
671 complete sympatry has cast doubt on these examples (Martin et al. 2015; Poelstra et al.
672 2018). All four cichlid radiations in Cameroon were shown to involve secondary gene flow
673 from external riverine populations, with some even being more closely related to the river
674 populations than other species within their lakes (Martin et al. 2015). Furthermore, in one of
675 these lakes, a genomic region containing several olfactory genes involved in mate choice in
676 cichlids was introgressed prior to the first speciation event (Poelstra et al. 2018). This
677 indicates a mechanism by which secondary gene flow may have played a causative role in
678 their speciation (Poelstra et al. 2018). While some authors considered secondary gene flow
679 to be unlikely to have been causative in some other cichlid radiations (Malinsky et al. 2015),
680 this cannot currently be ruled out. Given that our results make a role for secondary gene flow
681 highly unlikely, *Howea* appears to be one of the strongest examples of sympatric speciation
682 in nature.

683

684 Nevertheless, admixture with outgroups may be important in the evolutionary history of
685 *Howea*. Admixture can play a key role in generating genetic diversity on which selection can
686 act (Seehausen 2004; Seehausen 2015; Arnold and Kunte 2017) and has been shown to
687 precede adaptive divergence in multiple taxa. For example in Lake Victoria cichlids,
688 ancestral admixture produced exceptional variation in opsin genes known to be involved in
689 speciation and adaptation, thereby facilitating adaptive radiation in the lake (Meier et al.
690 2017). In Darwin's finches, admixture between two species increased the standing genetic
691 and evolutionary responsiveness to fluctuating environmental conditions (Grant and Grant
692 2014). In light of these and other examples (Pardo-Diaz et al. 2012; Stankowski and
693 Streisfeld 2015), our finding that ancestral *Howea* may have been part of a mainland hybrid
694 swarm opens the possibility that ancestrally-introgressed variation could have been
695 important in their divergence following the colonisation of LHI. This may be critical given the
696 likelihood of a genetic bottleneck upon colonisation of LHI. Unfortunately, identifying
697 introgressed regions requires longer genomic windows than we can derive from
698 transcriptomic data and so it is outside the scope of this study (Martin et al. 2015), although
699 our ongoing sequencing of the *Howea* genome will provide an opportunity to test this
700 hypothesis in the future.

701

702 We have interpreted the *D*-statistic results in terms of introgression; however, non-zero
703 results could also be obtained because of ancestral population structure (Pease and Hahn
704 2015). For example, consider three ancestral populations *A*, *B* and *C*, in which gene flow
705 between *B* and *C* is stronger than between *A* and *C*. Then, consider that the three

706 populations later diverge into three species as per the phylogenetic tree ((A,B),C), with no
707 gene flow following speciation. The D -statistic may then imply, wrongly, introgression
708 between species B and species C . However, in our case, a population structure-only
709 scenario to explain all our results would be highly complex. The relatively ancient age of the
710 *Howea* species splits makes it implausible that all D_{FOIL} results are explained by ancestral
711 population structure alone, which has been subsequently preserved to the present day.
712 Furthermore, since all Patterson's D -statistics were zero, there was no ancestral population
713 structure by which one nascent *Howea* species experienced more gene flow with outgroups
714 than the other. This bolsters the case that ancestral *Howea* was one homogenous
715 population upon colonisation of LHI.

716

717 **Ball's Pyramid is an unlikely source of geographic isolation**

718

719 Ball's Pyramid is an inhospitable sea stack 30 km off LHI. It is known that Ball's Pyramid, as
720 well as LHI, had a greater terrestrial extent in the past due to lower sea levels at some time
721 in the Pleistocene (Papadopulos et al. 2011; Woodroffe et al. 2006; Linklater et al. 2018).
722 This raised the possibility of allopatric speciation in *Howea* between populations isolated on
723 LHI and Ball's Pyramid. Papadopulos and colleagues (2011) investigated this possibility and
724 concluded that allopatric divergence of *Howea* was unlikely. The argument was that the
725 distance that would have separated populations of *Howea* on LHI and Ball's Pyramid would
726 not be greater than the current length of LHI, on which populations are not geographically
727 structured in this wind-pollinated genus. Furthermore, a recent demographic modelling
728 analysis supports a model in which gene flow following speciation was high and reduced
729 towards the present over models which include an allopatric period (Papadopulos et al.,
730 2019a). Our new analyses strengthen the case further. While sea level has been lower (and
731 thus the terrestrial extent of both islands has been larger) in the past, our dates indicates
732 that *Howea* split in the Pliocene, when sea level was actually about 22 m higher than today
733 (Dwyer and Chandler 2009; Miller et al. 2012). Erosion of Ball's pyramid and LHI to their
734 current state, where Ball's pyramid is a sheer sea stack completely unsuitable for *Howea*
735 colonisation, occurred rapidly following their formation and substantially earlier than the
736 *Howea* split (6-7 mya; Linklater et al 2018). In any case, during periods of lowest sea level,
737 the distance between the islands was around 4 km, whereas we found no evidence of
738 isolation-by-distance within either species with a maximum distance of 5.8 km (Fig. S3).
739 While this does not necessarily mean that pollen travels over these distances, taken
740 together with the evidence from demographic modelling and the likely unsuitability of Ball's
741 Pyramid for *Howea* colonisation at the time of speciation, all available evidence indicate that

742 allopatric isolation between Ball's pyramid and LHI is unlikely to have been responsible for
743 speciation in *Howea*.

744

745

746 **The ecological circumstances of speciation in *Howea***

747

748 The main ecological difference between the *Howea* species today is their soil preferences.
749 Our analyses highlight the fact that while *H. belmoreana* is a specialist on volcanic soil, *H.*
750 *forsteriana* is a soil generalist. However, the observation that *H. forsteriana* has not
751 displaced *H. belmoreana* indicates that this generalism comes at a cost. Common garden
752 experiments have provided evidence for this, showing that *H. belmoreana* has a higher
753 survival rate than *H. forsteriana* on volcanic soil (Hipperson et al. 2016). One explanation for
754 this difference is that *H. forsteriana* is less able to form arbuscular mycorrhizal associations
755 on volcanic soil than either *H. belmoreana* on volcanic soil or *H. forsteriana* on calcareous
756 soil (Osborne et al. 2018).

757

758 Several soil characteristics significantly differed depending on broad soil type categories
759 (volcanic versus calcareous) and the presence or absence of each species. The differences,
760 which are likely to exert contrasting stresses on plants, comprised changes in essential
761 primary (P, K) and secondary (S, Ca) macronutrients, micronutrients (Al, B, Co, Cu, Fe, Na,
762 Mg, Mn, Ni, Zn) and toxins (As, Cd, Cr). This highlights the fact that a switch in soil is a
763 multidimensional environmental change, and is likely to affect multiple genes, potentially
764 increasing the barriers to gene flow more than simpler environmental switches (Nosil et al.
765 2017; Riesch et al. 2017).

766

767 Given their current divergent soil preferences in the two *Howea* species, it is likely that soil
768 adaptation played a role in their divergence. Since there was admixture between ancestral
769 *Howea* and *L. minor* following the split of *L. minor* and *L. albertisianus*, we can
770 approximately date the colonisation of LHI to between 4.96 and 3.27 mya (the speciation
771 times of *L. minor* and *L. albertisianus*, and *H. belmoreana* and *H. forsteriana*, respectively;
772 this is in line with our dating calculations with r8s). The current calcareous formations on LHI
773 were deposited in the last 350,000 years (Brooke et al. 2003), substantially later than this.
774 However, we had hypothesised that it was more likely that the ancestral *Howea* first
775 colonised volcanic soils, and subsequently moved to calcareous deposits due to the fact that
776 the volcanic soils are older and more similar to mainland soils (Savolainen et al. 2006;
777 Papadopoulos et al., 2019b). Since the erosion rate of calcarenite can be around 2.35
778 mm/year (Balaguer et al. 2019), calcareous deposits from c.a. 3-5 mya would unlikely have

779 survived today. Of course, it is also possible that the ancestral *Howea* colonised calcareous
780 soils first, before colonising volcanic ones. While we do not know the detailed edaphic
781 composition on the island at the time of colonisation and speciation, we can get insight into
782 the ecological history of *Howea* from the proportion and identity of genes that have
783 undergone adaptive divergence in the species.

784

785 As would be expected given the relatively old divergence of *Howea*, there are a multitude of
786 sequence and gene expression differences between the two species. Previous research in
787 *Howea* (Dunning et al. 2016) could not polarise the trajectory of change in these loci
788 because of the absence of outgroup data. Under our default scenario in which ancestral
789 *Howea* was a volcanic specialist and speciation was precipitated by an invasion of
790 calcareous soil by the ancestor of *H. forsteriana*, it may be expected that more adaptive
791 evolution should be found in this latter species. This was indeed the case for expression
792 shifts in inflorescence and root, as well as in positive selection on coding sequences (Fig.
793 S5). Furthermore, these genes in *H. forsteriana* were significantly enriched for several soil
794 adaptation-related functions (Table S8). In contrast, significantly more expression shifts were
795 found in the leaves of *H. belmoreana*, and while the reason for this is unclear, it should be
796 noted that the leaf morphology of *H. belmoreana* is unusual relative to both *H. forsteriana*
797 and outgroup species in *Linospadix* and *Laccospadix*, featuring recurved leaves with
798 ascending leaflets (Savolainen et al. 2006) (compare Fig. 2c and 2d).

799

800 We note that there are, of course, caveats to consider in gene expression results. Since our
801 samples are wild trees, tissues were collected in different locations and at different times,
802 and information such as plant age and health cannot be known. The environment affects
803 gene expression, and therefore our samples from the wild cannot be standardised as they
804 would be if derived from greenhouse experiments. Nevertheless, we have controlled for
805 sampling date in our models, and we found that the several significant shifts are consistent
806 with our hypotheses.

807

808 While our overall results are consistent with a scenario of ancestral volcanic-specialism,
809 alternatives may still be possible. What we can say conclusively, is that the evolution of the
810 two species involved adaptation to the abiotic (Hipperson et al. 2016) and biotic (Osborne et
811 al. 2018) soil variation on the island, so we then investigated a link between soil adaptation
812 and the main component of reproductive isolation, flowering time.

813

814 **The evolution of reproductive isolation in *Howea***

815

816 We identified genes that could have linked ecological adaptation to soil and reproductive
817 isolation via flowering time via two distinct mechanisms: plasticity and pleiotropy. Notably,
818 cadmium (Cd) and zinc (Zi) both differ between the soil types, with cadmium being
819 significantly higher in calcareous soil, and zinc being significantly higher in volcanic soil.
820 Substrate concentrations of these two elements have both been experimentally shown to
821 alter flowering time in *Arabidopsis* (Wang et al. 2012; Przedpelska-Wasowicz and Wasowicz
822 2013). Therefore, migration between the soil types has the potential to cause a plastic shift
823 in flowering time, a mechanism which may be common in plants (Levin 2009). Such a shift
824 could have instantaneously reduced gene flow between the two nascent *Howea* species in
825 the early stages of their evolution. This scenario is supported in *Howea* given that we found
826 multiple known 'flowering time genes', which were differentially expressed according to soil
827 chemistry in *H. forsteriana*. While mean flowering time is not significantly different between
828 the two soil types in *H. forsteriana*, it flowers protoandrously (male flowers are produced
829 earlier) on calcareous soil, whereas on volcanic soil male and female flowering have been
830 found to be synchronous in at least one population (Savolainen et al. 2006), showing that
831 soil chemistry may affect aspects of flowering phenology. Furthermore, there are many
832 examples of a loss of plasticity during or following speciation (Aubret and Shine 2009;
833 Pfennig et al. 2010; Palmer 2014; Levis et al. 2018), so soil-specific flowering time
834 differences could have been more pronounced in the past. Flowering time plasticity would be
835 expected to drive speciation most strongly when pollen dispersal is high but seed dispersal
836 is low, since pollen-mediated gene flow should be directly affected by flowering time
837 whereas seed-mediated gene flow should not. This is likely the situation in *Howea*, which is
838 wind-pollinated but has large and immobile seeds (Savolainen et al. 2006). Therefore soil-
839 mediated flowering time plasticity is a plausible mechanism of speciation in *Howea*. With the
840 combined results of this study and Dunning et al (2016), we also identified 13 genes
841 showing evidence of adaptive expression or sequence divergence in *Howea*, with functions
842 that could pleiotropically link soil adaptation and flowering time (Table S10). While we
843 tentatively consider these genes to be candidate 'speciation genes', their potential function
844 was inferred from sequence similarity to model plant genes of known function, which does
845 not guarantee that the orthologous palm genes have the same function. Given the long
846 generation times of palm trees, functional assays using palm mutants are not practical.
847 However, assays involving knockout mutants in model systems for candidate orthologous
848 genes, followed by phenotype rescue using palm genes would provide clearer insight into
849 the function of key genes. This work is ongoing by Savolainen and Turnbull and should
850 further elucidate the genomic basis for speciation in *Howea*.

851
852

853 **Author contributions**

854 VS conceived the study with contributions from WJB, CGNT and OGO; OGO, TW, DC and
855 IH collected samples; OGO conducted analyses with help from AC; OGO and VS wrote the
856 manuscript with comments from all authors.

857

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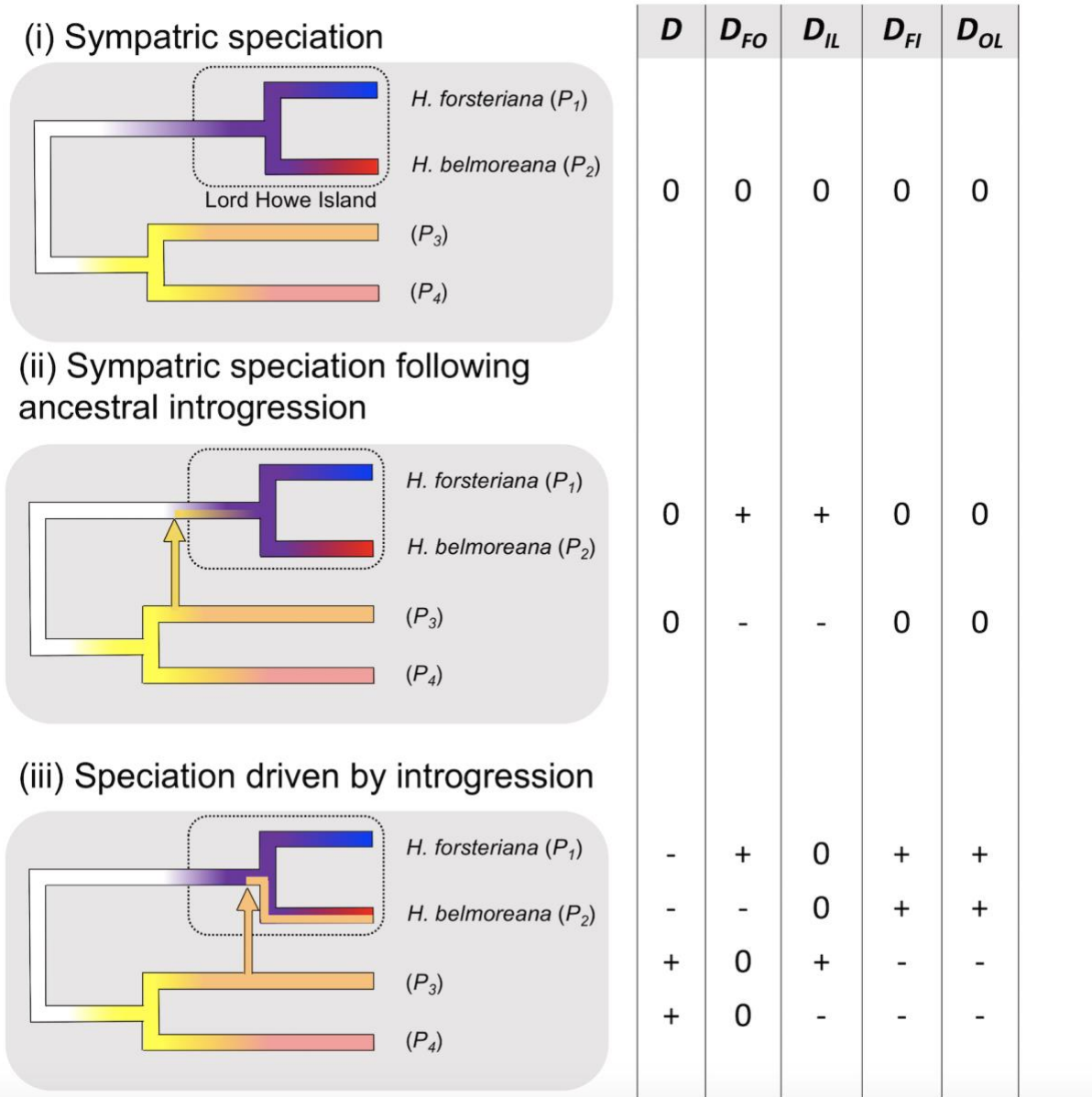
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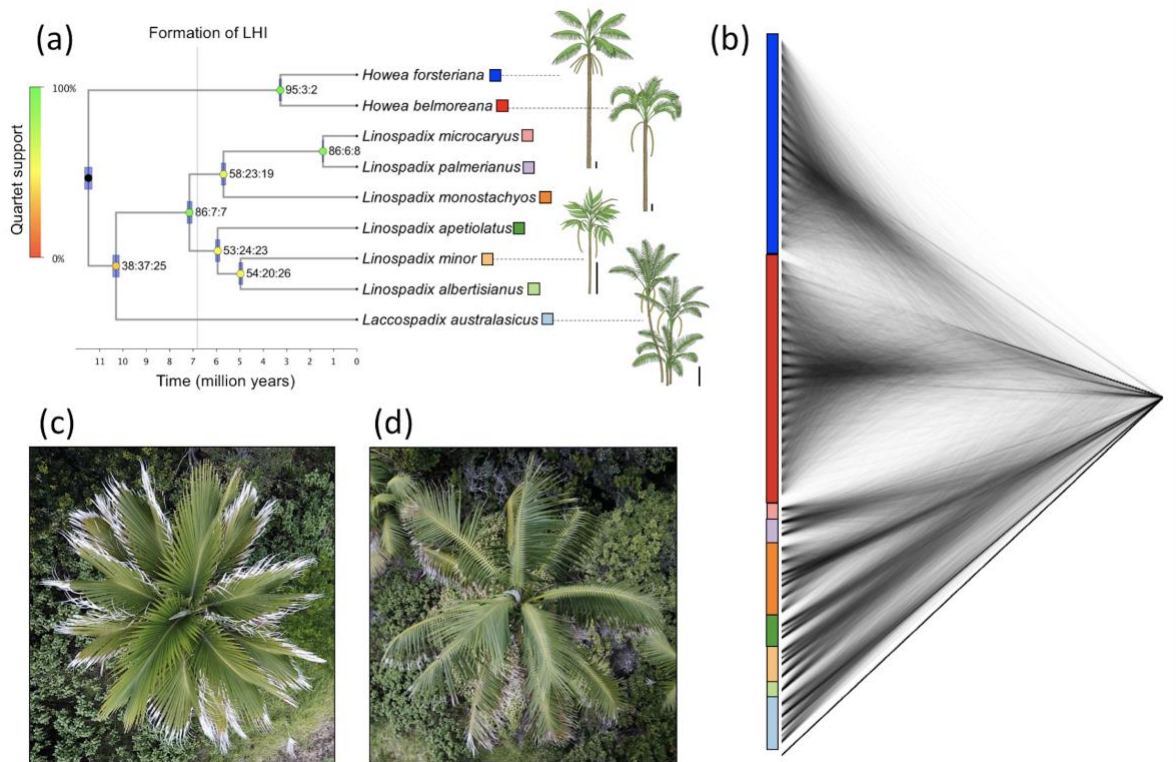
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Figure 1. Three possible scenarios of introgression during the evolution of *Howea*, with expected D -statistic results for each scenario: (i) ancestral *Howea* speciates allopatrically from the ancestor of *Linospadix* and *Laccospadix*, and later speciate sympatrically on LHI. In this case, all D statistics should not be significantly different from zero; (ii) sympatric speciation in *Howea* follows ancestral introgression between *Howea* and *Linospadix* or *Laccospadix* lineages. In this case, D should be zero, D_{FO} and D_{IL} should either both be positive (in the case of P_3 introgression) or both negative (in the case of P_4 introgression) whereas D_{FI} and D_{OL} should both be zero; (iii) *Howea* speciation is a direct result of introgression. In this case, a wide range of combinations of D_{FOIL} statistics are possible (see Pease and Hahn, 2015, for details) but D will always be significantly positive or negative. The arrows on the phylogenies represent introgression events, colour change represents allele frequency changes over time

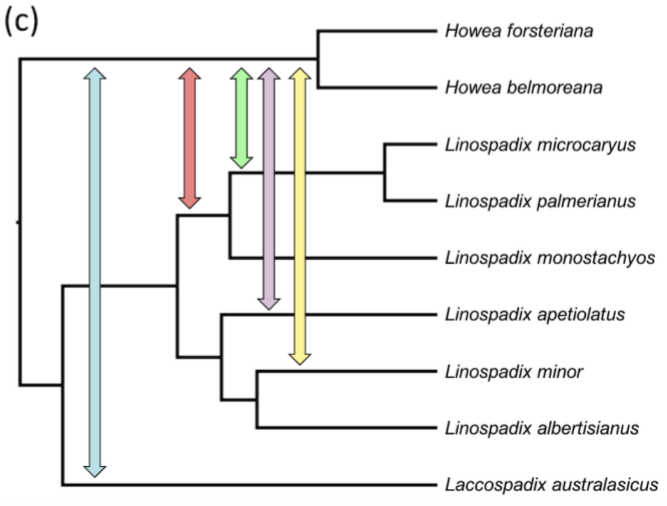
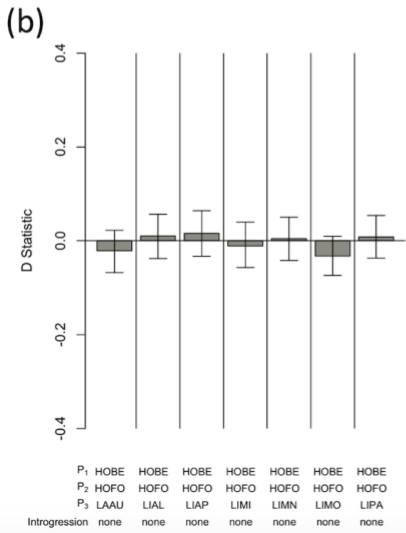
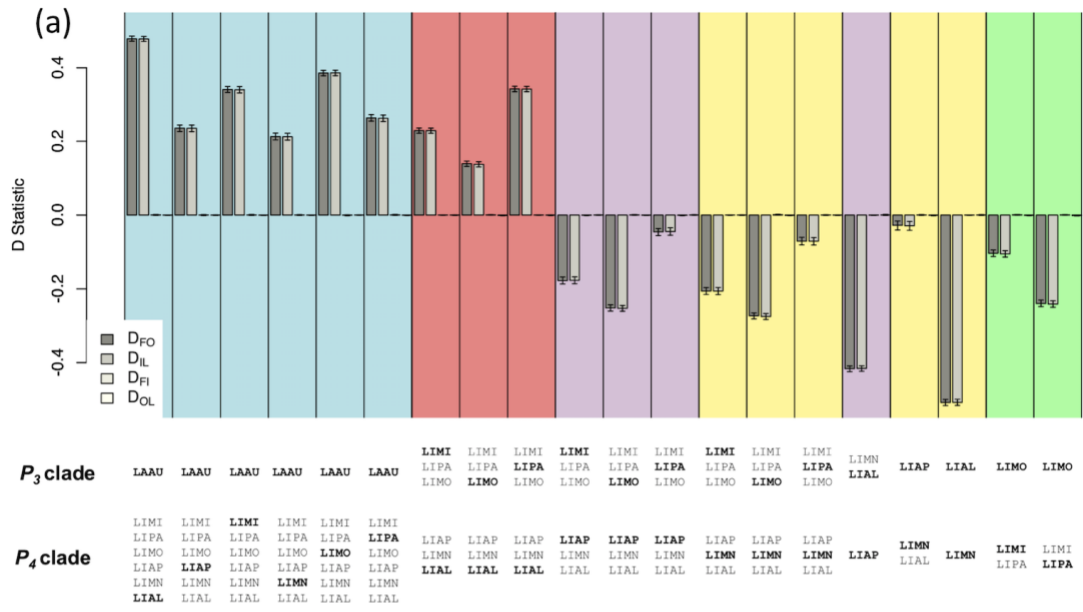
1143 and the dotted boxes represents LHI. The table on the right shows the expected sign for
1144 Patterson's D (Green et al. 2010) and D_{FOIL} statistics (D_{FO} , D_{IL} , D_{FI} and D_{OL}) for each scenario,
1145 with multiple possible combinations supporting some scenarios (Following Pease and Hahn
1146 2015). While not represented in this figure, non-zero D -statistics can also result from ancestral
1147 population structure (see discussion).
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1150 Figure 2. Phylogeny and morphology of *Howea* and its closest relatives. (a) A dated species
 1151 tree of *Howea*, *Linospadix* and *Laccospadix*. Node labels show the percentage of gene trees
 1152 supporting the dominant topology followed by the other two possible (unrooted) topologies.
 1153 Nodes are coloured by proportion of gene trees supporting the dominant topology and blue
 1154 node bars show 95% confidence intervals of node ages. Illustrations of selected species are
 1155 drawn to the right of the phylogenetic tree, with a 0.5 m scale bars to show approximate
 1156 relative heights of the species. A DensiTree plot (b) shows the level of concordance between
 1157 gene trees. Following filtering for low confidence nodes, each unique gene tree topology is
 1158 transparently plotted such that gene tree discordance is apparent. Coloured bars denote
 1159 species and are coloured according to the boxes beside the species names on panel (a).
 1160 Photographs of the crowns of *H. belmoreana* (c) and *H. forsteriana* (d) show differences in
 1161 leaf morphology.

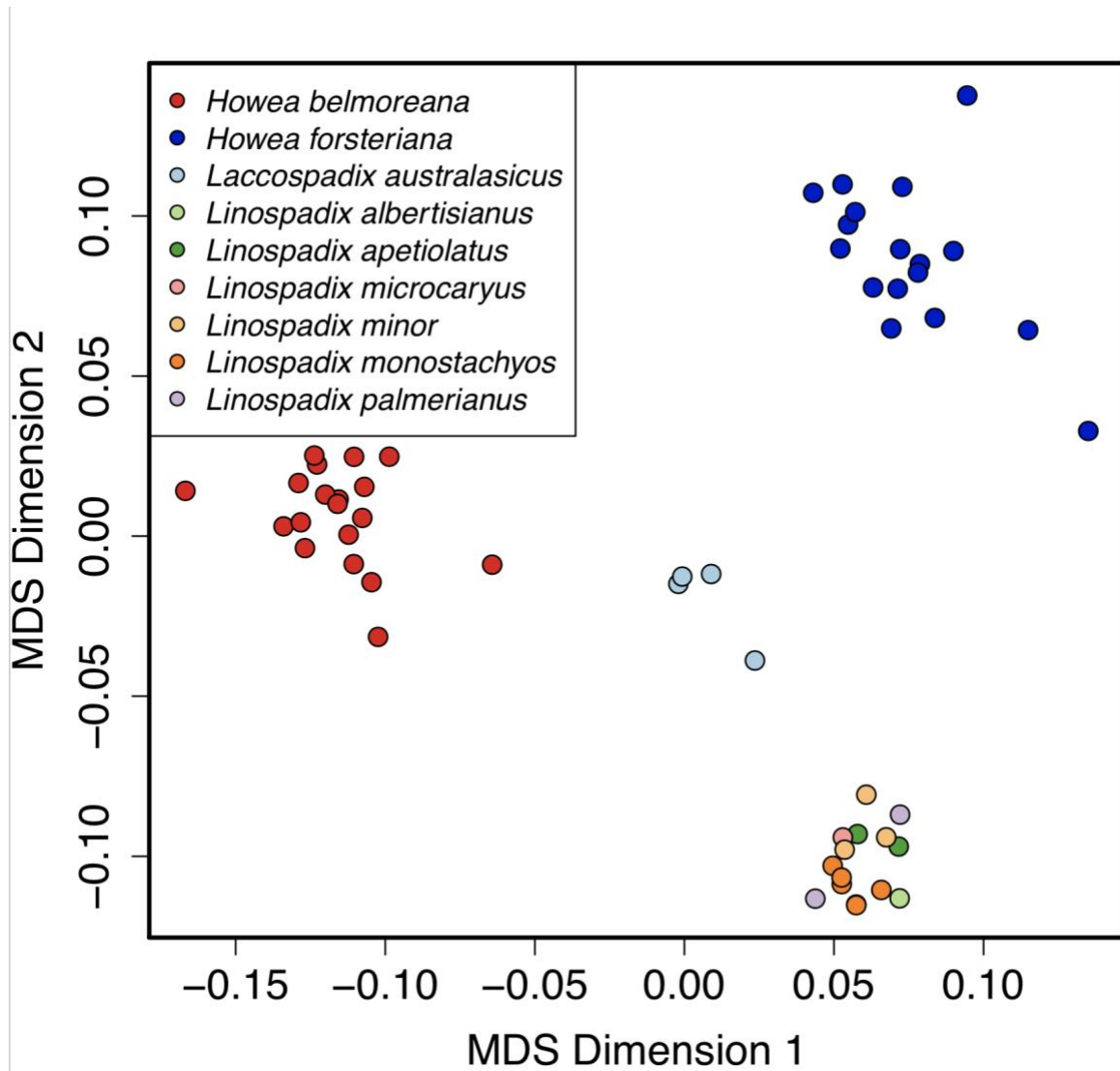
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 1164 Figure 3. Introgression between taxa. Values and 95% confidence intervals are shown for all
 1165 four D_{FOIL} statistics (a), and Patterson's D statistic (b) for each testable subtree. The identity
 1166 of species in clades represented by P_3 and P_4 are shown below each test, with the actual
 1167 species tested highlighted in bold. Tests unanimously support introgression
 1168 between *Linospadix* or *Laccospadix* species and ancestral *Howea* (a), but not between
 1169 extant species of *Howea* and any of the outgroups (b). Species abbreviations are as follows:
 1170 HOBE: *Howea belmoreana*, HOFO: *H. forsteriana*, LAU: *Laccospadix australasicus*,
 1171 LIAL: *Linospadix albertisianus*, LIAP: *L. apetirolatus*, LIMN: *L. minor*,
 1172 LIMO: *L. monostachyos*, LIPA: *L. palmerianus*. One interpretation of the results which
 1173 minimises the number of introgression events is shown in panel (c), with introgression
 1174 events shown on the tree as coloured arrows corresponding to the tests that support them in
 1175 panel (a). The horizontal positions of introgression arrows are arbitrary and do not reflect the

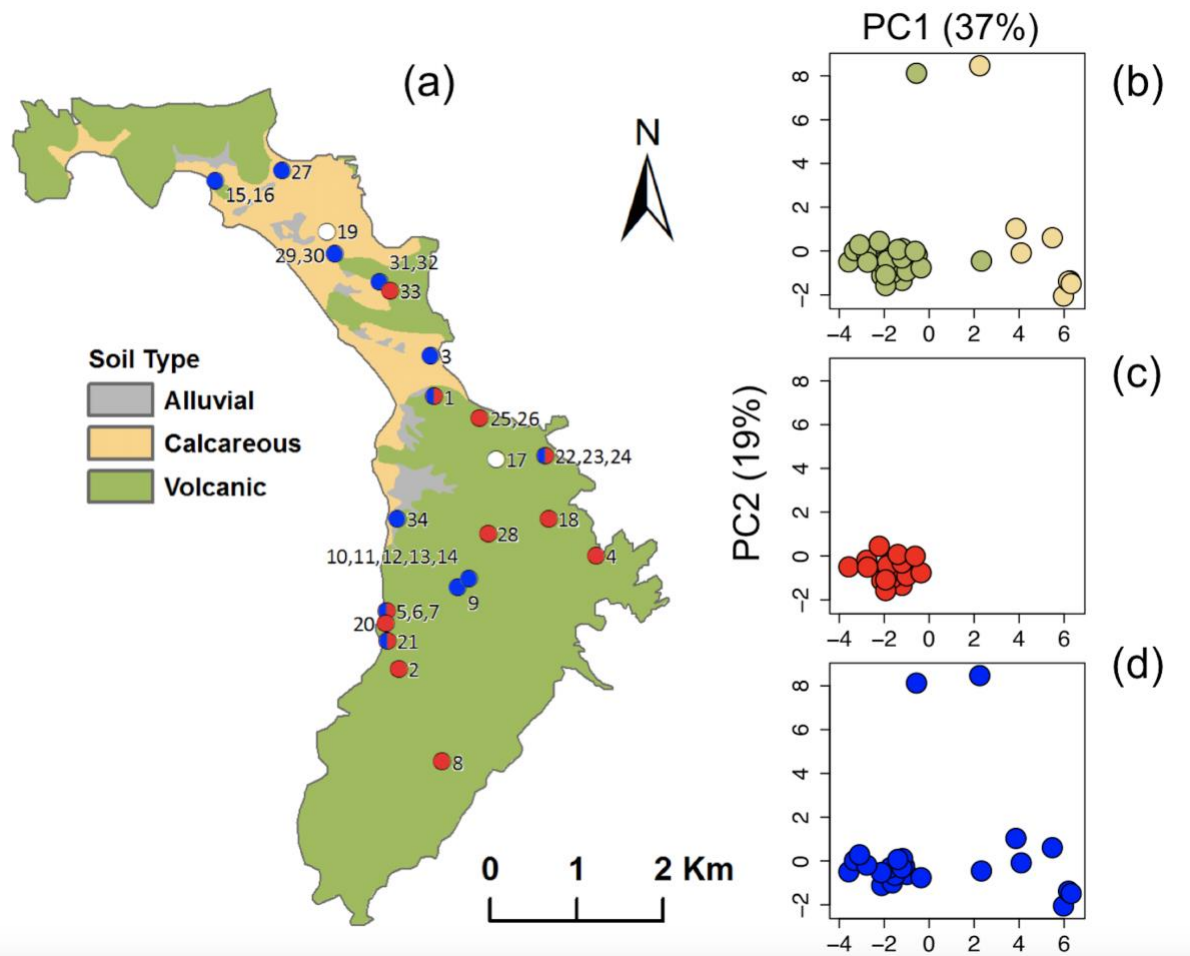
1176 timing of introgression. While we have interpreted the results in terms of introgression in
1177 panel (c), ancestral population structure can also lead to non-zero D -statistics.
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Figure 4. Genetic clustering amongst *Howea* and their outgroups revealed by multidimensional scaling of all single nucleotide polymorphism data. The two *Howea* species are equidistant from outgroup species, however *Laccospadix* is closer to *Howea* than its sister genus *Linospadix* (see text).



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Figure 5. Soil characteristics of the two *Howea* species habitats on LHI. (a) A map of the island showing broad soil classifications and sampling locations. Sampling sites with only *H. forsteriana* are shown in blue, with only *H. belmoreana* are shown in red and those with both species are shown half blue and half red. (b-d) The first two principle components (PCs) of a PCA of normalised soil metrics for water content, pH, concentrations of 20 acid extractable elements and four DTPA-extractable micronutrients. Plot (b) is coloured by soil type (volcanic: green, calcareous: yellow) and numbers on the plot correspond to those in (a). (c) shows all sites with *H. belmoreana* present (red) and (d) shows all sites with *H. forsteriana* present (blue).

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